

Measurement and Monte Carlo

or how to make (and use) an optimally useful measurement

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MCnet school, Online/Dresden/Karlsruhe,

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*Thanks to Emily Nurse for the use of her slides



Summary so far

- Introduction to MC event generators in data analysis
- How various particles are reconstructed in the data
- The importance of correcting for detector effects...
- ...but of not extrapolating into unmeasured regions

Correcting for acceptance affects

- AKA extrapolating outside the region we measure into full phase-space: e.g.
 - $p_T > 25 \text{ GeV} \rightarrow p_T > 0 \text{ GeV}$
 - $|\eta| < 2.5 \rightarrow |\eta| < \infty$
- Anyone can do this with their preferred SM prediction
 - no detector simulation needed (there's no detector!)
- But be careful! We do not measure this region!
- ***It is a bad idea to contaminate the precious data with the very theory we are trying to constrain!***

Correcting for acceptance affects

Example:

Phys. Lett. B707 (2012) 459

$$\sigma_{tt} = 177 \pm 25 \text{ pb}$$

- Run 1: Total $t\bar{t}$ cross-section reported
 - but the measurement is made in the dilepton decay channel with $p_T > 25 \text{ GeV}$, $|\eta| < 2.5$, and various cuts on E_T^{miss} , H_T , jets etc.
- Only 1.7% of $t\bar{t}$ events are used to measure the $t\bar{t}$ cross-section! 98.3% of events are *not* “seen”.
- Some of this is detector inefficiencies, but a large amount is an extrapolation to a completely unmeasured region!



Unfold





Increase acceptance

A close-up photograph of large, green, serrated leaves, likely from a rhubarb plant, showing some yellowing at the edges.

Increase
acceptance



Extrapolate



Sept 2015

IMB: MCnet school, Spa



**But how
reliably?**



Sept 2015

JMB: MCnet school, Spa

12

Fiducial phase-space



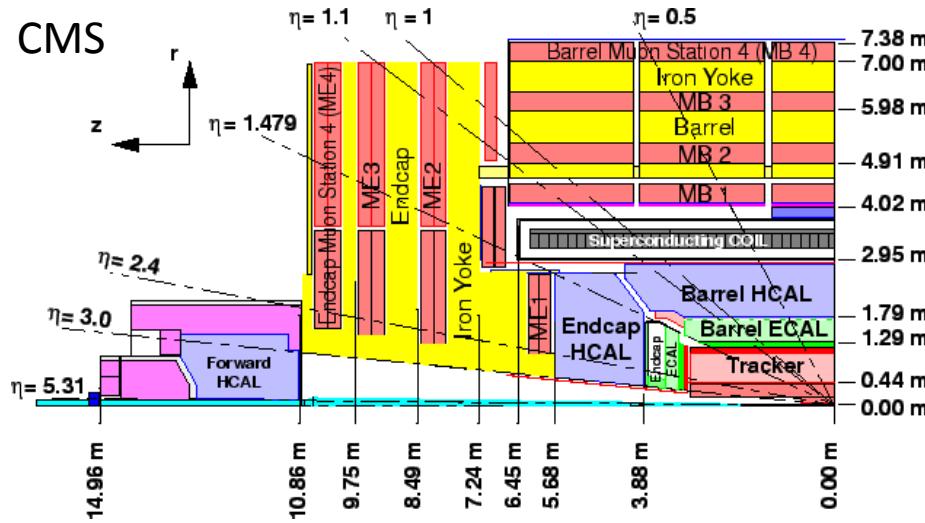
Sept 2015

Inaccessible. Removed by
kinematics cuts, and not
part of the fiducial cross
section

Fiducial phase-space

Irrespective of detector efficiencies and resolution effects there are particular kinematic regions that *we just don't measure at all.*

We do not have 4π detectors and we can't go down to zero p_T !



A fiducial phase-space is a set of selection criteria that can be applied to **final-state "truth" particles**

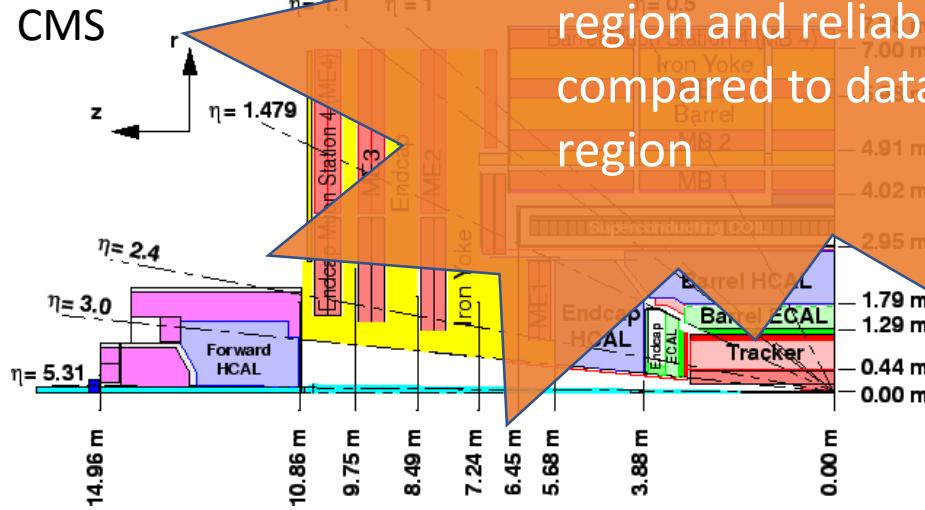
e.g.: Select events with one (and only one) muon with $p_T > 25 \text{ GeV}$, $|\eta| < 2.4$ and $p_T^{\text{miss}} > 30 \text{ GeV}$.

Fiducial phase-space

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Many (but not all) theoretical predictions can be defined in a fiducial phase-space region and reliably compared to data in this region



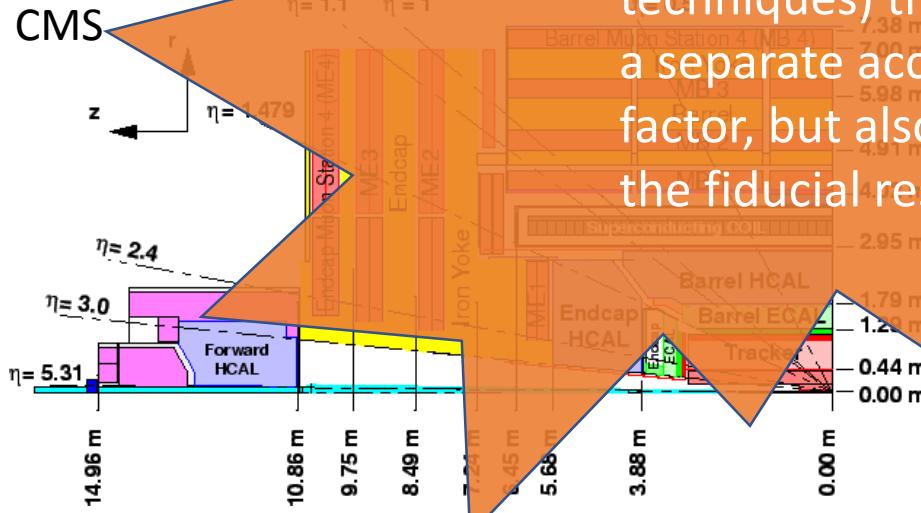
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Fiducial phase-space

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If you want to compare to a theory prediction that cannot be calculated in a fiducial phase space (e.g. using resummation techniques) then provide a separate acceptance factor, but also publish the fiducial result!

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e.g.: Select events with one (and only one) muon with $p_T > 25 \text{ GeV}$, $|\eta| < 2.4$ and $p_T^{\text{miss}} > 30 \text{ GeV}$.

What is a final-state particle?

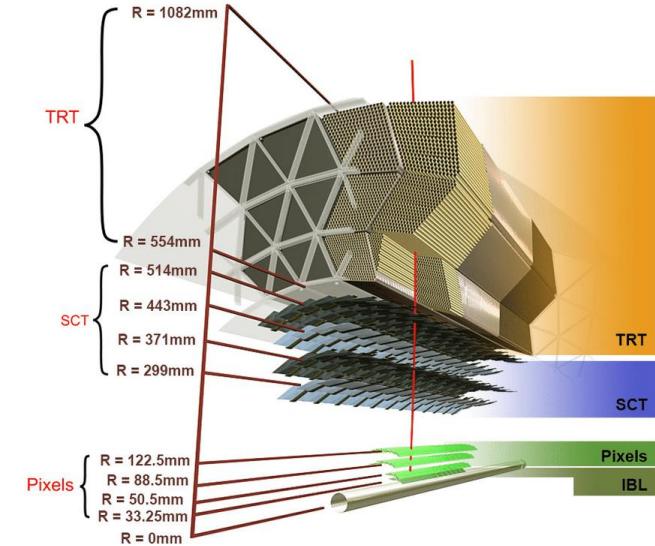
Lots of useful advice and discussion here: <https://cds.cern.ch/record/2022743>

$$d = \tau_0 \gamma v = c \tau_0 p/m$$

Recall:

- electrons: **stable**
- muons: “**stable**” ($\tau_0 = 2.2\text{ms}$, decays after $\sim 1.2\text{ km}$ at 20 GeV)
- taus: **unstable** ($\tau_0 = 0.3\text{ ps}$, decays after about 1mm at 20 GeV)
- neutrinos: **stable** (but invisible)
- Quarks, gluons \rightarrow hadrons: “**stable**” and **unstable** \rightarrow jets
- photons: **stable**
- W,Z,H,top : **unstable** (and not uniquely defined)

Common choice : $\tau_0 > 30\text{ ps}$, after hadronization.



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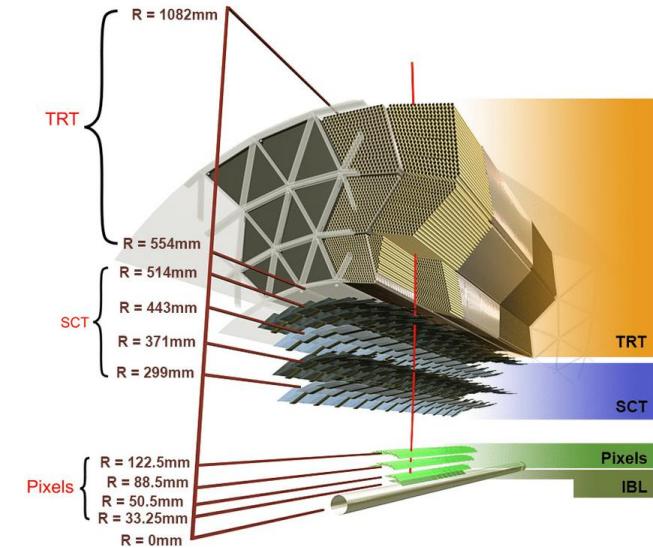
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| Hadron | [ps] | d @ 20 GeV | Classification |
|-----------|--------------------|------------|-------------------|
| π^\pm | 26,000 | 1 km | “ stable ” |
| K_S | 90 | 1 m | “ stable ” |
| B^0 | 1.5 | 2 mm | unstable |
| π^0 | 8×10^{-5} | 3.6 m | unstable |

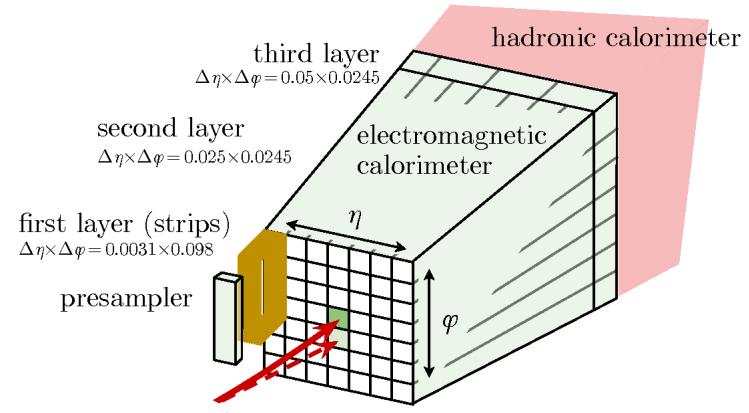
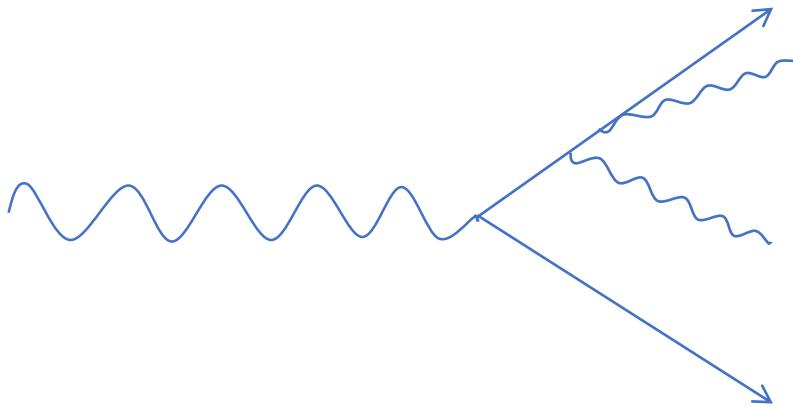


What is a final-state electron/muon?

