



# TATA MUTUAL FUND

Mulla House Ground Floor Homi Mody Street Mumbai - 400001



## Account Statement



Folio Number : 5902451 / 94

### Neha Rajendra Shirsat

RAJRAJESHWARI COLONY NASHIK  
ROAD PANCHAK JAIL ROAD NEAR  
RAJRAJESHWARI KARYALAY NASHIK NASHIK  
NASHIK - 422101  
Maharashtra, India

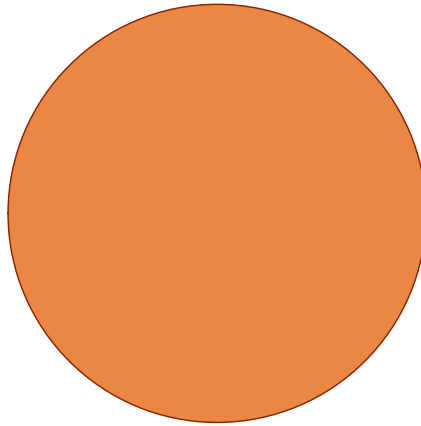
Work : Please provide  
Home : 7507854132  
Mobile : \*\*\*\*\*4132  
Email : neha.shirsat21@gmail.com

Statement Date : 11-Nov-2021

### Account Summary

Scheme	NAV as on 31-Jan-2018	NAV Date	NAV	Unit Balance	Cost (INR)	Current Value (INR)
Tata Digital India Fund Direct Plan Growth		08-Nov-2021	42.3514	326.067	12,000.00	13,809.39
Total					12,000.00	13,809.39

### Asset Allocation



100.00% - Equity

### FOLIO DETAILS

Folio Number : 5902451 / 94  
Unit Holder : Neha Rajendra Shirsat  
Second Holder :  
Third Holder :  
Guardian :

### CKYC KIN

Old Folio Number :  
Tax Status : Individual  
Mode Of Holding : Single

NOMINATION	NAME	PERCENTAGE	RELATIONSHIP
Nominee 1	Sushma Rajendra Shirsat	100%	MOTHER
Nominee 2			
Nominee 3			

### REGISTERED BANK ACCOUNT(S) (masked for security reasons)

First : SB/XXXXXXXXXX1480/HDFC BANK/PUNE BOAT CLUB/PUNE

### IFSC Code

HDFC0000039

### RTGS

HDFC0000039

### Payout Mode

HDFC Bank - Direct  
Credit



### Account Statement

Folio Number	: 5902451 / 94	Old Folio Number	:
Unit Holder	: Neha Rajendra Shirsat		

	PAN / PEKRN	KYC	Supplementary KYC	FATCA / CRS	UBO
1st Unit Holder	*****735K	Verified	Complete	Available	Not applicable
2nd Unit Holder					
3rd Unit Holder					
Guardian, if any					

Transactions From 01-APR-2021 to 08-NOV-2021

### Transaction Details

**TDIFGZ Tata Digital India Fund Direct Plan Growth- ISIN :INF277K01Z77** **NAV as on 08/11/2021 : 42.3514**

Value Date	Transactions Type	Amount In INR	Price In INR	NAV In INR	Number of Units	Balance Units
02/06/2021	Purchase SIP-BSE - - INZ000208032	1,999.90	30.4614	30.4614	65.654	65.654
	Gross Amount: Rs.2000/-; Stamp Duty charges Levied	0.10				
05/07/2021	Purchase-BSE - - INZ000208032	1,999.90	33.5654	33.5654	59.582	125.236
	Gross Amount: Rs.2000/-; Stamp Duty charges Levied	0.10				
06/08/2021	Purchase-BSE - - INZ000208032	1,999.90	36.2307	36.2307	55.199	180.435
	Gross Amount: Rs.2000/-; Stamp Duty charges Levied	0.10				
08/09/2021	Purchase SIP-BSE - - INZ000208032	1,999.90	39.8478	39.8478	50.188	230.623
	Gross Amount: Rs.2000/-; Stamp Duty charges Levied	0.10				
05/10/2021	Purchase SIP-BSE - - INZ000208032	1,999.90	41.4731	41.4731	48.222	278.845
	Gross Amount: Rs.2000/-; Stamp Duty charges Levied	0.10				
08/11/2021	Purchase SIP-BSE - - INZ000208032	1,999.90	42.3514	42.3514	47.222	326.067
	Gross Amount: Rs.2000/-; Stamp Duty charges Levied	0.10				

