

GM ADVISORS

CONNECTING MEDICINE AND LAW

FIRST AUDIT REPORT – Shraddha Hospital

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FIRST AUDIT REPORT: SHRADDHA HOSPITAL

To,
The Management,
Shraddha Hospital,
Sr No 43 Parashar Soc,
Nagar Rd, Chandan Nagar-Kharadi,
Pune - 411014

Sub: GMA first Audit report.

We have sought and obtained all the information and explanations, which to the best of our knowledge and belief were necessary for the purposes of our audit.

We have audited the records and registers of Shraddha Hospital ("the Health Care Institution"), as required to be maintained under the acts as mentioned below pertaining to Sept - Nov quarter of 2017.

- The Maharashtra Nursing Home Registration Act, 1949
- The Maharashtra Nursing Home Registration Rules, 1973
- Biomedical Waste Management Rules, 2016
- The Water (Prevention and Control of Pollution) Act, 1974
- The Air (Prevention and Control of Pollution) Act, 1981
- The Maharashtra Fire Prevention and Life Safety Measures Act, 2006
- Pre-natal Diagnostic Techniques (Prohibition of Sex Selection) Act, 1994
- Pre-natal Diagnostic Techniques (Prohibition of Sex Selection) Rules, 1996
- The Medical Termination of Pregnancy Act, 1971
- The Medical Termination of Pregnancy Rules, 2003

Opinion

In our opinion and to the best of our information and according to the explanations given to us, the aforesaid records and registers satisfy / partially satisfies requirements of the respective Acts as at 17.09.17.

The compliance status of your establishment can also be assessed from the Annexures attached with this report.

We draw attention to the following matters which need to be addressed at the earliest:

FOR GM ADVISORS

Dr. Manish Machave

Partner

Place of signature: Pune

Date: 03.10.17

- Annexures:
 - Annexure A – Government approvals / registrations / authorizations
 - Annexure B – High risk non-compliances
 - Annexure C – Act wise compliance status
 - Annexure D – Registers and Records

ANNEXURES

Annexure A – Government approvals/registrations/authorizations

Part I – Existing

- No Objection Certificate by Assistant Fire Officer of Pune Municipal Corporation.
- Authorization from Maharashtra Pollution Control Board (“MPCB”) for generation of bio medical waste. (Valid upto 30.06.18)
- Certificate of recognition for doing Medical Termination of Pregnancy up to 12 weeks.
- Certificate of Registration under the Bombay Nursing Homes Registration Act, 1949 (Valid upto 31.03.18)
- Certificate of Registration as a Genetic Clinic under Pre-natal Diagnostic Techniques (Prohibition of Sex Selection) Act, 1994 (“PCPNDT”) for doing Non-Invasive Ultrasound for the following machines:
 - Scanner 100 user
 - Wipro GE Logiq Pro
 - GE Logiq 400 Pro

Part II – To be procured

- Consent to operate from MPCB under the following acts:
 - The Water (Prevention and Control of Pollution) Act, 1974
 - The Air, (Prevention and Control of Pollution) Act, 1981
 - The Hazardous and Other Wastes (Management and Transboundary Movement) Rules, 2016
- A certificate issued by a Licensed Agency regarding the compliance of the fire prevention and life safety measures.

Annexure B – High-risk non-compliances

1. PCPNDT issue:

- Non-availability of 2 copies of the Pre-Conception and Pre-Natal Diagnostic Centre (Prohibition of Sex Selection) Act, 2017 and Rules made thereunder.

2. MTP issue:

- The name of patient undergoing a MTP procedure shall not be mentioned anywhere apart from the Admission Register and Consent.

Annexure C – Act wise compliances to be done

1. Compliances to be done under The Maharashtra Nursing Homes Registration Act, 1949:

- To inform the change in medical, nursing or midwifery staff was communicated to the local supervising authority within 3 days

2. Compliances under The Registration of Births and Deaths Act, 1969:

- Shraddha Hospital satisfactorily complies with the provisions of said act.

3. Compliances under The Bio-Medical Waste Management Rules, 2016:

- To conduct health check up at the time of induction and at least once in a year for all its health care workers involved in handling of bio - medical waste and maintain the records for the same.
- To create its own website and make the annual report available there.

- Whether the occupier has maintained all record for operation of incineration, hydro or autoclaving etc., for a period of five years?
- To submit an annual report to the authority in Form-IV, on or before the 30th June of every year.
- All its health care workers and others, involved in handling of bio-medical waste for protection must be immunized against diseases including Hepatitis B and Tetanus that are likely to be transmitted by handling of bio-medical waste, in the manner as prescribed in the National Immunisation Policy or the guidelines of the Ministry of Health and Family Welfare issued from time to time.

4. Compliances to be done under The Water (Prevention and Control of Pollution) Act, 1974, The Air (Prevention and Control of Pollution) Act, 1981 and The Hazardous and Other Wastes (Management and Transboundary Movement) Rules, 2016:

- After procuring the consent to operate under the said Act and Rules we shall ascertain the exact compliances.

5. Compliances to be done under The Maharashtra Fire Prevention and Life Safety Measures Act, 2006:

- To furnish to the Chief Fire Officer a certificate issued by a Licensed Agency regarding the compliance of the fire prevention and life safety measures in such building.
- To furnish to the Chief Fire Officer a certificate twice a year in the months of January and July regarding the maintenance of fire prevention and life safety measures in good repair and efficient condition.
- To appoint a fire officer or fire supervisor.

6. Compliances to be done under the PCPNDT Act:

- To mention the name, qualification and designation on the dress /coat of the operator / doctor.

- All persons including the owner, employee or any other person associated with Genetic Clinic need to display his/her name and designation prominently on the dress worn by him/her & write his/her name and designation in full.
- To preserve as permanent records all the applications for grant or renewal of certificate of registration, letters of intimation of every change of employee, place, address and equipment installed.
- To maintain a copy of PCPNDT Act, 1994 (with 2017 amendment) at every machine and at reception.

7. Compliances under the Medical Termination of Pregnancy Act, 1971:

- No document or register except the Admission Register maintained at any hospital or approved place indicates therein the name of the pregnant woman.
- The certificate of approval issued by the Committee shall be conspicuously displayed at the place to be easily visible to persons visiting the place.

8. The Maharashtra Medicare Service Persons And Medicare Service Institutions (Prevention Of Violence And Damage Or Loss To Property) Act, 2010:

- Put up a board in OPD and in any other conspicuous place in order to make more people aware of this Act and the consequence of any violence in the Hospital premises.
 - This Act is to prevent violence against Medicare Service Persons and prevention of damage or loss of property of Medicare Service Institutions. Any acts of violence of causing injury or danger to life of Medicare Service Persons and damage or loss to the property of Medicare Service Institutions which creates unrest in Medicare Service Persons and professionals is an offence

punishable with imprisonment which may extend to three years and with fine, which may extend to fifty thousand rupees. In addition to the punishment the offender shall be liable to pay compensation of twice the amount of damage or loss caused to the property.

Annexure D: Registers and Records

- Maintain the documents & registers in the format as attached with the audit report.
- For the following drugs a stock register should be maintained:
 - Fortwin
 - Propfol
 - Misoprost
 - Hormones injection

Date	Name of patient	Units used (mg/ml)	Balance	Batch No.	Expiry date