Volatile Organic Compound Detection Using Insect Odorant-Receptor Functionalised Field-Effect Transistors

by

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Acknowledgements

Thanks for all the fish.

Abstract

This is a thesis skeleton written with quarto. Make a copy of this thesis repo and start to write!

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C.1. Fedinical Notes	

1. Introduction

This is a book created from markdown and executable code. See for additional discussion of literate programming.

[1] 2

2. Carbon Nanotube and Graphene Field-Effect Transistors

- 2.1. Device Functionalisation
- 2.2. Insect Odorant Receptors

3. Carbon Nanotube and Graphene Field-Effect Transistors as Biosensor Platforms

4. Fabrication of Carbon Nanotube Network and Graphene Field-Effect Transistors

This chapter discusses the fabrication processes for both the carbon nanotube network and graphene transistors. Experimental optimisation of the transducer element is critical for biosensor work, and large numbers of transducers were required for testing various biosensor functionalisation processes. Therefore, these processes were developed to rapidly fabricate devices with reproducible device characteristics appropriate for biosensing work. Also outlined in this chapter are the characterisation techniques taken to test the quality and reproducibility of these fabrication processes.

The nitrogen ($\geq 99.99\%$) and oxygen (99.7%) used in fabrication work was supplied by BOC Limited New Zealand. Deionised (DI) water was taken from a Synergy® UV Water Purification System. The DI water had a measured conductivity of (1.4 ± 0.1) μ S cm⁻¹, compared to tap water with a measured conductivity of (7.8 ± 0.2) μ S cm⁻¹.

4.1. Deposition of Carbon Nanotubes

4-inch p-type (B-doped) silicon wafers with either a 100 nm or 300 nm SiO₂ layer (Wafer-Pro LLC) were used as the substrate for carbon nanotube network deposition. A 100 nm SiO₂ layer was the preferred option for the devices intended for backgated measurements. Before deposition of carbon nanotubes, the wafers were spin-coated with AZ® 1518 photoresist, placed photoresist-side down onto a cleanroom wipe, fixed in place using vacuum suction, then cleaved into quarters using a diamond-tipped scribe tool. For fabrication performed before June 2023, the protective photoresist layer was then removed by soaking the quarter-wafers in acetone for 15 minutes, then rinsed with isopropyl alcohol (IPA) and dried with N₂ gas. However, for complete removal of photoresist, we found it was necessary to flood expose the device with the Karl Suss Aligner for 1 min and then place it in AZ326 developer for 3 min, as discussed further in Section 4.3.

Carbon nanotubes were deposited before alignment markers photolithography on all wafers fabricated between Aug 2021-Feb 2023, while devices fabricated before Aug 2021 and after Feb 2023 had alignment markers photolithography performed before the deposition of carbon nanotubes. The process order was first switched in Aug 2021 as this

order led to faster processing times. However, the order was switched back in Feb 2023 to minimise the exposure of carbon nanotubes to photolithographic chemical processes.

4.1.1. Solvent-Based

The solvent-based deposition process for the carbon nanotube network in the second fabrication protocol is as follows. 10 mg of 2-mercaptopyridine (99%, Sigma-Aldrich) was dissolved in 1 ml ethanol by sonication until clear. Quarter wafers were sonicated in acetone for 3 min, then exposed to ${\rm O_2}$ plasma at 100 W for at least 2 min in a small plasma cleaner (Plasma Etch, Inc, PE-50 Compact Benchtop Plasma Cleaning System) or reactive ion etcher (Oxford Instruments, Plasmalab 80 Plus) under 300 mTorr pressure. The cleaned SiO₂/Si surface was then coated with 2-mercaptopyridine for 10 minutes, rinsed with ethanol to remove residual 2-mercaptopyridine, and then nitrogen dried. Meanwhile, 5 μ g of 99% semiconducting carbon nanotube bucky paper (NanoIntegris, IsoNanotubes S-99) was dispersed in 10 mL of anhydrous 1,2-dichlorobenzene (Sigma Aldrich) by ultrasonication until no particles were visible to the naked eye. During this time, the ultrasonic bath temperature was kept between 20 - 30 °C or the buckypaper would not disperse successfully. The substrates were then placed into a dish with CNT-DCB suspension and left covered for 1 hour, dipped into ethanol for 10 min to remove residual solvent and any unattached carbon nanotube bundles, and then dried with nitrogen.