<b>Current Unit Balance : 326.067</b>	Market Value as on 08/11/2021 : 13,809.39	Intermediary Name	: Nextbillion Technology Private Limited
		Intermediary Code	: INZ000208032
Redemption Payout	: HDFC Bank - Direct Credit	Sub Broker ARN	:
		EUIN	:

*Allotment of units would be subject to realization of credit. Current load structure(w.e.f.25/01/2021): Exit Load: 0.25% if redeemed before expiry of 30 days from the date of allotment. The Entry/Exit load for investment will be as applicable as on the date of allotment / date of registration in case of SIP and STP. Switch-in will be processed based on the settlement cycle of the switch-out scheme.*

### Non Financial Transaction(s)

Date	Transaction Type
03/06/2021	Address Updated from KRA Data
03/06/2021	Registration of Nominee
16/09/2021	Cancelled



## Additional Purchase / Switch / Redemption Form



### 1 INVESTOR DETAILS

Folio Number.	5902451	CAN		Intermediary	INZ000208032
Mode of Holding	Single	Status	Individual	EUIN	
1st Holder Name	Neha Rajendra Shirsat			PAN	*****735K
2nd Holder Name				PAN	
3rd Holder Name				PAN	
Guardian				PAN	

### 2 INTERMEDIARY DETAILS

Intermediary Name / Code	Sub-Broker / Bank Branch Code	Sub-Broker ARN Code	EUIN Code

I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the intermediary or personnel. Upfront commission shall be paid directly by the investor to the intermediaries based on the investor's assessment of various factors including the service rendered by the intermediary.

Sole / 1 <sup>st</sup> Applicant Signature / Thumb Impression	2 <sup>nd</sup> Applicant Signature / Thumb Impression	3 <sup>rd</sup> Applicant Signature / Thumb Impression

### 3 ADDITIONAL PURCHASE DETAILS

From Scheme / Plan / Option					
Payment Mode :	<input type="checkbox"/> OTM facility (Registered in Folio)	<input type="checkbox"/> Cheque / DD	<input type="checkbox"/> Fund Transfer	<input type="checkbox"/> RTGS / NEFT	
Gross Amount (A)		DD Charges(if any) (B)		Net Amount (A - B) ₹	
Bank A/C No.		A/C Type		Dated	DD / MM / YYYY
Drawn on Bank				Cheque/DD/ UTR No.	
Branch				Branch City	

### 4 SWITCH DETAILS

From Scheme / Plan / Option					
To Scheme / Plan / Option					
<input type="checkbox"/> Amount (₹) (in Figure)		OR	<input type="checkbox"/> Units (in Figure)		OR <input type="checkbox"/> All units

### 5 REDEMPTION DETAILS

From Scheme / Plan / Option					
<input type="checkbox"/> Amount (₹) (in Figure)		OR	<input type="checkbox"/> Units (in Figure)		OR <input type="checkbox"/> All units

**REDEMPTION BANK ACCOUNT DETAILS: For Investors who have registered for Multiple Bank Accounts facility in the above folio** (please strike off the section if not used) The redemption should be processed into the following bank account as per the payout mechanism indicated by me/us:

Bank Name			
A/c No.	IFSC Code		

**Note:** If the bank account mentioned above is different from those already registered in your folio OR If the bank account details are not filled above, the redemption will be processed into the "Default" bank account registered for the aforesaid folio.

### 6 DECLARATION AND SIGNATURES

I / We have read, understood and hereby agree to comply with the terms and conditions of the scheme related documents including the Key Information Memorandum and request for Purchase/Redemption/Switch of Units of the Scheme(s) of Tata Mutual Fund ('Fund') indicated in the application form. I/We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of my/our transactions. I/We shall not hold AMC/mutual Fund or its RTA for not being able to process my request due to incomplete information provided by me. The Intermediary has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby confirm that I/We have not been offered/communicated any indicative portfolio and/or any indicative yield by the Fund/AMC/its intermediary for this investment.

