

Government Conflict of Interest Questionnaire

Full Legal Name (Last, First, Middle)

Social Security Number

Ratzlaff

Neale

James

540432150

The term "HRL" refers to HRL Laboratories, LLC and subsidiaries and to all of their respective joint owners. The term specifically includes, but is not limited to The Boeing Company and General Motors Corporation.

1. Are you a **current** U.S. Government employee (military or civilian)? ☐ Yes ☒ No
2. Are you a **former** U.S. Government employee (military or civilian)? ☐ Yes ☒ No
3. Are you now serving, or have you served, as an "Advisor," "Consultant," or special Government employee" (for example, as a member of an advisory board, or panel, to any U.S. Government agency, such as DoD or NASA)? ☐ Yes ☒ No
4. Have you served in state government with duties involving HRL? ☐ Yes ☒ No
5. If you answered "Yes" to questions 1, 2, 3, or 4:
 - a. What is the date of your last service?
 - b. What is your most recent military grade or rank, or civilian pay grade?
- 6.a. Are you now, or have you been, at any time since January 1, 1997, participating personally and substantially on behalf of the U.S. Government in a federal agency procurement using competitive procedures in which HRL is (or was) a bidder or offeror and in which you are (or were) involved directly or indirectly (including supervising a subordinate), in (a) drafting, reviewing or approving the specification or statement of work for the procurement; (b) preparing or developing the solicitation; (c) evaluating bids or proposals, or selecting a source; (d) negotiating the price or terms and conditions of the contract; or (e) reviewing and approving the award of the contract? ☐ Yes ☒ No
- 6.b. Were you at any time between January 1, 1995, and December 31, 1996, a "Procurement Official" under the Procurement Integrity provisions of the Office of Federal Procurement Policy Act of 1988 and implementing regulations? ☐ Yes ☒ No

IF YOU ARE UNSURE WHETHER OR NOT PARAGRAPH 6.a or 6.b APPLIES TO YOU, PLEASE SEEK THE ADVICE OF YOUR GOVERNMENT AGENCY ETHICS OFFICIAL OR ADVISOR.

7. If you answered "Yes" to question 6.a. have you filed a "**contact report**" and a "**disqualification notice**"? (If "Yes," please provide copies; if "No," please explain.) ☐ Yes ☒ No

8. If you answered "Yes" to question 4, 6.a, or 6.b, please provide a complete description of your duties or participation. (Attach additional pages, if necessary.)

9. Have you ever been suspended, debarred (or proposed for suspension or debarment) from receiving U.S. Government contracts? ☐ Yes ☒ No

10. If you answered "Yes" to question 9, please provide a complete explanation. (Attach additional pages,

IF YOU ANSWERED NO TO ALL OF THE PRECEDING QUESTIONS, OR, IF YOU ANSWERED "YES" ONLY TO QUESTION 4, YOU DO NOT NEED TO ANSWER QUESTIONS 11 THROUGH 17. INSTEAD, SIGN AND DATE THE QUESTIONNAIRE IN THE SPACE PROVIDED ON PAGE 3.

11. Within the past twelve (12) months (but, after January 1, 1997), have you:

- a. Served as the procuring contracting officer, the source selection authority, a member of a source selection evaluation board, or the chief of a financial or technical evaluation team in a procurement in which HRL was selected for award of a contract in excess of \$10,000,000? ☐ Yes ☐ No
- b. Served as the program manager, deputy program manager, or administrative contracting officer for a contract in excess of \$10,000,000 awarded to HRL? ☐ Yes ☐ No
- c. Personally made for the U.S. Government agency:
- (1) A decision to award a contract, subcontract, modification of a contract or subcontract, or a task order or delivery task order in excess of \$10,000,000 to HRL? ☐ Yes ☐ No
- (2) A decision to establish overhead or other rates applicable to a contract or contracts for HRL that are valued in excess of \$10,000,000? ☐ Yes ☐ No
- (3) A decision to approve issuance of a contract payment or payments in excess of \$10,000,000 to HRL? ☐ Yes ☐ No
- (4) A decision to settle a claim in excess of \$10,000,000 with HRL? ☐ Yes ☐ No

12. If you answered "Yes" to any of the subparts of question 11, please provide a complete description of your participation. (Attach additional pages, if necessary.) Also, please provide a copy of an "advisory opinion," if you have received one.

13. If you are a current U.S. Government employee, U.S. military personnel, "Advisor", "Consultant", or "special Government employee" to any U.S. Government agency, please provide the following information:

a. U.S. Government Agency:

b. Dates of service in present position:

c. Rank of grade:

d. Job title:

e. Description of duties:

f. Do you have any responsibility for matters in any way related to HRL?

☐ Yes ☐ No

g. Please describe the matters:

h. Are you (or were you) required to file a disqualification statement with your Government agency before engaging in employment negotiations with HRL?

☐ Yes

☐ No

If you answered "Yes" to the preceding question, please provide a copy of the disqualification statement, together with any related correspondence you have received from your supervisor, DAEO, or other Government official.

14. Before receiving any reimbursement from HRL for recruitment expenses such as travel, lodging, or meals, will you ensure that you are in compliance with your Government agency's regulations regarding the acceptance of such business courtesies?

☐ Yes

☐ No

15. Please provide the following information covering your five (5) most recent years of U.S. Government service which was not described in your response to question 13. (Attach additional pages, if necessary.)

a. U.S. Government Agency:

b. Dates of service in the position:

c. Rank of grade:

d. Job title:

e. Description of duties:

f. Do you have any responsibilities for matters in any way related to HRL?

☐ Yes

☐ No

g. Please describe the matters:

16. Are you a military officer presently serving in a reserve component of the Armed Services?

☐ Yes

☐ No

17. As a reserve officer, do you have any responsibility for matters in any way related to HRL?

☐ Yes

☐ No

Please describe the matters:

I certify that the information I have provided in the questionnaire is complete and accurate to the best of my knowledge, and I agree to promptly notify HRL, in writing, of any changes to the foregoing answers.

Signature:

Neale Ratzlaff

Date:

03/31/2021