

# Nondeterministic Strategies and their Refinement in Strategy Logic

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## Abstract

Nondeterministic strategies are strategies (or protocols, or plans) that, given a history in a game, assign a set of possible actions, all of which are winning. An important problem is that of refining such strategies. For instance, given a nondeterministic strategy that allows only safe executions, refine it to, additionally, eventually reach a desired state of affairs. We show that such problems can be solved elegantly in the framework of Strategy Logic (SL), a very expressive logic to reason about strategic abilities. Specifically, we introduce a variant of SL with nondeterministic strategies and a strategy refinement operator. We show that model checking this logic can be done at no additional computational cost with respect to standard SL, and can be used to solve problems synthesis, synthesis of most permissive strategies, module checking, and more.

## 1 Introduction

Nondeterministic strategies (aka plans or protocols), i.e., strategies that associate to the current history a *set of alternative moves* (instead of one) all of which are “good” for the objective of the strategy.

Nondeterministic strategies have been studied in literature in several context. Possibly the most relevant area is Discrete Event Control where a central notion is that of *maximally permissive supervisor* (Wonham and Ramadge 1987; Cassandras and Lafortune 2006; Wonham 2014). This is supervisor that controls a plant, i.e., allows the plant to do only certain operations at each point in time. Note that this supervisor does not says exactly what to do to the plant (as a deterministic strategy) but in fact tries to leave as much freedom as possible to plant itself blocking only operations that are unsafe. In fact it is of interest to be *maximally permissive* wrt the plant. Indeed the central result of Discrete Control Theory is that such a maximally permissive supervisor, i.e., nondeterministic strategy always exists if the plant and the supervisor specifications are expressed as regular languages. Such a notion has attracted the interest of the reasoning about actions community ](De Giacomo, Lespérance, and Muise 2012; Banihashemi, De Giacomo, and Lespérance 2018) and of the reactive program synthesis community (Pnueli and Rosner 1989; Ehlers et al. 2017).

Another interesting case is that controllers that orchestrate

several components to compose a desired global behaviour (De Giacomo, Patrizi, and Sardiña 2013; De Giacomo et al. 2018). One way of seeing this is that the controller tries to maintain over time a sort of simulation relation between the desired behavior expressed as a transition system and the Cartesian product of the transitino systems of the components. Also in this case the notion of maximally permissive nondeterministic strategy arises (De Giacomo, Patrizi, and Sardiña 2013).

Nondeterministic strategies are also of interest in planning (Geffner and Bonet 2013). Action preconditions themselves can be though of as generating a nondeterministic strategy as a function that given the state of the domain returns a set of possible actions.

Also module checking can be considered as dealing with nondeterministic strategies of the environment (Kupferman and Vardi 1997; Jamroga and Murano 2014) ....

An important problem is that of refining such strategies. For instance, given a nondeterministic strategy that allows only safe executions, refine it to, additionally, eventually reach a desired state of affairs. In this paper, we show that such problems can be solved elegantly in the framework of Strategy Logic (SL), a very expressive logic to reason about strategic abilities (Chatterjee, Henzinger, and Piterman 2010; Mogavero et al. 2014; Mogavero, Murano, and Sauro 2013; Berthon et al. 2017). Specifically, we introduce a variant of SL with nondeterministic strategies and a strategy refinement operator. We show that model checking this logic can be done at no additional computational cost with respect to standard SL, and can be used to solve problems synthesis, synthesis of most permissive strategies, module checking, and more.

## 2 Nondeterministic strategies

We start with some basic notations, then we recall classic concurrent game structures, nondeterministic strategies, and the notion of strategy refinement.

### 2.1 Notations

Let  $\Sigma$  be an alphabet. A *finite* (resp. *infinite*) *word* over  $\Sigma$  is an element of  $\Sigma^*$  (resp.  $\Sigma^\omega$ ). The *length* of a finite word  $w = w_0w_1 \dots w_n$  is  $|w| := n + 1$ , and  $\text{last}(w) := w_n$  is its last letter. Given a finite (resp. infinite) word  $w$  and  $0 \leq i < |w|$  (resp.  $i \in \mathbb{N}$ ), we let  $w_i$  be the letter at position

$i$  in  $w$ ,  $w_{\leq i}$  is the prefix of  $w$  that ends at position  $i$  and  $w_{\geq i}$  is the suffix that starts at position  $i$ . We write  $w \preceq w'$  if  $w$  is a prefix of  $w'$ , and  $\text{pref}(w)$  is the set of finite prefixes of word  $w$ . Finally, the domain of a mapping  $f$  is written  $\text{dom}(f)$ .

## 2.2 Concurrent game structures

For convenience we fix for the rest of the paper  $\text{AP}$ , a finite non-empty set of *atomic propositions*, and  $\text{Ag}$ , a finite non-empty set of *agents* or *players*.

**Definition 1.** A *concurrent game structure* (or CGS) is a tuple  $\mathcal{G} = (\text{Ac}, V, E, \ell, v_i)$  where

- $\text{Ac}$  is a finite non-empty set of *actions*,
- $V$  is a finite non-empty set of *positions*,
- $E : V \times \text{Ac}^{\text{Ag}} \rightarrow V$  is a *transition function*,
- $\ell : V \rightarrow 2^{\text{AP}}$  is a *labelling function*, and
- $v_i \in V$  is an *initial position*.