Date: \_\_\_\_\_

Sole / 1 <sup>st</sup> Applicant Signature / Thumb Impression	2 <sup>nd</sup> Applicant Signature / Thumb Impression	3 <sup>rd</sup> Applicant Signature / Thumb Impression

### ACKNOWLEDGEMENT SLIP

Received request from Mr./Ms./M/s.	Folio No.
for <input type="checkbox"/> purchase of ₹ _____ <input type="checkbox"/> switch-in of ₹ _____ <input type="checkbox"/> redemption	(details overleaf)

## 1. GENERAL INSTRUCTIONS:

- The Unit balance(s) is/are subject to realization of Cheque / DD accompanying the Purchase Request & PAN Validation.
- This Account Statement is subject to quality check.
- This Account Statement is a record of your transactions of the Units held in Tata Mutual Fund (TMF).
- Kindly review this statement and contact the Investor Service Centres / 022-62827777 / enq\_t@camsonline.com promptly if there is any discrepancy. Contents of this statement will be considered correct if no error is reported within 30 days of receipt of the statement.
- For more information about our product, services and processes, visit our website [www.tatamutualfund.com](http://www.tatamutualfund.com).
- Please quote your Folio Number in all future correspondence, please use the transaction forms enclosed along with this account statement for additional purchase, switch and redemption.
- The Statement of Additional Information (SAI), Scheme Information Document (SID) and Key Information Memorandum (KIM) of the schemes and information about our product, services and processes are available on [www.tatamutualfund.com](http://www.tatamutualfund.com).
- Investors need to read the SAI, SID, KIM, Addenda, KIM and Instructions therein and below mentioned instructions carefully before filling up the transaction forms.

### ix. Transaction Charges:

In accordance with SEBI circular No. Cir/IMD/DF/13/2011 dated August 22, 2011, Tata Asset Management Limited/ Mutual Fund will deduct transaction Charges from the purchase/ subscription amount received from the investors investing through a valid Intermediary Holder i.e. Intermediaries (provided the Intermediary has opted to receive the transaction Charges). Transaction Charge of Rs. 100 per purchase / subscription of Rs. 10,000 and above are deductible (per Scheme Plan - Option) from the purchase / subscription amount and payable to the Intermediary. The balance amount shall be invested. Transaction Charges shall not be deducted:

- where the Intermediary of the investor has not opted to receive any Transaction Charges
  - for purchases / subscriptions / total commitment amount in case of SIP of an amount less than Rs. 10,000/-;
  - for transactions other than purchases / subscriptions relating to new inflows i.e. through Switches / Systematic Transfers / Dividend Transfers/ Dividend Reinvestment, etc.;
  - for purchases / subscriptions made directly with the Fund (i.e. not through any Intermediary); and
  - for purchases / subscriptions routed through Stock Exchange(s).
- All transactions must be signed in accordance with the mode of holding opted by the investor.
  - All transactions will be accepted subject to verification of mandatory information / documents.
  - Investors shall note that KYC is mandatory and they need to comply with the 'Know Your Client' requirements as applicable from time to time. For more information on KYC, please visit our website [www.tatamutualfund.com](http://www.tatamutualfund.com). Applications are liable to be rejected without any intimation to the applicants, if required KYC compliance is not completed by all the applicants/unit holders.
  - Do not overwrite. For any corrections/changes if made in the transaction forms, the investors shall enter the correct details pursuant to cancellation of incorrect details and authenticate the corrected details by counter- signing against the changes. Applications with any such changes not counter- signed by the applicants are liable for rejection.
  - Applications complete in all respects, may be submitted out at any of our branch/ authorized centre of AMC & Registrar.
  - Application received at any of our branch/authorized centre of AMC/Registrar would be time stamped on receipt to evidence time of receipt of the application. An acknowledgement may be issued across the counter confirming the date & time of receipt of the application. Investors are advised to retain the acknowledgement slip till they receive a confirmation of processing of their transaction.

- Subject to applicable regulations and provisions in the Scheme Information Document of the scheme(s) the date and time stamp would be considered for determining applicable NAV for the transactions.
- Applications incomplete in any respect are liable to be rejected. Tata Asset Management Limited (the AMC) / Tata Trustee Company Limited (Trustee) have absolute discretion to reject any such Application Forms.

## 2. PURCHASES:

- Investors need to indicate their choice of Scheme, Plan and Option for which subscription is made at the time of filling up the Transaction Form. In case of ambiguity default options will apply.
- Investors can invest in more than one scheme with one cheque/ payment instrument. This facility is for administrative convenience only. For investment in more than one scheme a single cheque/DD amounting to the total value of investment must be drawn in favour of "Tata Mutual Fund" dated, signed and crossed 'A/c Payee only.' For investment in single scheme cheque must be drawn in favour of "Name of the Scheme".

- The Folio number / PAN and Name of the Applicant should be written by the Investor on the reverse of the cheques and bank drafts accompanying the transaction form.