- Electrons/muons from hadron decays are typically removed in the data analysis by isolation cuts / fake removal
 - ✓ Can define “prompt leptons” to be “not-from-hadron decays” and only consider these : this is more robust and model-independent than asking that the lepton comes from a certain propagator in the hard process
 - ✓ Well defined in Rivet (see tutorial), but you may need to also implement it in your experiment’s software
- Either define particle-level isolation, or correct for inefficiencies of these requirements
 - It might be worth reconsidering this in specific analyses where proximity to jets has a large effect on results, for example

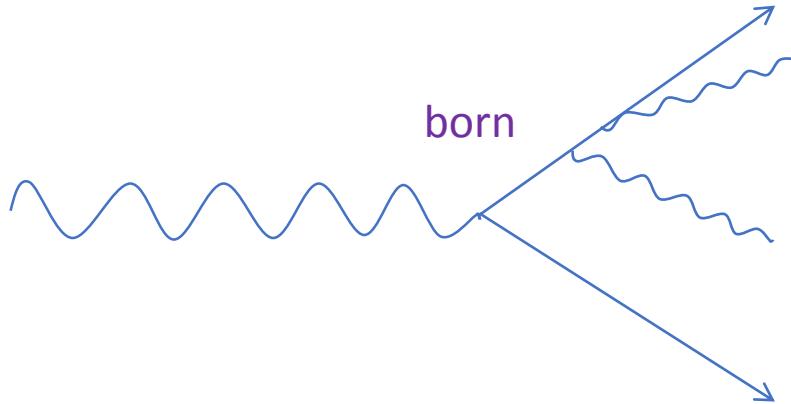
What is a final-state electron/muon?

- Electrons and muons emit FSR photon radiation (and lots of it, especially in the collinear limit, especially for electrons).
 - For muons we measure the charged particle track, photon energy is not included
 - For electrons we cluster calorimeter cells and most collinear radiation will be included in the energy measurement



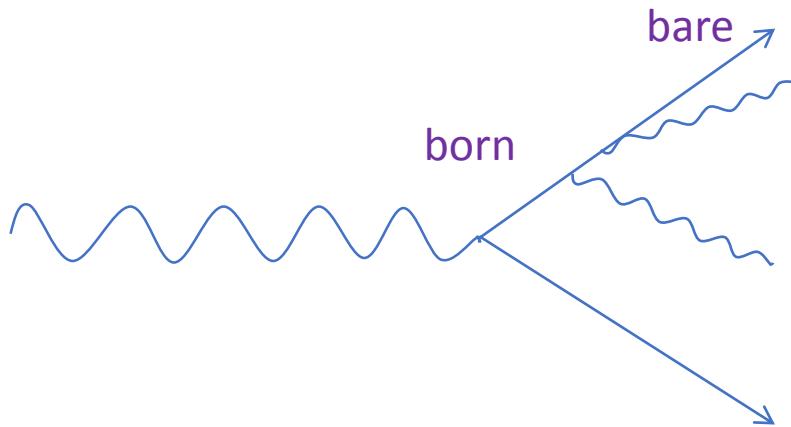
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- We can define lepton momenta as:
 1. Born leptons – as if FSR never happened (not what we measure, not actually measurable...)



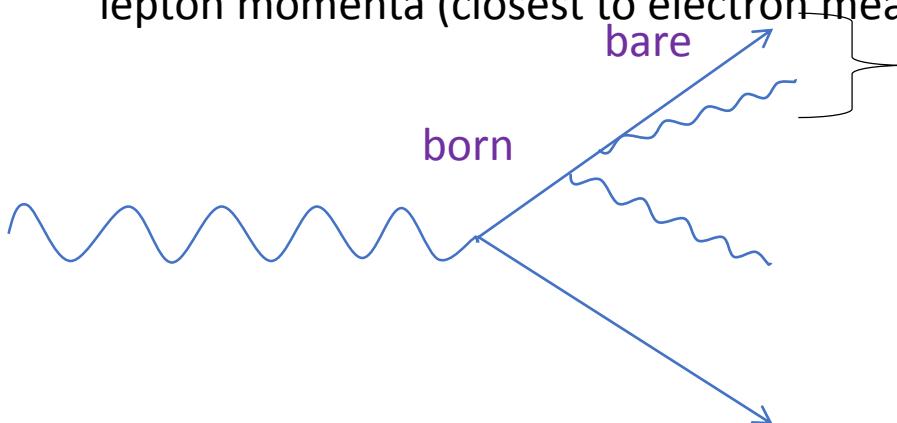
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- We can define lepton momenta as:
 1. Born leptons – as if FSR never happened (not what we measure, not actually measurable...)
 2. Bare leptons – after all FSR (closest to muon measurement)
 3. Dressed leptons – with the momenta of close-by photons “clustered” into the lepton momenta (closest to electron measurement)



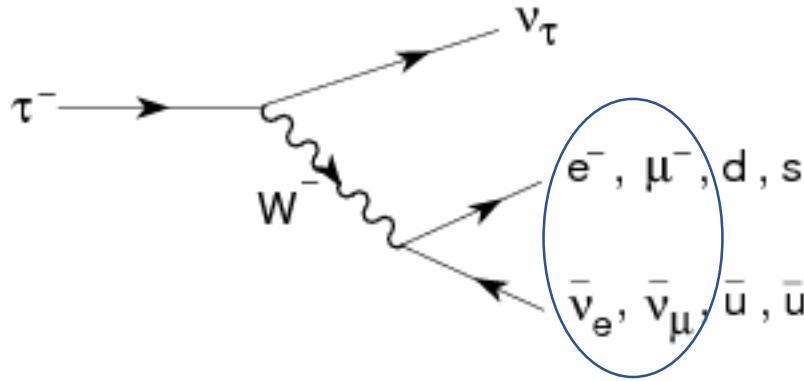
dressed : typically a $\Delta R < 0.1$ cone is used, but a jet algorithm may be better

What is a final-state electron or muon?

- Electron and muon final states can be very different for bare leptons, but much closer for born and dressed leptons
- It is often argued that dressed should be used for both to allow for *easy combination of final states*. Also bare versus dressed is much closer for muons than bare versus dressed for electrons
- Similarly, fiducial phase space cuts often harmonized for the two, requiring a small extrapolation in phase space for one
 - But electrons != muons
 - We may want to retain sensitivity to differences (cf LHCb...)
 - Perhaps it is better to measure both and publish correlations between uncertainties, and make choices that are best for each individual channel

What is a final-state tau?

Recall: unstable ($=0.1$ mm)

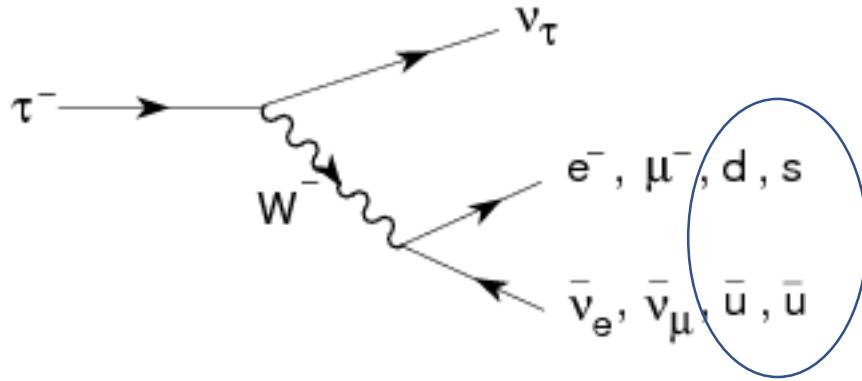


Leptonic decays

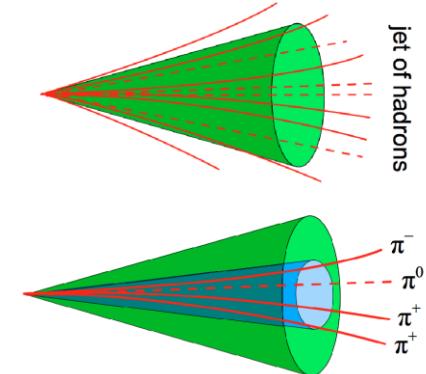
- The final state particles are electrons/muons and neutrinos
- Define fiducial phase-space with those (but we careful to check lepton efficiencies as e.g. impact parameter cuts can be less efficient for leptons from taus)

What is a final-state tau?

Recall: unstable ($=0.1$ mm)



$$\begin{aligned}\tau^\pm &\rightarrow \pi^\pm \pi^0 \nu \\ \tau^\pm &\rightarrow \pi^\pm \nu \\ \tau^\pm &\rightarrow \pi^\pm \pi^0 \pi^0 \nu \\ \tau^\pm &\rightarrow \pi^\pm \pi^\pm \pi^0 \nu \\ \tau^\pm &\rightarrow \pi^\pm \pi^\pm \pi^0 \pi^0 \nu\end{aligned}$$

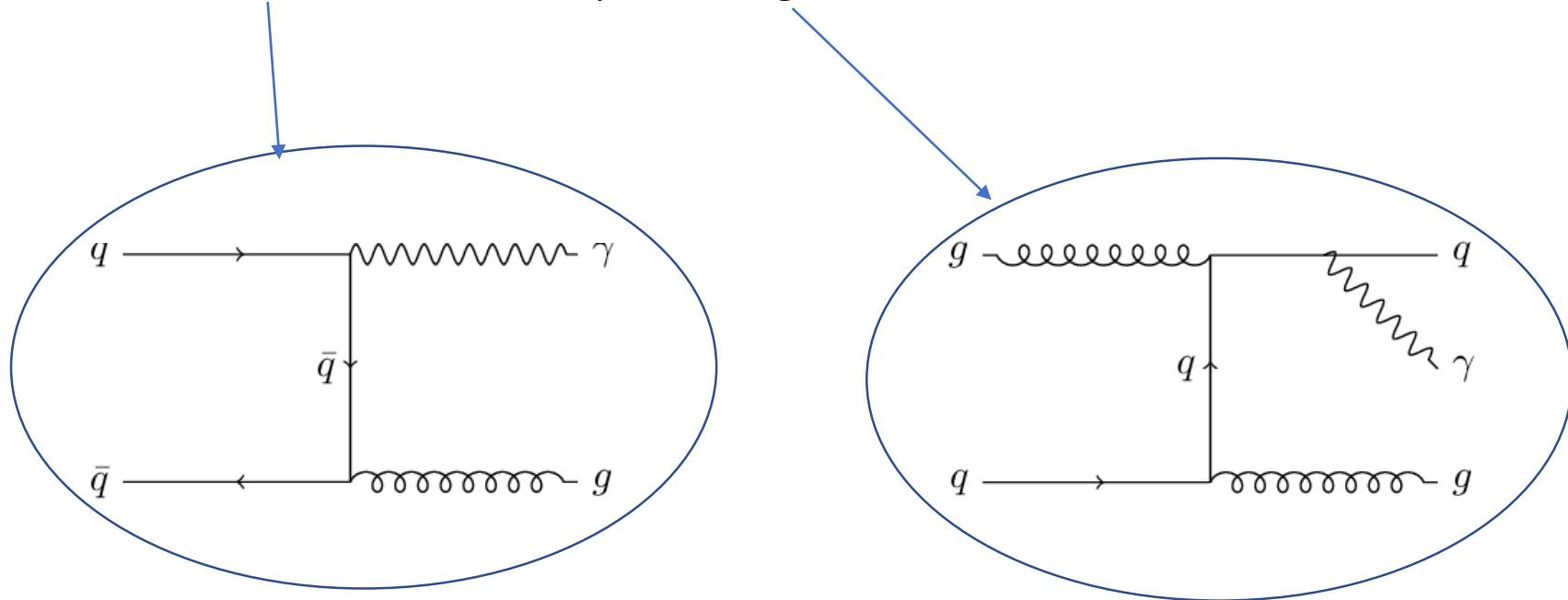


Hadronic decays

- Final state particles are hadrons (\rightarrow jets) and neutrinos
- Such a definition alone is complicated due to the large number of hadrons not from taus
- Experimental cuts reject backgrounds based on features of the jets, which are hard to replicate at the particle-level
- In this case a compromise might be best: require a hadron in the jet to have come from a prompt tau (this is not quite “final-state based”)
- There is not much experience here and more detailed studies would be interesting

What is a final-state photon?

- Analyses usually measure prompt, isolated photons
- Recall: Prompt means not-from-a-hadron-decay
- But photons can be further divided into those from the hard scatter and those from parton fragmentation

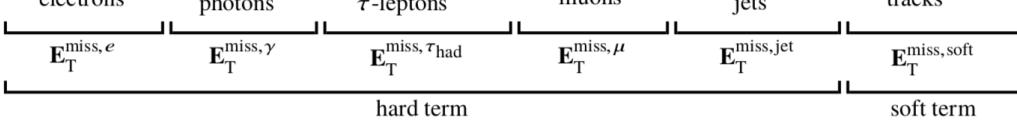


A particle-level isolation criteria is necessary to replicate the isolation applied at reco-level
Note in principle this could also be done for prompt leptons, but it is much less important

What is a final-state neutrino?

Invisible in the detector and existence inferred by p_T^{miss}

Recall:

$$E_T^{\text{miss}} = - \sum_{\substack{\text{selected} \\ \text{electrons}}} p_T^e - \sum_{\substack{\text{accepted} \\ \text{photons}}} p_T^\gamma - \sum_{\substack{\text{accepted} \\ \tau\text{-leptons}}} p_T^{\tau_{\text{had}}} - \sum_{\substack{\text{selected} \\ \text{muons}}} p_T^\mu - \sum_{\substack{\text{accepted} \\ \text{jets}}} p_T^{\text{jet}} - \sum_{\substack{\text{unused} \\ \text{tracks}}} p_T^{\text{track}}$$


- Sometimes the momenta of (prompt?) invisible* particles are summed
- An alternative is to take – the sum of all the visible particles within detector acceptance, which is closer to what we measure but can be a bit complicated.
E.g. what p_T of hadrons are we actually sensitive to?

