**PEP Client Review Triggered by STR information  
${client.first\_name} ${client.last\_name}**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Overview** | | | | | | | | | | | | | | | | | | | | | | | | |
| **No.** | **Customer Name** | **Relationship** | | **KYC Status** | | | | | **New / Existing** | | **CASA** | | | | **PEP** | **Sanctions** | | | **# STRs** | | | **Litigation** | | **Adv Media** |
| 1.1 | ${client.first\_name} ${client.last\_name}  ( ${client.cif\_code} )  ${client.id\_number} | ${activity.relationship} | | ${activity.kyc\_status} | | | | | ${activity.new\_/\_existing} | | ${activity.casa} | | | | ${activity.pep} | ${activity.sanctions} | | | ${activity.#\_strs} | | | ${activity.litigation} | | ${activity.adverse\_media} |
| **Background** | | | | | | | | | | | | | | | | | | | | | | | |
| ${activity.background} | | | | | | | | | | | | | | | | | | | | | | | |
| **Product Exposure** | | | | | | | | | | | | | | | | | | | | | | | |
| **Product** | | **Turnover (Current Year)** | | | | | | | **Turnover (Previous Year)** | | | | **Date Opened** | | | | **Balance** | | | | | **Limit** | |
| ${activity.product\_1} | | ${activity.turn\_over\_1\_(current\_year)} | | | | | | | ${activity.turn\_over\_1\_(previous\_year)} | | | | ${activity.date\_opened\_1} | | | | ${activity.balance\_1} | | | | | ${activity.limit\_1} | |
| ${activity.product\_2} | | ${activity.turn\_over\_2\_(current\_year)} | | | | | | | ${activity.turn\_over\_2\_(previous\_year)} | | | | ${activity.date\_opened\_2} | | | | ${activity.balance\_2} | | | | | ${activity.limit\_2} | |
| ${activity.product\_3} | | ${activity.turn\_over\_3\_(current\_year)} | | | | | | | ${activity.turn\_over\_3\_(previous\_year)} | | | | ${activity.date\_opened\_3} | | | | ${activity.balance\_3} | | | | | ${activity.limit\_3} | |
| ${activity.product\_4} | | ${activity.turn\_over\_4\_(current\_year)} | | | | | | | ${activity.turn\_over\_4\_(previous\_year)} | | | | ${activity.date\_opened\_4} | | | | ${activity.balance\_4} | | | | | ${activity.limit\_4} | |
| **Suspicious Transactional Report (STR)** | | | | | | | | | | | | | | | | | | | | | | | |
| **Date** | | | | | | | **High Level Reason** | | | | | | | | | **Investigation Outcome** | | | | | | | |
| ${activity.date\_1} | | | | | | | ${activity.high\_level\_reason\_1} | | | | | | | | | ${activity.investigation\_outcome\_1} | | | | | | | |
| ${activity.date\_2} | | | | | | | ${activity.high\_level\_reason\_2} | | | | | | | | | ${activity.investigation\_outcome\_2} | | | | | | | |
| ${activity.date\_3} | | | | | | | ${activity.high\_level\_reason\_3} | | | | | | | | | ${activity.investigation\_outcome\_3} | | | | | | | |
| **Transactional Risk** | | | | | | | | | | | | | | | | | | | | | | | |
| **Expected Account Activity** | | | | ${activity.expected\_account\_activity} | | | | | | | | | | | | | | | | | | | |
| ${activity.complete\_field} | | | | | | | | | | | | | | | | | | | | | | | |
| **Adverse Media** | | | | | | | | | | | | | | | | | | | | | | | |
| **Date of EDD** | | | | | | ${activity.date\_of\_edd\_report} | | | | | **EDD Rating** | | | | | | | | | ${activity.edd\_rating} | | | |
| ${activity.additional\_investigation\_notes} | | | | | | | | | | | | | | | | | | | | | | | |
| **Conclusion** | | | | | | | | | | | | | | | | | | | | | | | |
| **Reputational Risk Considerations** | | | | | ${activity.reputational\_risk\_considerations} | | | | | | | **Recommendation** | | | | | | | ${activity.recommendation} | | | | |

