

**CLASSIFICATION OF DRY BEANS BASED ON  
PHYSICAL FEATURES USING MACHINE LEARNING**

NEELESH VASNANI

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DR. MATTHEW PAWLEY  
MASTER OF ANALYTICS  
MASSEY UNIVERSITY

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## EXECUTIVE SUMMARY

Dry beans are the most produced and most consumed pulse in the world. Given the high degree of diversity among dry beans, there is a strong motivation to classify and sort dry beans according to variety in order to increase market value and avoid economic losses. Manual classification of dry beans is expensive, time-consuming, and inefficient. To this end, automated classification can provide operational and cost benefits. This analysis employed ten different machine learning techniques with the goal of automatically classifying dry bean varieties based on their physical features. The data used for the analysis was captured through image processing and contains 16 physical geometric attributes describing 13,611 grains of 7 dry bean varieties.

Prior to modeling, the data was explored to get a better feel of the variation in the variables. The feature variables were transformed for maximum normality to ensure optimal results. The analysis made use of 10 machine learning classification models, namely. standard logistic regression, polynomial logistic regression, decision tree, random forest, support vector machine (SVM), linear discriminant analysis (LDA), quadratic discriminant analysis (QDA), neural network (NN), gradient boosting, and ensemble. 70% of the data was used to train the models while 30% was reserved exclusively for validation.

The results revealed that it is possible to automatically classify dry beans with high accuracy using physical features. The ten models had promising accuracy rates ranging from 90.2% to 93.24%. Polynomial logistic regression was the best performing model with the lowest misclassification rate of 6.76% and the highest accuracy of 93.24%. Based on the best model, the accuracy rates of the seven dry bean varieties - Sira, Barbunya, Dermason, Seker, Horoz, Cali, and Bombay - were 87.28%, 91.76%, 94.14%, 94.47%, 95.21%, 95.56%, and 100% respectively. Moreover, the most important variables for differentiating the dry bean varieties were found to be ShapeFactor1, EquivDiameter, MinorAxisLength, Area, and Perimeter. With these results, it makes sense for dry bean producers to invest in automated systems for dry bean classification.

## 1. INTRODUCTION AND MOTIVATION

In many developing nations, agriculture is one of the largest drivers of economic growth. While these countries may be abundant in natural resources and crops, agricultural activities are often carried out with the lack of modern technology. Traditionally done based on human judgment and knowledge, the classification of seeds, beans, and pulses is one such activity with a great potential for automation (Agrawal & Dahiya, 2018). Accordingly, an increasing number of studies have explored the automation of seed classification using machine learning techniques. Machine learning techniques have been used for the classification of a variety of seeds including dry beans, chickpeas, coffee beans, corn seeds, and grain legumes, to name a few. For example, Pinto et al. (2017) developed a neural network (NN) model to classify green coffee beans with up to 98.7% accuracy. Similarly, Ayele and Tamiru (2020) employed machine learning techniques such as neural networks, support vector machine (SVM), and decision tree (DT) to classify Ethiopian chickpeas with up to 97.5% accuracy. In their nuanced work, Perez-Rodriguez (2019) employed linear discriminant analysis (LDA), random forest (RF), and support vector machine (SVM) models to classify cowpea beans with 93% accuracy. In this analysis, the focus will solely be on the classification of dry beans as a case study for automated seed classification.

Dry bean (scientific name: *Phaseolus vulgaris* L.) is the most edible and most produced pulse in the world. The production, supply, and consumption of dry beans play a crucial role in the agriculture sector of countries where it is cultivated. Dry beans come in several different varieties and there is a high degree of genetic diversity among these varieties. It is not uncommon for farmers and producers to deal with mixed, unsorted bags of dry beans. This is concerning because the simultaneous cultivation of different species of dry beans results in economic losses (Kokul & Ozkan, 2020). It follows that there is a strong need to sort and classify dry beans by variety. However, manual sorting and classification is not a feasible and practical solution in the long run for two main reasons. First, manual sorting of dry beans is a time-consuming process that requires heavy human labor resources which makes it expensive and inefficient at high production volumes. Second, dry bean varieties typically have similar color hues which makes visual inspection difficult and error-prone. These two reasons underpin a strong motivation for the automated classification of dry beans. Using machine learning techniques, dry beans can be automatically and accurately classified based on physical geometric measurements obtained through image processing. Accurate classification of dry beans leads to uniform seed varieties during cultivation. This enables farmers to adhere to better standards for planting and marketing, resulting in higher seed quality (Ajaz & Hussain, 2015). Better seed quality results in a higher production yield of dry beans per batch as well as a reduced likelihood of seed disease. These advantages lead to increased market value and

value-based pricing of the dry beans which not only benefits the farmers but also the economy at large. In this way, the accurate classification of dry beans benefits both production and marketing activities involved in the end-to-end sale of dry beans.

This analysis extends upon the data developed by Koklu and Ozkan (2020) who used image processing to capture physical features of dry beans and summarized them into a single dataset. In their study, they also performed multiclass classification of the dry bean samples using computer vision and machine learning techniques and obtained 93% accuracy. This analysis in part aims to match or improve the accuracy obtained by the original authors using a variety of machine learning techniques. Specifically, the following research aims are addressed:

- Build and compare different machine learning models to accurately classify dry bean varieties using physical geometric attributes captured through image processing
- Evaluate whether the machine learning models proposed by the original authors of the dataset, Koklu and Ozkan (2020), can be improved in terms of predictive power
- Identify the machine learning technique that best discriminates among different dry bean varieties based on misclassification rates and relevant performance metrics
- Compare the different dry bean varieties in terms of ease of classification
- Determine which physical attributes are most important for classifying dry beans

