



University of  
Sheffield



COM3529 Software Testing and Analysis

# Mutation Testing

Dr José Miguel Rojas

# Downside of Coverage

```
/**  
 * Make sure Double.NaN is returned iff n = 0  
 */  
@Test  
public void testNaN() {  
    StandardDeviation std = new StandardDeviation();  
    assertTrue(Double.isNaN(std.getResult()));  
    std.increment(1d);  
    assertEquals(0d, std.getResult(), 0);  
}
```

# Downside of Coverage

```
/*
 * Make sure Double.NaN is returned iff n = 0
 */
@Test
public void testNaN() {
    StandardDeviation std = new StandardDeviation();
    Double.isNaN(std.getResult());
    std.increment(1d);
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}
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# Downside of Coverage

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 * Make sure Double.NaN is returned iff n = 0  
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@Test  
public void testNaN() {  
    StandardDeviation std = new StandardDeviation();  
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    std.getResult();  
}
```

Coverage does  
not change!

# The Oracle Problem

**Executing all the code **is not enough****

We need to **check** the functional behaviour

Does this code **actually do what it is meant to do?**

Automated oracle: specification or model

Else, manual oracles have to be defined



# How good are my tests?

**Coverage** = how much of the code is executed

But how much of it is actually **checked**? 

Unfortunately, we don't know where the bugs are... 

**But we know the bugs we have made in the past!** 

# Learning from Mistakes

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**Key technique:** **Simulate** earlier mistakes and see whether the resulting defects are found

Known as **fault-based testing** or **Mutation Testing**

# Mutation Coverage

Make many copies of the source code, where **mutations** have been introduced

E.g., replacing “<” with “>”, or “+” with “-”.

Find the **test (set)** that is able to **kill** the largest number of mutants.

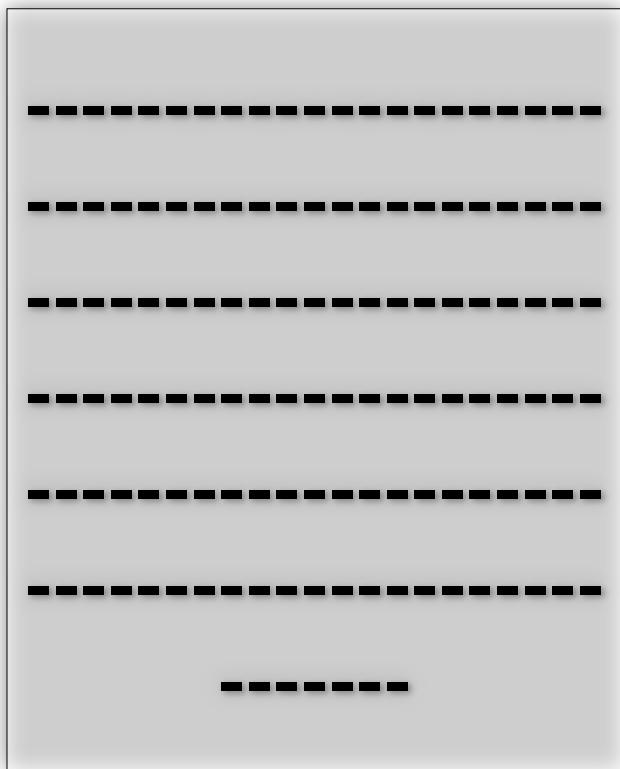
I.e., Executing the test on **both** the original program and the mutated program leads to **different outputs**.

Test 1

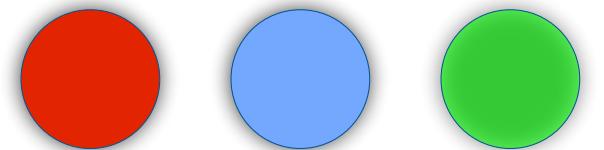
Test 2

Test 3

## Original Program



Operators

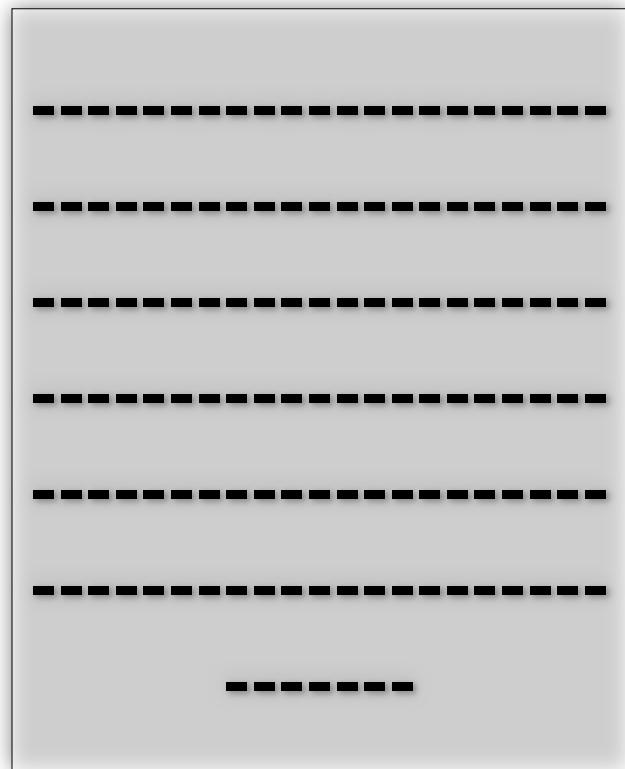


Test 1

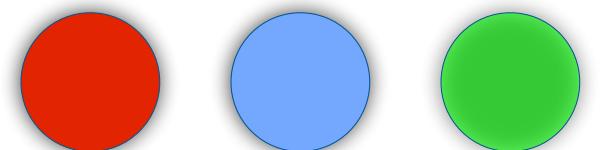
Test 2

Test 3

Original Program



Operators

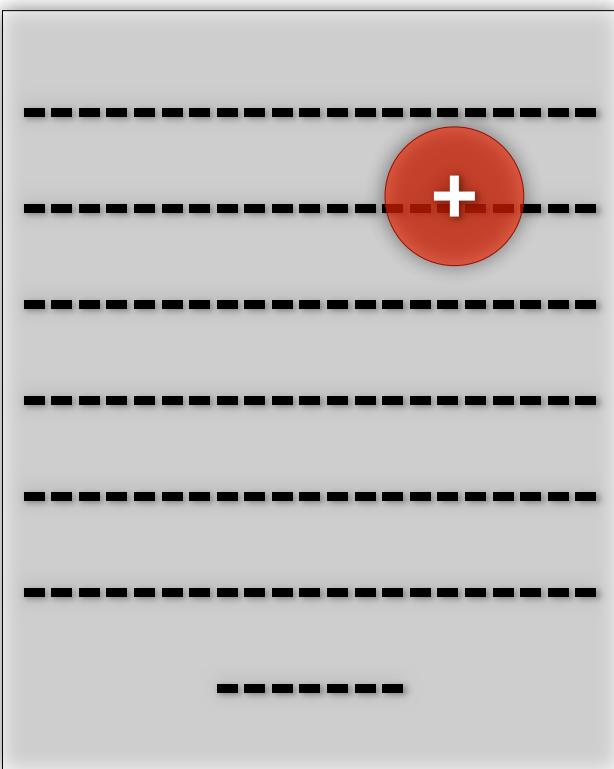
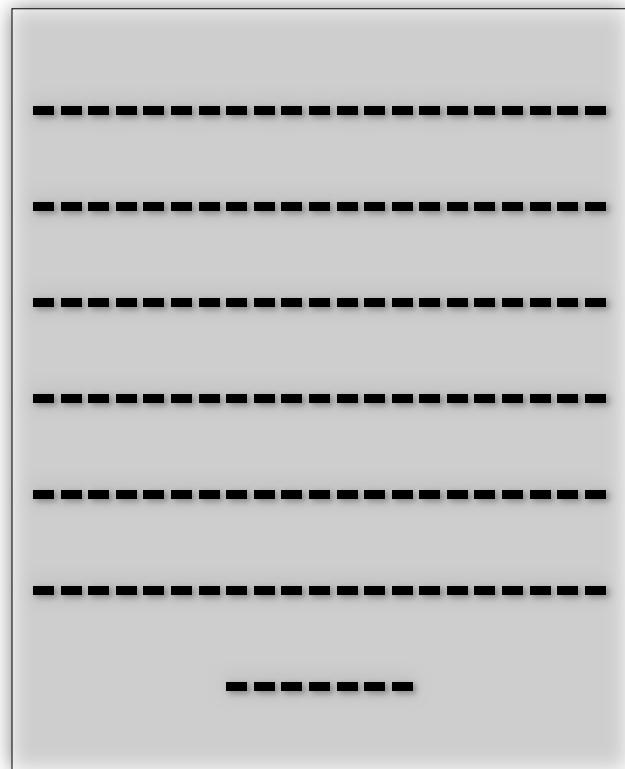


Test 1

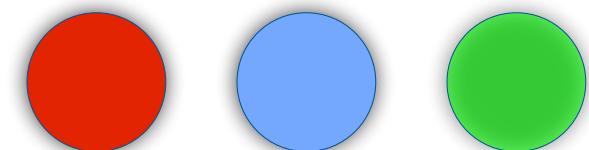
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Test 3

Original Program



Operators

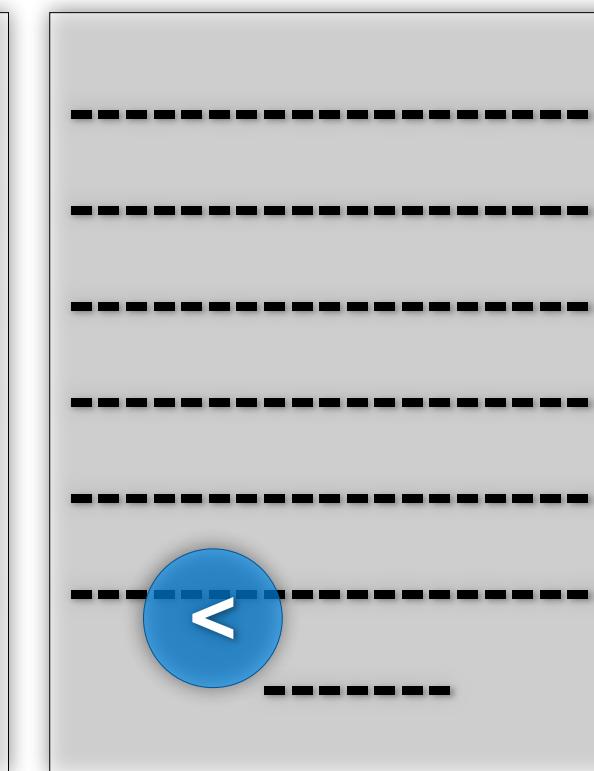
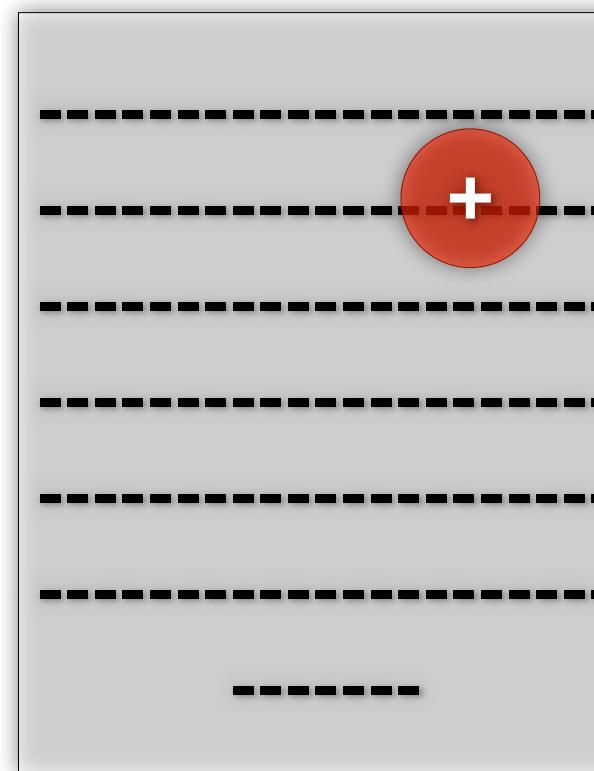


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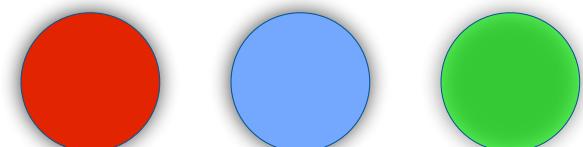
Test 2

