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Empowering Users' Privacy Rights in the Internet of Things

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Resumo

Os dispositivos da Internet das coisas estão por todo o lado, desde o nascimento da computação ubíqua que se prevê que a vida quotidiana do ser humano contenha milhões de dispositivos que controlam todos os aspectos da nossa vida. Hoje em dia, temos carros inteligentes, casas inteligentes, cidades inteligentes, dispositivos portáteis, entre outros, que utilizam vários tipos de dispositivos e vários tipos de redes para comunicar. Estes dispositivos criam novas formas de recolha e tratamento de dados pessoais de utilizadores e não utilizadores. A maioria dos utilizadores finais nem sequer tem conhecimento ou tem pouco controlo sobre as informações que estão a ser recolhidas por estes sistemas. Este trabalho adopta uma abordagem holística a este problema, começando por fazer uma revisão da literatura, depois conduzindo um inquérito para saber mais sobre o conhecimento geral do público e, finalmente, com base na informação recolhida, é proposto um sistema que dá aos utilizadores informações sobre os dispositivos que estão nas proximidades e como proteger os dados que não querem partilhar com esses dispositivos. Este sistema é capaz de detetar que tipo de dispositivos estão nas proximidades, que tipo de dados são recolhidos por esses dispositivos, mostrar opções de privacidade ao utilizador quando é possível fazê-lo e o que pode ser feito para proteger dados indesejados de serem recolhidos.

Keywords: privacidade · Internet das Coisas · computação ubíqua · assistente de privacidade

Abstract

Internet of things devices are everywhere, since the birth of ubiquitous computing that human every day life is envisioned containing millions of devices that control every aspect of our lives. Today we have smart cars, smart houses, smart cities, wearables among other things that use various types of devices and various types of networks to communicate. These devices create new ways of collecting and process personal data from users and non-users. Most end users are not even aware or have little control over the information that is being collected by these systems. This work takes an holistic approach to this problem by first doing a literature review, then conducting a survey to learn more about the general knowledge of the public, and finally, based on the information gathered, a system is proposed that gives users information about the devices that are nearby and how to protect the data that they do not want to share with these devices, this system is capable of detecting what type of devices are nearby, what kind of data is collected by these devices, show privacy choices to the user when it is possible to do so and what can be done to protect unwanted data from being collected.

Keywords: privacy · Internet of Things · ubiquitous computing · privacy assistant

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List of Acronyms

CCPA	California consumer privacy act
GDPR	General data protection regulation
IOT	Internet of Things
IP	Internet Protocol
IT	Information technology
M2M	Machine-to-machine
MIT	Massachusetts Institute of Technology
PA	Privacy assistant
PPA	Personalized privacy assistant
RFID	Radio-frequency identification
SLR	Systematic literature review
SaaS	Software as a service
VPN	Virtual private network

1 Introduction

Privacy as we know it is a somewhat recent concept [1,2], before the digital age there was barely any notion of privacy for most people. For many centuries most people used to reside in small communities where they were continuously involved in one another's lives. Even more recent is the idea that privacy is a crucial component of personal security, in contrast to the undeniable necessity of public security, including the requirement for guarded walls and closed doors. Long seen as a luxury, privacy is still usually regarded as a good to have rather than an essential requirement, even though it is acknowledged as a human right, as present in article 12 of the Universal Declaration of Human Rights [3]: "No one shall be subjected to arbitrary interference with his privacy, family, home or correspondence, nor to attacks upon his honour and reputation. Everyone has the right to the protection of the law against such interference or attacks". Privacy can be defined [4, 5] as the right to govern how personal information and data is collected, stored, and used, it frequently involves handling sensitive information with care, and as such, organizations must be open and honest about the kind of data they plan to gather, why they need it, and where and with whom they plan to share it. Users should have the right to control their shared information.

This definition can cause some confusion with the idea of security [6] and although privacy and security are interconnected, security involves measures taken to safeguard data from risk, threat or danger, it frequently alludes to safety. It is the practice of keeping users' personal information and data safe and preventing unauthorized access to it. The primary contrast between privacy and security is that the former deals with personal information to individuals and how they want their data used and maintained, whilst the latter deals with its protection from possible threats. Security can exist without privacy, but the opposite is not true. For managing sensitive and personal data, privacy and computer security are equally crucial. Users should be aware of the internal procedures regarding the collection, processing, retention, and sharing of personal information.

Concerns about digital privacy have been growing [7–9] in the last few years, especially after the Anonymous decentralized hacker group cyber attacks, WikiLeaks and Snowden's leaked top secret documents from United State's National Security Agency. These concerns can be noted with the increase of written literature on the subject, when searching for terms like "privacy", "online privacy", "digital privacy" in Google Scholar, ACM Digital Library or Science Direct it can be seen that, in the last 5 years, it returns about 5000000, 650000 and 80000 documents respectively, including articles, books, conference papers etc.

Most research has focused on the web, while privacy in IoT systems has not been explored as much. Because IoT devices are becoming more prevalent, new methods of communicating, gathering, and analyzing data emerge. Because there is already a substantial quantity of research focusing on web privacy rather than IoT privacy, it is a lot more fertile ground to explore the issue of privacy in the context of the IoT.

Internet of Things is a term that first appeared in the 1990s, and it may be linked to Mark Weiser's paper on ubiquitous computing [10] and the growth of devices of all sizes that communicate with one another to do various tasks, making Weiser's dream a reality. The first use of the term *Internet of Things* was in 1999 by British technology pioneer Kevin Ashton [11], executive director of the Auto-ID Center at Massachusetts Institute of Technology (MIT), to describe a system in which items may be connected to the internet by sensors. He came up with the phrase while giving a presentation for Procter & Gamble to highlight the value of linking Radio-Frequency Identification

(RFID) tags used in corporate supply chains to the internet in order to count and track goods without the need for human assistance. These devices are used in various applications, starting at home [12] with thermostats, fridges, microwaves, etc, moving on to smart cars [13], the educational system [14], our clothes and our watches [15] and even into outer space [16]. IoT resources may include IoT equipment (like smart home assistants and autonomous vehicles), IoT services (like video analytics services linked to smart cameras and indoor position tracking systems), or IoT apps (like smart TV remote apps) that track and use information about us. Internet of Things is now widely used to describe situations in which a range of objects, gadgets, sensors, and ordinary items are connected to the internet and have computational capabilities.

The idea of using computers and networks in order to monitor and manage devices is nothing new, despite the term *Internet of Things* being relatively recent. Wireless technology improvements in the 1990s permitted the widespread adoption of corporate and industrial machine-to-machine (M2M) solutions for equipment monitoring and operation. Many early M2M solutions, on the other hand, relied on proprietary purpose-built networks or industry-specific standards rather than internet standards. To connect devices other than computers to the internet is not a new concept. A Coke machine at Carnegie Mellon University's Computer Science Department [17] was the first ubiquitous device to be linked to the internet. The system, which was created in 1982, remotely observed the out of stock lights on the pressing buttons of the vending machine and broadcast the state of each row of the vending machine on the network so that it could be accessed using the Name/Finger protocol through a terminal. In 1990, a toaster that could be turned on and off over the internet that was created by John Romkey [18], was demonstrated at the Interop Internet Networking show.

The Internet of Things can be defined as: "An open and comprehensive network of intelligent objects that have the capacity to auto-organize, share information, data and resources, reacting and acting in face of situations and changes in the environment" [19].

IoT is one of the fastest growing technologies [20], it is predicted that it will grow into the trillions of devices by 2030 [21], and with this expansion new security vulnerabilities and data gathering dangers appear, the lack of security in these devices makes them ideal targets for privacy violations and inadequate customer disclosure of device capabilities and data practices aggravates privacy and security issues.

Privacy in IoT systems is not seen as a crucial factor in development [22]. Specific standards for privacy options have been imposed by data privacy regulations including the General Data Protection Regulation (GDPR) and California Consumer Privacy Act (CCPA), but even these regulations have been criticized [23–27].

1.1 Título subsecção

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1.2 Título subsecção

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1.3 Estrutura do Documento

2 State of the Art

This section provides an overview of the recent literature with the themes that were found to be more relevant for this work.

2.1 Privacy Paradox

The use of a variety of digital devices have numerous advantages, but they also bring with them the ubiquity of data capturing equipment, therefore, it is understandable why the majority of online users have serious concerns about the privacy of their personal data. However, the opinions expressed are starkly at odds with the reality, according to Thomson et al. [28] report on the state of privacy, that just one in four European users read the terms and conditions in their entirety prior to making an online purchase or subscribing to a service, 59% admitted to only quickly scanning the terms and conditions before completing a purchase, while 14% admitted to never reading them at all, 30% of the respondents would even swap their email address to win a reward, or entry into a raffle, while 17% would do so to get an app and 30% would do it for money.

This is what is called a privacy paradox, there have been multiple papers written on this subject [29–33], some papers attempt a theoretical explanation while others attempt an empirical one. There has been very different interpretations or explanations of this paradox, a few papers [34–36] apply the theoretical concept of the *homo economicus* [37], which is the representation of people as beings who constantly act in a way that is logical and self-interested, not worrying about morality or ethics, and who do so to the best of their ability, to the context of privacy. Different cognitive biases and heuristics can influence how consumers make decisions, according to several studies on consumer choice behavior [38–41]. According to several articles [42, 43], this paradox might be explained by the fact that some people have genuinely experienced online privacy assaults and that most privacy views are therefore based on heuristics or secondhand accounts. Taddicken’s study [44] argues that peer pressure is the reason people have this contradictory behavior, Norberg et al. [45] explains this paradox by suggesting that while perceived risk affects reported attitudes and behavioral intentions, trust has a direct impact on privacy behavior, while others [41, 46] rely on quantum theory. Brandimarte et al. [47] have explored the idea that when it comes to their data privacy, users have an *illusion of control*.

This paradox has been proven to be vitiated by a number of empirical studies [42, 48–50], online privacy practices are founded on separate privacy mindsets and so they are not inherently paradoxical.

2.2 Privacy in IoT: Approaches

There have been a number of systematic literature reviews (SLR) [51–54] and systematic mapping reviews [55, 56] done to study privacy and security issues in IoT.

In Gupta and Ghanavati’s [51] SLR, the authors review papers with methodologies and techniques that identify privacy risks or notify users about these risks. They divide the literature into the following categories: ‘Ontological Modeling and Semantic-based Approaches’, ‘Data-Driven Approaches’, ‘Source Code Analysis-based Approaches’, ‘User Studies and Survey-based Approaches’, ‘Blockchain-based Approaches’ and ‘Architectural and Framework-based Approaches’. They then examine current literature on these three prerequisites. The findings show that: most works concentrate on single IoT devices when addressing privacy threats; When analyzing privacy issues,

key privacy factors such as data reduction and data aggregation are overlooked; existing studies ignored the sensitivity of the obtained information; most useful studies did not include a diverse range of users when assessing privacy problems; no work has been done to discover compliance difficulties between an IoT application and different privacy rules; and current research does not place a premium on providing consumers with real-time privacy notices. However, this SLR has the following limitations: the authors only chose articles and not thesis or books and from the selected papers, only the ones written in english were considered.

Kühtreiber et al. [52] evaluate the frameworks and tools established for developers, specifically in the case of IoT, and find that current solutions are difficult to use, only successful in limited scenarios, and insufficient to handle the privacy problems inherent in IoT development. This study lacks a comprehensive gap review of the chosen literature, along with research questions establishing the significance of the articles chosen.

Sicari et al. [53] examine current research and ongoing activities that focus on IoT privacy and security solutions. The authors start by describing the requirements for IoT privacy and security, such as access control, confidentiality, and authentication. The authors then conduct a literature study in connection to these three needs. The authors came to the conclusion that IoT privacy issues have only been partially examined and that further attention is required. The study, however, has flaws: the prior research analysis focuses primarily on security needs while ignoring privacy considerations; the authors do not conduct a thorough gap analysis on the publications examined; and they do not provide a comprehensive summary of future research topics in the field of IoT privacy that require more attention.

Lin et al. [54] undertake a literature review to identify security and privacy vulnerabilities in the three IoT architecture layers: network, perception, and application. The authors describe the first six fundamental security properties for these tiers as confidentiality, integrity, availability, identification and authentication, privacy, and trust. Then, the authors look at a variety of security threats for each of the three stages. The authors wrap up by giving a succinct summary of many privacy-preserving data techniques, including the stages of data collection, data aggregation, and data analysis. The authors do, however, largely focus on the IoT's security components and, as was already said, consider privacy to be one of the most crucial security aspects, rather than viewing privacy as a distinct concern. Furthermore, the research does not conduct a thorough gap analysis to discover the weaknesses of prior efforts.

Based on Ziegeldorf's [57] analysis of the literature, the following are the most prominent privacy concerns in IoT:

1. The most prominent concern is *identification*, which binds an identifier, such as a name and location, with an individual's identity, this also enables and aggravates other threats;
2. *Localization and tracking* is the threat of detecting an individual's locations through numerous techniques, such as GPS, internet traffic, or smartphone location. This threat requires *identification* of some kind;
3. In e-commerce, *profiling* is often used for personalization. Organizations collect information about individuals in order to deduce their interests via association with other profiles and data sources.

4. *Interaction and presentation* allude to the sharing of private information with an unintended audience while doing so through a public medium. IoT applications often need extensive user interaction, it is expected that users of these systems will obtain information via smart devices in their immediate surroundings and that users will interface with systems in creative, natural ways. However, many of those modes of communication and presentation are already available to the broader public, making them apparent to anybody around. When personal information is transferred between a system and its user, privacy is breached.
5. *Lifecycle transitions* occur when an IoT device is sold, utilized by its owner and eventually disposed of. There may be an expectation that the object deletes all information, yet smart devices frequently keep massive volumes of data about their own past throughout their entire existence. This might contain personal images and videos, which are not always erased following ownership transfer.
6. *Inventory attacks* involve unauthorized entry and the acquisition of information about the presence and characteristics of personal things. Malicious users might use inventory data to profile the property and break in.
7. *Linkage* is the process of connecting disparate systems, when systems are connecting different data sources, there is a higher danger of unauthorized access and data leakage.

Another concept worth analyzing is differential privacy which relates more closely to the survey that will be conducted but also to the general collection and analysis of user data by applications and systems.

2.3 Differential Privacy

The notion of differential privacy, according to Michael Kearns [58], is based on three important principles. The first being that “differential privacy requires that adding or removing the data record of a single individual not change the probability of any outcome by much”. The second principle being that “no outside observer can learn very much about any individual because of that person’s specific data”. The third important principle being that “for every individual in the dataset, and for any observer no matter what their initial beliefs about the world were, after observing the output of a differentially private computation, their posterior belief about anything is close to what it would have been had they observed the output of the same computation run without the individual’s data”.

Differential privacy has the potential to significantly increase individual privacy protection, by purposefully adding noise into a dataset, it gives plausible deniability to any individual who may have had their data exploited while still being able to calculate statistics with relatively high precision. Although algorithms that deal with notions of fairness, ethics, and privacy are hard to implement because of the subjectivity of these concepts, and differential privacy algorithms are no different, they can still help in regards to addressing technology’s inherent moral quandaries.

There exist other algorithms that aim to preserve privacy in the same way as differential privacy such as Google’s box blurring algorithm [59] that is used in the Google Map’s street view, Microsoft’s Visor [60] which is a video-analytics-as-a-service tool and Shokri and Shmatikov’s [61] system for collaborative deep learning, however, in general, these algorithms struggle with high computational cost, internal attacks, or non-provable privacy.

Zhao et al. [62] conduct a SLR on differential privacy for unstructured data. The authors present differential privacy methods for sensitive content in image, audio, video, and text data. They compare the various methods and perform utility analyses for each method, highlighting the benefits and drawbacks of each, the utility loss is measured in experimental evaluations between the actual data and its obfuscated variant. They come to the conclusion that differential privacy as well as its variations give stringent privacy protections for unstructured data against attackers with unpredictable background knowledge. They also suggest potential future study subjects that have yet to be investigated.

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3 Proposed solutions

This section list seven solutions that emerged from the structured literature review to improve the gap between privacy and security concepts among systems and users.

3.1 Creating new ways for user awareness

There has been some work done to determine the users awareness of their actions online regarding their privacy. Skirpan et al. [63] built an interactive theatre experience, this was created to try to prove that a simulated experience with a credible privacy problem may encourage people to take action before actually encountering a catastrophe. The plot of the play consist in a fledgling tech company that unveiled its revolutionary AI technology while dealing with a company whistleblower and an untimely zero-day hack on their system. The public is able to interact with the actors and influence how the story plays out. Audiences and actors were given the chance to try on roles, behaviors, and opinions that they would not normally have access to in ordinary life. The authors had interviews and surveys done after the plays with audience members however they only did interviews halfway through production and only a small fraction of the audience actually participated in this data collection, they also noted that after contacting people months after the interviews that they did not really changed their behaviour regarding their privacy rights.

3.2 Legislation

Some papers seek to improve legislation [64, 65] because otherwise, in their view, privacy rights won't be respected if they are not enforceable legally, they defend that without the express agreement of the individual concerned, private information obtained by IoT devices must not be retained or processed in any form, and necessary procedures must be taken to guarantee that the data collected is not that of an unrelated individual. But better protection laws for the user would also create opposition from most companies that want to extract as much private data from their users without (m)any restrictions in order to increase their profit margins.

3.3 Privacy through security

Sun et al. [66] design a lightweight communication strategy for a remote-control system, employing two types of Virtual-Spaces to achieve the aim of identity announcement and data exchange. They constructed a prototype system of the scheme and tested it on the Freenet, demonstrating that the method can effectively resist the influence of flow analysis on communication anonymity while preserving communication data security.