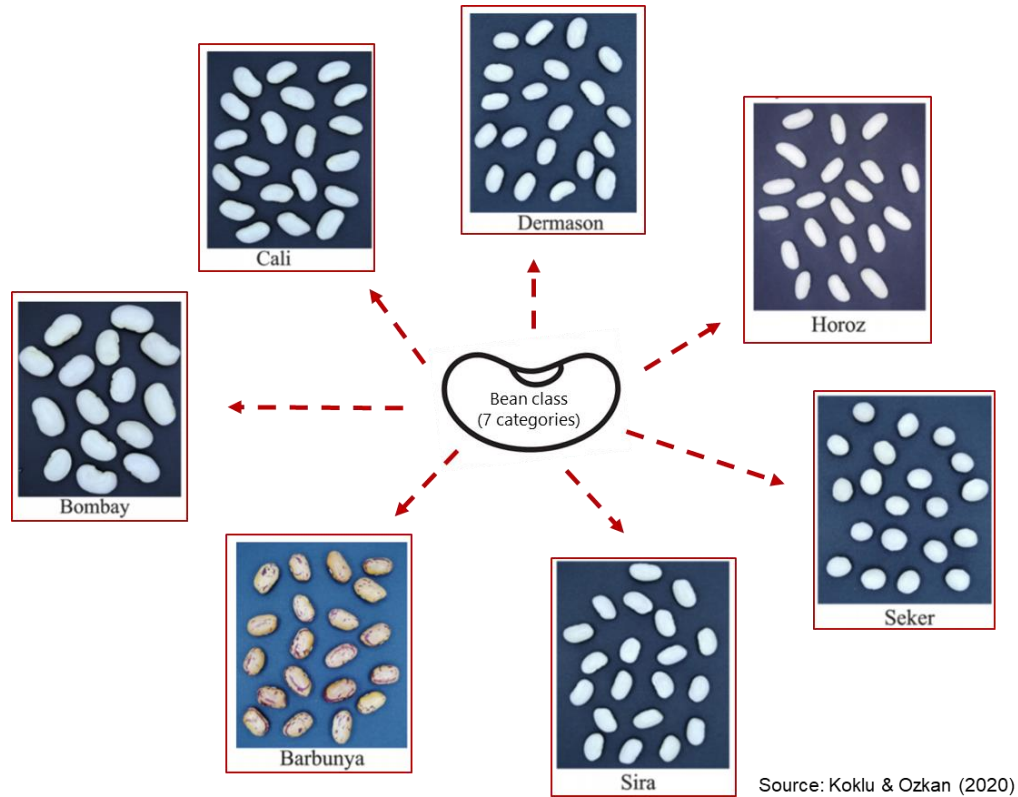
## **2. METHODS**

### **2.1. DATA OVERVIEW**

The dataset is sourced from Koklu and Ozkan (2020) and consists of 13,611 observations which correspond to 13,611 samples of dry bean grains that were obtained from 236 images captured through image processing. For this analysis, the 13,611 observations are randomly split into 70% (9,521 observations) for training and 30% (4,090 observations) for validation. To obtain the images of dry beans, Koklu and Ozkan (2020) sourced 1 kilogram worth of the seven dry bean varieties from certified seed producers based in Turkey. They used a computer vision system consisting of a high-resolution 2.2 megapixel Prosilica GT2000C camera, a lens mount, and an illumination box to prevent shadow formation to capture the images. These images were then processed using MATLAB to extract geometric features of the dry beans. There are 16 numerical feature variables in the data which describe the physical geometric attributes of the dry beans. Out of the 16 features, 12 features are related to core geometric dimensions from which 4 additional features are derived to describe the shape form of the beans. The target variable is a multiclass nominal variable containing seven classes of dry beans: Barbunya, Bombay, Cali, Horoz, Seker,

Sira, and Dermason. The figure below shows a sample of the images for each of the seven dry bean varieties.

*Figure 1. Dry Bean Class (Target Variable)*



As can be observed in Figure 1 above, the seven dry bean varieties appear to be quite similar in terms of color. Therefore, color would not be an effective differentiator for classifying and sorting the dry beans. Discrimination based on geometric features which encapsulate the size, form, shape, and structure of the dry beans is more appropriate. Table 1 below provides a short description of the seven dry bean varieties (Koklu & Ozkan, 2020).

*Table 1. Description of Dry Bean Varieties*

Dry Bean Variety	Qualitative description
Cali	White color, kidney-shaped, slightly plump
Horoz	White color, long and cylindrical, medium-sized
Dermason	White color, fuller flat, round-shaped
Seker	White-color, round shape, large-sized
Bombay	White color, oval and bulging shape, very large size
Barbunya	Beige and red speckled color, oval-shaped, large size
Sira	White color, flat and round shape, small size

Table 1 below summarizes all the variables in the dataset along with the description and role for each variable.

*Table 2. Definition of Variables*

Variable	Description	Role
Class	Dry bean classification (Seker, Sira, Horoz, Cali, Bombay, Dermason, or Barbunya)	Target
Area (A)	Area of bean zone in pixels	Input/Feature
Perimeter (P)	Circumference of bean	Input/Feature
Major Axis Length (L)	Length of longest line that can be drawn from a bean	Input/Feature
Minor Axis Length (I)	Length of longest line drawn from a bean, perpendicular to main axis	Input/Feature
Aspect Ratio (K)	Ratio of major and minor axis lengths ( $L / I$ )	Input/Feature
Eccentricity (Ec)	Eccentricity of ellipse with same moments as bean region	Input/Feature
Convex Area (C)	Number of pixels in smallest convex polygon containing bean area	Input/Feature
Equivalent Diameter (Ed)	Diameter of the circle whose area equals bean area	Input/Feature
Extent (Ex)	Ratio of pixels of the bean-bounding rectangle to the bean area	Input/Feature
Solidity (S)	Ratio of pixels in convex shell to area of the bean in pixels	Input/Feature
Roundness (R)	Calculated as: $(4\pi A)/(P^2)$	Input/Feature
Compactness (CO)	Calculated as: $Ed / L$	Input/Feature
Shape Factor 1 (SF1)	Calculated as: $L / A$	Input/Feature
Shape Factor 2 (SF2)	Calculated as: $I / A$	Input/Feature
Shape Factor 3 (SF3)	Calculated as: $A / (L * L * \pi / 4)$	Input/Feature
Shape Factor 4 (SF4)	Calculated as: $A / (L * I * \pi / 4)$	Input/Feature

Figure 2 below shows the number of records in the dataset for each dry bean variety. The Dermason variety has the highest number of observations (3546, 26% of total) while the Bombay variety has the lowest number of observations (522, 3.8% of total). For seven classes, the ideal number of observations in a perfect sample for each variety would be 1,944 or 14% per variety.

*Figure 2. Number of Records per Bean Class Type*

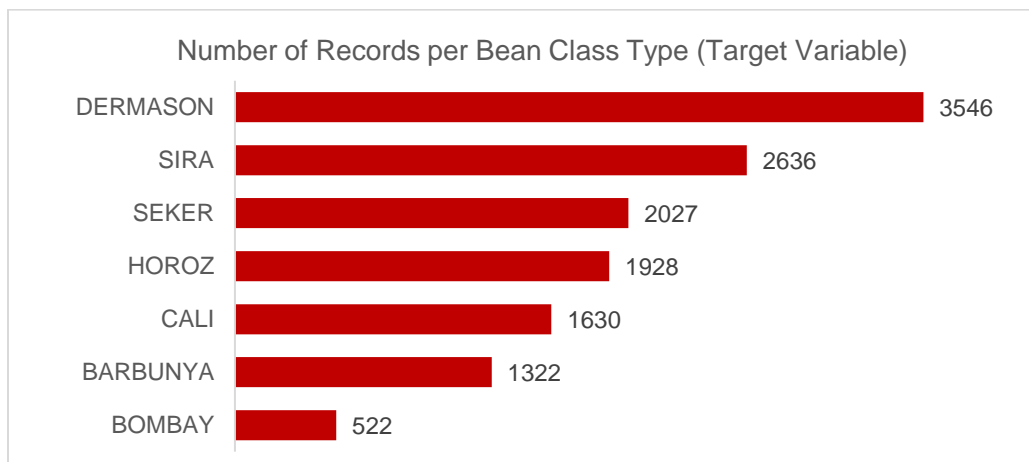


Figure 3. Distribution of Feature Variables

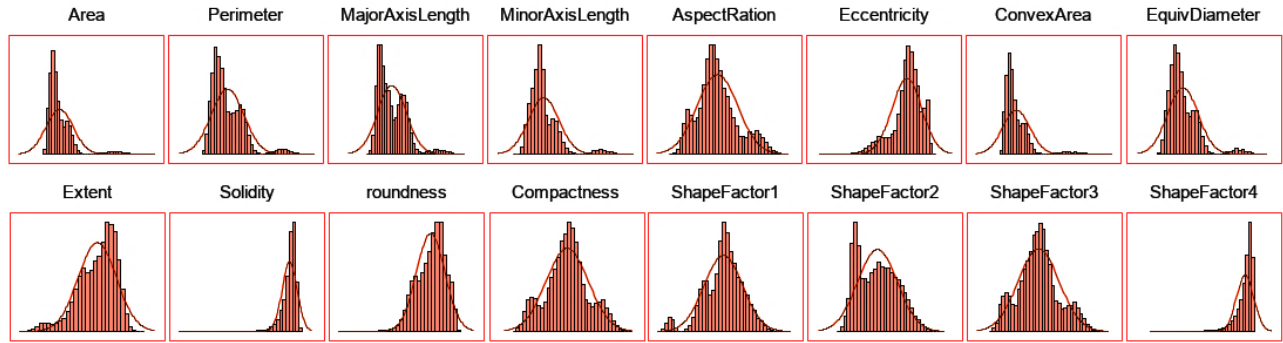


Figure 3 above shows the distribution of the 16 feature variables in the dataset. Generally, most of the variables appear to have an approximately symmetric, normal distribution. However, the variables area, perimeter, and convex area appear to be right-skewed. Moreover, solidity, shape factor 4, and roundness appear to be left-skewed. Some bimodal patterns are present as well; for instance, in perimeter, major axis length, and eccentricity. Moreover, compactness and shape factor 3 appear to have trimodal distributions. These bimodal and trimodal patterns could represent the inherent variation in physical attributes between the different dry bean varieties.

