# TheoremView: A Framework for Extracting Theorem-like Environments from Raw PDFs

Shrey Mishra $^{1[0009-0004-2357-9593]}$ , Neil Sharma $^{2[0009-0004-2357-9593]}$ , Antoine Gauquier $^{1[0009-0005-9573-6364]}$ , and Pierre Senellart $^{1,3[0000-0002-7909-5369]}$ 

DI ENS, ENS, CNRS, PSL University, Inria, Paris, France shrey.mishra@ens.psl.eu, antoine.gauquier@ens.psl.eu, pierre@senellart.com
Malaviya National Institute of Technology

 $\begin{array}{c} {\tt neil.sharma3000@gmail.com} \\ {\tt ^3 \ Institut \ Universitaire \ de \ France \ (IUF)} \end{array}$ 

Abstract. This paper presents TheoremView, a novel framework designed to extract proofs and theorems from scientific papers in their raw PDF format, without requiring access to LATEX source files. Our approach leverages three distinct modalities (font, text, and vision) to accurately identify and classify proof and theorem-like environments. We incorporate a sequential component to capture long-term dependencies, including page breaks and layout information. TheoremView employs a combination of specialized models trained to recognize and extract these critical elements from academic documents. By eliminating the need for OCR preprocessing, our method significantly reduces computational overhead, making it feasible for real-time applications. This innovative approach offers a robust solution for automated theorem extraction, potentially enhancing accessibility and analysis of mathematical content in scientific literature. Our framework is publicly available, with code and documentation at https://gitlab.di.ens.fr/mishra/sys-demo and a demonstration video at https://youtu.be/demo-link.

**Keywords:** Theorem extraction  $\cdot$  Multimodal learning  $\cdot$  Document analysis  $\cdot$  Machine learning  $\cdot$  Natural language processing

# 1 Introduction

# 1.1 Motivation for Theorem Extraction

In contemporary scientific research, articles are primarily published as PDFs, and many search engines index entire papers instead of specific scientific results. This paper contributes to TheoremKB [3], a project focused on building a knowledge base of mathematical results across different fields of science. The objective is to improve the accessibility of relevant information for researchers, allowing for more effective retrieval and utilization of scientific knowledge.

TheoremKB offers several key advantages: (1) **Enhanced Accessibility** by streamlining the retrieval of specific proofs and theorems, allowing quick access

to targeted mathematical results compared to traditional full-text search engines; (2) Facilitated Knowledge Discovery by helping researchers uncover connections between disparate mathematical results and their applications, such as exploring NP-hardness in relation to the vertex cover problem; (3) Identification of Theorem Interdependencies by determining which theorems are used in the proofs of others, essential for assessing the impact of errors in foundational results; and (4) Support for Automated Reasoning by providing a foundation for developing AI systems capable of automated theorem proving, promoting innovative approaches to mathematical problem-solving.

# 1.2 Prior work on Theorems and Proofs Extraction

Previous attempts to address this task include the work presented in [3], which focused on initial explorations of extraction from PDFs framed as object detection and text classification problems. This approach utilized font visuals and text modalities but operated only at the text-line level. Subsequent research, such as [1], refined the methodology by incorporating contextual information surrounding paragraphs and employing multimodal systems to unify the extraction model.

The TheoremView framework offers a user interface to visualize the results extracted by various models in an end-to-end system that directly takes PDFs as input and displays the extracted results. It is designed modularly, allowing users to select which model to utilize for extraction, thereby leveraging different modalities that highlight the strengths and weaknesses of each approach. This flexibility enables users to run models on low-compute hardware, such as systems without GPU instances, for inference. The primary objective of this paper is to present an easy-to-use interface that facilitates preprocessing and inference in a modular manner.

# 2 Methodology

We propose a modular approach to extract raw information from PDFs. We utilize **Grobid** [7] and **pdfalto** [8] to convert the documents into valid XML formats. The XML generated by Grobid organizes the content into paragraphs, while the XML from pdfalto provides details segmented into text lines along with associated font information. We then employ a merging script to correlate the font information with each paragraph extracted by Grobid. This process yields a CSV file structured by paragraphs, where each row includes the spatial location of the paragraph on the page (indicating the page number as well as vertical and horizontal coordinates), the textual content extracted from Grobid, and the font information used within those paragraphs. For a schematic diagram on data pipeline refer to Figure 1.

Once the information is stored in CSV format, we process the font information using an **LSTM** [5] model, where each font is encoded as a unique token to train the network. Simultaneously, we utilize the bitmap image rendering of

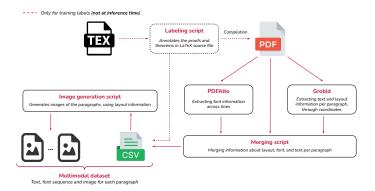


Fig. 1: Data pipeline for extracting and processing information from PDFs.

each paragraph to train an EfficientNetV2 model [9]. Additionally, we employ a pretrained from scratch RoBERTa language model [2], on scientific corpus, to make predictions based on the tex modality. Subsequently, we integrate all three trained models into a unified multimodal architecture, freezing their weights of each modality backbone and adding additional layers to capture intermodality interactions through mechanisms like Gated Multimodal Units (GMU) [6] or cross-modality attention similar to Vilbert [12] that capture intermodality dependencies. Refer to Figure 2 for feature extraction.

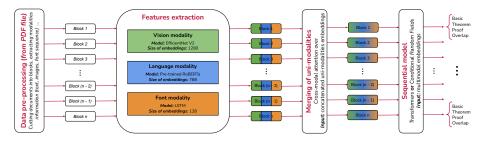


Fig. 2: Model inference from various modality (adding the sequential paragraph component)

With a set of base features extracted from either the unimodal or multimodal approaches, we generate features for all paragraphs within the PDF. This process incorporates normalized page information, normalized coordinate data for each paragraph, and paragraph embeddings derived from the raw features just before the softmax layer. To capture sequential information across multiple paragraphs, we train a Conditional Random Field (CRF) [10] or Transformer layer on top of the extracted features. The goal is to utilize relative information to contextualize each paragraph and accurately determine its label. Our model

#### 4 S. Mishra et al.

categorizes paragraphs into four major classes: (1) **Proof-like**, (2) **Theorem-lik**, (3) **Basic** (neither proof nor theorem), and (4) an **Overlap** reject class that arises from preprocessing discrepancies.

# 3 Demonstation scenario

The UI of the demo is organized into several segments (for an overview see Figure 3), each serving a specific function:

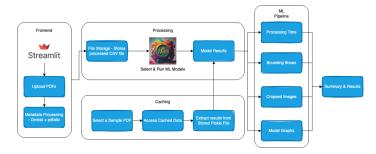


Fig. 3: Systems architecture of the various UI components

The TheoremView demo interface is built using Streamlit and follows a modular architecture organized into distinct functional components. The frontend allows users to upload PDFs or select from previously cached samples, which then undergo metadata processing using Grobid and pdfalto tools. The processed data is stored as CSV files in the file storage component. The processing pipeline enables users to select and run various ML models (unimodal or multimodal) on the processed data. The ML pipeline component processes the data through multiple stages - calculating processing time, generating bounding boxes for visual elements, creating cropped images of detected theorems/proofs, and producing analytical graphs. These outputs are aggregated into comprehensive summary results. The system implements an efficient caching mechanism where frequently accessed PDFs and their corresponding ML model results are stored as pickle files, enabling instant retrieval without reprocessing. This architecture ensures smooth user interaction while maintaining high performance through optimized data flow and storage strategies.