3.4 Architecture / Framework Proposals

Antunes et al. [67] do a SLR on federated learning in the area of healthcare and make an architecture proposal. The technique known as federated learning allows for the distributed training of machine learning models using remotely hosted datasets without the requirement for data amplification. The fundamental goal of the proposed architecture is to allow healthcare institutions that have access to sensitive medical information to use it in distributed data analysis and machine learning research while ensuring patient confidentiality. Because information transmitted among institutions need confidentiality guarantees for learning model parameters and analysis results, the

architecture can adopt a number of ways based on a zero-trust security paradigm [68]. Furthermore, the institutions develop a learning algorithm verification system that can store and disseminate manifestos, as well as engage in distributed analytic procedures that need unanimous agreement from all participants. This study also demonstrates that previous literature implies that homomorphic encryption and differential privacy are effective approaches for preventing data breaches without incurring prohibitively high computing costs.

Opara et al. [69] present a system for spotting possible problems with privacy or security regulations in the early stages of development, this approach is intended at developers. The paper proposes a domain-specific ontology for modeling IoT security and privacy policies, a notation for representing and validating IoT security and privacy policies, a set of guidelines and rules for detecting IoT policy errors, and a tool for visually modeling and capturing IoT security policies and discovering policy problems. Although the framework that is presented is theoretically promising it has not been tested in a real environment so the effectiveness can't yet be measured. The authors also do not compare their proposal with others already available.

3.5 Blockchain

Blockchain is an option to guarantee privacy in IoT because of zero-knowledge proofs, ring signatures and mixing [70].

A zero-knowledge proof is a cryptographic technique that enables one party (the prover) to demonstrate to another (the verifier) that a certain claim is true without disclosing any information other than the validity of that claim. Completeness, soundness, and zero-knowledge are the three requirements that must be satisfied by a zero-knowledge proof method. Completeness means that the verifier must be able to confirm that the prover is stating the truth if the information supplied by the prover is true. Soundness indicates that the verifier must be given the opportunity to contradict the prover's claims of speaking the truth if the information provided by the prover is untrue. Zero-knowledge refers to the need that the method only reveal to the verifier whether the prover is speaking the truth or not.

Ring signatures create a single, recognizable signature that is used to sign a transaction by combining a number of partial digital signatures from diverse users. This group, known as the ring, can be chosen at random from the outputs that other users have made to the blockchain. A ring signature has the security property that it should be computationally expensive to determine which of the group's members' keys was used to produce the signature, this is because it obfuscates the input side of a transaction. A user's anonymity cannot be taken away from their signature, and any group of users can act as a signing group automatically.

Mixing is the process of blending possibly traceable digital assets with others to obscure the original assets' sources. This is frequently done by pooling source assets from different inputs for a long period and at random intervals, then spitting them back out to destination addresses. Since they are all packed together and then delivered at random intervals, it is very difficult to pinpoint particular assets. Due to the fact that cryptocurrencies provide a public record of every transaction, mixers have been developed to improve cryptocurrency privacy. Because of their emphasis on secrecy, mixers have been used to launder money using cryptocurrency.

Yu et al. [71] shows various implementations of blockchain that provide privacy through security, based on different categories like data integrity, data sharing and authentication and access control.

The authors use privacy as a proxy for security, they also do not discuss the weak and strong points of each implementation or make any comparison, they also do not provide further research questions.

Ali et al. [72] suggest a software stack that combines peer-to-peer file sharing with blockchain smart contracts to offer IoT users control over their data and do away with the necessity for centralized IoT data management. Blockchain smart contracts are used in the proposed ‘modular consortium’ architecture to regulate access while establishing responsibility for both data owners and other parties that users grant access to.

3.6 Other proposals

Zhu et al. [73] present a hybrid sensor system that safeguards privacy while also monitoring parking availability. The authors merged IoT sensing with crowdsensing and enhanced it with privacy-preserving methods. The authors employed physical hazy filters to mask IoT sensors in IoT sensing, and a cryptographic technique based on cryptographic commitments, zero-knowledge proofs, and anonymous credentials in crowdsensing. In addition, they used crowdsourcing to create a machine learning model for parking recognition in the presence of foggy filters. Their paper included proof-of-concept prototypes such as a Raspberry Pi system and a mobile app, as well as an evaluation study of the machine learning model and the effects of crowdsourcing.

3.7 Privacy Assistants

There exists a number of privacy assistants in the market. Privacy assistants have the objective of giving the user flexibility in choosing the preferred privacy options in available applications, most are used in smartphones, very few are made for devices in the IoT.

The Carnegie Mellon University CyLab, which is the university’s security and privacy research institute, started developing in 2019 an IoT Infrastructure that intended to be free of privacy leaks and software covered by their Secure and Private IoT Initiative 2019, this project would fall under their main research theme of Trust. In this project they started the design of a Personalized Privacy Assistant (PPA) [74], this would involve the use of semi-structured interviews with 17 participants to examine user perceptions of three hypothetical PPA implementations, each of which is potentially more autonomous, while outlining the advantages and disadvantages of each implementation. The interviews were divided into three sections: exploratory, anchoring and the PPA; While the exploratory phase’s purpose was to learn about participants’ attitudes and understanding of IoT, the anchoring phase aimed to normalize participants’ basic understanding of how IoT functions. In order to get people to think about potential privacy concerns towards the end of the anchoring section, the authors asked participants about their opinions on data privacy. In the PPA section, it was proposed the idea of a PPA for IoT as a potential future project. The authors clarified that the PPA could distinguish between active data requests such as a gadget asking biometric information from the user’s health tracker and passive data collection such as a smart device with a microphone that could record people’s utterances while they were nearby. The Notification, Recommendation, and Auto implementations of an IoT PPA were the three that the authors and attendees discussed. Notification PPAs can determine which adjacent devices are requesting data and alert users to those devices’ presence and requests so that users can approve or reject each request. Building on notification PPAs, recommendation PPAs offer consumers advice on how to share their data based on their preferences. The user’s data sharing decisions would

be made by auto PPAs. This would lessen the cognitive load on consumers but also take away their ability to influence the process. They found that the participants' attitudes regarding the various implementations were generally favorable, although they also voiced worries, which varied depending on the degree of automation. Given the divergent motivations of participants some desired increased control, while others wished to avoid being overtaken by notifications and the lack of agreement regarding the optimal PPA implementation.

After the design phase, the institute implemented a privacy assistant (PA) [75], the authors called it IoT Assistant. Because the predominant approach of "notice and choice" for data privacy protection, they decided the PA would also fall into this approach, but because many systems implement notice as a form of consent, without sometimes offering choices to the end user, they also wanted this work to provide a conceptual framework that views user-centered privacy choice as well as a taxonomy for practitioners to use when designing meaningful privacy choices for their systems. The authors define meaningful privacy choices as "the capabilities provided by digital systems for users to control different data practices over their personal data". They extend the notion of privacy choices with five facets: effectiveness (the opportunity to establish privacy preferences that precisely and completely match the data collection and use methods that a user is okay with), efficiency (the capacity to specify these options with the least amount of effort and time), user awareness (where significant privacy options should be prominently and clearly communicated to users), comprehensiveness (users should understand their options, how they affect the gathering and potential use of their data, as well as what conclusions might be drawn from this data and the potential repercussions of these conclusions) and neutrality (meaningful privacy decisions should not be subject to manipulation or bias). The IoT Assistant offers four privacy settings, giving end users a variety of alternatives to better suit their varied privacy preferences and as a result, privacy options are more effective in the IoT environment. The IoT Assistant acts as a centralized privacy choice platform by implementing various privacy options, allowing consumers to more effectively govern their data privacy in IoT. The three IoT system discovery modes that the IoT Assistant supports are QR codes, push notifications, and location-based map interfaces. These discovery tools are probably going to make users more aware of the installed IoT devices and the privacy options they have. Additionally, the united viewpoint of the integrated notification and option in the IoT Assistant gives succinct yet thorough information regarding IoT data practices to help users better understand the implications of their privacy choices. Additionally, the authors work to implement the integrated notice and option in the IoT Assistant without bias or framing, attempting to offer consumers a neutral space to execute their privacy choices. Although the authors view the IoT Assistant as a significant step towards "meaningful privacy options" in IoT, this assistant still has many problems, such as the fact that it is still in its early stages of development and that there hasn't been much growth given that it was created in 2020 and we are in 2023. Maybe the main reason this application was not able to be developed further is that the application itself serves to show the user the data that is already in the IoT infrastructure that was created before, and as such it is not capable of identifying new IoT devices without the end users themselves create on the infrastructure's main webpage [76] a new entry for the device in question that the user wants to interact with. Another reason that cripples this application as well as others that seek to provide better privacy in IoT systems is that many systems do not offer any type of privacy choices to the end user or to other users that are not the intended end users but the devices are still collecting data about.

The IoT infrastructure that was developed [76] is built on an open, distributed design that allows for the deployment and management of IoT resources to be carried out by any number of actors. Part of this infrastructure is the Internet of Things Resource Registry, it is a web platform that enables resource owners to declare not only the place where a resource is deployed but also data practices like the reason(s) for a particular data collecting process, the level of detail in the data being gathered, retention, the recipients of the data, and more. Additionally, it discloses any user-configurable privacy settings that might be connected to a particular resource.

3.8 Sniffers

IoT sniffers are usually used to detect problems in the networks, they rarely are used to provide privacy for the users.

The LTEye project [77] is an open platform that provides granular temporal and spatial analytics on the performance of LTE radios without access to private user data or provider assistance. Despite the presence of multipath, LTEye uses a revolutionary extension of synthetic aperture radar to communication signals in order to precisely pinpoint mobile users.

3.9 Main Takeaways

There are two main ways to provide privacy in IoT systems, through security or using privacy notices, other ways like through legislation or with the creation/usage of a framework that provides privacy fall into these two categories. Most of the literature assumes that security and privacy are synonyms, for example [65, 66, 69], and so most of the proposed solutions fall under privacy through security. The proposed solutions that use privacy notices, like [75], are implemented in a way that use other devices like smartphones that provide the notices themselves, it is hard to provide privacy notices on the IoT devices themselves because many of these devices do not have a screen or the screen is too small to provide the necessary information to the user. Because there are still no standards for implementing privacy notices, and best practices are scattered throughout the literature, they are mostly implemented haphazardly, little guidance is given to designers and developers on how to make a privacy notice design that is sufficient and acceptable for their particular system and its features. Designers may be unaware of the numerous possibilities for creating acceptable privacy notifications and, as a result, do not systematically explore them.

Aleisa and Renaud [78] also identify security and privacy awareness as potential solutions to privacy issues in IoT, but also identify data minimization, hitchhiking and introspection. Data minimization entails limiting the collecting of personal information to what is absolutely central and retaining the data just for as long as is required to satisfy the goal of the technology's services [79]. Hitchhiking [80] is a method of protecting the privacy of users who divulge their location, applications regard locations as the object of their attention. The fidelity tradeoff is removed as it is not important to know who is in a certain location. The introspection [81] method examines VM actions to adequately safeguard users' private information. Every VM's CPU status, memory contents, network information provided by the hypervisor, and any malicious software that may be present on the VM are all collected and analyzed. The privacy of consumers is jeopardized if an IoT device loses integrity due to a hostile assault.

3.10 Título subsecção

3.10.1 Título subsubsecção

4 Privacy Challenges

IoT is composed of a complex web of architectures, applications and technologies. In terms of architectures, it can be decomposed in three layers: the perception layer, the network layer and the application layer.

The perception layer, also known as the sensor layer, interacts with physical objects and components via smart devices (RFID, sensors, actuators, and so on). Its key objectives are to connect objects to the IoT network and to monitor, collect, and analyze status information about these things using deployed smart devices. This layer can often be unreliable, for instance with autonomous vehicles where they find it hard to read road signs or to predict if certain objects are inanimate or not, but this unreliability also brings privacy even though some of the data might be unusable. Noise can also be added in this layer to provide extra privacy.

In the network layer there are many competing networks like ZigBee, Z-Wave, Bluetooth Low Energy, LoRa, Wi-fi, etc., this layer is fragmented specially in regards to wireless networks and that makes it very difficult to create an IoT architecture that can use various networks and have the various devices communicate with each other, even though interoperability is seen as a very important factor in IoT. Some of these networks are open standard protocols while others are proprietary and use different protocols of communication, use different frequencies, different ranges and different data rates. When creating an IoT architecture the designers often think of how to solve specific problems and use what is best for the current needs, and the way that IoT is fragmented doesn't help in providing progress.

The application layer receives data from the network layer and uses it to execute essential services or operations. This layer, for example, can provide the storage service to backup incoming data into a database or the analysis service to analyze received data in order to predict the future state of physical devices. This layer encompasses a wide range of applications, each with its own set of requirements. A few examples are smart grids, smart transportation, and smart cities.

According to Qu et al. [82], several significant barriers remain, including the lack of a theoretical foundation, the trade-off optimization between privacy and data value, and system isomerism over-complexity. Because there are no mathematical foundations for IoT structure design, IoT system designs are planned and executed using empirical approaches, which have limitations in IoT development. Scientific theory and quantitative analysis must enable trade-off optimization, yet, there are multiple parties with diverse characteristics and requirements, making this optimization highly challenging. A plethora of standards and protocols add to the unneeded complexity of system isomerism. Ensuring effective IoT applications with as few resources as possible implies fewer resources available for privacy protection; however, lightweight privacy protection cannot meet all of the criteria, and attackers can exploit structural information to launch multiple concurrent attacks.

5 Methodology

The overall work will be comprised of two phases which will be described in the following paragraphs. Phase one mainly described throughout this paper, focuses on collecting the state of the art in terms of the most relevant topics, from which main privacy concepts were selected to be explored in the stage 1 of Phase 2 with the preparation of a questionnaire to collect user perceptions regarding privacy and topics collected in the systematic literature review. The second stage of Phase 2 consists in developing an application, partially based on the information generated by the survey, that can identify what sort of devices are around, what kind of data is gathered by these devices, present privacy options to the user when available, and what can be done to prevent undesirable data from being collected.

The Phase 1 Systematic Literature Review gathered the most relevant papers discussing methodologies and techniques for the protection of users' privacy data with special focus on IoT systems. For this SLR, this paper considered focusing only on papers from the last 12 years, from 2010 until 2022, since papers before then become out of date with the evolution of technology. In this SLR, it was reviewed 54 papers published in top computer science, security, privacy and software engineering outlets.

This paper followed Keshav's three-pass approach [83] when choosing which papers to read fully and which ones to ignore, first the title would be read, then the abstract, the introduction and conclusion and briefly skim the rest of the paper and then decide if it was worth reading any further, the focal point in this phase was answering the following question: does the paper present a new methodology or interesting angle to tackle users' privacy concerns? Only then the document would be read in its entirety while ignoring any tables, figures, images or graphs. If the paper failed to present any interesting idea, approach, or technique it would be discarded, but if not, it would be read carefully from the beginning again in order to fully understand what it presents. Having collected the major findings, this work then aims to conduct a throughout study split in several stages and around the specific research questions which will be explored in each phase. For that matter, the research questions listed are:

Phase 1:

RQ1: What approaches are being considered for privacy issues in IoT in the currently available literature?

RQ2: What are user perceptions on online privacy?

Phase 2:

RQ3: How to empower users to protect their privacy rights?

RQ4: What issues are prevalent in IoT that make it difficult to address privacy and security problems?

The second phase will be evaluated on two stages, the first one consists on doing a study on people's general privacy concerns, while using and interacting with IoT devices. This study will abide on preparing a questionnaire to assess general user's knowledge on privacy concepts, their habits and concerns, their understanding of privacy rights, and what they do to safeguard those rights. The goal of this study is to both understand the privacy paradox and collect data on their proposal to address privacy issues with regard to IoT devices.

5.1 Stage 1: User perceptions

This study aims to understand people's perception of IoT and their privacy practices online. It also serves to demystify the privacy paradox and also to help provide a solution to the privacy issue in IoT. The questionnaire consists of 92 questions divided into 7 sections to access users' knowledge, it follows a kind of narrative, the first section being general privacy questions then about the predisposition to data sharing, to concerns with privacy then about daily digital routines, then about profile identification, and then about IoT general knowledge before a section about non-identifiable demographic data. The scale that is used in the questionnaire is based on the work of Philip K. Masur [84]. Great care is taken when it comes to this survey's data collection, in order to not identify any individual or group of individuals, for instance, when it comes to differential privacy, any data that might identify someone will not be disclosed, even though the data might suffer from some inaccuracy because of this.

This survey was partially based in a study done in the Philippines by the government in the context of their privacy act of 2012 [85], this was the second survey done on the country's population. It was also inspired by Alves's master's thesis [86], which was about citizen's perception about privacy in the wake of GDPR.

This survey was done through the internet, it was created in Google Forms, this way it is guaranteed to reach the most people possible, besides Google Forms itself, it will be used other online venues for distribution and even printing.

The questionnaire was available for completion until August 30, 2023, and during the time that it was open 45 participants responded. Several online survey dissemination services were used to acquire participants, all the services used were based on the goodwill of the participants, there was no financial incentive for completing the survey. Most of the services used were software as a service (SaaS) and these platforms are based on credits for filling in other questionnaires available, this makes the process of acquiring participants very tedious as many questionnaires need to be filled in to get a reasonable number of participants (at least 150 to 200 participants). Disseminating the questionnaire in this way does not entail any additional cost, but it may mean that the results obtained in this way may not be as honest as possible, as some participants may be filling in this questionnaire quickly just to get the number of participants for their own questionnaires, but there is also no way to guarantee that if this questionnaire was carried out with some financial incentive that participants would fill it in as honestly as possible. In addition to dissemination by the various services, social networks were also as well as it was personally disseminated to family and friends. One possible method of dissemination would be in person, house to house, but this would be a very slow way to get responses, not to mention that people might feel obligated to respond, which could be considered to be unethical, and the answers might have been answered in a less than honest manner.

