

A Comparative Study on Diffusion Models for Tabular Data Synthesis in Healthcare



Neetu Kumari and Enayat Rajabi Shannon School of Business, CBU, Nova Scotia, Canada

INTRODUCTION

BACKGROUND:

Synthetic data generation helps overcome critical challenges in healthcare:

- · Data scarcity for rare events or arbitrary events
- · Cost and Time Efficiency
- Privacy and Confidentiality

Despite its growing use, there is a notable **lack of comparative** studies on the latest diffusion models for
tabular datasets in healthcare.

RESEARCH OBJECTIVE:

This study compares two advanced diffusion models, **TabDDPM** and **TabSyn**, on healthcare datasets. It assesses their performance based on:

- Data Similarity: Checks how closely synthetic data matches the original.
- Utility for Machine Learning: Tests effectiveness in ML applications.
- Privacy Preservation: Ensures the synthetic data maintains confidentiality.

DATASET

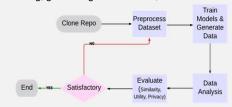
The Obesity and Diabetes datasets were selected from the UCI Machine Learning Repository for several reasons including **Variability**, **Sensitivity**, **Entry Volume Diversity**, **Feature Diversity**.

| Statistics of datase | | | ed in the | study | # - Number | | |
|----------------------|----------|------|-----------|--------|------------|------------|--|
| Dataset | #Entries | #Num | #Cat | #Train | #Test | Task | |
| Obesity | 2111 | 8 | 9 | 1899 | 212 | MultiClass | |
| Diabetes | 253680 | 7 | 15 | 228312 | 25368 | BiClass | |

METHODS

GENERAL APPROACH:

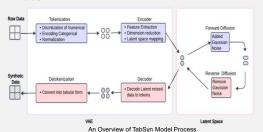
· Training, generating artificial data, and evaluation



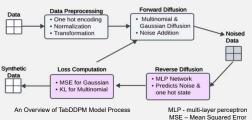
Flowchart of the process of the study

The following are the Models used in the study:

1. TabSYN:



2. TabDDPM:



EVALUATION METRICES:

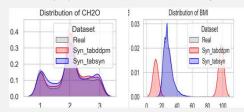
| Data Similarity | Utility | Privacy Preservation |
|--|-----------------------------|---|
| Variable Correlation Distribution Similarity Pair-wise Correlation | TSTR Method in MI Models | Distance to Closest Record (DCR) Alpha - Precision Beta Recall |

RESULTS

This research assesses following key metrics to maintain privacy while optimizing data utility:

SIMILARITY EVALUATION:

- <u>Variable Correlation</u>: Statistical similarities for continuous (Mean & Median) and categorical (Ratio of categories)
- <u>Distribution and Pair-wise Correlation</u>: Kolmogorov-Smirnov(KS) Test, Chi-square test, and analyzes



[Left] CH2O Distribution: "Obesity" dataset via TabDDPM & TabSyn Models [Right] BMI Distribution: "Diabetes" dataset via TabDDPM & TabSyn Models

Comparative table of OQS, Column Shapes and Column pair Trends

| | Obe | esity | Diabetes | |
|--------------------------|---------|--------|----------|--------|
| Metrices/Models | TabDDPM | TabSyn | TabDDPM | TabSyn |
| Overall Quality Score | 95.36 | 94.68 | 62.25 | 97.94 |
| Column Shapes | 96.37 | 96.84 | 72.04 | 98.51 |
| Column Pair Trends | 94.35 | 92.52 | 52.46 | 97.36 |

Note:

- · Obesity: No notable difference.
- · Diabetes: TabSyn outperforms TabDDPM.

UTILITY EVALUATION:

· Machine learning usability:

TSTR (Training-Set Test-Set) approach.

AUC score by using XGB Classifier (Higher the Score, Better performance)

| Dataset\Model | TabDDPM | TabSyn |
|---------------|---------|--------|
| Obesity | 0.9981 | 0.9962 |
| Diabetes | 0.6773 | 0.8275 |

PRIVACY PRESERVATION:

- Alpha-Precision & Beta-Recall Metrics
- · Distance to Closest Record (DCR)

Comparing Alpha-Precision and Beta-Recall values for both models Alpha value : Fidelity & Beta value : Diversity

| | Obesity | | Diabetes | |
|-----------------|---------|--------|----------|--------|
| Metrices/Models | TabDDPM | TabSyn | TabDDPM | TabSyn |
| Alpha-Precision | 0.897 | 0.975 | 0.655 | 0.978 |
| Beta-Recall | 0.380 | 0.304 | 0.0003 | 0.566 |

DCR Scores: Datasets generated by using TabDDPM and TabSyn

| Metrices/Models | Obesity | Diabetes |
|-----------------|---------|----------|
| TabDDPM | 0.93 | 0.89 |
| TabSyn | 0.92 | 0.87 |

DISCUSSION

- Smaller Dataset (Obesity): No significant differences between model's performance on all metrices.
- Larger Dataset (Diabetes): TabSyn outperforms
 TabDDPM significantly on all evaluation metrices.
- · TabSyn is well-suited for synthetic healthcare data,

FUTURE DIRECTION

- Enhance generative models to more effectively produce high-dimensional, small healthcare datasets.
- Differential Privacy Preservation:
- Re-identification Risk: Evaluating the traceability of synthetic data back to original data.
- 2. Membership Inference Attacks: Determine if an individual's data was used to create the synthetic dataset.