(More on this later)

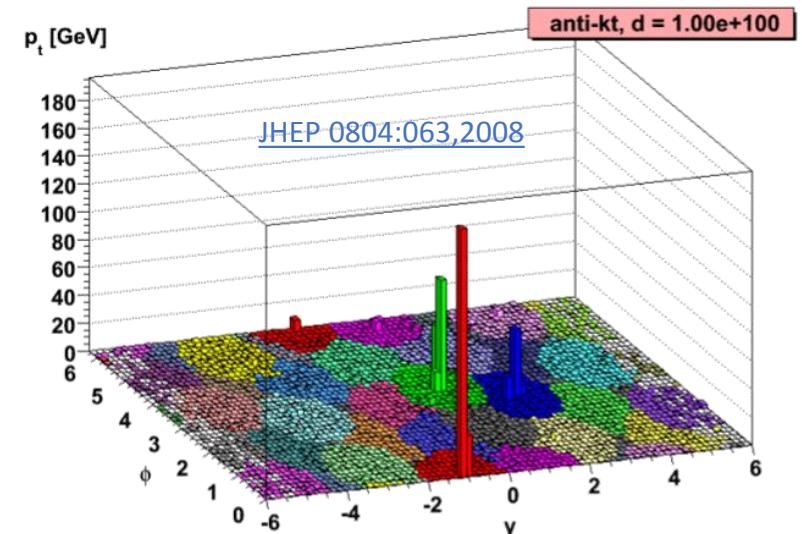
See also Rivet tutorial

*neutrinos are indistinguishable from BSM invisible particles

What is a final-state parton?

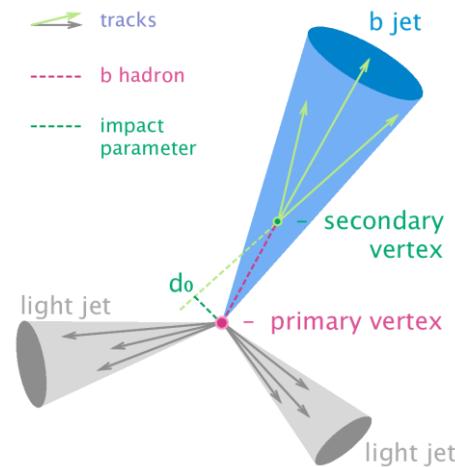
- Partons radiate more partons which hadronize.
- Run a jet algorithm on the final-state particles
 - Form a list of particles (this would be clusters / tracks at reco-level)
 - Merge the smallest pair according to a “distance” parameter
 - Iterate
- Algorithms assign each hadron to a jet. The energy/momentum of the jet represents the energy/momentum of the parton from the hard scatter
- Think carefully about what is included as inputs: Muons? Neutrinos?

Note: Depending on the reconstruction code, an electron will often form a jet initially. We remove these jets using overlap removal at both reco- and truth-level (e.g. remove any jets with $\Delta R < 0.4$ from a prompt electron)



What is a final-state b-jet

- Recall decay length for a 20 GeV b-hadrons ~ 2 mm, they are therefore unstable and not included as final state particle
- However we select them experimentally by making displaced vertex selection cuts



- Common “compromise” is to associate the *non-final state* b-hadrons to jets.
- If a jet contains a b-hadron it is considered a particle-level b-jet

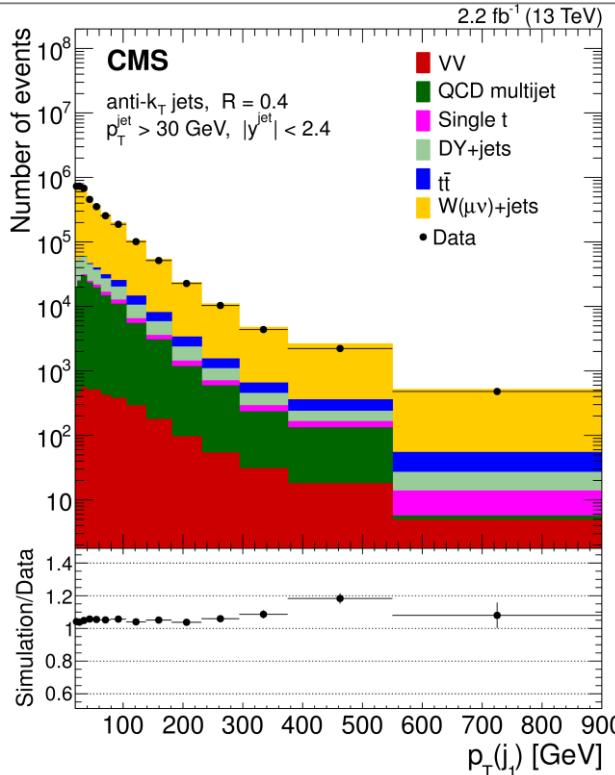
See Rivet tutorial for more details

Examples of data analysis

Examples: CMS W+jets

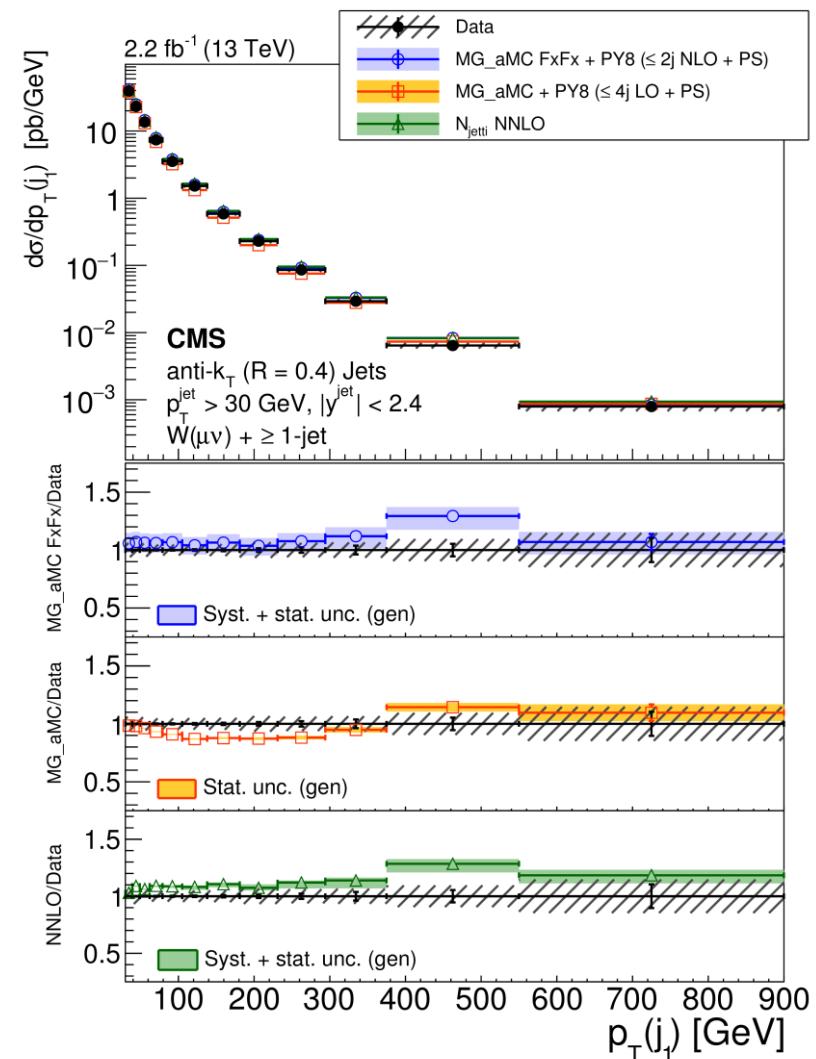
Fiducial phase-space: (Follow data analysis cuts closely)

- One dressed ($\Delta R < 0.1$) prompt muon with $p_T > 25$ GeV, $|\eta| < 2.4$
- $m_T > 50$ GeV (using muon and prompt truth neutrino)
- Jets (exclude neutrinos and above muon): anti- k_T ($R=0.4$) with $p_T > 30$ GeV, $|y| < 2.4$, and $\Delta R > 0.4$ from the muon



[Phys. Rev. D 96 \(2017\) 072005](#)

Rivet: CMS_2017_I1610623



Examples: CMS EWK W+dijet

[arXiv:1903.04040](https://arxiv.org/abs/1903.04040) (Submitted to EPJC)

No Rivet routine

Data analysis cuts:

jet 1 $p_T > 50$ GeV, jet 2 $p_T > 30$

GeV

$m_{jj} > 200$ GeV

p_T balance cut

p_T lepton > 20 GeV, $|\eta| < 2.4$

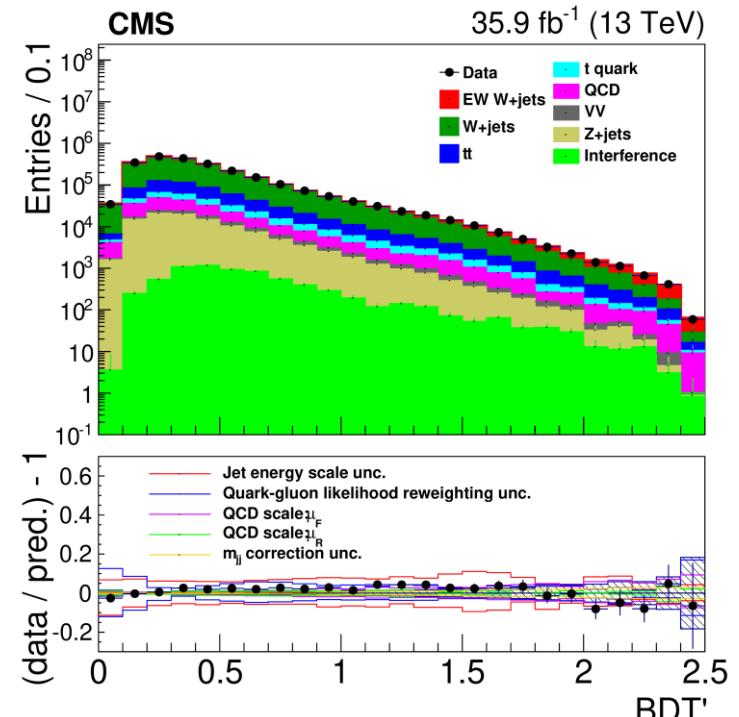
Fiducial phase-space:

$l\nu + \text{dijets}$ final state

$m_{jj} > 120$ GeV and jet $p_T > 25$

GeV

6.23 \pm 0.12 (stat) \pm 0.61
(syst) pb

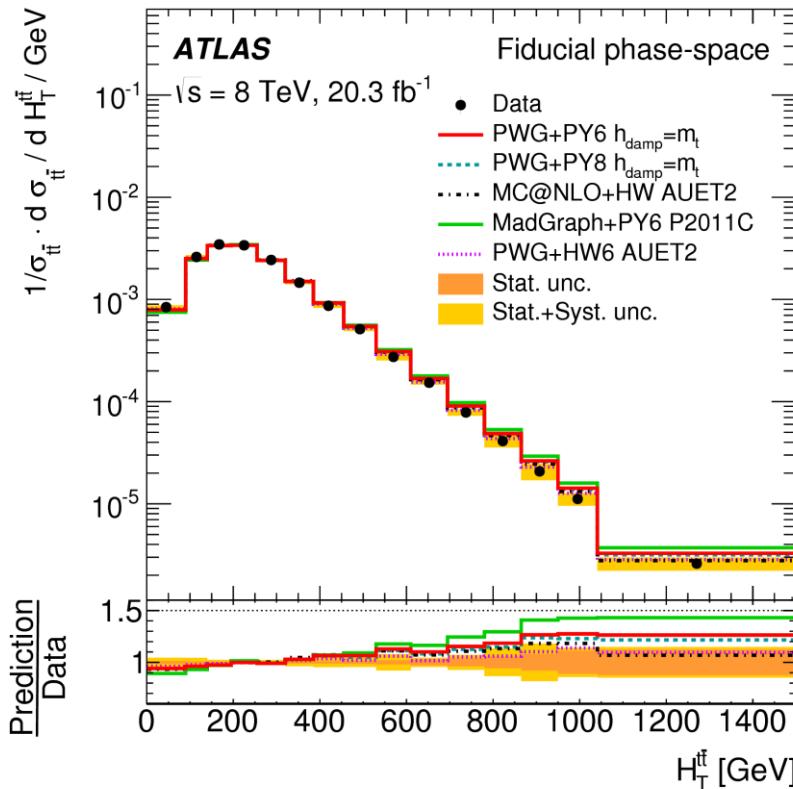


Why such a large extrapolation?

More recent top results

Eur. Phys. J. C76 (2016) 538

ATLAS_2015_I1404878



Eur. Phys. J. C 78 (2018) 487
DOI: 10.1140/epjc/s10052-018-5904-z



CERN-EP-2017-276
27th June 2018

Measurement of the inclusive and fiducial $t\bar{t}$ production cross-sections in the lepton+jets channel in pp collisions at $\sqrt{s} = 8$ TeV with the ATLAS detector

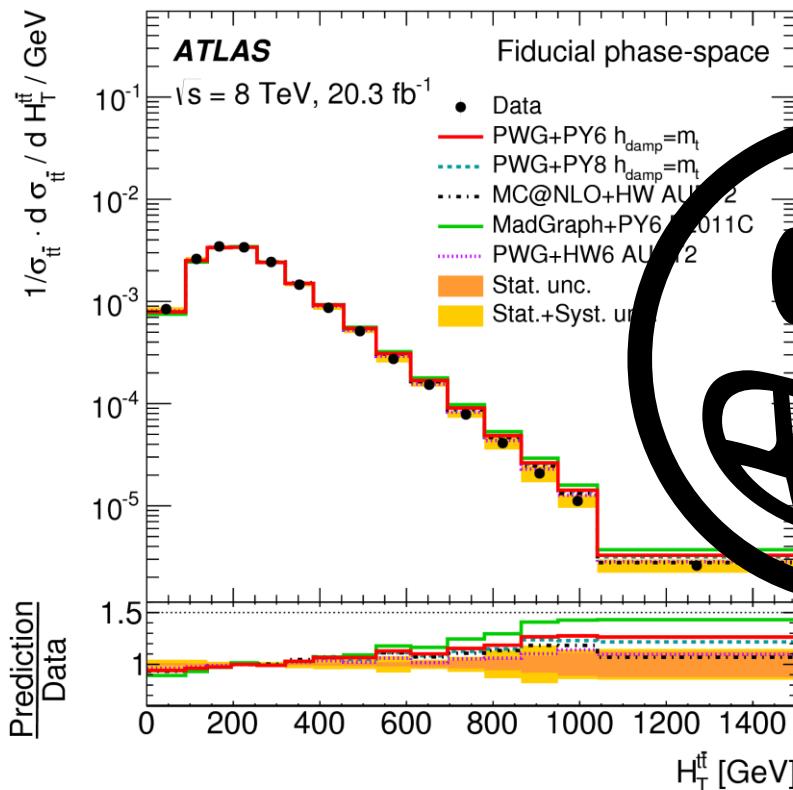
The ATLAS Collaboration

The inclusive and fiducial $t\bar{t}$ production cross-sections are measured in the lepton+jets channel using 20.2 fb^{-1} of proton-proton collision data at a centre-of-mass energy of 8 TeV recorded with the ATLAS detector at the LHC. Major systematic uncertainties due to the modelling of the jet energy scale and b -tagging efficiency are constrained by separating selected events into three disjoint regions. In order to reduce systematic uncertainties in the most important background, the $W + \text{jets}$ process is modelled using $Z + \text{jets}$ events in a data-driven approach. The inclusive $t\bar{t}$ cross-section is measured with a precision of 5.7% to be $\sigma_{\text{inc}}(t\bar{t}) = 248.3 \pm 0.7 \text{ (stat.)} \pm 13.4 \text{ (syst.)} \pm 4.7 \text{ (lumi.) pb}$, assuming a top-quark mass of 172.5 GeV. The result is in agreement with the Standard Model prediction. The cross-section is also measured in a phase space close to that of the selected data. The fiducial cross-section is $\sigma_{\text{fid}}(t\bar{t}) = 48.8 \pm 0.1 \text{ (stat.)} \pm 2.0 \text{ (syst.)} \pm 0.9 \text{ (lumi.) pb}$ with a precision of 4.5%.

