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## Sketching Charts

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# Proforma

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## Original Aims

This project aims to explore if users are able to create information visualisations faster, or experiment with it more, if given the tools to directly manipulate their charts. Specifically, it involved:

1. Building an application that lets users create graphical visualisations of their data by simply sketching their desired output, like they would on paper.
2. Evaluating the learnability of the interface, and how it compares to existing tools for creating charts, through a user study.

## Work Completed

I have completed all the core work items by successfully building a Chart component in C# for Windows applications. This component lets users make a rough sketch of the chart they want to create with a stylus on their tablet, and then uses sketch recognition to create an actual chart based on their data. It performs the sketch recognition by running data mining algorithms on computed features of the digital ink. It also imports data by parsing a

user-specified spreadsheet file. I also designed and developed an interface that exposes this component in a user friendly way, attempting to minimize the learning curve by applying Human Computer Interface principles to match the users' mental model through liveness and direct manipulation. I ran a user study assessing how quickly users learnt how to use it (both native and non-native English speakers), and comparing its complexity to that of the existing charting application Microsoft Excel.

As an extension, I implemented the ability to beautify the hand-drawn sketches in a natural-looking manner to match edits made to the chart. I also implemented the ability to erase parts of the hand-drawn sketch, and have those same changes applied to the chart, to further solidify the metaphor to pen and paper.

## Special Difficulties

- Acquiring and fixing the source code of a component used for sketch recognition from the team that wrote it.
- Automating the testing of the highly visual parts of the project.

## Declaration of Originality

I, Neil Satra of Pembroke College, being a candidate for Part II of the Computer Science Tripos, hereby declare that this dissertation and the work described in it are my own work, unaided except as may be specified below, and that the dissertation does not contain material that has already been used to any substantial extent for a comparable purpose.

Signed

Date

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# Chapter 1

## Introduction

### 1.1 Motivation

This project is an exploration of Human Computer Interface concepts governing the interactions of users with tools that let them explore and visualise data.

The design of currently available charting tools were constrained by the input devices available previously: mouse and keyboard. Thus, they usually allow graph generation through one of two interfaces:

1. A series of dialogue boxes and wizards to walk the user through a number of choices.
2. Writing code that is interpreted to process data and generate graphics.

Due to these constraints of the designs, these solutions take users a relatively long time to learn how to use. A better solution is to use a metaphor to a system users have already learnt to use - drawing using pen and paper. Such a system would benefit from matching the users' mental model. Additionally, there is a long lag between the users expressing their intention in current systems, and seeing the results of their changes after they close the configuration dialogue or compile and re-run the code. A better solution would exhibit 'liveness' by immediately accommodating users' changes.

## 1.2 Background and Related Work

Sketching inputs have been studied since the 1960s (Sutherland, 1964) as more natural interfaces to computers, especially for graphics-related tasks. This has largely been motivated by the widely recognised importance of interaction to Information Visualisation (InfoVis) (Lee et al., 2012). Additionally, the metaphor of sketching on paper can encourage exploratory work due to the ease of creating changes and visually expressing what sort of change one is trying to make (?), minimising the gap between a person’s intent and the execution of the intent.

Meanwhile, there has been increasing adoption of touch-enabled phones and multi-touch slates amongst the general public, demonstrating people’s affection for what have been referred to as Natural User Interfaces Lee et al. (2012).

## 1.3 Project Description

This paper describes a different interface, which allows the user to sketch a subset of a chart on their computer touch screen like as would on paper. The hypotheses are that:

H1 This interface is more ‘learnable’ over time

H2 It encourages exploratory data visualisation creation by making modification easier

when compared to other charting applications. Both these hypotheses were investigated through a user study.

The end result is a proof-of-concept charting application that works as below:

1. The user imports data from a Microsoft Excel file.
2. They sketch a rough indication of a chart.
3. They drag the data onto elements of the chart to actually bind the data to the chart.
4. The tool then creates a ‘formal’ chart.

5. The tool transforms the user's original sketch to more closely match the formal chart, making the mapping between sketch and formal chart elements evident to the user.
6. Any changes on either the sketch or formal chart is fed through to the other view. For example, erasing the a sketched bar removes a data series from the formal bar.





