# Natural Language Processing: Syllabus

## Alan W. Black & David R. Mortensen Carnegie Mellon University

#### Fall 2020

Instructors: Prof. Alan W Black (awb@cs.cmu.edu) and David R. Mortensen (dmortens@cs.

cmu.edu)

Teaching assistants: TBA

Lecture time: Tuesdays & Thursdays, 3:00–4:20

Location: TBA

Web page: http://demo.ark.cs.cmu.edu/NLP/

Faculty office hours: By appointment (Black);

By appointment (Mortensen)

TA Office hours: TBA

### 1 Summary

This course is about a variety of ways to represent human languages (like English and Chinese) as computational systems, and how to exploit those representations to write programs that do useful things with text and speech data, like translation, summarization, extracting information, question answering, speech recognition and synthesis, and conversational agents.

This field is called Natural Language Processing, and it is extremely multidisciplinary. This course will therefore include some ideas central to Machine Learning (discrete classification, probability models) and to Linguistics (morphology, syntax, semantics).

We'll cover computational treatments of words, sounds, sentences, meanings, and conversations. We'll see how probabilities and real-world text data can help. We'll see how different levels interact in state-of-the-art approaches to applications like translation and information extraction.

From a software engineering perspective, there will be an emphasis on rapid prototyping, a useful skill in many other areas of Computer Science. In particular, we will introduce some high-level formalisms (e.g., regular expressions) and tools (e.g., Python) that can greatly simplify prototype implementation.

## 2 Target

The course is designed for SCS undergraduate students, and also for students in graduate programs (especially professional Master programs) who have an interest in natural language, or linguistics students who know how to program. Informal prerequisites:

- 1. Strong programming skills in Python (15-112 or equivalent)
- 2. Knowledge of data structures (15-121 or equivalent)
- 3. Knowledge of algorithms (15-122 or equivalent)
- 4. A basic knowledge of linear algebra
- 5. A basic knowledge of probability theory

#### 3 Evaluation

Students will be evaluated in five ways:

Exams (30%) two midterm exams, (each 15%)

Project (30%) a semester-long 4-person team project (see below).

Homework assignments (25%) 6 assignments, mostly small programming assignments, given roughly every week for the first portion of the semester.

Quizzes (15%) 10 Canvas quizzes given at the end of most weeks.

The lowest 2 homework grades and the lowest 3 quiz grades will be dropped. This policy is provided in lieu of a late-days or grace-days policy.

Late Policy No work will be accepted late except in cases of (documented) serious illness or family tragedy. The "drop" policy is in place in lieu of grace periods.

Academic Honesty Exams and quizzes are to be completed individually. Verbal collaboration on homework assignments is acceptable, but (a) you must not share any code or other written material, (b) everything you turn in must be your own work, and (c) you must note the names of anyone you collaborated with on each problem (the only exceptions are the instructors and TAs), and the nature of the collaboration (e.g., "X helped me," "I helped X," "X and I worked it out together."). This message should be sent as a private Piazza post to the instructors. If you find material in published literature (e.g., on the Web) that is helpful in solving a problem, you must cite it and explain the answer in your own words. The project is to be completed by a team; you are not permitted to discuss any aspect of your project with anyone other than your team members, the instructor, and the TAs. You are encouraged to use existing NLP components in your project; you must acknowledge these appropriately in the documentation.

You may not collaborate on exams or quizzes in any form, nor use any materials not explicitly authorized (whitelisted) by the instructors.

Suspected violations of these rules will be handled in accordance with the CMU guidelines on collaboration and cheating (http://www.cmu.edu/policies/documents/Cheating.html). They will also receive failing grades in the course.