Table 3. Mean Values of Features by Bean Variety

Bean Variety	Area	Perimeter	MajorAxisL	MinorAxisL	AspectRatio	Eccentricity	ConvexArea	EqDiameter	Extent	Solidity	Roundness	Compactness	SF1	SF2	SF3	SF4
BARBUNYA	69804	1046.11	370.04	240.31	1.54	0.75	71026	297.31	0.749	0.983	0.800	0.805	0.005	0.001	0.649	0.996
BOMBAY	173485	1585.62	593.15	374.35	1.59	0.77	175813	468.94	0.777	0.987	0.864	0.793	0.003	0.001	0.629	0.992
CALI	75538	1057.63	409.50	236.37	1.73	0.81	76689	309.54	0.759	0.985	0.846	0.757	0.005	0.001	0.573	0.991
DERMASON	32119	665.21	246.56	165.66	1.49	0.74	32498	201.68	0.753	0.988	0.908	0.819	0.008	0.002	0.672	0.997
HOROZ	53649	919.86	372.57	184.17	2.03	0.87	54440	260.73	0.706	0.985	0.794	0.701	0.007	0.001	0.492	0.992
SEKER	39881	727.67	251.29	201.91	1.25	0.58	40270	224.95	0.772	0.990	0.945	0.897	0.006	0.003	0.805	0.998
SIRA	44729	796.42	299.38	190.80	1.57	0.77	45273	238.34	0.749	0.988	0.885	0.797	0.007	0.002	0.636	0.995
Overall	53048	855.28	320.14	202.27	1.58	0.75	53768	253.06	0.750	0.987	0.873	0.800	0.007	0.002	0.644	0.995

Table 3 above shows the descriptive mean values (measured in pixels) for each feature broken down by bean variety. This helps to provide a visual hypothesis regarding which features can be expected to be most useful in discriminating among the bean varieties. For example, the mean values for area and perimeter seem to vary significantly across the seven varieties. Accordingly, these variables can be expected to be important features. On the other hand, the mean values for variables such as extent, solidity, roundness, and shape factor 4 appear to be relatively similar across bean varieties. From the table, it can also be inferred that the Bombay variety is the largest in size since it has the highest area and perimeter. The complete descriptive statistics including standard deviations, maximum, and minimum values can be found in Appendix A.



With optimized model performance in mind, the 16 feature variables were transformed to achieve maximum normality. Table 4 below summarizes the data transformations used.

*Table 4. Summary of Variable Transformations*

Logarithm	Power	Square root	Exponential
Area	Eccentricity	AspectRatio	Extent
Perimeter	Solidity	ShapeFactor2	Roundness
MajorAxisLength	ShapeFactor4	ShapeFactor3	
MinorAxisLength			
ConvexArea			
EquivDiameter			
Compactness			
ShapeFactor1			

## 2.2. STATISTICAL METHODOLOGY

In machine learning terminology, predictive modeling is known as supervised learning. If the variable to be predicted is categorical, the prediction task is a classification problem. If the variable to be predicted is continuous, then it is a regression model (Ye, 2013). Since the goal of this analysis is to predict or classify the category of dry bean among seven different varieties, classification models are appropriate. Several machine learning algorithms exist for classification tasks. Moreover, different algorithms can produce different results depending on the dataset. Accordingly, it is important to compare several machine learning techniques to uncover which algorithm performs best for the task at hand. For this analysis, 10 machine learning models are employed as described below:

- **Logistic regression** – Logistic regression is similar to linear regression but is used when the target variable is binary or multi-class as opposed to continuous. The resulting predicted values surface as the probability of the levels of the target variable with respect to a certain set of inputs. In a similar study, Ajaz and Hussain (2015) used logistic regression to classify Chickpea beans. Since Chickpea beans are the second most consumed pulse after dry beans, it would be meaningful to see how logistic regression performs for dry beans.
- **Polynomial logistic regression** – This model is simply a more complex and advanced version of the standard logistic regression in that it includes polynomial terms, two-way interactions, and a 100-max-step stepwise method. Although more computationally intensive, this model can produce better results for nonlinear data.
- **Decision tree** – A decision tree is a visual classification method that follows a tree-like path to show classification decisions for different ranges of input variables at different hierarchies of partitions (Ye, 2013). Decision trees are widely used for classification tasks because of their easy interpretation, visual appeal, and simple implementation. Ayele and

Tamiru (2020) used decision trees to classify chickpeas while Koklu and Ozkan (2020) used the method to classify dry beans.

- **Neural Network** – Hinged on the biological concept of how neurons operate, Neural Networks (NN) - also known as Multilayer Perceptrons (MLP) - are powerful algorithms that can be understood as advanced extensions of regression models in that they include an additional hidden layer between the input variables layer and target variable layer. The advantage of Neural Networks is that they can model virtually any relationship between an input and target variable with high accuracy. The drawback, however, is poor interpretability of the model. Among seed classification studies, Neural Network is the most prevalent and widely used algorithm due to its high performance (Koklu & Ozkan, 2020).
- **Linear discriminant analysis (LDA)**- LDA is one of the simpler methods used for classification and is based on dimensionality reduction. LDA is included as an alternate model primarily because it has shown promising results for seed classification when combined with image processing techniques (Medeiros et al., 2020). For this analysis, an LDA model with proportional (as opposed to equal) prior probabilities is used.
- **Quadratic discriminant analysis (QDA)** – QDA is similar to LDA except in that it can learn and use quadratic boundaries which therefore makes it more flexible. If the null hypothesis that the covariance matrixes are homogeneous is rejected, QDA is more appropriate than LDA. Moreover, QDA may work better for nonlinear data compared to LDA. As such, it is important to test the performance of QDA in addition to LDA.
- **Support vector machine (SVM)** – SVM is a kernel-based algorithm with high computational power for classification and regression problems. It is known to have better generalization, a stronger theoretical base, and more accurate results, especially for nonlinear data. The motivation for including this algorithm is that Koklu and Ozkan (2020) found SVM to be the best performing model for dry bean classification.
- **Gradient Boosting** – Gradient boosting is a recursive algorithm that creates a series of decision trees by fitting the error of the prediction from the earlier tree in the series. It essentially creates a strong model from several weak models. The model was run in SAS Enterprise Miner with a shrinkage or learning rate of 0.1 using 100 iterations.
- **Random Forest** – The random forest algorithm works by constructing several decision trees and returns the output based on the class selected by most trees (for classification models). One advantage of random forests is that they correct for decision trees' tendency of overfitting. The HP Forest node in SAS Enterprise Miner with default settings is used for this model.
- **Ensemble** – An ensemble model is treated as a single model that is comprised of several constituent models, making it powerful in terms of predictive accuracy and model stability. For categorical target variables such as in this case, the majority “vote” across the

constituent models is taken. A manual ensemble model is used in this analysis by combining the Neural Network, Logistic Regression, Decision Tree, and Gradient Boosting models. Ensemble models are relatively new, so they are not as widely used in the literature.