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Original Program



Operators

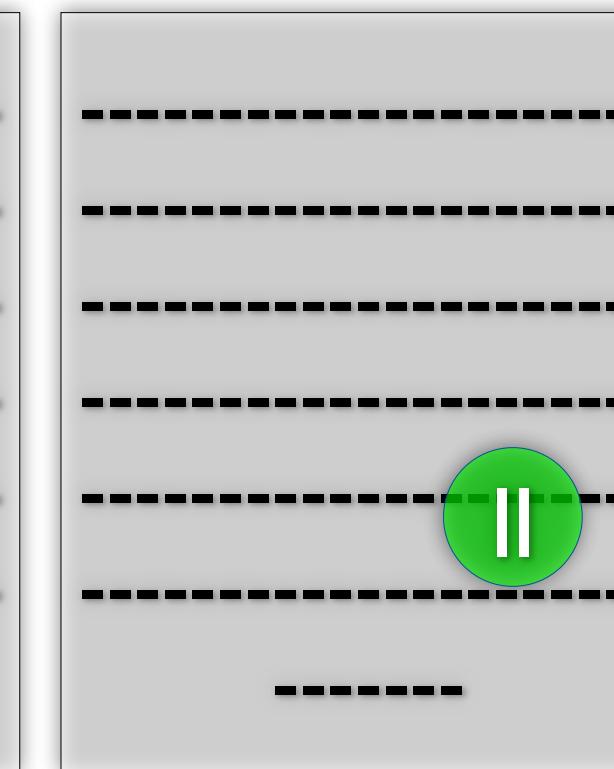
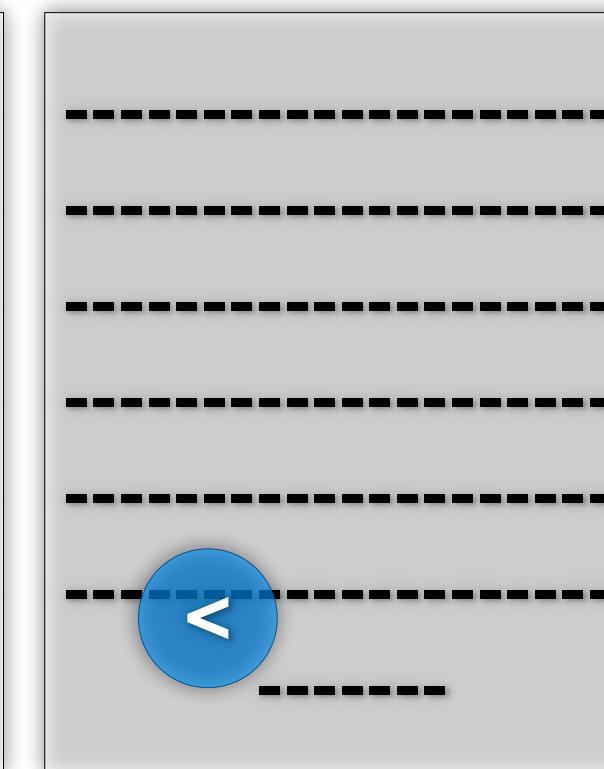
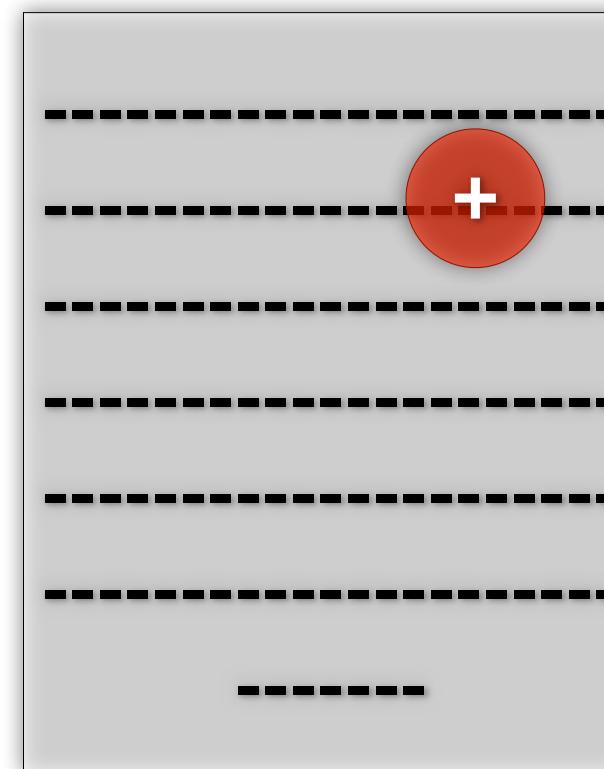


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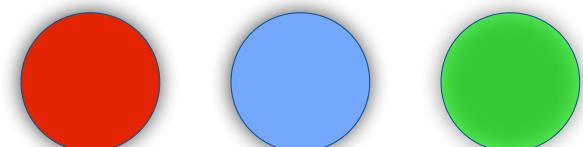
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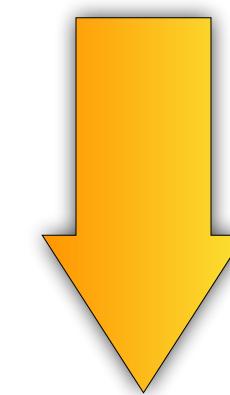
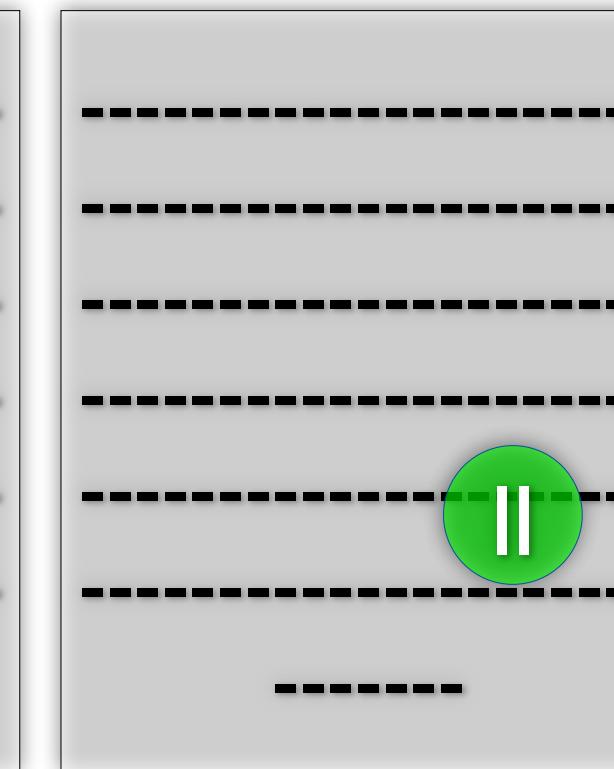
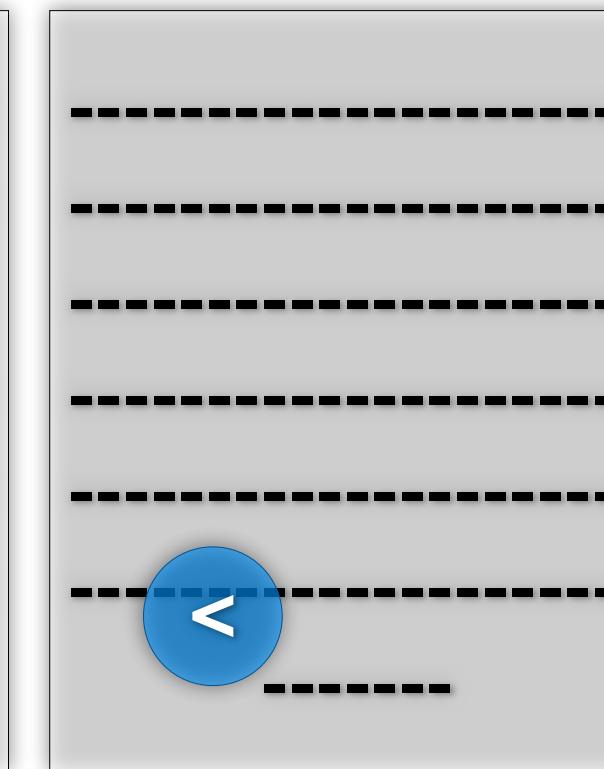
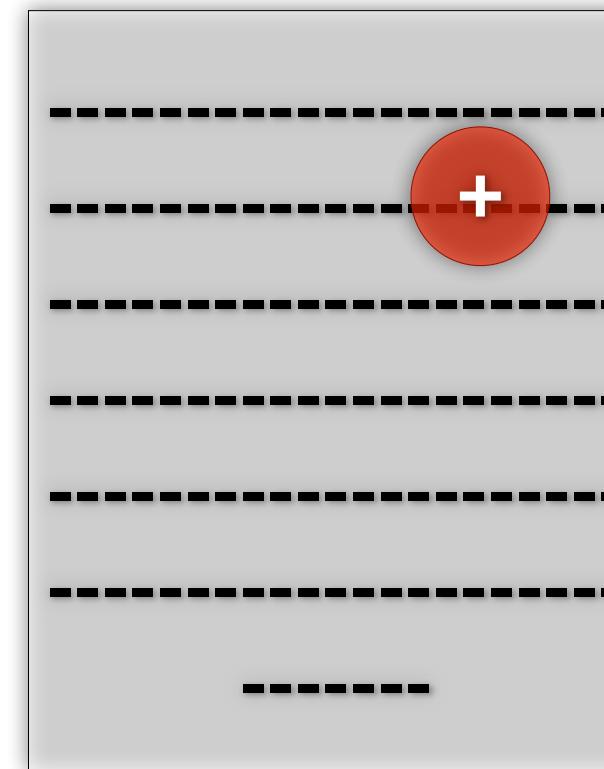


Test 1

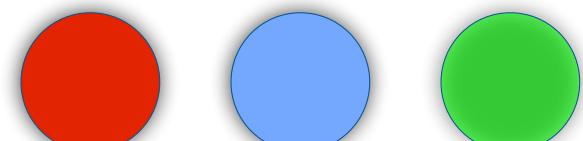
Test 2

Test 3

Original Program



Operators

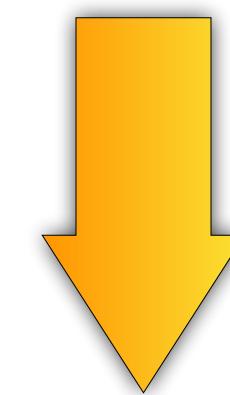
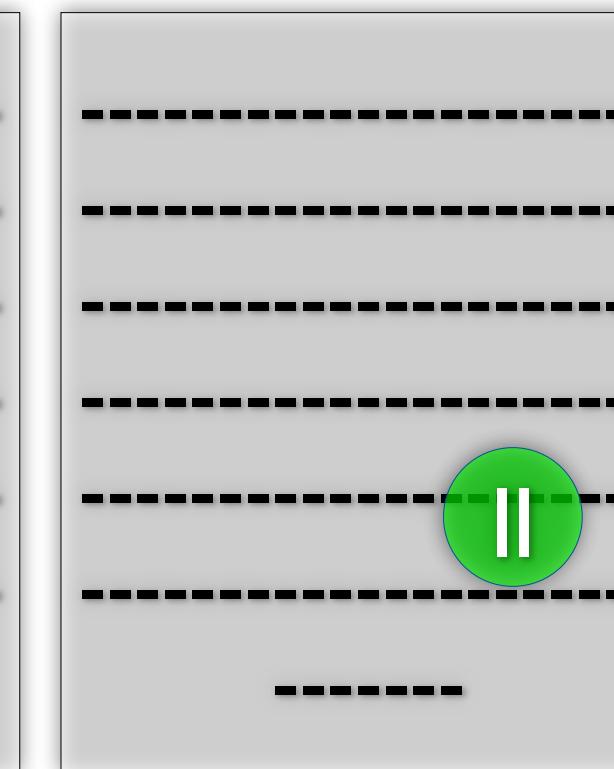
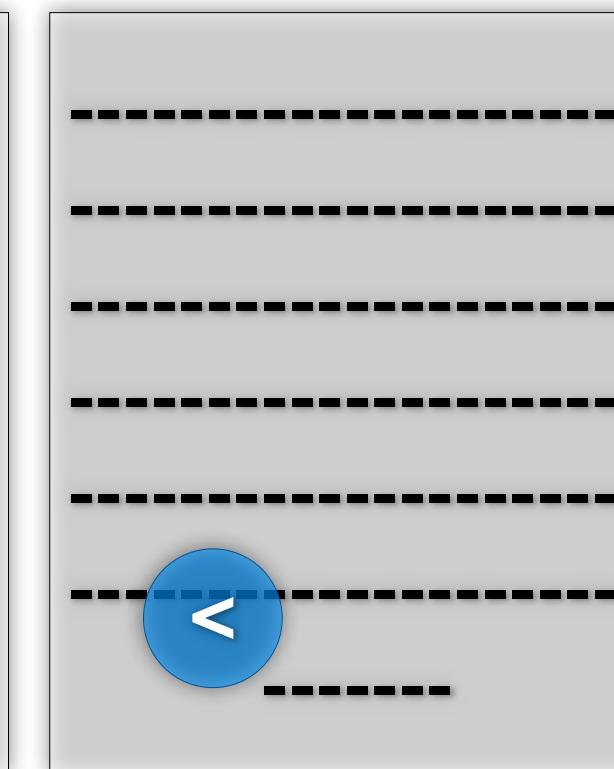
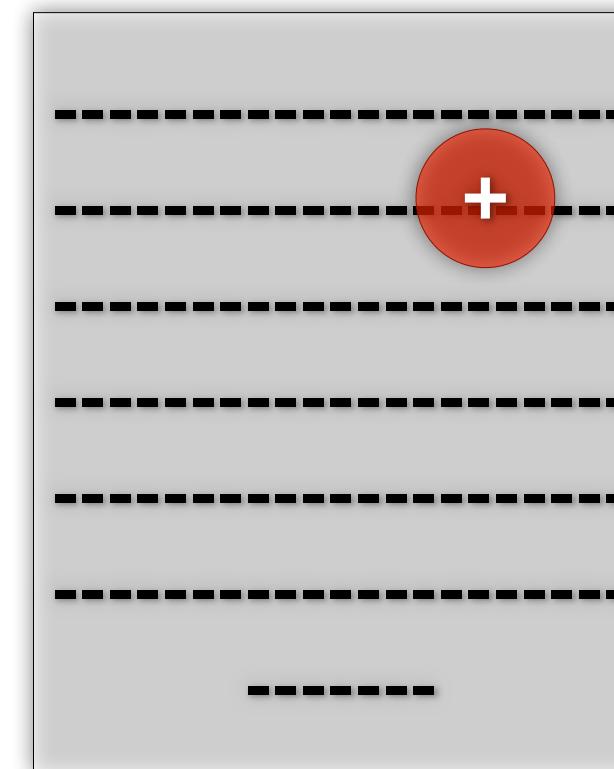