4.1.2. Surfactant-Based

Two different approaches were used to attach the surfactant-dispersed CNTs. In both approaches, the quarter wafers were rinsed with ultrapure deionised water (DI water), acetone and IPA before being placed into a reactive ion etcher (Oxford Instruments, Plasmalab 80 Plus) and exposed to $\rm O_2$ plasma at 100 W for at least 2 min in a small plasma cleaner (Plasma Etch, Inc, PE-50 Compact Benchtop Plasma Cleaning System) or reactive ion etcher (Oxford Instruments, Plasmalab 80 Plus) under 300 mTorr pressure to make the surface hydrophilic. 1 mL of poly-L-lysine (PLL) was immediately deposited onto each quarter wafer and left for 5 minutes. Then, the quarter wafers were rinsed for 30 s with DI water and dried with $\rm N_2$ gas. This process allows for the surface adhesion of semiconducting single carbon nanotubes suspended in surfactant.

Simple Dropcasting

2 mL of IsoNanotubes-S 90% or 99% solution (NanoIntegris) was decanted into a small bottle and sonicated for 5 s to break up bundles of CNTs. An even spread of 400 μ L CNT solution was placed in the centre of the PLL-functionalised quarter wafer, covered and left for 10 minutes. The CNT solution was then rinsed off with DI water and IPA, and then the quarter wafer was dried with N₂ gas. Next, the quarter wafer was annealed in a

vacuum oven at 150° C for 1 hour. This method would often lead to an inhomogeneous spread of CNTs across the quarter wafer surface, detailed further in section Section 4.4.

Steam-Assisted Method

2 mL of IsoNanotubes-S 90% or 99% solution (NanoIntegris) was decanted into a small bottle and burst-sonicated once (on then off again) to break up bundles of CNTs. 75 mL of 95° C water was then placed into a glass dish on a hotplate held at 95° C. After this, the PLL-functionalised quarter wafer was placed in the centre of an insulating surface on the same hotplate. The CNT dispersion was carefully spread across the surface of the wafer without spilling any over the wafer edges. The wafer on the insulating surface and glass dish were then left under the same cover for 2 minutes to expose the wafer to steam from the glass dish. The use of an insulating surface meant that the wafer and CNT dispersion were not heated from below while exposed to steam. The CNT dispersion was then rinsed off the wafer with DI water, ethanol, acetone and IPA, and then the quarter wafer was dried with N_2 gas. As in the original method, the quarter wafer was then annealed in a vacuum oven at 150° C for 1 hour. This method gave an even spread of CNTs across the quarter wafer surface, leading to a greater consistency in performance between devices. Further details can be found in section Section 4.4.

4.2. Photolithography for Carbon Nanotube and Graphene Field-Effect Transistors

Photolithography was used to define eight channel regions on each device and subsequently to define metal contacts for each of these channels. Each channel region was $1000~\mu\mathrm{m}$ in length and $100~\mu\mathrm{m}$ in width. A schematic demonstrating these photolithography processes on a quarter wafer is shown in Figure 4.1.

Carbon nanotube devices were fabricated using the quarter wafer substrates discussed in Section 4.1.

Graphene devices were fabricated using 300 nm $\rm SiO_2/p$ -type Si substrates covered with a monolayer of mechanically transferred CVD graphene (Advanced Chemical Supplier). This substrate was cleaved into equal-sized square chips before photolithography, with side length between 11.6 - 11.7 mm subject to variability in wafer size. The same cleaving process outlined in Section 4.1 was used for cleaving the chips, but the photoresist was not rinsed off after cleaving. Devices were exposed to a brief burst of $\rm N_2$ gas to remove any dust from the cleaving process from the surface of devices. When not being used in photolithography, devices were stored in a vacuum desiccator to prevent the quality of the graphene deteriorating with exposure to air over time.