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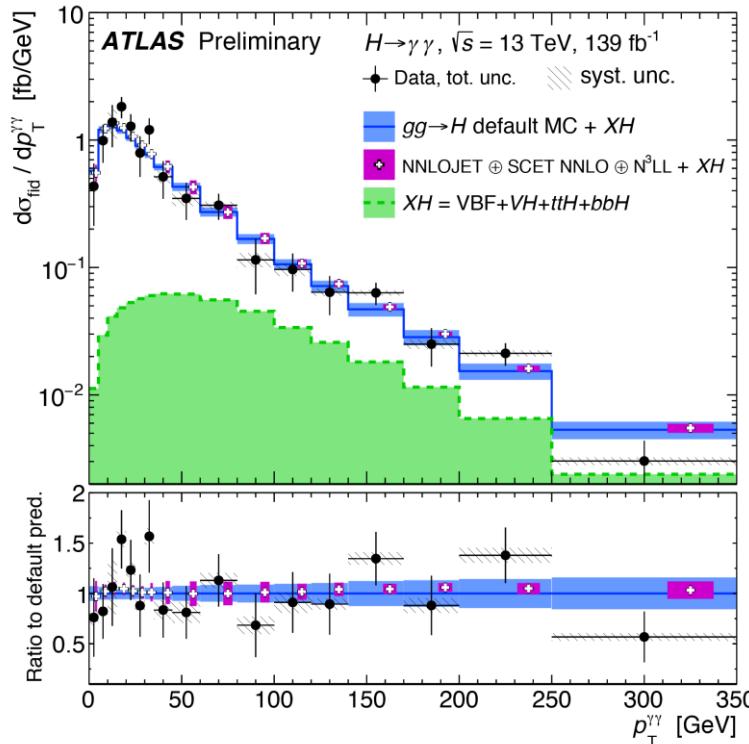
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Higgs results

Table 2: Particle-level selections for the fiducial measurements. The photon isolation, $\sum p_T^i/p_T^\gamma$, is defined as the sum of the p_T of charged particles within $\Delta r < 0.2$ of the photon.

| Objects | Fiducial definition |
|----------|--|
| Photons | $ \eta < 2.37$ (excluding $1.37 < \eta < 1.52$), $\sum p_T^i/p_T^\gamma < 0.05$ |
| Jets | anti- k_t , $R = 0.4$, $p_T > 30 \text{ GeV}$, $ y < 4.4$ |
| Diphoton | $N_\gamma \geq 2$, $105 \text{ GeV} < m_{\gamma\gamma} < 160 \text{ GeV}$, $p_T^{\gamma_1}/m_{\gamma\gamma} > 0.35$, $p_T^{\gamma_2}/m_{\gamma\gamma} > 0.25$ |

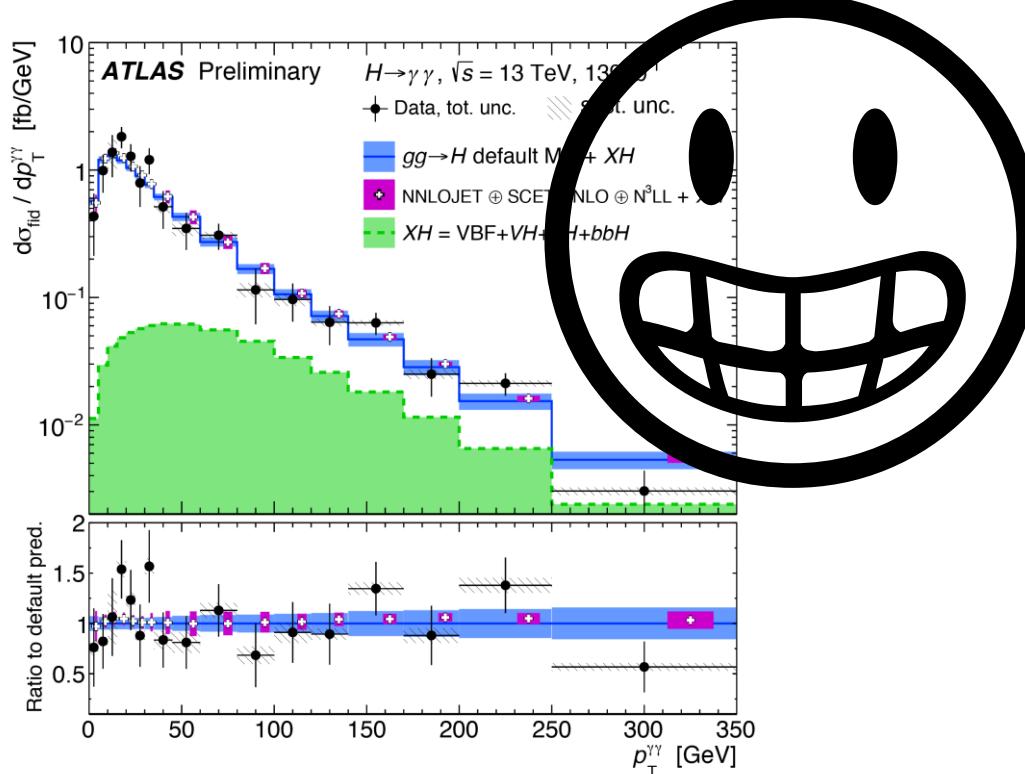


ATLAS-CONF-2019-029

Higgs results

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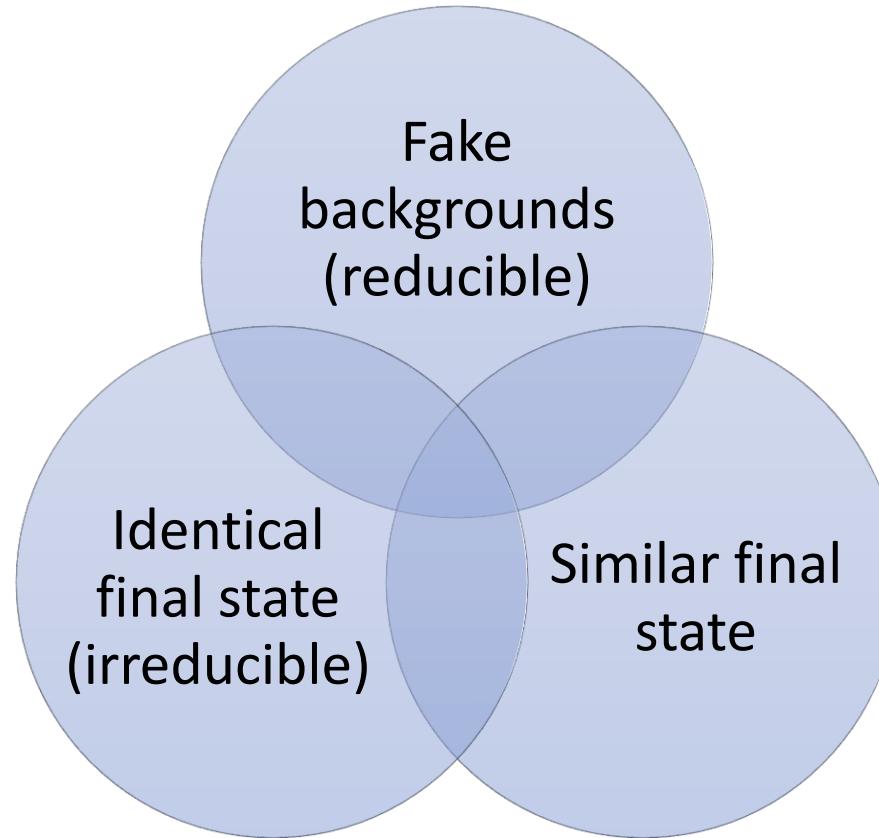
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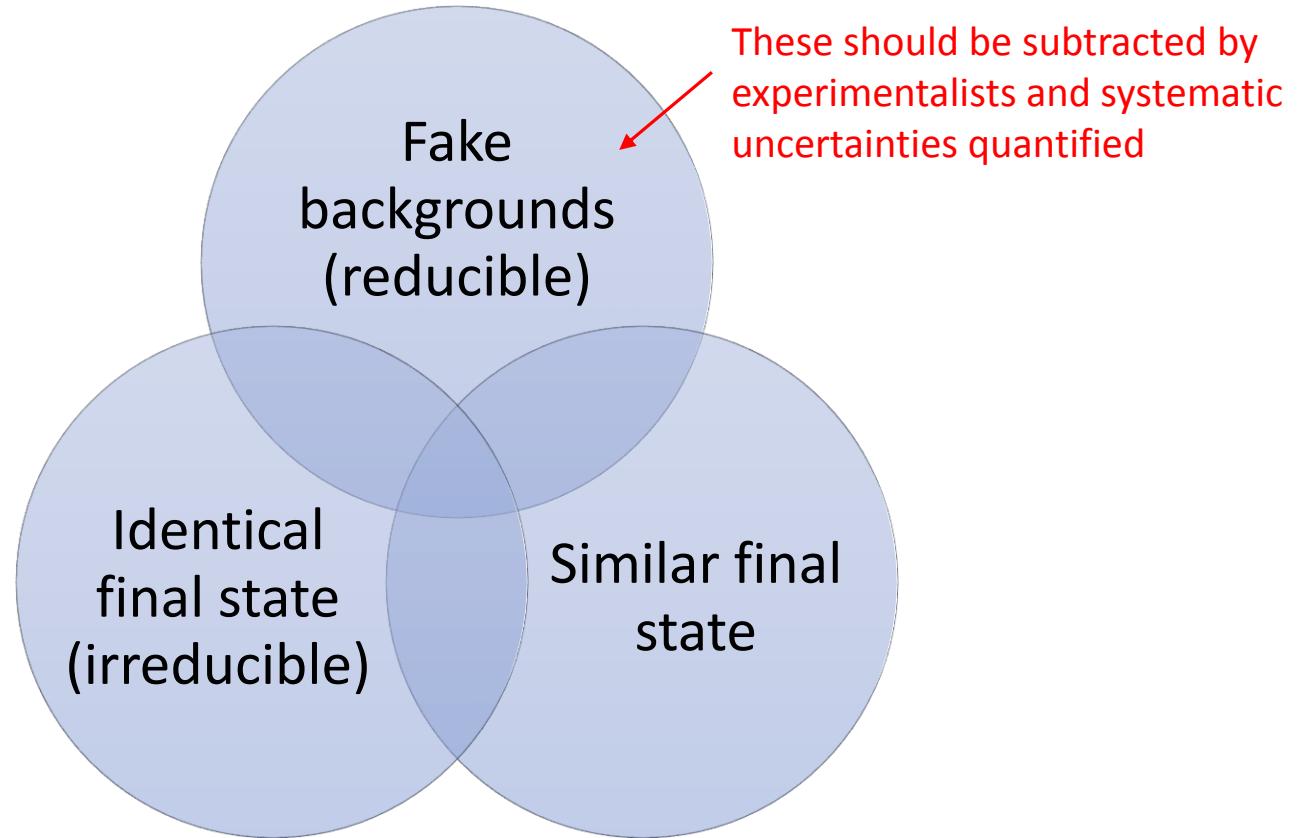
ATLAS-CONF-2019-029

Background subtraction (or not?)

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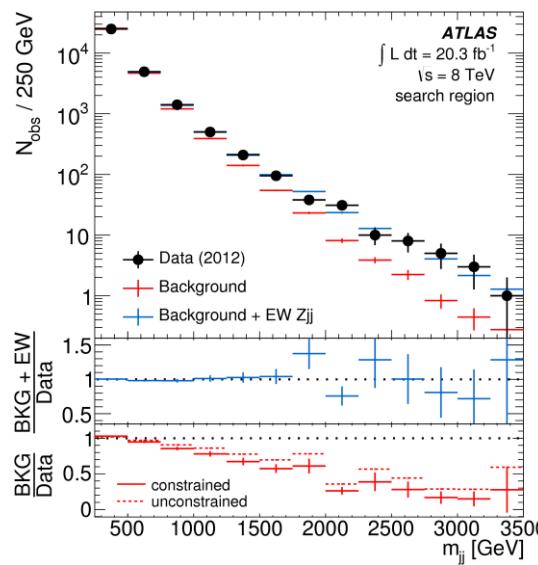
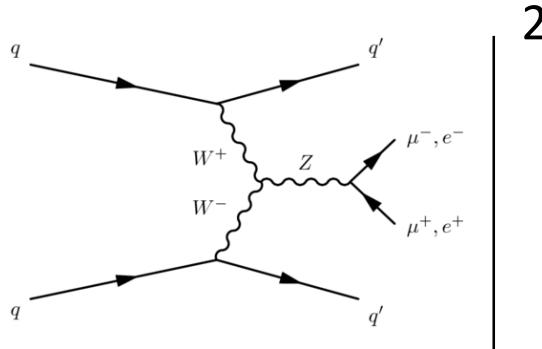
Background subtraction (or not?)