# Chapter 2

## Preparation

This project involved vast exploratory design work, in preparation of the actual implementation.

### 2.1 Requirements

This project could go in numerous different design directions from the start. Since the functionality and its benefits over existing systems depended heavily on the design chosen, it was hard to separate what benefits the program would achieve from what features the program would include and how it would expose them to the user.

However, in order to focus our exploration and make sure that the focus was on achieving some real deliverables for end users, a requirement analysis was necessary. The following functional goals were listed based on the project proposal, which focus on what basic tasks the system must help the user achieve, while allowing leeway in how the program exposed and implemented these features.

The system must allow the user to:

- Core 1:** Use any data they have in reasonably arranged formats in common file types.
- Core 2:** Specify the type of chart they want by drawing a likeness of it on screen using a stylus.
- Core 3:** Bind the data to the chart using an interface that makes it clear how the data is affecting the visualisation.

**Core 4:** Specify visual, size and positioning properties of the chart through the sketches.

**Core 5:** Manipulate the visual appearance of the created chart.

In addition, time permitting, the system may:

**Extension 1:** Transform user-drawn sketches to show the visual link to the formal chart elements.

**Extension 2:** Feed back manipulations applied to the formal layer back to the user drawn sketches, in order to keep the visuals of the formal and sketch layers in synchronisation.

**Extension 3:** Allow users to undo actions by erasing sketches, and remove the corresponding formal elements without throwing errors.

**Extension 4:** Allow users to manipulate any property of chart elements, not just one, so that the domain of visualisations they can create is infinite. For example, allow them to bind not just the height of bars in a bar chart to data, but also their width and colour.

**Extension 5:** Analyse the data and infer properties that may allow it to automatically suggest properties of the chart, such as which field belongs on which axis, or whether a data series should be log scale or linear scale.

**Extension 6:** The user must be able to export the chart as a Microsoft Chart object that can be embedded as a dynamic object in Microsoft Office files, not just as a raster image.

The core of this project focusses on making more usable software, rather than providing additional functionality, compared to existing systems. Hence, some usability goals were also specified:

**Usability 1:** Users must be able to create charts at least as quickly as they can using current systems.

**Usability 2:** Users must be able to build a mental model of the software's behaviour within 2 uses of it. They should thus be able to accurately predict the consequences of any action taken within the software.

**Usability 3:** Changes to the information visualisation must occur through directly manipulating the visual representation of the chart, rather than through disconnected User Interface widgets.

**Usability 4:** The user must be able to easily try out changes to the visualisation, see what that would look like, and undo them if needed.

## 2.2 Design Goals

In order to meet the usability requirements, some design principles need to be followed.

## 2.3 Work Items

The following broad work items were identified as necessary to achieve the objectives above:

1. Get the requisite approvals for the human study from the Ethics Review Committee.
2. Assess the various methods to build a classifier for ink recognition, including using the RATA Framework.
3. Run an initial user study to see how people naturally draw graphs, and also use it to collect training examples for the classifier.
4. Build a UI that accepts strokes, runs them through the classifier, and shows the user feedback to indicate successful recognition.
5. Build the UI widget that lets users import their spreadsheets in Microsoft Excel (xlsx) or Comma Separated Value (csv) files. It must then expose the various fields detected.
6. Build the charting component to convert the recognised sketches and the ink into a finished visualisation.
7. Run a pilot study followed by a user study to evaluate the system.

An iterative development process, similar to the Spiral Model and Scrum, was adopted for this project. This allowed early experimentation with and user testing of the various components and different interface designs. After each iteration of the code development, we came across design decisions that needed to be made. After evaluating the various options, we would build one out, resulting in a new iteration, and then repeat the process.