- The first unit holder should be one of the bank account holders in the pay-in bank account. Purchase application through Cheque/DD/RTGS/NEFT/Funds transfer requests should necessarily mention the pay-in bank account details i.e. account number and bank, branch name of the bank account used for issuing the payments to the fund. If this is not evidenced on the payment cheque/funds transfer/RTGS/NEFT request, or in case of demand drafts, unit holder should attach necessary supporting documents as required by TMF, like bank certificate, bank passbook copy or statement to prove that the funds are from a bank account held by first unit holder only. If the documents are not submitted with the application, the fund reserves the right to reject the application or call for additional details. Investors are advised to visit [www.tatamutualfund.com](http://www.tatamutualfund.com) for details on documents, restrictions on third party payments or approach any of the AMC offices.

- In case of discrepancies in the amount received from the investor and the amount mentioned in the application form the application units will be allotted for the amount received in our bank account.
- Units will be allotted subject to realization of payment proceeds.

- ADDITIONAL PURCHASE THROUGH OTM FACILITY:** Investors making payment through OTM facility registered in their folio, should tick the relevant box and need not attach any cheque. If more than one bank account is registered in the folio under OTM facility, investors should mention the bank account number and bank name where they wish the debit to happen. If the same is not mentioned or is not registered, default bank mandate under OTM facility will be considered to debit the purchase amount.

## 3. SWITCHES:

- Investors can split the switch-out amount in more than one scheme, subject to meeting the minimum amount criterion of the switch-in schemes.
- Switch -outs to more than one scheme can be in terms of Amount Only.
- If amount to be switched is insufficient in the Switch-out scheme then the balance available free units in the scheme will be switched subject to the minimum application amount of the Switch -in Scheme(s).
- Switch-out of 'All Units' option can be selected for switch-in to a single scheme only. The switch-in scheme should be mentioned in the section 5 without mentioning the amount.

## 4. REDEMPTIONS:

- The Redemption form can be used for redemption requests upto 7 schemes within the same folio.
- The Redemption form has to be filled with the scheme details within a folio. Investors need to specify the name of the scheme(s), plan, option and units/amount they wish to redeem. In case the details are not mentioned, transactions are liable for rejection.
- For redemption of All units, investors need to specify by selecting the "All Units" option. If the redemption request specifies both i.e. unit and amount, the units mentioned shall be considered for the transaction. If there is no sufficient amounts/ units, the balance available free units in the respective account will be redeemed.

- Redemption may not be processed if folio number and full scheme name including plan and option is not mentioned. Investors need to ensure that either of amount or units is mentioned in the redemption request. TMF offers a facility to register multiple bank accounts and designate one of the bank account as "Default Bank Account". Default Bank Account will be used for all redemptions payouts unless investor specifies one of the existing registered bank account in the redemption request for receiving redemption proceeds.

- Proceeds of any redemption request will be sent only to a bank account that is already registered and validated in the folio at the time of redemption transaction processing. Investors may choose to mention any of the existing registered bank accounts with redemption request for receiving redemption proceeds. If registered bank account is not mentioned, default bank account will be used. If a new and unregistered bank mandate is provided with a specific redemption request (with or without necessary supporting documents) such bank account will not be considered for payment of redemption proceeds. Tata Asset management Ltd OR Tata mutual Fund will not be liable for any loss arising to the investors due to the credit of redemption proceeds into any of the bank accounts registered with us for the aforesaid folio

- Redemption requests should not be accompanied with requests for Change of Bank Account Details. If the Change of Bank Account Detail request is received along with Redemption requests only the redemption request will be processed and the redemption proceeds will be credited to the last registered bank mandate & the request for Change of bank mandate will be rejected. New bank accounts can only be registered using the designated "Bank Account Registration Form/Multiple Bank Account Registration Form". In case the investor needs to add/alter the existing bank mandate he should carry out the same 10 business days prior to date of redemption.

- Investor holding units in the Depository Account, need to submit the redemption request to Depository Participant/ Intermediary.

## 5. REGISTRAR:

**Computer Age Management Services Limited.**: New No. 10 (Old No. 178), M.G.R. Salai, Nungambakkam, Chennai - 600 034.  
E-mail: [enq\\_t@camsonline.com](mailto:enq_t@camsonline.com)

### Acknowledgement Slip (to be filled by the investor)

Purchase Cheque No. _____		Dated _____		Bank _____		A/c. No. _____	
Sr.	Switch-in/Redemption Scheme/Plan/Option	Units / Amount	Sr.	Switch-in/Redemption Scheme/Plan/Option	Units / Amount		
1.			5.				
2.			6.				
3.			7.				
4.							