From the respondents, 47% are male and 51% are female, while 2% don't identify as neither, see Figure 1. 40% of the participants are younger than 25 years old, 31.11% are aged between 26 and 35, 9% are between 36 and 45 years old, 18% are older than 46 but younger than 65 and 2% are have more than 65 years, as shown on Figure 2.

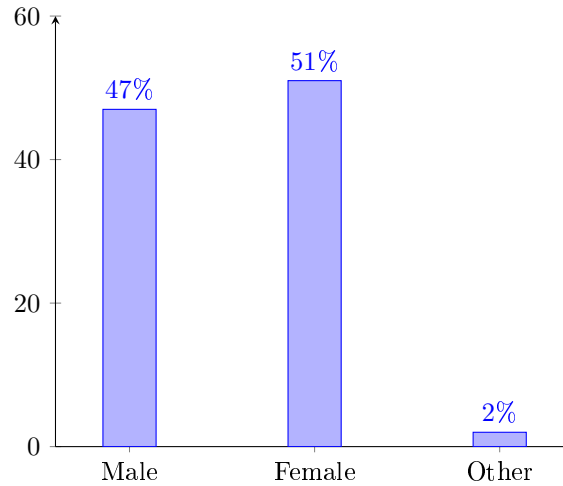


Fig. 1: Genre distribution of participants.

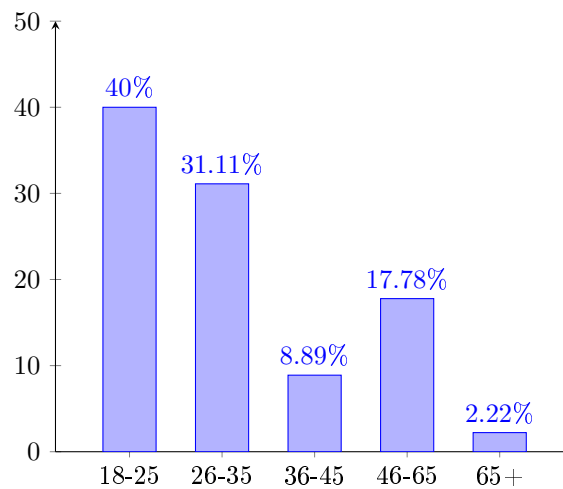


Fig. 2: Age ranges of participants.

Most of the respondents have a bachelor's degree, 66.67% to be exact, 17.78% have only finished high school, 2.22% only have a basic education, 11.11% have a master's degree and 2.22% have a doctorate, as pictured on Figure 3.

As would be expected, the majority of participants agreed that data privacy is important to them and as such should be protected, as can be inferred on Figure 4. Most participants also have some knowledge of behaviours or techniques to do in an online environment, as shown on Figure 5, be it connected to the internet or on some local network, like not sharing too much private information (or none at all) with strangers, revealing only the bare minimum necessary information

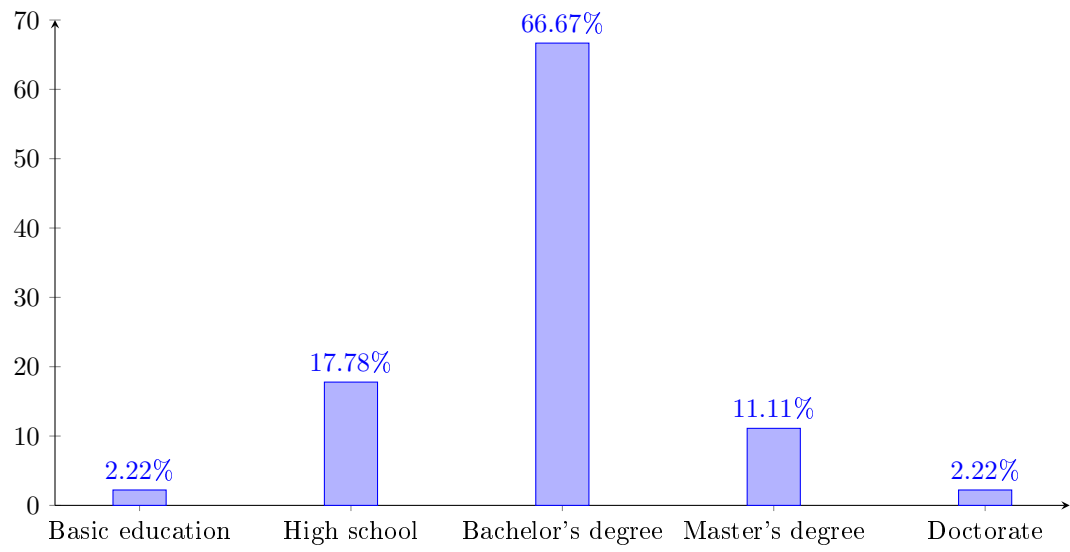


Fig. 3: Genre distribution of participants.

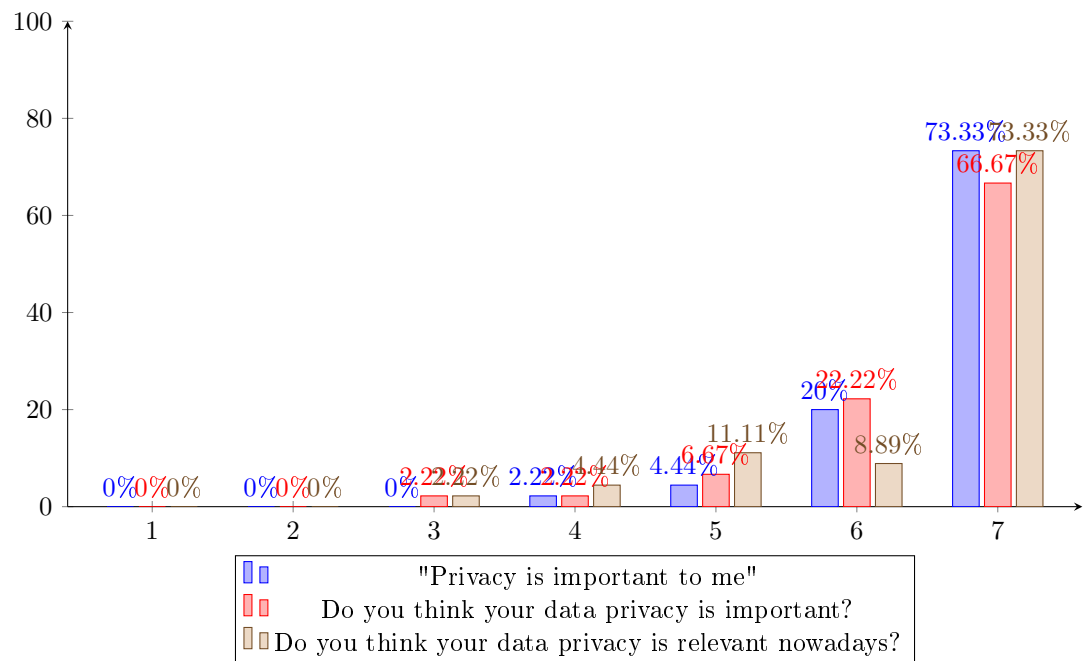


Fig. 4: Responses related to the importance of personal data privacy.

to use a particular system, use of VPNs, different and strong passwords, 2 step authentication methods between others. Because a good portion of participants are from engineering areas this question might be skewed.

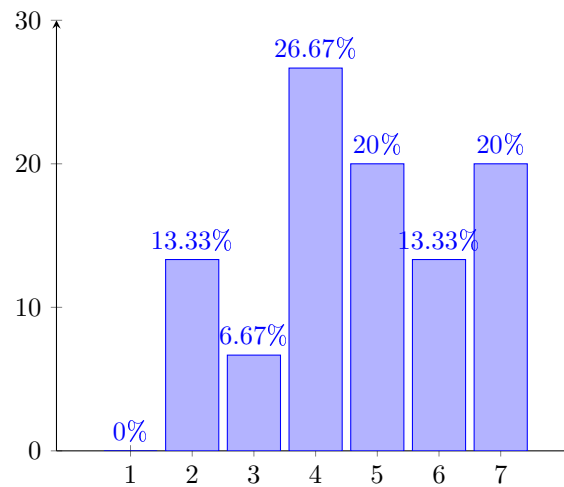


Fig. 5: Participant responses indicating whether they know techniques to guarantee privacy and the protection of their data when using the internet.

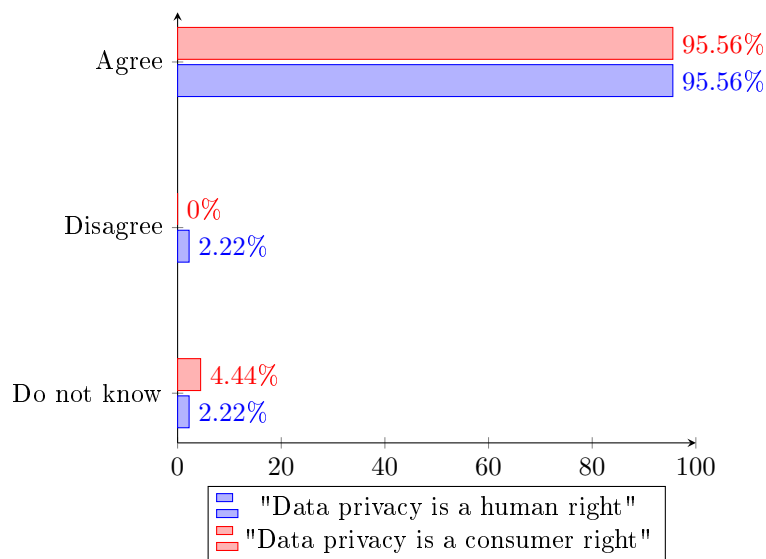


Fig. 6: Participant responses indicating whether they agree or disagree with these statements.

Participants consider data privacy as a human and consumer right, Figure 6, even if they have no knowledge of article 12 of the Universal Declaration of Human Rights.

When asked to define digital privacy, most participants did not know how to properly define it, giving generic answers while some even gave a one word answer, some participants gave incomplete or adjacent related answers. Only approximately 16% of participants supplied a concrete answer that was close to the definition presented in section 1. Curiously, no one mentioned security, which

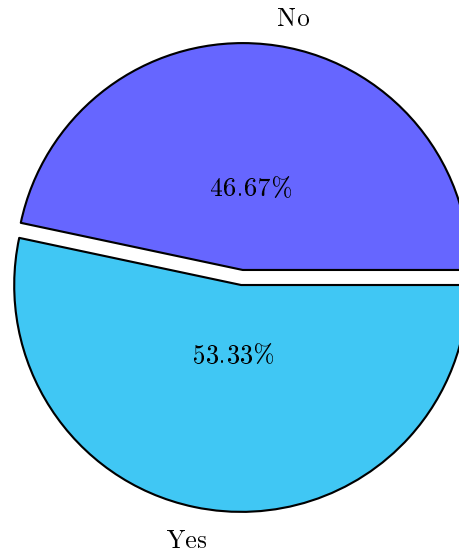


Fig. 7: Responses to security and privacy being synonymous.

contradicts with the responses in Figure 7, where 53.33% of participants believe that privacy and security are synonymous.

Participants have some digital literacy of IT terms, as shown on Figure 8, most know the more popular terms like *wi-fi*, *cookie* and *data protection*, but as the terms become more esoteric the general knowledge starts to drop. Some terms, even after getting some popularity, are still mostly unknown to the majority of people like *blockchain* or *Internet of Things*.

Regarding users' online habits, all participants have, or have access to, a smartphone and they use it in their daily lives, most participants concede that they spend a lot of their daily time using it, like shown on Figure 9, and are somewhat worried but do not actively try to protect their data privacy, which comes as a bit of a contrast with their early answers. When asked if they accept cookies, respondents occasionally do but are unsure what they do or their importance on the user experience.

When asked about the concept of profiling, only half of the participants are aware of the term but more than half consider that their online activity contributes to its development, see Figure 10.

Regulations

Regarding users' online habits, all participants have, or have access to, a smartphone and they use it in their daily lives, most participants concede that they spend a lot of their daily time using it, like shown on Figure 11, and are somewhat worried but do not actively try to protect their data privacy, which comes as a bit of a contrast with their early answers. When asked if they accept cookies, respondents occasionally do but are unsure what they do or their importance on the user experience.

In terms of IoT literacy more than half of the participants have interacted in some way with a device, and from those who have used an IoT device, only close to a third actually have a device in their homes. Even though most participants have interacted with an IoT device they had trouble actually explaining what Internet of Things actually is.

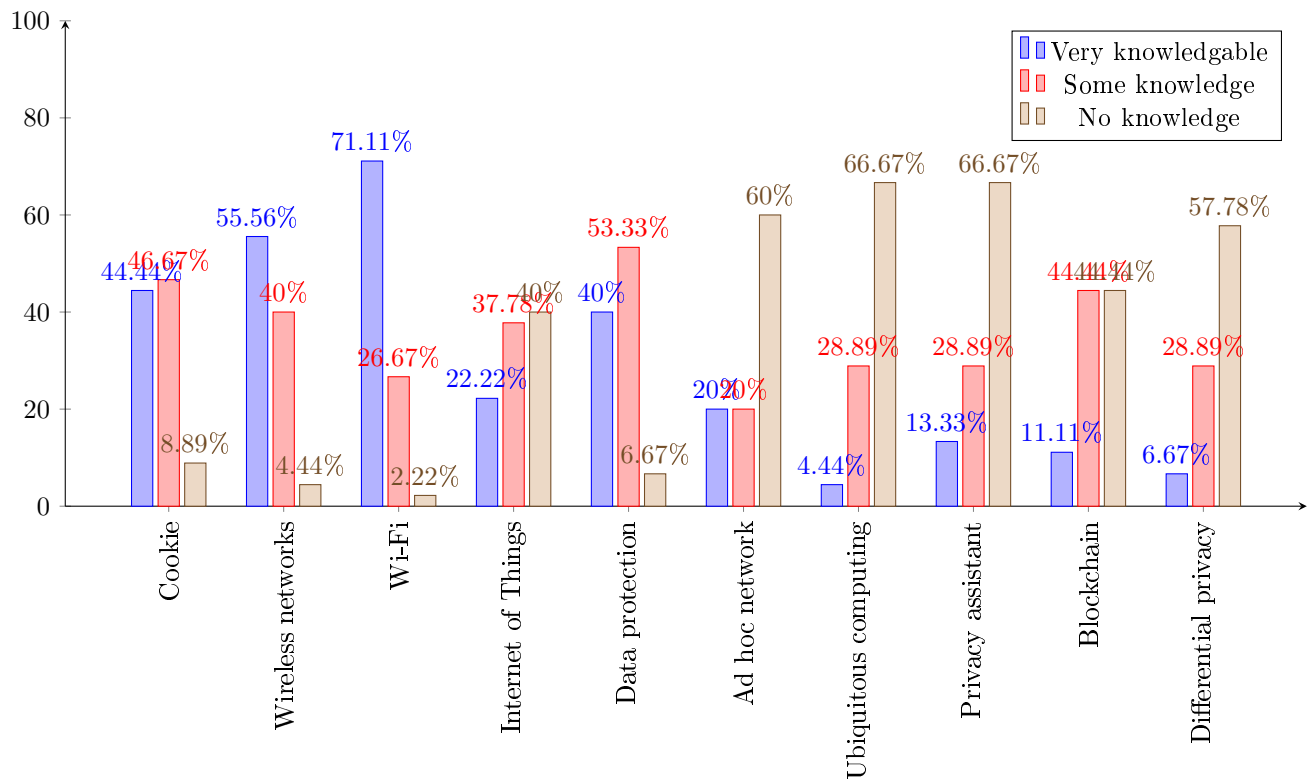


Fig. 8: Familiarity with general IT terms.

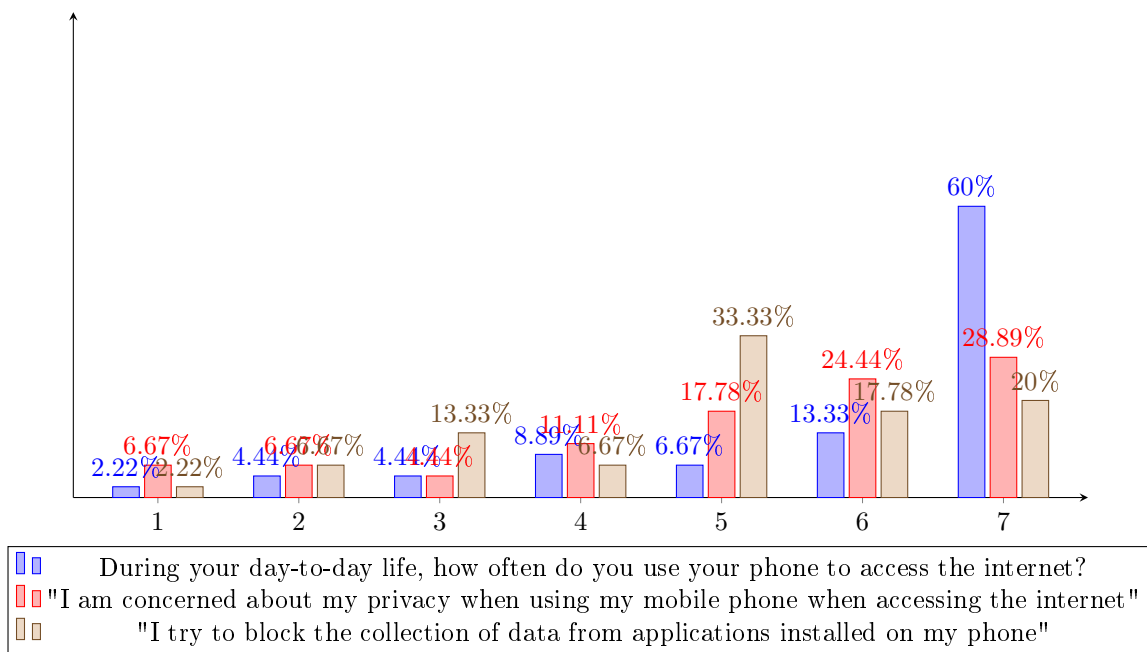


Fig. 9: Responses related to phone usage.

