

today's lecture is by Paul Klutz, Dr.  
Outcome in the Oncology Center of  
Excellence at the FDA. He joined the FDA  
in 2000 focusing on GI malignancies. Dr.  
Klutz is a board-certified medical  
oncologist and internist. He completed  
his medical oncology fellowship at the  
National Cancer Institute here at  
Bethesda. Dr. Klutz received his BS from  
the University of Colorado Boulder and  
his medical degree from the University  
of Pittsburgh. He completed emergency  
room internship at the University of  
Maryland followed by internal medicine  
residency at the University of Maryland.  
I'm sure you will enjoy his presentation.

Today

Hello, my name is Paul Klutz. I'm a  
medical oncologist and the deputy  
director in the Oncology Center of  
Excellence at the Food and Drug  
Administration.  
And today I'm pleased to be able to give  
you a lecture  
about crossing the finish line in drug

development

and how evidence is generated for uh  
review by the fda and hopefully approval  
of a novel a drug or biologic therapy in  
in this instance for cancer products

which is what i regulate

a little bit of background is that i

actually was a

medical oncologist fellow over at the  
national cancer institute so i have um  
familiarity with the nih and the nci and  
so im really happy to be able to speak

to to you and

teach you a little bit about drug

development

i will tell you that the fda has been an  
amazing place for me to work and so i

would urge

early career scientists to check out the

fda as an opportunity for either

fellowships or or even for careers its

been pretty remarkable

so i will walk through these slides um i

have no financial relationships to

disclose

my hope uh is that i give you a good

understanding of the fda  
how we approve drugs and biologics using  
again oncology as a therapeutic example  
but i think the core principles that  
ill be discussing you know you should  
be able to use across therapeutic areas  
so at the end of this lecture id like  
you to be able to describe the fda and  
its role in drug development  
have an understanding of the two types  
of approval pathways we use in the us  
be able to characterize efficacy  
endpoints and think about how to how to  
look at the strength of an an efficacy  
result  
understand a little bit about  
patientfocused drug development  
measurement of symptoms and function and  
what were doing to make trials more  
patientfriendly and then end on how we  
source our evidence and can we find  
opportunities to make data generation um  
more efficient from routine healthcare  
my outline is as follows im going to  
start with  
just overview of fda and the review of

our products

well talk about evidence and endpoints

and then well get into the patient drug

patients and patient focused drug

development and then well talk about

real world data and learning healthcare

so im sure many of you are familiar

with the food and drug administration

its its a large agency where we have

many responsibilities um

we are responsible for the safety

efficacy and security not just of drugs

and biologic products but also medical

devices and food cosmetics radiation

tobacco veterinary we have a lot of

things that we do

but there are some things that we dont

do

we dont regulate the cost of products

so we dont know what the cost of a drug

or biologic will be before we review it

and we also dont regulate the practice

of medicine so that is to say that if we

approve a new drug

for a specific indication for instance

for prostate cancer

a

prescribing physician could use that  
drug for another type of cancer its  
called offlabel use we dont  
regulate that  
but it is something  
that companies are not allowed to market  
there are three key centers at the fda  
that review a lot of the drugs and  
products that are used in cancer  
the center for drug evaluation and  
research or cderr  
evaluates drugs and antibodies  
cdr or the center for biologic  
evaluation and research regulates  
cellular and gene therapies as well as  
vaccines  
and cdrh or the center for devices and  
radiologic health  
will regulate devices in vitro  
diagnostics  
and diagnostic and therapeutic  
radiologics  
now if youve been following cancer  
research and development of cancer  
products you know

that

cancer touches these three centers

and its a very active area we have many

biologic products like car t cells

therapeutic vaccines under development

clearly its been a remarkable decade in

drug development for anticancer

therapies

and with the advent of precision

medicine

identifying uh through in vitro

diagnostic tests populations of cancer

patients who will benefit greatly from a

targeted drug we interact a lot with the

center for devices and so

we have a new uh center the first inter

center institute uh that was formed

under the st century cures act called

the oncology center of excellence

recognizing

that this therapeutic area of oncology

really um was very active across the

centers that having um an intercenter

institute to coordinate the clinical

review

of all of these products will be helpful

and our vision is to create a unified  
and collaborative scientific environment  
to advance development regulation of  
oncology products and so its been very  
rewarding to work across all these  
centers  
for oncology products  
now one thing ill mention as uh someone  
who works for the food and drug  
administration now for the last 0 years  
is that it is quite a balancing act  
and there are many people  
that are either  
concerned that we are being too cautious  
or concerned that we are asleep at the  
wheel  
and its very hard to find the balance  
so i like this slide because it really  
shows on the xaxis specifically  
that if you want complete certainty  
about the safety and  
the efficacy of a product its going to  
require a lot of data and typically  
longer trials and more trials and thats  
you know can cause regulatory burden and  
the more and more we ask to go down that