To manage technical debt and follow best practices, we adopted a form of Test-Driven Development (TDD). In its pure form, TDD relies on a fully planned out functional specification and automated testing. However, since the design and functionality of this project were evolving over time, small specifications were made for each iteration, and changed as necessary after a sprint (to borrow Scrum terminology). Also, since the input needed to be sketched by hand, and the output was visual and changes in literal pixel values between trials, it was non-trivial to simulate and verify this interaction automatically. These parts were verified through repeated manual testing each time the code was changed or refactored. Parts of the code that were effectively in a functional programming style (deterministic calculations with no side effects) could, however, be checked with unit tests. In addition, to pick up design flaws early, the prototypes were constantly used for hallway testing (friends and family were asked to use the application and speak out what they were thinking as they did).

Thanks to the constant refactoring of the code to minimise coupling, the project could be open sourced at the end and plugged in as a standard GUI component in other Windows applications in the end, making sure that this software can actually be used.

## 2.4 Development Environment

For this project, the hardware available was a Microsoft Surface Pro (1st gen), which features the active digitizer screen required for precise inking. Since this machine runs Windows by default, we chose to develop the system using the .NET framework, which has built-in support for Tablet PCs and Ink handling.

As a precaution, insurance was taken out on the machine to ensure quick replacement in case of damage or loss. Additionally, version control was used extensively in the project, to ensure no work was lost. The code for the RATA ink stroke recogniser, described below, was uploaded to a Git

repository in collaboration with RATA’s authors. The code for this project was then written in a fork of that repository, to allow updates to RATA to be pulled in. The dissertation itself was written in  $\text{\LaTeX}$ , so that the text files could be versioned in another Git repository. Both repositories were backed up off-site on repository host Bitbucket. The dissertation was also backed up online using file synchronisation software Microsoft OneDrive.

## 2.5 Building the classifier

Core to the system is an ink recognition component that identifies the chart element (e.g. bar, axis) that the user has sketched. This must work above a certain accuracy threshold or the system will prove frustrating to users (Frankish et al., 1995). However, since the project scope included other components too, the time available to build this classifier was limited. Additionally, given the complexity of building a classifier, and our limited experience with building them, it would be difficult to get the same accuracy as those provided in a mature library. Hence, we decided to build a classifier using existing tools rather than implementing one from scratch.

### 2.5.1 Recognition Method

A number of different approaches have been taken in building systems that automatically interpret hand-drawn sketches. These approaches vary in the recognition accuracy they offer, and the robustness to generalise across multiple domains. (Ouyang and Davis, 2009). Some of the approaches that have been attempted:

- Focus on **defining shapes structurally**. A base vocabulary of primitives like lines, ellipses and arcs is built by describing the properties of such shapes. (Shilman et al., 2002) used a hand-coded grammar to describe shapes in a domain as a composition of such primitives. (Alvarado and Davis, 2004) used dynamically constructed Bayesian networks to scale this process to multiple domains. (Hammond and Davis, 2006) developed a language to manually describe how diagrams in a domain are drawn, displayed and edited.
- Look at the **visual appearance** of shapes and symbols. (Kara, 2004) used image-based similarity metrics to perform template matching.

(Shilman et al., 2004) broke up the ink into connected subgraphs of nearby strokes, which were then compared to known symbols. (Oltmans, 2007) proposed a visual parts-based method that utilise a library of shape contexts to describe and identify symbols in a domain.

- **Compute features** of the ink. (Patel et al., 2007) selects these features and sets their thresholds statistically. (Yu and Cai, 2003) uses heuristics for the same purpose. (Chang et al., 2010; Rubine, 1991; Willems et al., 2009) all use machine learning to automatically find relationships between features and choose an appropriate feature set accordingly.

(Chang et al., 2010) showed that the first two approaches forego some accuracy, since they rely on the final pixel values of the sketch and so do not fully exploit the rich temporal data stored with digital ink. Within the last approach, they showed that using machine learning allows recognisers to be generated for new domains with less effort than statistical or heuristic methods. Since the domain for this charting application could grow over time, we wanted a recogniser that could be adapted easily over time. Using data mining, this can be done using training data rather than programming effort.