Grading Scale Grades will be assigned according to the following general scale:

Letter Grade	Range		
A+	100%	to $90.0\%$	
A	< 90.0 %	to $85.0\%$	
A-	< 85.0 %	to $80.0\%$	
B+	< 80.0 %	to $75.0\%$	
В	< 75.0 %	to $70.0\%$	
В-	< 70.0 %	to $65.0\%$	
C+	< 65.0 %	to $60.0\%$	
$\mathbf{C}$	< 60.0 %	to $55.0\%$	
C-	< 55.0 %	to $50.0\%$	
D+	< 50.0 %	to $45.0\%$	
D	< 45.0 %	to $40.0\%$	
D-	< 40.0 %	to $35.0\%$	
F	< 35.0 %	to $0\%$	

Undergraduate students may not receive + or - grades, so a student who earns an A+ or A- will receive an A. Grades indicated in Canvas, after all cells contain values, are binding.

## 4 Project

A major component will be a 4-person team project. The project involves two parts:

- a questioning program (ask) whose input is a web page P and whose output is a set of questions about the content in P that a human could answer if she read P, and
- a **answering** program (answer) whose input is a web page P and a question Q about P and whose output is an intelligent answer A.

Projects will be pitted against each other in a competition at the end of the course. Here's how the competition works:

- 1. Questions will be generated automatically by the questioning systems developed by the teams.
- 2. This questions will be evaluated for correctness and fluency by member of the class in a blind setup.
- 3. A subset of the best questions will be selected programmatically by the TAs and used as test cases for the answering systems.
- 4. These questions will be passed to the answering systems.
- 5. The answers will be manually evaluated by members of the class in a blind setup for correctness, fluency, and conciseness.

The project components are as follows:

Deadline	What's Graded	Value
Feb 1 Mar 3	Initial plan Progress report	2 points 3 points
Mar 14–18	Instructor meetings	3 points
Apr 12 Apr 21	Dry run system Final working system	2 points 6 points
Apr 28	Final project report	14 points

## 5 Textbook

The textbook for the course will be the second edition of Speech and Language Processing: An Introduction to Natural Language Processing, Computational Linguistics, and Speech Recognition, by Daniel Jurafsky and James H. Martin. The course will cover roughly sections I, III, IV, and parts of V. This will be supplemented by draft chapters of SLP3, available at https://web.stanford.edu/~jurafsky/slp3/. Actually, you can probably get by with just SLP3.

# 6 Schedule

	Topic	Lec.	Reading	Due
Jan 18 Jan 20	Introduction and course overview Information extraction, information retrieval, and question answering	AWB DRM	SLP2 1 SLP2 22.0-2, 23.0- 2; SLP3 17	Team formation
Jan 25 Jan 27	Project overview Morphology and finite state transducers	DRM DRM		HW1
Feb 01	Statistical language models	AWB	SLP3 3	Initial plan
Feb 03 Feb 08 Feb 10	Noisy channel models Introduction to Deep Learning Neural language models	AWB AWB DRM	SLP3 B SLP2 7.1–3, 7.6 SLP3 7.5, 7.7, 11	HW2
Feb 15 Feb 17	Part-of-speech tagging Hidden Markov Models and sequence tag- ging	AWB AWB	SLP2 5.0–3 SLP3 A, 9	HW3
Feb 22 Feb 24	Classification 1: statistical classifiers Classification 2: neural classifiers	DRM DRM	SLP3 4–5 SLP3 7.4	
Mar 01	Midterm Exam 1			
Mar 03	Syntax	DRM	SLP3 12	Progress report
Mar 14–18				Instructor meetings
Mar 15 Mar 17	Context free parsing Treebanks, probabalistic context free grammars, and dependency parsing	AWB DRM	SLP3 13 SLP3 14	HW4
Mar 22	Lexical semantics	DRM	SLP2 17.0-2, 19.0-3	
Mar 24	Distributional semantics and embeddings	AWB	SLP3 11	HW5
Mar 29 Mar 31	Contextualized representations Verb and sentence semantics	DRM DRM	SLP3 11 SLP3 15, 19.0–7	HW6
$\mathrm{Apr}\ 05$	Compositional semantics and semantic parsing	AWB	SLP2 18.1–3	
Apr 12	Discourse and entity linking	DRM	SLP3 22	Dry run system
Apr 14 Apr 19 Apr 21	Human-in-the-loop NLP Speech 1 Speech 2	DK AWB JTS	SLP3 25 SLP3 26	Final working system
Apr 26	Machine translation	GST		SLP3 10
Apr 28	Midterm Exam 2			Final project report

