

SMT Solvers

A CTF-oriented introduction

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May 11, 2018



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Outline

- 1 Introduction: SAT and SMT
- 2 Z3
- 3 Encoding programs into logical formulas

Boolean SATisfiability problem

SAT – boolean satisfiability problem

The problem of determining whether there exists an **interpretation** that **satisfies** a given **boolean formula**; a formula that can be evaluated to true is said to be **satisfiable**

Eg. (a **and not** b) is satisfiable, (a **and not** a) is not

https://en.wikipedia.org/wiki/Boolean_satisfiability_problem

SAT

- is *NP-complete*: all NP problems are at most difficult to solve as SAT
- no known algorithm can *efficiently* solves each SAT problem
- *however*, heuristical SAT-algorithms can solve many problems involving thousands of variables and formulas with millions of symbols

Satisfiability Modulo Theories

SMT – Satisfiability Modulo Theories

Decision problem for **logical formulas wrt combinations of theories**, expressed in classical first-order logic with equality. Examples of theories typically are **real numbers, integers, bit-vectors, ...**

Eg. $(x + y < 12)$ is satisfiable, $((x \leq z) \text{ and } (x > x))$ is not

https://en.wikipedia.org/wiki/Satisfiability_modulo_theories

SMT

- can be *undecidable* (depends on the theories)
- SMT solvers
 - extends heuristic SAT solvers
 - can solve many real world problems
 - can be used to analyze and verify software

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Z3

- open-source solver from Microsoft Research
- extremely efficient
- bindings for many languages, including Python

Installation Ubuntu-derived OS

- `sudo apt install python-virtualenv`
- `python2 -mvirtualenv z3 && . z3/bin/activate`
- optional: `pip install ipython`
- `git clone https://github.com/Z3Prover/z3.git && cd z3`
- `python scripts/mk_make.py -python && cd build && make install`

...it will take a while, enjoy a coffee ☺

Basic usage (1/2)

Let's get started:

- import symbols: `from z3 import *`
- create a **solver**: `s = Solver()`
 - collects **constraints** into a huge formula
 - **checks satisfiability** and find a **model**
- create variables: `x, y = Ints('x y')`
 - `x` is a (Python) object representing a Z3 integer variable named `x`
 - `y` is a (Python) object representing a Z3 integer variable named `y`
 - using *operator overloading* a (Python) expression like `x + y` gets evaluated into an object representing the **expression** `(x + y)` in Z3
- add constraints: `s.add(x + y < 12)`

Basic usage (2/2)

- check satisfiability: `s.check()`

check can either return:

- `z3.sat`
- `z3.unsat`
- `z3.unknown`

or work for a *long long* time

- (if sat) see the assignment: `s.model()`
 - the model is a dictionary from variables (named-constants) to values

Use `solve` to simply print out a solution

```
x, y = Ints('x y')
solve(x + y < 12)
```


Sort, types and values – Booleans

Z3 offers *a lot* of types, we discuss the main ones only

- Beware of terminology: every expression has a **sort** (= Z3 type)

```
x, y = Ints('x y')
x.sort()           # Int
(x+y).sort()       # Int
(x+y==3).sort()    # Bool
```

and, obviously, a different Python type (z3.ArithRef and z3.BoolRef)

- you can create (Python objects that represent) **values** and **variables**
- BoolSort(), AKA booleans

```
t, f, bv = BoolVal(True), BoolVal(False), Bool('bv')
# is_bool can query the sort, is_true and is_false the value
assert is_bool(t) and is_true(t) and not is_false(t)
assert is_bool(f) and not is_true(f) and is_false(f)
assert is_bool(bv) and not is_true(bv) and not is_false(bv)
assert assert bool(t) and not bool(f)
```

Sort, types and values – Integers

- `IntSort()`, AKA integers AKA \mathbb{Z}

```
i, iv = IntVal(42), Int('iv')
assert is_int(i) and is_int_value(i) and i.as_long() == 42
assert is_int(iv) and not is_int_value(iv)
```

- Inside `Z3` convert to/from `RealSort()` with functions `ToReal/ToInt`

- `BitVecSort(n)`, AKA *n*-bit vectors AKA \mathbb{Z}_{2^n}

```
v, vv = BitVecVal(5, 3), BitVec('vv', 3)
assert is_bv(v) and is_bv_value(v) and \
    v.as_long() == 5 and v.as_signed_long() == -3
assert is_bv(vv) and not is_bv_value(vv)
```