*Table 5. Comparison of Machine Learning Technique Usage with Related Seed Classification Studies*

Model	This Analysis	Koklu & Ozkan (2020)	Pinto et al. (2017)	Medeiros et al. (2020)	Ayele & Tamiru (2020)	Basol & Toklu (2021)	Perez et al. (2019)	Ali et al. (2020)	Ajaz & Hussain (2015)	Agrawal & Dahiya (2020)	Number of Studies (excluding this analysis')
Seed type	Dry Bean	Dry Bean	Coffee bean	Curcas	Chickpea	Various	Cowpea	Corn seed	Chickpea	Various	
Decision Tree											4
Standard Logistic Regression											2
Polynomial Logistic Regression											
Random Forest											2
Neural Network											6
Gradient Boosting											
Support Vector Machine (SVM)											4
Linear Discriminant Analysis											3
Quadratic Discriminant Analysis											
K-Nearest-Neighbors (kNN)											3
Ensemble											

Table 5 above shows a comparison of models used in this analysis relative to nine other seed classification studies. This analysis compares 10 different models for dry bean classification, most of which have been used by other seed classification studies as well. However, four models, namely: polynomial logistic regression, gradient boosting, quadratic discriminant analysis, and manual ensemble, have not been used by any of the other nine studies. Neural Network is the most prevalent model as it was used by six out of the nine reviewed studies, followed by SVM and decision trees which were employed by four studies. Overall, the 10 models selected for analysis represent a good combination of linear (e.g. Logistic regression, LDA, etc) and nonlinear (e.g. SVM, QDA, Decision trees, Neural networks, etc.) machine learning algorithms.

During the fitting process, all models used misclassification rates as the selection criterion for model assessment. Moreover, a 70% train – 30% validation split was used wherein all models were assessed based on misclassification rates on the validation data. In addition to misclassification rates (i.e. 100% – accuracy), the 10 models were also evaluated using model performance metrics such as precision, specificity, recall, and F1-Score. The models were ran using SAS Enterprise Miner software, SAS Base, and Python on a Windows 10 machine with 16GB ram and an Intel i7 processor. Specifically, SAS Base was used for the linear and quadratic discriminant analysis models (See Appendix B) while Python was used for the SVM model. The other seven models were all ran using SAS Enterprise Miner. Some results were reformatted using MS Excel for ease of reporting.

### 3. RESULTS AND ANALYSIS

Table 6. Comparison of Models Ranked by Misclassification Rate on Validation Data

Model	Misclassification Rate	ASE	ROC Index
1 - Polynomial Logistic Regression	6.76%	0.0145	0.986
2 - Support Vector Machine (SVM)	6.85%	0.0149	0.985
3 - Ensemble	7.02%	0.0152	0.985
4 - Quadratic Discriminant Analysis (QDA)	7.20%	0.0153	0.985
5 - Standard Logistic Regression	7.48%	0.0155	0.985
6 - HP Random Forest	7.75%	0.0164	0.984
7 - Neural Network	7.87%	0.0163	0.985
8 - Gradient Boosting	7.97%	0.0168	0.984
9 - Linear Discriminant Analysis (LDA)	8.25%	0.0182	0.975
10 - Decision Tree	9.80%	0.0247	0.945

As can be seen in Table 6, all models perform fairly well in classifying the dry beans with misclassification rates below 10%, ranging from 6.76% for the best model to 9.80% for the worst model. The ROC Index scores (i.e. area under the ROC curve) for the models which range from 0.945 to 0.986, coupled with low ASEs, further indicate a healthy model fit. The best performing model is polynomial logistic regression with a misclassification rate of 6.76% or an accuracy of 93.24%. This is marginally better than the best model obtained by the original authors, Koklu and Ozkan (2020), who got an accuracy of 93.19% using SVM. As shown in the table, SVM is the second-best model with a misclassification rate of 6.85% or an accuracy of 93.15%. This result coincides with that obtained by the original authors. In their model for classifying cowpea beans, Perez-Rodriguez et al. (2019) got an accuracy of 93% using SVM, which was also their best model outperforming LDA, k-Nearest-Neighbors, and random forest. This potentially suggests that SVM tends to perform well for the classification of not only dry beans but seeds in general. While the ensemble model does not have the best misclassification rate as one might expect, it performs better than three of its constituent models including neural network, decision tree, and gradient boosting. The neural network model had a misclassification rate of 7.87% or an accuracy of 92.13% which is higher than that obtained by Koklu and Ozkan (2020) who got an accuracy of 91.73% for their MLP model. On the flip side, the misclassification rate of 9.8% or accuracy of 90.2% for the decision tree model is worse compared to the 92.36% obtained by the original authors. Among the 10 models, the decision tree has the highest (i.e. poorest) misclassification rate of 9.8%. This deviates greatly from the results of Ayele and Tamiru (2020) who found that decision trees work best in classifying chickpea beans with an accuracy rate of 97.5%. Also noteworthy is that the superior performance of polynomial logistic regression compared to standard logistic regression, and quadratic discriminant analysis compared to linear discriminant analysis, suggests that non-linear decision boundaries work better for classifying dry beans based on physical features.

Table 7. Confusion Matrix for All Models (Validation Data)

	Predicted Positive	Predicted Negative
Actual Positive	<b>True Positives (TP)</b> 1 - Polynomial Logistic Regression 691 2 - Support Vector Machine (SVM) 687 3 - Ensemble 683 4 - Quadratic Discriminant Analysis (QDA) 686 5 - Standard Logistic Regression 689 6 - HP Random Forest 679 7 - Neural Network 688 8 - Gradient Boosting 679 9 - Linear Discriminant Analysis (LDA) 675 10 - Decision Tree 672	<b>False Negatives (FN)</b> 1 - Polynomial Logistic Regression 100 2 - Support Vector Machine (SVM) 104 3 - Ensemble 108 4 - Quadratic Discriminant Analysis (QDA) 105 5 - Standard Logistic Regression 102 6 - HP Random Forest 112 7 - Neural Network 103 8 - Gradient Boosting 112 9 - Linear Discriminant Analysis (LDA) 115 10 - Decision Tree 119
Actual Negative	<b>False Positives (FP)</b> 1 - Polynomial Logistic Regression 94 2 - Support Vector Machine (SVM) 95 3 - Ensemble 96 4 - Quadratic Discriminant Analysis (QDA) 103 5 - Standard Logistic Regression 113 6 - HP Random Forest 101 7 - Neural Network 113 8 - Gradient Boosting 110 9 - Linear Discriminant Analysis (LDA) 119 10 - Decision Tree 127	<b>True Negatives (TN)</b> 1 - Polynomial Logistic Regression 3205 2 - Support Vector Machine (SVM) 3204 3 - Ensemble 3203 4 - Quadratic Discriminant Analysis (QDA) 3196 5 - Standard Logistic Regression 3186 6 - HP Random Forest 3198 7 - Neural Network 3186 8 - Gradient Boosting 3189 9 - Linear Discriminant Analysis (LDA) 3181 10 - Decision Tree 3172

To evaluate whether a model is good enough to scale for practical use, the classification accuracy is not sufficient (Ye, 2013). For an exhaustive assessment, close attention must be paid to the true positives, true negatives, false positives, and false negatives, as well as additional performance metrics associated with these four parameters such as precision, sensitivity, specificity, and F1-Score. The confusion matrix in Table 7 above shows that polynomial logistic regression (the best model based on misclassification rates) indeed has the highest number of true positives and true negatives and the lowest number of false negatives and false positives. From the actual and predicted values, the performance metrics are computed as shown in Table 8 below.