road on the xaxis to the right the more  
we will be called to cautious stifling  
innovation and there'll be calls to  
reduce regulatory burden on the other  
hand moving down the xaxis to the left  
you know if we expedite drug development  
too much and reduce our certainty from  
having very very early clinical data  
clearly will reduce regulatory burden  
but if we miss a small but important  
safety signal that's infrequent you  
could have a toxic death and then it  
would be considered fda being asleep at  
the wheel so where we are along that  
continuum um you know is very important  
and i think currently were striking a  
very good balance  
on the yaxis we also  
need to be consistent in our regulatory  
decisionmaking and yet flexible because  
there's an everchanging environment of  
new therapeutics coming out of new  
science  
and so that's a balance we have to have  
we need to be thorough with our reviews  
but we cannot take uh you know years to



review a product and so we have to be

efficient where is that line where is

the white space in that line and then

finally with respect to interacting with

the outside world we need to be very

independent and conflictfree at the fda

and we are

but we cant stay within our walls and

not reach out and understand whats

happening with patients with industry

with academia and so youll see that we

are especially in oncology quite

interactive uh working at uh you know

professional society meetings on panels

to uncover potential novel approaches to

drug development and thats actually

part part of the fun of our job

so lets talk about evidence and end

points so

the fda is really built on two laws as

far as drug and biologics so for drugs

the food drug and cosmetics act

requires that drugs be safe and

effective prior to marketing in the

united states and the public health

service act

requires that biologics be safe pure and  
potent and while there are different  
laws the fda modernization modernization  
act was enacted to minimize the  
differences in our review between those  
two and so for all intents and purposes  
for this talk uh you know  
similar safety and efficacy framework is  
used  
and will apply to both biologics and  
drugs

this is an excellent slide by my friend  
and colleague mark thierry that really  
shows a little bit about how drug  
development has changed in oncology  
this slide currently shows that the  
classic phase drug development paradigm  
where in yellow nonclinical studies are  
conducted to identify a safe starting  
dose for humans uh the company will then  
come to the fda and present this  
nonclinical information  
and we allow a drug to be investigated  
in humans through an ind  
in early clinical trials uh we look at  
pharmacology do dose escalation trials

to find the maximum the maximal dose or  
several doses that we feel could be  
further explored

and we move into the green section where  
we look at phase two studies identifying  
maybe looking at two different doses to  
find the optimal dose to take into a  
larger trial and then we really start to  
understand

evidence for efficacy in a large  
randomized therapeutic confirmatory  
trial

maybe with a survival endpoint  
to move to a regular approval licensing  
application

this obviously is a stepbystep process  
that can take quite a long time

now what has happened in oncology is  
weve learned as weve learned more  
about the biology of the disease is  
weve moved more into a seamless  
development paradigm and what it has

done is really collapsed  
that sort of pharmacologic therapeutic  
exploratory

clinical development

and this has been possible in oncology

because we have an early clinical  
endpoint of benefit called response rate  
the percentage of patients whose tumor  
shrinks

and so with the advent of the  
accelerated approval pathway which we  
will talk about

it may be that theres such a  
significant  
signal of efficacy through response rate  
and early clinical endpoint that we can  
actually

bring that product for review uh in a  
licensing application for an nda or new  
drug application and granted accelerated  
approval based on this early end point  
and enough safety data to understand the  
drug

expediting the access to patients for  
this therapy that may provide benefit  
over existing therapies

and then we further explore in the  
postmarketing setting this larger  
confirmatory trial set exploring more  
safety verifying uh the efficacy and

eventually granting it regular approval  
once those trials are completed so we  
really have changed how we  
develop drugs and regulate drugs in  
cancer

i mentioned briefly uh the idea of an  
accelerated versus a regular approval  
pathway and indeed theres two approval  
pathways in the united states  
regular or accelerated approval and  
which pathway  
one takes is really dependent on the  
endpoint  
of the study

the magnitude of that result the disease  
context and whether theres an unmet  
need how many available treatments there  
are and whether this is a larger effect  
than available treatments among other  
considerations so its not just about  
the endpoint

when we think about regular approval we  
think about endpoints that are typically  
survival they could be symptom or  
functional benefit  
or an established surrogate and these

are usually larger randomized trials  
that have larger safety databases  
importantly when you're granted regular  
approval based on the on these larger  
sets of evidence  
we do not require a comparative efficacy  
requirement so that is to say that the  
drug simply needs to be shown to be safe  
and effective  
as safe and effective as an available  
fda approved therapy so this allows for  
noninferiority trials which are used  
quite a bit actually outside of oncology  
another thing that is sometimes  
misunderstood especially for  
for cancer is that overall survival is  
not required a benefit in overall  
survival is not required for us to grant  
approval to a drug it can show benefit  
based on  
on tumor-based measurements as well  
talk about  
accelerated approval to get into this a  
bit more is an expedited program that  
was developed in '99 in the era of the

hiv

epidemic to try to exploit the delivery  
of therapies with early clinical data  
that appeared to provide  
a benefit over available therapy  
so for accelerated approval it needs to  
be a severe and lifethreatening disease  
there still needs to be substantial  
evidence of efficacy and safety but that  
efficacy could be based on an earlier  
clinical endpoint that's reasonably  
likely uh to predict clinical benefit  
but because there's its earlier in  
development as i showed you with the  
prior slide there's less safety data  
there's more a little bit more  
uncertainty and therefore we often  
require postmarketing confirmatory  
trials which will add to the evidence  
and verify the benefit  
and importantly if that those trials are  
not conducted their requirements if  
they're not conducted or if the results  
do not verify benefit that accelerated  
approval can be withdrawn from the  
market