Identical final-states

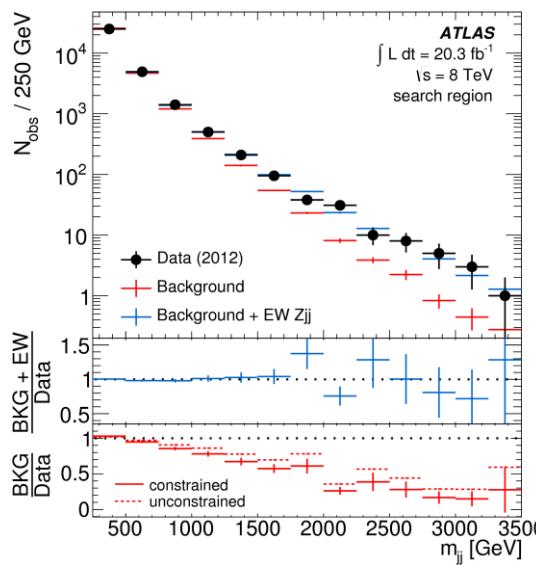
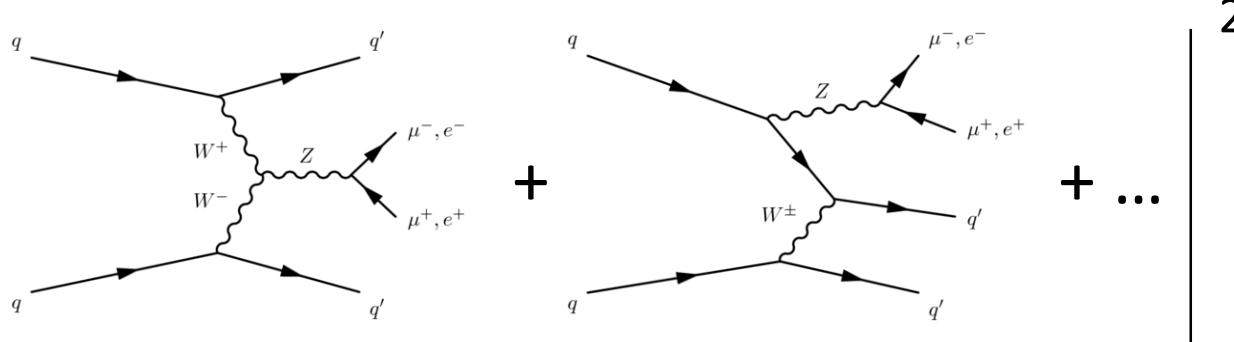
- Quantum mechanics tells us processes with identical final states will interfere and cannot be calculated separately
- Sometimes this is a huge effect and separating out diagrams breaks gauge invariance
- Other times the effect is quite small and attempts are made to isolate certain processes

Identical final-states example: $|l^+l^-$ VBF



[JHEP04\(2014\)031](#)
Rivet: ATLAS_2014_I1279489

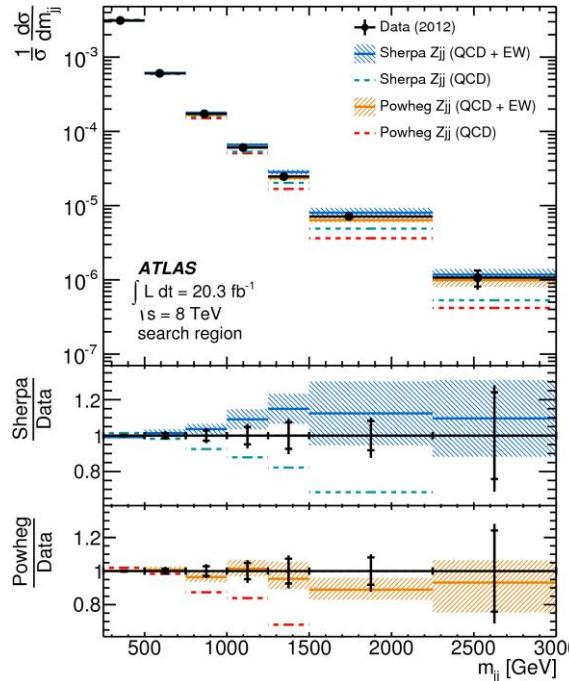
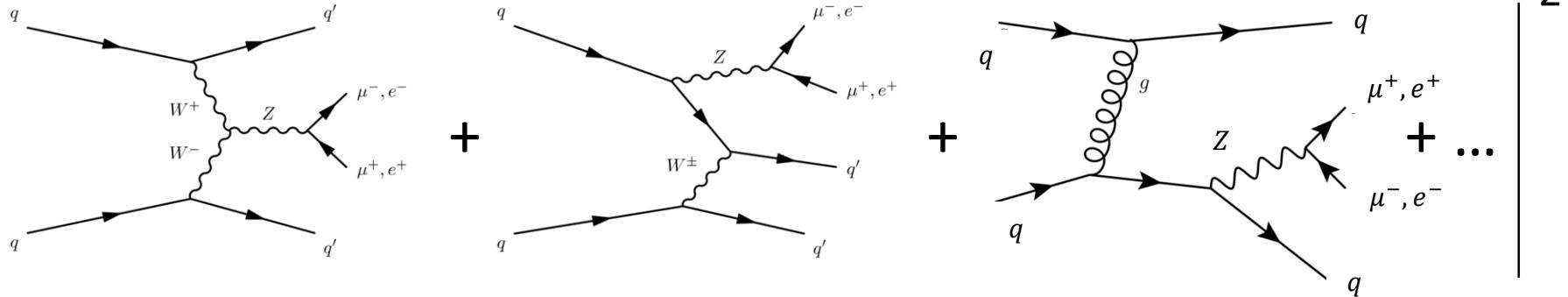
Identical final-states example : $|^+|^-\text{ VBF}$ (is actually EWK $|^+|^-$ plus dijets)



[JHEP04\(2014\)031](#)
Rivet: ATLAS_2014_I1279489

“The VBF process cannot be isolated due to a large destructive interference with the electroweak Z-boson bremsstrahlung process.”

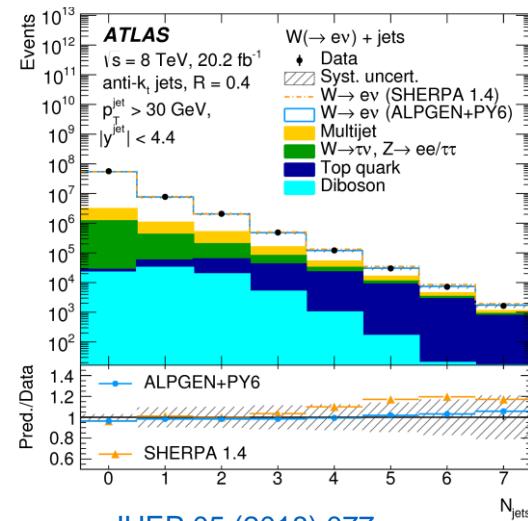
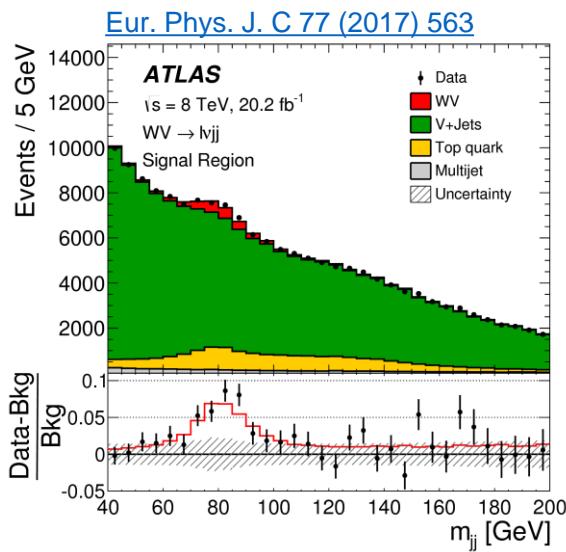
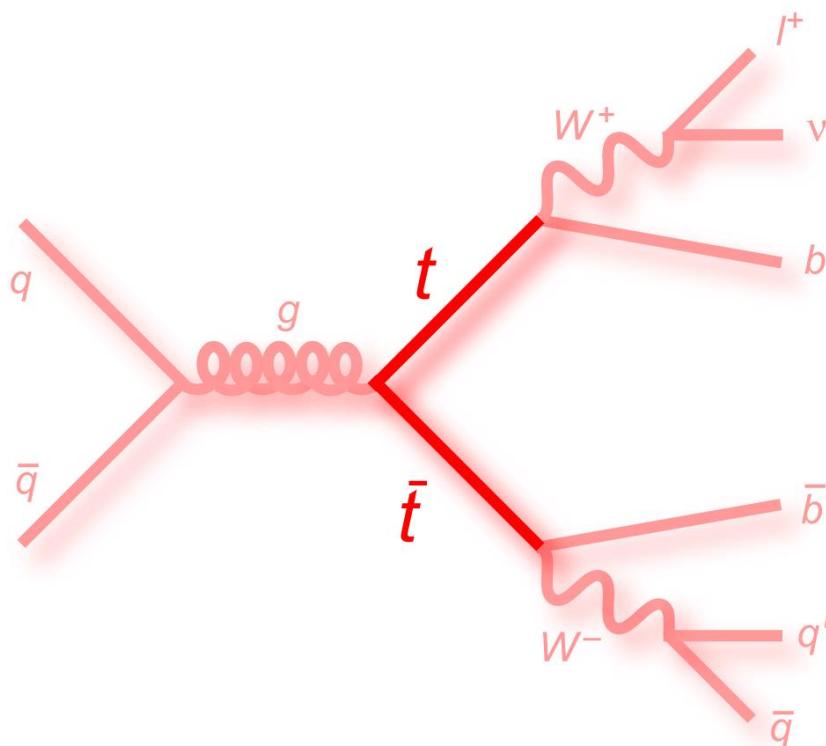
Identical final-states example : $|^+|^-$ VBF (is *really* actually $|^+|^-$ plus dijets)



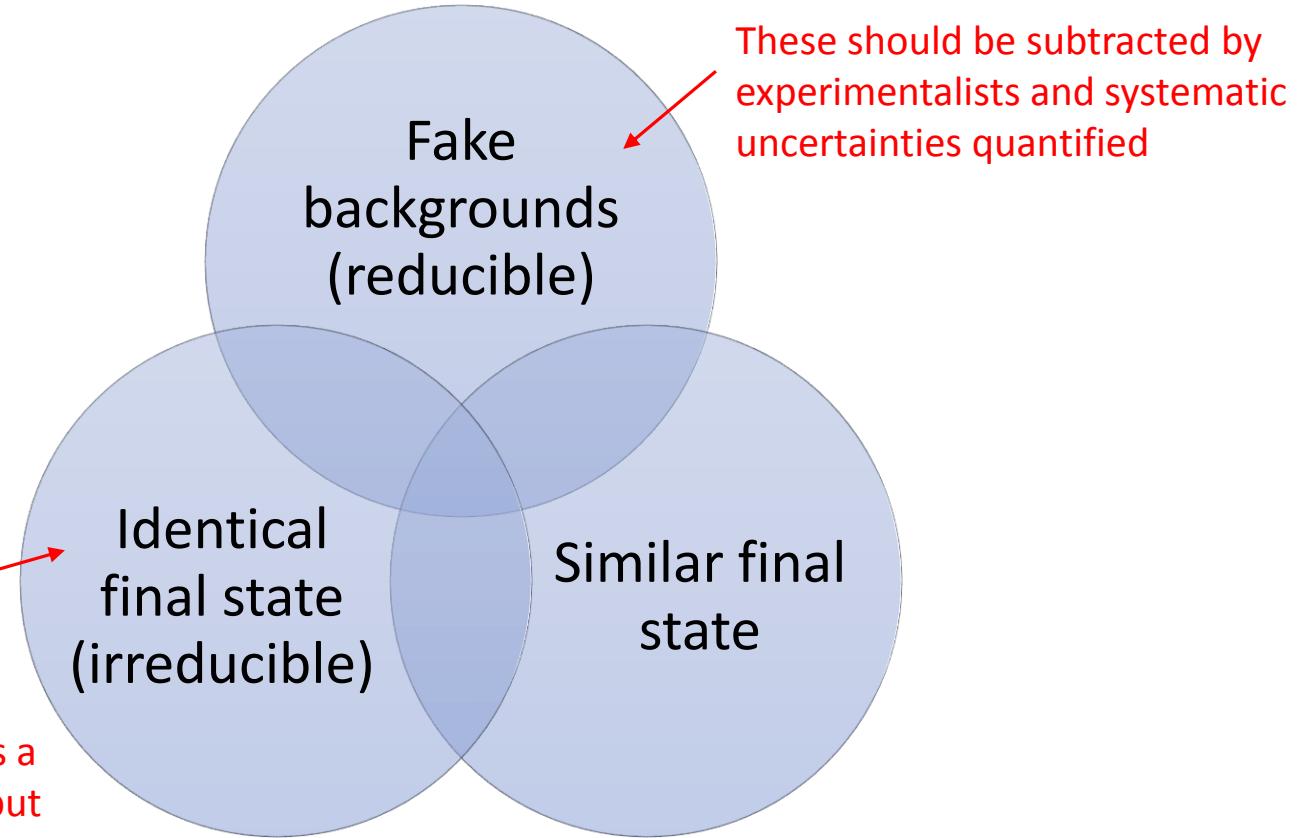
[JHEP04\(2014\)031](#)
Rivet: [ATLAS_2014_I1279489](#)

Its usually good to also include an inclusive measurement with no assumptions or subtractions made

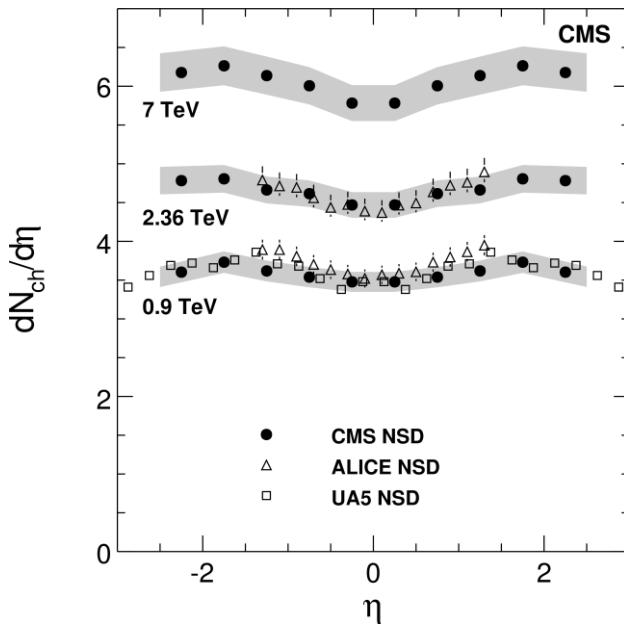
Example: ttbar is actually WW+jets is actually l+E_T^{miss}+jets



Background subtraction (or not?)

