

Aside on Minkowski Dimension

Let E be a non-empty bounded subset of \mathbb{R}^n . For $\delta > 0$ define

$N_\delta(E)$ = smallest number of balls of radius δ needed to cover E .

The upper & lower Minkowski dimensions of E are defined by

$$\overline{\dim}_M(E) := \inf \{ \alpha \geq 0 : \overline{\lim}_{\delta \rightarrow 0^+} N_\delta(E) \delta^\alpha = 0 \}$$

and

$$\underline{\dim}_M(E) := \inf \{ \alpha \geq 0 : \underline{\lim}_{\delta \rightarrow 0^+} N_\delta(E) \delta^\alpha = 0 \}.$$

OR

$$\inf \{ \alpha : \overline{\lim} < \infty \}$$

$$\sup \{ \alpha : \overline{\lim} = \infty \}$$

$$\sup \{ \alpha : \overline{\lim} > 0 \},$$

etc.

Note: It follows immediately from the definitions that

$$\dim_H(E) \leq \underline{\dim}_M(E) \leq \overline{\dim}_M(E) \leq n.$$

Exercise 4: Show that

$$\underline{\dim}_M(\{0\} \cup \{j^{-1} : j=1,2,3,\dots\}) = \frac{1}{2}.$$

Exercise 5: (Equivalent Definitions) . For any non-empty bounded $E \subset \mathbb{R}^n$:

$$1. \underline{\dim}_M(E) = \underline{\lim}_{\delta \rightarrow 0^+} \frac{\log N_\delta(E)}{\log \delta^{-1}} \quad \& \quad \overline{\dim}_M(E) = \overline{\lim}_{\delta \rightarrow 0^+} \frac{\log N_\delta(E)}{\log \delta^{-1}}.$$

$$2. \underline{\dim}_M(E) = \sup \{ \alpha \geq 0 : m_n(\{x \in \mathbb{R}^n : \text{dist}(x, E) < \delta\}) \geq C \delta^{n-\alpha} \forall \delta \in (0,1] \}$$

$$\overline{\dim}_M(E) = \sup \{ \alpha \geq 0 : m_n(\{x \in \mathbb{R}^n : \text{dist}(x, E) < \delta\}) \geq C \delta^{n-\alpha} \text{ for some sequence of } \delta\text{'s converging to } 0 \}$$

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Idea:

$$\dim_M(E) = \alpha \Leftrightarrow N_\delta(E) \approx \delta^{-\alpha} \forall \text{ small } \delta > 0.$$

$$N_\delta(E) \delta^\beta \rightarrow \infty \text{ if } \beta < \dim_M(E)$$

$$\& N_\delta(E) \delta^\beta \rightarrow 0 \text{ if } \beta > \dim_M(E)$$