now as we get into the idea of the

strengths and limitations of efficacy

endpoints

since we're using oncology as an example

i want to walk you through uh our

oncology endpoints so that you

understand a bit more about the typical

natural history so on this slide you can

see that this is tumor size these black

uh blotches are

is a tumor in a patient's body

you can see that at

the initiation of therapy for a trial it

will be a certain size and that's called

the baseline size of the of the tumor

after about eight to twelve weeks

and this is actually true in clinical

practice oftentimes we will rescan the

patient and evaluate the size of the

tumor to see if the therapy is working

if the tumor has shrunk a significant

amount

we call that an objective response rate

so we have an early clinical endpoint

um that we can use for accelerated

approval and indeed that's the most

common accelerated approval endpoint and



it occurs very quickly  
as you can see unfortunately the natural  
history of most solid tumors especially  
metastatic solid tumors is that they  
will continue to grow  
oftentimes through therapy when they'll  
become refractory to a therapy and  
unfortunately grow to a size  
greater than it was at baseline and  
that's called progression  
endpoints including time to progression  
or progression free survival  
either patients that have progressed or  
have died  
and then after progression the natural  
history of that the tumor may continue  
to grow may actually grow in different  
places around the body causing  
metastatic disease likely causing  
symptoms and morbidity which we can  
certainly measure as endpoints as well  
mention and then  
unfortunately for many patients with  
metastatic solid tumors the natural  
history of their diseases that often  
results in death due to the tumor

now here i have written overall survival  
as the end point i just want to touch on  
that were not uh using the endpoint  
diseasespecific survival were not  
measuring only those events that were  
specifically deaths due to the disease  
and theres a reason for that  
its because its pretty hard to  
attribute someones death  
to metastatic cancer versus to some  
other comorbidity oftentimes typically  
when a patient dies um you know they may  
die from heart failure they may die from  
pneumonia they may die from many many  
things that could be related to the  
cancer but may not be specifically  
related to the cancer itself so theres  
the  
that problem the other reason though why  
overall survival is useful in oncology  
is because our our drugs are actually um  
have significant side effects and some  
of them actually can be can result in  
death  
so  
it is actually a bit of a safety

endpoint as well so imagine a therapy  
that does a pretty good job at treating  
the the tumor and delaying the tumor  
growth and theres some delay in death

and therefore survival benefit a  
diseasefree survival or sorry a  
diseasespecific survival benefit

but if the drug is so toxic that its  
also causing deaths

toxis due to toxicity early

that may

make the drug not show a benefit in a  
randomized trial because the toxicity  
is overwhelming the incremental efficacy

so overall survival is an important  
endpoint in oncology um both because

its its doesnt take attribution into  
effect and because it is a bit of a  
signal regarding safety as well

so when i think about an efficacy  
endpoint result in a

cancer drug submitted to the fda i

usually think about it in three ways

i think about the end point what was  
measured what outcome is measured in  
this trial is it a tumorbased outcome

is it a symptom or functional outcome  
which is more rare or is it overall  
survival and how clinically meaningful  
is that particular outcome  
but i also think about how accurately  
it is being measured what are the  
measurement characteristics of this  
endpoint and should i be concerned that  
theres challenges with accuracy or  
reproducibility or variability of the  
measure  
and very importantly how susceptible is  
that to bias  
how objective is the measure um  
and thats very important and as ill  
show you  
finally after i understand whats  
measured and  
the characteristics of how its measured  
i think about the effect how big of an  
effect is this whats the magnitude of  
effect and put that in the context of  
the disease and available therapies  
so just a quick slide on sort of  
interpretation  
i think of uh variability and bias as

related in some ways to how much  
interpretation is required to for the  
end point to be um met for the event to  
occur

and here i have uh from low to high  
my impression of sort of four examples  
of cancer endpoints that require  
increasing levels of interpretation and  
therefore have increasing risk for for  
bias and variability as i mentioned  
survival is a useful endpoint

um  
not only in its clinical meaningfulness  
but in the fact that it has  
very little variability and very little  
bias and very little challenge and  
interpretation so it is its a gold  
standard endpoint for those reasons  
but as we think about tumor measurement  
we do have to interpret that the target  
lesion has increased by 0 so theres  
sort of measurement error issue we have  
to be careful about how frequently we  
are assessing the tumor measures and  
that theyre symmetric  
between the arms and so theres some

challenges there

even more challenging is when the tumor

itself isn't actually well circumscribed

and measurable so bone disease like in

prostate cancer you can't really measure

it on a CT scan in fact we have to use

bone scans which are even more variable

and challenging to interpret and so

there's even more uh i think variability

and bias in that endpoint

finally there are endpoints that include

clinical events that are driven by

clinician decision making and these are

perhaps the most uh open to bias and

variability so one example is skeletal

related events events that occur because

of metastasis in the bone

um one of the

events is

pain that's so severe that you need to

give radiation therapy as palliative

treatment and you have to think about

what needs to occur before that event

occurs an investigator needs to hear

that the patient is having pain assess

the patient and believe that the pain is

focal possibly get an imaging scan to  
make sure that they think the pain is  
due to a cancer versus something else  
and then get the radiation oncologist to  
treat the patient so theres a lot of  
decision making along the way  
and each decision could potentially uh  
be prone to bias  
so in in essence with endpoints  
themselves they have multiple  
characteristics and theres really no  
free lunch theres no uh perfect  
endpoint there are strengths and  
limitations for each even survival and  
as you look at overall survival in this  
slide you see that it is very clinically  
meaningful  
perhaps the most meaningful endpoint  
it has a very low risk of bias and great  
measurement characteristics as ive  
described  
but the feasibility of this endpoint in  
contemporary cancer drug development is  
increasingly challenging  
number one it takes a long time in a  
large trial and requires randomization