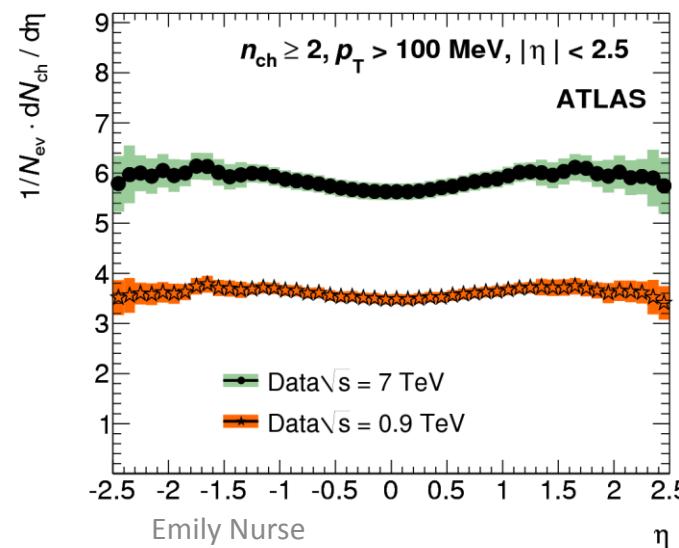
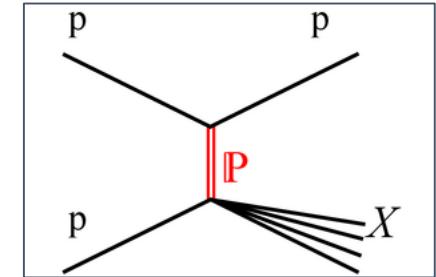


Similar final-states example: Soft-QCD



[Phys. Rev. Lett. 105 \(2010\) 022002](#)
Rivet: CMS_2010_S8656010

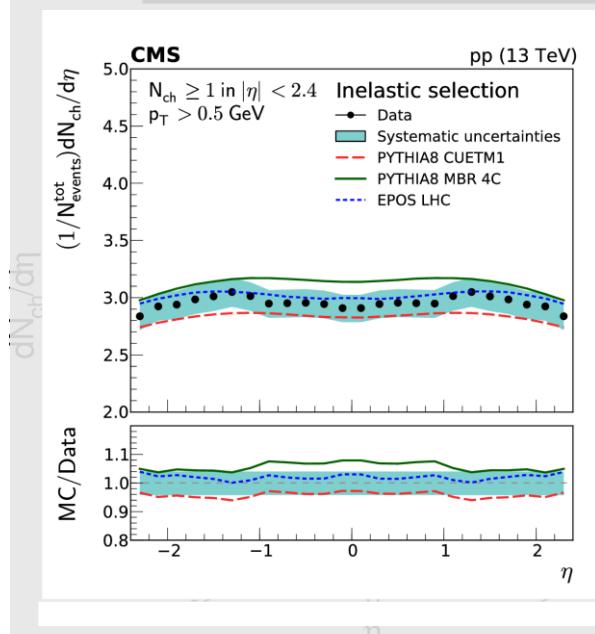
Single Diffractive subtracted off



[New J. Phys. 13 \(2011\) 053033](#)
Rivet: ATLAS_2010_S8918562

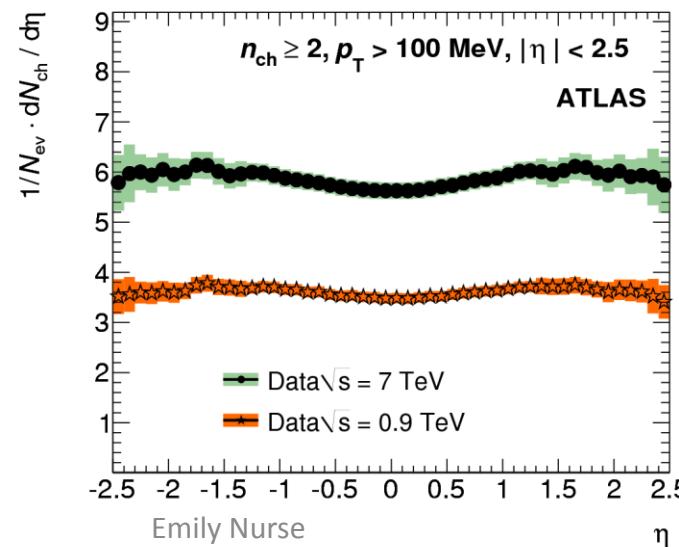
Final-state particle definition

Similar final-states example: Soft-QCD



[Eur. Phys. J. C 78 \(2018\) 697](https://doi.org/10.1140/epjc/s10050-018-597)
 Rivet: CMS_2018_I1680318
[Eur. Phys. J. C 78 \(2018\) 022002](https://doi.org/10.1140/epjc/s10050-010-02200-2)
 Rivet: CMS_2010_S8656010

Final-state particle definition acted off



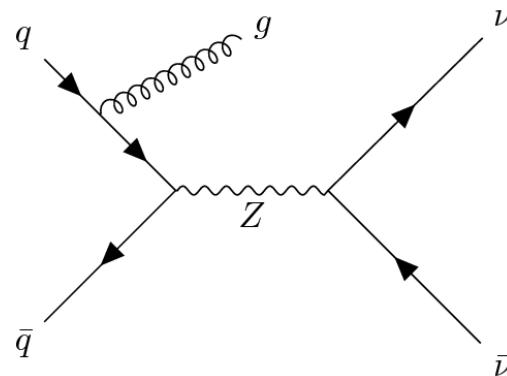
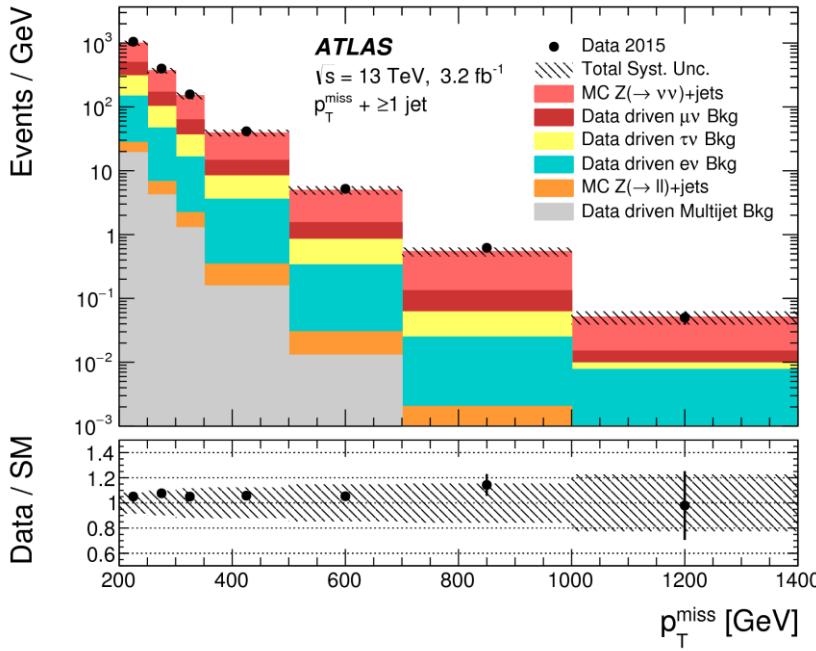
[New J. Phys. 13 \(2011\) 053033](https://doi.org/10.1088/1367-2630/13/5/053033)
 Rivet: ATLAS_2010_S8918562

Final-state particle definition

Similar final-states example: $p_T^{\text{miss}} + \text{jets}$

[Eur. Phys. J. C 77 \(2017\) 765](#)

Rivet: ATLAS_2017_I1609448

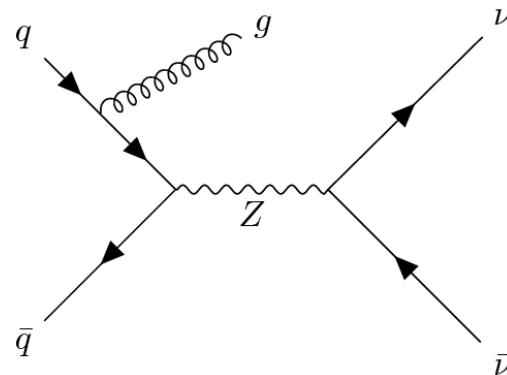
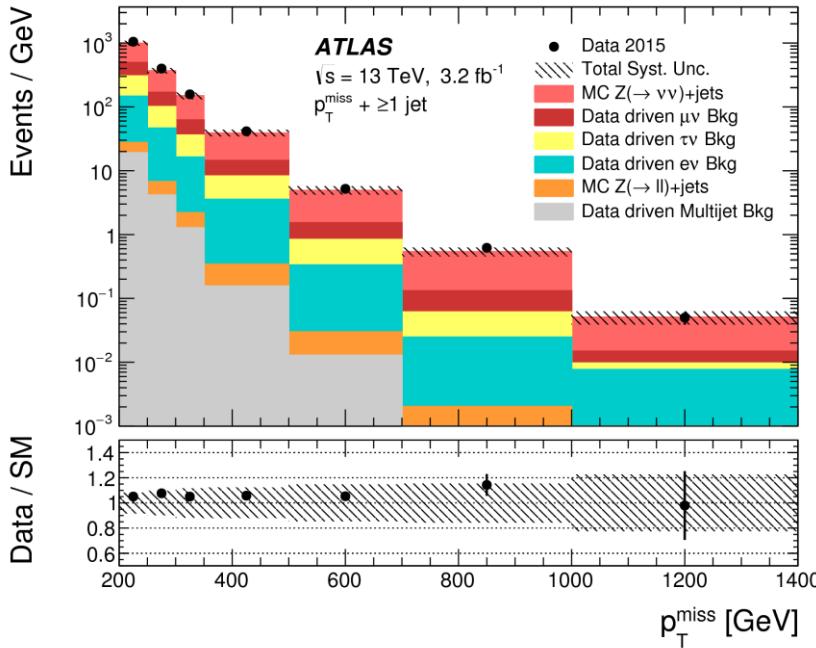


Fiducial phase-space:
 $p_T^{\text{miss}} + \text{jet(s)}$
 No charged leptons with $|\eta| < 2.5$, $p_T > 7 \text{ GeV}$
 + others....