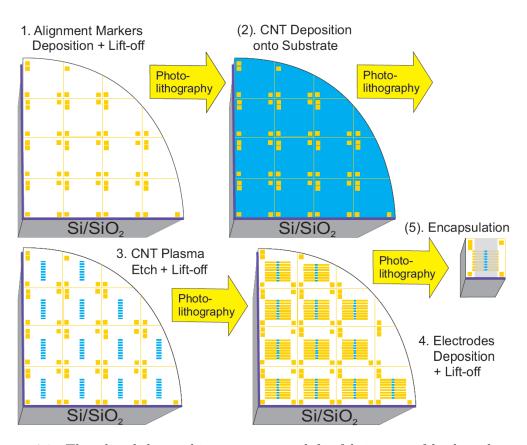


Figure 4.1.: The photolithographic processes used for fabrication of both carbon nanotube and graphene devices (graphene devices were fabricated individually for every step, step #2 skipped for graphene devices)

4.2.1. Alignment Markers

For carbon nanotube quarter wafers, markers were deposited either directly before or after carbon nanotube deposition (see Section 4.1 for discussion). For graphene devices, alignment markers were deposited directly after cleaving without

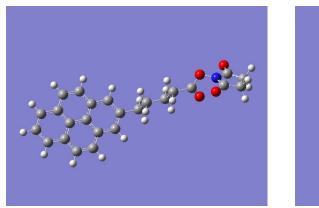
4.2.2. Channel Etching

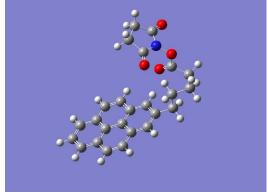
- 4.3. Characterisation via Fluorescence Microscopy
- 4.4. Characterisation via Atomic Force Microscopy

5. Functionalisation of Carbon Nanotubes and Graphene with Odorant Receptors

5.1. Linker molecules

5.1.1. 1-Pyrenebutanoic acid N-hydroxysuccinimide ester (PBASE)





- (a) Hartree-Fock energy: -3427728.67 kJ/mol (9 s.f.)
- (b) Hartree-Fock energy: -3427729.66 kJ/mol (9 s.f.)

Figure 5.1.: Two conformations of PBASE molecule with geometry optimised via *ab initio* calculation (computed using Gaussian 16 [1]). The difference between computed Hartree-Fock energies is 1.0 kJ/mol, small enough that the existence of both molecular conformations is physically possible.

1-Pyrenebutanoic acid N-hydroxysuccinimide ester (variously known commercially and in the literature as 1-Pyrenebutyric acid N-hydroxysuccinimide ester, PBASE, PBSE, PASE, Pyr-NHS, PyBASE, PANHS) is a aromatic, bifunctional molecule commonly used for tethering biomolecules to the carbon rings of graphene and carbon nanotubes. The optimised molecular structure of PBASE is shown in Figure 5.1.

The non-covalent functionalisation of proteins onto a single-walled carbon nanotube using PBASE was first reported by Chen *et al.* in 2001 [2]. Two methods for protein functionalisation and immobilisation were successfully used, with the only differences being the solvent used to dissolve the PBASE powder (DMF, methanol) and the final

5. Functionalisation of Carbon Nanotubes and Graphene with Odorant Receptors

concentration of the resulting solutions (6 mM, 1 mM respectively). The lower concentration may have been used for PBASE in methanol as PBASE powder appears to dissolve poorly in methanol at higher concentrations. Cella et al., Campos et al., Zheng et al. and Ohno et al. all directly cite Chen et al. when discussing functionalisation with PBASE [3]–[6]. Other groups using PBASE for graphene or carbon nanotube functionalisation do not explicitly reference Chen et al. in their methodology, but it is apparent they often draw on one of these two original methods. This common ancestry becomes apparent from the high frequency of methods detailing the use of 6 mM PBASE in DMF and 1 mM PBASE in methanol, as seen in Table 5.1.

However, despite this shared heritage, it is also apparent from Table 5.1 that there is a large degree of variation in the methods used for PBASE functionalisation. Various electrical characterisation, microscopy and spectroscopy techniques have been used to demonstrate successful functionalisation. However, there has historically been little justification provided for the exact parameters used in the procedure. As noted by Zhen et al. and Hinnemo et al., there is more generally a lack of systematic research into formation of pyrene-derivative monolayers on graphene and other carbon nanomaterials, despite the wide use of this chemistry in the literature [7], [8].

We purchased PBASE from two suppliers, Sigma-Aldrich and Setareh Biotech. Sigma recommends DMF and methanol as suitable solvents for dissolving PBASE alongside chloroform and DMSO. Setareh Biotech indicates methanol can be used for dissolving PBASE. The two suppliers have conflicting information for suitable storage of PBASE, with Sigma recommending room temperature storage while Setareh Biotech recommends storage of -5 to -30° C and protection from light and moisture. Figure 5.2 compares the shapes of NMR spectra of PBASE from each supplier dissolved in DMSO, alongside a blank DMSO spectrum.

