## **MNIST Digit Classification**

SKIP most for PBDS Meetup 07/18/2019

[Show MNIST digit set image]

```
In [1]: # Using TensorFlow 1.13.1
    import tensorflow as tf
    import tensorflow.keras as keras
    import numpy as np
    import os
    import matplotlib.pyplot as plt
    from datetime import datetime

# Add tensorflow.keras imports
    from keras import models
    from keras import layers
    from keras.utils import to_categorical
    from keras.datasets import mnist

print("TensorFlow:", tf.__version__, " Keras:", keras.__version__)

TensorFlow: 1.13.1 Keras: 2.2.4-tf
```

Using TensorFlow backend.

# **MNIST Digits Dataset**

```
In [2]: # Read Keras MNIST dataset
    (train_images, train_labels), (test_images, test_labels) = mnist.load_data()
    print("train_images.shape:", train_images.shape, "train_labels len:", len(train_labels))
    train_images.shape: (60000, 28, 28) train_labels_len: 60000
```

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```
In [3]: # First three labels
        print("MNIST First four labels:\n", train_labels[:4])
         # First digit
         print("MNIST First digit (partial crop):\n", train images[0,4:26,6:24])
        MNIST First four labels:
         [5 0 4 1]
        MNIST First digit (partial crop):
                                                                                     01
             0
                                        18
                                             18
                                                18 126 136 175
                                                                  26 166 255 247 127]
                            94 154 170 253 253 253 253 253 225 172 253 242
                                                                              195
                                                                                   64]
                49 238 253 253 253 253 253 253 253 251
                                                              93
                                                                   82
                                                                       82
                                                                           56
                                                                               39
                                                                                    0]
                       253 253 253 253 253 198 182 247 241
                                                                        0
                                                                            0
                                                                                    0]
                       156 107 253 253 205
                                                      43 154
                                             11
                                                               0
                                                                                    0]
             0
                        14
                              1 154 253
                                         90
                                              0
                                                                                    0]
                                                                                0
             0
                         0
                              0 139 253 190
                                                                                    0]
                                 11 190 253
                                             70
                                                                                    0]
                         0
                                     35 241 225 160 108
                                                                                    0]
             0
                         0
                                         81 240 253 253 119
                                                                                    0]
                                                                                0
                         0
                                             45 186 253 253 150
                                                                                    0]
                                                      93 252 253
                                          0
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                                                       0 249 253
                         0
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                     0
                         0
                              0
                                  0
                                      0
                                          0
                                             46 130 183 253 253
                                                                                    0]
                         0
                                     39 148 229 253 253 253 250
                                                                                    0]
                                   221 253 253 253
                                                    253
                                                                                0
                                                                                    0]
```

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### **Preparing MNIST Digits for Input to MLP**

[ 18 171 219 253 253 253 253 195

0

[226 253 253 253 253 244 133

[253 253 212 135 132

66 213 253 253 253 253 198

16

11

Convert MNIST images for perceptron input

- from 2-D arrays of 28 x 28 pixels,
- to 1-D vector of 784 (28\*28) numbers, scaled to range [0, 1].

```
In [4]: # MNIST images as normalized ( , 28*28) tensors for MLP
        train images mlp = train images.reshape((60000, 28 * 28))
        train images mlp = train images mlp.astype('float32') / 255
        test images mlp = test images.reshape((10000, 28 * 28))
        test images mlp = test images mlp.astype('float32') / 255
In [5]: # First digit
        (shape0, mean0) = (train images mlp[0].shape[0], np.mean(train_images_mlp[0]))
        (min0, max0) = (np.min(train images_mlp[0]), np.max(train_images_mlp[0]))
        print("MNIST First digit shape:", shape0, "mean:", mean0, "- min, max:", min0, max0)
        MNIST First digit shape: 784 mean: 0.13768007 - min, max: 0.0 1.0
In [6]: # Convert MNIST labels [0-9] to categorical
        train_labels_cat = to_categorical(train_labels)
        test_labels_cat = to_categorical(test_labels)
In [7]: # First three labels
        print("MNIST First three labels:\n", train labels[:4])
        print("First three labels categorical:\n", train labels cat[:4])
        MNIST First three labels:
         [5 0 4 1]
        First three labels categorical:
         [[0. 0. 0. 0. 0. 1. 0. 0. 0. 0.]
         [1. 0. 0. 0. 0. 0. 0. 0. 0. 0.]
         [0. 0. 0. 0. 1. 0. 0. 0. 0. 0.]
         [0. 1. 0. 0. 0. 0. 0. 0. 0. 0.]
```

## Multi-Layer Perceptron MNIST Classifier (MLP)

• MLP single-layer (~97% with one hidden layer, 256 nodes; total trainable params: 203,530)

#### **Homework**

• MLP two-layer (~98% with two hidden layers) - skip

mlp.summary()

• Deep MLP with regularization (dropout, data augmentation) - skip