Table 8. Model Performance Metrics

Model	Sensitivity	Specificity	Precision	F1-Score	Misc. Rate	Accuracy
1 - Polynomial Logistic Regression	87.4%	95.2%	88.0%	87.7%	6.8%	93.24%
2 - Support Vector Machine (SVM)	86.9%	95.2%	87.9%	87.3%	6.9%	93.15%
3 - Ensemble	86.3%	95.2%	87.7%	87.0%	7.0%	92.98%
4 - Quadratic Discriminant Analysis	86.7%	95.0%	86.9%	86.8%	7.2%	92.80%
5 - Standard Logistic Regression	87.1%	94.7%	85.9%	86.5%	7.5%	92.52%
6 - HP Random Forest	85.8%	95.0%	87.1%	86.4%	7.8%	92.25%
7 - Neural Network	87.0%	94.7%	85.9%	86.4%	7.9%	92.13%
8 - Gradient Boosting	85.8%	94.8%	86.1%	85.9%	8.0%	92.03%
9 - Linear Discriminant Analysis (LDA)	85.4%	94.5%	85.0%	85.2%	8.3%	91.75%
10 - Decision Tree	85.0%	94.3%	84.1%	84.5%	9.8%	90.20%

In the context of dry bean production and distribution, the presence of other dry bean varieties in a batch of a certain dry bean variety is an undesirable scenario as it results in lower market value (Koklu & Ozkan, 2020; Ali et al., 2020). Therefore, if a classification model is used to automatically classify dry beans, the number of false positives within a batch should be minimum. This can be assessed using the precision and specificity of the model. The best model has a healthy precision of 88%. This means that if a batch of 100 dry beans of a particular variety is prepared based on the predictions of the best model, 88 are expected to actually be of that variety while 12 are expected to be of other varieties (i.e. false positives). The models are also highly specific, as evidenced by the high specificity (i.e. true negative %) rates of 94.3% to 95.2%. High specificity suggests a small number of false positives, which is desirable. Taking the Seker dry bean variety as an example, a specificity of 95.2% for the best model suggests a high probability that other dry bean varieties would indeed be classified as non-Seker and would not end up being falsely classified as the Seker variety.

Also crucial in dry bean cultivation is that the yield is maximized and the waste from incorrect classifications is minimized (Pinto et al., 2017). This can be assessed to a large extent using the sensitivity (i.e. true positive rate) of the model. The best model has a sensitivity of 87%. This means that out of 100 dry beans of a particular variety, the best model would be able to classify 87 of them correctly into batches designated for that variety, but incorrectly classify 13 as other varieties. This means that 13% of the yield of a particular variety would not end up being classified into the batches for that variety. That said, a precision of 87% across the board provided by an automated system is still much better than manual classification. The models are also compared and evaluated based on F1-Scores as shown in Table 8. The F1-Score is the harmonic mean of the precision and sensitivity of a model meaning it considers both false positives and false negatives. Moreover, the F1-Score is a useful performance metric especially for irregular class distributions such as in this case (Ye, 2013). The F1-Score of 87.7% indicates a good balance between precision and sensitivity. Figure 4 below shows the key model performance metrics for the best model. Overall, the model has high values for all metrics but the strength of the model particularly lies in its high specificity and high accuracy rates.

*Figure 4. Model Performance Metrics for Polynomial Regression (Best Model)*

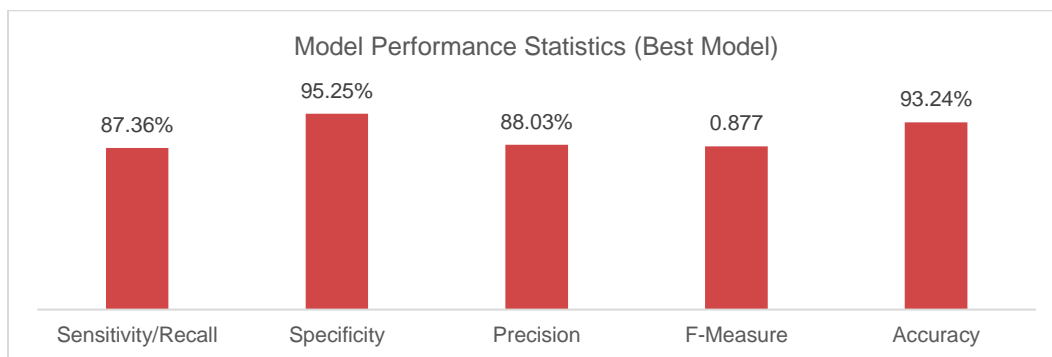
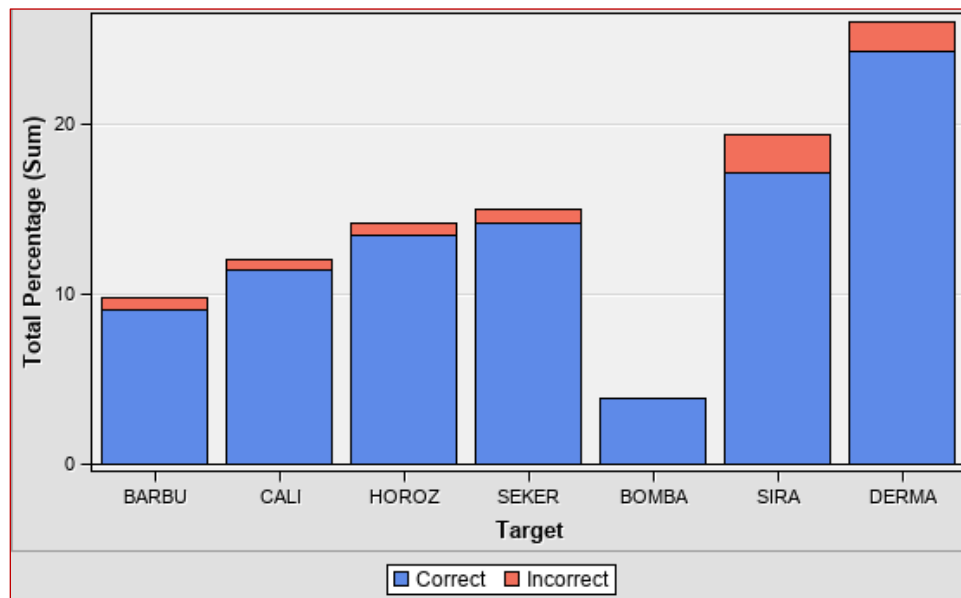


Figure 5. Accuracy by Bean Variety Based on Polynomial Regression (Best Model)



Figure 5 above shows the accuracy of classification for each dry bean variety. Bombay beans are the easiest to fully classify as evidenced by the accuracy of 100%. On the other hand, Sira beans are the hardest to classify with an accuracy rate of 87.28%, making them the only variety with a sub-90% accuracy rate. As noted in Table 1 earlier, Sira beans are the smallest in size among the seven beans whereas Bombay beans are very large in size. Given that physical features based on processed images are used as inputs to classify the beans, the relative size of the dry bean varieties could be a potential factor that affects classification accuracy.

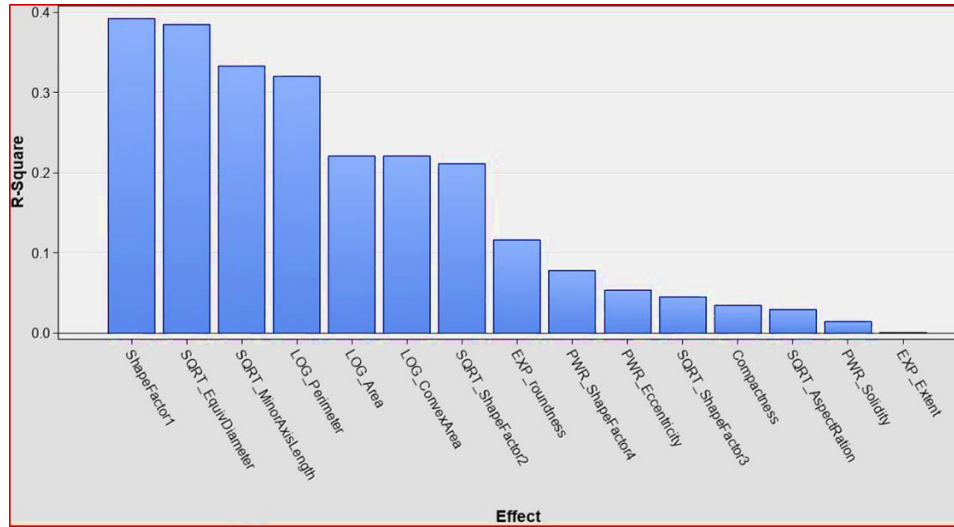
Figure 6. Classification Chart for Polynomial Regression (Best Model)



Based on the classification chart in Figure 6, the best model has no issues in classifying all the dry bean varieties with roughly equal misclassification rates despite the data having slightly disproportional classes. For Bombay beans, there were no incorrectly classified samples.