Having decided on using a feature-based approach that selects the feature set by data mining a training dataset, there were a number of alternatives available to us. While most projects, like (Rubine, 1991) and (Willems et al., 2009), rely on one or two data mining algorithms, (Chang et al., 2010)’s RATA.SSR combines the results from four well-performing algorithms in WEKA (Hall et al., 2009) tuned to their best configurations, to provide a more accurate recogniser. RATA.SSR thus outperformed all the other recognisers tested (PaleoSketch (Paulson and Hammond, 2008), CALI (Fonseca et al., 2002), \$1 recogniser (Wobbrock et al., 2007)) on domains other than the one they were explicitly demonstrated on.

Besides, RATA.SSR also has the advantage of outputting an API that can be plugged into our system after we build the recogniser. Additionally, one of the authors, Beryl Plimmer, previously worked with some members of the Graphics and Interaction Group at the Cambridge Computer Laboratory, and so could be reached for support and source code, which proved to be an invaluable resource.

### 2.5.2 Data collection

After acquiring RATA, we inspected the code and did manual testing, which revealed some blocking bugs. Since we were in contact with the authors of the software, we were able to confirm with them that these were indeed bugs. We implemented fixes for them and contributed them back to the authors, and are working towards getting the code ready for to be published Open Source.

With a working version of RATA, an initial study was run to collect training data. We asked 10 participants (20-26 year old Cambridge students, studying a large variety of subjects) to draw a chart. I spoke out the following prompt:

Imagine you are a government official trying to use a bar chart to visualise how the population has grown over time. Can you sketch out what this bar chart might look like? Just treat this screen like paper.

They were then presented a simple UI with a large white canvas and the following task description written in a panel:

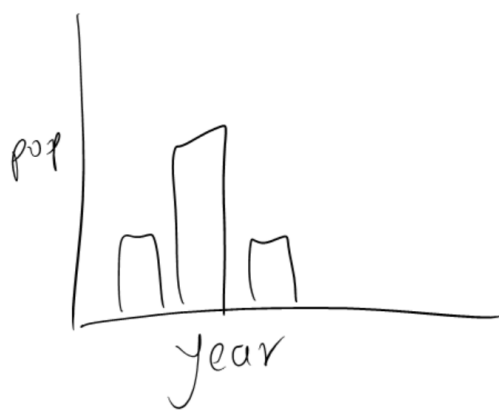
Draw 2 axes. Label the x axis 'Year' and the y 'Population'.  
Draw 3 bars of different heights. Each shape (axis, bar) should be drawn in one stroke.

They were asked to draw the same chart 2 times, in order to get 20 training samples in total, and to observe how much variation there is between multiple sketches by the same user. On the second drawing, they were encouraged to draw a less conventional chart, to make the system as robust in the face of variations as possible.

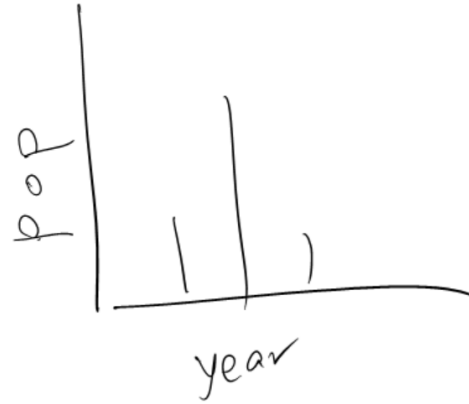
Three elements were then defined: Axis, Bar and Text (an extra element, 'L Axis' was added later based on feedback from a pilot user study). We went through each figure and labelled the various elements.

Once all the elements in all the charts were labelled, we could begin training. RATA includes a dataset generator tool that allowed easy extraction of various features of the strokes, such as 'distance from first to last point', 'absolute curve of largest segment' and 'pressure variation'. Data for 121 such attributes, about 270 ink strokes was compiled into a .csv file for use in training.