- bit vectors support bit-wise logical/shift operations (integers don't)
 - (most?) operations work with vectors of the same length
 - `Extract(h, l, v)` extracts bits (*l*, *l* + 1, ..., *h*) of *v*;
e.g. `Extract(3, 0, bv)` returns the 4 least significant bits of *bv*
 - `ZeroExt(n, v)` adds *n* zero-bits
 - `Concat(v1, v2, ..., vn)` concatenates *v*₁, ..., *v*_{*n*}
 - there is a `StringSort`, but “strings” usually encoded as bit-vectors

Sort, types and values – Arrays

- Arrays in Z3 are used to model **unbounded or very large arrays**
 - For small collections it is more efficient to create different variables; e.g. `IntVector('x', 3)` returns a list of three `Int` variables named `x__0`, `x__1` and `x__2`
- `Array(name, dom, rng)` creates an array constant named *name* with given domain and range sorts; e.g.
`a = Array('a', IntSort(), IntSort())`
- `Select(a, i)` returns the value stored at position *i* of the array *a*
 - We can also write `Select(a, i)` as `a[i]`
- `Store(a, i, v)` returns a new array identical to *a*, but on position *i*, where it contains the value *v*

Sort, types and values – Reals

- `RealSort()` — AKA Z3 reals
 - `RealVal` creates a Z3 real value from an int, long, float or string representing a number in decimal or rational notation, and
 - `Q` creates a Z3 rational from numerator and denominator
- To extract a Python value from a Z3 real x , you need to distinguish whether x is rational or algebraic

```
s = Solver()
x, y = Reals('x y')
s.add(2*x == 1, y*y == 2, y >= 0)
s.check() # sat
m = s.model() # m is [y = 1.4142135623?, x = 1/2]
x_sol, y_sol = m[x], m[y]
assert is_rational_value(x_sol)
assert is_algebraic_value(y_sol)
x_sol.numerator_as_long() # 1
x_sol.denominator_as_long() # 2
y_rat_sol = y_sol.approx(10)
y_rat_sol.numerator_as_long() # 388736063997
y_rat_sol.denominator_as_long() # 274877906944
388736063997.0/274877906944 # 1.4142135623733338
```

Pitfalls in building expressions

We already saw:

- Most often, overloading is used to build constraints; e.g. `y*y==2` builds a `z3.BoolRef` object corresponding to $y \cdot y = 2$

Pitfalls

- boolean operators (`and`, `or` and `not`) *cannot* be overloaded; use `And`, `Or` and `Not` to build boolean expressions
 - there is also a `Implies` shortcut
- `>>` is an *arithmetic* shift; use `LShR` for the logical one
- `/`, `%`, `<`, `<=`, `>` and `>=` on bit-vectors are *signed*; use, respectively, `UDiv`, `URem`, `ULT`, `ULE`, `UGT` and `UGE` for unsigned ones

Special cases

- `Distinct(*args)`, for expressing the fact that all args are different
- `If(cond, then-exp, else-exp)` creates an if-then-else expression; eg. `def abs_z3(a): return If(a >= 0, a, -a)`

Exercise: geometric figures

Circle, square and triangle are integers

$$\text{Circle} + \text{Circle} = 10$$

$$\text{Circle} \times \text{Square} + \text{Square} = 12$$

$$\text{Circle} \times \text{Square} - \text{Triangle} \times \text{Circle} = \text{Circle}$$

$$\text{Triangle} = ?$$

Taken from: https://yurichev.com/writings/SAT_SMT_draft-EN.pdf
(a suggested read, BTW)

Example: optimization

Can we prove the following claim?