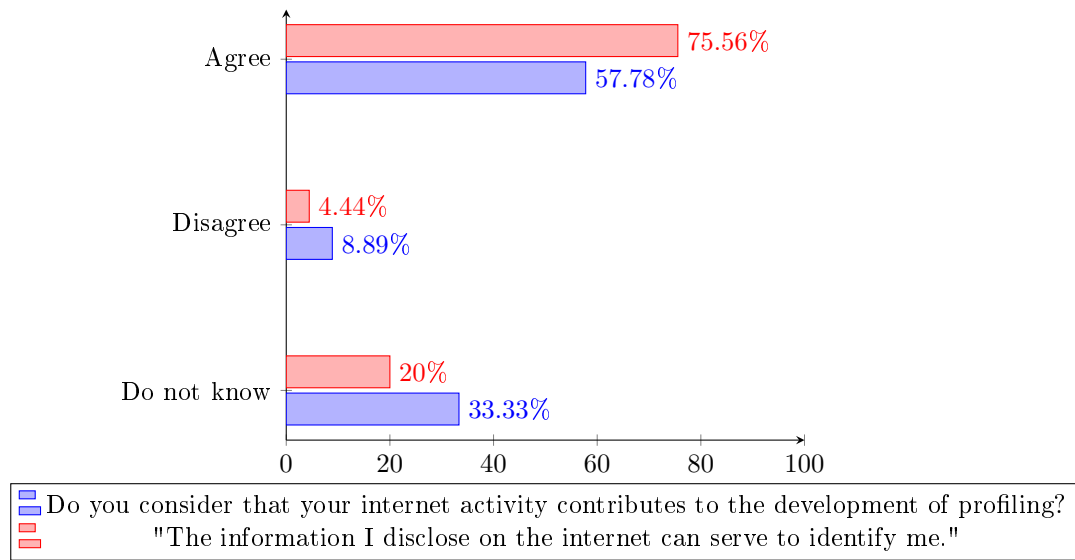


Fig. 10: Participant responses indicating whether they agree or disagree with these statements.

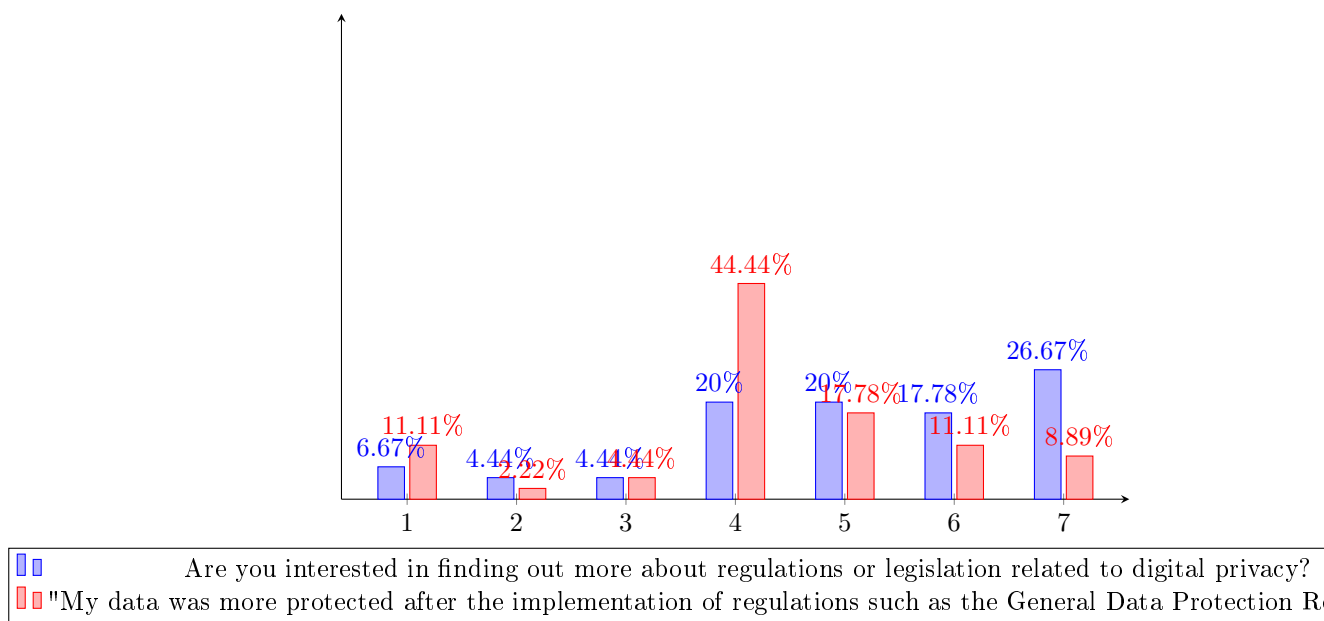


Fig. 11: Responses related to privacy regulations.

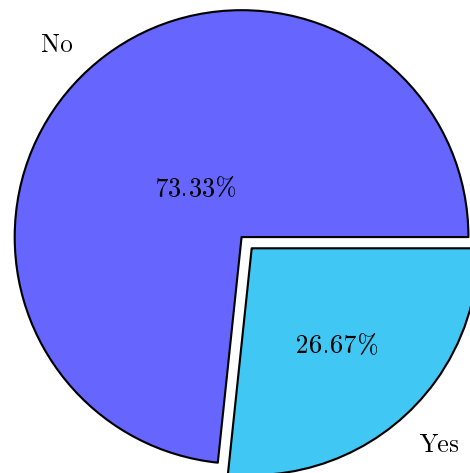


Fig. 12: Responses to the question: Are you aware of the duties of a Data Protection Officer (DPO)?

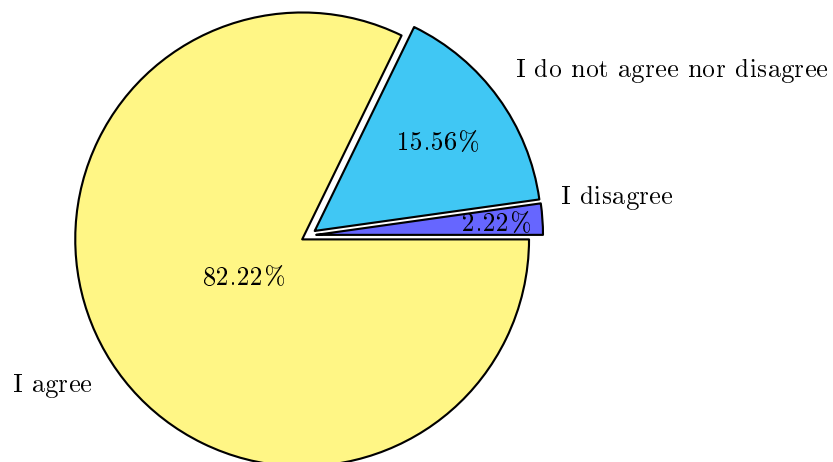


Fig. 13: Responses to the question: "I am interested in knowing where and how my personal information is used." Do you agree with this statement?

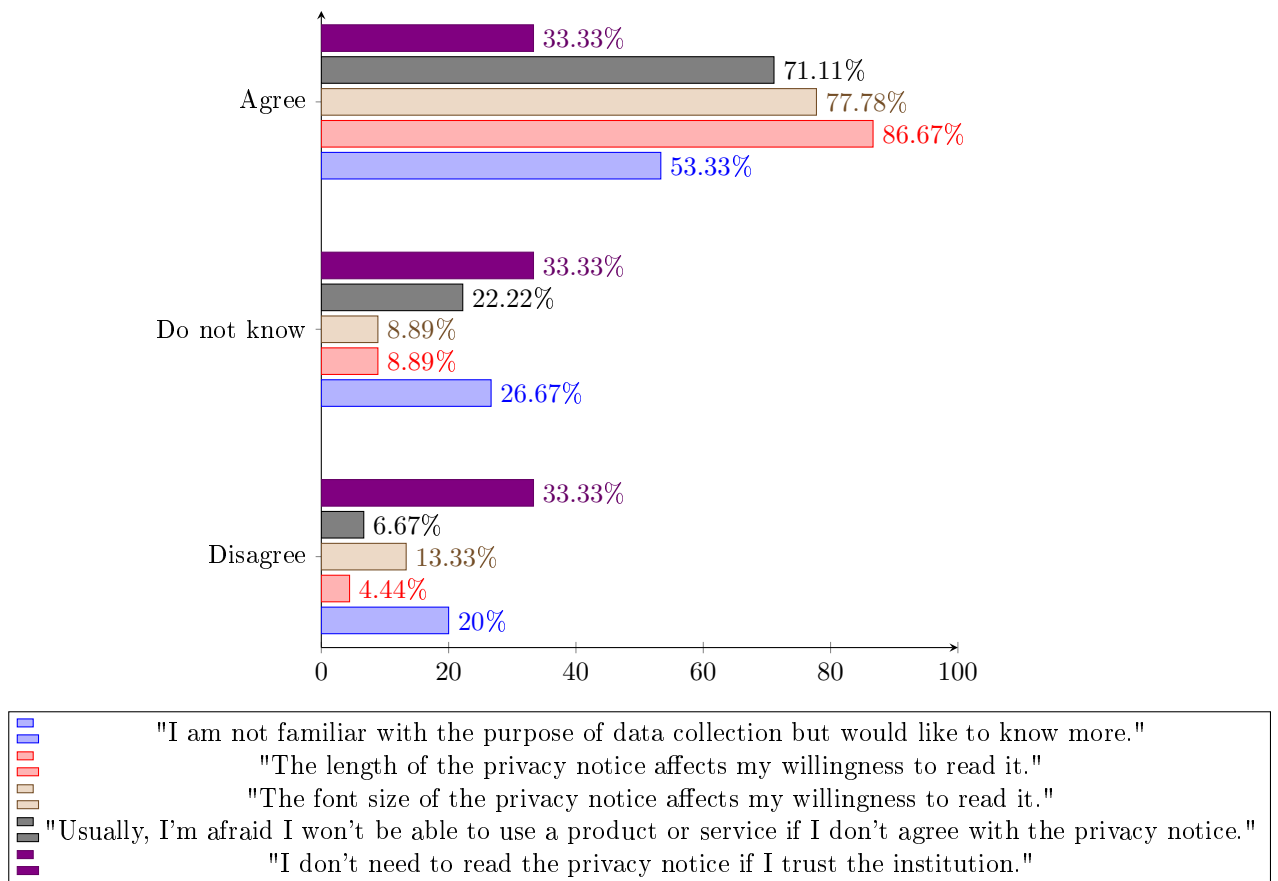


Fig. 14: Participant responses indicating whether they agree or disagree with these statements.

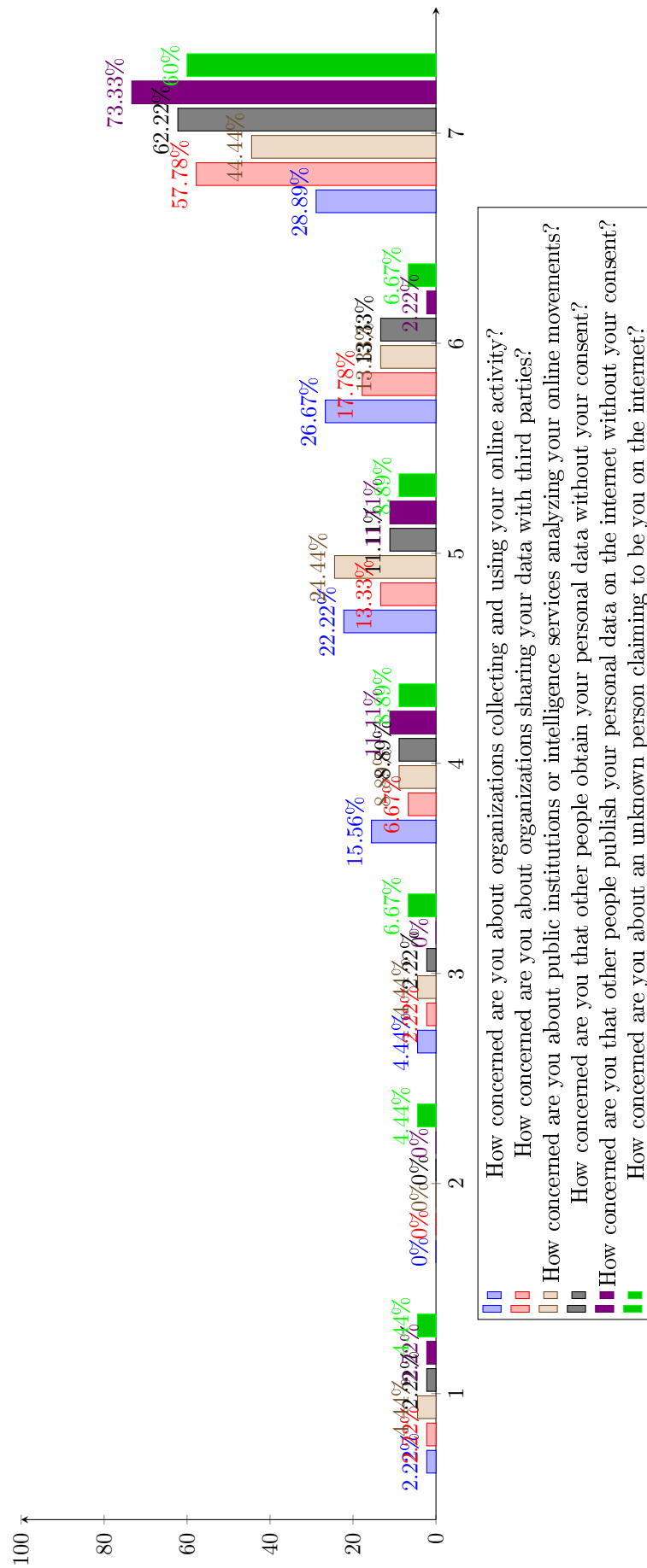


Fig. 15: Responses related to concerns of organizations and individuals handling of private data.

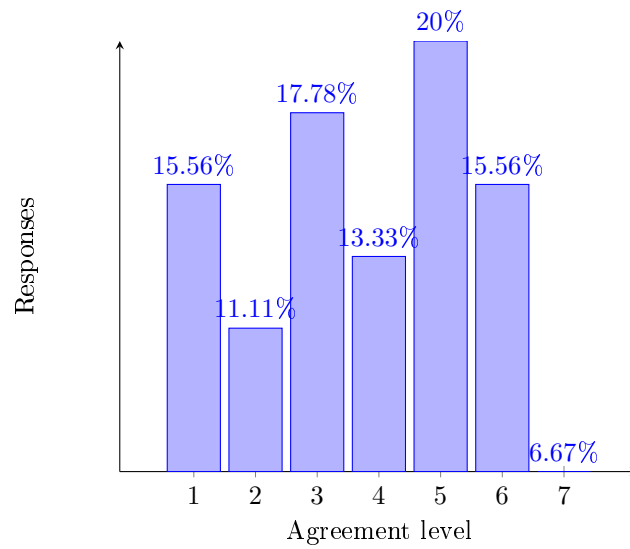


Fig. 16: Responses to the question: When creating an account on an online platform, have you ever entered false personal data?

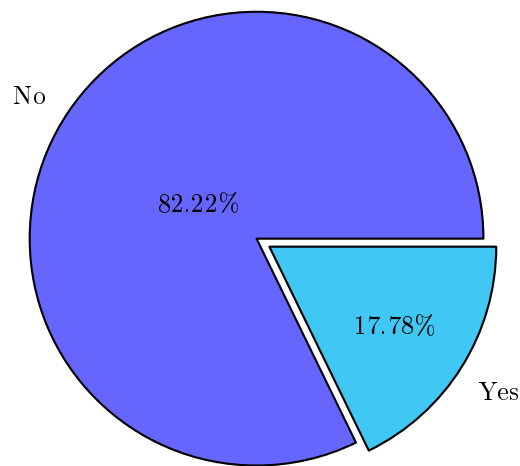


Fig. 17: Responses to the question: Are you aware of data brokers?

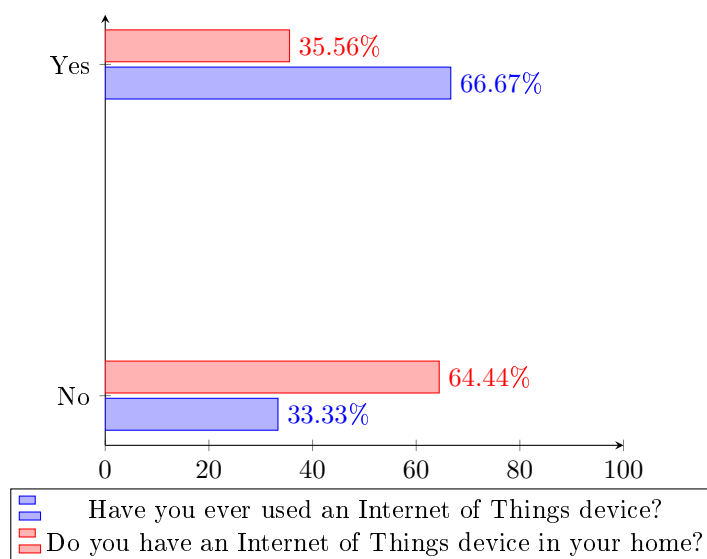


Fig. 18: Participant responses indicating whether they agree or disagree with these statements.