Figure 7. Variable Importance Plot for Polynomial Regression (Best Model)



The figure above shows which physical features are most important in differentiating the dry bean varieties from each other. The top five most important features include ShapeFactor1, EquivDiameter, MinorAxisLength, Perimeter, and Area for the polynomial logistic regression model (best model). Three of these variables, namely: MinorAxisLength, ShapeFactor1, and Perimeter, were also observed to be the most important variables in splitting the Decision Tree (See Appendix D for the complete decision tree). Therefore, these variables should be prioritized in situations where decisions must be made based on limited data availability.

#### 4. DISCUSSION AND CONCLUSIONS

The results have shown that it is possible to accurately classify the seven dry bean varieties based on their physical features using automated machine learning techniques. Ten different machine learning models were employed and compared, namely: standard logistic regression, polynomial logistic regression, decision tree, random forest, SVM, LDA, QDA, neural network, gradient boosting, and ensemble. The results revealed high accuracy rates for all models - ranging from 90.2% to 93.24%. In addition to classification accuracy, all the models performed well based on performance metrics such as ASE, ROC Index, sensitivity, specificity, precision, and F1-score. Polynomial logistic regression was the best model with the highest accuracy rate of 93.24% and lowest misclassification rate of 6.76% based on a 70/30 train-validation split. This best model was able to marginally improve the accuracy of 93.19% obtained by the original authors, Koklu and Ozkan (2020). On the other hand, the decision tree which has the easiest interpretability unfortunately had the poorest accuracy rate. It was also noted that nonlinear techniques such as polynomial logistic regression and quadratic discriminant analysis had higher accuracy rates.

Generally, the models were able to classify the fairly imbalanced and disproportional bean classes with high accuracy. The accuracy rates of the seven dry bean varieties: Sira, Barbunya,



Dermason, Seker, Horoz, Cali, and Bombay were 87.28%, 91.76%, 94.14%, 94.47%, 95.21%, 95.56%, and 100% respectively. Based on these results, the Bombay dry bean variety can be fully classified with a 100% accuracy whereas the Sira variety is most difficult to classify (87.28% accuracy). Moreover, the results suggest that Physical 2D attributes alone can be used to accurately classify dry beans with relatively high accuracy. Specifically, the most important variables for differentiating the dry bean varieties were found to be ShapeFactor1, EquivDiameter, MinorAxisLength, Area, and Perimeter for the best performing model. Overall, given the high classification accuracy of 93.24% for the best model, it therefore makes sense for dry bean producers to invest in automated systems for classifying dry beans as opposed to manual sorting.

While the results have provided several useful insights, the analysis can be enhanced in many ways. First, the current data is based on physical attributes derived from 2D images. If additional variables that measure the third dimension (i.e. depth) of the beans are included, the classification accuracy can be potentially increased. Moreover, the possibility of adding variables describing the texture of the beans should also be explored. Second, alternative validation methods such as 10-fold cross-validation can be used to compare and verify the results obtained with the 70%-train, 30%-validation split used in this analysis. Finally, modeling the tangible lost dollars from incorrect classifications of dry beans using profit/loss matrices would serve as a key input for producers and farmers to assess the financial viability of investing in automated systems. Future enhancements to the analysis should be hinged on the premise that the degree to which the automated classification of dry beans increases the seed quality, market value, yield, and profitability of dry beans within the agriculture industry ultimately determines model success.

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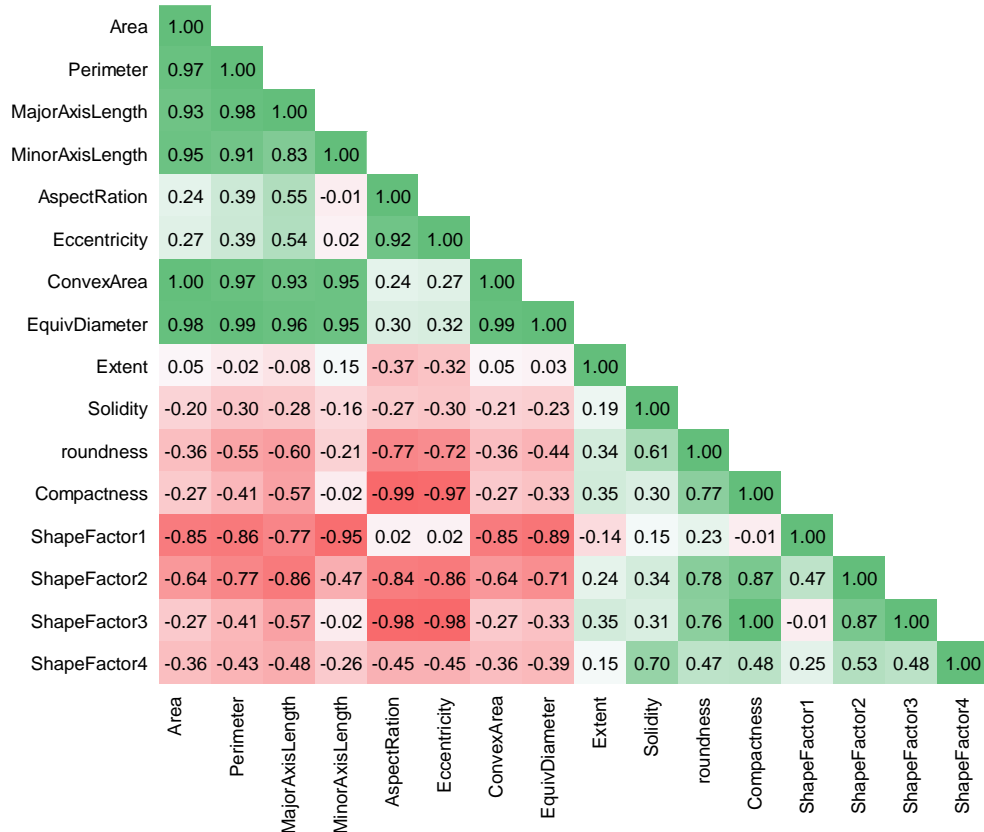
## APPENDICES

### Appendix A – Descriptive Statistics and Correlation Matrix of Features

Table 9. Descriptive Statistics of Feature Variables (Measured in Pixels)