Test 1

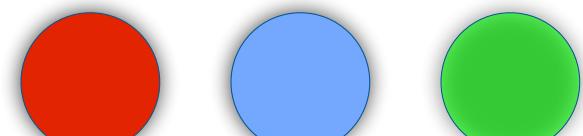
Test 2

Test 3

Original Program



Operators

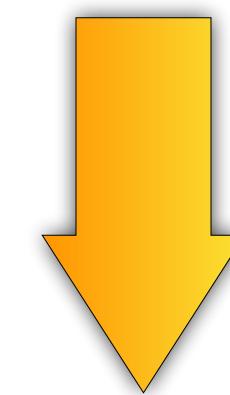
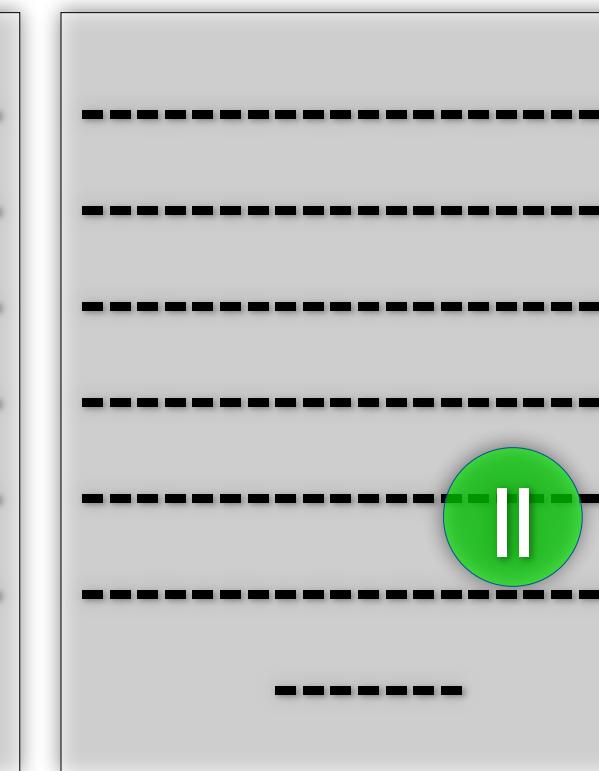
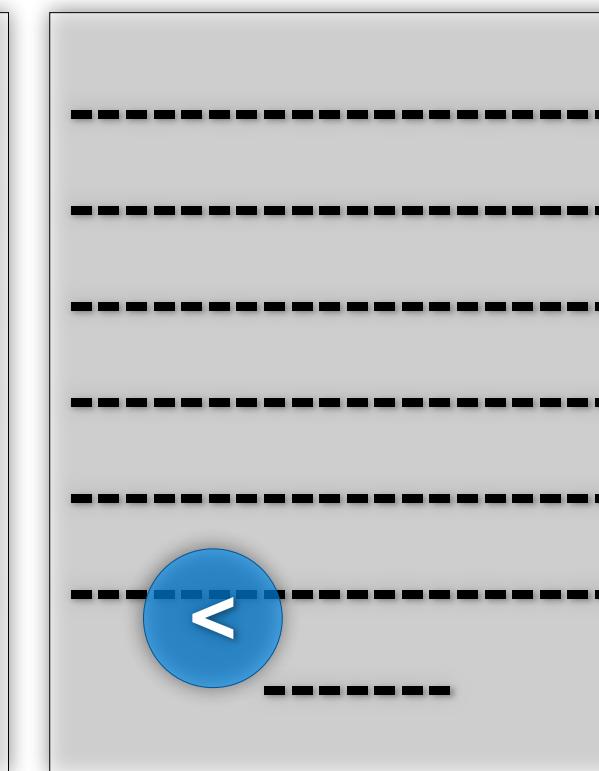
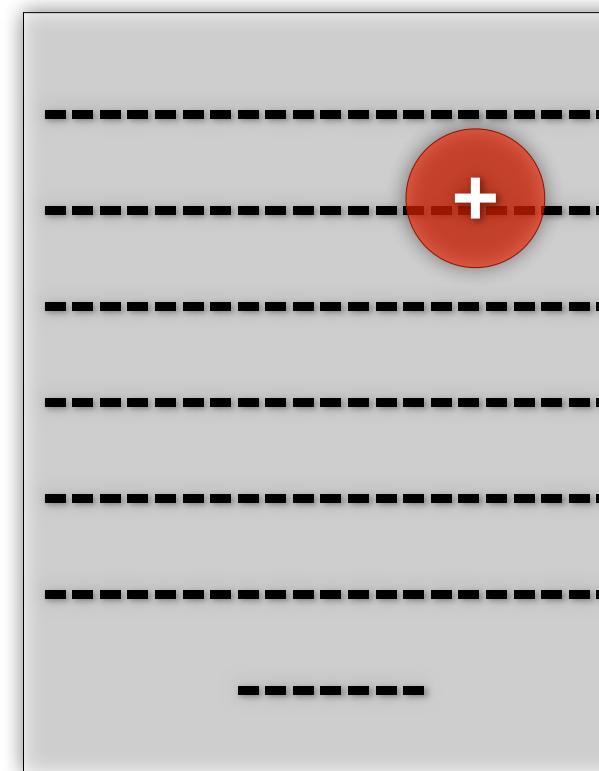


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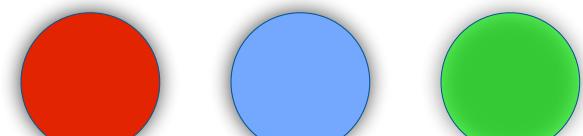
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Original Program



Operators

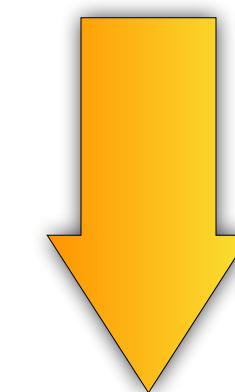
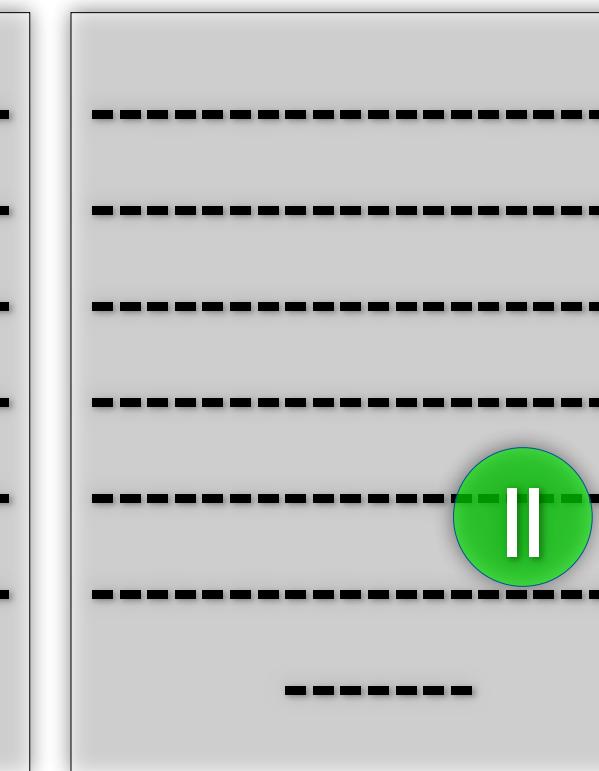
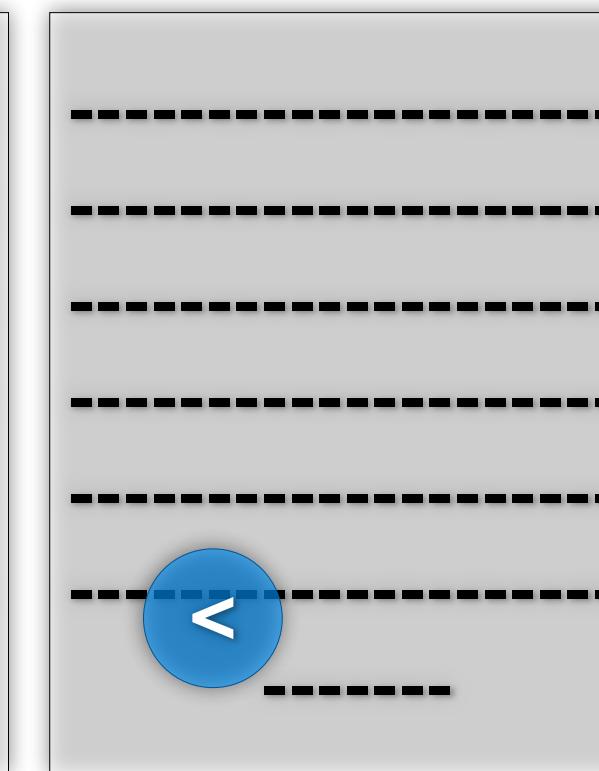
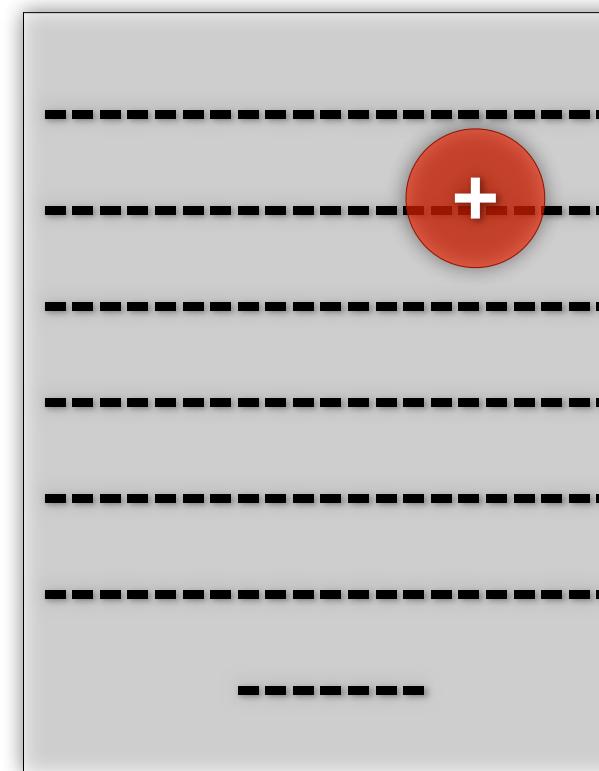


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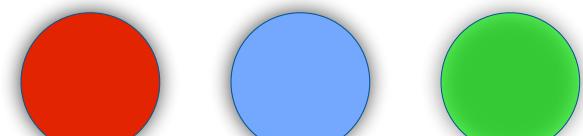
Test 2

Test 3

Original Program



Operators

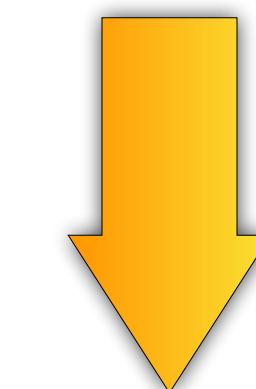
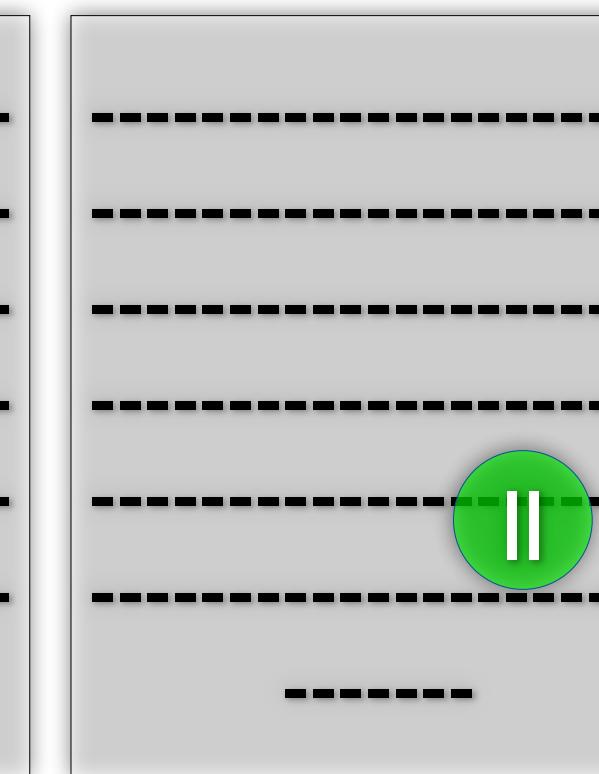
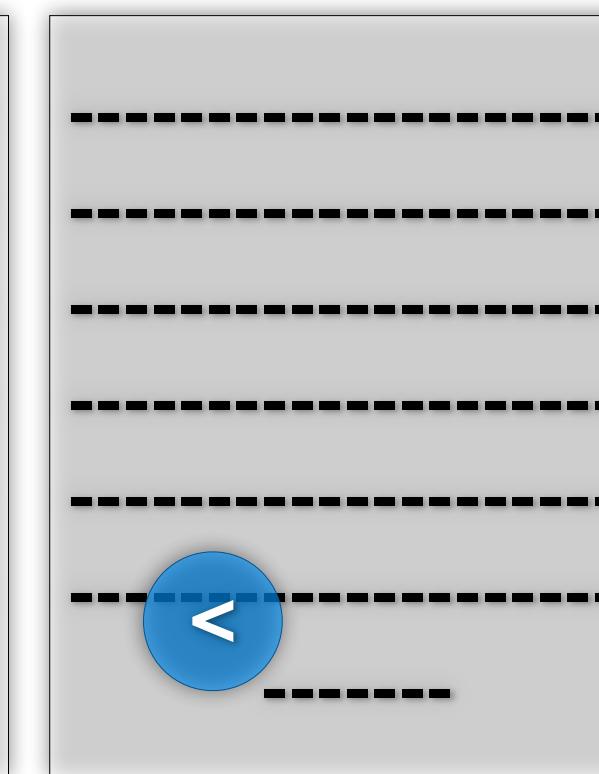
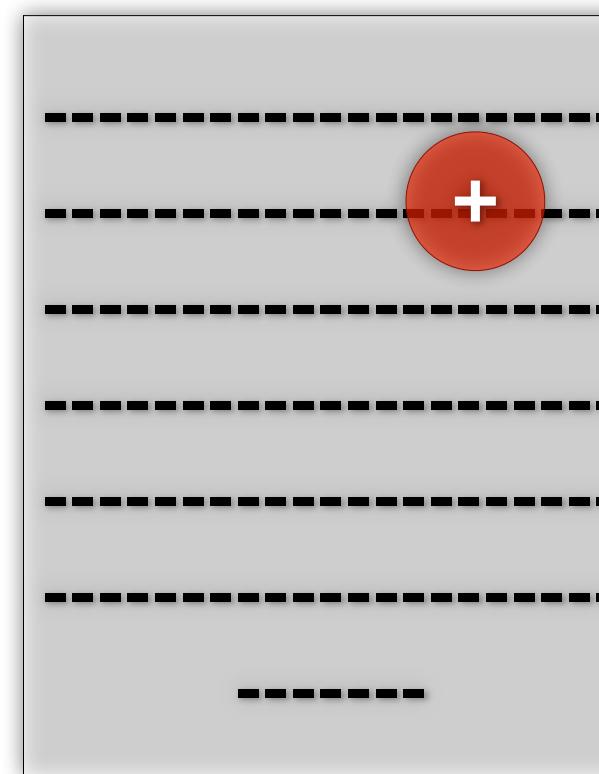