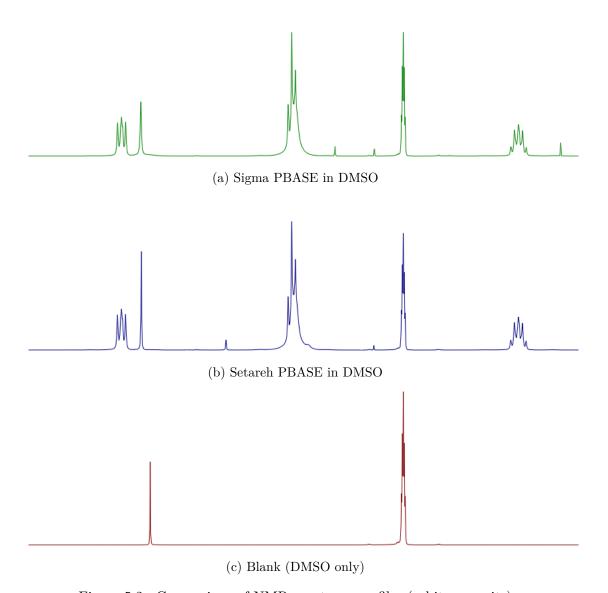


Figure 5.2.: Comparison of NMR spectrum profiles (arbitrary units)

5. Functionalisation of Carbon Nanotubes and Graphene with Odorant Receptors

Table 5.1.: Comparison of PBASE functionalisation processes used for immobilisation of proteins and aptamers onto liquid-gated CNTFET and graphene FET sensors

Solvent	Channel	Conc. (mM)	Incubation type	Time (hr)	Rinse steps	References
DMF	CNTs	5	Immersed	1	PBS	Maehashi et al. [9]
		6	Immersed	1	DMF, PBS	García-Aljaro et al. [10]
		6	Immersed	1	DMF	Chen et al. [2]
		6	Immersed	1	$_{\mathrm{DMF}}$	Cella et al. [3]
		6	Immersed	1	DMF	Das <i>et al.</i> [11]
	Graphene	-	-	2	DMF	Kwong Hong Tsang et al. [12]
		-	-	20	-	Wiedman et al. [13]
		0.2	Immersed	20	DMF, IPA, DI water	Gao <i>et al.</i> [14]
		1	$100~\mu\mathrm{L}$ droplet	6	DMF, IPA, DI water	Nekrasov et al. [15]
		5	Immersed	1	DMF, DI water	Hwang $et \ al. \ [16]$
		6	$6 \mu L droplet$	2	DMF, DI water	Nur Nasufiya et al. [17]
		10	$10~\mu L$ droplet	2	DMF, DI water	Campos $et \ al. \ [4]$
		10	Immersed	2	DMF, PBS	Kuscu et al. [18]
		10	Immersed	1	DMF	Xu et al. [19]
		10	Immersed	12	DMF, ethanol, DI water	Khan $et \ al. \ [20]$
2-Methoxyethanol	Graphene	1	Immersed	1	DI water	Ono <i>et al.</i> [21]
Methanol	CNTs	1	Immersed	1	Methanol, DI water	Zheng et al. [5]
		1	Immersed	2	Methanol	Kim $et al.$ [22]
	Graphene	5	Immersed	2	-	Sethi et al. [23]
		5	Immersed	1	Methanol, PBS	Ohno et al. [6]
DMSO	CNTs	10	-	1	DI water	Lopez et al. [24]
		10	Immersed	1	PBS	Strack et al. [25]

6. Results

What I found out.