```
In [9]: # Define MLP: one hidden layer of 256 nodes - 10-class softmax output
    mlp = models.Sequential()
    mlp.add(layers.Dense(256, activation='relu', input_shape=(28 * 28,)))
    mlp.add(layers.Dense(10, activation='softmax'))
    mlp.compile(optimizer='rmsprop', loss='categorical_crossentropy', metrics=['accuracy'])
In [10]: # Summarize MLP
```

```
Layer (type) Output Shape Param #

dense_3 (Dense) (None, 256) 200960

dense_4 (Dense) (None, 10) 2570

Total params: 203,530
Trainable params: 203,530
Non-trainable params: 0
```

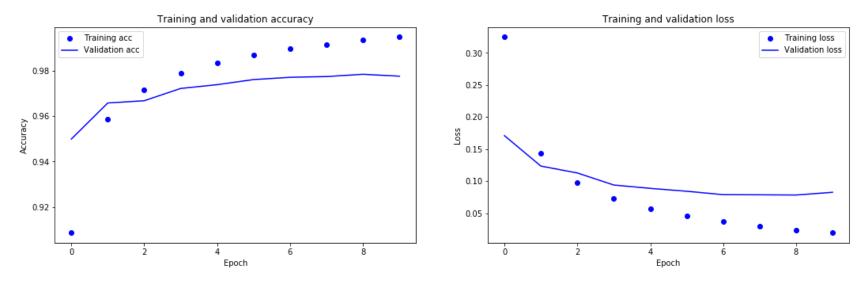
### Train Multi-Layer Perceptron on MNIST

• Use Keras mlp.fit(train\_inputs, train\_outputs)

WARNING: tensorflow: From /Users/nelson/dev/anaconda3/lib/python3.7/site-packages/tensorflow/python/op s/math ops.py:3066: to int32 (from tensorflow.python.ops.math ops) is deprecated and will be removed in a future version. Instructions for updating: Use tf.cast instead. Train on 48000 samples, validate on 12000 samples Epoch 1/10 0.1709 - val acc: 0.9499 Epoch 2/10 0.1235 - val acc: 0.9658 Epoch 3/10 0.1127 - val acc: 0.9668 Epoch 4/10 0.0939 - val acc: 0.9723 Epoch 5/10 0.0887 - val acc: 0.9739 Epoch 6/10 0.0842 - val acc: 0.9762 Epoch 7/10 0.0789 - val acc: 0.9772 Epoch 8/10 0.0787 - val acc: 0.9775 Epoch 9/10 0.0784 - val acc: 0.9785 Epoch 10/10 0.0826 - val acc: 0.9777

```
In [12]: # Visualize MLP accuracy, loss on MNIST training and validation data
         # Define acc, loss plot function
         def plot acc loss(history):
             acc = history.history['acc']
             val acc = history.history['val acc']
             loss = history.history['loss']
             val loss = history.history['val loss']
             epochs = range(len(acc))
             accuracy template = "Epochs: {}, Best training acc: {}, Best val_acc: {}"
             print(accuracy template.format(len(epochs), np.max(acc), np.max(val acc)))
             plt.figure(figsize=(18,5))
             plt.subplot(121)
             plt.plot(epochs, acc, 'bo', label='Training acc')
             plt.plot(epochs, val acc, 'b', label='Validation acc')
             plt.title('Training and validation accuracy')
             plt.xlabel('Epoch')
             plt.ylabel('Accuracy')
             plt.legend()
             plt.subplot(122)
             plt.plot(epochs, loss, 'bo', label='Training loss')
             plt.plot(epochs, val loss, 'b', label='Validation loss')
             plt.title('Training and validation loss')
             plt.xlabel('Epoch')
             plt.ylabel('Loss')
             plt.legend()
             plt.show()
             return None
         plot acc loss(history)
```

Epochs: 10, Best training acc: 0.9949375, Best val acc: 0.9785000001589457



## **Evaluate Multi-Layer Perceptron on MNIST**

• Use Keras mlp.evaluate(test\_inputs, test\_outputs)

# **Convolutional Neural Networks (CNNs)**

• LeCun, 1989.

ZIPCODE digit recognizer

### **CNN Elements: Filters, Layers, Strides and Padding**

Figure

# **Convolutional Neural Network MNIST Classifier (CNN)**

- CNN (~98% with one hidden layer) skip
- CNN (~99.2% with three hidden layers, total trainable params: 130,890)
- CNN Test accuracy: 0.9919

Contrast CNN trainable parameters and accuracy (~99.2%, trainable params: 130,890) to single-layer MLP (~98% with one hidden layer, 256 nodes; total trainable params: 203,530).