# 7 Technology Requirements

The following technologies are used in this course:

- Zoom (https://zoom.us/), for conducting office hours
- Youtube (https://www.youtube.com, for distributing recorded lectures
- Piazza (https://www.piazza.com), for disseminating course information and answering student questions
- Gradescope (https://www.gradescope.com), for grading student homework and administering exams
- Canvas (https://canvas.cmu.edu), for providing access to the gradebook, handing in group work, and collecting private links

We recognize that the large number of technologies used in this course may be confusing to some students (for example, they might not know what gets handed in via Canvas versus Gradescope). Problematically, though, none of these tools offers all of the functionality the we need for the class as a whole. This combination has worked well in the past.

#### 8 Students with Disabilities

If you have a disability and have an accommodations letter from the Disability Resources office, we encourage you to discuss your accommodations and needs with us as early in the semester as possible. We will work with you to ensure that accommodations are provided as appropriate. If you suspect that you may have a disability and would benefit from accommodations but are not yet registered with the Office of Disability Resources, I encourage you to contact them at access@andrew.cmu.edu.

#### 9 Student Wellness

We are all going through a very difficult year. The once-novel coronavirus has disrupted much of our lives and social distancing has left many of us feeling isolated and alone.

Take care of yourself. Do your best to maintain a healthy lifestyle this semester by eating well, exercising, avoiding drugs and alcohol, getting enough sleep and taking some time to relax. This will help you achieve your goals and cope with stress.

All of us benefit from support during times of struggle. There are many helpful resources available on campus and an important part of the college experience is learning how to ask for help. Asking for support sooner rather than later is almost always helpful.

If you or anyone you know experiences any academic stress, difficult life events, or feelings like anxiety or depression, we strongly encourage you to seek support. Counseling and Psychological Services (CaPS) is here to help: call 412-268-2922 and visit their website at http://www.cmu.edu/counseling/. Consider reaching out to a friend, faculty or family member you trust for help getting connected to the support that can help.

# 10 Statement on Diversity

We must treat every individual with respect. We are diverse in many ways, and this diversity is fundamental to building and maintaining an equitable and inclusive campus community. Diversity can refer to multiple ways that we identify ourselves, including but not limited to race, color, caste, national origin, language, sex, disability, neurotypicality, age, sexual orientation, gender identity, religion, creed, ancestry, belief, veteran status, or genetic information. Each of these diverse identities shape the perspectives our students, faculty, and staff bring to our campus. We at CMU will work to promote diversity, equity and inclusion not only because diversity fuels excellence and innovation, but because we want to pursue justice. We acknowledge our imperfections while we also fully commit to the work, inside and outside of our classrooms, of building and sustaining a campus community that increasingly embraces these core values.

Each of us is responsible for creating a safer, more inclusive environment. Unfortunately incidents of bias or discrimination do occur, whether intentional or unintentional. They contribute to creating an unwelcoming environment for individuals and groups at the university. Therefore, the university encourages anyone who experiences or observes unfair or hostile treatment on the basis of identity to speak out for justice and support, within the moment of the incident or after the incident has passed. Anyone can share these experiences using the following resources:

- Center for Student Diversity and Inclusion: csdi@andrew.cmu.edu,
- (412) 268-2150 Report-It online anonymous reporting platform: www.reportit.net username: tartans password: plaid

All reports will be documented and deliberated to determine if there should be any following actions. Regardless of incident type, the university will use all shared experiences to transform our campus climate to be more equitable and just.