because it is the final event in the  
natural history of the disease but well  
talk about other reasons why  
contemporary drug development with very  
high early signals of benefit with  
response rate make it a challenging  
trial  
to not allow patients on the alternative  
arm getting  
regular therapy to cross over and have  
access to that investigational therapy  
which can really dilute a survival  
result  
tumor endpoints are very feasible  
commonly used uh and you know they're  
also used in clinical practice as i  
mentioned you know we get scans  
for patients to follow them along to see  
whether or not our standard treatments  
are working  
they have a kind of a lower risk of bias  
not quite as low as low as overall  
survival for reasons i mentioned there  
is some measurement challenges and  
variability associated with the endpoint  
but we do



have source validation and verification  
of the result because we can look at the  
ct scans uh with an independent reviewer  
and i think theres this sort of ongoing  
debate about how clinically meaningful  
tumorbased endpoints are um so i gave  
it a plus or minus this is my opinion  
but you know as a practicing oncologist  
it is not it is a quite a meaningful uh  
moment

uh in a patients life when you  
unfortunately have to tell them that  
their tumor scan has progressed and they  
are going to have to be taken off the  
therapy that theyve been benefiting  
from for a year or so it is  
meaningful to some degree although of  
course its not a direct measure of  
survival or symptoms or function  
symptoms and functional outcomes are  
obviously very meaningful to patients so  
theyre quite clinically meaningful i  
think they can can be incorporated into  
clinical trials using either patient  
afforded outcomes potentially wearable  
devices increasingly but there is some

risk for bias

especially with patient reported  
outcomes which are more subjective  
measures its unclear exactly what the  
magnitude or existence of this bias and

cancer specifically but it is uh you  
know certainly a challenge i will say  
that theres sometimes calls uh that we  
should approve drugs based on symptom

improvement alone

but

my thought about that is that while  
symptom improvement would be a very  
impressive um a complementary piece of

evidence to a tumor

related endpoint if all you have is a  
symptom improvement and theres no  
evidence that the tumor has been

affected

then it raises questions as to whether  
the mechanism of the drug is something  
other than antitumor activity and we  
are really regulating cancer directed  
therapies and its important because  
were accepting a higher level of  
toxicity for these therapies so what

were really looking at is a supportive  
care medication which is really just  
palliating symptoms but not affecting  
the tumor

we are going to have a very different  
threshold for safety so thats important  
to think about

finally theres clinical outcomes that  
we can reduce that are sort of morbid uh  
procedures like i mentioned in skeletal  
related events or maybe reducing the  
need for steroids and brain tumors etc  
they are certainly clinically meaningful

i think theyre  
relatively feasible to assess but i  
think again when when this event is  
driven by a

somewhat subjective decision by a  
clinician we can have a risk of bias so  
no free lunch uh theres pluses and  
minuses to endpoints

so to wrap up how i look at efficacy  
endpoints we talked about how important  
it is to understand whats being  
measured and how clinically meaningful  
that is but its also critical to

understand the measurement characteristics and be aware of bias and ability of the uh of the measure to come up with an accurate result and i do want to touch on how much so when we look at them at the magnitude of effect from the result certainly large magnitudes of effects are great and they can certainly also overcome some of the uncertainty about whether its really going to be meaningful to patients so for instance progression free survival delaying the progression of a tumor for only two months or one and a half months is not impressive because were not sure if thats going to mean anything to patients however when you delay a tumor for a year uh or eight months or months in a tumor that normally progresses in two or three months uh you know you start to feel more comfortable thats really going to be meaningful to patients conversely small magnitudes can even

you know make an overall survival result  
meaningless and so you know a seven day

or

one week two week median survival

benefit in the setting of significant  
toxicity uh may not be enough for an

approval

i would also mention that  
even the uh the strongest endpoint like  
survival if you have a large magnitude  
of effects but theres some uncertainty  
given the fact that maybe the tumor  
measures arent really in line with that

survival benefit

you do need to make sure that you at

least think

about whether or not the effect that you

see is due to the drug or some

confounding influence or bias

it doesnt mean just because you have a

large result that is due to the drug and  
not something else and so what are some

of those things especially for time to

event endpoints the time it takes a  
tumor to do something uh whether that be  
progress or lead to death other than the

drug there's all sorts of things that  
can cause uh one arm to live longer than  
another

that has nothing to do with the drug it  
could be that one arm has an imbalance  
in uh you know good prognostic factors  
so it's a slower growing tumor  
just naturally

it could be that the demographics are  
imbalanced and that you know these are  
younger patients or higher socioeconomic  
status or they have very few  
comorbidities