Similar final-states example: $p_T^{\text{miss}} + \text{jets}$

[Eur. Phys. J. C 77 \(2017\) 765](#)

Rivet: ATLAS_2017_I1609448



Fiducial phase-space:

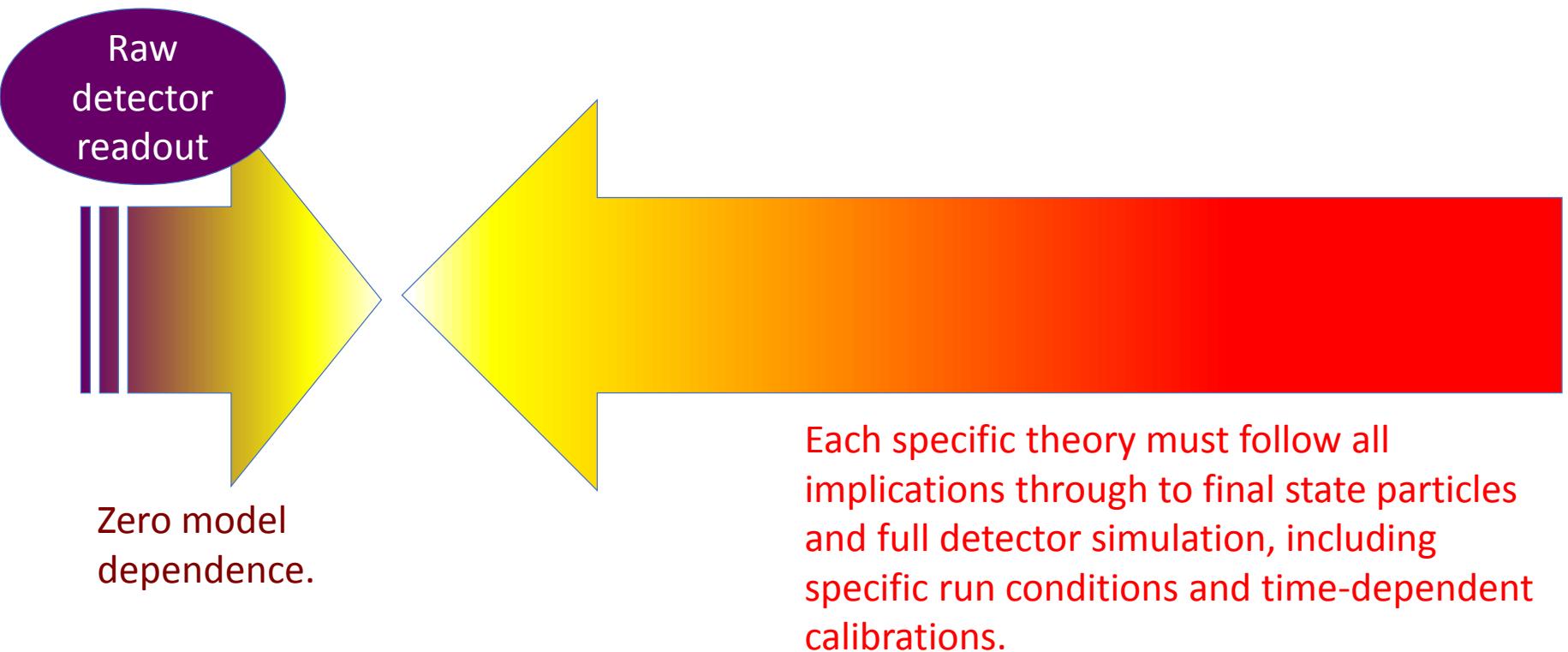
$p_T^{\text{miss}} + \text{jet(s)}$

No charged leptons with $|\eta| < 2.5$, $p_T > 7$ GeV
+ others

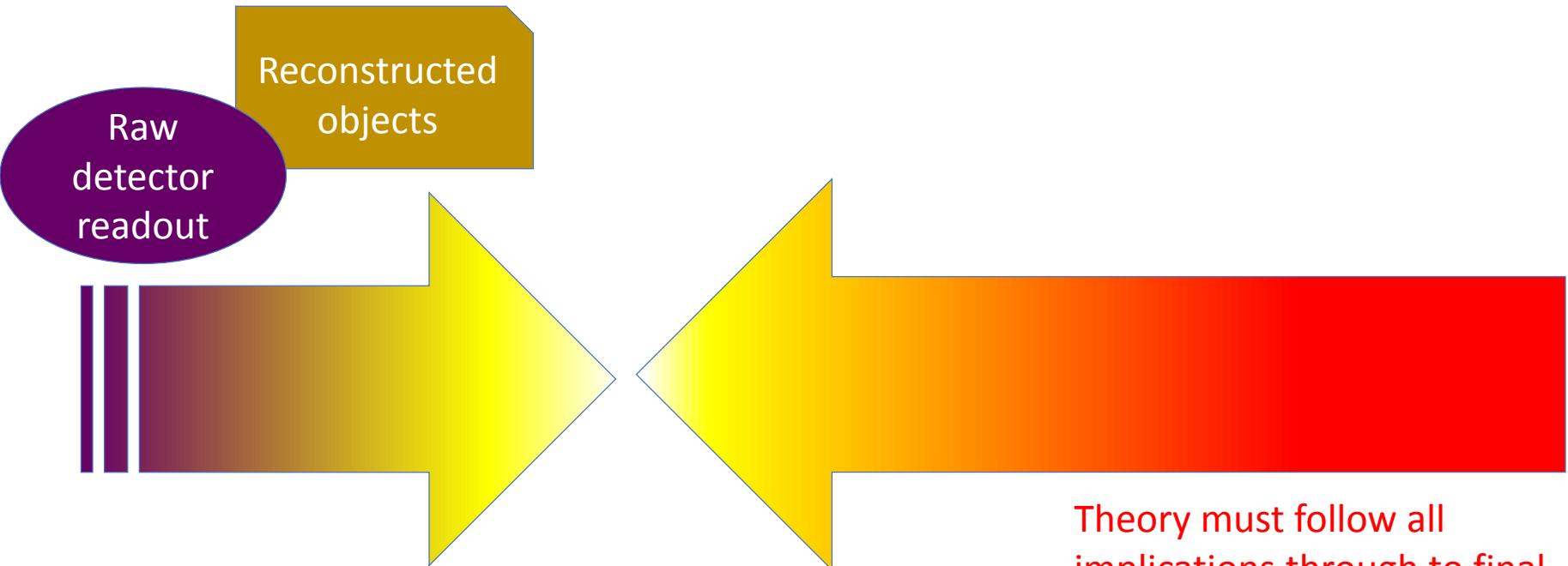
$W \rightarrow l\nu$ with “out of acceptance” leptons contribute \sim the same as $Z \rightarrow \nu\nu$!

- In this paper: background determined using control regions+MC, and subtracted
- Perhaps these W's should be included as part of the “signal” definition?
 - This leaves the data uncontaminated and as close to “what we see” as possible.
 - Removes dependence on control regions and MC extrapolation between regions
- But be careful of fiducial phase-space definitions: e.g. out-of-acceptance muons should be included as *invisible* in a particle-level p_T^{miss} definition!

Where to compare nature to our ideas?



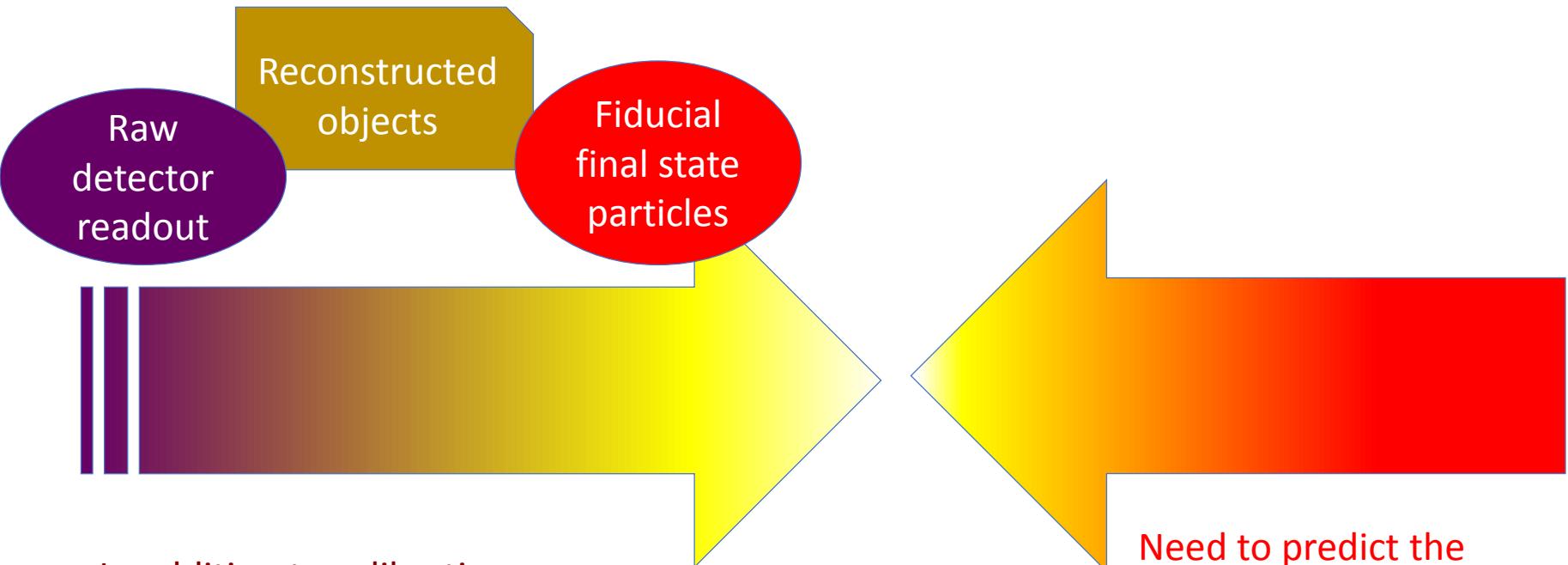
Where to compare nature to our ideas?



Calibrations applied which may have some dependence on models, but minimal and dependence can be controlled.

Theory must follow all implications through to final state and at least some parameterised approximation of detector resolution and efficiency.

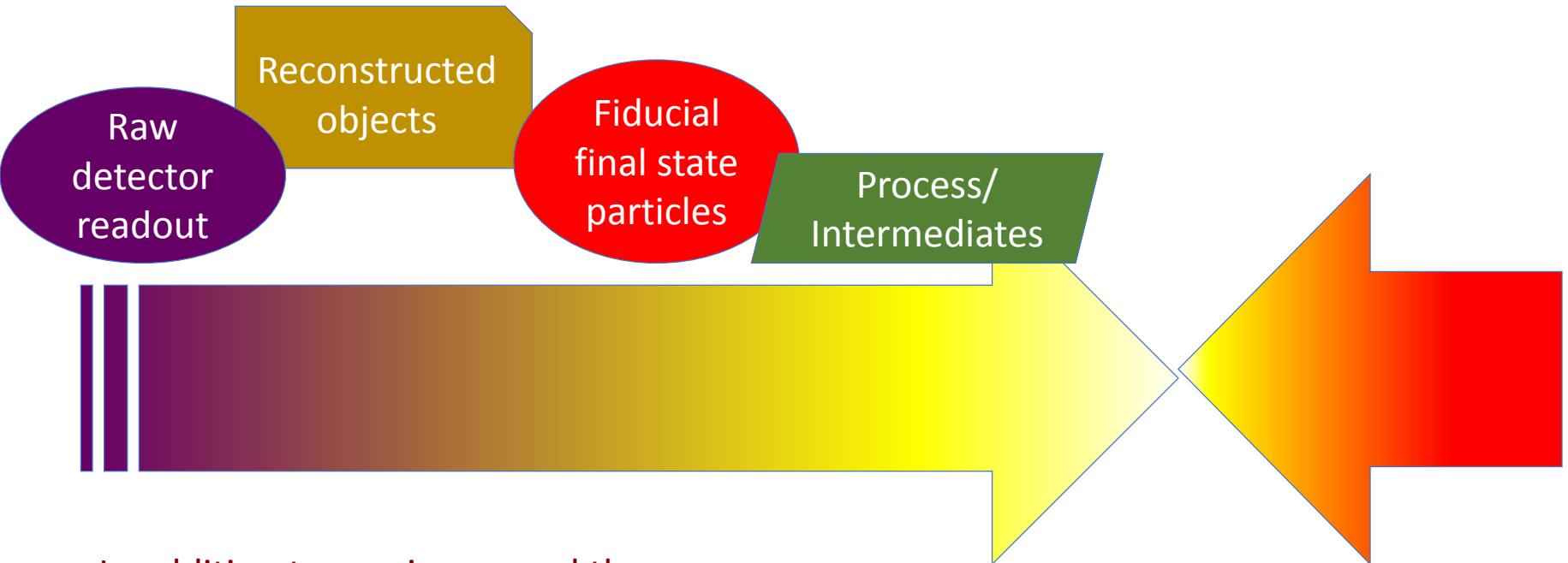
Where to compare nature to our ideas?



In addition to calibrations,
need unfolding for resolution
and efficiency, though
uncertainties can generally
be controlled.

Need to predict the
exclusive final state.

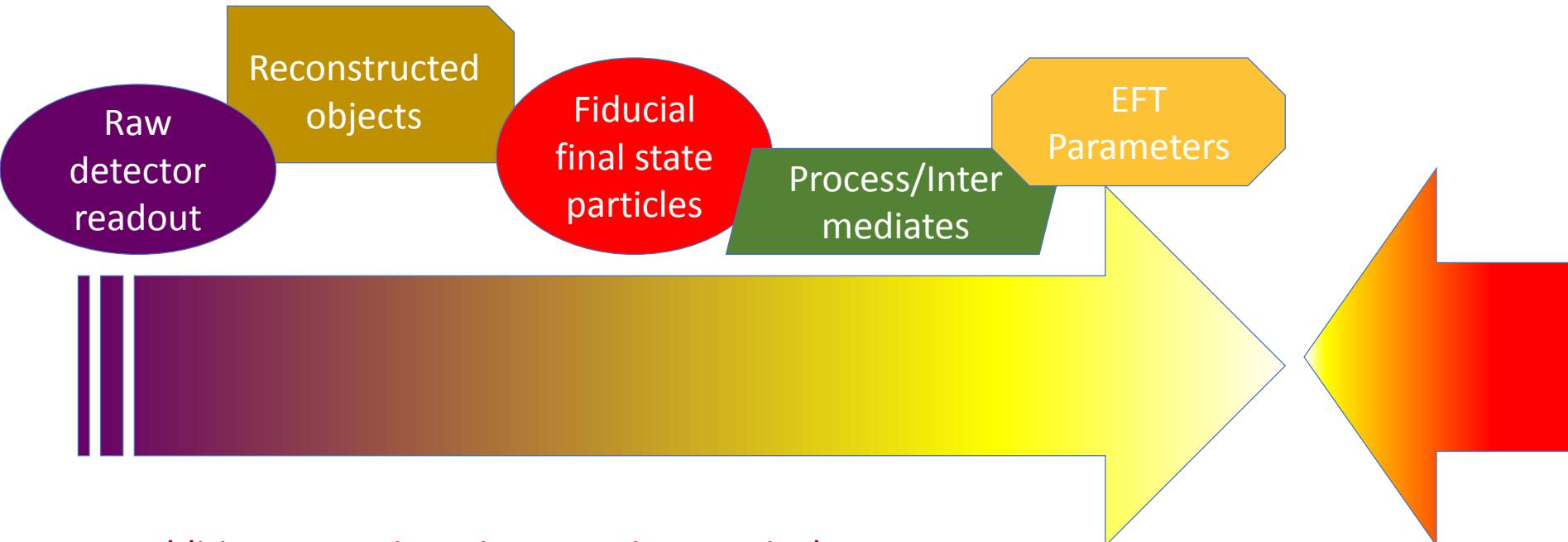
Where to compare nature to our ideas?