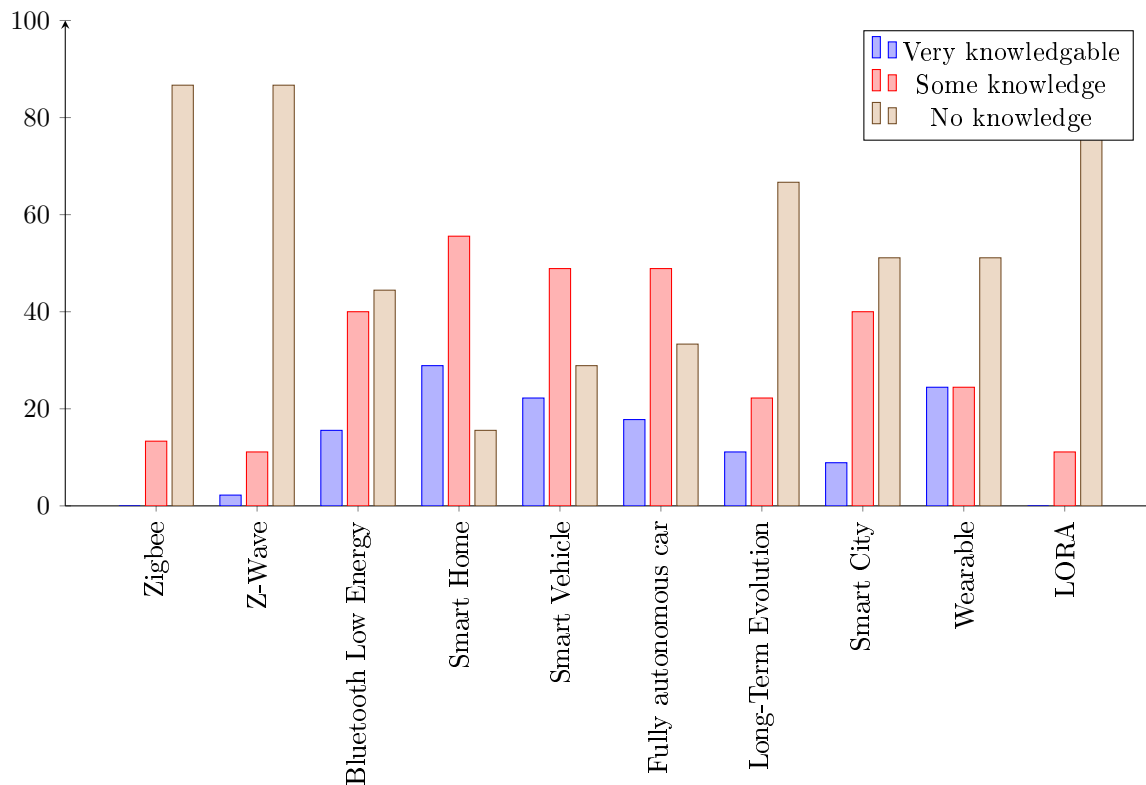


Fig. 19: Familiarity with IoT terms.

This survey makes it clear that there is a lack of digital literacy

5.2 Stage 2: From theory to practice, an Application

This work proposes an application that gives users information about IoT devices that inhabit their surroundings, like the type of information these devices collect and what privacy options are available. This application is developed for mobile phones due to the fact that these are the most used devices and people take them everywhere they go, this is important because the application uses georeferencing to show the location of the IoT devices. This application has two main objectives, the first is to inform and educate users in order improve their digital literacy on this particular field (privacy on IoT systems, and IoT in general) and the other being to give users a way to make informed decisions to protect their private data, in a concise and convenient place. Generally the application will show the geolocation of the IoT devices, what type of device it is, what type of data is being collect by the device. The application will not detect the devices by itself, this will be done by the users themselves, in the first iterations of the application it was discussed that the application itself would automatically detect the devices by using some kind of sniffer and would categorize what type of device it was and what type of data it was collecting but it was discovered that this approach was too complex and so it was not feasible to do with the constraints of this thesis. The application is developed with Flutter, other options considered were React Native or a progressive web application, but Flutter uses ahead of time and just in time compilation, with Dart as it is programming language, while React Native uses the Javascript programming language that was never created for mobile programming, so it uses a bridge to convert Javascript to native

components for Android or iOS. Flutter has better performance and as such it was the chosen framework for this application.

The first step before creating any prototypes or starting the development was creating a software requirements specification, as can be read on appendix 8, delineating the scope and vision for the application; the involved stakeholders; containing contextual, data-flow and swimlane diagrams; software requirements, including business, technology, functional and non-functional requirements; use cases and requirements prioritisation.

Users can, when they start the application for the first time, freely use it to see which devices are in their vicinity, information about the devices, information about the application itself, and information about privacy in general and more specifically privacy in IoT systems which they can use to improve their digital literacy. What they cannot do is add a new device to the application or edit a device's information. The user has to create an account first to do these operations. The decision to add an account creation before the user can add or edit a device is to prevent bad actors to add bogus data to the application making unusable for the majority of people, this solution doesn't completely solve this issue (because bad actors can still create an account and add bogus data anyway) but it helps to slow down the insertion of bad data.

Upon account creation the only data entry that can be considered sensitive that the user has to input is an email address. After the user has created an account and logs in, the user can add devices to the application with the following information:

- The **name of the device**: This serves to differentiate between the various devices on the application and as such should be unique to each device, it is used on various routes and is one of the first fields that users see about a device. A single device does not have an *official* name, what is more probable is that the device has a model name or is part of a system with its own name. The user creates the name, this could be abused by bad actors but it is extremely discouraged. It is used for aesthetic reasons.
- The **category of the device**: This is used to categorize each device's main type of information that the device is collecting. These can be of the following:
 - **Visual**: The device mainly collects visual information with maybe a video camera.
 - **Audio**: The device mainly collects audio information with a sound recorder.
 - **Presence**: The device can detect the presence of nearby objects or persons. This is not the same as the location category because the device does not know the location of an individual, it merely knows that the individual is nearby. These type of devices can be used, for example, to collect information about how many people frequent a specific store.
 - **Location**: The device can detect the exact or approximate location of an individual, it can use GPS to get this kind of information.
 - **Biometrics**: The device collects biometric data, this can be the number of steps an individual (or animal) takes, or health related data like the heart beat.
 - **Environment**: These type of devices collect environmental data, they can be used for agriculture or weather forecasting by collecting, for example, temperature, humidity or wind speed/direction data.

- **Unique identification:** This category is for a device that can uniquely identify an individual, the device itself most likely is not capable of doing it but with other information that the device has access to, it can be used to cross reference of information and as such uniquely identify a person. An example of this would be a device a device that can collect visual data and with facial recognition used against other data in a database it can uniquely identify an individual.
- **The purpose for the data collection:** Defines what is the purpose for the collection of the data, if a device collects temperature and humidity data and is used by a weather based company or government agency then the purpose for the data collection is for weather forecasting.
- **Who has access to the collected data:** Disclose an individual or group of individuals that have access to the data of the device, if the device is part of a closed system it can be that only an individual as access to the data but most likely various groups of people have access to the data, some with more data than others depending on the permissions they have. If the device publicizes its data then everyone has access to it.
- **For how long is the data stored:** Pinpoint the duration of the stored data in the device or system, due to legislation passed in various countries this duration has a limit, in some cases the data cannot be stored for more than one year.
- **Can the data identify anyone:** Used to quickly identify if a particular device can identify an individual or not. If the device belongs to the "Unique identification" category then this should be active.
- **What is being done with the data:** This could be assumed to be similar to the **purpose** field mentioned above but it should be used to diagnose what is being done now with the data collected, in certain situations it might coincide with the purpose for the data collection.
- **Privacy options:** The user can insert an url for the device's privacy options, in some cases the device, or company, has a website with information on privacy options, or privacy policy. If the device uses a mobile application then a link to the this application can be inserted here.
- **Coordinates of the device:** Used to express the latitude and longitude of the device so that it can be shown on the map, on the homepage of the application.
- **Who owns the device:** Who is the device owner, if it belongs to an organization then the name of the organization should appear here otherwise if the device belongs to a person then the person's name should **not** appear, it should say private or something similar.

The user is not required to provide information to satisfy all items on this list, the only information that is required in order to add a device to the application is the name, category and coordinates of the device, all other information is optional but should be provided for the sake of guaranteeing a good experience to other users of the application. The information provided should be verified by the user beforehand so that bogus data does not clutter the application, in this case there are no absolute ways of guaranteeing this but the maintainer of the application edit wrong information or in some cases remove it, other users can also edit any device data. This is an open platform so it is expected that users act in good faith.

One problem this application faces, and other applications where there is some kind of user interaction also face, is the fact that some bad actors will abuse the system by creating many fake

accounts, by data scrapping, by adding bogus data or by replacing existing information with bogus data.

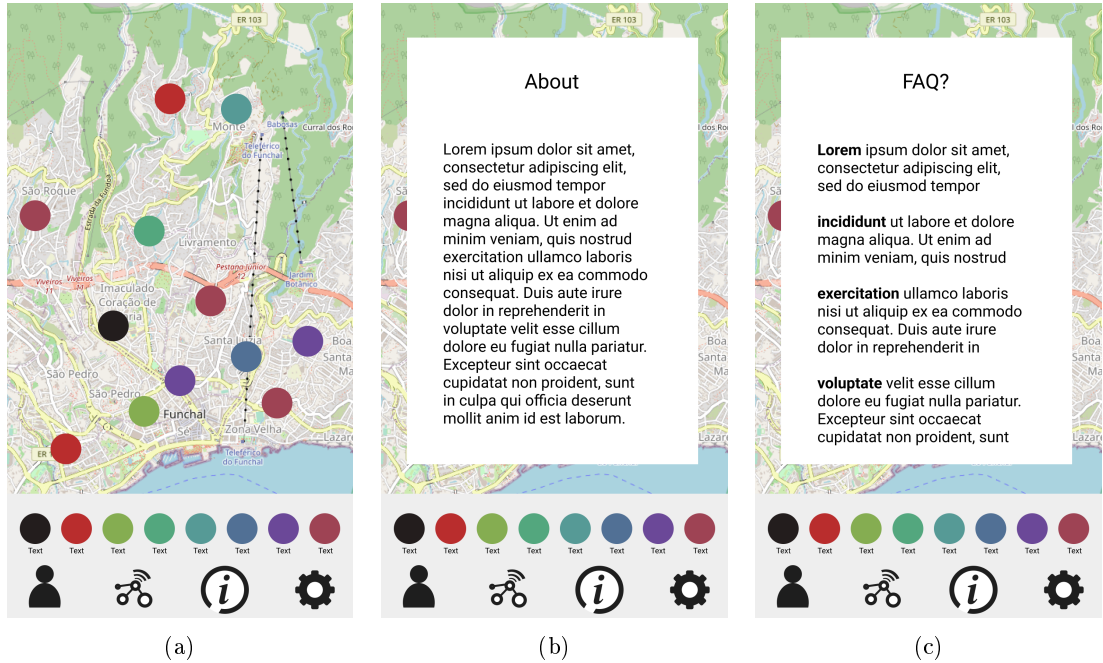


Fig. 20: Low level prototype of (a) homepage, (b) about and (c) FAQ pages.

After creating the software requirements specification, the prototypes were created. For the creation of the prototypes the following tools were used: Figma and GIMP.

At first a low level prototype was made in order to understand the general design and user interaction of the application. Figure 20 shows three pages of the low level prototype, these are the homepage, about and faq pages, this prototype has a navigation menu on the bottom where the other pages of the application can be selected along with some information above the page icons, this information is supposed to be the categories of the devices, the logic would be that the user could tap one of these categories and only devices of that category should be displayed on the map. It can be seen that between the three pages the map stays in the background and the various pages work like an overlay on the homepage, this would be changed in subsequent prototype versions.

Usability tests were conducted in person with X participants of different ages, professional fields and qualifications. Before doing the tests, some questions were made to gather the general level of digital literacy related to IoT and privacy, then the participants were asked to fill in the survey, if they had not yet done, as this gives some insight into what the application is about. The usability tests consists of single ease questions and system usability scale, as can be seen on appendix 8. The single ease question was used after the participant performed each task, the participant would answer how difficult they thought the task was in a scale of 1 to 7. The system usability scale was used after the participants performed all tasks, using this system a score of X was achieved.

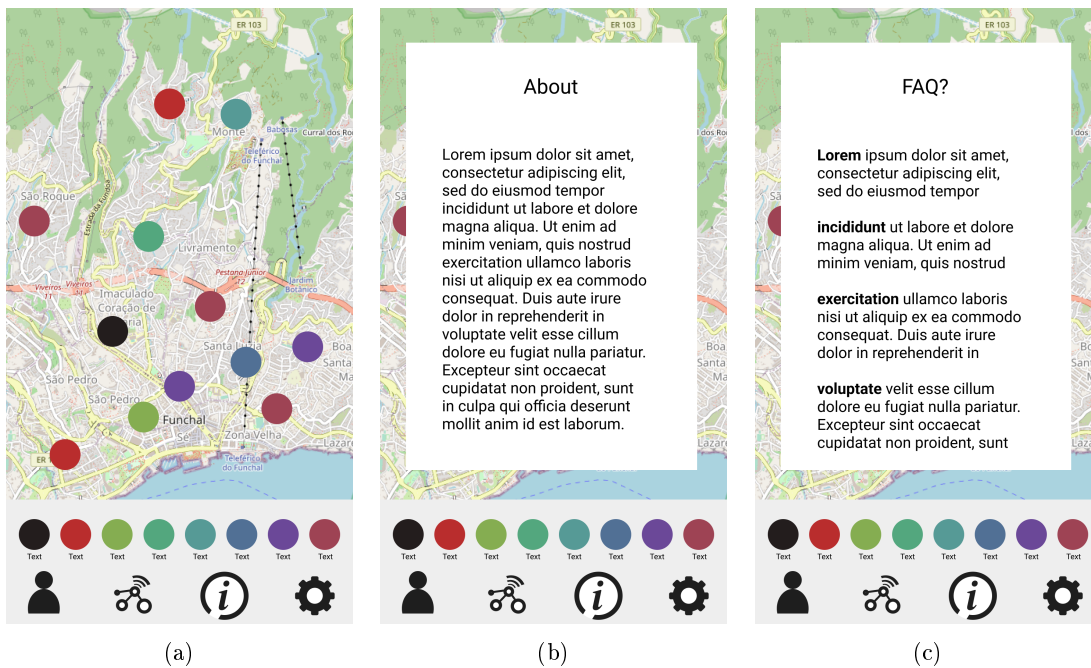


Fig. 21: Medium level prototype of (a) homepage, (b) about and (c) FAQ pages.

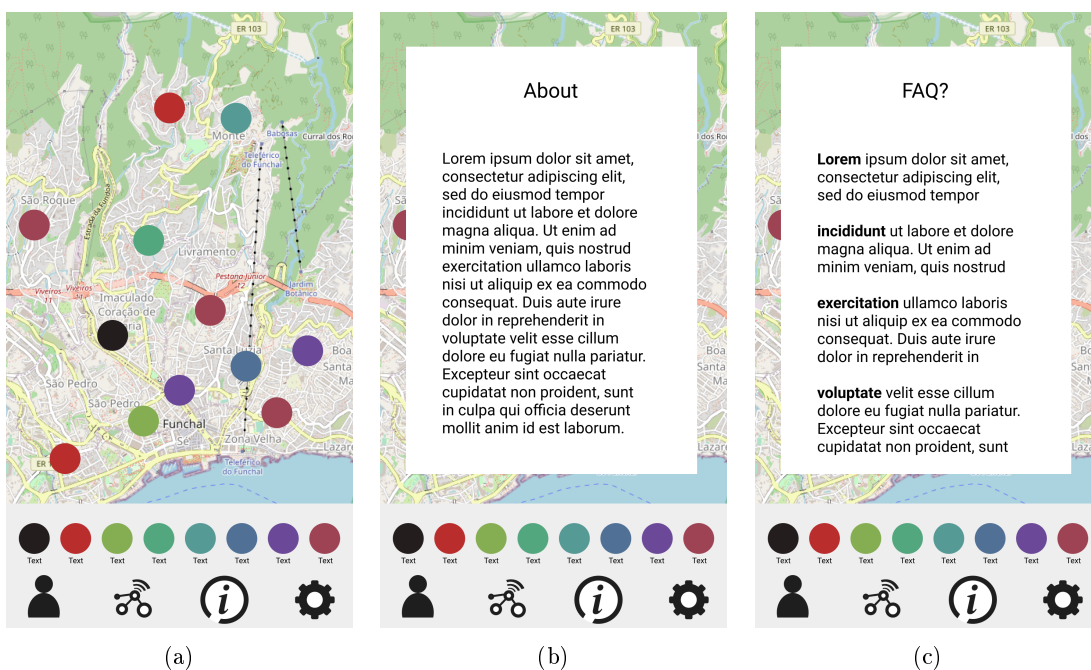


Fig. 22: High level prototype of (a) homepage, (b) about and (c) FAQ pages.

6 Challenges

One of the most difficult points to accomplish in this thesis was the questionnaire, not the fact of constructing the questionnaire but of getting participants. Besides being difficult in itself to get a relatively high number of participants (a few hundred at least) to be able to draw conclusions with any high degree of confidence, it was difficult to get the potential participants interested in the topic at hand, because although it seems that many people value their privacy very highly and think they should protect it in practice they are not very interested. This may even be because many people do not have much knowledge about the Internet of Things, and thus feel that they cannot answer the questionnaire because it is out of their field of knowledge, another reason may be that the questionnaire seems a little long, because it takes on average 15 to 20 minutes to answer, and despite being a topic of interest the time investment in the questionnaire may be considered too high. Another point to take into consideration regarding the low number of participants is the way the questionnaire is written and how it was advertised, i.e., a very formal or technical language may have been used both in the construction of the questionnaire and in its dissemination, and the fact that this is a very niche topic may have "scared" possible participants. However, it should be noted that also in the literature that has been carried out there is not a great focus on conducting questionnaires and the ones that have been conducted have not only focused on the Internet of Things and also have some monetary incentive for the participants.

7 Future work

Although there are existing hardware solutions that can detect some devices on particular networks, like ZigBee or Bluetooth LE, namely IoT sniffers and there exist some georeferencing applications that try to pinpoint certain IoT devices, there is still a need for some kind of device or framework that is network agnostic and can detect where the devices are located and what kind of data the IoT devices that are around it are collecting. This gadget should also be capable of informing users about the privacy notices of the devices and what can the users do to safeguard their personal data. The IoT sniffers that are available are primarily used in the detection of problems in the communication of devices in the network or to solve problems of interoperability between different IoT networks. There are many obstacles that impede the creation of such a device and the fact that it still does not exist anything like it may be related to either there is not enough interest from users or researchers to focus on such an endeavour or the complexity of such a task is greater than the rewards.

8 Conclusion

This project aims to do an exploratory analysis of privacy in IoT systems. It proposes a survey to better understand user's knowledge on this subject and an application that aims to create more users awareness and better inform about their environment, as well as the IoT devices that inhabit it and how they can respond accordingly.

Hopefully the work conducted on this project will be useful to further support researchers and the application that will be developed will be able to provide greater visibility, thus allowing users to acquire knowledge about the data being collected and how they can adjust their behavior or respond more effectively to protect their privacy rights.

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Appendix

Survey

Section 1: General knowledge and attitudes towards privacy

The purpose of this section is to ask general questions about your knowledge in the area of information privacy.

1. "Privacy is important to me". Do you agree with this statement?

	1	2	3	4	5	6	7	
Disagree	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Agree

2. "I know techniques to guarantee privacy and the protection of my data when I use the Internet". Do you agree with this statement?

	1	2	3	4	5	6	7	
Disagree	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Agree

3. Could you give examples of techniques or strategies you use to guarantee the privacy and protection of your data?

Short answer...

4. Do you think your data privacy is important?

	1	2	3	4	5	6	7	
Not at all	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Very important

5. How do you define data (or digital) privacy?

Long answer...

6. Do you think your data privacy is relevant nowadays?

	1	2	3	4	5	6	7	
Not at all	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Very relevant

7. "Data privacy is a human right". Do you agree with this statement?

- ☐ I agree
☐ I disagree
☐ I do not know

8. "Data privacy is a consumer right". Do you agree with this statement?

- ☐ I agree
☐ I disagree
☐ I do not know

9. In your opinion, are security and privacy synonymous?

- ☐ Yes
☐ No

10. How familiar are you with the following terms?

	I Know well	I have some knowledge	I do not know
Cookie	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Wireless networks	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Wi-Fi	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Internet of Things	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Data protection	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Ad hoc network (wireless)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Ubiquitous computing	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Privacy assistant	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Blockchain	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Differential privacy	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

11. Do you think your data is stored/collected when you use the internet?

- ☐ Yes
- ☐ No
- ☐ I do not know

12. What means do you think are used to collect your data?

- ☐ Sound (microphones, recorders, etc.)
- ☐ Image (webcams, scanners, etc.)
- ☐ Online Surveys / Questionnaires
- ☐ Hackers (illegal means)
- ☐ Cookies
- ☐ None
- ☐

Another option...

13. What kind of information can be extracted using the collected data?

- ☐ Visited websites information
- ☐ Work productivity
- ☐ Georeferencing data
- ☐ Online habits
- ☐ Online shopping
- ☐

Another option...

14. For what purposes can organizations use your data?

- ☐ Improve internet usage
- ☐ Costumer profile
- ☐ Targeted advertising
- ☐ Advertising adapted to the nearest locations
- ☐ Selling data to third parties
- ☐

Another option...

15. Which organizations do you consider to have the best privacy behaviour?

- ☐ Amazon
- ☐ Apple
- ☐ Google
- ☐ Meta (Facebook, Whatsapp, Instagram)
- ☐ Microsoft
- ☐ Samsung
- ☐ IBM
- ☐ ScienceSoft
- ☐ PTC
- ☐ Cisco
- ☐ Huawei
- ☐ GE Digital
- ☐ Bosch
- ☐ Siemens
- ☐

Other...

16. Which organizations do you consider to have the worst privacy behaviour?

- ☐ Amazon
- ☐ Apple
- ☐ Google
- ☐ Meta (Facebook, Whatsapp, Instagram)
- ☐ Microsoft
- ☐ Samsung
- ☐ IBM
- ☐ ScienceSoft
- ☐ PTC
- ☐ Cisco
- ☐ Huawei
- ☐ GE Digital
- ☐ Bosch
- ☐ Siemens
- ☐

Other...

17. During your day-to-day life, how often do you use your phone to access the internet?

	1	2	3	4	5	6	7	
Never	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Very often

18. "I am concerned about my privacy when using my mobile phone when accessing the internet". Do you agree with this statement?

	1	2	3	4	5	6	7	
Disagree	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Agree

19. "I consider that accessing the internet through my phone is safer than through a computer". Do you agree with this statement?

	1	2	3	4	5	6	7	
Disagree	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Agree

20. "I try to block the collection of data from applications installed on my phone". Do you agree with this statement?

	1	2	3	4	5	6	7	
Disagree	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Agree

21. When using a website, do you usually read the Privacy Policy?

<input type="radio"/>	Yes, always
<input type="radio"/>	Yes, almost always
<input type="radio"/>	No, because I am not interested
<input type="radio"/>	No, but I know what it means or what happens

22. How often do you allow the use of cookies?

	1	2	3	4	5	6	7	
Never	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Always

23. When you accept / become aware of the cookies policy, why do you take this decision?

- ☐ It is the only way to access the web-site
- ☐ I understand what it is about and I agree with the policy presented
- ☐ I don't understand what this is about but it doesn't seem relevant to me
- ☐

Other...

24. Are you aware of the concept of "profiling" or "automated processing of personal information"?

- ☐ Yes
- ☐ No

25. Do you consider that your internet activity contributes to the development of profiling?

- ☐ Yes
- ☐ No
- ☐ I do not know

26. Are you aware of regulations such as the General Data Protection Regulation or the California Consumer Privacy Act?

- ☐ Yes, I fully understand the regulations
- ☐ Yes, but it's not absolutely clear to me what it represents
- ☐ No

27. If you answered yes, how did you learn about the 'General Data Protection Regulation' or the 'California Consumer Privacy Act'?

- ☐ Internet
- ☐ Television
- ☐ Newspapers
- ☐ Friends
- ☐ Work
- ☐

Other...

28. Are you interested in finding out more about regulations or legislation related to digital privacy?

	1	2	3	4	5	6	7	
Not at all	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Very much

29. According to the General Data Protection Regulation, personal data is:

- ☐ Just name, email, date of birth and tax identification number
- ☐ All of the above and bank details
- ☐ All of the above and medical information
- ☐ Any information relating to an identified or identifiable natural person

30. "My data was more protected after the implementation of regulations such as the General Data Protection Regulation". Do you agree with this statement?

	1	2	3	4	5	6	7	
Disagree	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Agree

Section 2: Disposition for sharing personal information

This section is intended to ask questions to generally understand your willingness to share personal information.

1. What kind of personal information are you willing to share at any time?

- ☐ Age
- ☐ Complete name
- ☐ Date of birth
- ☐ Genre
- ☐ Religion
- ☐ Complete name of parents
- ☐ Marital status
- ☐ Home address
- ☐ Work address
- ☐ Nationality
- ☐ Qualifications
- ☐ Professional experience
- ☐ Height
- ☐ Weight
- ☐ Phone/mobile number
- ☐ Region of birth
- ☐ Ethnic group
- ☐ Signature
- ☐ Spouse's name
- ☐ Email
- ☐ Health condition
- ☐ Face photo
- ☐ Full body photography
- ☐ Username
- ☐ Copy of citizen card
- ☐ Fingerprint
- ☐ Licenses

2. In what situations are you willing to provide more personal information?

- ☐ Renew your citizen card
- ☐ Medical consultation (face to face)
- ☐ Take out health insurance
- ☐ Create new bank account
- ☐ For contact tracing
- ☐ Confined in the hospital
- ☐ Make a new Internet/Mobile plan
- ☐ Online shopping
- ☐ Apply for a bank loan or credit card
- ☐ Online medical consultation
- ☐ Request loyalty/discount cards
- ☐ None

3. Do you agree to share health data that can identify you with health professionals?

- ☐ Yes, I agree
- ☐ Maybe if asked first
- ☐ No, I disagree
- ☐ I do not know

4. Do you agree to share health data that cannot identify you with health professionals?

- ☐ Yes, I agree
- ☐ Maybe if asked first
- ☐ No, I disagree
- ☐ I do not know

5. What kind of applications do you have installed on your smartphone?

- ☐ Social media
- ☐ Instant messages
- ☐ Email
- ☐ Browser
- ☐ Navigation (ex. GPS)
- ☐ Anti-virus
- ☐ Online shopping
- ☐ Digital Wallet
- ☐ Photo/video editing
- ☐ Contact tracing
- ☐ Online banking
- ☐

Other...

6. Before sharing your data, do you consult any of the following information?

- ☐ Privacy policy
- ☐ Terms and conditions
- ☐ Purpose of data collection
- ☐ Consent Form
- ☐ Privacy notice
- ☐ Reliability of the organization/institution
- ☐ I do not consult any information

7. How often do you find privacy policies?

- ☐ Almost everyday
- ☐ Once a week
- ☐ Once a month
- ☐ Very rarely
- ☐ Never

8. Are you aware of the duties of a Data Protection Officer (DPO)?

- ☐ Yes
- ☐ No

9. "I am interested in knowing where and how my personal information is used." Do you agree with this statement?

- ☐ I agree
- ☐ I do not agree nor disagree
- ☐ I disagree

10. "I am not familiar with the purpose of data collection but would like to know more". Do you agree with this statement?

- ☐ I agree
- ☐ I do not agree nor disagree
- ☐ I disagree

11. "The length (or number of words) of the privacy notice affects my willingness to read it." Do you agree with this statement?

- ☐ I agree
- ☐ I do not agree nor disagree
- ☐ I disagree

12. "The font size of the privacy notice affects my willingness to read it." Do you agree with this statement?

- ☐ I agree
- ☐ I do not agree nor disagree
- ☐ I disagree

13. "Usually, I'm afraid I won't be able to use a product or service if I don't agree with the privacy notice." Do you agree with this statement?

- ☐ I agree
- ☐ I do not agree nor disagree
- ☐ I disagree

Section 3: Privacy concerns

1. How concerned are you about organizations collecting and using your online activity?

2. How concerned are you about organizations sharing your data with third parties?

3. How concerned are you about organizations tracking your online behavior and thus obtaining your personal data?

4. How concerned are you about public institutions or intelligence services analyzing your online movements?

5. How concerned are you that other people obtain your personal data without your consent?

[illegible]

6. How concerned are you that other people find information about you online?

	1	2	3	4	5	6	7	
Not at all	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Very concerned

7. How concerned are you that other people are disclosing information about you without your knowledge?

	1	2	3	4	5	6	7	
Not at all	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Very concerned

8. How concerned are you about other people sharing your personal data (photos, address, mobile phone number, etc.) with others without your consent?

	1	2	3	4	5	6	7	
Not at all	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Very concerned

9. How concerned are you that other people publish your personal data (photos, address, mobile phone number, etc.) on the internet without your consent?

	1	2	3	4	5	6	7	
Not at all	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Very concerned

10. How concerned are you about an unknown person claiming to be you on the internet?

	1	2	3	4	5	6	7	
Not at all	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Very concerned

11. How concerned are you about the possibility that someone may misuse your identity on the internet?

	1	2	3	4	5	6	7	
Not at all	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Very concerned

Section 4: Current online habits and practices

This section brings together general questions about using the Internet in your daily life.

1. Do you have internet access at home?

- ☐ By Wi-fi or Ethernet cable
- ☐ By mobile network (eg. 4G or 5G)
- ☐ No

2. How often do you use the internet?

- ☐ Everyday
- ☐ 2 or 3 days a week
- ☐ Once a week
- ☐ Once a month
- ☐ Never

3. How much time do you spend per day surfing the internet?

- ☐ <1 hour
- ☐ 1-2 hours
- ☐ 2-5 hours
- ☐ 5-10 hours
- ☐ 10+ hours

4. What device(s) do you use to access the internet?

- ☐ Computer
- ☐ Laptop
- ☐ Tablet
- ☐ Phone
- ☐

Other...

5. For what purposes do you use the internet?

- ☐ Search for information about politics, health, etc.
- ☐ Social media
- ☐ Online shopping
- ☐ Consult email
- ☐ Listen to music
- ☐ Play videogames
- ☐ Watch movies/series
- ☐ Study online
- ☐ Look for a job
- ☐ Work
- ☐ Publish to a blog or online journal
- ☐

Other...

6. What platforms/applications do you use in your day-to-day life?

- ☐ Social media
- ☐ Instant messages
- ☐ Email
- ☐ Browser
- ☐ Online shopping
- ☐ Photo/video editing
- ☐ Online banking
- ☐ Anti-virus
- ☐ Contact tracing
- ☐ Delivery services

7. On social media, do you usually:

- ☐ Use your own photo showing your face as your profile picture
- ☐ Use your real and full name
- ☐ Put the date of birth, age and other information
- ☐ Do not post information that could identify me

8. Your activity on social media goes through:

- ☐ Sharing photos of children/family members who are minors
- ☐ Follow any elected officials, candidates for office or other political figures
- ☐ Follow celebrities, or people with some notoriety
- ☐ Post links to/from business, sport or articles
- ☐ Post links to political stories or other articles
- ☐ Post political or social opinions
- ☐ I don't use social media

Section 5: Profile identification

This section brings together more specific questions about using profiles to make it easier to create personalized experiences.

1. Do you know the concept of profiling?

Long answer...

2. If a law enforcement officer asks for your personal data, are you willing to share it?

- ☐ Yes
- ☐ No
- ☐ I do not know

3. In what situations are you willing to provide personal data when data collection is disclosed?

- ☐ Renew your citizen card
- ☐ Medical consultation (face to face)
- ☐ Take out health insurance
- ☐ Create new bank account
- ☐ For contact tracing
- ☐ Confined in the hospital
- ☐ Make a new Internet/Mobile plan
- ☐ Online shopping
- ☐ Apply for a bank loan or credit card
- ☐ Online medical consultation
- ☐ Request loyalty/discount cards
- ☐ None

4. In what situations are you willing to provide personal data when data collection is not disclosed?

- ☐ Renew your citizen card
- ☐ Medical consultation (face to face)
- ☐ Take out health insurance
- ☐ Create new bank account
- ☐ For contact tracing
- ☐ Confined in the hospital
- ☐ Make a new Internet/Mobile plan
- ☐ Online shopping
- ☐ Apply for a bank loan or credit card
- ☐ Online medical consultation
- ☐ Request loyalty/discount cards
- ☐ None

5. Do you usually answer online questionnaires when they are requested?

	1	2	3	4	5	6	7	
Never	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Always

6. When answering questionnaires, do you enter any false/incorrect information?

	1	2	3	4	5	6	7	
Never	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Always

7. When creating an account on an online platform, have you ever entered false personal data?

	1	2	3	4	5	6	7	
Never	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Always

7.1. If yes, why did you enter this false data?

- ☐ I am creating a temporary account
- ☐ I do not want to disclose any kind of personal data, I want maximum privacy
- ☐ I do not find this type of data(s) relevant
- ☐ I want to access the content of the platform as soon as possible
- ☐ I entered the data by mistake
- ☐ I don't have a specific reason
- ☐ The number of data to be inserted is too large
- ☐

Other...

7.2. When you have to enter false data, what types of false data do you enter?

<input type="checkbox"/>	Age
<input type="checkbox"/>	Complete name
<input type="checkbox"/>	Date of birth
<input type="checkbox"/>	Genre
<input type="checkbox"/>	Religion
<input type="checkbox"/>	Complete name of parents
<input type="checkbox"/>	Marital status
<input type="checkbox"/>	Home address
<input type="checkbox"/>	Work address
<input type="checkbox"/>	Nationality
<input type="checkbox"/>	Qualifications
<input type="checkbox"/>	Professional experience
<input type="checkbox"/>	Height
<input type="checkbox"/>	Weight
<input type="checkbox"/>	Phone/mobile number
<input type="checkbox"/>	Region of birth
<input type="checkbox"/>	Ethnic group
<input type="checkbox"/>	Signature
<input type="checkbox"/>	Spouse's name
<input type="checkbox"/>	Email
<input type="checkbox"/>	Health condition
<input type="checkbox"/>	Face photo
<input type="checkbox"/>	Full body photography
<input type="checkbox"/>	Username
<input type="checkbox"/>	Copy of citizen card
<input type="checkbox"/>	Fingerprint
<input type="checkbox"/>	Licenses
<input type="checkbox"/>	<div>Other...</div>

8. In your opinion, does the data you disclose on one platform influence the use of another?
Justify.

Long answer...