um increasingly it could be that there's  
an imbalance in subsequent therapies  
because there's so many more therapies  
available that are effective in cancer  
now maybe more patients got uh you know  
an effective therapy on one arm versus  
the other

this is why  
randomization is so critical  
for time to event endpoints like  
survival because  
it is the best that we have  
to balance

not only the known  
prognostic factors and other confounders  
but the unknown things that we don't  
even know about maybe it's you know poor  
care at one site versus another et  
cetera  
i want to touch on the benefit of  
response rate as  
a important endpoint and increasingly  
important endpoint in cancer drug  
development  
and it has everything to do with what i  
just said  
assurance that the effect that you see  
is due to the drug versus something else  
uh  
in cancer  
solid tumors metastatic cell tumors for  
the vast amount majority of disease  
states tumors do not shrink on their own  
tumors inexorably grow unless you  
intervene with something that's  
effective and so if you see uh a tumor  
shrink  
after the initiation of a therapy um in  
the absence of some other therapy that

was given

you have a higher certainty a high  
certainty i would say that the tumor  
reduction is due to treatment and so  
this allows the patient to be their own  
control and so this is why single arm

trials can be

used uh if you use response rate and  
thats so important because increasingly

in

cancer drug development were  
identifying smaller and smaller  
populations through biomarkers through

in vitro diagnostics

that its becoming increasingly  
challenging to to find enough patients  
to randomize thats one problem and then  
the other problem is a loss of equipoise

which ill talk later about um as to  
whether or not you can even sort of

ethically

conduct a trial

with the survival benefit

but with a survival endpoint

and maybe ill just give you a little  
history as to how this is happening so



back in the 90s uh in cancer  
therapeutics we had few therapies um  
really uh this is about the 0s and the  
0s  
tumor shrinkage or response rate was  
used frequently as an efficacy endpoint  
for approval  
for single agents and then uh in the 0s  
they started to put uh therapies  
together and were getting some  
incremental benefits of maybe 0 or 0  
percent of patients having some  
radiographic  
tumor responses but this was in a  
setting of  
increasing and increasing toxicity  
and not a great uh set of supportive  
care medications at that time either and  
so  
you know it was really thought after  
discussion that um ideally in these  
at this time at least  
the outcome should be a direct clinical  
benefit a randomized trial was survival  
which became kind of the  
common design in the 0s 90s and even

into the 000s

and this occurred until science began to advance and things really changed and i think this was a pivotal moment for what

were calling precision medicine or targeted therapies where the new england journal report of macnib and interferon

refractory cml was reported

that out of patients had a

complete hematologic response

and so that is a super high response

rate right a tumor reduction that is

just was unheard of and uh dr drewker

and his colleagues wrote that their

results demonstrated the potential for

the development of anticancer drugs

based on the specific molecular

abnormality present in human cancer and

that of course

heralded

well what has happened uh subsequently

over the last you know 0 years and you

know

what has happened as you see those sorts

of response rates in in the earlier

clinical trials has really forced that

change in the drug development paradigm  
in cancer so more of a seamless oncology  
design and it has challenged equipoise  
for large trials so  
when i talked about clinical equipoise  
what i meant is that when you have  
equipoise theres uncertainty  
in whether one arm is going to win or  
not you have enough uncertainty in that  
investigational drug that you feel that  
it is ethical uh to run that trial  
and so what early  
sign of clinical benefit uh allows you  
enough equipoise to run a trial you know  
back uh you know years ago when we were  
looking at solid platinum and cytotoxic  
chemotherapies you know 0 response rate  
uh where we may have given accelerated  
approval that was a decent enough amount  
of uncertainty to say yeah we need a  
randomized trial to confirm that that  
level of response rate is going to  
confer benefit  
but in the contemporary drug development  
paradigm with things like crozotinib for  
nonsmall cell lung cancer therapies

that are targeted at enriched population

with a target that this drug

specifically will address

you're seeing response rates that are 0

0 0

with durations that are longer

and side effect profiles that are often

better and so equipoise has been a

challenge

and this just continues uh these are

just at least non-small cell lung cancer

the many

uh im sorry this is across cancers

these are many targeted agents in

comparison with sort of chemotherapy

typically twice the response rate um

certainly you know

0 0 0 higher response rates for these

targeted agents

and in addition to the loss of equipoise

based on really large signals of early

clinical endpoints

were also seeing our populations

available to randomized trials shrinking

and shrinking so this is uh a great

slide by my former colleague kidan

blumenthal that showed you know  
nonsmall cell lung cancer 0 years ago  
was thought of as a single disease and  
as we understood the biologic  
underpinnings and genetic  
drivers of these cancers we were able to  
identify science was able to identify  
small subsets of this that were able to  
be targeted by  
drugs that address that specific  
mutation and now we have you know one  
percent of lung cancer and thats a lot  
smaller population to be able to  
randomize to a trial  
one may ask and it has been asked  
whether approving drugs based on  
tumorbased endpoints like  
progressionfree survival uh or response  
rate is really helping patients in the  
long run and a great study was put out  
in the womens journal last year uh by  
folks of the nih  
regarding um  
how nonsmall cell lung cancer  
survival to your survival had increased  
um