In a position  $v \in V$ , where atomic propositions  $\ell(v)$  hold, each player  $a$  chooses an action  $c_a \in \text{Ac}$ , and the game proceeds to position  $E(v, c)$ , where  $c \in \text{Ac}^{\text{Ag}}$  stands for the *joint action*  $(c_a)_{a \in \text{Ag}}$ . Given a joint action  $c = (c_a)_{a \in \text{Ag}}$  and  $a \in \text{Ag}$ , we let  $c_a$  denote  $c_a$ . A *finite* (resp. *infinite*) *play* is a finite (resp. infinite) word  $\rho = v_0 \dots v_n$  (resp.  $\pi = v_0 v_1 \dots$ ) such that  $v_0 = v_i$  and for every  $i$  such that  $0 \leq i < |\rho| - 1$  (resp.  $i \geq 0$ ), there exists a joint action  $c$  such that  $E(v_i, c) = v_{i+1}$ . Given two finite plays  $\rho$  and  $\rho'$ , we say that  $\rho'$  is a *continuation* of  $\rho$  if  $\rho' \in \rho \cdot V^*$ , and we write  $\text{Cont}(\rho)$  for the set of continuations of  $\rho$ .

[say that CGS also capture turn-based - Bastien]

## 2.3 Strategy refinement

Given a CGS  $\mathcal{G}$ , a *nondeterministic strategy*, or strategy for short, for a player is a function  $\sigma : \text{Cont}(v_i) \rightarrow 2^{\text{Ac}} \setminus \emptyset$  that maps each finite play in  $\mathcal{G}$  to a nonempty finite set of actions that the player may choose from after this finite play. A strategy  $\sigma$  is *deterministic* if for every finite play  $\rho$ ,  $\sigma(\rho)$  is a singleton. We let  $\text{Str}$  denote the set of all (nondeterministic) strategies, and  $\text{Str}^d \subset \text{Str}$  the set of deterministic ones (note that these sets depend on the CGS under consideration).

Formulas of our logic  $\text{SL}^\prec$  will be evaluated at the end of a finite play  $\rho$  (which can be simply the initial position of the game), and since  $\text{SL}^\prec$  contains only *future-time* temporal operators, the only relevant part of a strategy  $\sigma$  when evaluating a formula after finite play  $\rho$  is its definition on continuations of  $\rho$ . We thus define the *restriction* of  $\sigma$  to  $\rho$  as the restriction of  $\sigma$  to  $\rho \cdot V^+$ , that we write  $\sigma|_\rho : \text{Cont}(\rho) \rightarrow 2^{\text{Ac}} \setminus \emptyset$ . We will then say that a strategy  $\sigma$  *refines* another strategy  $\sigma'$  after a finite play  $\rho$  if the first one is more restrictive than the second one on continuations of  $\rho$ . More formally:

**Definition 2.** Strategy  $\sigma$  *refines* strategy  $\sigma'$  after finite play  $\rho$ , written  $\sigma \preceq_\rho \sigma'$ , if for every  $\rho' \in \text{Cont}(\rho)$ ,  $\sigma|_{\rho}(\rho') \subseteq \sigma'|_{\rho}(\rho')$ . We simply say that  $\sigma$  *refines*  $\sigma'$  if it refines it after the initial position  $v_i$ , and in that case we write  $\sigma \preceq \sigma'$ .

[maximal permissive strategies: look at literature - Bastien]

## 3 Strategy Logic with refinement

In this section we introduce  $\text{SL}^\prec$ , which extends  $\text{SL}$  with nondeterministic strategies, an *outcome quantifier* that quantifies over possible outcomes of a strategy profile, and more importantly, a refining operator that expresses that a strategy refines another. We first fix some basic notations.

### 3.1 Syntax

In addition to the sets of propositions  $\text{AP}$  and agents  $\text{Ag}$ , we now fix  $\text{Var}$ , a finite non-empty set of *variables*.

**Definition 3.** The syntax of  $\text{SL}^\prec$  is defined by the following grammar:

$$\begin{aligned} \varphi &:= p \mid \neg\varphi \mid \varphi \vee \varphi \mid \exists x \varphi \mid x \preceq y \mid (a, x)\varphi \mid \mathbf{E}\psi \\ \psi &:= \varphi \mid \neg\psi \mid \psi \vee \psi \mid \mathbf{X}\psi \mid \psi \mathbf{U} \psi \end{aligned}$$

where  $p \in \text{AP}$ ,  $x, y \in \text{Var}$  and  $a \in \text{Ag}$ .

Formulas of type  $\varphi$  are called *state formulas*, those of type  $\psi$  are called *path formulas*, and  $\text{SL}^\prec$  consists of all state formulas.

Temporal operators,  $\mathbf{X}$  (next) and  $\mathbf{U}$  (until), have the usual meaning. The *refinement operator* expresses that the strategy denoted by a variable  $x$  is more restrictive than another one, or that it allows less behaviours:  $x \preceq y$  reads as “strategy  $x$  refines strategy  $y$ ”. The *strategy quantifier*  $\exists x$  has its usual meaning, except that it now quantifies on *nondeterministic* strategies:  $\exists x \varphi$  reads as “there exists a nondeterministic strategy  $x$  such that  $\varphi$  holds”, where  $x$  is a strategy variable. As usual, the *binding operator*  $(a, x)$  assigns a strategy to an agent, and  $(a, x)\varphi$  reads as “when agent  $a$  plays strategy  $x$ ,  $\varphi$  holds”. Finally, the *outcome quantifier*  $\mathbf{E}$  quantifies on outcomes of strategies currently in use:  $\mathbf{E}\psi$  reads as “ $\psi$  holds in some outcome of the strategies currently used by the players”.

We use usual abbreviations  $\top := p \vee \neg p$ ,  $\perp := \neg\top$ ,  $\varphi \rightarrow \varphi' := \neg\varphi \vee \varphi'$ ,  $\varphi \leftrightarrow \varphi' := \varphi \rightarrow \varphi' \wedge \varphi' \rightarrow \varphi$ ,  $\mathbf{F}\varphi := \top \mathbf{U} \varphi$ ,  $\mathbf{G}\varphi := \neg \mathbf{F} \neg \varphi$  and  $\forall x \varphi := \neg \exists x \neg \varphi$ .

For every formula  $\varphi \in \text{SL}^\prec$ , we let  $\text{free}(\varphi)$  be the set of variables that appear free in  $\varphi$ , i.e., that appear out of the scope of a strategy quantifier. A formula  $\varphi$  is a *sentence* if  $\text{free}(\varphi)$  is empty. Finally, we let the *size*  $|\varphi|$  of a formula  $\varphi$  be the number of symbols in  $\varphi$ .

### 3.2 Semantics

$\text{SL}^\prec$  formulas are interpreted in a CGS, and the semantics makes use of the following additional notions.

An *assignment*  $\chi : \text{Ag} \cup \text{Var} \rightarrow \text{Str}$  is a partial function that assigns a strategy to each player and strategy variable in its domain. For an assignment  $\chi$ , player  $a$  and strategy  $\sigma$ ,  $\chi[a \mapsto \sigma]$  is the assignment of domain  $\text{dom}(\chi) \cup \{a\}$  that maps  $a$  to  $\sigma$  and is equal to  $\chi$  on the rest of its domain, and  $\chi[x \mapsto \sigma]$  is defined similarly, where  $x$  is a variable. An assignment is *variable-complete* for a formula  $\varphi \in \text{SL}^\prec$  if its domain contains all free variables of  $\varphi$ .

For an assignment  $\chi$  and a finite play  $\rho$ , we let  $\text{Out}(\chi, \rho)$  be the set of infinite plays that start with  $\rho$  and are then extended by letting players follow the strategies assigned by  $\chi$ . Formally,  $\text{Out}(\chi, \rho)$  is the set of plays of the form

$\rho \cdot v_1 v_2 \dots$  such that for all  $i \geq 0$ , there exists  $c$  such that for all  $a \in \text{dom}(\chi) \cap \text{Ag}$ ,  $c_a \in \chi(a)(\rho \cdot v_1 \dots v_i)$  and  $v_{i+1} = E(v_i, c)$ , with  $v_0 = \text{last}(\rho)$ .

**Definition 4.** The semantics of a state formula is defined on a CGS  $\mathcal{G}$ , an assignment  $\chi$  that is variable-complete for  $\varphi$ , and a finite play  $\rho$ . For a path formula  $\psi$ , the finite play is replaced with an infinite play  $\pi$  and an index  $i \in \mathbb{N}$ . The definition by mutual induction is as follows:

$$\begin{aligned}
\mathcal{G}, \chi, \rho &\models p && \text{if } p \in \ell(\text{last}(\rho)) \\
\mathcal{G}, \chi, \rho &\models \neg \varphi && \text{if } \mathcal{G}, \chi, \rho \not\models \varphi \\
\mathcal{G}, \chi, \rho &\models \varphi \vee \varphi' && \text{if } \mathcal{G}, \chi, \rho \models \varphi \text{ or } \mathcal{G}, \chi, \rho \models \varphi' \\
\mathcal{G}, \chi, \rho &\models \exists x \varphi && \text{if } \exists \sigma \in \text{Str} \text{ s.t. } \mathcal{G}, \chi[x \mapsto \sigma], \rho \models \varphi \\
\mathcal{G}, \chi, \rho &\models x \preceq y && \text{if } \chi(x) \text{ refines } \chi(y) \text{ after } \rho \\
\mathcal{G}, \chi, \rho &\models (a, x) \varphi && \text{if } \mathcal{G}, \chi[a \mapsto \chi(x)], \rho \models \varphi \\
\mathcal{G}, \chi, \rho &\models \mathbf{E}\psi && \text{if } \exists \pi \in \text{Out}(\chi, \rho) \text{ s.t.} \\
&&& \mathcal{G}, \chi, \pi, |\rho| - 1 \models \psi \\
\mathcal{G}, \chi, \pi, i &\models \varphi && \text{if } \mathcal{G}, \chi, \pi_{\leq i} \models \varphi \\
\mathcal{G}, \chi, \pi, i &\models \neg \psi && \text{if } \mathcal{G}, \chi, \pi, i \not\models \psi \\
\mathcal{G}, \chi, \pi, i &\models \psi \vee \psi' && \text{if } \mathcal{G}, \chi, \pi, i \models \psi \text{ or } \mathcal{G}, \chi, \pi, i \models \psi' \\
\mathcal{G}, \chi, \pi, i &\models \mathbf{X}\psi && \text{if } \mathcal{G}, \chi, \pi, i + 1 \models \psi \\
\mathcal{G}, \chi, \pi, i &\models \psi \mathbf{U} \psi' && \text{if } \exists j \geq i \text{ s.t. } \mathcal{G}, \chi, \pi, j \models \psi' \text{ and,} \\
&&& \forall k \text{ s.t. } i \leq k < j, \mathcal{G}, \chi, \pi, k \models \psi
\end{aligned}$$

We give some examples of useful notions that can be expressed in this logic.

**Example 1** (Strategy equality). First, it is easy to see that a strategy  $\sigma$  refines a strategy  $\sigma'$  if  $\sigma \preceq \sigma'$  and  $\sigma' \preceq \sigma$ . We thus define the abbreviation

$$x = y \quad := \quad x \preceq y \wedge y \preceq x$$

We thus have that  $\mathcal{G}, \chi, \rho \models x = y$  if, and only if,  $\chi(x)|_\rho = \chi(y)|_\rho$ . And in particular,  $\mathcal{G}, \chi, v_i \models x = y$  if, and only if,  $\chi(x) = \chi(y)$ . We also let  $x \neq y := \neg(x = y)$  and  $x \prec y := x \preceq y \wedge x \neq y$ .

**Example 2** (Deterministic strategies). We can also express that a strategy, or its refinement to continuations of the current finite play, is deterministic, with the following formula:

$$\text{Det}(x) \quad := \quad \forall y \ y \preceq x \rightarrow x \preceq y$$

**Example 3** (Maximal permissive strategies). Given a formula  $\varphi(x)$  we can express that a strategy  $x$  is maximally permissive with regards to  $\varphi(x)$ . Define formula  $\text{MaxPerm}(x, \varphi)$  as follows:

$$\text{MaxPerm}(x, \varphi) \quad := \quad \varphi(x) \wedge (\forall y \ x \prec y \rightarrow \neg \varphi(y))$$

For instance, if we have two antagonistic players  $a$  and  $b$ , and  $a$  tries to ensure the safety property  $\mathbf{G}p$ , we can let  $\varphi(x) = \forall z(a, x)(b, z)\mathbf{G}p$ , and it then holds that  $\mathcal{G}, \chi, v_i \models \text{MaxPerm}(x, \varphi)$  if, and only if,  $\chi(x)$  is a maximally permissive winning strategy for  $a$ .

We now turn to establishing that the model-checking problem for  $\text{SL}^\prec$  is decidable. To do so we extend the classic approach, which is to reduce to  $\text{QCTL}^*$ , the extension of

$\text{CTL}^*$  with (second-order monadic) quantification on atomic propositions. This logic is equivalent to MSO on infinite trees (Laroussinie and Markey 2014), and it is easy to express that a strategy (or the atomic propositions that code for it) refines another one.

## 4 Synthesis

- Synthesis
- Max permissive
- plan B

## 5 Module checking

## 6 Refining Nash equilibria

## 7 Model checking $\text{SL}^\prec$

We first recall briefly the syntax and semantics of  $\text{QCTL}^*$ , to which we will reduce  $\text{SL}^\prec$ .

**Definition 5.** The syntax of  $\text{QCTL}^*$  is defined by the following grammar:

$$\begin{aligned}
\varphi &:= p \mid \neg \varphi \mid \varphi \vee \varphi \mid \mathbf{E}\psi \mid \exists p \varphi \\
\psi &:= \varphi \mid \neg \psi \mid \psi \vee \psi \mid \mathbf{X}\psi \mid \psi \mathbf{U} \psi
\end{aligned}$$

where  $p \in \text{AP}$ .

Again, formulas of type  $\varphi$  are called *state formulas*, those of type  $\psi$  are called *path formulas*, and  $\text{QCTL}^*$  consists of all the state formulas defined by the grammar, and we use standard abbreviation  $\mathbf{A}\psi := \neg \mathbf{E}\neg \psi$ .

The models of  $\text{QCTL}^*$  are classic Kripke structures:

**Definition 6.** A Kripke structure, or KS, over AP is a tuple  $S = (S, R, \ell, s_i)$  where

- $S$  is a set of *states*,
- $R \subseteq S \times S$  is a left-total<sup>1</sup> *transition relation*,
- $\ell : S \rightarrow 2^{\text{AP}}$  is a *labelling function* and
- $s_i \in S$  is an *initial state*.

A *path* in  $S$  is an infinite sequence of states  $\lambda = s_0 s_1 \dots$  such that for all  $i \in \mathbb{N}$ ,  $(s_i, s_{i+1}) \in R$ . A *finite path* is a finite non-empty prefix of a path. Similar to continuations of finite plays, given a finite path  $\lambda$  we write  $\text{Cont}(\lambda)$  for the set of finite paths that start with  $\lambda$ . We may write  $s \in S$  for  $s \in S$ , and we define the *size*  $|S|$  of a KS  $S = (S, R, s_i, \ell)$  as its number of states:  $|S| := |S|$ .

Since we will interpret  $\text{QCTL}^*$  on unfoldings of KS, we now define infinite trees.

**Trees.** Let  $X$  be a finite set of *directions* (typically a set of states). An  $X$ -tree  $\tau$  is a nonempty set of words  $\tau \subseteq X^+$  such that (1) there exists  $r \in X$ , called the *root* of  $\tau$ , such that each  $u \in \tau$  starts with  $r$  ( $r \preceq u$ ); (2) if  $u \cdot x \in \tau$  and  $u \cdot x \neq r$ , then  $u \in \tau$ ; (3) if  $u \in \tau$  then there exists  $x \in X$  such that  $u \cdot x \in \tau$ .

The elements of a tree  $\tau$  are called *nodes*. If  $u \cdot x \in \tau$ , we say that  $u \cdot x$  is a *child* of  $u$ . An  $X$ -tree  $\tau$  is *complete* if for every  $u \in \tau$  and  $x \in X$ ,  $u \cdot x \in \tau$ . A *path* in  $\tau$  is

<sup>1</sup>i.e., for all  $s \in S$ , there exists  $s'$  such that  $(s, s') \in R$ .

an infinite sequence of nodes  $\lambda = u_0 u_1 \dots$  such that for all  $i \in \mathbb{N}$ ,  $u_{i+1}$  is a child of  $u_i$ , and  $Paths(u)$  is the set of paths that start in node  $u$ .

An *AP-labelled  $X$ -tree*, or *(AP,  $X$ )-tree* for short, is a pair  $t = (\tau, \ell)$ , where  $\tau$  is an  $X$ -tree called the *domain* of  $t$  and  $\ell : \tau \rightarrow 2^{\text{AP}}$  is a *labelling*, which maps each node to the set of propositions that hold there. For  $p \in \text{AP}$ , a  *$p$ -labelling* for a tree is a mapping  $\ell_p : \tau \rightarrow \{0, 1\}$  that indicates in which nodes  $p$  holds, and for a labelled tree  $t = (\tau, \ell)$ , the  *$p$ -labelling* of  $t$  is the  $p$ -labelling  $u \mapsto 1$  if  $p \in \ell(u)$ , 0 otherwise. The composition of a labelled tree  $t = (\tau, \ell)$  with a  $p$ -labelling  $\ell_p$  for  $\tau$  is defined as  $t \otimes \ell_p := (\tau, \ell')$ , where  $\ell'(u) = \ell(u) \cup \{p\}$  if  $\ell_p(u) = 1$ , and  $\ell(u) \setminus \{p\}$  otherwise. A  $p$ -labelling for a labelled tree  $t = (\tau, \ell)$  is a  $p$ -labelling for its domain  $\tau$ . A *pointed labelled tree* is a pair  $(t, u)$  where  $u$  is a node of  $t$ .

Let  $\mathcal{S} = (S, R, \ell, s_\iota)$  be a Kripke structure over AP. The *tree-unfolding* of  $\mathcal{S}$  is the *(AP,  $S$ )-tree*  $t_{\mathcal{S}} := (\tau, \ell')$ , where  $\tau$  is the set of all finite paths that start in  $s_\iota$ , and for every  $u \in \tau$ ,  $\ell'(u) := \ell(\text{last}(u))$ .

**Definition 7.** We define by induction the satisfaction relation  $\models$  of QCTL\*. Let  $t = (\tau, \ell)$  be an AP-labelled tree,  $u$  a node and  $\lambda$  a path in  $\tau$ :

$t, u \models p$	if	$p \in \ell(u)$
$t, u \models \neg \varphi$	if	$t, u \not\models \varphi$
$t, u \models \varphi \vee \varphi'$	if	$t, u \models \varphi$ or $t, u \models \varphi'$
$t, u \models \mathbf{E}\psi$	if	$\exists \lambda \in Paths(u)$ s.t. $t, \lambda \models \psi$
$t, u \models \exists p \varphi$	if	$\exists \ell_p$ a $p$ -labelling for $t$ s.t. $t \otimes \ell_p, u \models \varphi$
$t, \lambda \models \varphi$	if	$t, \lambda_0 \models \varphi$
$t, \lambda \models \neg \psi$	if	$t, \lambda \not\models \psi$
$t, \lambda \models \psi \vee \psi'$	if	$t, \lambda \models \psi$ or $t, \lambda \models \psi'$
$t, \lambda \models \mathbf{X}\psi$	if	$t, \lambda_{\geq 1} \models \psi$
$t, \lambda \models \psi \mathbf{U} \psi'$	if	$\exists i \geq 0$ s.t. $t, \lambda_{\geq i} \models \psi'$ and $\forall j$ s.t. $0 \leq j < i$ , $t, \lambda_{\geq j} \models \psi$

We write  $t \models \varphi$  for  $t, r \models \varphi$ , where  $r$  is the root of  $t$ . Given a KS  $\mathcal{S}$  and a QCTL\* formula  $\varphi$ , we also write  $\mathcal{S} \models \varphi$  if  $t_{\mathcal{S}} \models \varphi$ .

[define alternation depth - Bastien]

**Theorem 1.** The model-checking problem for QCTL\* is  $(k + 1)$ -EXPTIME-complete for formulas of alternation depth  $k$ .

## 7.1 Reduction to QCTL\*

We use a variant of the reductions presented in (Laroussinie and Markey 2015; Fijalkow et al. 2018; Berthon et al. 2017; Maubert and Murano 2018; Bouyer et al. 2019), which transform instances of the model-checking problem for various strategic logics to (extensions of) QCTL\*.

Let  $(\mathcal{G}, \Phi)$  be an instance of the SL model-checking problem, and assume without loss of generality that each strategy variable is quantified at most once in  $\Phi$ . We define an equivalent instance of the model-checking problem for QCTL\*.

[say that the reduction preserves alternation depth - Bastien]

Define the KS  $\mathcal{S}_{\mathcal{G}} := (S, R, s_\iota, \ell')$  where

- $S := \{s_v \mid v \in V\}$ ,

- $R := \{(s_v, s_{v'}) \mid \exists c \in \text{Ac}^{\text{Ag}} \text{ s.t. } E(v, c) = v'\} \subseteq S^2$ ,
- $s_\iota := s_{v_\iota}$ , and
- $\ell'(s_v) := \ell(v) \cup \{p_v\} \subseteq \text{AP} \cup \text{AP}_v$ .

For every finite play  $\rho = v_0 \dots v_k$ , define the node  $u_\rho := s_{v_0} \dots s_{v_k}$  in  $t_{\mathcal{S}_{\mathcal{G}}}$ . Note that the mapping  $\rho \mapsto u_\rho$  defines a bijection between the set of finite plays and the set of nodes in  $t_{\mathcal{S}_{\mathcal{G}}}$ .

**Constructing the QCTL\* formulas  $(\varphi)_s^f$ .** We now describe how to transform an SL<sup><</sup> formula  $\varphi$  and a partial function  $f : \text{Ag} \rightarrow \text{Var}$  into a QCTL\* formula  $(\varphi)_s^f$  (that will also depend on  $\mathcal{G}$ ). Suppose that  $\text{Ac} = \{c_1, \dots, c_l\}$ , and define  $(\varphi)_s^f$  and  $(\psi)_p^f$  by mutual induction on state and path formulas. The base cases are as follows:  $(p)_s^f := p$  and  $(\varphi)_p^f := (\varphi)_s^f$ . Boolean and temporal operators are simply obtained by distributing the translation:  $(\neg \varphi)_s^f := \neg(\varphi)_s^f$ ,  $(\neg \psi)_p^f := \neg(\psi)_p^f$ ,  $(\varphi_1 \vee \varphi_2)_s^f := (\varphi_1)_s^f \vee (\varphi_2)_s^f$ ,  $(\psi_1 \vee \psi_2)_p^f := (\psi_1)_p^f \vee (\psi_2)_p^f$ ,  $(\mathbf{X}\psi)_p^f := \mathbf{X}(\psi)_p^f$  and  $(\psi_1 \mathbf{U} \psi_2)_p^f := (\psi_1)_p^f \mathbf{U} (\psi_2)_p^f$ .

We continue with the strategy quantifier:

$$(\exists x \varphi)_s^f := \exists p_{c_1}^x \dots \exists p_{c_l}^x. \varphi_{\text{str}}(x) \wedge (\varphi)_s^f$$

$$\text{where } \varphi_{\text{str}}(x) := \mathbf{AG} \bigvee_{c \in \text{Ac}} p_c^x$$

The intuition is that for each possible action  $c \in \text{Ac}$ , an existential quantification on the atomic proposition  $p_c^x$  “chooses” for each node  $u_\rho$  of the tree  $t_{\mathcal{S}_{\mathcal{G}}}$  whether strategy  $x$  allows action  $c$  in  $\rho$  or not.  $\varphi_{\text{str}}(x)$  checks that at least one action is allowed in each node, and thus that atomic propositions  $p_c^x$  indeed define a (nondeterministic) strategy.

For strategy refinement, the translation is as follows:

$$(x \preceq y)_s^f := \mathbf{AG} \bigwedge_{c \in \text{Ac}} p_c^x \rightarrow p_c^y.$$

Here are the remaining cases:

$$((a, x)\varphi)_s^f := (\varphi)_s^{f[a \mapsto x]} \quad \text{for } x \in \text{Var} \cup \{?\}$$

$$\text{and } (\mathbf{E}\psi)_s^f := \mathbf{E}(\psi_{\text{out}}^f \wedge (\psi)_p^f), \text{ where}$$

$$\psi_{\text{out}}^f := \mathbf{G} \bigvee_{v \in V} \left( p_v \wedge \bigvee_{c \in \text{Ac}^{\text{Ag}}} \left( \bigwedge_{a \in \text{dom}(f)} p_{c_a}^{f(a)} \wedge \mathbf{X} p_{E(v, c)} \right) \right).$$

$\psi_{\text{out}}^f$  checks that each player  $a$  in the domain of  $f$  follows the strategy coded by the  $p_c^{f(a)}$ .

To prove the correctness of the translation we need some additional definitions. First, given a strategy  $\sigma$  and a strategy variable  $x$  we let  $\ell_\sigma^x := \{\ell_{p_c^x} \mid c \in \text{Ac}\}$  be the family of  $p_c^x$ -labellings for tree  $t_{\mathcal{S}_{\mathcal{G}}}$  defined as follows: for each finite play  $\rho$  and  $c \in \text{Ac}$ , we let  $\ell_{p_c^x}(u_\rho) := 1$  if  $c \in \sigma(\rho)$ , 0 otherwise. For a labelled tree  $t$  with same domain as  $t_{\mathcal{S}_{\mathcal{G}}}$  we write  $t \otimes \ell_\sigma^x$  for  $t \otimes \ell_{p_{c_1}^x} \dots \otimes \ell_{p_{c_l}^x}$ .

Second, given an infinite play  $\pi$  and a point  $i \in \mathbb{N}$ , we let  $\lambda_{\pi, i}$  be the infinite path in  $t_{\mathcal{S}_{\mathcal{G}}}$  that starts in node  $u_{\pi_{\leq i}}$  and is defined as  $\lambda_{\pi, i} := u_{\pi_{\leq i}} u_{\pi_{\leq i+1}} u_{\pi_{\leq i+2}} \dots$

Finally, we say that a partial function  $f : \text{Ag} \rightarrow \text{Var}$  is *compatible* with an assignment  $\chi$  if  $\text{dom}(\chi) \cap \text{Ag} = \text{dom}(f)$  and for all  $a \in \text{dom}(f)$ ,  $\chi(a) = \chi(f(a))$ .

**Proposition 2.** *For every state subformula  $\varphi$  and path subformula  $\psi$  of  $\Phi$ , finite play  $\rho$ , infinite play  $\pi$ , point  $i \in \mathbb{N}$ , for every assignment  $\chi$  variable-complete for  $\varphi$  (resp.  $\psi$ ) and partial function  $f : \text{Ag} \rightarrow \text{Var}$  compatible with  $\chi$ , assuming also that no  $x_i$  in  $\text{dom}(\chi) \cap \text{Var} = \{x_1, \dots, x_k\}$  is quantified in  $\varphi$  or  $\psi$ , we have*

$$\begin{aligned} \mathcal{G}, \chi, \rho \models \varphi & \text{ iff } t_{\mathcal{S}_{\mathcal{G}}} \otimes \ell_{\chi(x_1)}^{x_1} \otimes \dots \otimes \ell_{\chi(x_k)}^{x_k}, u_{\rho} \models (\varphi)_s^f \\ \mathcal{G}, \chi, \pi, i \models \psi & \text{ iff } t_{\mathcal{S}_{\mathcal{G}}} \otimes \ell_{\chi(x_1)}^{x_1} \otimes \dots \otimes \ell_{\chi(x_k)}^{x_k}, \lambda_{\pi, i} \models (\psi)_p^f \end{aligned}$$

In addition,  $\mathcal{S}_{\mathcal{G}}$  is of size linear in  $|\mathcal{G}|$ , and  $(\varphi)_s^f$  and  $(\psi)_p^f$  are of size linear in  $|\mathcal{G}|^2 + |\varphi|$ .

*Proof.* The proof is by induction on  $\varphi$ . We detail the case for binding, strategy quantification, strategy refinement and outcome quantification, the others follow simply by definition of  $\mathcal{S}_{\mathcal{G}}$  for atomic propositions and induction hypothesis for remaining cases.

For  $\varphi = x \preceq y$ , assume that  $\mathcal{G}, \chi, \rho \models x \preceq y$ . First, observe that since  $\chi$  is variable-complete for  $\varphi$ ,  $x$  and  $y$  are in  $\text{dom}(\chi)$ . Now we have that  $\chi(x)|_{\rho}(\rho') \subseteq \chi(y)|_{\rho}(\rho')$  for every  $\rho' \in \text{Cont}(\rho)$ . By definition of  $\ell_{\chi(x)}^x = \{\ell_{p_c^x} \mid c \in \text{Ac}\}$  and  $\ell_{\chi(y)}^y = \{\ell_{p_c^y} \mid c \in \text{Ac}\}$ , it follows that for each  $c \in \text{Ac}$  and  $\rho' \in \text{Cont}(\rho)$ , if  $\ell_{p_c^x}(\rho') = 1$ , then  $\ell_{p_c^y}(\rho') = 1$ , and thus

$$t_{\mathcal{S}_{\mathcal{G}}} \otimes \ell_{\chi(x)}^x \otimes \ell_{\chi(y)}^y \models \mathbf{AG} \bigwedge_{c \in \text{Ac}} p_c^x \rightarrow p_c^y$$

Because the labellings  $\ell_{\chi(x)}^x$  touch distinct sets of atomic propositions for each variable  $x$  in  $\text{dom}(\chi) \cap \text{Var}$ , we can conclude this direction.

For the other direction let  $t = t_{\mathcal{S}_{\mathcal{G}}} \otimes \ell_{\chi(x_1)}^{x_1} \otimes \dots \otimes \ell_{\chi(x_k)}^{x_k}$  and assume that

$$t, u_{\rho} \models \mathbf{AG} \bigwedge_{c \in \text{Ac}} p_c^x \rightarrow p_c^y.$$

This implies that for every  $\rho' \in \text{Cont}(\rho)$ ,

$$t, u_{\rho'} \models \bigwedge_{c \in \text{Ac}} p_c^x \rightarrow p_c^y,$$

and thus  $\chi(x)|_{\rho}$  refines  $\chi(y)|_{\rho}$ .

For  $\varphi = (a, x)\varphi'$ , we have  $\mathcal{G}, \chi, \rho \models (a, x)\varphi'$  if and only if  $\mathcal{G}, \chi[a \mapsto \chi(x)], \rho \models \varphi'$ . The result follows by using the induction hypothesis with assignment  $\chi[a \mapsto x]$  and function  $f[a \mapsto x]$ . This is possible because  $f[a \mapsto x]$  is compatible with  $\chi[a \mapsto x]$ : indeed  $\text{dom}(\chi[a \mapsto x]) \cap \text{Ag}$  is equal to  $\text{dom}(\chi) \cap \text{Ag} \cup \{a\}$  which, by assumption, is equal to  $\text{dom}(f) \cup \{a\} = \text{dom}(f[a \mapsto x])$ . Also by assumption, for all  $a' \in \text{dom}(f)$ ,  $\chi(a') = \chi(f(a'))$ , and by definition  $\chi[a \mapsto \chi(x)](a) = \chi(x) = \chi(f[a \mapsto x](a))$ .

For  $\varphi = \exists x \varphi'$ , assume first that  $\mathcal{G}, \chi, \rho \models \exists x \varphi'$ . There exists a nondeterministic strategy  $\sigma$  such that

$$\mathcal{G}, \chi[x \mapsto \sigma], \rho \models \varphi'.$$

Since  $f$  is compatible with  $\chi$ , it is also compatible with assignment  $\chi' = \chi[x \mapsto \sigma]$ . By assumption, no variable in  $\{x_1, \dots, x_k\}$  is quantified in  $\varphi$ , so that  $x \neq x_i$  for all  $i$ , and thus  $\chi'(x_i) = \chi(x_i)$  for all  $i$ ; and because no strategy variable is quantified twice in a same formula,  $x$  is not quantified in  $\varphi'$ , so that no variable in  $\{x_1, \dots, x_k, x\}$  is quantified in  $\varphi'$ . By induction hypothesis

$$t_{\mathcal{S}_{\mathcal{G}}} \otimes \ell_{\chi'(x_1)}^{x_1} \otimes \dots \otimes \ell_{\chi'(x_k)}^{x_k} \otimes \ell_{\chi'(x)}^x, u_{\rho} \models (\varphi')_s^f.$$

It follows that

$$t_{\mathcal{S}_{\mathcal{G}}} \otimes \ell_{\chi'(x_1)}^{x_1} \otimes \dots \otimes \ell_{\chi'(x_k)}^{x_k}, u_{\rho} \models \exists \tilde{p}_{c_1}^x \dots \exists \tilde{p}_{c_l}^x. \varphi_{\text{str}}(x) \wedge (\varphi')_s^f.$$

Finally, since  $\chi'(x_i) = \chi(x_i)$  for all  $i$ , we conclude that

$$t_{\mathcal{S}_{\mathcal{G}}} \otimes \ell_{\chi(x_1)}^{x_1} \otimes \dots \otimes \ell_{\chi(x_k)}^{x_k}, u_{\rho} \models (\exists x \varphi')_s^f.$$

For the other direction, assume that

$$t_{\mathcal{S}_{\mathcal{G}}} \otimes \ell_{\chi(x_1)}^{x_1} \otimes \dots \otimes \ell_{\chi(x_k)}^{x_k}, u_{\rho} \models (\varphi)_s^f,$$

and recall that  $(\varphi)_s^f = \exists \tilde{p}_{c_1}^x \dots \exists \tilde{p}_{c_l}^x. \varphi_{\text{str}}(x) \wedge (\varphi')_s^f$ . Write  $t = t_{\mathcal{S}_{\mathcal{G}}} \otimes \ell_{\chi(x_1)}^{x_1} \otimes \dots \otimes \ell_{\chi(x_k)}^{x_k}$ . There exist  $\ell_{p_c^x}$ -labellings such that

$$t \otimes \ell_{p_{c_1}^x} \otimes \dots \otimes \ell_{p_{c_l}^x} \models \varphi_{\text{str}}(x) \wedge (\varphi')_s^f.$$

By  $\varphi_{\text{str}}(x)$ , these labellings code for a strategy  $\sigma$ . Let  $\chi' = \chi[x \mapsto \sigma]$ . For all  $1 \leq i \leq k$ , by assumption  $x \neq x_i$ , and thus  $\chi'(x_i) = \chi(x_i)$ . The above can thus be rewritten

$$t_{\mathcal{S}_{\mathcal{G}}} \otimes \ell_{\chi'(x_1)}^{x_1} \otimes \dots \otimes \ell_{\chi'(x_k)}^{x_k} \otimes \ell_{\chi'(x)}^x \models \varphi_{\text{str}}(x) \wedge (\varphi')_s^f.$$

By induction hypothesis we have  $\mathcal{G}, \chi[x \mapsto \sigma], \rho \models \varphi'$ , hence  $\mathcal{G}, \chi, \rho \models \exists x \varphi'$ .

For  $\varphi = \exists^d x \psi$ , the proof is similar, using  $\varphi_{\text{str}}^{\text{det}}(x)$  instead of  $\varphi_{\text{str}}(x)$ .

For  $\varphi = \mathbf{E}\psi$ , assume first that  $\mathcal{G}, \chi, \rho \models \mathbf{E}\psi$ . There exists a play  $\pi \in \text{Out}(\chi, \rho)$  s.t.  $\mathcal{G}, \chi, \pi, |\rho| - 1 \models \psi$ . By induction hypothesis,

$$t_{\mathcal{S}_{\mathcal{G}}} \otimes \ell_{\chi(x_1)}^{x_1} \otimes \dots \otimes \ell_{\chi(x_k)}^{x_k}, \lambda_{\pi, |\rho| - 1} \models (\psi)_p^f.$$

Since  $\pi$  is an outcome of  $\chi$ , each agent  $a \in \text{dom}(\chi) \cap \text{Ag}$  follows strategy  $\chi(a)$  in  $\pi$ . Because  $\text{dom}(\chi) \cap \text{Ag} = \text{dom}(f)$  and for all  $a \in \text{dom}(f)$ ,  $\chi(a) = \chi(f(a))$ , each agent  $a \in \text{dom}(f)$  follows the strategy  $\chi(f(a))$ , which is coded by atoms  $p_c^{f(a)}$  in the translation of  $\Phi$ . Therefore  $\lambda_{\pi, |\rho| - 1}$  also satisfies  $\psi_{\text{out}}^{\chi}$ , hence

$$t_{\mathcal{S}_{\mathcal{G}}} \otimes \ell_{\chi(x_1)}^{x_1} \otimes \dots \otimes \ell_{\chi(x_k)}^{x_k}, \lambda_{\pi, |\rho| - 1} \models \psi_{\text{out}}^{\chi} \wedge (\psi)_p^f,$$

and we are done.

For the other direction, assume that

$$t_{\mathcal{S}_{\mathcal{G}}} \otimes \ell_{\chi(x_1)}^{x_1} \otimes \dots \otimes \ell_{\chi(x_k)}^{x_k}, u_{\rho} \models \mathbf{E}(\psi_{\text{out}}^f \wedge (\psi)_p^f).$$

There exists a path  $\lambda$  in  $t_{\mathcal{S}_{\mathcal{G}}} \otimes \ell_{\chi(x_1)}^{x_1} \otimes \dots \otimes \ell_{\chi(x_k)}^{x_k}$  starting in node  $u_{\rho}$  that satisfies both  $\psi_{\text{out}}^f$  and  $(\psi)_p^f$ . By construction of  $\mathcal{S}_{\mathcal{G}}$  there exists an infinite play  $\pi$  such that  $\pi_{\leq |\rho| - 1} = \rho$  and  $\lambda = \lambda_{\pi, |\rho| - 1}$ . By induction hypothesis,  $\mathcal{G}, \chi, \pi, |\rho| - 1 \models \psi$ . Because  $\lambda_{\pi, |\rho| - 1}$  satisfies  $\psi_{\text{out}}^f$ ,  $\text{dom}(\chi) \cap \text{Ag} = \text{dom}(f)$ , and for all  $a \in \text{dom}(f)$ ,  $\chi(a) = \chi(f(a))$ , it is also the case that  $\pi \in \text{Out}(\chi, \rho)$ , hence  $\mathcal{G}, \chi, \rho \models \mathbf{E}\psi$ .  $\square$

Applying Proposition 2 to the sentence  $\Phi$ ,  $\rho = v_i$ , any assignment  $\chi$ , and the empty function  $\emptyset$ , we get:

$$\mathcal{G} \models \Phi \quad \text{if and only if} \quad t_{\mathcal{S}_{\mathcal{G}}} \models (\Phi)_s^{\emptyset}.$$

We now show how  $\text{SL}^{\prec}$  captures various a number of important problems.

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