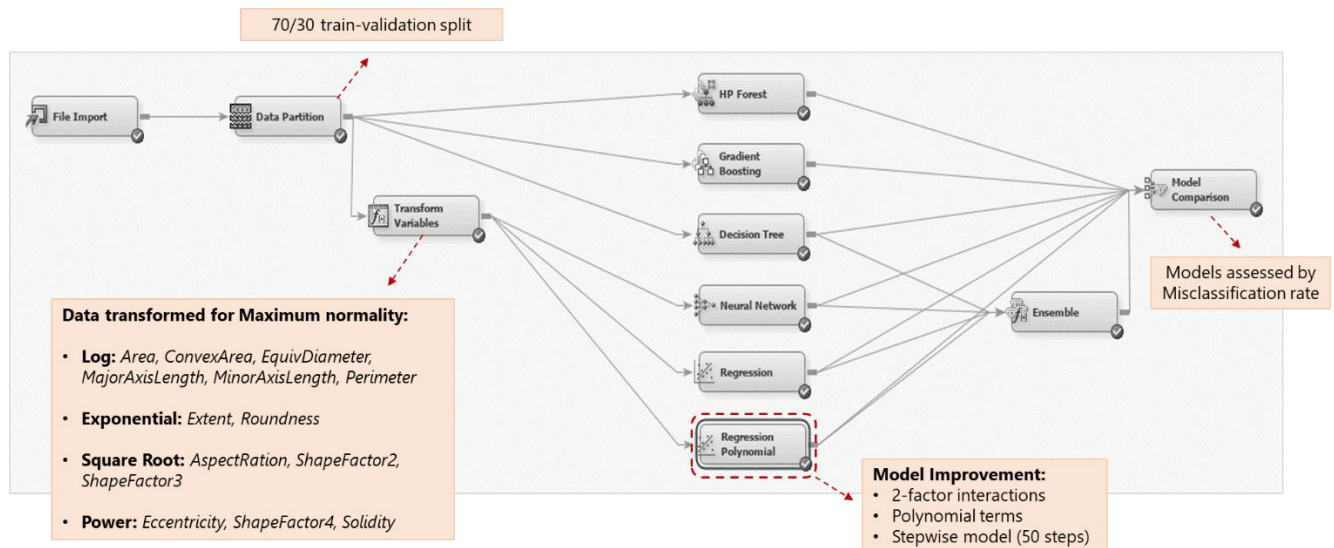
Variable	Mean	Std. Deviation	Minimum	Maximum	N
Area	53048.3	29324.1	20420.0	254616.0	13611
Perimeter	855.28	214.29	524.74	1985.37	13611
MajorAxisLength	320.14	85.69	183.60	738.86	13611
MinorAxisLength	202.27	44.97	122.51	460.20	13611
AspectRatio	1.58	0.25	1.02	2.43	13611
Eccentricity	0.75	0.09	0.22	0.91	13611
ConvexArea	53768	29775	20684	263261	13611
EquivDiameter	253.06	59.18	161.24	569.37	13611
Extent	0.75	0.05	0.56	0.87	13611
Solidity	0.99	0.00	0.92	0.99	13611
Roundness	0.87	0.06	0.49	0.99	13611
Compactness	0.80	0.06	0.64	0.99	13611
ShapeFactor1	0.01	0.00	0.00	0.01	13611
ShapeFactor2	0.00	0.00	0.00	0.00	13611
ShapeFactor3	0.64	0.10	0.41	0.97	13611
ShapeFactor4	1.00	0.00	0.95	1.00	13611

Figure 8. Correlation Matrix of Feature Variables



## Appendix B – SAS EM Process Flow and SAS Base Code for Models

SAS EM Process flow:



SAS Base Code for Linear and Quadratic Discriminant Analysis Models:

```
/*Import datasets*/
proc import datafile="C:\Users\user\Google Drive\School\Master of Analytics\161.777 Practical Data Mining\Final Project\Dry_bean.csv"
out=drybean dbms=csv replace; getnames=yes; run;

proc import datafile="C:\Users\user\Google Drive\School\Master of Analytics\161.777 Practical Data Mining\Final Project\Dry_beantrain.csv"
out=drybeantrain dbms=csv replace; getnames=yes; run;

proc import datafile="C:\Users\user\Google Drive\School\Master of Analytics\161.777 Practical Data Mining\Final Project\Dry_beantest.csv"
out=drybeantest dbms=csv replace; getnames=yes; run;

/*Stepwise Discriminant Analysis to determine important variables*/
proc stepdisc data=drybeantrain method=stepwise;
class class;
var Area--ShapeFactor4;
run;

/*Fisher LDA*/
proc discrim data=drybeantrain pool=yes
testdata=drybeantest testlisterr;
class class;
priors prop;
var &_STDVAR;
run;

/*Quadratic DA*/
proc discrim data=drybeantrain pool=test
testdata=drybeantest testlisterr slpool=.05;
title 'Test for equality of covariance matrices';
title2 'and quadratic discriminant analysis';
class class;
priors prop;
var &_STDVAR;
run; title;
```