```
In [14]: # Keras CNN MNIST classifier - Three-Layer Convolutional Neural Network
# CNN input (28, 28, 1) images - CNN output (3, 3, 64) tensor
cnn = models.Sequential()
cnn.add(layers.Conv2D(32, (3, 3), activation='relu', input_shape=(28, 28, 1)))
cnn.add(layers.MaxPooling2D((2, 2)))
cnn.add(layers.Conv2D(64, (3, 3), activation='relu'))
cnn.add(layers.MaxPooling2D((2, 2)))
cnn.add(layers.Conv2D(64, (3, 3), activation='relu'))

# Fully-connected (MLP) output classifier - 10-class softmax output
cnn.add(layers.Flatten())
cnn.add(layers.Dense(128, activation='relu'))
cnn.add(layers.Dense(10, activation='relu'))
cnn.compile(optimizer='rmsprop', loss='categorical_crossentropy', metrics=['accuracy'])
```

```
In [15]: # Model summary
cnn.summary()
```

Layer (type)	Output	Shape	Param #
conv2d_1 (Conv2D)	(None,	26, 26, 32)	320
max_pooling2d_1 (MaxPooling2	(None,	13, 13, 32)	0
conv2d_2 (Conv2D)	(None,	11, 11, 64)	18496
max_pooling2d_2 (MaxPooling2	(None,	5, 5, 64)	0
conv2d_3 (Conv2D)	(None,	3, 3, 64)	36928
flatten_1 (Flatten)	(None,	576)	0
dense_5 (Dense)	(None,	128)	73856
dense_6 (Dense)	(None,	10)	1290

Total params: 130,890 Trainable params: 130,890 Non-trainable params: 0

## **Preparing MNIST Digits for Input to CNN**

Convert MNIST images for CNN input

- from 2-D array of 28 x 28 pixels,
- to 3-D tensor of shape (28, 28, 1), scaled to range [0, 1].

```
In [16]: # MNIST images as (_, 28, 28, 1) tensors for CNN input
    train_images_cnn = train_images.reshape((60000, 28, 28, 1))
    train_images_cnn = train_images_cnn.astype('float32') / 255

    test_images_cnn = test_images.reshape((10000, 28, 28, 1))
    test_images_cnn = test_images_cnn.astype('float32') / 255
    print("train_images_cnn.shape:", train_images_cnn.shape)
    print("train_labels_cat len:", len(train_labels_cat))

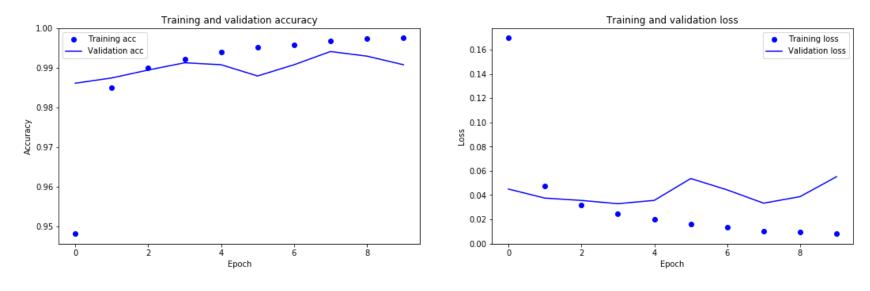
train_images_cnn.shape: (60000, 28, 28, 1)
    train_labels_cat len: 60000
```

Train CNN with MLP output classifier

```
Train on 54000 samples, validate on 6000 samples
Epoch 1/10
s: 0.0450 - val acc: 0.9862
Epoch 2/10
s: 0.0375 - val acc: 0.9875
Epoch 3/10
s: 0.0356 - val acc: 0.9895
Epoch 4/10
s: 0.0329 - val acc: 0.9913
Epoch 5/10
s: 0.0356 - val acc: 0.9908
Epoch 6/10
s: 0.0537 - val acc: 0.9880
Epoch 7/10
s: 0.0443 - val acc: 0.9908
Epoch 8/10
s: 0.0333 - val acc: 0.9942
Epoch 9/10
s: 0.0387 - val acc: 0.9930
Epoch 10/10
s: 0.0552 - val acc: 0.9908
```

