Model Based Testing

Dr Michael Foster
Based on material from Professor Rob Hierons

• Model-based Testing

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- Formal models of systems (FSMs)

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- Testing from finite state machines

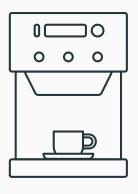
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 - Unique I/O sequences (UIOs)

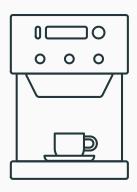
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- Identifying states
 - Distinguishing sequences
 - Unique I/O sequences (UIOs)
 - The W method

Motivating Example - Simple Drinks Machine



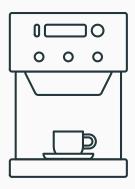
• Select a drink

Motivating Example - Simple Drinks Machine



- Select a drink
- Insert coins

Motivating Example - Simple Drinks Machine



- Select a drink
- Insert coins
- Press "vend" to dispense drink

Unit testing

• Generate tests according to code components

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- Generate tests according to a formal model
- Model is a specification or description of a property of interest, often an abstraction and relatively understandable
- · Aim to achieve some level of model coverage
- Usually black-box and complements white-box testing
- Major benefits realised if the model has a formal semantics potential for automation!

There are lots of different modelling notations (Z, B, state machines)

We will introduce MBT with state machines

An FSM (Mealy machine) is a sixtuple $(S, s_0, X, Y, \delta, \lambda)$ where

• *S* is a finite set of states

¹DFA: Deterministic Finite Automaton

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We can extend δ and λ to take sequences giving δ^* and λ^*

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What types of faults can we test for with FSMs?

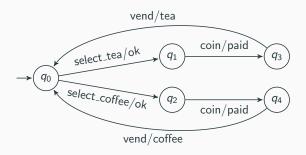
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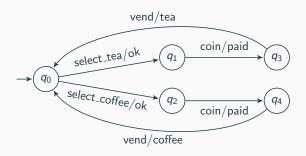
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 requires executing the transition and then showing that an erroneous
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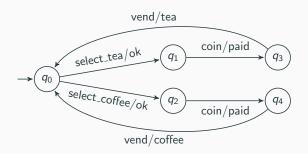
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- There are extra states; can only happen if there are also state transfer faults



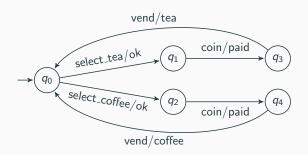
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 - inputs select_tea, coin, vend, gives us outputs ok, paid, tea
 - ullet Machine follows path $q_0 o q_1 o q_3 o q_0$

Terminology

We will focus on FSM testing with *deterministic*, *minimal*, and *completely specified* models

• An FSM is deterministic if there is at **most** one transition from each state for each input (implicit since δ and λ are functions)

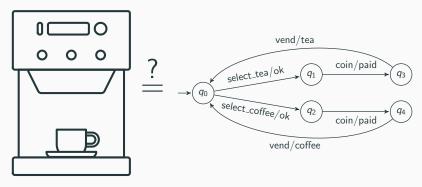
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- An FSM is minimal if there is no trace-equivalent FSM with fewer states, i.e., if no smaller FSM in terms of number of states defines the same regular language

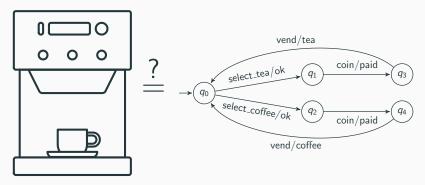
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 - Note: we can convert any FSM into an equivalent minimal FSM

Testing from an FSM



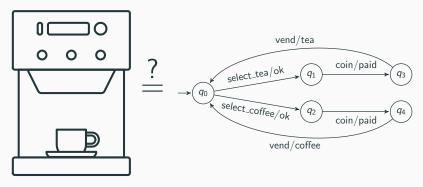
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Testing from an FSM



- Assume the software behaves like an FSM model
- Submit inputs to the FSM and software in parallel

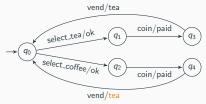
Testing from an FSM



- Assume the software behaves like an FSM model
- Submit inputs to the FSM and software in parallel
- Observe and compare the outputs