- 1. **Upload and Process**: Users can upload a PDF for processing or select from a list of previously cached examples. The system processes the PDF by applying Grobid and pdfalto, converting each page into a bitmap image, and merging the XML outputs from pdfalto and Grobid to generate a preprocessed data.csv file.
- 2. **Predict and Preview**: Users can choose which base model to apply, either unimodal or multimodal, along with sequential processing of paragraphs us-

ing a Conditional Random Field (CRF), Transformer, or no sequential processing at all, allowing for a total of 12 possible combinations. Additionally, users have the option to preview or download the results (see Figure 3).

3. Summary and Statistics: This section provides a breakdown of the inference time for the current run, as well as for any previous runs that have been cached, enabling comparative analysis (see Figure 4).

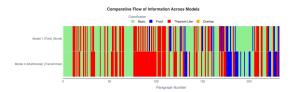


Fig. 4: Comparisons of predictions from different model runs

# References

- Mishra, S., Gauquier, A., Senellart, P.: Modular Multimodal Machine Learning for Extraction of Theorems and Proofs in Long Scientific Documents (Extended Version). arXiv preprint arXiv:2307.09047 (2024). https://arxiv.org/abs/2307.09047
- 2. Mishra, S.: Multimodal Extraction of Proofs and Theorems from the Scientific Literature. PhD thesis, Université Paris Sciences & Lettres (2024). https://hal.science/tel-04665528
- 3. Mishra, S., Pluvinage, L., Senellart, P.: Towards extraction of theorems and proofs in scholarly articles. In: Healy, P., Bilauca, M., Bonnici, A. (eds.) DocEng '21: ACM Symposium on Document Engineering 2021, Limerick, Ireland, August 24-27, 2021, pp. 25:1–25:4. Association for Computing Machinery, New York, NY, USA (2021). https://doi.org/10.1145/3469096.3475059
- 4. Mishra, S., Brihmouche, Y., Delemazure, T., Gauquier, A., Senellart, P.: First steps in building a knowledge base of mathematical results. In: Proceedings of the Fourth Workshop on Scholarly Document Processing (SDP 2024), pp. 165–174 (2024)
- Hochreiter, S., Schmidhuber, J.: Long short-term memory. In: Neural Computation 9, 8 (1997)
- 6. Arevalo, J., Solorio, T., Montes-y Gómez, M., A González, F.: Gated multimodal networks. In: Neural Computing and Applications 32 (2020).
- 7. GROBID: https://github.com/kermitt2/grobid, GitHub (2008–2024). swh:1:dir:dab86b296e3c3216e2241968f0d63b68e8209d3c
- 8. pdfalto: https://github.com/kermitt2/pdfalto, GitHub (2017-2024). swh:1:dir:4b5e8b8c8e3c3216e2241968f0d63b68e8209d3c
- Tan, M., Le, Q.V.: EfficientNetV2: Smaller Models and Faster Training. In: Proceedings of the 38th International Conference on Machine Learning, PMLR 139, pp. 10096–10106 (2021)

- Lafferty, J., McCallum, A., Pereira, F.C.N.: Conditional Random Fields: Probabilistic Models for Segmenting and Labeling Sequence Data. In: Proceedings of the Eighteenth International Conference on Machine Learning, ICML '01, pp. 282–289. Morgan Kaufmann Publishers Inc., San Francisco, CA, USA (2001)
- Vaswani, A., Shazeer, N., Parmar, N., Uszkoreit, J., Jones, L., Gomez, A.N., Kaiser, Ł., Polosukhin, I.: Attention is All you Need. In: Advances in Neural Information Processing Systems, pp. 5998–6008 (2017)
- 12. Lu, J., Batra, D., Parikh, D., Lee, S.: Vil BERT: Pretraining Task-Agnostic Visiolinguistic Representations for Vision-and-Language Tasks. In: Advances in Neural Information Processing Systems 32: Annual Conference on Neural Information Processing Systems 2019, NeurIPS 2019, Vancouver, BC, Canada, pp. 13–23 (2019)