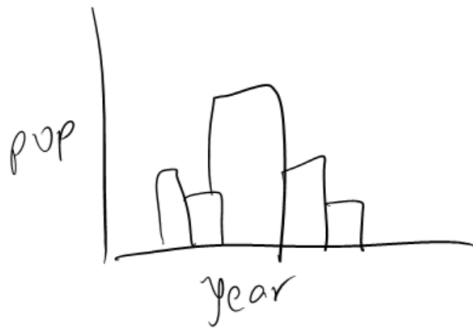




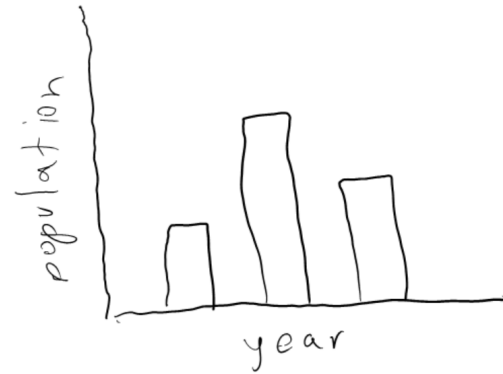
(a) Regular chart



(b) Using lines instead of bars



(c) Grouped bars



(d) Using a mouse instead of the stylus

Figure 2.1: Some of the more unusual chart sketches collected

### 2.5.3 Training

The labelled data was then sent to a 'Vote' classifier in Weka, which combines the probability distributions derived from multiple classifiers (Kuncheva, 2004). Specifically, the types of classifiers combined were Logit Boost, Bayes Net, LMT (Logistic Model Trees) and Random Forest. In order to assess how well each of these individual classifiers were performing, an experiment was set up using Weka Experimenter. The data collected in the initial study was shuffled, and then 66% was chosen randomly as training data, the rest as testing. Then a paired T Test gave the following results:



Figure 2.2: Elements of the sketch labelled as Axis (cyan), Bar (brown) or Text (dark blue)

Tester: Paired Corrected T Tester

Analysing: Percent correct

Confidence: 0.05 (two tailed)

Table 2.1: Classifier Algorithms Used

- (1) meta.LogitBoost '-P 100 -F 0 -R 1 -L -1.7976931348623157E308 -H 1.0 -S 1 -I 10 -W trees.DecisionStump' 8627452775249625582
- (2) bayes.BayesNet '-D -Q bayes.net.search.local.TAN - -S BAYES -E bayes.net.estimate.SimpleEstimator - -A 0.5' 746037443258775954
- (3) trees.LMT '-P -I 50 -M 200 -W 0.0 -A' -1113212459618104943
- (4) trees.RandomForest '-I 100 -K 0 -S 1' -2260823972777004705

Table 2.2: Results

Dataset	(1)	(2)	(3)	(4)
'Initial study'	97.05	98.15	98.80	96.07

◦, • statistically significant improvement or degradation



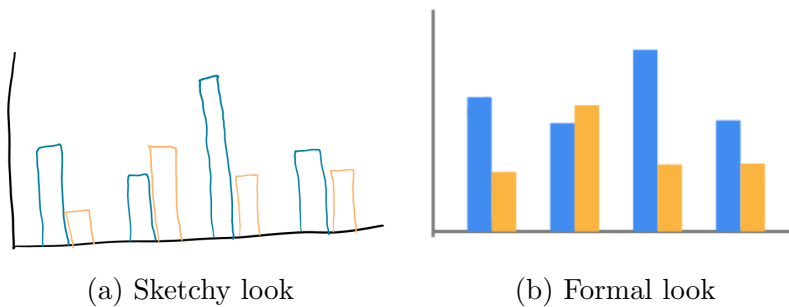
# Chapter 3

## Implementation

### 3.1 Design

Now that we had shown that a classifier could be built with relatively small sets of training data that still performed well, we had to design the interface to expose this functionality. This largely involved assessing tradeoffs between choices.

#### 3.1.1 ‘Sketchy’ or Formal



User content can be shown in two styles: sketch or formal. (Yeung et al., 2008) showed that a doodle-like appearance encourages early-stage experimentation and discussion, whereas a formal appearance looks finished and professional. Thus, we had to choose whether the system should generate the rest of the chart with a ‘sketchy’ look, or convert the input into a finished chart with a formal look. Generating the ‘sketchy’ look can be non-trivial, (Plimmer et al., 2010; Wang et al., 2011) e.g. if the user draws one bar, how

should the system generate more bars that look hand-drawn by the same author but not just like stretched versions of the first bar? Additionally, if the users want to present these charts to an audience, they must look polished, and so at some point an export option for a formal look needs to be offered. Thus, we decided to offer a hybrid that shows the user's input in sketch form, but also the formal chart generated by the system.