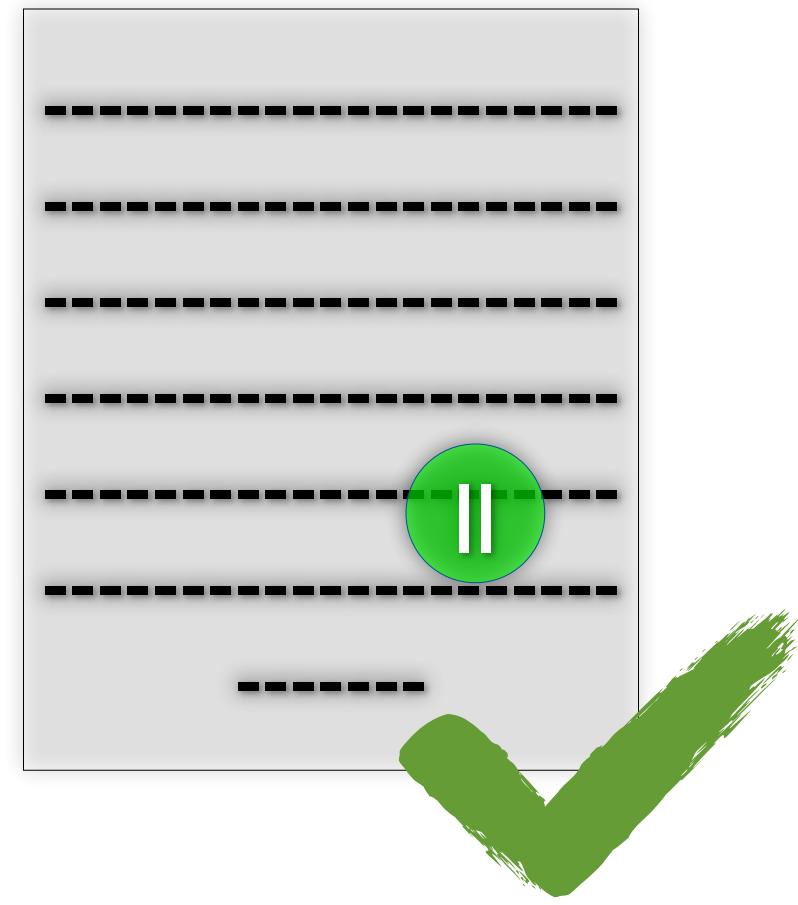
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Test 2

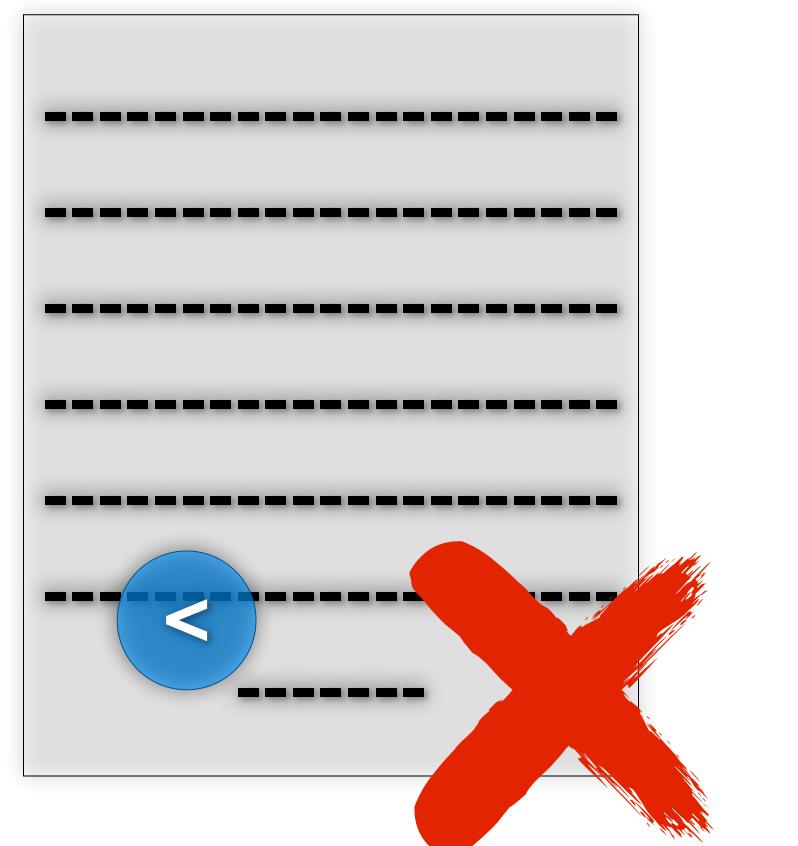
Test 3

Original Program

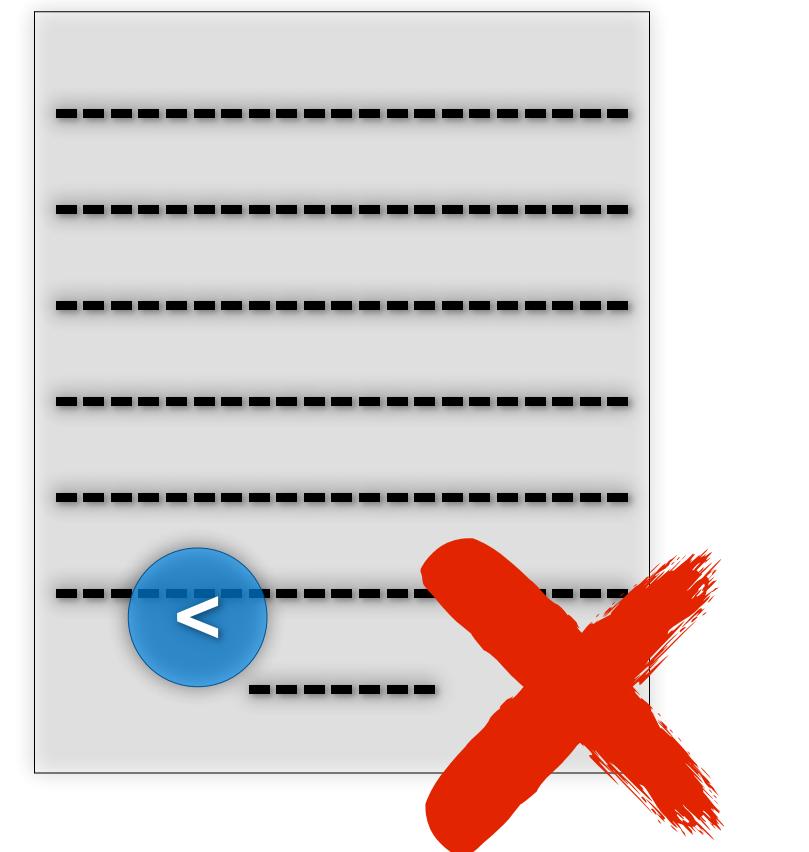
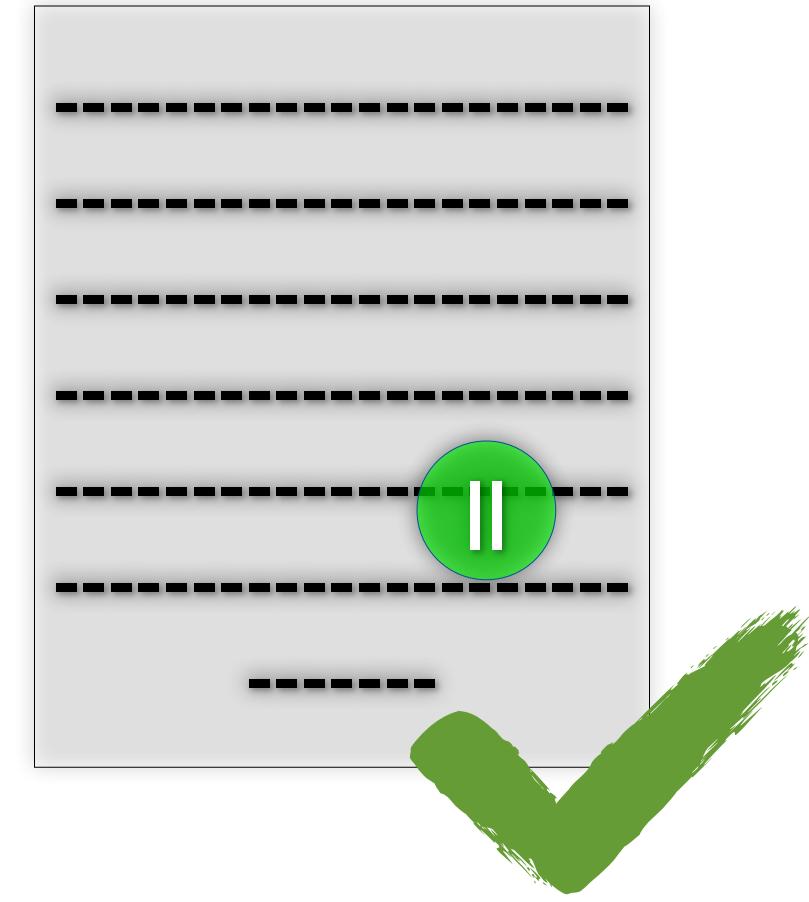




**Live mutant** - we need more tests



**Dead mutant** - of no further use



## Mutation Score

Killed Mutants

---

Total Mutants

```
int do_something(int x, int y)
{
    if(x < y)
        return x+y;
    else
        return x*y;
}
```

Program

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Program

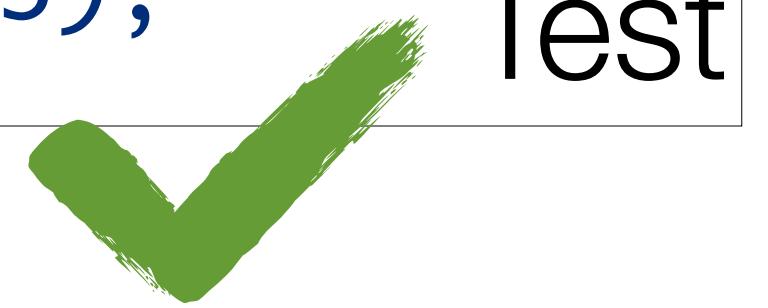
```
int a = do_something(5, 10);
assertEquals(a, 15);
```

Test

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int do_something(int x, int y)
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    if(x < y)
        return x+y;
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Program

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Test

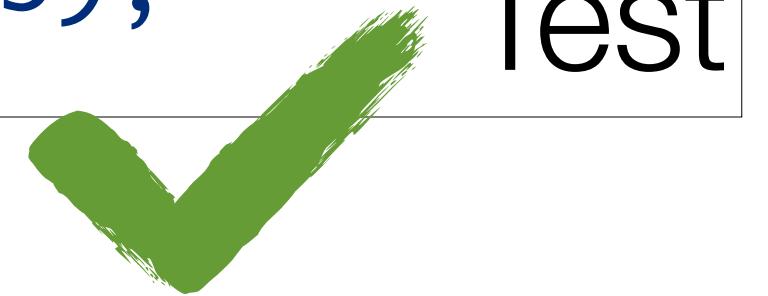
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{
    if(x < y)
        return x+y;
    else
        return x*y;
}
```

Program

```
int do_something(int x, int y)
{
    if(x < y)
        return x-y;
    else
        return x*y;
}
```

Mutant

```
int a = do_something(5, 10);
assertEquals(a, 15);
```



Test

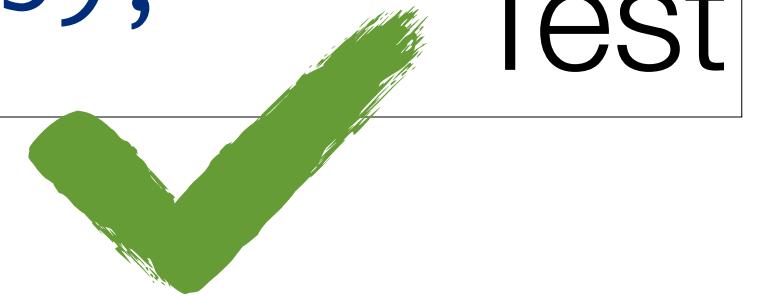
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Program

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Mutant

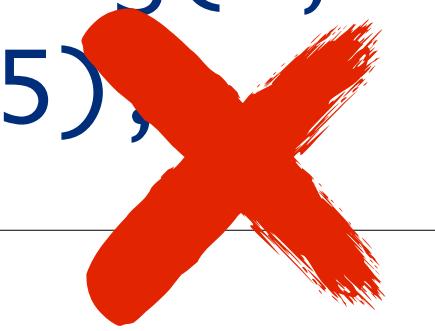
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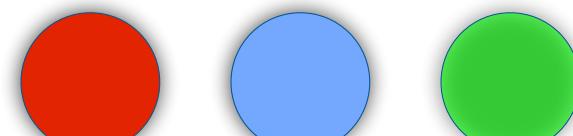


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Test



Operators

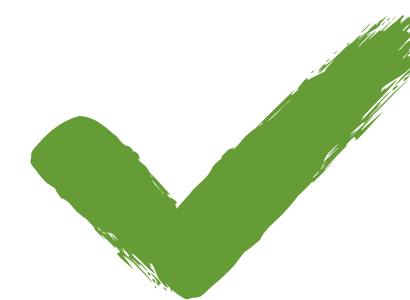
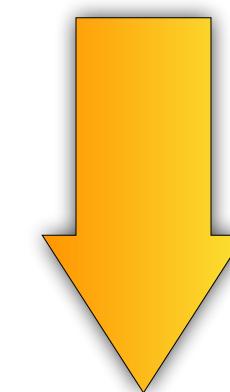
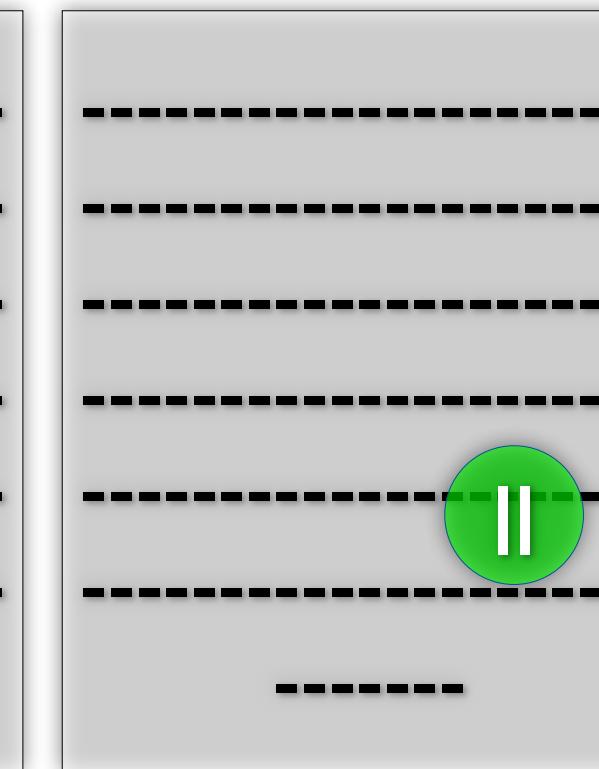
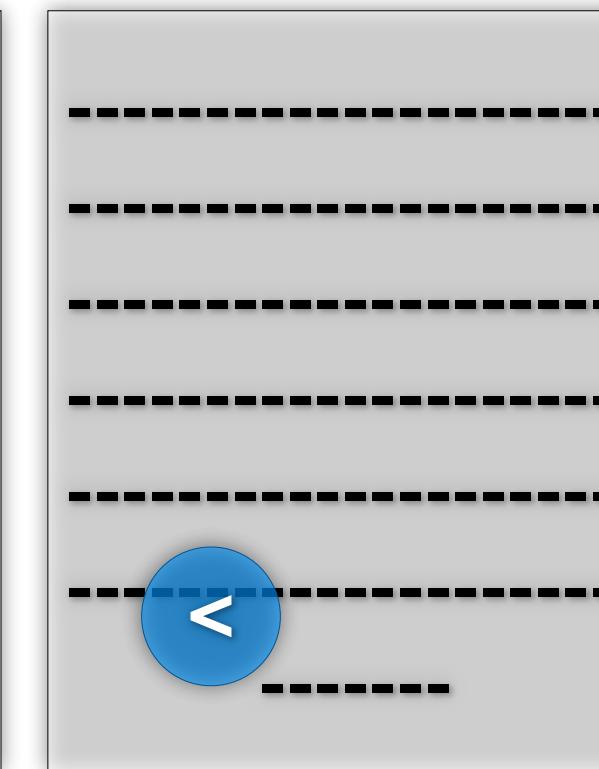
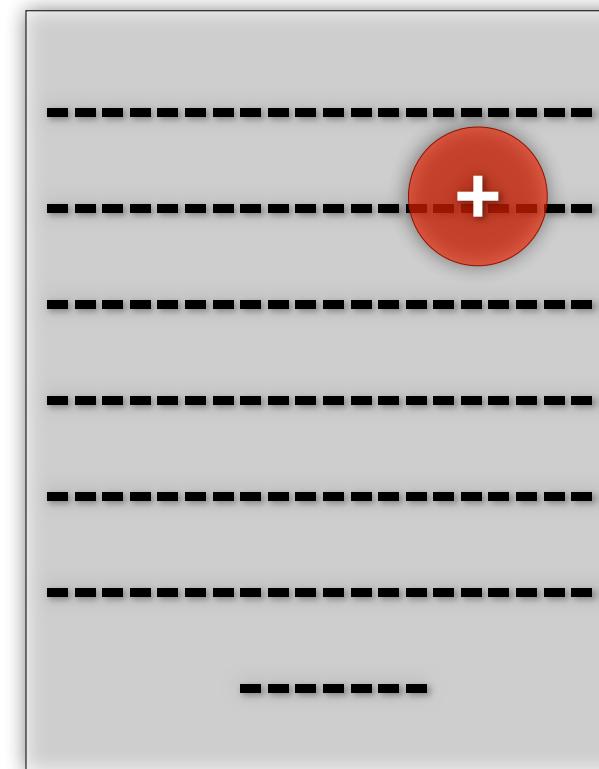


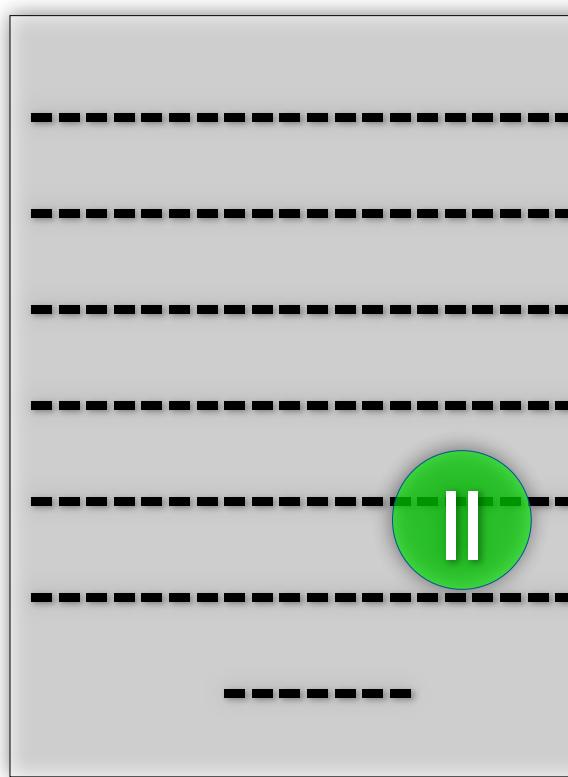
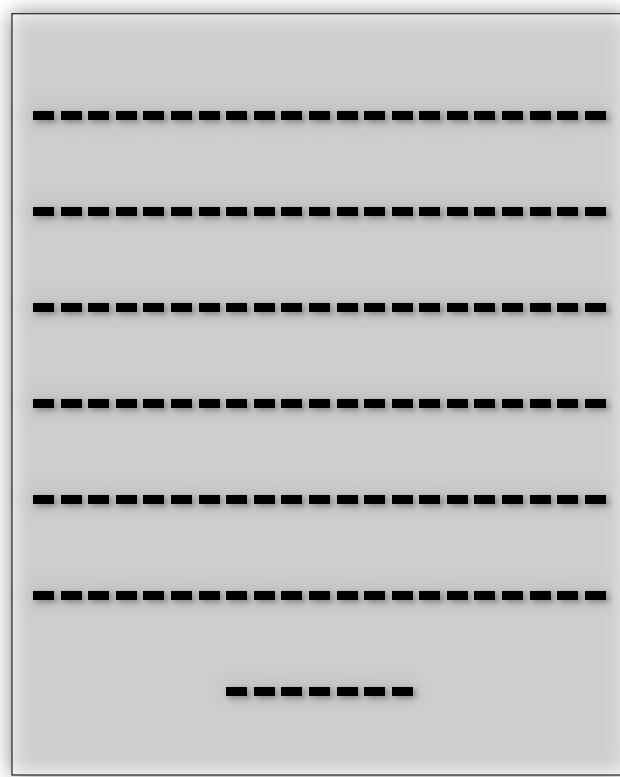
Test 1

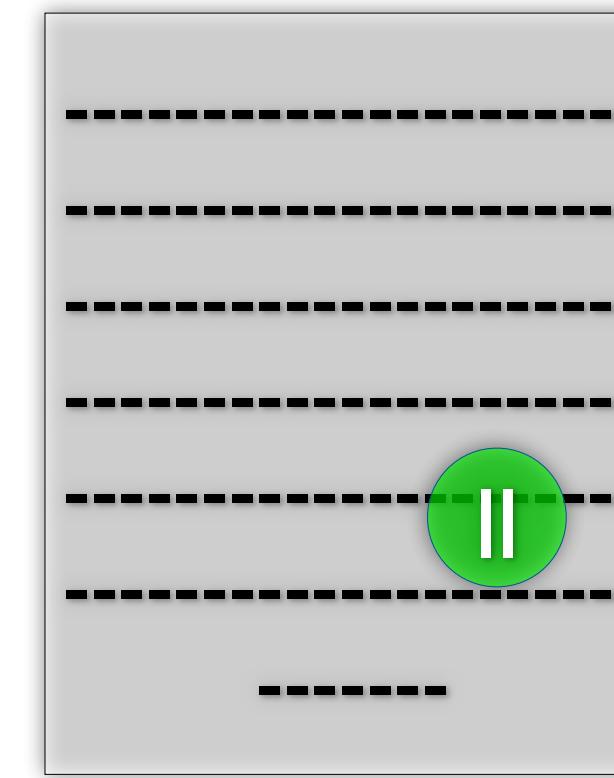
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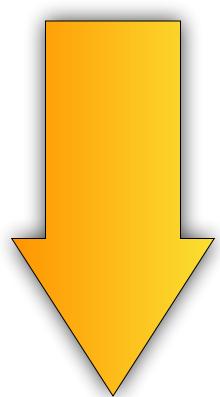
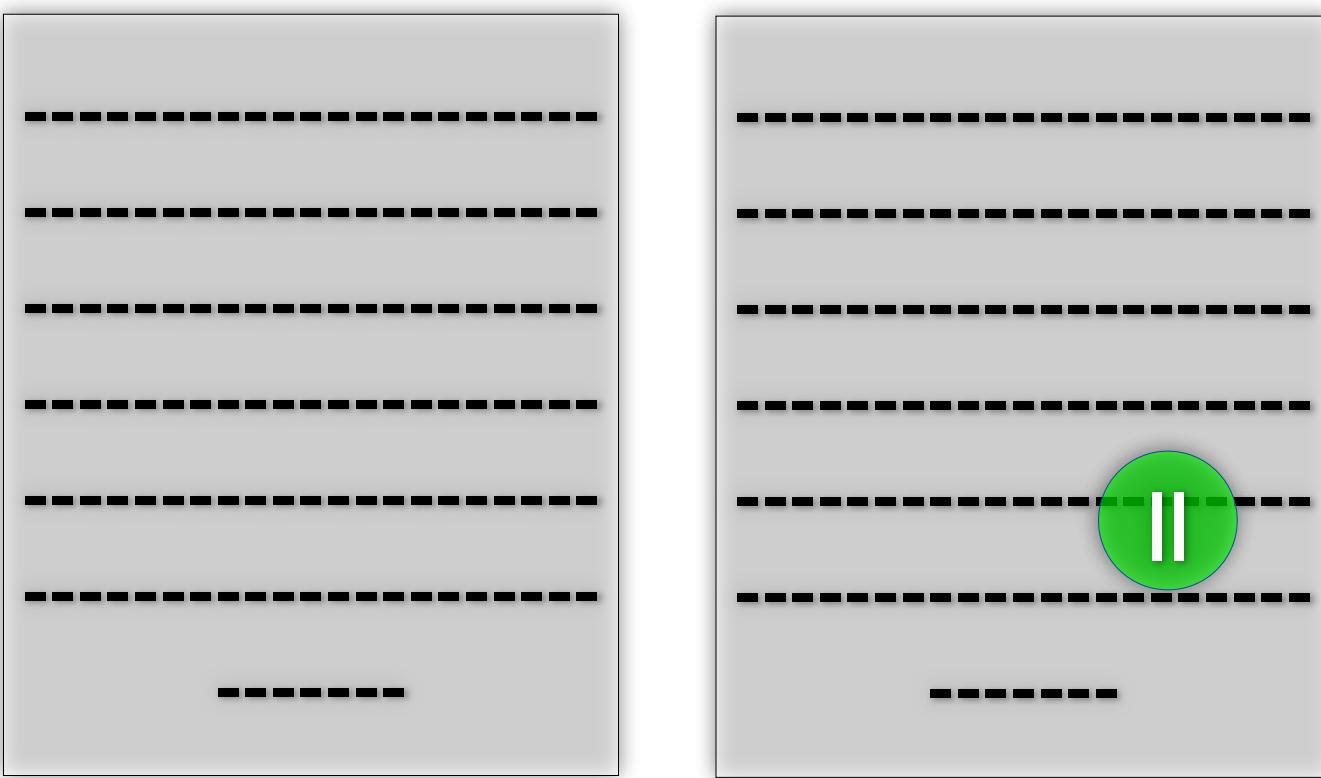
Test 3

Original Program



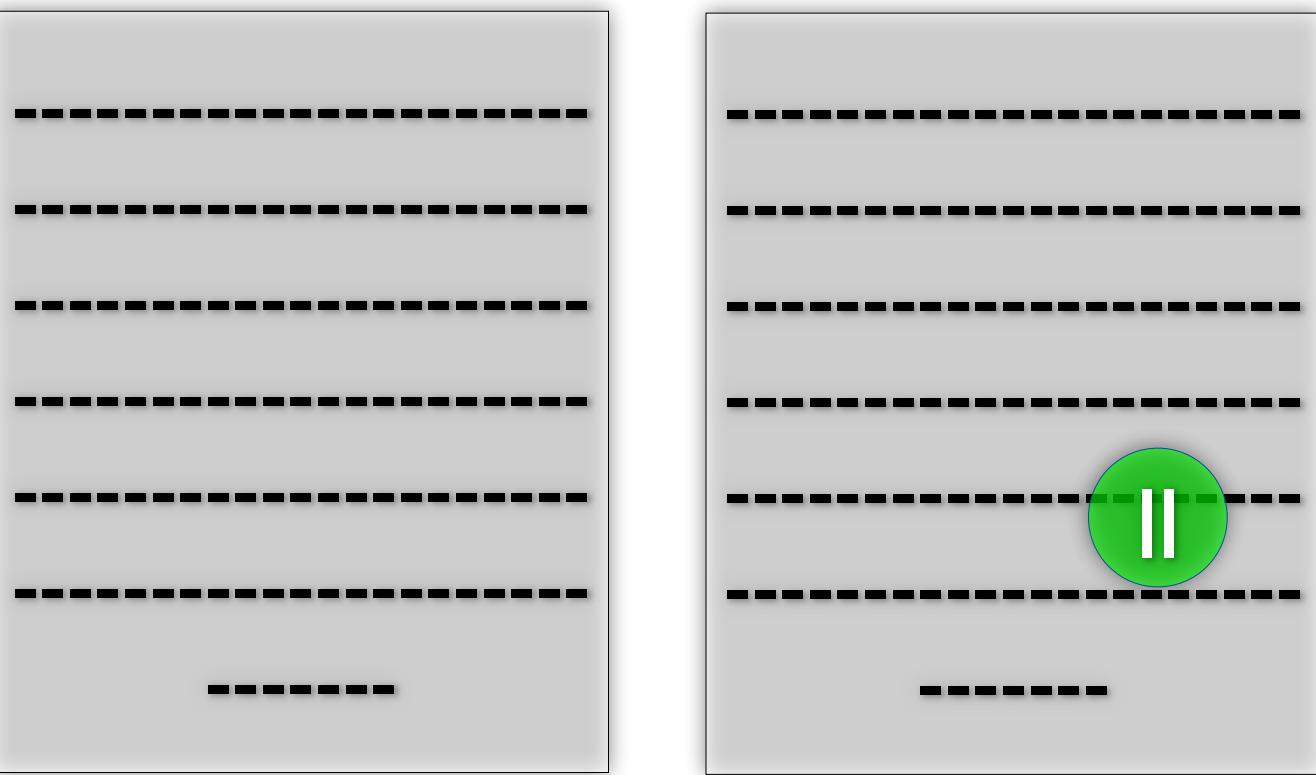
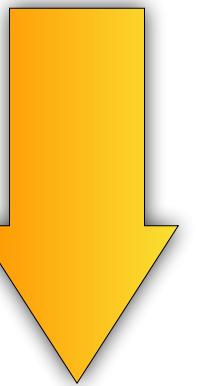




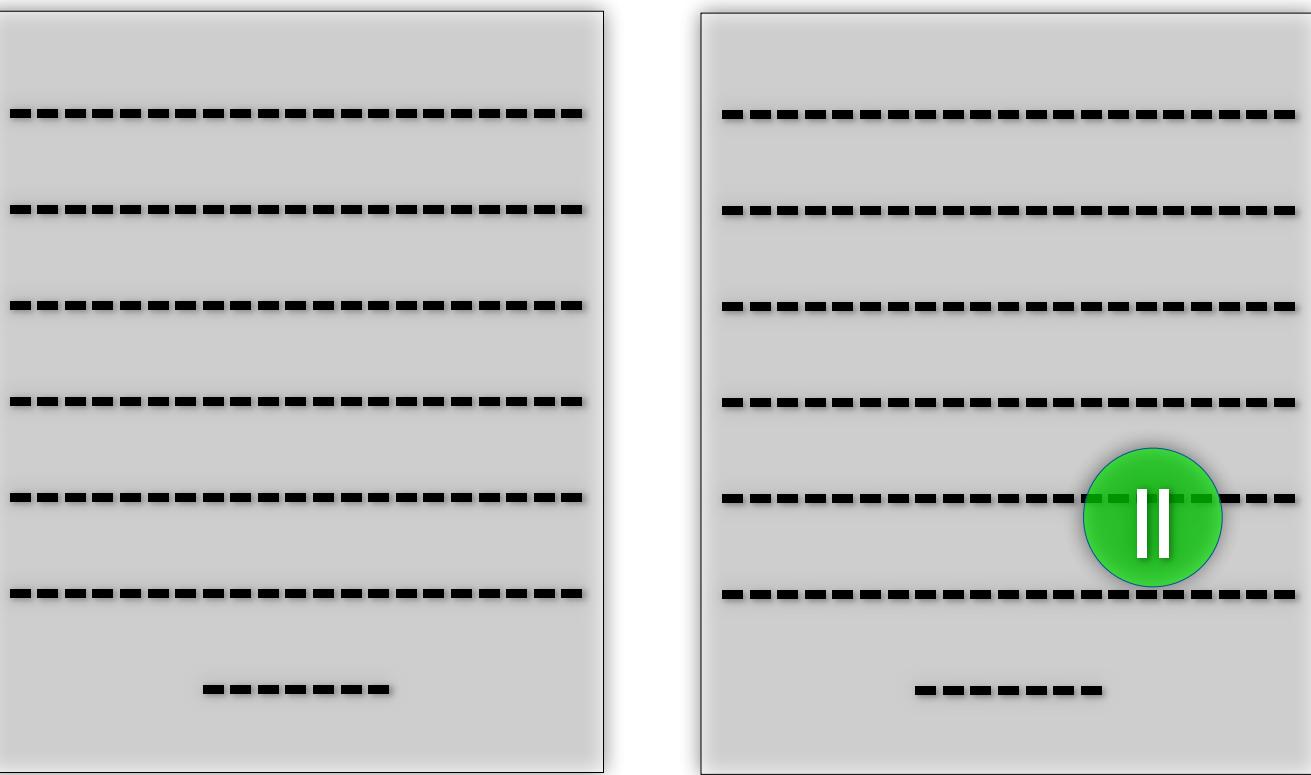
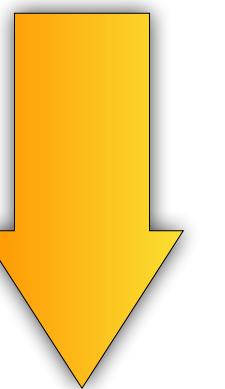


Test 4