In addition to previous, need theory extrapolations into unobserved regions, theory background subtractions, and corrections for soft/long distance physics.

Can integrate over inclusive phase spaces and ignore soft/long distance physics.

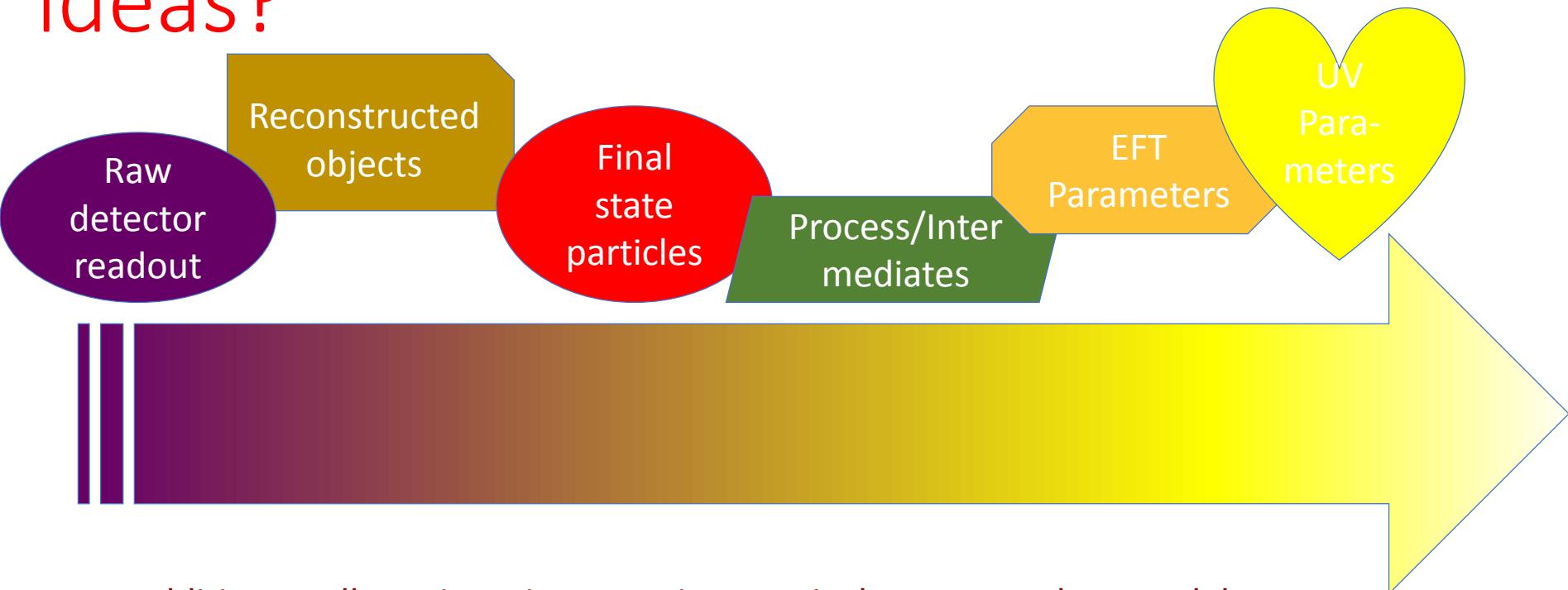
Where to compare nature to our ideas?



In addition to previous, interpret in a particular
(simplified?) model.

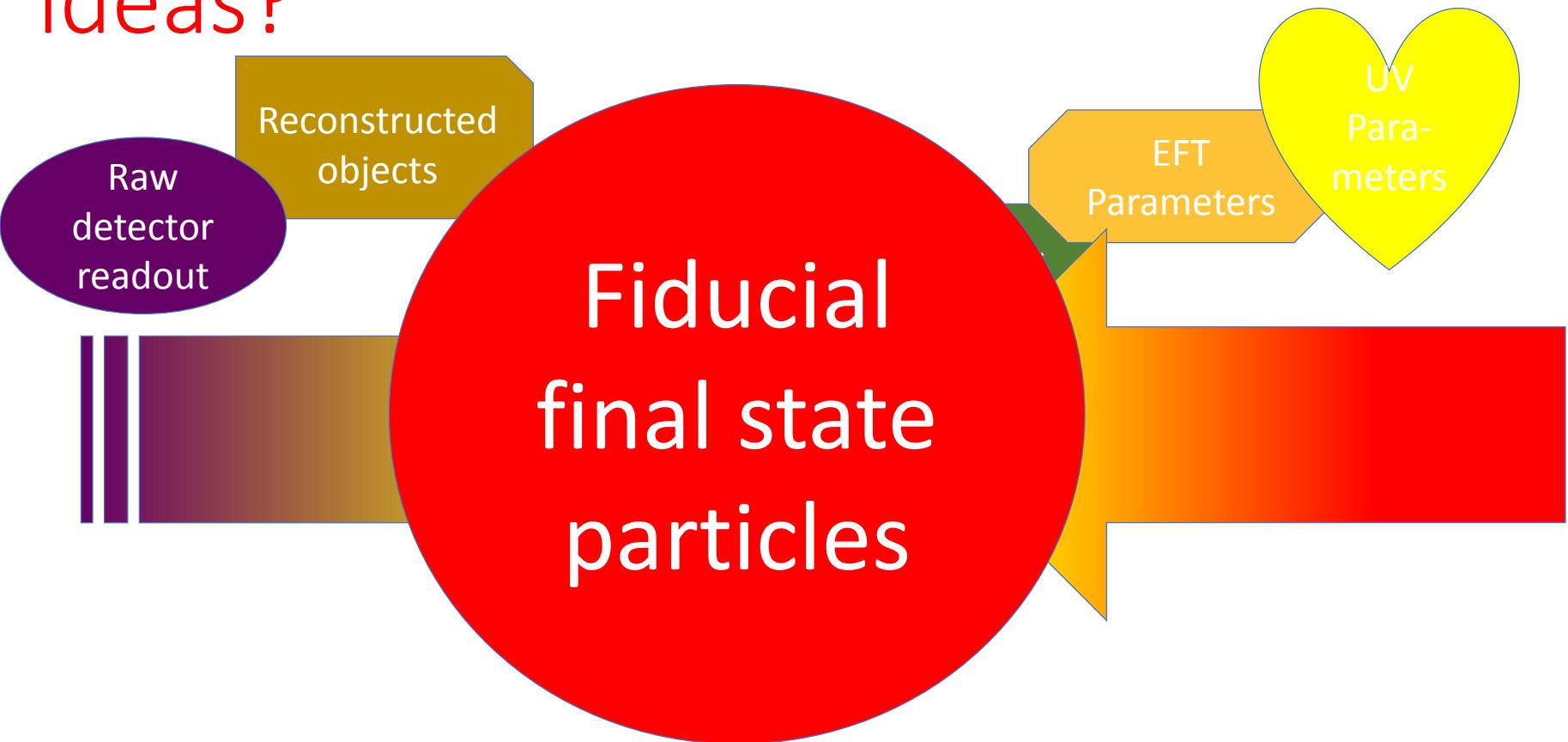
Need to think
about running
from high
energies, but
not much else...

Where to compare nature to our ideas?



Have a good idea, then play golf.

Where to compare nature to our ideas?



BSM searches : detector corrections

- Typically done at reco-level, the paper sets limits on parameters in a given model by comparing to reco-level MC predictions
- But data in a given analysis can be sensitive to many BSM theories, how to re-interpret these?
 - Many people working on how to reinterpret reco-level results, e.g. by using fast detector simulation (can be interfaced with Rivet)
 - Another option is to correct for detector effects and allow comparisons with “truth-level” predictions. Some sensitivity may be lost due to binning but much easier to reinterpret

Distinction between BSM search and SM measurement becomes blurred:

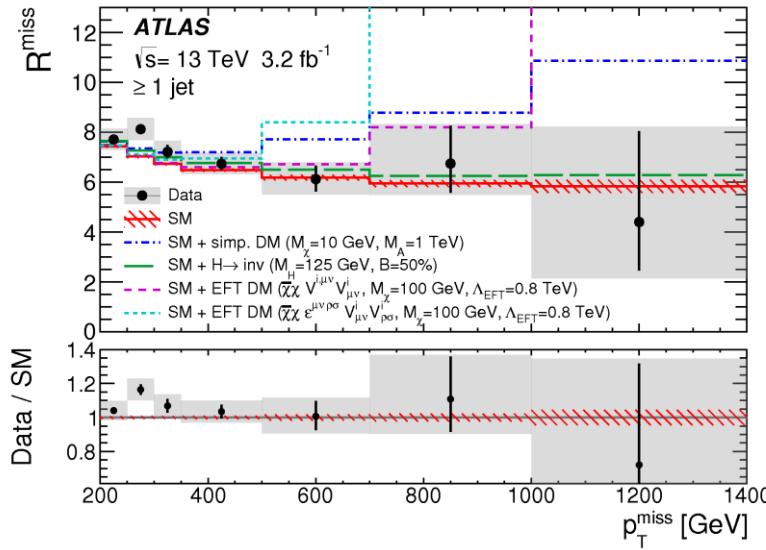
- We measure the data in certain final-states and compare to the best SM predictions.
- We should do this more in regions particularly sensitive to new physics
- Important to stick to the “measuring a final-state” philosophy

See Contur (<https://contur.hepforge.org>) and Thursday’s tutorial which uses all analyses in Rivet to constrain BSM parameter space.

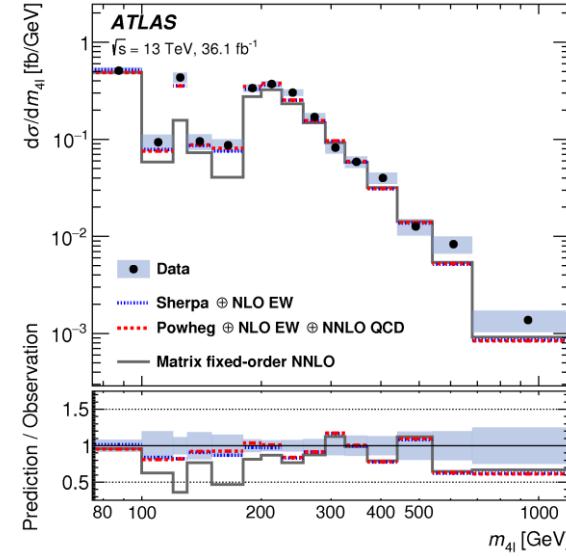
Sometimes surprises occur and a certain model pops up in multiple final states we haven’t thought of yet.

BSM searches : detector corrections

[Eur. Phys. J. C 77 \(2017\) 765](#)



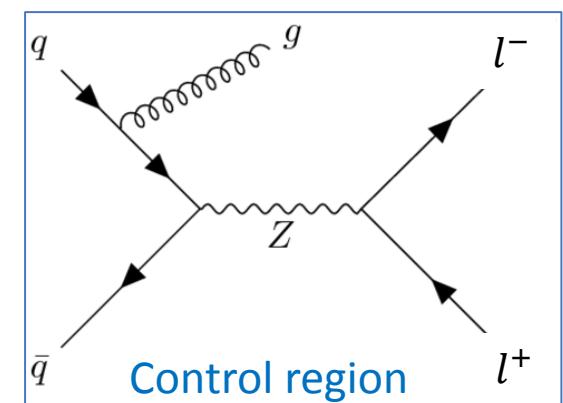
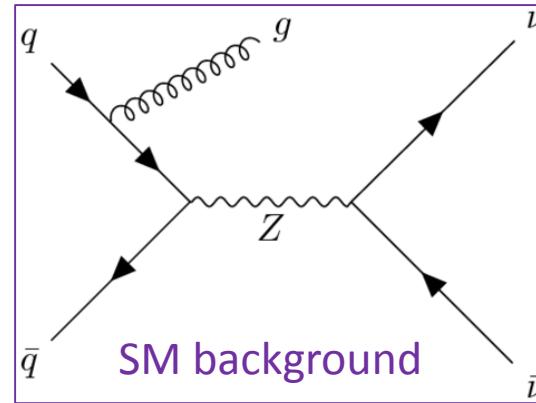
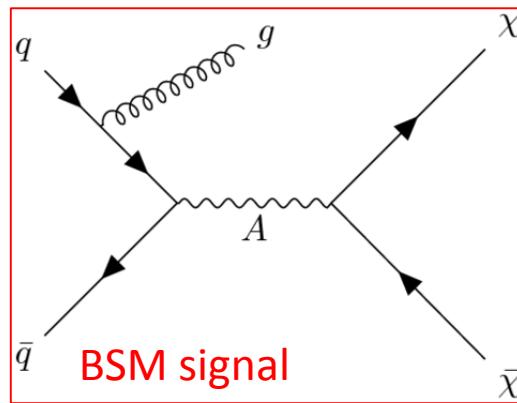
[JHEP 04 \(2019\) 048](#)



FYI: There are not many BSM motivated measurements like this, get involved!

BSM searches: backgrounds

- Often backgrounds to BSM searches are predicted using constraints from “control regions”. These can be:
 - similar final states, or
 - the same final-state with different kinematic cuts
- This can be very useful, especially when modelling is bad, and can reduce systematic uncertainties a lot.



But it can limit re-interpretation, what if a BSM theory leads to final state particles in the control region too?

BSM searches: backgrounds and unfolding

One possible solution:

- Unfold and publish the signal region and the control region with correlation information
- Control region constraints can then be made for models that allow it but not for others

These are all quite new ideas, lots of room for studies and analyses, get involved!

Summary

- ✓ Correct (carefully) for detector effects (maybe even for BSM searches)
- ✓ Measure in your fiducial phase-space
- ✓ Think carefully about subtracting “backgrounds”
- ✓ Keep the data as clean and model independent as possible

Summary

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And the rewards will follow