$(x \& (x - 1)) == 0$ iff “x is a power of two”

```
x = BitVec('x', 32)
quick_check = (x & (x - 1)) == 0
x_is_power = Or([x==p for p in [2**i for i in range(32)]])
s = Solver()
s.add( quick_check == x_is_power ) # ok?
# nope! We should check whethere there is any counter-example:
s = Solver()
s.add( quick_check != x_is_power )
s.check() # sat; yep, these are NOT equivalent
# shortcut:
prove( quick_check == x_is_power )
prove( And(x != 0, quick_check) == x_is_power )
```

(taken from <https://ericpony.github.io/z3py-tutorial/guide-examples.htm>)

Exercise: optimization

```
x = BitVec('x', 32)
y = BitVec('y', 32)

# Claim: (x ^ y) < 0 iff x and y have opposite signs
```

Can you (dis)prove this claim?

(taken from <https://ericpony.github.io/z3py-tutorial/guide-examples.htm>)

Save and restore the state

```
s = Solver()
x, y = Ints("x y")
s.add(x + y < 12, x >= 0)

s.push()          # creates a backtracking point
s.add( x==3 )
s.check()         # sat
s.model()[y]      # 0
s.pop()           # backtrack to the previously saved point

s.push()
s.add( x==15 )
s.check()         # sat
s.model()[y]      # -4
s.pop()

s.add( y==13 )
s.check() # unsat
```

Enumerating all solutions

```
s = Solver()
x, y = Ints("x y")
s.add(x + y < 12, x >= 0, x <= 8, y >= 3, y <= 10)
while s.check() == sat:
    m = s.model()
    print(m)
    s.add(Or(x != m[x], y != m[y]))
```

prints:

```
[y = 3, x = 0]
[y = 4, x = 1]
[y = 3, x = 1]
[y = 3, x = 2]
[y = 3, x = 3]
...
```

See also <https://stackoverflow.com/a/11869410>

You can also

- simplify an expression (lots of options: see `help_simplify()`); e.g.
`simplify(3*x + 5 * y == 2*x - 7 + y) # x + 4*y == -7`
- `(Optimize.)minimize` an objective function
- ...

See <https://github.com/ericpony/z3py-tutorial>

Exercise: system of equations

Solve the following system:

$$x_2 + 2x_3 - x_4 = 1$$

$$x_1 + x_3 + x_4 = 4$$

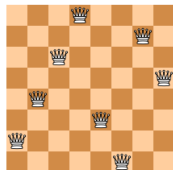
$$-x_1 + x_2 - x_4 = 2$$

$$7x_2 + 3x_3 - x_4 = 7$$

How many solutions can Z3 find? What happens if you remove an equation?

Example: The Eight Queens puzzle

... the problem of placing eight queens on an 8x8 chessboard so that no two queens attack each other. Thus, a solution requires that no two queens share the same row, column, or diagonal.



<https://ericpony.github.io/z3py-tutorial/guide-examples.htm>

```
# We know each queen must be in a different row.
# So, we represent each queen by a single integer: the column position
Q = [ Int('Q_%i' % (i + 1)) for i in range(8) ]
val_c = [ And(1 <= Q[i], Q[i] <= 8) for i in range(8) ] # cols in interval [1, 8]
col_c = [ Distinct(Q) ] # at most one queen per column
# Diagonal constraint
diag_c = [ And(Q[i] - Q[j] != i - j, Q[i] - Q[j] != j - i)
           for i in range(8) for j in range(i) ]
solve(val_c + col_c + diag_c)
```

Exercise: magic squares

Magic squares

A magic square is a $n \times n$ grid filled with distinct positive integers in the range $1 \dots n^2$, s.t. each cell contains a different integer and the sum of each row, column and diagonal is equal to a “magic constant”.

Magic squares are also called normal magic squares, in the sense that there are non-normal ones where integers are not restricted. E.g. (normal):

2	7	6	→15
9	5	1	→15
4	3	8	→15
↙15	↓15	↓15	↘15

https://en.wikipedia.org/wiki/Magic_square

Write a program that produces a 3×3 (non-normal?) magic square for a given constant (if it exists). Generalize your program to $n \times n$ squares.

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A simple encoding

Some programs can be easily “translated” into a formula; for instance we could encode f into a formula F

```
int f(int x, int y)
{
    int k = x + 1;
    int q = y - x;
    return k + 2 * q;
}
```

```
s = Solver()
x, y, k, q, result = \
    BitVecs('x y k q result', 32)
s.add( k == x + 1 )
s.add( q == y - x )
s.add( result == k + 2 * q )
```

then, we can use F an interpreter; e.g.

```
s.push()
s.add(x == 1, y == 2)
s.check()
print(s.model()[result]) # gives us f(1, 2) == 4
s.pop()
```

more interestingly...