## Appendix C – Results of Stepwise Polynomial Regression with Interactions (Best Model)

Analysis of Maximum Likelihood Estimates									
Parameter	Class	DF	Estimate	Standard Error	Wald Chi-Square	Pr > ChiSq	Standardized Estimate	Exp(Est)	
Intercept	SIRA	1	76.4878	25.2245	9.19	0.0024		999.000	
Intercept	SEKER	1	151.2	28.0203	29.10	<.0001		999.000	
Intercept	HOROZ	1	102.3	23.0474	19.70	<.0001		999.000	
Intercept	DERMA	1	133.6	27.0527	24.38	<.0001		999.000	
Intercept	CALI	1	-22.5888	27.6257	0.67	0.4135		0.000	
Intercept	BOMBA	1	39.3077	1277.3	0.00	0.9754		999.000	
EXP_roundness	SIRA	1	-72.7067	21.8835	11.04	0.0009	-9.9643	0.000	
EXP_roundness	SEKER	1	-114.5	23.9743	22.80	<.0001	-15.6894	0.000	
EXP_roundness	HOROZ	1	-73.9384	20.9353	12.47	0.0004	-10.1331	0.000	
EXP_roundness	DERMA	1	-90.6077	24.8614	13.28	0.0003	-12.4176	0.000	
EXP_roundness	CALI	1	-50.2874	23.0719	4.75	0.0293	-6.8918	0.000	
EXP_roundness	BOMBA	1	-71.8027	1100.8	0.00	0.9480	-9.8404	0.000	
LOG_ConvexArea	SIRA	1	-184.1	123.6	2.22	0.1365	-9.6874	0.000	
LOG_ConvexArea	SEKER	1	-88.7530	134.2	0.44	0.5083	-4.6713	0.000	
LOG_ConvexArea	HOROZ	1	-90.8922	111.9	0.66	0.4166	-4.7839	0.000	
LOG_ConvexArea	DERMA	1	-512.4	154.9	10.95	0.0009	-26.9702	0.000	
LOG_ConvexArea	CALI	1	278.8	91.7414	9.24	0.0024	14.6757	999.000	
LOG_ConvexArea	BOMBA	1	-322.7	1586.6	0.04	0.8388	-16.9855	0.000	
EXP_Extent*EXP_Extent	SIRA	1	1.6315	1.4508	1.26	0.2608		5.111	
EXP_Extent*EXP_Extent	SEKER	1	3.7820	1.7508	4.67	0.0308		43.904	
EXP_Extent*EXP_Extent	HOROZ	1	4.4987	1.4229	10.00	0.0016		89.904	
EXP_Extent*EXP_Extent	DERMA	1	2.0761	1.5566	1.78	0.1823		7.973	
EXP_Extent*EXP_Extent	CALI	1	-2.9580	1.0564	7.84	0.0051		0.052	
EXP_Extent*EXP_Extent	BOMBA	1	0.5214	13.2008	0.00	0.9685		1.684	
EXP_Extent*ShapeFactor1	SIRA	1	-1246.8	904.5	1.90	0.1681		0.000	
EXP_Extent*ShapeFactor1	SEKER	1	-2747.8	1089.2	6.36	0.0116		0.000	
EXP_Extent*ShapeFactor1	HOROZ	1	-2797.1	888.5	9.91	0.0016		0.000	
EXP_Extent*ShapeFactor1	DERMA	1	-1725.0	952.5	3.28	0.0701		0.000	
EXP_Extent*ShapeFactor1	CALI	1	2091.4	717.6	8.49	0.0036		999.000	
EXP_Extent*ShapeFactor1	BOMBA	1	-527.4	9608.3	0.00	0.9562		0.000	
EXP_roundness*EXP_roundness	SIRA	1	19.8204	5.7964	11.69	0.0006		999.000	
EXP_roundness*EXP_roundness	SEKER	1	30.1965	6.5161	21.48	<.0001		999.000	
EXP_roundness*EXP_roundness	HOROZ	1	21.1801	5.5594	14.51	0.0001		999.000	
EXP_roundness*EXP_roundness	DERMA	1	22.6315	6.9288	10.67	0.0011		999.000	
EXP_roundness*EXP_roundness	CALI	1	18.2931	5.6657	10.42	0.0012		999.000	
EXP_roundness*EXP_roundness	BOMBA	1	22.9992	251.6	0.01	0.9272		999.000	
EXP_roundness*LOG_ConvexArea	SIRA	1	294.2	59.3996	24.53	<.0001		999.000	
EXP_roundness*LOG_ConvexArea	SEKER	1	255.6	69.6732	13.46	0.0002		999.000	
EXP_roundness*LOG_ConvexArea	HOROZ	1	108.3	47.8602	5.12	0.0237		999.000	
EXP_roundness*LOG_ConvexArea	DERMA	1	177.1	75.8664	5.45	0.0196		999.000	
EXP_roundness*LOG_ConvexArea	CALI	1	252.6	43.3022	34.02	<.0001		999.000	
EXP_roundness*LOG_ConvexArea	BOMBA	1	187.8	643.2	0.09	0.7703		999.000	
EXP_roundness*PWR_Eccentricity	SIRA	1	-9.0946	5.7620	2.49	0.1145		0.000	
EXP_roundness*PWR_Eccentricity	SEKER	1	-26.7967	6.6172	16.40	<.0001		0.000	
EXP_roundness*PWR_Eccentricity	HOROZ	1	3.3551	5.7695	0.34	0.5609		28.650	
EXP_roundness*PWR_Eccentricity	DERMA	1	-10.0718	6.0376	2.78	0.0953		0.000	
EXP_roundness*PWR_Eccentricity	CALI	1	-7.7998	5.0008	2.43	0.1188		0.000	
EXP_roundness*PWR_Eccentricity	BOMBA	1	8.3504	153.3	0.00	0.9566		999.000	
EXP_roundness*PWR_Solidity	SIRA	1	-48.3416	13.9118	12.07	0.0005		0.000	
EXP_roundness*PWR_Solidity	SEKER	1	-47.1114	17.0624	7.62	0.0058		0.000	
EXP_roundness*PWR_Solidity	HOROZ	1	-41.8072	12.8501	10.58	0.0011		0.000	
EXP_roundness*PWR_Solidity	DERMA	1	-9.5823	17.5418	0.30	0.5849		0.000	
EXP_roundness*PWR_Solidity	CALI	1	-81.3006	12.9285	39.55	<.0001		0.000	
EXP_roundness*PWR_Solidity	BOMBA	1	-79.1607	266.1	0.09	0.7661		0.000	
LOG_EquivDiameter*LOG_MinorAxisLength	SIRA	1	-921.1	229.3	16.14	<.0001		0.000	
LOG_EquivDiameter*LOG_MinorAxisLength	SEKER	1	-579.7	210.6	7.57	0.0059		0.000	
LOG_EquivDiameter*LOG_MinorAxisLength	HOROZ	1	-101.8	208.2	0.24	0.6249		0.000	
LOG_EquivDiameter*LOG_MinorAxisLength	DERMA	1	-141.2	248.3	0.32	0.5697		0.000	
LOG_EquivDiameter*LOG_MinorAxisLength	CALI	1	-854.2	151.4	31.83	<.0001		0.000	
LOG_EquivDiameter*LOG_MinorAxisLength	BOMBA	1	279.1	1888.3	0.02	0.8825		999.000	
LOG_MajorAxisLength*SQRT_ShapeFactor3	SIRA	1	29.5811	41.8408	0.50	0.4796		999.000	
LOG_MajorAxisLength*SQRT_ShapeFactor3	SEKER	1	-162.6	46.7291	12.11	0.0005		0.000	
LOG_MajorAxisLength*SQRT_ShapeFactor3	HOROZ	1	-198.1	38.1112	27.03	<.0001		0.000	
LOG_MajorAxisLength*SQRT_ShapeFactor3	DERMA	1	-5.8436	52.3125	0.01	0.9111		0.003	
LOG_MajorAxisLength*SQRT_ShapeFactor3	CALI	1	-102.7	36.9331	7.73	0.0054		0.000	
LOG_MajorAxisLength*SQRT_ShapeFactor3	BOMBA	1	-155.7	492.0	0.10	0.7516		0.000	
PWR_ShapeFactor4*PWR_ShapeFactor4	SIRA	1	-6.2376	3.3247	3.52	0.0606		0.002	
PWR_ShapeFactor4*PWR_ShapeFactor4	SEKER	1	11.7637	4.4574	6.97	0.0083		999.000	
PWR_ShapeFactor4*PWR_ShapeFactor4	HOROZ	1	2.6055	3.6560	0.51	0.4761		13.538	
PWR_ShapeFactor4*PWR_ShapeFactor4	DERMA	1	-4.6894	3.7689	1.55	0.2134		0.009	
PWR_ShapeFactor4*PWR_ShapeFactor4	CALI	1	-2.4343	3.5628	0.47	0.4944		0.088	
PWR_ShapeFactor4*PWR_ShapeFactor4	BOMBA	1	-1.4351	91.9484	0.00	0.9875		0.238	
PWR_ShapeFactor4*SQRT_AspectRatio	SIRA	1	-7.3665	6.6684	1.22	0.2693		0.001	
PWR_ShapeFactor4*SQRT_AspectRatio	SEKER	1	-28.9528	11.7475	6.07	0.0137		0.000	
PWR_ShapeFactor4*SQRT_AspectRatio	HOROZ	1	-25.5158	6.6237	14.84	0.0001		0.000	
PWR_ShapeFactor4*SQRT_AspectRatio	DERMA	1	-2.3681	8.1058	0.09	0.7702		0.094	
PWR_ShapeFactor4*SQRT_AspectRatio	CALI	1	-19.7073	6.9748	7.98	0.0047		0.000	
PWR_ShapeFactor4*SQRT_AspectRatio	BOMBA	1	-23.1565	191.5	0.01	0.9037		0.000	
PWR_Solidity*PWR_Solidity	SIRA	1	26.6167	9.3817	8.05	0.0046		999.000	
PWR_Solidity*PWR_Solidity	SEKER	1	57.0931	14.0450	16.52	<.0001		999.000	
PWR_Solidity*PWR_Solidity	HOROZ	1	25.5479	8.5236	8.98	0.0027		999.000	
PWR_Solidity*PWR_Solidity	DERMA	1	20.5704	12.5428	2.69	0.1010		999.000	
PWR_Solidity*PWR_Solidity	CALI	1	31.9515	7.8501	16.57	<.0001		999.000	
PWR_Solidity*PWR_Solidity	BOMBA	1	32.3482	223.7	0.02	0.8850		999.000	
PWR_Solidity*SQRT_ShapeFactor3	SIRA	1	1.7567	22.0122	0.01	0.9364		5.793	
PWR_Solidity*SQRT_ShapeFactor3	SEKER	1	-63.3384	25.0415	6.40	0.0114		0.000	
PWR_Solidity*SQRT_ShapeFactor3	HOROZ	1	-44.8152	22.0526	4.13	0.0421		0.000	
PWR_Solidity*SQRT_ShapeFactor3	DERMA	1	-77.4166	24.7596	9.78	0.0018		0.000	
PWR_Solidity*SQRT_ShapeFactor3	CALI	1	79.5773	20.7785	14.67	0.0001		999.000	
PWR_Solidity*SQRT_ShapeFactor3	BOMBA	1	63.3614	557.5	0.01	0.9095		999.000	
PWR_Solidity*ShapeFactor1	SIRA	1	13156.9	2239.6	34.51	<.0001		999.000	
PWR_Solidity*ShapeFactor1	SEKER	1	14831.6	3146.0	22.23	<.0001		999.000	
PWR_Solidity*ShapeFactor1	HOROZ	1	14948.8	2102.0	50.58	<.0001		999.000	
PWR_Solidity*ShapeFactor1	DERMA	1	8998.5	2511.5	12.84	0.0003		999.000	
PWR_Solidity*ShapeFactor1	CALI	1	16888.8	2242.2	56.73	<.0001		999.000	
PWR_Solidity*ShapeFactor1	BOMBA	1	18354.6	41116.8	0.20	0.6553		999.000	

## Appendix D – Classification Decision Tree