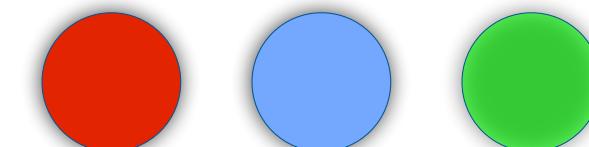
Test 4



Test 4



Operators



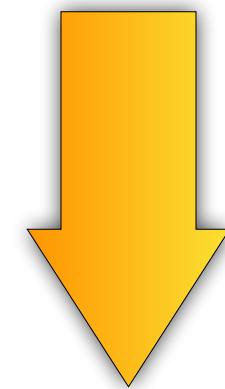
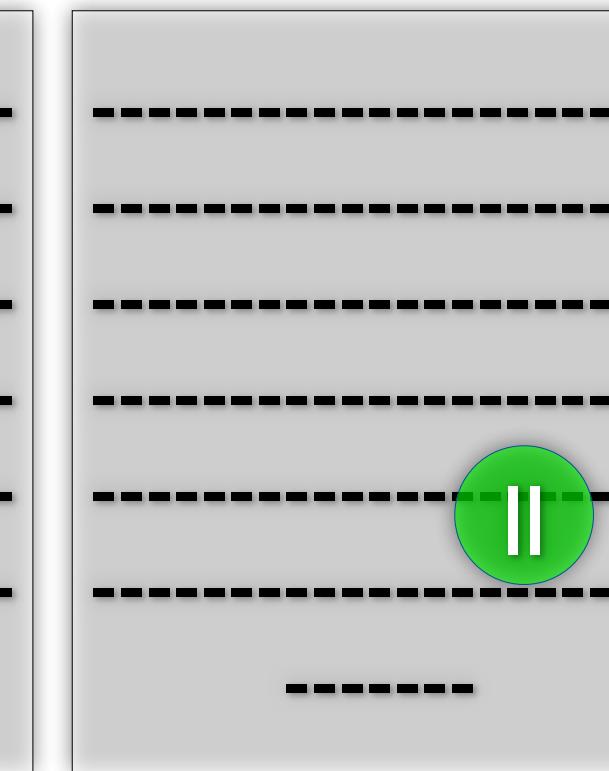
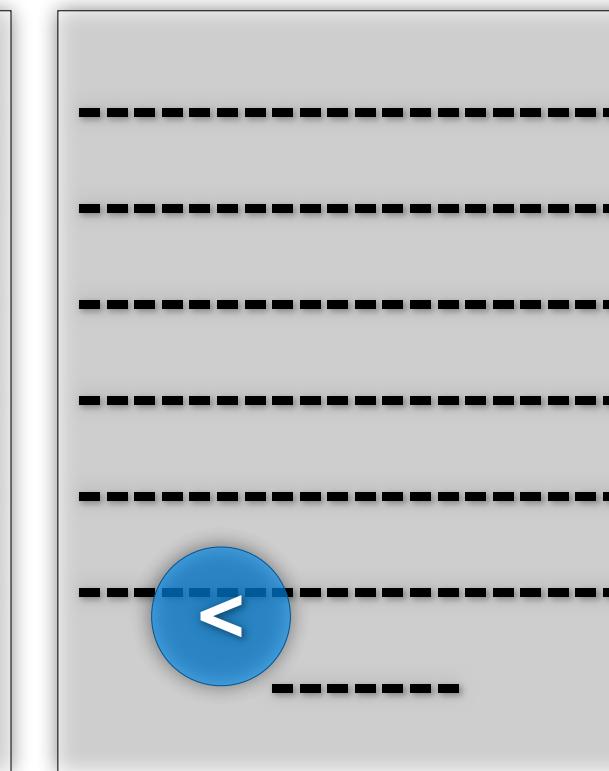
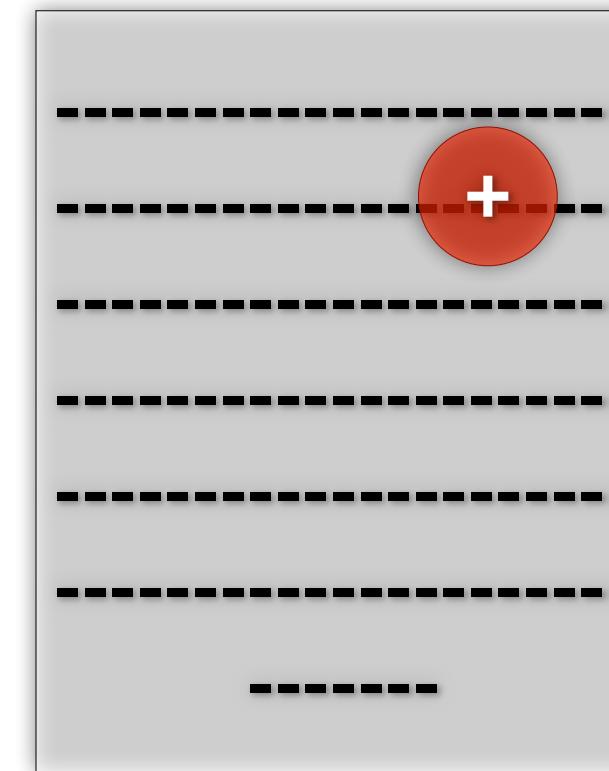
Test 1

Test 2

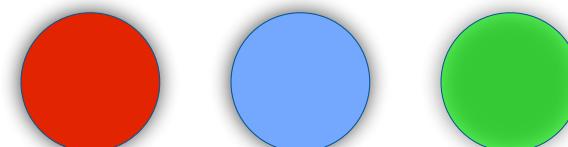
Test 3

Test 4

Original Program



Operators



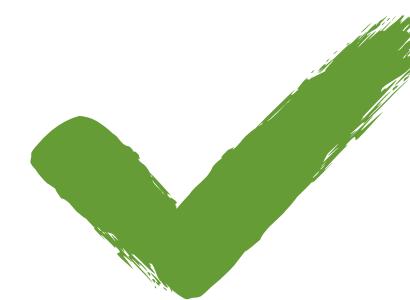
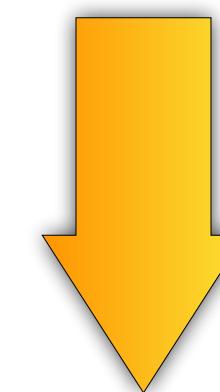
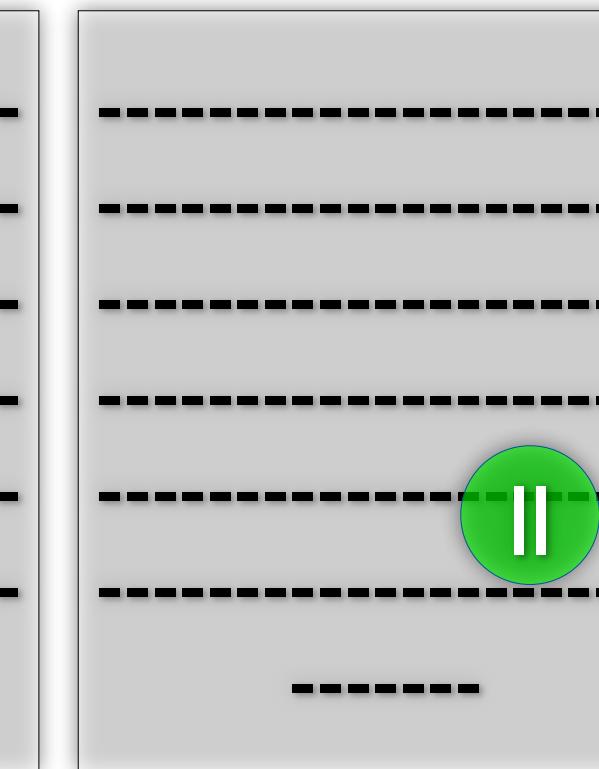
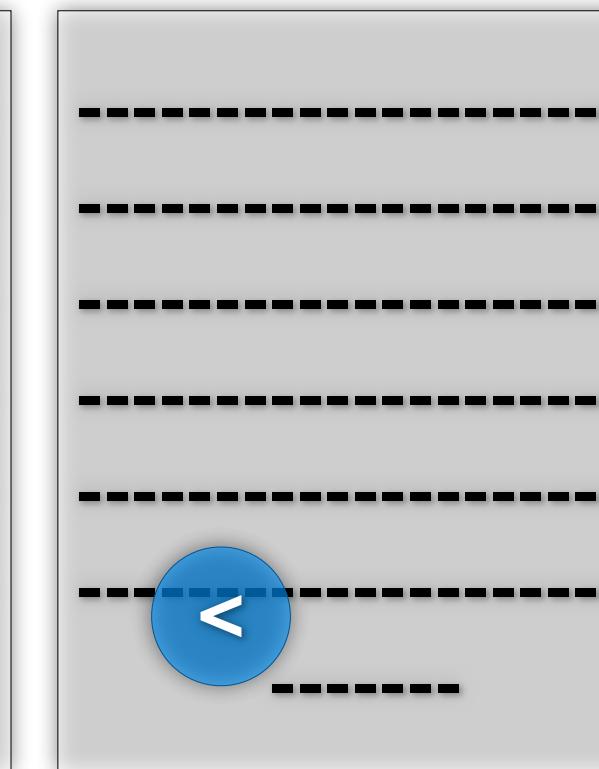
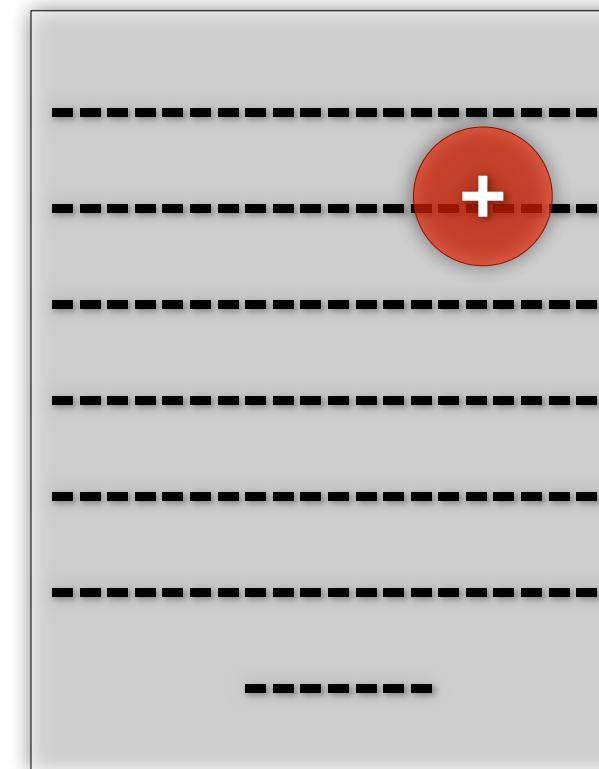
Test 1

Test 2

Test 3

Test 4

Original Program



# Mutants

Slightly changed version of original program

Syntactic change, i.e., valid, compilable code

Simple, resembling a programming glitch

Based on faults from a fault hierarchy

# Generating Mutants

Mutation **operators**

Rule to derive mutants from a program

Mutations based on **real faults**

Mutation operators represent typical errors

Dedicated mutation operators have been defined for most languages

For example, 100+ operators for the C programming language

Some example operators...

# ABS - Absolute Value Insertion

```
int gcd(int x, int y) {  
    int tmp;  
  
    while(y != 0) {  
        tmp = x % y;  
        x = y;  
        y = tmp;  
    }  
  
    return x;  
}
```

# ABS - Absolute Value Insertion

```
int gcd(int x, int y) {  
    int tmp;  
  
    while(y != 0) {  
        tmp = x % y;  
        x = abs(y);  
        y = tmp;  
    }  
  
    return x;  
}
```

# ABS - Absolute Value Insertion

```
int gcd(int x, int y) {  
    int tmp;  
  
    while(y != 0) {  
        tmp = x % y;  
        x = abs(y);  
        y = tmp;  
    }  
  
    return x;  
}
```

# ABS - Absolute Value Insertion

```
int gcd(int x, int y) {  
    int tmp;  
  
    while(y != 0) {  
        tmp = x % y;  
        x = y;  
        y = tmp;  
    }  
  
    return -abs(x);  
}
```

# ABS - Absolute Value Insertion

```
int gcd(int x, int y) {  
    int tmp;  
  
    while(y != 0) {  
        tmp = x % y;  
        x = y;  
        y = tmp;  
    }  
  
    return -abs(x);  
}
```

# AOR - Arithmetic Operator

```
int gcd(int x, int y) {  
    int tmp;  
  
    while(y != 0) {  
        tmp = x % y;  
        x = y;  
        y = tmp;  
    }  
  
    return x;  
}
```

# AOR - Arithmetic Operator

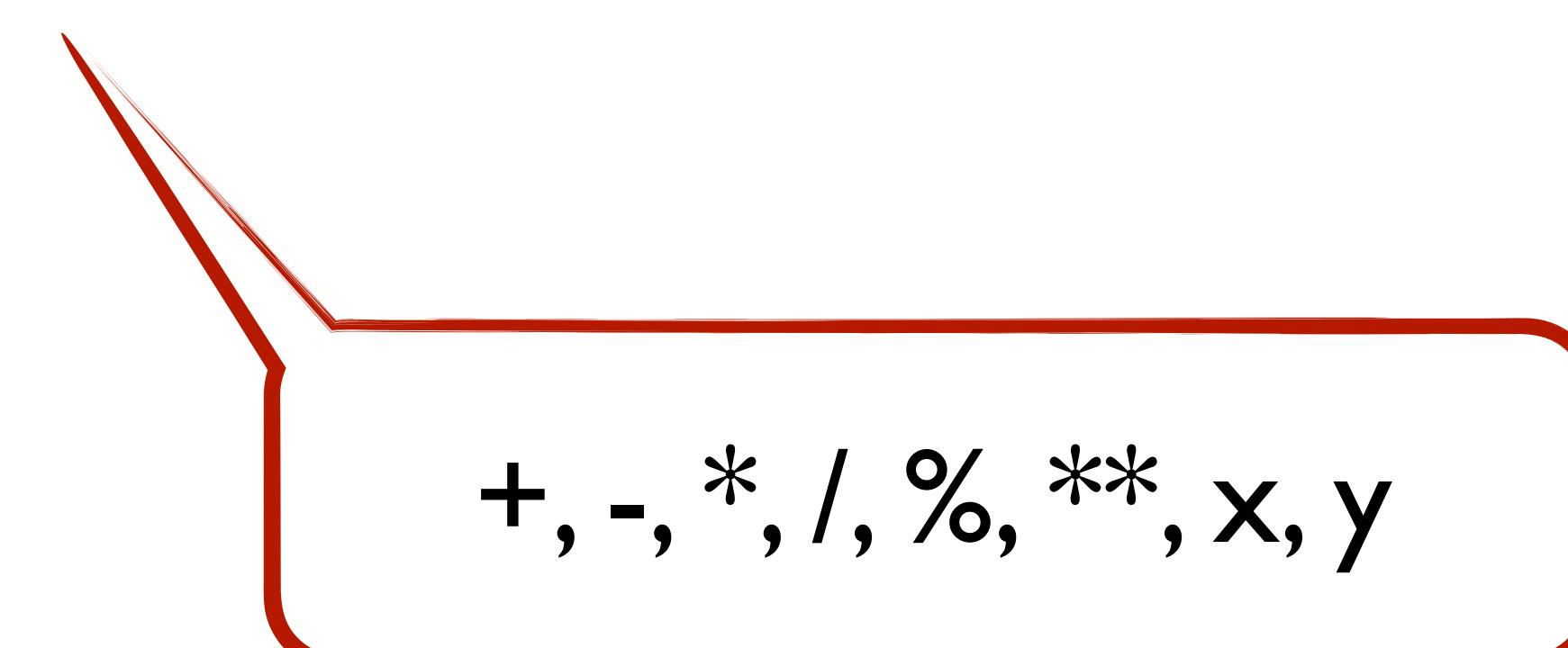
```
int gcd(int x, int y) {  
    int tmp;  
  
    while(y != 0) {  
        tmp = x * y;  
        x = y;  
        y = tmp;  
    }  
  
    return x;  
}
```

# AOR - Arithmetic Operator

```
int gcd(int x, int y) {  
    int tmp;  
  
    while(y != 0) {  
        tmp = x * y;  
        x = y;  
        y = tmp;  
    }  
  
    return x;  
}
```

# AOR - Arithmetic Operator

```
int gcd(int x, int y) {  
    int tmp;  
  
    while(y != 0) {  
        tmp = x * y;  
        x = y;  
        y = tmp;  
    }  
    return x;  
}
```



+, -, \*, /, %, \*\*, x, y

# ROR - Relational Operator

```
int gcd(int x, int y) {  
    int tmp;  
  
    while(y != 0) {  
        tmp = x % y;  
        x = y;  
        y = tmp;  
    }  
  
    return x;  
}
```

# ROR - Relational Operator

```
int gcd(int x, int y) {  
    int tmp;  
  
    while(y > 0) {  
        tmp = x % y;  
        x = y;  
        y = tmp;  
    }  
  
    return x;  
}
```

# ROR - Relational Operator

```
int gcd(int x, int y) {  
    int tmp;  
  
    while(y > 0) {  
        tmp = x % y;  
        x = y;  
        y = tmp;  
    }  
  
    return x;  
}
```

# ROR - Relational Operator

```
int gcd(int x, int y) {  
    int tmp;  
  
    while(y > 0) {  
        tmp = x % y;  
        x = y;  
        y = tmp;  
    }  
    return x;  
}
```

<, >, <=, >=, =,  
!=, false, true

# COR - Conditional Operator

```
if(a && b)
```

# COR - Conditional Operator

```
if(a && b)
```

```
if(a || b)
```

# COR - Conditional Operator

```
if(a && b)
```

```
if(a || b)
```

```
if(a & b)
```

# COR - Conditional Operator

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if(a && b)
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if(a || b)
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```
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```
if(a | b)
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if(a && b)
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```
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```

```
if(a ^ b)
```

# COR - Conditional Operator

```
if(a && b)
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```
if(a || b)
```

```
if(a & b)
```

```
if(a | b)
```

```
if(a ^ b)
```

```
if(false)
```

# COR - Conditional Operator

```
if(a && b)
```

```
if(a || b)
```

```
if(a & b)
```

```
if(a | b)
```

```
if(a ^ b)
```

```
if(false)
```

```
if(true)
```

# COR - Conditional Operator

```
if(a && b)
```

```
if(a || b)  
if(a & b)  
if(a | b)  
if(a ^ b)  
if(false)  
if(true)  
if(a)
```

# COR - Conditional Operator

```
if(a && b)
```

```
if(a || b)
```

```
if(a & b)
```

```
if(a | b)
```

```
if(a ^ b)
```

```
if(false)
```

```
if(true)
```

```
if(a)
```

```
if(b)
```

# UOI - Unary Operator Insertion

```
int gcd(int x, int y) {  
    int tmp;  
  
    while(y != 0) {  
        tmp = x % y;  
        x = y;  
        y = tmp;  
    }  
  
    return x;  
}
```

# UOI - Unary Operator Insertion

```
int gcd(int x, int y) {  
    int tmp;  
  
    while(y != 0) {  
        tmp = x % +y;  
        x = y;  
        y = tmp;  
    }  
  
    return x;  
}
```

# UOI - Unary Operator Insertion

```
int gcd(int x, int y) {  
    int tmp;  
  
    while(y != 0) {  
        tmp = x % +y;  
        x = y;  
        y = tmp;  
    }  
  
    return x;  
}
```

# UOI - Unary Operator Insertion

```
int gcd(int x, int y) {  
    int tmp;  
  
    while(y != 0) {  
        tmp = x % +y;  
        x = y;  
        y = tmp;  
    }  
    return x;  
}
```

+,-,!,∼,++,--

# SVR - Scalar Variable

```
int gcd(int x, int y) {  
    int tmp;  
  
    while(y != 0) {  
        tmp = x % y;  
        x = y;  
        y = tmp;  
    }  
  
    return x;  
}
```

# SVR - Scalar Variable

```
int gcd(int x, int y) {  
    int tmp;  
  
    while(y != 0) {  
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        y = tmp;  
    }  
  
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}
```

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```
int gcd(int x, int y) {  
    int tmp;  
  
    while(y != 0) {  
        tmp = y % y;  
        x = y;  
        y = tmp;  
    }  
  
    return x;  
}
```



tmp = x % y  
tmp = x % x  
tmp = y % y  
x = x % y  
y = y % x  
tmp = tmp % y  
tmp = x % tmp

# OO Mutation

So far, operators only considered method bodies

Class level elements can be mutated as well:

# OO Mutation

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Class level elements can be mutated as well:

```
public class test {  
    // ..  
    protected void do() {  
        // ...  
    }  
}
```

# OO Mutation

So far, operators only considered method bodies

Class level elements can be mutated as well:

```
public class test {  
    // ..  
    protected void do() {  
        // ...  
    }  
}
```

```
public class test {  
    // ..  
    private void do() {  
        // ...  
    }  
}
```

# OO Mutation

AMC - Access Modifier Change

HVD - Hiding Variable Deletion

HVI - Hiding Variable Insertion

OMD - Overriding Method Deletion

OMM - Overridden Method Moving

OMR - Overridden Method Rename

SKR - Super Keyword Deletion

PCD - Parent Constructor Deletion

ATC - Actual Type Change

# Essential Hypotheses

## Competent Programmer Hypothesis

Programmers tend to write programs that are in the general neighbourhood of the set of correct programs, i.e., mostly correct

## Coupling Effect

Test data that distinguishes all programs differing from a correct one by only simple errors is so sensitive that it also implicitly distinguishes more complex errors.

Therefore, mutation testing focuses on **first order mutants**

One mutation at a time; as opposed to Higher Order Mutant (HOM), i.e., mutant of mutant.

$$a + b > c$$

$a + b > c$

$a - b > c$

$a * b > c$

$a / b > c$

$a \% b > c$

$a > c$

$b > c$

$\text{abs}(a) - b > c$

$a - \text{abs}(b) > c$

$a - b > \text{abs}(c)$

$\text{abs}(a - b) > c$

$-\text{abs}(a) - b > c$

$a - -\text{abs}(b) > c$

$a - b > -\text{abs}(c)$

$-\text{abs}(a - b) > c$

$a - b \geq c$

$a - b < c$

$a - b \leq c$

$a - b = c$

$a - b \neq c$

$b - b > c$

$a - a > c$

$c - b > c$

$a - c > c$

$a - b > a$

$a - b > b$

$a - b > c$

$\emptyset - b > c$

$a - \emptyset > c$

$a - b > 0$

$++a - b > c$

$a - ++b > c$

$a - b > ++c$

$--a - b > c$

$a - --b > c$

$a - b > --c$

$++(a - b) > c$

$--(a - b) > c$

$-a - b > c$

$a - -b > c$

$a - b > -c$

$-(a - b) > c$

$\emptyset > c$

$$a + b > c$$

$a + b > c$

$a - b > c$

$a * b > c$

$a / b > c$

$a \% b > c$

$a > c$

$b > c$

$\text{abs}(a) - b > c$

$a - \text{abs}(b) > c$

$a - b > \text{abs}(c)$

$\text{abs}(a - b) > c$

$-\text{abs}(a) - b > c$

$a - -\text{abs}(b) > c$

$a - b > -\text{abs}(c)$

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$a - b \geq c$

$a - b < c$

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$a - b = c$

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$b - b > c$

$a - a > c$

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$a - c > c$

$a - b > a$

$a - b > b$

$a - b > c$

$\emptyset - b > c$

$a - \emptyset > c$

$a - b > 0$

$++a - b > c$

$a - ++b > c$

$a - b > ++c$

$--a - b > c$

$a - --b > c$

$a - b > --c$

$++(a - b) > c$

$--(a - b) > c$

$-a - b > c$

$a - -b > c$

$a - b > -c$

$-(a - b) > c$

$\emptyset > c$

# Performance Problems



# Performance Problems

**Many** mutation operators possible

Proteum - 103 Mutation Operators for C

MuJava - Adds 24 Class level Mutation Operators



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Each mutation operator results in **many mutants**

Depending on program under test



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Depending on program under test

Each mutant **needs to be compiled**



# Performance Problems

**Many** mutation operators possible

Proteum - 103 Mutation Operators for C

MuJava - Adds 24 Class level Mutation Operators

Each mutation operator results in **many mutants**

Depending on program under test

Each mutant **needs to be compiled**

Each test case needs to be  
executed **against every mutant**



# Equivalent Mutants

Mutation = **syntactic** change

The change might leave  
the **semantics unchanged**

Equivalent mutants are hard  
to detect (**undecidable problem**)

Might be **reached**, but no **infection**

Might **infect**, but without **propagation**



# Example

```
int max(int[] values) {  
    int r, i;  
  
    r = 0;  
    for(i = 1; i<values.length; i++) {  
        if (values[i] > values[r])  
            r = i;  
    }  
  
    return values[r];  
}
```

# Example

```
int max(int[] values) {  
    int r, i;  
  
    r = 0;  
    for(i = 0; i<values.length; i++) {  
        if (values[i] > values[r])  
            r = i;  
    }  
  
    return values[r];  
}
```

# Example

```
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            r = i;  
    }  
  
    return values[r];  
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```

# Example

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        if (values[i] > values[r])  
            r = i;  
    }  
  
    return values[r];  
}
```

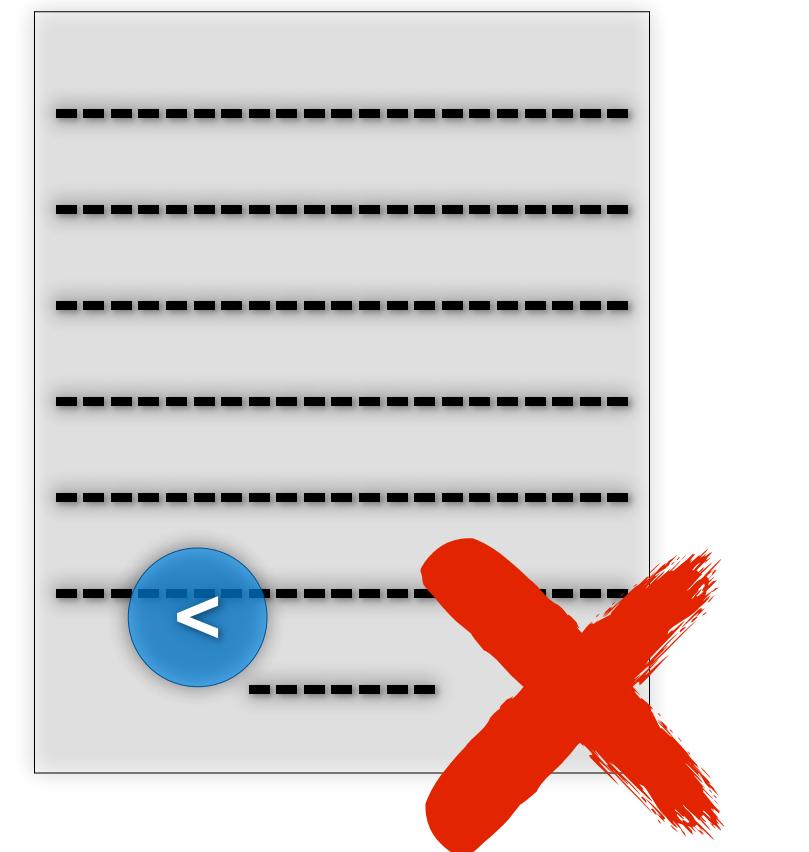
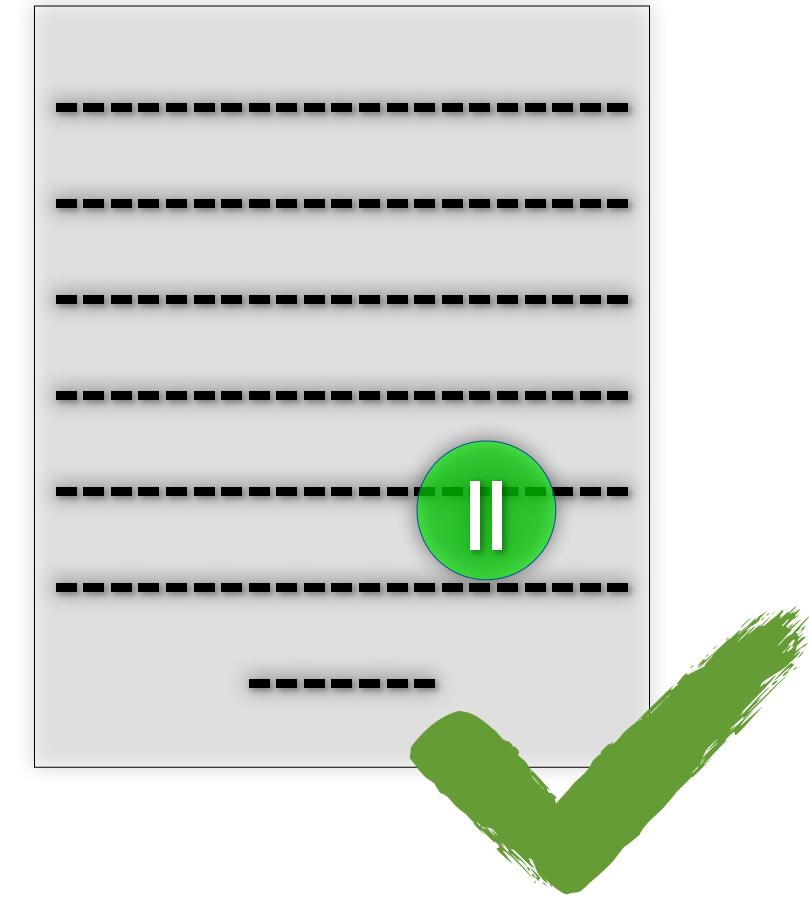
This mutant is equivalent because it only introduces an additional comparison of the first element to itself - **this cannot change the functional behaviour**

# Example

```
int max(int[] values) {  
    int r, i;  
  
    r = 0;  
    for(i = 1; i<values.length; i++) {  
        if (values[i] >= values[r])  
            r = i;  
    }  
  
    return values[r];  
}
```

# Example

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int max(int[] values) {  
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            r = i;  
    }  
  
    return values[r];  
}
```

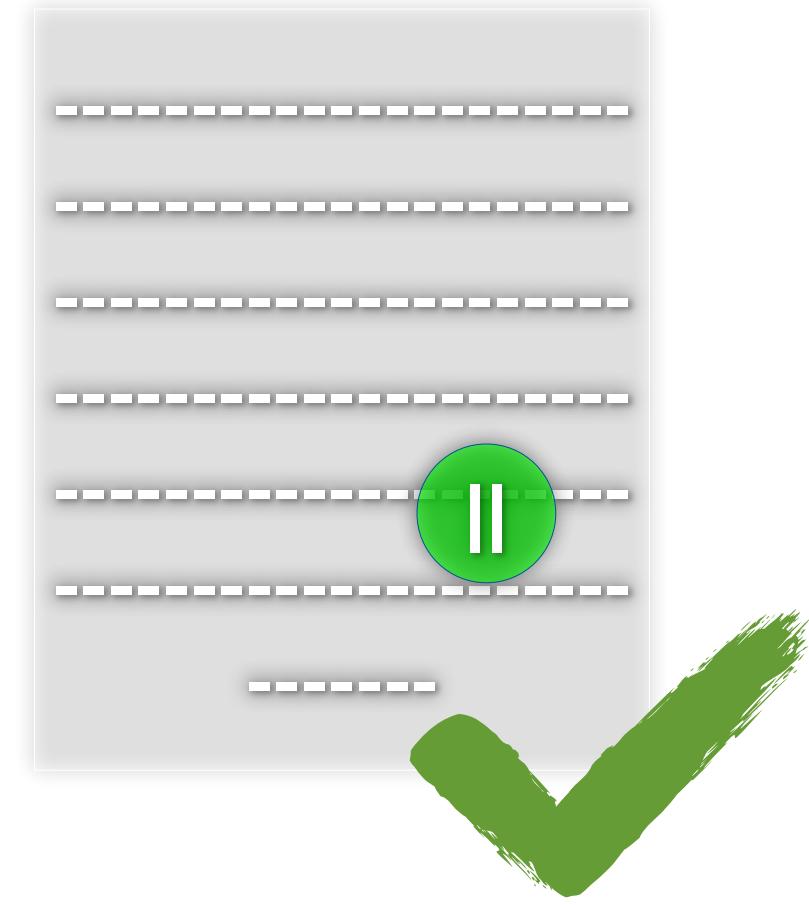


## Mutation Score

Killed Mutants

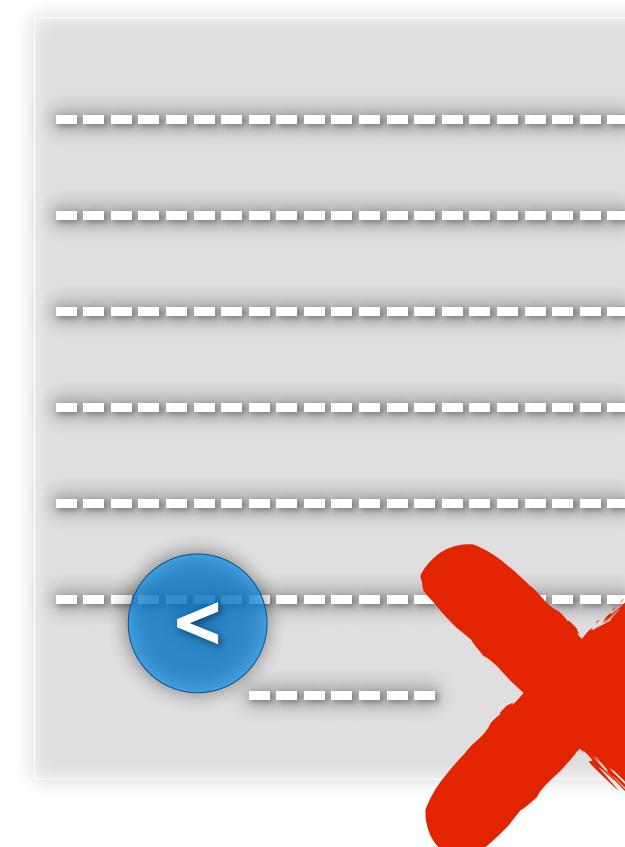
---

Total Mutants



## Mutation Score

Killed Mutants



---

Total Mutants - Equivalent mutants

# *Summary*

**Mutation Testing** uses **mutants** to estimate the **effectiveness** of a test suite

Allows **simulating** other **coverage criteria**

Some **well-known limitations**

Scalability issues, e.g., high number of mutants

**Equivalent mutants**

But **increasingly popular** in industry