9. Do you think companies sell your personal data? Justify.

Long answer...

10. Can the data I disclose serve to create a profile of my online habits?

	1	2	3	4	5	6	7	
Disagree	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Agree

11. "The information I disclose on the internet can serve to identify me". Do you agree with this statement?

<input type="radio"/>	Yes
<input type="radio"/>	No
<input type="radio"/>	I do not know

12. Are you aware of data brokers?

<input type="radio"/>	Yes
<input type="radio"/>	No

13. If so, explain what data brokers are and what they do.

Long answer...

Section 6: Knowledge and habits regarding the Internet of Things

1. What do you understand by Internet of Things?

Long answer...

2. Have you ever used an Internet of Things device (smartwatch, contactless cards, air or sea traffic applications)?

- ☐ Yes
- ☐ No

3. Do you have an Internet of Things device in your home (assistants like Alexa, smart lock, video surveillance, etc.)?

- ☐ Yes
- ☐ No

3.1. If so, how often do you interact with that device(s)?

- ☐ Everyday
- ☐ 2-5 days a week
- ☐ 1 or 2 days a week
- ☐ A few days a month
- ☐ A few days a year

3.2. And for what purposes do you use the device(s)?

Long answer...

3.3. Why did you feel the need to have an Internet of Things device?

Long answer...

4. How familiar are you with the following terms?

	I Know well	I have some knowledge	I do not know
Zigbee	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Z-Wave	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Bluetooth Low Energy	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Smart home	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Smart vehicle	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Fully autonomous car	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Long-Term Evolution (LTE)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Smart City	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Wearable	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
LORA	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

5. In your opinion, how many Internet of Things devices are there today?

Short answer...

Demographic data

We thank you in advance for your participation. And we ask that you fill in the following questions with demographic information. This section intends to collect general information that allows to characterize the users in statistical terms that will be part of this study when sharing their personal perceptions in terms of privacy.

• Age

- ☐ 18-25
☐ 26-35
☐ 36-45
☐ 45-65
☐ 65+

• Genre

- ☐ Male
- ☐ Female
- ☐

- Country

- District / State

- Level of Education

- ☐ Basic education
- ☐ High school
- ☐ Bachelor's degree
- ☐ Master's degree
- ☐ Doctorate

- Professional area

- ☐ Farmers and skilled workers in agriculture and animal production, market-oriented
- ☐ Farmers, livestock breeders, fishermen, hunters and gatherers, subsistence
- ☐ Meal preparation assistants
- ☐ Vehicle drivers and operators of mobile equipment
- ☐ Directors of hotels, restaurants, commerce and other services
- ☐ Directors of production and specialized services
- ☐ Directors of administrative and commercial services
- ☐ Office workers, general secretaries and data processing operators
- ☐ Specialists in the physical sciences, mathematics, engineering and related techniques
- ☐ Specialists in legal, social, artistic and cultural matters

- Specialists in finance, accounting, administrative organization, public and commercial relations
- Specialists in information and communication technologies (ICT)
- Armed Forces Officers
- Data, accounting, statistical, financial services and registration related operators
- Operators of fixed installations and machines
- Other Armed Forces Personnel
- Other administrative type support staff
- Direct customer support staff
- Protection and security services personnel
- Teachers
- Health professionals
- Representatives of the legislative power and executive bodies, senior leaders of the Public Administration, of specialized organizations, directors and managers of companies
- Sergeants of the Armed Forces
- Technicians of information and communication technologies
- Intermediate level technicians of legal, social, sports, cultural and similar services
- Intermediate level technicians, from the financial, administrative and business areas
- Technicians and professionals, of intermediate level of health
- Intermediate level science and engineering technicians and professions
- Assembly workers
- Workers in food processing, woodworking, clothing and other industries and crafts
- Cleaning workers
- Personal care workers and similar
- Waste workers and other elementary services
- Personal service workers
- Non-qualified workers in agriculture, animal production, fishing and forestry
- Non-qualified workers in extractive industry, construction, manufacturing and transport
- Qualified construction workers and the like, except electricians
- Qualified forestry, fishing and hunting workers, market-oriented

- ☐ Qualified printing workers, precision instrument makers, jewelers, artisans and the like
- ☐ Qualified metallurgy, metalworking and similar workers
- ☐ Qualified workers in electricity and electronics
- ☐ Sellers
- ☐ Street vendors (except food) and service providers on the street
- ☐ Another situation

• Annual income

- ☐ <10.000 €
- ☐ 10.000-20.000 €
- ☐ 20.000-50.000 €
- ☐ 50.000-100.000 €
- ☐ 100.000-200.000 €
- ☐ 200.000+ €
- ☐ Rather not answer

Software Requirements Specification

Introduction

This report aims to define the details and principles of the privacy assisting IoT application with the ultimate goal of assisting in the development of the application. Defining the scope of the project helps to understand how to better implement the application using this document as its base. Stakeholders tell us who communicates with the system directly or indirectly. Business requirements will have to be defined to know in detail the possibilities of value for both sides. The swimlane gives a general understanding of the main case and what cause has each action, the contextual diagram helps to give an understanding of all actions between the system and the active parties. The data flow diagram, lets you know in detail what results from the particular activities. Finally, the technology requirements allow to know how the system will look like and to define the budget of the work.

The scope and vision of the project

This project is carried out in the context of the Master's thesis in Informatics Engineering, which aims to create a mobile application that would provide information about IoT devices in the users' surroundings like the type of information these devices collect and what privacy options are available. The main objective of this application is to give users another option in order to protect their private data. The application will show the geolocation of the IoT devices, what type

of device it is, what type of data is being collect by the device. The application will not detect the devices by itself, this will be done by the users themselves. As for competition there are other similar online systems with the same scope as this project. The application offers an easier way to search for information about the IoT devices that are around users' location.

Stakeholders

A stakeholder can be a person, group, or organisation that is involved in the project, is affected by its process and outcome or can influence its process and outcome. Stakeholders can be internal or external to the project team and the organisation.

It is important to identify the stakeholders to make sure to get all the right requirements for the project and to develop a system that can match the proposed problem well.

The following stakeholders are identified in this project:

- **Programmer/designer of the application:** The programmers are the ones who will create the application and even if they do not use it they are directly related to it.
- **IoT Device Owners:** These device owners will be priority stakeholders being that the application in good part will be directed to them, device owners have an indirect influence.
- **Application Users:** The users will be the main focus of this project, they are the ones that provide the information that will be inserted in the application, since they can change the course of the project they have a direct influence.
- **Thesis advisor:** Because this application is implemented in the context of a master's thesis, the advisor has an indirect influence during the implementation and the final product.
- **University of Madeira:** Because this application is implemented in the context of a master's thesis, the university as an organization has an indirect influence on the final product.
- **Legislation:** The legislation in relation to the privacy of the collected data allows to impose rules on the use of the data. It has an indirect influence.

System Relations with Stakeholders

Contextual diagram

The contextual diagram aims to establish links between the system and the other actors that interact with the application.

Identifies the identities external to the application that interact with the system with data and control between the external entities and the application.

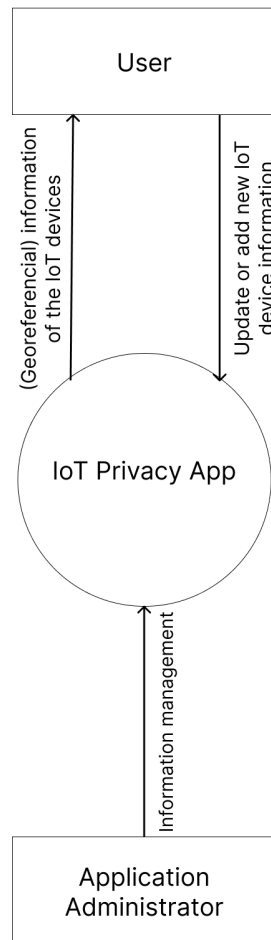


Fig. 23: IoT Privacy Contextual Diagram

The contextual diagram is based on showing the actions performed with the application.

User:

→ Receives:

- (Georeferencial) information of the IoT devices

→ Sends:

- Update or add new IoT device information

Application Administrator (Programmer):

→ Receives:

- All information related to the application

→ Sends:

- Information management

Data flow diagram

A data flow diagram shows how information flows between the various entities in the system and their relationships.

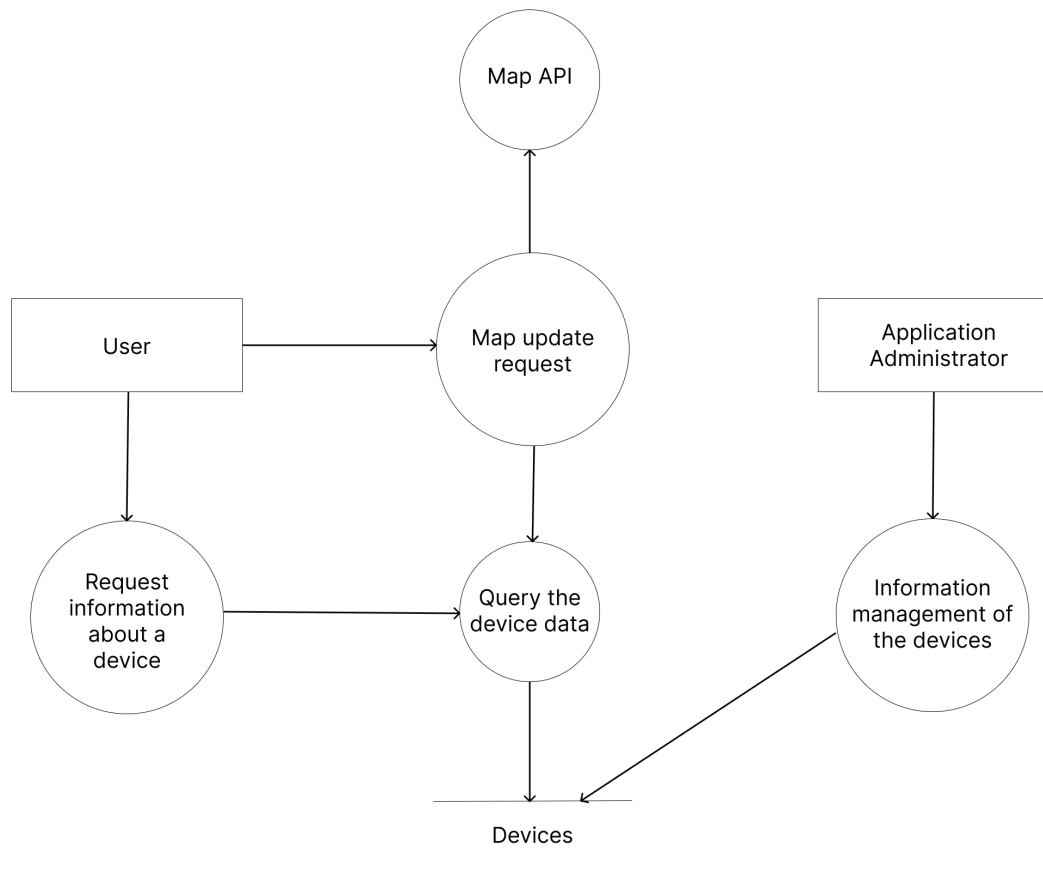


Fig. 24: Data flow diagram

The user can browse the application map, which will be created with an API, and see the locations of the IoT devices, the user can also look up information about the devices by clicking on a device on the map or by searching for the device in the application. The administrator of the application is responsible for its maintenance (correcting or deleting incorrect data), security and for the veracity of the information.

Swimlane diagram

A swimlane diagram is a type of flowchart in which processes and decisions are grouped into lanes. Parallel lines divide the diagram into lanes, each lane being assigned to people/groups and application.

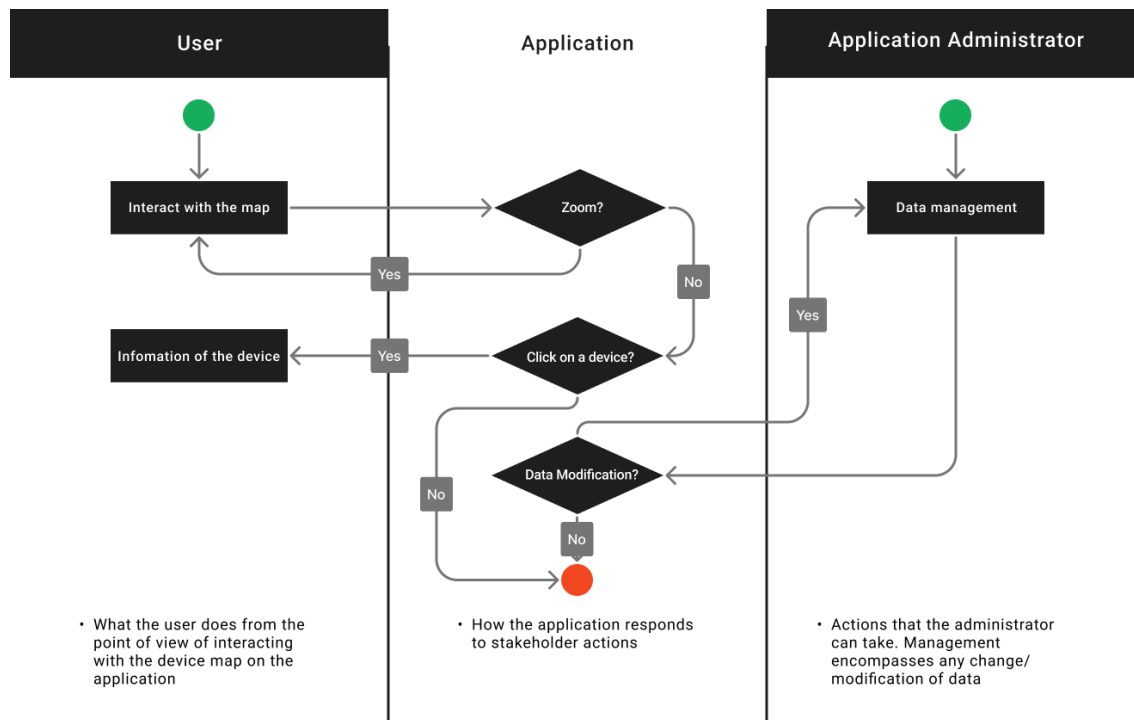


Fig. 25: Swimlane diagram

This swimlane diagram represents a high level view of a possible user interaction from the application's map, in this map the user can view the location of IoT devices and can see more information about a particular device by selecting it on the map. The application administrator, as mentioned above, can modify the devices' data.

System Features and Requirements

Business requirements

Business requirements describe in business terms what must be delivered or achieved to deliver value. It is what defines the way of doing business, reflecting the internal policy, the defined process and/or the basic rules of conduct. In other words, it is a set of instructions that users already follow and that the system to be developed must contemplate. Restrictions, validations, conditions and process exceptions are classic examples of business rules. A business rule will not necessarily be reflected in the system as a functionality, but it will certainly determine the behaviour of one or more functionalities of the system.

No business requirements have been identified for this project.

Technology requirements

Technology requirements describe what both hardware and software must be used in order for a system to be realizable. In terms of hardware, it describes what kind of physical components are needed for the software to work. The software to be chosen must take into account the hardware that has been chosen and what is intended by the stakeholders. This has implications for how the system is implemented.

The technology requirements that have been identified for this project are as follows:

- Firestore or similar database server

 - Flutter framework with Dart being the main programming language

 - Accessible on any smartphone (iOS or Android)
- Note:** No web based version it to be available.

These requirements have been chosen so that the system is available to as many users as possible regardless of the hardware they use. The database will allow to store the information that the users provide about the IoT devices. The application will be developed with Flutter since it uses ahead of time and just in time compilation with Dart as its programming language. Flutter has better performance than React Native or a PWA stack and as such it is the chosen framework for this application.

Requirements table

The requirements table identifies each feature and links each feature to an origin.

This is important to make it easier to manage requirements in the future. Knowing the origin of the creation of the requirements makes it easier to refer back to the source to clarify any questions. A table was created with all the features found. For each feature it was identified the users to which it is applied and the source.

For the features found were described the most appropriate requirements for them.

R#	Feature	Applicable stakeholders	Description	Source
1	Navigate the map	User	User: The system should allow the user to scroll through the map of devices	
2	Select device on the map	User	User: The system should allow the user to select a device on the map to view more information	
3	Query devices through parameters	User	User: The system should allow the user to consult devices of only a certain type, data collected, general location	
4	Query statistics of the devices	User	User: The system should allow consulting statistics of devices	
5	Add a device	User	User: The system should allow the user to add a new device with name, category, data collected, location, etc.	
6	Edit a device	User	User: The system should allow the user to change some data of a device	
7	Delete a device	App Administrator	App Administrator: The system should allow the administrator to delete a device	
8	Create account	User	User: The system shall allow a user to create an account.	
9	Select privacy choices	User	User: The system shall allow the user to select their privacy choices for a certain device if the device allows for it.	
10	See more information about privacy in IoT	User	User: The system shall allow the user to check what the terms used in the application mean.	Survey results

Table 2: Requirements table

Functional requirements

Functional requirements define the functions of a system or its components, where functions are specifications or behaviours between system outputs and inputs. These describe what developers have to implement so that users can complete tasks (user requirements), which in turn satisfy business requirements. Functional requirements are essential to the success of a project. After building the tracing table the functional requirements that were needed were extracted for each feature and grouped appropriately according to the following groups:

User Requirements

UR1 - The system shall allow the user to navigate through the devices georeferences;

UR2 - The system shall allow the user to select a device on the map to view more information;

UR4 - The system shall allow the user to consult devices of only a certain category, data collected, etc.;

UR5 - The system shall allow consulting statistics of the devices;

UR6 - The system shall allow the user to create an account;

UR7 - The system shall allow a logged in user to add a new device with name, category, data collected, location, etc.;

UR8 - The system shall allow a logged in user to change associated data of a device;

Administrator Requirements

AR1 - The system shall allow a logged in administrator to add a new device with name, category, data collected, location, etc.;

AR2 - The system shall allow a logged in administrator to change associated data of a device;

AR3 - The system shall allow a logged in administrator to delete a device;

System requirements

SR1 - The system shall generate statistics related to the IoT devices that reside in the database;

Non-functional requirements

NFR1 - The system shall behave the same in different platforms (Android and iOS);

Use cases

Use cases diagram

The use cases diagram provides a high level visualisation of the user requirements. The box represents the system boundary. An actor's arrow for a use case indicates that he is the primary actor for it. The primary actor initiates the use case and derives the primary value from it.