See for more detailed results

7. Vapour Phase Sensing with Transistor Biosensors

7.1. Testing Vapour Delivery System

7.1.1. System Description

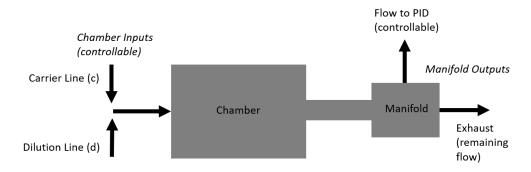


Figure 7.1.: Vapour Delivery System - Schematic of device chamber and manifold

7.1.2. Temperature and Humidity Indicator

7.1.3. Photoionisation Detector

Bubbling Vapour

First year report: ""First, a 200 sccm flow of N2 gas was sent through the dilution line to the device chamber until 1000 s. Then, the flow controller three-way valves were manually adjusted so that the same 200 sccm flow was directed through 50 mL of EtOH analyte in the carrier line. This continued until 2200 s, where the valves were again manually adjusted so that 200 sccm clean N2 again flowed through the device chamber. The resulting current across the device channel was monitored over this time, and is shown in Figure 19. A response to EtOH exposure and removal is visible.""

8. Summary

In summary, this book has no content whatsoever.

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A. Photolithography

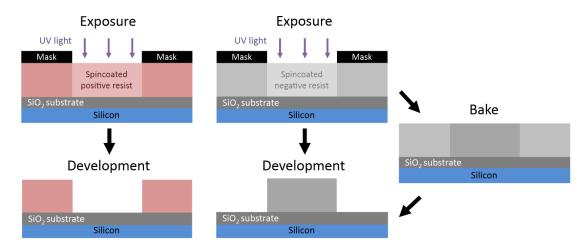


Figure A.1.: A side-view comparison of generic photolithography processes for positive and negative resists in the ideal case. Photolithography with a positive resist requires a single softbake step before exposure, while for negative resists a second baking step is required after exposure (Thicknesses shown not to scale).

This section details some of the standard photolithography procedures used in the device fabrication processes detailed in Chapter 4. Photoresists, also referred to here as "resists", are UV light-sensitive polymeric resins used for photolithography. Both positive and negative photoresists were used in various fabrication processes. Positive resists are made soluble in alkalines by UV light exposure, meaning exposed areas are removed in the development process. Conversely, negative resists are cross-linked by exposure and a post-exposure bake step. The unexposed areas of the negative resist are then removed in the development process [26]. Figure A.1 gives a visual representation of these differences.

The specific photoresist selected for photolithography depends on the specific use case. The types used in this thesis are positive and negative AZ® photoresists (AZ® 1518, Microchemicals GmbH; AZ® nLOF 2020, Microchemicals GmbH) and SU-8 (SU8-2150, Kayaku Advanced Materials, formerly Microchem). The AZ® resists used here have a minimum film thickness of 1.5 μ m [26], while the SU8-2150 has a minimum film thickness of 0.5 μ m [27]. Positive resists which have not been thermally crosslinked will soften at higher temperatures ($\gtrsim 100^{\circ}$ C for AZ® 1518), leading to a rounded profile. This is not

A. Photolithography



- (a) Overcut profile of a positive resist
- (b) Undercut profile of a negative resist

Figure A.2.: Two different resist profiles seen for different types of photoresist. The undercut profile is ideal for thin-film metal deposition and subsequent patterned removal, known as "lift-off".

the case for negative resists, which are more thermally stable [26]. Each resist therefore has a different cross-section profile, as shown in Figure A.2.

The negative resist profile is more suited to metal or metal oxide deposition and lift-off processes [26], though the process is more sensitive to error due to the extrarequiring more processing steps than positive resist. Finally, when it is suitably processed SU-8 is considered to be more biocompatible than other photoresists. It is especially biocompatible when chemically modified via processes such as isopropanol sonication and \mathcal{O}_2 plasma treatment [28].

All photolithographic exposure was performed on a Karl Suss MJB3 Contact Aligner with a USHIO super-high pressure 350 W mercury lamp (USH-350DS, Japan). When performing lithography, the intensity reading from the aligner was 20.8 - 24.2 mW/cm² (Note however that an external photometer reading at 400 nm found an intensity output of 17.2 mW/cm² when the aligner read 21.0 mW/cm²).

In general, photolithography procedures should be performed under yellow lighting, as light wavelengths from 320-450 nm can promote photo reactions in the photoresist used. Aging of photoresist over time can also significantly affect the photolithography process, and therefore all processes should be re-optimised regularly over time to give the desired result [26]. The range in processing times for some steps of the processes used here are largely due to the effects of aging on the photoresist.

The step-by-step processes for each resist are detailed in the subsequent sections.