higher than the incidence of the disease  
has been decreasing suggesting that  
that therapeutic advances specifically  
in precision medicine over this time  
frame

really did drive uh an improvement in  
the whole disease as a as a whole  
you might say well maybe that is just  
because people are smoking less and  
theres less incidence of lung cancer

but

in fact when we looked at or they looked  
at small cell lung cancer

which is a

cancer that unfortunately has had very  
little drug development or drug approval  
in the same time frame they did not see

that

degree of improvement and survival

so im going to move on now to

how we are sort of moving more towards

incorporating patients and thinking

about patients and drug development

and improving trials

so this is a slide by my colleague

theresa mullen um that ive adapted

where you know the question is where and  
how how do you involve patients during  
drug development and so i think she  
suggests and i agree that you can  
incorporate patients all along the way  
from very early on before trials were  
even started to understand the disease  
natural history and whats important to  
patients and can you measure it uh  
so it may be if theres certain symptoms  
that are important to patients you would  
then need to identify a tool that you  
can measure it with in the clinical  
studies area there are two things we can  
address number one is how are we running  
trials right now and could we make them  
more patient friendly and the second is  
when we heard what matters from patients  
in the translational phase are there  
tools we can use in deploying clinical  
trials to  
assess complementary information on  
symptoms and tolerability and functional  
outcomes  
and then how can we incorporate this  
patient related data in our fda reviews

in the premarket review and then  
finally even in the postmarketing  
setting when the drug is out on the  
market um you know can we communicate  
this this patientcentric data out to  
further uh sort of inform the drugs  
risks and benefits and is there are  
there easier ways to generate even more  
data in the post marketing settings to  
understand the drug in in the  
generalized population  
most of our work actually has been up  
front and i think weve made some  
strides i just wanted to mention so when  
you talk to patients and we have in what  
we call patientfocused drug development  
meetings that are formal meetings at the  
fda but also just in my everyday work in  
in a lot of the uh sort of conferences  
that i attend i speak to a lot of  
patients i speak to a lot of advocates  
um and really what matters to patients  
in addition to controlling their tumor  
and  
living longer is they want to know how  
theyre going to feel and function



better  
before they take a therapy how did  
patients  
experience that  
treatment while they're taking it and it  
has a lot to do I think with what  
they're going to give up for for the  
benefit they're going to receive what's  
the quality  
of their progression-free survival it's  
it's been a long asked question and  
typically sort of drove the health way  
to quality of life field  
so there's a big interest in measuring  
symptoms and function better now  
why now I think  
one of the drivers is that there's so  
many more effective therapies that are  
approved and it'd be  
uh better to have information uh to make  
an informed choice where there's two  
choices to be had  
I think there's technological  
improvements that are making this easier  
whether that's electronic capture of  
surveys or patient voted outcomes on how

they're experiencing symptoms  
uh or whether it be more novel  
approaches to measuring function and  
activity like wearable devices fitbits  
apple watches etc  
we have work in both of those areas  
also i think clinical care is actually  
starting to use patient reported  
outcomes more  
to monitor patients and intervene and  
provide supportive care specifically  
symptom side effects sort of patient  
reported outcome measures that have been  
advanced by ethan bash at the university  
of north carolina and others  
and there are new pro instruments like  
the pro ctcae by the national cancer  
institute which are just more flexible  
libraries of specific patient reported  
symptom questionnaires that you can  
custom tailor to the to the drug that  
you're studying so there's a lot of  
things that have come out recently that  
have helped  
advance this field but when we're asked  
to use it the fda is asked to use

sort of quality of life types of  
measures  
we really thought carefully about what  
was it that was going to help inform our  
regulatory decisions because as i  
mentioned  
just because an end point or a measure  
at a trial is meaningful  
doesn't mean that it's a good endpoint  
from a measurement characteristic  
standpoint so it has to be important to  
patients  
but it also has to be sensitive  
to informing the intervention that were  
studying whether whether it's a drug or  
biologic and if we can find that  
it can inform our regulatory decisions  
so what we've done is created a core set  
of of symptom and functional outcomes  
that we feel um are a general starting  
point a core set that we think could  
inform our trials they include things  
like disease symptoms  
symptomatic expected symptomatic adverse  
events  
a global question about how bothered

patients are about their side effects  
a measure of physical function and then  
a measure of how patients are able to  
work or or enjoy their leisure  
activities so its a pretty  
narrow group of outcomes compared to  
larger health related quality of life  
instruments and their disease modules  
and what we hope to do  
is expand our palette of evidence when  
it comes in to the fda for safety  
and efficacy review so what we do well  
now weve been talking about in blue is  
our standard  
efficacy markers of survival  
progressionfree survival and overall  
response rate  
we have  
very good standardized safety data that  
are reported by clinicians  
common terminology criteria for adverse  
events ctcae safety data its very  
standardized  
we we know about dose modifications  
during a trial and we know something  
about hospitalizations and ed visits and