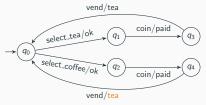
Example Faults for Drinks Machine

Output faults: A transition produces the wrong output (tea)



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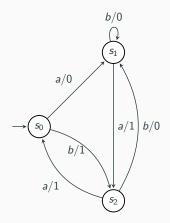
State transfer faults: A transition goes to the wrong state (q_1)



The Transition Tour Method

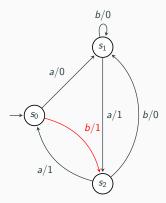
- Given an FSM M, the transition tour method involves:
 - Finding a path (sequence of transitions) ρ from the initial state of M
 that includes all transitions of M.
 - The test sequence is the label of ρ : the corresponding input/output sequence.
- The transition tour method is guaranteed to find output faults as long as there are no state transfer faults.

Consider a simple example

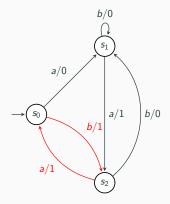


There is more than one possible transition tour

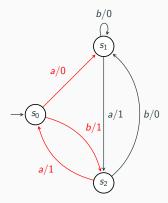
We could start with transition $(s_0, s_2, b/1)$.



Then follow $(s_0, s_2, b/1)$ by $(s_2, s_0, a/1)$.

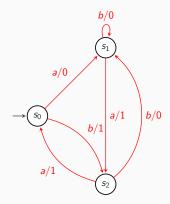


Now maybe follow $(s_0, s_1, a/0)$, giving path $(s_0, s_2, b/1)(s_2, s_0, a/1)(s_0, s_1, a/0)$.



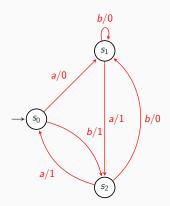
Completing this, we could choose:

- $(s_0, s_2, b/1)(s_2, s_0, a/1)(s_0, s_1, a/0)$ followed by
- $(s_1, s_1, b/0)(s_1, s_2, a/1)(s_2, s_1, b/0)$



This gives us the following test sequence: b/1, a/1, a/0, b/0, a/1, b/0.

- In testing we apply input sequence baabab and expect to observe output sequence 110010
- The test sequence can also be represented by baabab/110010.



Transition Tours: Generating a Transition Tour

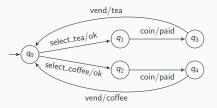
- One could apply a simple heuristic such as:
 - In the current state s, if there is a transition t from s that has not yet been included then add t the the current path and update the current state.
 - Otherwise, follow the shortest path from s that moves M to a state with at least one uncovered transition.
- Alternatively, we can use graph algorithms (we are looking for a path that includes all edges).
 - These can return an optimal (shortest) transition tour in polynomial time.

Transition Tours: Observation

- A transition tour may not find state transfer faults.
- To find state transfer faults we need to be able to *check states*.
- In black-box testing, this requires finding appropriate input sequences.
- We might follow each transition by sequences that distinguish between possible states.

Transition Tour for Drinks Machine

Assume no state transfer faults, then we can test by just executing every transition



The input sequence *select_tea*, *coin*, *vend*, *select_coffee*, *coin*, *vend* will do that for us.

We validate that the output sequence is ok, paid, tea, ok, paid, coffee.

That's Not Good Enough!

• If there are state transfer faults, a transition tour may not find them

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- If there are state transfer faults, a transition tour may not find them
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- We want to explicitly check for state transfer faults

To test from an FSM, we want to check every transition $(q_i, q_j, x/y)$

ullet Get the FSM to state q_i

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Challenges

To test from an FSM, we want to check every transition $(q_i, q_j, x/y)$

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Controllability: How do we get the FSM to q_i ?

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Challenges

Controllability: How do we get the FSM to q_i ?

Observability: How do we know the FSM is in q_i ?

Controllability

Find a sequence that gets the *specification* to the desired state.

Observability

Characterise states in terms of the I/O actions they can perform:

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Observability

Characterise states in terms of the I/O actions they can perform:

- Distinguishing sequences
- Unique I/O sequences (UIOs)
- Characterising set

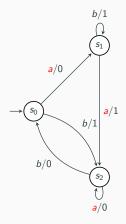
Distinguishing States

Separating two states:

- Two states s and s' of FSM M are separated by input sequence x if: the response of M to x is different in states s and s' $(\lambda^*(s,x) \neq \lambda^*(s',x))$
- If there is such an input sequence x then s and s' are said to be separable.
- States s and s' are said to be equivalent if they are not separable.

Distinguishing States: Example 1

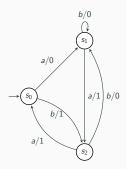
In the following FSM, input a separates states s_0 and s_1 , but does not separate states s_0 and s_2 .



Distinguishing States: Example 2

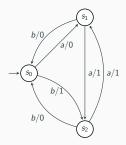
Inputs sequences of length greater than 1 are sometimes needed.

In the following FSM, no input of length 1 can separate states s_1 and s_2 , but aa does $(\lambda^*(s_1,aa)=11,\lambda^*(s_2,aa)=10)$



Distinguishing States: Example of Equivalence

In the following FSM, no input can separate states s_1 and s_2 , therefore they are *equivalent*.



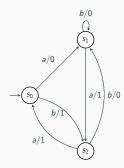
Distinguishing Sequences

A distinguishing sequence D is an input sequence that produces a different output **for each state**.

- For every pair of states s and s' of FSM M such that $s \neq s'$, we have that $\lambda^*(s,D) \neq \lambda^*(s',D)$)
- This means that the output produced in response to *D* identifies the state of *M*.

Distinguishing Sequences: Example

- For the example FSM below, *aa* forms a Distinguishing Sequence since:
 - From state s_0 the output sequence is 01
 - From state s₁ the output sequence is 11
 - From state s₂ the output sequence is 10

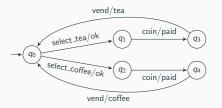


Distinguishing Sequences for Drinks Machine

Not every FSM has a distinguishing sequence! Does the drinks machine have one?

Yes! (assuming we know when an input has been refused)

[select_tea, coin, vend]



- From q_0 we get ok, paid, tea
- From q_1 we get refuse, paid, tea
- From q_2 we get refuse, paid, coffee
- From q_3 we get refuse, refuse, tea
- From q₄ we get refuse, refuse, coffee

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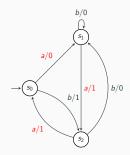
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- Not all FSMs have these either!

Unique I/O Sequences (UIOs): Example

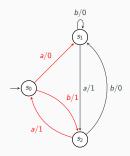
- In the example, a/0 forms a UIO for state s_0 :
 - From state s₀ the output sequence is 0
 - From state s_1 the output sequence is 1
 - \bullet From state s_2 the output sequence is 1
- Note that *a* is not a Distinguishing Sequence.



Unique I/O Sequences (UIOs): Example

Using UIOs to test transitions...

- In order to test the transition $t = (s_2, s_0, a/1)$ we can build a test sequence as follows:
 - A preamble b/1 that reaches the initial state of t (s_2);
 - The input/output pair a/1 of the transition t.
 - Finally, the chosen UIO for the **end state** of the transition (a/0).
- This gives the test sequence b/1, a/1, a/0.



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- More formally, $\forall s \neq s' \in S$. $\exists \overline{x} \in W$. $\lambda^*(s, \overline{x}) \neq \lambda^*(s', \overline{x})$

- A characterising set W is a set of input sequences that distinguishes each pair of states.
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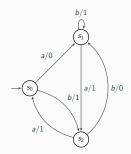
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- ullet There are polynomial time algorithms to generate W sets
- We can minimise every FSM

Characterising Sets: Usage

- If we know the output triggered by each input sequence from W, then we can identify the state.
- To check transition $t = (s_i, s_j, x/y)$ we can separately follow t by each element of W.
- Thus, using a characterising set leads to multiple tests for a transition.

Characterising Sets: Example



For the above FSM, $\{a, b\}$ is a characterising set since for every pair of states, there is an element in the set that distinguishes them:

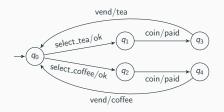
State	Response to a	Response to b
<i>s</i> ₀	0	1
s_1	1	1
<i>s</i> ₂	1	0

Characterising Sets: Implications

- For this example, if we are checking the final state of a transition *t* we separately follow it by *a* and *b*.
- So, we use two tests for a transition.
- If the response to a after t is 1 and the response to b after t is 0 the transition must have taken the implementation to a state corresponding to s₂.

Characterising Set for Drinks Machine

Again, assuming we know when an input has been refused (e.g., a loop in each state that outputs "refused"): $W = \{[select_tea, coin, vend]\}$



State	Response to [select_tea, coin, vend]	
q_0	ok, paid, tea	
q_1	refused, paid, tea	
q_2	refused, paid, coffee	
q ₃	refused, refused, tea	
q_4	refused, refused, coffee	

The W method produces a set of input sequences to test correctness, assuming:

• Implementation behaves like some unknown FSM with no more than *n* states.

¹Note: Many real systems have a reset and sometimes this simply means switching the system off and then on again, but it may be a more complex operation.

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 - A reliable reset is a reset that is known to take the system under test to its initial state (i.e., it has been correctly implemented)¹

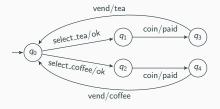
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- V is a state cover: A set of input sequences such that each state of the FSM is reached from s₀ by a (unique) sequence from V. V must include the empty input sequence (i.e., reach the initial state).

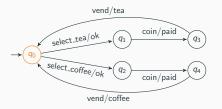
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$$W = \{[select_tea, coin, vend]\}$$



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 $V = \{\epsilon\}$

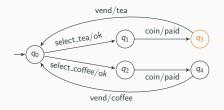
We start with the empty sequence in V, by definition.



$$W = \{[select_tea, coin, vend]\}\$$

 $V = \{\epsilon, [select_tea]\}$

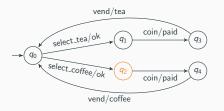
Then visit every state from the initial state; [select_tea] gets us to q_1 .



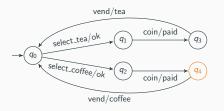
$$W = \{[select_tea, coin, vend]\}$$

$$V = \{\epsilon, [select_tea], [select_tea, coin]\}$$

Next, [$select_tea$, coin] gets us to q_3 .



```
W = \{[select\_tea, coin, vend]\}
V = \{\epsilon, [select\_tea], [select\_tea, coin], [select\_coffee]\}
Next, [select\_coffee] gets us to q_2.
```



```
W = \{[select\_tea, coin, vend]\}
V = \{\epsilon, [select\_tea], [select\_tea, coin], [select\_coffee], [select\_coffee, coin]\}
```

Finally, [select_coffee, coin] gets us to q_4 .

For FSM with n+1 states, the W method produces the test set $VW \cup VXW$ (Remember X is the input alphabet)

VW checks that each input sequence x̄ ∈ V goes to the right state.
 The set VW contains each element of the state cover V followed by each element of the characterising set W.

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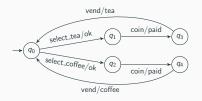
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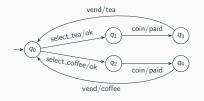
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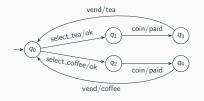
Make sure you reset before each sequence!



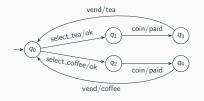
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V = \{\epsilon, [select\_tea], [select\_tea, coin], [select\_coffee], [select\_coffee, coin]\}
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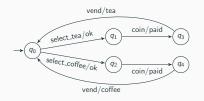
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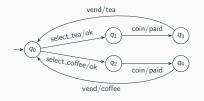
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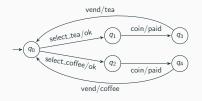
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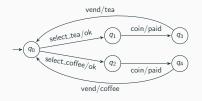
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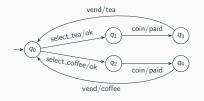
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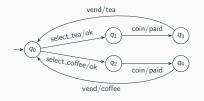
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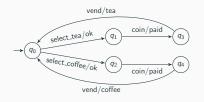
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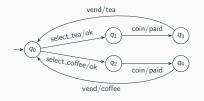
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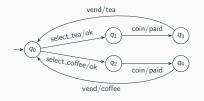
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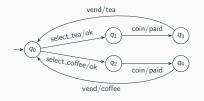
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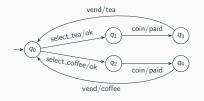
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V = \{\epsilon, [select\_tea], [select\_tea, coin], [select\_coffee], [select\_coffee, coin]\}
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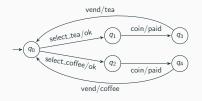
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V = \{\epsilon, [select\_tea], [select\_tea, coin], [select\_coffee], [select\_coffee, coin]\}
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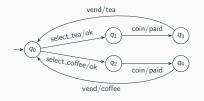
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V = \{\epsilon, [select\_tea], [select\_tea, coin], [select\_coffee], [select\_coffee, coin]\}
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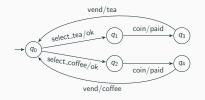
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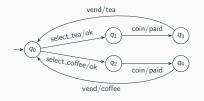
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V = \{\epsilon, [select\_tea], [select\_tea, coin], [select\_coffee], [select\_coffee, coin]\}
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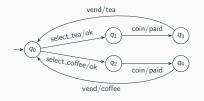
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V = \{\epsilon, [select\_tea], [select\_tea, coin], [select\_coffee], [select\_coffee, coin]\}
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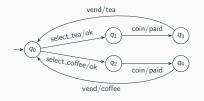
```
V = \{\epsilon, [select\_tea], [select\_tea, coin], [select\_coffee], [select\_coffee, coin]\}
X = \{[select\_tea], [select\_coffee], [coin], [vend]\}
W = \{[select\_tea, coin, vend]\}
VW = \{[select\_tea, coin, vend], [select\_tea, select\_tea, coin, vend], \\ [select\_tea, coin, select\_tea, coin, vend], \ldots\}
VXW = \{[select\_tea, select\_tea, coin, vend], \\ [select\_tea, select\_tea, select\_tea, coin, vend], \\ [select\_tea, coin, select\_tea, select\_tea, coin, vend], \ldots\}
```



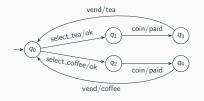
```
V = \{\epsilon, [select\_tea], [select\_tea, coin], [select\_coffee], [select\_coffee, coin]\}
X = \{[select\_tea], [select\_coffee], [coin], [vend]\}
W = \{[select\_tea, coin, vend]\}
VW = \{[select\_tea, coin, vend], [select\_tea, select\_tea, coin, vend], \\ [select\_tea, coin, select\_tea, coin, vend], \ldots\}
VXW = \{[select\_tea, select\_tea, coin, vend], \\ [select\_tea, select\_tea, select\_tea, coin, vend], \\ [select\_tea, coin, select\_tea, select\_tea, coin, vend], \ldots\}
```



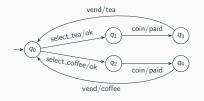
```
V = \{\epsilon, [select\_tea], [select\_tea, coin], [select\_coffee], [select\_coffee, coin]\}
X = \{[select\_tea], [select\_coffee], [coin], [vend]\}
W = \{[select\_tea, coin, vend]\}
VW = \{[select\_tea, coin, vend], [select\_tea, select\_tea, coin, vend], [select\_tea, coin, select\_tea, coin, vend], ...\}
VXW = \{[select\_tea, select\_tea, coin, vend], [select\_tea, select\_tea, coin, vend], [select\_tea, coin, select\_tea, select\_tea, coin, vend], ...\}
```



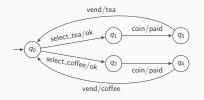
```
V = \{\epsilon, [select\_tea], [select\_tea, coin], [select\_coffee], [select\_coffee, coin]\}
X = \{[select\_tea], [select\_coffee], [coin], [vend]\}
W = \{[select\_tea, coin, vend]\}
VW = \{[select\_tea, coin, vend], [select\_tea, select\_tea, coin, vend],
[select\_tea, coin, select\_tea, coin, vend], \dots\}
VXW = \{[select\_tea, select\_tea, coin, vend],
[select\_tea, select\_tea, select\_tea, coin, vend],
[select\_tea, coin, select\_tea, select\_tea, coin, vend],
```



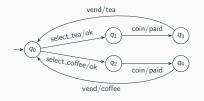
```
V = \{\epsilon, [select\_tea], [select\_tea, coin], [select\_coffee], [select\_coffee, coin]\}
X = \{[select\_tea], [select\_coffee], [coin], [vend]\}
W = \{[select\_tea, coin, vend]\}
VW = \{[select\_tea, coin, vend], [select\_tea, select\_tea, coin, vend],
[select\_tea, coin, select\_tea, coin, vend], \dots\}
VXW = \{[select\_tea, select\_tea, coin, vend],
[select\_tea, select\_tea, select\_tea, coin, vend],
[select\_tea, coin, select\_tea, select\_tea, coin, vend],
```



```
V = \{\epsilon, [select\_tea], [select\_tea, coin], [select\_coffee], [select\_coffee, coin]\}
X = \{[select\_tea], [select\_coffee], [coin], [vend]\}
W = \{[select\_tea, coin, vend]\}
VW = \{[select\_tea, coin, vend], [select\_tea, select\_tea, coin, vend], \\ [select\_tea, coin, select\_tea, coin, vend], \dots\}
VXW = \{[select\_tea, select\_tea, coin, vend], \\ [select\_tea, select\_tea, select\_tea, coin, vend], \\ [select\_tea, coin, select\_tea, select\_tea, coin, vend], \dots\}
```

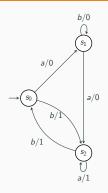


```
V = \{\epsilon, [select\_tea], [select\_tea, coin], [select\_coffee], [select\_coffee, coin]\}
X = \{[select\_tea], [select\_coffee], [coin], [vend]\}
W = \{[select\_tea, coin, vend]\}
VW = \{[select\_tea, coin, vend], [select\_tea, select\_tea, coin, vend], \\ [select\_tea, coin, select\_tea, coin, vend], \ldots\}
VXW = \{[select\_tea, select\_tea, coin, vend], \\ [select\_tea, select\_tea, select\_tea, coin, vend], \\ [select\_tea, coin, select\_tea, select\_tea, coin, vend], \ldots\}
```



```
V = \{\epsilon, [select\_tea], [select\_tea, coin], [select\_coffee], [select\_coffee, coin]\}
X = \{[select\_tea], [select\_coffee], [coin], [vend]\}
W = \{[select\_tea, coin, vend]\}
VW = \{[select\_tea, coin, vend], [select\_tea, select\_tea, coin, vend], \\ [select\_tea, coin, select\_tea, coin, vend], \ldots\}
VXW = \{[select\_tea, select\_tea, coin, vend], \\ [select\_tea, select\_tea, select\_tea, coin, vend], \\ [select\_tea, coin, select\_tea, select\_tea, coin, vend], \ldots\}
```

A Smaller Example



$$V = \{\epsilon, a, b\}, X = \{a, b\}, \text{ and } W = \{a, b\}$$

$$VW = \{\epsilon, a, b\} \{a, b\} = \{a, b, aa, ab, ba, bb\}$$

$$VXW = \{\epsilon, a, b\} \{a, b\} \{a, b\}$$

$$= \{aa, ab, ba, bb, aaa, aab, aba, abb, baa, bab, bba, bbb\}$$

 Where do models come from? Have you ever (voluntarily) drawn a model for your software?

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 - Model inference!

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 - Use transfer

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- Model-based testing is one such technique
- We can test that the system matches an FSM specification using the W method.
 - The W method is guaranteed to find a fault if the implementation is faulty and satisfies the assumption (at most one extra state).