We can “invert” the function

```
def invert_f(o): # returns x and y, s.t. f(x, y)==o
    s.push()
    s.add(result == o)
    if s.check() == sat:
        m = s.model()
        r = m[x], m[y]
    else:
        r = None
    s.pop()
    return r
```

```
invert_f(4)    # (1, 2)
invert_f(123)  # (18, 70)
invert_f(42)   # (3, 22)
```

SSA Form

Can the previous translation work with this `f`?

```
int f(int x, int y)
{
    x = x + 1;
    y = y - x;
    return x + 2 * y;
}
```

```
int f(int x_0, int y_0)
{
    x_1 = x_0 + 1;
    y_1 = y_0 - x_1;
    return x_1 + 2 * y_1;
}
```

SSA: Static Single Assignment

... each variable is assigned exactly once, Existing variables in the original IR are split into versions ...

https://en.wikipedia.org/wiki/Static_single_assignment_form

Branches

```
int f(int x, int y)
{
    x = x + 1;
    if x > y:
        y = y - x;
    else
        x *= 3;
    return x + 2 * y;
}
```

```
int f(int x_0, int y_0)
{
    x_1 = x_0 + 1;
    if x_1 > y_0:
        y_1 = y_0 - x_1;
    else
        x_2 = x_1 * 3;
    return x_??? + 2 * y_???;
}
```

Φ -functions

```
int f(int x, int y)
{
    x = x + 1;
    if x > y:
        y = y - x;
    else
        x *= 3;
    return x + 2 * y;
}
```

```
int f(int x_0, int y_0)
{
    x_1 = x_0 + 1;
    if x_1 > y_0:
        y_1 = y_0 - x_1;
    else
        x_2 = x_1 * 3;
    x_3 =  $\Phi$ (x_1, x_2);
    y_2 =  $\Phi$ (y_0, y_1);
    return x_3 + 2 * y_2;
}
```

Not real functions, can be encoded in Z3 by using If:

```
x0, x1, x2, x3, y0, y1, y2, result = BitVecs('x0 x1 x2 x3 y0 y1 y2 result', 32)
s = Solver()
s.add( x1 == x0 + 1 )
s.add( y1 == y0 - x1 )
s.add( x2 == x1 * 3 )
s.add( x3 == If(x1 > y0, x1, x2) )
s.add( y2 == If(x1 > y0, y1, y0) )
s.add( result == x3 + 2 * y2 )
```

Generating fresh names

```
class VarGenerator(object):
    def __init__(self):
        self.z3vars = {}
    def create(self, z3class, name, *z3args):
        v = z3class(name + "_0", *z3args)
        self.z3vars[v] = 0, name, z3class, z3args
        return v
    def fresh(self, v):
        i, name, z3class, z3args = self.z3vars[v]
        del self.z3vars[v]
        i += 1
        v = z3class(name + "_" + str(i), *z3args)
        self.z3vars[v] = i, name, z3class, z3args
        return v

# ...
v = VarGenerator()
a = v.create(Int, 'a')
b = v.create(BitVec, 'b', 32)
for _ in range(3):
    a, b = v.fresh(a), v.fresh(b)
    print(a, b)
```

Limitations

In general, imperative programs are not directly representable as first-order logic formulas because of **unbounded**

- loops
- recursion

(bounded loop/function-calls can be “inlined”)

Exercise: find a and b

```
def g(a, b):  
    for i in range(10):  
        if a > b:  
            a -= b // 2  
            b *= -1  
        else:  
            b += a + 10  
    return a+b
```

Can you find a and b s.t. $g(a, b) == 0$?

Exercise: find the winning s

```
from pwn import u32
def f(s):
    win = 0
    j = 1
    k = 2
    if len(s) == 8:
        w1 = u32(s[:4], endian='big')
        w2 = u32(s[4:], endian='big')
        if w1 ^ w2 == 0x3b060569:
            k = w1 % 0xb4cad9
        if w2 > w1:
            j = w2 % 0x2753f
    if j + k == 0:
        win = 1
    return win
```

Hint: try to understand the code and directly model its behaviour, without converting to SSA