some of the sort of uh healthcare  
utilization so i think we can do better  
in yellow but i also think we can add  
that core outcome set of symptom and  
functional outcomes that are sensitive  
to the intervention for for a better  
totality of the evidence get a better  
sense of  
quote the quality of a patients uh  
treatment journey with respect to how  
theyre functioning how theyre being  
able to take care of themselves  
and what sorts of symptoms and their  
impacts theyre feeling  
in addition to measures like patient  
reported outcomes and improving symptom  
and functional uh measurement  
we can and should and are making trials  
uh more patientfriendly  
um we are certainly getting there we  
have done some things like looks to  
broaden eligibility criteria to allow  
patients with a broader set of  
comorbidities uh to enroll on trials and  
weve had multiple collaborations uh  
have been successful in that regard

were looking to  
improve trial access and reduce  
disparities and the ability to to get on  
to clinical trials and there are several  
ways we can do that that ill discuss  
some of the ways that we can get trials  
more out to patients is to improve  
digital health technology  
so we can remotely assess patients so  
that they dont have to travel so far to  
get to clinical sites  
and that is called decentralized trials  
so conducting trials closer to where  
patients live and finally you know what  
role does just standard clinical care  
and and learning healthcare systems play  
in our ability to deploy you know more  
practical pragmatic trials even  
prospective randomized trials all those  
things are being evaluated  
so lets talk a little bit about what  
were doing with evidence generation in  
the trial in real world settings so a  
decentralized trial is a clinical  
investigation where either some or all  
of the trial related procedures and data

acquisition take place at locations  
remote from the investigator so we are  
trying to move  
some of the aspects of the clinical  
trial out closer to where the patients  
live  
now  
there are lots of potential benefits to  
decentralize clinical trials  
a lot of it centers around the reduced  
burden on patients so many patients have  
to travel  
you know 0 00 several hundred miles  
if they live very remotely to the  
tertiary or quaternary health care  
centers that typically are clinical  
sites for  
trials  
when you reduce the burden on patients  
you can access more patients  
you can improve accrual because theres  
a larger catchment area which may make  
for faster trials and lower costs  
you may keep patients on trial and  
decrease attrition because its just  
easier to be

enrolled on that trial  
because of the remote assessments  
they are not as onerous to  
for the patient to go and have them  
assess going to the site so maybe you  
can have them more frequently  
and in fact maybe you can query them in  
longer term followup and get some more  
longterm followup data easier  
but i think one of the biggest benefits  
of  
gct possibly is that we can access a  
wider  
swath of patients and therefore  
hopefully obtain a more diverse and  
representative population in our  
clinical trials thats more reflective  
of how these drugs will be used once  
theyre  
approved if theyre teams safe and  
effective  
well look theres so many benefits to  
decentralized clinical trials why have  
we not done it what are the barriers  
there must be some barriers and i think  
probably one of the biggest barriers is



uncertainty and risk aversion  
in the clinical trial uh especially  
commercial clinical trial realm and its  
understandable these trials are very  
expensive  
um  
they have a lot of um of work and  
preceding science that are packed into  
these single trials  
and so  
understandably sponsors know that this  
is the way that drugs have been approved  
in the past and they're reticent to  
change things if it's been successful  
there's also things that are sort of  
outside of our control like  
jurisdictional state laws about  
telemedicine licensing which could  
hamper decentralized trials and then i  
think if you decentralize trials um to a  
large extent you may need to do some  
training uh and certainly supervise  
these remote healthcare providers or  
other personnel  
but i think really it is a lot about the  
uncertainty you know how will

remote conduct affect uh data in  
clinical trials so you know that's the  
big question  
you may have been seeing talks at  
various conferences about covid 9 and  
what it has done to uh  
existing clinical trials that have that  
were ongoing and i think you know its  
been termed the grand experiments and i  
think this is this is accurate it  
certainly is the silver lining to an  
otherwise terrible public health crisis  
because what it has done is is forced  
industry regulators academics  
uh and even patients outside of their  
comfort zone  
to deploy rapidly these remote  
assessments that we've been talking  
about that  
are key to decentralized clinical trials  
because the risk of traveling and going  
to a site where there may be a high  
coverage rate because they were being  
hospitalized  
that risk outweighed the risk of  
changing what had been done uh before

and so remote clinic visits through  
telemedicine remote labs remote imaging  
remote administration of investigational  
product and site monitoring were  
deployed

or at least permitted across a wide  
range of trials during covid so what can  
we learn

and what were going to be doing is uh  
is looking at that data as it comes into  
the fda and we hope that we'll learn uh  
how to continue to deploy some of these

remote assessments postcoded  
and and deploy them in a way that  
maintains patient safety and maintains  
trial integrity

another thing that has been looked at a

lot is what's called real world data  
what is real world data real world data  
is data relating to patients health  
status or the delivery of health care  
that's just routinely collected from a  
variety of sources that are not clinical  
trial sources so this is electronic  
health records its claims data its  
disease registries

possibly patient generated data from  
from apps and iphones and things like  
that  
and mobile devices  
so theres been a great  
deal of interest in this data and how  
can we use it to inform regulatory  
decision making  
but i would you know suggest and i think  
this has been made clear by the fda that  
real world data  
is not real world evidence and so work  
needs to be done to assure that we  
understand enough about real world data  
to allow it to be considered evidence  
that will help us understand the risks  
and benefits uh or other regulatory  
questions for medical products  
some of the ways that real world data  
could be used and actually you know are  
are being used now are in the sort of  
low risk areas where you really dont  
necessarily need you know a significant  
understanding of the attribution of the  
outcome to the drug which is something  
ill talk about and that is you know um