### 3.1.2 Modes or modeless

Since the application now has both sketch and formal content, the user must have an easy way to switch between the two views. One approach is to give the user an explicit UI widget to toggle between the two modes. This way, the user explicitly indicates what they want to see, and thus should have a better understanding of what state the system is in. This also allows the system a chance to change the controls available to the user.

The other approach is to avoid modes, requiring lesser cognitive effort from the user since they don't need to keep track of what state the system is in. In this project, this could have been done by showing the sketch view when the user was about to edit the chart. The active digitizer hardware allows the system to detect when the user brings the stylus within range of the screen, just before they actually touch the screen, allowing the chart to be in sketch view by the time the stylus is down. When the stylus goes out of range, the system can switch to the formal chart view. This would solidify the metaphor that edits are done to the sketch, but the final product to be looked at is the formal view.

At first, the modeless version was chosen for its lower cognitive overhead. However, when the extension to allow edits not just to the sketch view, but also the formal view, was undertaken, we had to switch over to a mode-based system to allow the user to interact with the graph in both views with their stylus.

### 3.1.3 Standard or custom charting

Since the system is generating a formal version of the chart, a charting component is required to render this visualisation. The .NET framework comes with built-in chart controls that offer basic functionality with relatively low implementation effort. They also allow easy export as dynamic chart objects

into Microsoft Office files. However, customising their appearance beyond a certain point is extremely difficult, making it easier to just make one's own charting component from scratch and control all aspects of the rendering. This means having to re-implement a lot of core functionality though, such as scaling shapes correctly, choosing labels that are round figures when possible, and generating colours that work well together for different data series. This also means that the chart can only be exported as a raster image rather than as a chart object.

To enable rapid prototyping, we chose to utilise the standard charting component at first. As our needs to customise the chart grew, we were able to make our own chart class that implemented the same interface as the standard component, and so could be slotted in to replace it.

### 3.1.4 Finite or infinite domain

Some tools, such as Microsoft Excel, let the user make one of a limited set of charts, such as bar or pie charts, quickly. Others, (which usually involve coding), such as D3.js, let the user make a vast variety of visualisations by creatively combining basic elements like lines, boxes and wedges. However, these require expert knowledge of the tools, and take longer to even create basic visualisations.

#### Library of charts

Draw basic gestures or elements to indicate which chart type is desired

Finite domain

Quick

Simple interface, just drop data on an element to bind data

#### Modular charts

Draw any one of 7 basic components (lines, bars, labels etc) and bind data to attributes of theirs such as width, height, colour or radius

Infinite domain

Slower

Complex interface to expose all attributes and manage data binding

While an infinite domain system would have been intriguing to explore, it would contradict the project's primary usability goal that the system should be faster than users' current systems. Additionally, the 80/20 rule indicated that while a few power users may want to generate custom visualisations, the majority would just want to make simple charts. Thus, the additional functionality didn't justify the additional complexity for the average user.

## 3.2 Development

At a high level, the program is composed of 3 components - data handling, sketch processing and charting (in increasing order of complexity). It is written in an Object Oriented fashion, with separation between the views (Windows Forms) and controllers (C# classes), to allow for easy testing.

### 3.2.1 Data import and management

Since the application is targeted at the average user, their data is most likely to be stored in spreadsheet format. Thus, it is important to allow them to import data from .xlsx and .csv files. For the sake of simplicity, the code assumes that the data is well-formed. Specifically, it works on the following assumptions:

1. The data is arranged as records in the rows of the spreadsheet.
2. The first row contains the names of the various fields.
3. No data is missing (if there are  $m$  columns and  $n$  rows, there are  $m \cdot n$  data values).

Under these assumptions, importing tabular data is a common use case, so I studied a number of existing libraries and methods to do this in C# and ultimately settled on built-in OLE data import functionality.

### 3.2.2 Sketch Processing Workflow

### 3.2.3 Charting

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