A.1. AZ® 1518 photoresist

1. Spincoat at a final speed of 4000 rotations per minute (rpm) for 1 minute, with an initial acceleration of 500 rpm/s (notes: clean the substrate with acetone, isopropanol (IPA) and nitrogen before spincoating; use only the minimum amount of photoresist required to fully cover the wafer surface; avoid any gaps or bubbles in the photoresist).

- 2. Softbake 2-4 minutes at 95°C on the hotplate (2 min for individual devices, 4 min for a quarter wafer)
- 3. Mask expose for 10-12 s (note: clean mask with acetone/IPA and $\rm N_2$ dry before use)
- 4. Develop with 3 parts AZ^{\otimes} 326 (2.38 % TMAH metal-ion free developer, Microchemicals GmbH) in 1 part deionised (DI) water for 30-45 s (note: rinse for 10-15 s in one development solution, then perform the rest of the development in clean developer for a cleaner profile)
- 5. Rinse device for 30 s in DI water to remove excess developer, then dry under nitrogen

A.2. AZ® nLOF 2020 photoresist

- 1. Spincoat at final speed of 3000 rotations per minute (rpm) for 1 minute, with an initial acceleration of 500 rpm/s (notes: clean the substrate with acetone, isopropanol (IPA) and nitrogen before spincoating; avoid any gaps or bubbles in the photoresist)
- 2. Softbake for precisely 60 s at 110°C on the hotplate
- 3. Mask expose for 2.7-3 s (note: clean mask with a cetone/IPA and $\rm N_2$ dry before use)
- 4. Post-exposure bake for precisely 60 s at 110°C on the hotplate to cross-link exposed resist
- 5. Develop with 3 parts AZ® 326 in 1 part DI water for 60-70 s (note: rinse for 30 s in one development solution, then perform the rest of the development in clean developer for a cleaner profile)
- 6. Rinse device for 30 s in DI water to remove excess developer, then dry under nitrogen

A.3. SU8-2150 photoresist

1. SU-8 was diluted in cyclopentanone until viscosity was low enough to spincoat on substrate and then sonicated at 50°C for 3-4 hours (Note: The dilution ratio used was ~1 part SU-8 to 5 parts cyclopentanone. However, the age of the SU-8 may mean that significant evaporation had occurred prior to use, and the amount of SU-8 actually present is underrepresented by this ratio)

A. Photolithography

- 2. Spincoat first with a final speed of 500 rpm (acceleration 500 rpm/s) for 10 seconds, followed by spincoating at 4000 rpm (acceleration 7500 rpm/s) for 40 s.
- 3. Softbake for 10 minutes at 95°C on the hotplate
- 4. Mask expose for 6-8 s (note: clean mask with acetone/IPA and $\rm N_2$ dry before use)
- 5. Post-exposure bake for 10 minutes at 95°C on the hotplate to cross-link exposed resist
- 6. Develop with SU-8 developer (Kayaku Advanced Materials, formerly Microchem) for 10-15 s, then clean in IPA for 30 s, repeat this step once then dry under nitrogen

B. Python Code for Data Analysis

C. Vapour Delivery System

C.1. Technical Notes

Two LabView Virtual Instruments (VIs) were adapted from pre-existing VIs for operating the mass flow controllers and monitoring vapour flow into the device chamber, as well as monitoring temperature and humidity in the vapour delivery system's manifold. These VIs were named "" A third VI was developed in parallel which combined the first two Virtual Instruments, alongside allowing the sequence of values to control the mass flow controllers.

From Honours report: """ Figure 12 gives the right side of the front panel of the LabView VI sample with vapour.VI, which letsus preset an autonomously-performed vapour sensing sequence. Each row in each array module corresponds to a differencest step in this sequence. The 'howManySteps' module lets us set how many of these steps are performed. The 'Durations Array' module determines the length of time in seconds each step is performed over. The 'Carrier Flows Array' and 'Dilution Flows Array' modules let us set the carrier flow and dilution flow, respectively, in standard cubic centimetres per minute (sccm) through the gas rig at each step. The carrier flow pushes analyte vapour into the vapour-sensing device chamber, while dilution flow is used to modify the flow behaviour of the analyte vapour entering the chamber. The vapour sensing sequence as depicted in Figure 12 was used for all vapour sensing runs in this investigation. At the end of the sequence, the data collected about the vapour sensing process was saved as an .lvm file. """

C.2. Future Improvements

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