sort of understanding just the outcomes

in general

of certain populations uh to

characterize

you know what they look like in the real

world

um obviously you can get important use

data what kinds of drugs are used and

what kinds of ways

and that can be very important even to

monitor for things like drug shortages

we can certainly look at safety in the

real world and we have done that through

our office of surveillance and

epidemiology for several years now

but i think whats maybe the more bigger

challenges is people are interested in

using real world data to generate

efficacy data to generate data on

benefit outcomes

that are related to the drug itself so

that is where we need to focus most of

our research

i think you know to sort of close what

were seeing at least with respect to

source data uh and making trials more

more efficient and data acquisition more  
efficient  
is  
at least some shift  
from  
traditional randomized controlled  
clinical trials  
which which really are protocol driven  
health care at uh at trial sites  
that have you know their prospective  
trials are randomized they have a  
standard assessment frequency of both  
for both  
safety and efficacy they're very highly  
monitored to keep data quality high  
but they suffer  
from a narrow population and a challenge  
with generalizability  
but we feel pretty comfortable and  
confident  
that the outcome in these traditional  
randomized clinical trials uh is due to  
the drug versus confounding influences  
the whole point is to reduce the effect  
of compounding influences  
and you know as we move down more

towards routine healthcare moving trials  
out more towards routine healthcare we  
we can decentralize at least some  
aspects of the randomized control trial  
which is sort of the next step which we  
talked about it can still be a  
prospective randomized trial we can  
still have a standard assessment  
frequency but we can do it remotely we  
can still monitor the trial for for a  
data integrity um and i think maybe the  
population will be broader as a benefit  
even further along to routine healthcare  
we could actually deploy a randomized  
prospective clinical trial  
in sort of more of a routine healthcare  
situation where there are very little to  
no standard assessments and patients are  
just being treated and sort of followed  
along as we normally would  
clearly less monitoring clearly a  
broader population but you know you lose  
some control and and confounding  
influences could could be an issue  
obviously this may also require that  
these are already approved drugs or

there may be other some other  
considerations

finally what we just talked about is  
true real world data which is really  
about just

looking at information that's just been  
sort of routine routinely collected  
from a health care system this is all  
routine healthcare data

currently it's mostly retrospective it's  
almost always not randomized

there is certainly no standard  
assessments it's not monitored there's a  
decent amount of missing data  
it's certainly the broadest population  
has its big benefit

but

when you're looking at things like time  
to event endpoints like we mentioned  
survival

the major limitation of real world data  
at this time

is that it lacks randomization and we  
have a very hard time interpreting any  
kind of survival difference between a  
real world cohort and a trial cohort



based on  
our  
high uncertainty that that those  
populations are balanced for known and  
unknown prognostic factors  
so i think  
uh moving forward what were very  
interested in is identifying  
opportunities in the real world  
to create some kind of  
real world response rate some kind of  
understanding that's that's uh you know  
entered into the system that the patient  
received the drug  
their tumor  
was you know  
was reduced  
by by you know significant amount and  
the clinician felt like it was a  
response  
that would be helpful because as i said  
if we were going to use singlearm cohorts  
the one endpoint that we feel  
comfortable with with respect to  
assuring that the outcome is due to the  
drug

is tumor shrinkage and that's just not  
an endpoint we have right now in the  
real world

so in conclusion

uh both regular and accelerated approval

uh require substantial evidence of  
efficacy uh in the setting of acceptable  
safety and whichever you use has to do  
with the context and the endpoint that  
you use

i mentioned that no efficacy endpoint is  
perfect

um we take lots of things into  
consideration when we look at efficacy  
uh that includes the meaningfulness of  
the outcome the measurement  
characteristics of the outcome how large  
of an effect uh the safety and also the  
disease context in available therapies  
i want to hit home that randomization is  
so critical

uh not just an oncology but in any  
therapeutic area where your outcome is  
the time it takes from randomization for  
something to happen when you have a time  
to event endpoint that's driven by

progression of a disease

like survival and cancer

we need to have confidence that the

effect is due to the intervention and

currently the best way to do that

is randomization to assure that arms are

balanced

i would say theres significant momentum

to make trials in general more

patientcentric thats both by assessing

and measuring more sort of patient uh

experienced data

such as symptom and functional outcomes

but also the trials themselves need to

be more patient friendly

expanding eligibility somewhere patients

can participate moving trials more out

to our patients live so that they can be

less burdened by the trial itself and

finally i think there is emerging

opportunity for for more efficient

evidence generation um i like the idea

of prospective randomized trials that

are conducted uh you know in a more sort

of decentralized or even more practical

pragmatic

way

i think real world data true real world

data which is not prospective and not

randomized

you know is currently limited when we

look at efficacy

with that i will end i really appreciate

the opportunity to to speak with you

about topics that i i really enjoy

i want to acknowledge my colleagues and

friends

at the fda across centers

in the oncology center of excellence

particularly dr pastners theory tammy

kim guidon blumenthal michelle botner

and donna rivera

who all contributed

to input in many of these topics