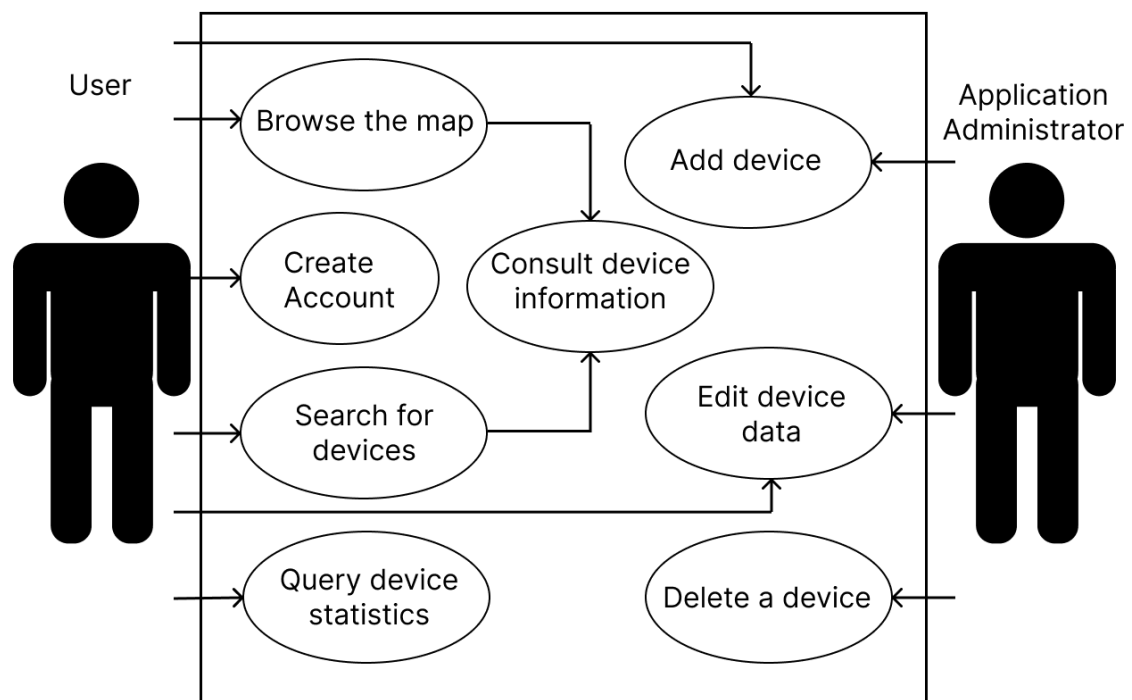


Fig. 26: Use cases diagram

Use cases

A use case is a type of classifier representing a coherent functional unit provided by the system, subsystem, or class manifested by sequences of interchangeable messages between systems and one or more actors.

This technique describes the tasks that users need to perform with the system or the user-system interaction that may be important to some stakeholders. They also help in testing by checking that the functionality has been implemented correctly. The use cases uses an Unified Modeling Language (UML) notation.

ID and Name:	UC-01 Query devices through certain parameters
Created By:	Nelson Vieira 20/02/2023
Primary Actor:	End User
Description:	The user makes a device information query
Trigger:	The user wants to search device information
Pre-conditions:	N/A
Post-conditions:	POST-1. The user finds device information
Normal Flow:	1.0 Query information of a device on the map <ol style="list-style-type: none"> 1. The user browses the map 2. The user clicks on the icon to show some information about the device 3. The user clicks on the device pop-up
Alternative Flow:	1.1 Device information search <ol style="list-style-type: none"> 1. User enters device name 2. The user chooses the device he wants from a list generated from the search performed
Alternative Flow:	1.2 Alternative search for information from a device <ol style="list-style-type: none"> 1. The user selects one of the parameters: <ol style="list-style-type: none"> (a) Category (b) Name 2. The user chooses the device he wants from a list generated from the search carried out
Exceptions:	1.0.E1 The API is not working <ol style="list-style-type: none"> 1. The system displays an alert message: “We are having connection problems, please wait for a while”
Priority:	High
Business Requirements:	N/A
Assumptions:	N/A

Table 3: Use case 1 - device information query

ID and Name:	UC-02 Device statistics query
Created By:	Nelson Vieira 20/02/2023
Primary Actor:	End User
Description:	The user queries the statistics of the devices
Trigger:	The user wants to find statistics of devices
Pre-conditions:	N/A
Post-conditions:	POST-1. The system displays the statistics of the devices
Normal Flow:	2.0 Device statistics query <ol style="list-style-type: none"> 1. User selects statistics tab 2. The user can only select certain parameters, such as: <ol style="list-style-type: none"> (a) Category (b) Location
Alternative Flow:	N/A
Exceptions:	2.0.E1 The API is not working <ol style="list-style-type: none"> 1. The system displays an alert message: “We are having connection problems, please wait for a while”
Priority:	Medium
Business Requirements:	N/A
Assumptions:	N/A

Table 4: Use case 2 - statistics query

ID and Name:	UC-03 Add a device
Created By:	Nelson Vieira 22/02/2023
Primary Actor:	End User
Description:	Addition of a new IoT device in the application
Trigger:	The user wants to add a new IoT device
Pre-conditions:	N/A
Post-conditions:	POST-1. A new IoT device is added to the application
Normal Flow:	3.0 Add a device <ol style="list-style-type: none"> 1. The user enters the following data of a new IoT device: <ol style="list-style-type: none"> (a) Name (b) Type of data collected (c) Category (d) Photos 2. The user clicks submit 3. The user adds the location of the IoT device on the map
Alternative Flow:	N/A
Exceptions:	3.0.E1 The device is already in the database <ol style="list-style-type: none"> 1. The system displays an error message
Priority:	High
Business Requirements:	N/A
Assumptions:	N/A

Table 5: Use case 3 - add a device

ID and Name:	UC-04 Edit a device's data
Created By:	Nelson Vieira 22/02/2023
Primary Actor:	End User
Description:	Editing the data of an IoT device in the application
Trigger:	The user wants to edit an IoT device's data
Pre-conditions:	N/A
Post-conditions:	POST-1. The data that has been changed appears in the application
Normal Flow:	4.0 Edit a device's data <ol style="list-style-type: none"> The user can change any of the following device data: <ol style="list-style-type: none"> Name Category Photos The user clicks on submit
Alternative Flow:	N/A
Exceptions:	4.0.E1 The device to be edited has been deleted in the meantime <ol style="list-style-type: none"> The system displays an error message The system prohibits editing
Priority:	High
Business Requirements:	N/A
Assumptions:	N/A

Table 6: Use case 4 - edit a device's data

ID and Name:	UC-05 Delete a device
Created By:	Nelson Vieira 22/02/2023
Primary Actor:	App Administrator
Description:	Deleting a device in the application
Trigger:	The administrator wants to delete a device
Pre-conditions:	PRE-1. The device to be deleted must be in the application's database
Post-conditions:	POST-1. The device is deleted from the application
Normal Flow:	5.0 Delete a device <ol style="list-style-type: none"> The administrator deletes a device, through: <ol style="list-style-type: none"> ID of device Name of device The administrator confirms the deletion The system deletes the device
Alternative Flow:	N/A
Exceptions:	5.0.E1 The device to be deleted no longer exists in the database <ol style="list-style-type: none"> The system displays an error message The system prohibits deletion
Priority:	High
Business Requirements:	N/A
Assumptions:	It is assumed that the administrator has database access

Table 7: Use case 5 - delete a device

ID and Name:	UC-06 Create an account
Created By:	Nelson Vieira 22/02/2023
Primary Actor:	End User
Description:	Create a new end user account
Trigger:	The user wants to create an account
Pre-conditions:	PRE-1. The user wants to add a new device
Post-conditions:	POST-1. The account is created in the application
Normal Flow:	6.0 Account creation process <ol style="list-style-type: none"> The user enter the following data in the register screen: <ol style="list-style-type: none"> Username Email Password The system adds the account data to the database An account confirmation email is sent to the email provided by the user The user confirms the account
Alternative Flow:	N/A
Exceptions:	6.0.E1 The username already exists in the database <ol style="list-style-type: none"> The system displays an error message The system allows the user to recover the account 6.0.E2 The email already exists in the database <ol style="list-style-type: none"> The system displays an error message The system allows the user to recover the account
Priority:	High
Business Requirements:	N/A
Assumptions:	N/A

Table 8: Use case 6 - create an account

Requirements prioritisation

Regarding the prioritization of requirements, the Quality Function Deployment technique proposed by Cohen in 1995 is used to estimate the priority of a group of requirements. This is based on the benefit of including a feature/requirement, the penalty of not including it, and also the cost and risks associated with implementation. With the MoSCoW method, the initial features are reduced to facilitate the use of the Quality Function Deployment table.

In this approach the values 0 and 1 are used. In case of 1 it means that the column requirement/feature is a higher priority than the row one and if it is 0 the opposite is true.

	R #1	R #2	R #3	R #4	R #5	R #6	R #7	R #8	R #9	R #10
R #1		0	0	0	1	0	0	0	0	0
R #2	1		0	0	1	0	0	0	0	0
R #3	1	1		0	1	0	0	0	0	0
R #4	1	1	1		1	0	0	0	1	1
R #5	0	0	0	0		0	0	1	1	1
R #6	1	1	1	1	1		0	0	1	1
R #7	1	1	1	1	1	1		1	1	1
R #8	1	1	1	1	0	1	0		1	1
R #9	1	1	1	0	0	0	0	0		1
R #10	1	1	1	0	0	0	0	0	0	
Total	8	7	6	3	6	2	0	2	5	6

Table 9: Prioritisation table using the MoSCoW technique

After this initial selection, a prioritisation table is created where it is measured, on a scale of 1 to 9, to rank the benefit and penalty of each requirement. The cost and implementation risk associated to each feature is also estimated.

Feature		Relative benefit	Relative penalty	Total value	Value %	Relative cost	Cost %	Relative risk	Risk %	Priority
Navigate the map	1	9	9	27	13,24	5	10,42	5	10,00	0,65
Select device on the map	2	9	9	27	13,24	5	10,42	5	10,00	0,65
Add a device	5	9	9	27	13,24	3	6,25	4	8,00	0,93
See more information about privacy in IoT	10	5	6	24	11,76	6	12,50	2	4,00	0,71
Query devices through parameters	3	6	8	20	9,80	7	14,58	6	12,00	0,37
Select privacy choices	9	5	7	19	9,31	6	12,50	8	16,00	0,33
Query statistics of the devices	4	3	5	11	5,39	5	10,42	7	14,00	0,22
Create account	8	8	9	15	7,35	5	10,42	5	10,00	0,36
Edit a device	6	7	8	22	10,78	3	6,25	4	8,00	0,76
Delete a device	7	4	4	12	5,88	3	6,25	4	8,00	0,41
Total		67	62	198	100,00	39	100,00	37	100,00	

Table 10: Features prioritisation table

Using this method we get the requirements sorted by priority:

Rank	Feature	# Feature	Priority
1	Add a device	5	0,93
2	Edit a device	6	0,76
3	See more information about privacy in IoT	10	0,71
4	Navigate the map	1	0,65
5	Select device on the map	2	0,65
6	Delete a device	7	0,41
7	Query devices through parameters	3	0,37
8	Create an account	8	0,36
9	Select privacy choices	9	0,33
10	Query statistics of the devices	4	0,22

Table 11: Highest priority requirements ordered

Acceptance Criteria

To make it easier to test whether the highest priority features that were chosen previously were well implemented, these acceptance criteria were created for each of them. These criteria help us understand the minimum conditions for this application to be considered an MVP, i.e., for this project to have the minimum possible requirements in order for it to be considered production ready.

For these acceptance criteria the following was considered:

- High-level functionality that must be present for the system to be usable
- Non-functional criteria and quality metrics that have to be satisfied
- Possibility of open problems or defects (we can guarantee that no defects or TBD is present for the system to be accepted)
- Legal or contractual restrictions (that have to be met for the system to be accepted)

Features

Add a device

- The system allows the user to add a new device that is not yet present in the database

Edit a device

- The system allows the editing of an existing device
- The system saves in the database the changes that have been made

Delete a device

- The system allows the deletion of an existing device
- The system deletes the device from its database

Navigate the map

- The system can represent the devices on the map
- The system allows the user to navigate throughout the map and view the devices

Query devices through parameters

- The system allows searching devices by the certain parameters like the category, the type of data collected

Select device on map

- The system allows the user to select a device on the map

See more information about privacy in IoT

- The system allows the user to see more information about privacy in IoT

Select privacy choices

- The system allows the user to select a device and view its details
- The system allows the user to select privacy choices for that device (if that option is available)

Consult devices' statistics

- The system allows the user to consult statistics concerning the devices

Create account

- The system allows the creation of an account
- The user has to enter its username, email and a password
- The system can detect whether the email is already in use
- The system can send a profile creation confirmation email
- The user can confirm the profile creation
- The system allows the user to sign in

Prototype

For the prototype several drafts are first made with design tools, such as Figma or . Then, with the tools previously mentioned in **Technology Requirements**, an application is created.

Usability Test

Tasks

- Go to devices page

Very difficult

Very easy

- Overall this task was?

1	2	3	4	5	6	7
---	---	---	---	---	---	---

- Look up more information about one device

- Overall this task was?

1	2	3	4	5	6	7
---	---	---	---	---	---	---

- On the homepage look up more information about one device

- Overall this task was?

1	2	3	4	5	6	7
---	---	---	---	---	---	---

- Look up more information about this application

- Overall this task was?

1	2	3	4	5	6	7
---	---	---	---	---	---	---

- Create an account

- Overall this task was?

1	2	3	4	5	6	7
---	---	---	---	---	---	---

- Look up more information about privacy and Internet of Things on the app

- Overall this task was?

1	2	3	4	5	6	7
---	---	---	---	---	---	---

- Look up a tutorial on how to add a device

- Overall this task was?

1	2	3	4	5	6	7
---	---	---	---	---	---	---

- Add a device to the application

- Overall this task was?

1	2	3	4	5	6	7
---	---	---	---	---	---	---

9. Update a device on the application

(a) Overall this task was?

1	2	3	4	5	6	7
---	---	---	---	---	---	---

Usability Assessment

	Strongly Disagree	Strongly Agree							
1. I think that I would like to use this system frequently	<table border="1"><tr><td>1</td><td>2</td><td>3</td><td>4</td><td>5</td><td>6</td><td>7</td></tr></table>	1	2	3	4	5	6	7	
1	2	3	4	5	6	7			
2. I found the system unnecessarily complex	<table border="1"><tr><td>1</td><td>2</td><td>3</td><td>4</td><td>5</td><td>6</td><td>7</td></tr></table>	1	2	3	4	5	6	7	
1	2	3	4	5	6	7			
3. I thought the system was easy to use	<table border="1"><tr><td>1</td><td>2</td><td>3</td><td>4</td><td>5</td><td>6</td><td>7</td></tr></table>	1	2	3	4	5	6	7	
1	2	3	4	5	6	7			
4. I think that I would need the support of a technical person to be able to use this system	<table border="1"><tr><td>1</td><td>2</td><td>3</td><td>4</td><td>5</td><td>6</td><td>7</td></tr></table>	1	2	3	4	5	6	7	
1	2	3	4	5	6	7			
5. I found the various functions in this system were well integrated	<table border="1"><tr><td>1</td><td>2</td><td>3</td><td>4</td><td>5</td><td>6</td><td>7</td></tr></table>	1	2	3	4	5	6	7	
1	2	3	4	5	6	7			
6. I thought there was too much inconsistency in this system	<table border="1"><tr><td>1</td><td>2</td><td>3</td><td>4</td><td>5</td><td>6</td><td>7</td></tr></table>	1	2	3	4	5	6	7	
1	2	3	4	5	6	7			
7. I would imagine that most people would learn to use this system very quickly	<table border="1"><tr><td>1</td><td>2</td><td>3</td><td>4</td><td>5</td><td>6</td><td>7</td></tr></table>	1	2	3	4	5	6	7	
1	2	3	4	5	6	7			
8. I found the system very cumbersome to use	<table border="1"><tr><td>1</td><td>2</td><td>3</td><td>4</td><td>5</td><td>6</td><td>7</td></tr></table>	1	2	3	4	5	6	7	
1	2	3	4	5	6	7			
9. I felt very confident using the system	<table border="1"><tr><td>1</td><td>2</td><td>3</td><td>4</td><td>5</td><td>6</td><td>7</td></tr></table>	1	2	3	4	5	6	7	
1	2	3	4	5	6	7			
10. I needed to learn a lot of things before I could get going with this system	<table border="1"><tr><td>1</td><td>2</td><td>3</td><td>4</td><td>5</td><td>6</td><td>7</td></tr></table>	1	2	3	4	5	6	7	
1	2	3	4	5	6	7			