```
In [18]: # Plot acc, loss
plot_acc_loss(history)
```

Epochs: 10, Best training acc: 0.9975740740741, Best val acc: 0.9941666666666666



### **Evaluate CNN classifier**

# **MNIST** with Traditional Machine Learning

### **Multinomial MNIST Classifiers**

### Homework: Naive Bayes (NB), Stochastic Gradient Descent (SGD)

GaussianNB, SGDClassifier from Scikit-Learn

• e.g., sklearn.GaussianNB, sklearn.SGDClassifier (MNIST accuracy of ~85%)

### **Feature engineering**

Feature engineering is important, more so for shallow MLP and traditional statistical classifiers.

- Input scaling (e.g., sklearn.StandardScaler on MNIST improves accuracy to ~90%)
- Deep networks beat hand-engineered features, with optimal, automatically learned features of, for example, CNN filters

# **Capsules Neural Network MNIST Classifier (CapsNet)**

• Capsule Networks (CapsNet, Sabour, X, Hinton, 2017; Zhen, KPU, 2019) - skip

# **MNIST State-of-the-Art (SOTA)**

### MNIST Test accuracy stands at 99.83% (in 2019)

#### Record (03/2019)

- Zhao et al., 2019, report absolute MNIST error rate reduction from previous best 0.21% (99.79% accuracy) to 0.17% (99.83% accuracy).
- Capsule Networks with Max-Min Normalization, Zhen Zhao, Ashley Kleinhans, Gursharan Sandhu, Ishan Patel, K. P. Unnikrishnan, 2019, <a href="https://arxiv.org/abs/1903.09662">https://arxiv.org/abs/1903.09662</a> (https://arxiv.org/abs/1903.09662).

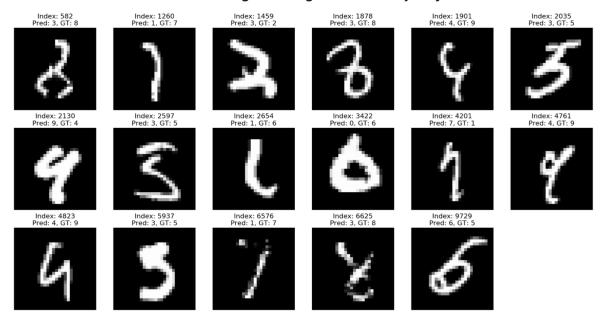
#### Others (2017 - 2018)

- Regularization of neural networks using dropconnect, Li Wan, Matthew D Zeiler, Sixin Zhang, Yann LeCun, and Rob Fergus, ICML 2013. 99.79% accuracy (0.39% error rate; 0.21% with ensembling)
- Dynamic Routing Between Capsules, Sara Sabour, Nicholas Frosst, Geoffrey E Hinton, 2017 (<a href="https://arxiv.org/abs/1710.09829">https://arxiv.org/abs/1710.09829</a>) 99.75% accuracy (0.25% error rate)
- Simple 3-layer CNN above (total params, 130,890) without any training regularization: acc: 99.15%

## **CapsNet with Max-Min: MNIST Test set errors**

Misclassified MNIST images using 3-model majority vote from CapsNets trained using Max-Min normalization

#### Misclassified Images Using 3 Model Majority Vote



- Total of 17 digit errors in 10,000 digit test set (99,83% test accuracy)
- MNIST digit index 6576 Ground Truth: "7"
- current deep networks recognize as "1"; no human makes such error

Source: Capsule Networks with Max-Min Normalization, Zhao et al., 2019 (https://arxiv.org/abs/1903.09662)

## After 30 years, MNIST Test must be considered a validation set

#### ... it is no longer a test set.

MNIST Test can no longer be considered a proper Test set. It is a digit classification Validation set.

The MNIST dataset availability and focus on the MNIST task since 1989 have made the MNIST, 10,000 digit "Test" set into a "validation" dataset.

- New test sets for MNIST, different from the original 1990 one, are needed!
- · A new digit recognition benchmark is needed.

#### MNIST improvements are near the end (only 20 digits left for improvement)

The MNIST Test set has a total of 60,000 training and 10,000 test digits.

Zhao et al., 2019, use single classifiers with recognition error on only 20 to 23 digits of the 10,000 MNIST test digits.

In [ ]: