#### FACULDADE DE ENGENHARIA DA UNIVERSIDADE DO PORTO

# Electronic assessment for software development certifications

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WORKING VERSION



Mestrado Integrado em Engenharia Informática e Computação

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## **Abbreviations**

CMM Capability Maturity Model

CMMI Capability Maturity Model Integration

SCAMPI Standard CMMI Appraisal Method for Process Improvement

SEI Carnegie Mellon Software Engineering Institute

PM Abstract Data Type SaaS Software-as-a-service

PII Practices Implementation Indicators ARC Appraisal Requirements for CMMI

SPICE Software Process Improvement and Capability Determination

OU Organizational Unit OE Objective Evidence

CM Configuration Management Measurement and Analysis

PMC Project Monitoring and Control

PP Project Planning

PPQA Process and Product Quality Assurance

REOM Requirements Management

SAM Supplier Agreement Management
DAR Decision Analysis and Resolution
IPM Integrated Project Management
OPD Organizational Process Definition
OPF Organizational Process Focus
OT Organizational Training
PI Product Integration

RD Requirements Development

RSKM Risk Management TS Technical Solution

VAL Validation VER Verification

OPP Organizational Process Performance
QPM Quantitative Project Management
CAR Causal Analysis and Resolution

OPM Organizational Performance Management

PSP Personal Software Process SQI Software Quality Institute

## Chapter 1

## Introduction

This chapter presents the context and motivation of this thesis, describing the main goals, it's objectives and the expected results of work.

#### 1.1 Context and Motivation

Nowadays current markets are changing, we can see more often the globalization phenomenon and with that organizations are compelled to streamline their business in order to achieve a favorable market position and be able to maintain or increase their competitiveness.

In our everyday lives software takes an important role, he is everywhere and is needed more often. When he is in development it is important to make it more efficiently and with more quality. For organizations that have software currently in development failures and errors are not allowed and each one of them implies increased costs and resources being wasted. To avoid this scenario and to achieve maximum efficiency and agility, their processes and their methodologies need to be less time consuming and more effortless so good practices need to be followed in order to allow them focus on what really matters: value creation. This will provide them advantages and make them more trustful.

Organizations need to ensure that their products and services consistently meet customer's requirements, and that quality is consistently improved and certifications are a formal recognition of those ideals. Sadly those recognitions take too much time and effort and time being in some cases very painful and expensive.

SCAMPI is the Standard CMMI Appraisal Method for Process Improvement, the evaluation method of CMMI model. CMMI is a model for organizations to improve their processes and is required by many U.S. Government contracts, especially in software development. SCRAIM is the tool that is going to provide us the background and the base to work and simplify those kind of evaluations in order to save time and money. That way companies will deliver their products and services better, faster, and cheaper.

#### 1.2 Goals and expected results

The main goal is of this dissertation is to develop a group of methodologies, techniques and tools integrated in the SCRAIM interface, that will make evaluations and certain parts of certifications easier and less painful for the SCRAIM users. Although there are a number of life cycle and project management tools, few combine this with process management techniques. SCRAIM combines the two and will provide the users new features that will semi-automate the assessment for certification of an organization.



Figure 1.1: SCAMPI results

This image shows a matrix that is expected to have as output, and what is intended to do is:

- Having SCRAIM as the basis for project activity take a sample of projects;
- Analyze the project activity in SCRAIM;
- Map the information of the produced articles to CMMI;
  - Determine what are the good practices presented in SCRAIM, that can be mapped to CMMI;
  - For each one of them investigate and conclude if that practice is being followed and fully satisfied;
- Generate an matrix like the previous picture:
  - Each column represents a good practice that needs to be followed and be satisfied;

#### Introduction

The full-automated process is not yet feasible, so human intervention is still mandatory. With the use of SCRAIM, good practices will be followed and in the end the generated information will facilitate the decision making process. We can see many advantages of this innovation, and we believe that the application of this innovation will help reducing the costs and time of one evaluation using the SCAMPI method.

#### 1.3 Document structure

#### Introduction

## Chapter 2

## **Problem analysis**

The evaluation for certification is one complex process, and requires many approaches, some acquired knowledge and some experience. To understand the problem and objectives of this dissertation, it is necessary to understand what is CMMI, in particular the SCAMPI[SCA13] method and what is SCRAIM.

#### 2.1 **CMMI**

To understand better what is CMMI we need to understand what is Capability model.

#### 2.1.1 CMM

A CMM (Capability Maturity Model), including CMMI, is a simplified representation of the world around us. Capability Maturity Models contain essentially elements of effective processes, this elements are based on concepts developed by Crosby, Deming, Juran, and Humphrey.

The SEI (The Carnegie Mellon Software Engineering Institute that is a federally funded research and development center headquartered on the campus of Carnegie Mellon University in Pittsburgh, Pennsylvania, United States) adopted the process management premise, "the quality of a system or product is highly influenced by the quality of the process used to develop it and keep it" and defined CMMs that incorporated this premise.

#### 2.1.2 What is CMMI

CMMI stands for Capability Maturity Model Integration and is a process of improvement training, an appraisal program and a service that is administered and sold by the Carnegie Mellon University, and for some business activities is required and mandatory like many DOD (United States Department of Defense) and U.S. Government contracts, especially in software development.

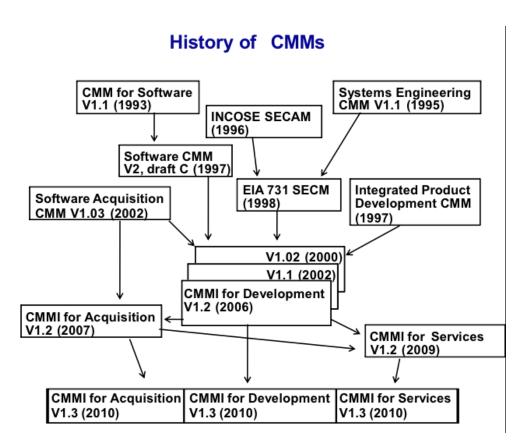


Figure 2.1: History of CMMs

Carnegie Mellon University says that CMMI can be used to guide an organization, a division and process improvement across projects. The CMMI processes and methodologies can be classified according to maturity levels.

Currently CMMI is on Version 1.3 and is registered in the United States Patent and Trademark Office by Carnegie Mellon University.

#### 2.1.3 CMMI models and process areas

Best practices of CMMI are published in documents called models, each models is addressed to a different area of interest. The current version of CMMI, version 1.3, has three different areas of interest: development[CKS06], acquisition and services.

These models are produced taking for base the CMMI framework that contains all the goals and practices used to produce the models that are part of CMMI constellations. The CMMI models contain 16 core process areas, they cover basic concepts fundamental to process improvement in any area of interest.

The material in core process areas is almost the same for all constellations of CMMI, the rest of the material need to be adjusted to a specific area of interest, so the material wont be the exactly the same.

#### 2.1.4 CMMI model framework

CMMI framework is a basic structure that organizes and groups the CMMI components, elements of the current models, rules, methods for model generations, appraisal methods and training material, contains too process areas that will vary for each one of the CMMI areas that will be used. Process areas are the areas that cover the organization processes.

For the latest version of CMMI for Development (Version 1.3) there are 22 Process Areas, which represents the product aspects and the coverage for the organizational processes.

#### 2.1.5 Maturity levels in CMMI for development

#### **Characteristics of the Maturity levels** Level 5 Focus on process improvement Optimizina Processes measured Level 4 Quantitatively Managed and controlled Processes characterized for the Level 3 organization and is proactive. (Projects tailor their processes from Defined organization's standards) Processes characterized for projects and is often reactive. Managed Level 1 Processes unpredictable, poorly controlled and reactive Initial

Figure 2.2: CMMI maturity levels

Processes under the CMMI methodology are rated and grouped according levels, called maturity levels. There are five levels of maturity levels defined as: Initial, Managed, Defined, Quantitatively Managed, Optimizing. These maturity levels that are rated are presented and awarded for levels 2 through 5. The following process areas listed show us the maturity levels for CMMI for Development:

- Maturity Level 2 Managed
  - CM Configuration Management Measurement and Analysis
  - PMC Project Monitoring and Control

- PP Project Planning
- PPQA Process and Product Quality Assurance
- REQM Requirements Management
- SAM Supplier Agreement Management
- Maturity Level 3 Defined
  - DAR Decision Analysis and Resolution
  - IPM Integrated Project Management
  - OPD Organizational Process Definition
  - OPF Organizational Process Focus
  - OT Organizational Training
  - PI Product Integration
  - RD Requirements Development
  - RSKM Risk Management
  - TS Technical Solution
  - VAL Validation
  - VER Verification
- Maturity Level 4 Quantitatively Managed
  - OPP Organizational Process Performance
  - QPM Quantitative Project Management
- Maturity Level 5 Optimizing
  - CAR Causal Analysis and Resolution
  - OPM Organizational Performance Management

#### 2.2 Appraisal

Organizations cannot be certified in CMMI, so there is something called appraisal and an organization is appraised.

In an appraisal the organization gets awarded a maturity level from one to five or a capability level achievement profile. As said before many organizations are required to get some kind of recognition and others find value measuring their progress such determine how well the processes adopted by the organization are compared to CMMI best practices, to meet contractual and customers requirements and to know which areas they can improve and appraisals are the right way to do it.

Appraisals using a CMMI model must comply with the requirements set out in the Appraisal Requirements for CMMI (ARC) document. There are three classes of appraisals, A, B and C, all of them compare the processes used in the organization to CMMI processes and best practices, that way is identified improvements to make. From all three classes of appraisals the most formal is class A and it is the only one that can output a level rating.

When an appraisal is done teams use a CMMI model and an ARC document. The results from the teams are used to plan improvements for the organization.

Statistics are made and updated every six months in a maturity profile since the release of CMMI show us that the median times to move from Level 1 to Level 2 is 5 months, from that to Level 3 more 21 months.

#### **2.2.1 SCAMPI**

SCAMPI is the abbreviation for Standard CMMI Appraisal Method for Process Improvement and is an appraisal method that meets all the ARC requirements. In SCAMPI appraisals there are three types of distinct classes: Class A, B and C appraisal methods. The most rigorous method and officially recognized as that is the Class A method, it is the only method that can result in a benchmark quality rating. SCAMPI B and C provide organizations improvements less formal than the class A, however still can identify improvements to be done.

Results SCAMPI appraisal can be published on the CMMI web site of SEI, if the organizations approves this. This appraisal supports the conduct of ISO/IEC 15504, Software Process Improvement and Capability Determination (SPICE), a set of technical standards documents for the computer software development process and related business management functions.

The ARC Class A appraisals is normally conducted by SCAMPI A appraisal. The SCAMPI A Method Definition Document is where its defined rules to ensure the consistency of the appraisal ratings, so the same maturity rated in two companies means they are equal in methodologies and business processes.

#### 2.2.2 SCAMPI Principals

As said before the class A appraisal is the only full comprehensive appraisal method that involves an ARC class A method and uses CMMI models as reference models.

This appraisal will allow organizations to gain insight about their capability by identifying the strengths and weaknesses of its current processes, prioritize improvement plans, focus on those improvements, correcting weakness that will generate risks, derive capability rating as a maturity level rating and identify risks relative to capability and maturity determinations.

This appraisal follows this principals:

- Start with a process reference model.
- Use a defined appraisal method.
- Involve senior management as an appraisal sponsor.

- Observe strict confidentiality and non-attribution.
- Approach the appraisal collaboratively. (When SCAMPI is used for Supplier Selection or Process Monitoring modes, it may not be possible to use a collaborative appraisal approach.)
- Focus on the sponsors business objectives

#### 2.2.3 The SCAMPI Process

The Method Definition Document is a document that describes SCAMPI appraisal method, this document sets the key elements of appraisal planning and the rules of conduct. Is also included in this document the level of process tailoring permitted, qualifications of the team members, evidence requirements, how to scope the appraisal and more.

There are essentially three phases to the process:

- Phase I Plan and Prepare for Appraisal
- Phase II Conduct Appraisal
- Phase III Report Results

The following graphs shows us this phases, the last one includes the results report phase.

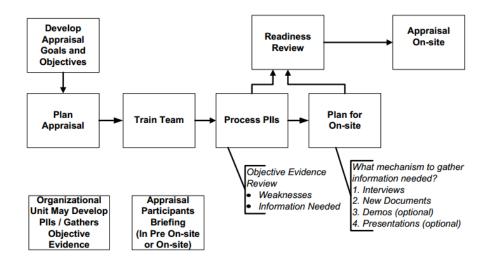


Figure 2.3: Plan and Prepare for Appraisal Activities

#### 2.2.4 Special Terms

There are some terms to consider with special meaning, Organizational Unit (OU), Organizational Scope, Subgroup, Basic Unit, Support Function, Objective Evidence, Instantiation, Database of Objective Evidence, Practice Characterization.

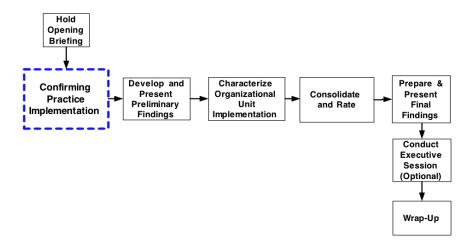


Figure 2.4: Conduct Appraisal Activities

Organizational Unit is the subject of an appraisal. Can be deployed one or more processes that have a consistent process context, operates in a coherent set of business objectives and is typically part of a larger organization. In a small organization, this unit can be the whole organization.

Basic Unit stands for a set of interrelated and managed resources that delivers products or services to a customer and usually works like planned. The plan is documented and specifies the services or products delivered or implemented, the funds, the future work and the work that is currently being done.

A collection of basic unit and support functions that represent practices used within and organizational unit is the Organizational scope.

A Subgroup is a cluster of basic units that are shared between similar process implementations and a common sampling factor alternatives.

Support Function is an organizational group that for a certain and well defined set of activities needed by other parts of the organizations provides products and/or services.

Objective Evidence (OE) are indicators of the implementation or institutionalization of model practices. Verifying practice implementation is the review of Objective Evidence to determine whether a practice is implemented within a basic unit, support function, and/or organization. Can be of two types artifacts or affirmations. The artifacts are a tangible form of evidence indicative of work being done, which is both the main output of a practical model or a consequence of the implementation of a model of practice. Affirmation is an oral or written statement confirming or support the implementation (or lack of implementation) in a practical model provided by the practice performers, provide through an interactive forum in which the evaluation team has control over the interaction. In certain cases for some practices, documents are accepted as artifacts even if they are not the primary desired result of practical practice.

Instantiation is the implementation of a model practice used in its context in the organizational unit boundaries.

#### 2.2.5 Practice Characterization

Practices Implementation Indicators (PII) are a proof of a correct implementation of a certain CMMI Practice. When a Practice is performed will leave a mark or evidence of that operation, for example that evidence can be document produced while the practice is performed.

Appraisers look for an objective evidence in order to make an assessment. There are three types of indicators presented in the SCAMPI documentation.

Table 2.1: Indicators Types

Indicator	Description	Examples
Type		
Direct	The tangible outputs resulting directly from	Typical work products
artifacts	implementation of a specific or generic prac-	listed in reference model
	tice. An integral part of verifying practice im-	practices
	plementation. May be explicitly stated or im-	Target products of an Es-
	plied by the practice statement or associated	tablish and Maintain spe-
	informative material.	cific practice
		Documents, deliver-
		able products, training
		materials, etc.
Indirect arti-	Artifacts that are a consequence of perform-	Typical work products
facts	ing a specific or generic practice or that sub-	listed in reference model
	stantiate its implementation, but which are	practices
	not the purpose for which the practice is per-	Meeting minutes, review
	formed. This indicator type is especially use-	results, status reports, pre-
	ful when there may be doubts about whether	sentations, etc.
	the intent of the practice has been met (e.g.,	Performance measures
	an artifact exists but there is no indication of	
	where it came from, who worked to develop	
	it, or how it is used).	
Affirmations	Oral or written statements confirming or sup-	Instruments
	porting implementation (or lack of implemen-	Interviews
	tation) of a specific or generic practice. These	Presentations, demonstra-
	statements are usually provided by the imple-	tions, etc.
	menters of the practice and/or internal or ex-	
	ternal customers, but may also include other	
	stakeholders (e.g., managers and suppliers).	

After the collection of an evidence and properly examined is made a characterization of the extent to which Model practices are implemented. The model practices are characterized as described in the next table.

Table 2.2: Practice characterization table

Fully Implemented (FI)	Sufficient artifacts and/or affirmations are present (per						
	1.1.4 and 2.4.1) and judged to be adequate to demonstrate						
	practice implementation, and no weaknesses are noted.						
Largely Implemented (LI)	Sufficient artifacts and/or affirmations are present (per						
	1.1.4 and 2.4.1) and judged to be adequate to demonstrate						
	practice implementation, and one or more weaknesses are						
	noted.						
Partially Implemented	Some or all data required (per 1.1.4 and 2.4.1) are absent or						
(PI)	judged to be inadequate, Some data are present to suggest						
	some aspects of the practice are implemented, and one or						
	more weaknesses are noted.						
	OR						
	Data supplied to the team (artifacts and/or affirmations)						
	conflict –some data indicate the practice is implemented						
	and some data indicate the practice is not implemented,						
	and one or more weaknesses are noted.						
Not Implemented (NI)	Some or all data required (per 1.1.4 and 2.4.1) are absent						
	or judged to be inadequate, Data supplied does not support						
	the conclusion that the practice is implemented, and one or						
	more weaknesses are noted.						
Not Yet (NY)	The basic unit or support function has not yet reached the						
	stage in the sequence of work, or point in time to have im-						
	plemented the practice.						

#### 2.2.6 Appraisal Participants

In a appraisal there are several participants with roles and responsibilities crucial to its success.

The Appraisal sponsor is responsible to sponsor the appraisal and owns the appraisal results and signs the Appraisal Disclosure Statement.

Middle managers are originally from the line or staff management positions and are interviewees and data providers and if they are participant they review preliminary findings.

Basic Unit leaders have leadership responsibilities for a project, service. They are too interviewees and data providers and if they are participant they review preliminary findings too.

Support Function as the past roles are interviewees and data providers, they are practitioners and review preliminary findings.

#### 2.2.7 Appraisal Team

The appraisal team is composed by two main Key Roles: Team Leader and Team Members. Team Leader is the person who has the overall responsibility for the appraisal, is a SEI - Certified SCAMPI[SCA13] leader appraisal and has experience and training, he signs too the final findings. Team members are those who satisfy requirements of experience and training to be part of the team and they assume one or more specific roles.

#### 2.2.8 Team Leader Responsibilities

One of the key roles of the appraisal team is the team leader who has overall responsibility for the appraisal. He is also responsible for assign team roles for each member, ensuring that the planning activities are complete, that the SCAMPI process is being followed, scheduling monitoring and checking performance, facilitate team resolution in case of conflicts and impasses and reporting results to SEI.

#### 2.2.9 Team Member Responsibilities

For each team member the team leader will assign a role that will ensure the proper function of the team and will facilitate the appraisal, those roles are the following:

#### • Appraisal coordinator

Responsible for handling on-site logistics. This position is also composed by more than one member for a multi-site appraisal.

#### • Librarian

Documents are managed by this member and in the end of the appraisal they are returned.

#### • Timekeeper

For each mini-team can be one Timekeeper and his main purpose is track team time and schedule constraints during interviews and other activities.

#### • Note takers

For all PAs is responsible for taking notes during data gathering sessions.

#### • Appraisal team

All the work is reviewed by this members.

#### • Mini-teams

This teams typically consist of two or three members and verify the implementation of reference model practices, reviewing objective evidence provided and identify weaknesses in the implementation. The practices at instantiation levels are characterized by its implementation extent. They have the power to request addition information if needed.

#### 2.2.10 SCAMPI results

The appraisal is completed after the collection and evaluation of objective evidence to support the implementation of practices.

Is set a Goal satisfaction that is based on extent to the practices associated with the goal implemented. The goal is rated if and only if all associated practices are characterized as largely implemented or fully implemented, and all the weaknesses associated with the defined goal don't have a significant impact on goal achievement. With the of a program we can obtain a matrix as shown in the following image.

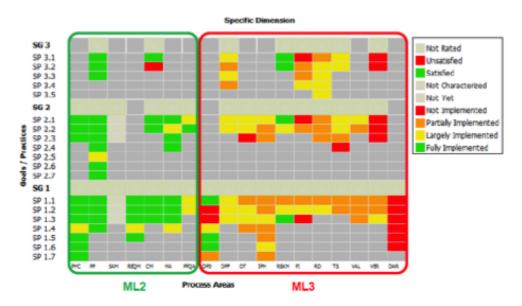


Figure 2.5: SCAMPI results

When a given Goal is determined to be either Satisfied or not, then a Capability Level (for the continuous representation) can be derived and we can appraise.

#### 2.3 SCRAIM

SCRAIM[?] is a project management tool based on advanced methodologies with intelligent decision support mechanisms. Also has some ready-made processes that facilitate a better management.

#### 2.3.1 Software as a Service

SCRAIM is a SaaS, which stands for Software-as-a-Service. Software-as-a-service (SaaS) emerges as an innovative approach to deliver software applications based on cloud-computing technology. [CC07]

This type of Software sometimes refered as simply hosted applications allows organizations and clients to access functionalities and all data stored on that platform everywhere, and it costs less than a typical licensed application. SaaS has many advantages compared to typical software, since is hosted remotely and accessed through Web they bypass the as server provisioning and software installation as requirement, making software cheaper. Another advantage of this software type os that organizations don't need to perform and handle installation problems, updates and performing maintenance.

"SaaS is one of the biggest technology trends to affect business applications in recent years." [Hou09]

#### 2.3.2 Methodologies and Processes

One of the reasons that can lead to a project failure is the lack of use of formal software development process, is also know that one of the success factors is the option of appropriate development process to the organizations projects.

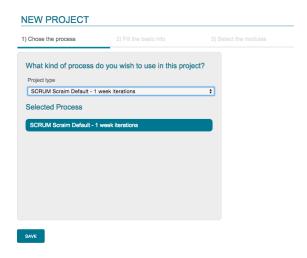


Figure 2.6: SCRAIM Process choose wizard

SCRAIM is supported by the most advanced technologies like CMMI[CMM10], TSP and SixSigma[Six] to help organizations increase projects quality. SCRAIM has a set of ready made processes like SCRUM[PQ11] and its possible to adapt to the specific needs of a project and save to use it later.

#### 2.3.3 Dashboard, issues, bug and Time tracking

This tool allow to track and organize projects tasks and team progress with a useful set of charts, is also part of this a set of features to facilitate team collaboration such as: wiki, forums, news and notification system.

Another feature if the scheduling of deliverables for each release of the organization project, with this its possible to know in real time what's being delivered, what's being schedule and who's in charge of each deliverable.

Risk Management is also supported by SCRAIM. This part of the software is designed for agile projects, giving the possibility to identify what can go wrong (risks), how to prevent that from happening (mitigation actions) and what to do if something happens (Impediments).

In order to grant the quality of the project SCRAIM has a Test Management feature, this feature allows users to easily create and manage all the tests and track their results.



Figure 2.7: SCRAIM Planning View

#### 2.3.4 Documentation

The project information is easily trackable cause SCRAIM allows to manage files and documentation associated to each one of the projects and to attach external repositories in order to track the changes of source code.

## Chapter 3

## State of the art analysis

This chapter describes the related work associated with this problem, since this area is under explored the following tools are works that follow some analogue methodologies for other project processes like TSP, and some tools that are currently on the market and used for SCAMPI appraisals.

#### 3.1 CMMI assessment checklists and tools

Leaders are recommended to identify the key business capabilities by conducting a capability maturity assessment [Hut14], to determine and find what the organization need to build or strengthen the skills, designed to raise the company, business unit, or specific function to the next level. This tool appear as an online solution to make a lightweight assessment and is a free online assessment that make possible get and track an organization capability across eight key business functions based in a group of 31 questions.

#### 3.1.1 Assessment items

In this tools each assessment item has a statement about a particular capability or several capabilities and a scale that allow to indicate the level of agreement with the statement, based on the organization performance.

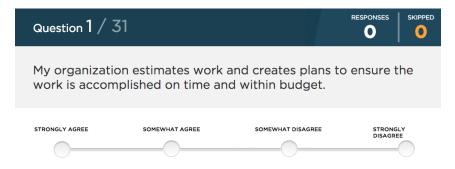


Figure 3.1: Assessment item question

#### State of the art analysis

The scale included in the assessment item also includes a descriptive information about the organization performance at both ends of the scale, visible on the example given below.

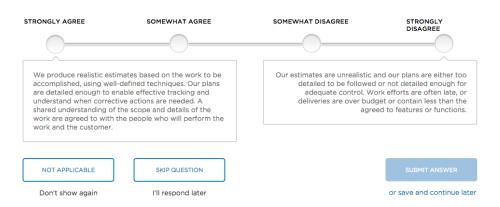


Figure 3.2: Assessment item scale

These descriptions are given to the user with the intention of helping the most accurate positioning of the organization on the scale.

Organization term is defined by the user for purposes of self-assessment. The evaluated scope is also by the user and can be the company, organizational unit, division, directorate, department or work group.

Its possible to skip a question in the list of items and comeback later to answer and there is an option named Not Applicable to exclude the question from the results, and this answer only should be choose if:

- Actual question is related to an area outside of the organization scope.
- Its valid for the organization's but the performance of the activities is not known.
- The user that is performing the assessment don't have sufficient expertise in the subject to understand the intent of the question.

The answers are editable before the submission of the assessment in a screen for a final review, is possible to save the current state and progress at any time and resume it later. Is only possible to submit and get an assessment if all questions are answered.

After answering all questions provided as requirement and those survey submitted will be show a high level snapshot of the organization current capability states and will be included in each item some suggestions for developing the next steps.



Figure 3.3: Example of a result an assessment

#### 3.2 PSP/TSP assessment checklists and tools

PSP[Hum05] is a process framework with the objective of guide developers to define their own processes, track and plan their work and manage the quality of the produced products.

#### 3.2.1 PSPchecker

PSPChecker[PF10] is a tool that has the main objective of helping teachers to make decisions faster and help students to be able to achieve better results and understand PSP.

The PSPChecker was only made and planned for teachers as a support for evaluation and feedback it's suitable for students too depending on the type of teaching, that way they can improve their work. A short period of time is required to uses this tool and is currently only as a desktop application.

This desktop application has as main functionalities:

#### • Automatic verification of checklists

Each checklist item has different types of verification and as output if an item in the checklist is completely satisfied, it is shown the line in green otherwise red line is shown or given a special message on the screen.

#### Custom processes

The user when start the program can choose which items of the PSP process want to associate with this evaluation.

- Remote data importation
- Illustrative charts

Charts that facilitate the perception of whats is wrong and well done to understand which points can be improved.

Automation of support messages (use of knowledge acquired by specialists)
 Messages provided by specialist to understand the errors in a more complex level.

• Information Import/Export

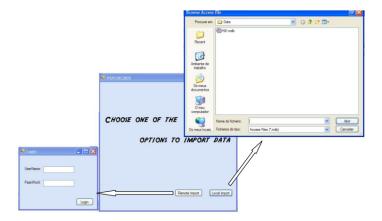


Figure 3.4: Example of a data import for PSPChecker

• Modularity and scalability



Figure 3.5: Final results of PSPChecker

In the Figure 3.5 is represented two of the last screens of PSPChecker. Is shown on the left side the checklist imported or chosen and on the right side the final screen, where we can generate charts and export the data.

#### 3.3 Appraisal Assistant

The Software Quality Institute of the Griffith University[Sof] developed Appraisal Assistant. The Appraisal Assistant[App] is a software application that supports the appraisal or assessment of process capability or organization maturity.

This tool follows consistent approaches with the requirements of ISO/IEC 15504(Information technology: Process assessment, and the Assessment Requirements for CMMI)[ISO] and it's distinguished from other tools by taking an evidence-driven approach to the record of evidences generated in an assessment.

SQI personnels have performed SCAMPI A and B appraisals and SPICE assessments with the help of Appraisal Assistant and have been using since the first beta release. The Beta release was used to examine relationships between ISO 15504-2 and SCAMPI appraisals

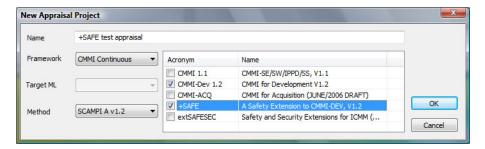


Figure 3.6: Appraisal Assistant New Project Screen

The Appraisal Assistant has many functionalities and can provide:

- Support for multiple process models as: ISO/IEC 15504-5, ISO/IEC 15504-6 (FDIS), Automotive SPICE, CMMI®-DEV v.1.2, +SAFE, and CMMI® SE/SW/IPPD/SS V 1.1.
- User defined appraisal models.
- Multiple methods for performing appraisal / assessment.
- User defined assessment methods.
- Conversion of results between frameworks
- Easy to split and consolidate evidence capture activities.
- Generate automatically reports as Appraisal Disclosure Statement, PIID, Assessment Record, Appraisal / Assessment Findings, Strength / Weakness summaries, Rating Profiles, and workload summaries.

#### State of the art analysis

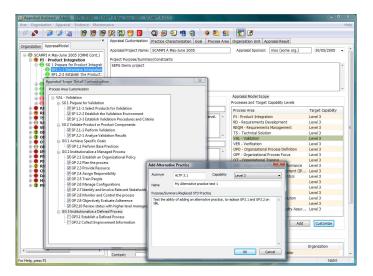


Figure 3.7: Appraisal Scope Customization

• Model coverage and automatic reporting by collected evidence.

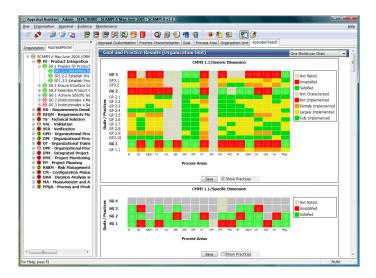


Figure 3.8: Appraisal Assistant Results

The Figure 3.8 shows us an example of an appraisal result and output of the program after labeling all the process areas.

## Chapter 4

## **Solution perspectives**

- 4.1 Envisioned approach
- 4.2 Work Plan

#### Solution perspectives

## **Chapter 5**

## **Conclusions**

#### Conclusions

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#### REFERENCES

## Appendix A

## **Loren Ipsum**

Depois das conclusões e antes das referências bibliográficas, apresenta-se neste anexo numerado o texto usado para preencher a dissertação.

#### A.1 O que é o Loren Ipsum?

**Lorem Ipsum** is simply dummy text of the printing and typesetting industry. Lorem Ipsum has been the industry's standard dummy text ever since the 1500s, when an unknown printer took a galley of type and scrambled it to make a type specimen book. It has survived not only five centuries, but also the leap into electronic typesetting, remaining essentially unchanged. It was popularised in the 1960s with the release of Letraset sheets containing Lorem Ipsum passages, and more recently with desktop publishing software like Aldus PageMaker including versions of Lorem Ipsum [?].

#### A.2 De onde Vem o Loren?

Contrary to popular belief, Lorem Ipsum is not simply random text. It has roots in a piece of classical Latin literature from 45 BC, making it over 2000 years old. Richard McClintock, a Latin professor at Hampden-Sydney College in Virginia, looked up one of the more obscure Latin words, consectetur, from a Lorem Ipsum passage, and going through the cites of the word in classical literature, discovered the undoubtable source. Lorem Ipsum comes from sections 1.10.32 and 1.10.33 of "de Finibus Bonorum et Malorum" (The Extremes of Good and Evil) by Cicero, written in 45 BC. This book is a treatise on the theory of ethics, very popular during the Renaissance. The first line of Lorem Ipsum, "Lorem ipsum dolor sit amet...", comes from a line in section 1.10.32.

The standard chunk of Lorem Ipsum used since the 1500s is reproduced below for those interested. Sections 1.10.32 and 1.10.33 from "de Finibus Bonorum et Malorum" by Cicero are also reproduced in their exact original form, accompanied by English versions from the 1914 translation by H. Rackham.

#### A.3 Porque se usa o Loren?

It is a long established fact that a reader will be distracted by the readable content of a page when looking at its layout. The point of using Lorem Ipsum is that it has a more-or-less normal distribution of letters, as opposed to using "Content here, content here", making it look like readable English. Many desktop publishing packages and web page editors now use Lorem Ipsum as their default model text, and a search for "lorem ipsum" will uncover many web sites still in their infancy. Various versions have evolved over the years, sometimes by accident, sometimes on purpose (injected humour and the like).

#### A.4 Onde se Podem Encontrar Exemplos?

There are many variations of passages of Lorem Ipsum available, but the majority have suffered alteration in some form, by injected humour, or randomised words which don't look even slightly believable. If you are going to use a passage of Lorem Ipsum, you need to be sure there isn't anything embarrassing hidden in the middle of text. All the Lorem Ipsum generators on the Internet tend to repeat predefined chunks as necessary, making this the first true generator on the Internet. It uses a dictionary of over 200 Latin words, combined with a handful of model sentence structures, to generate Lorem Ipsum which looks reasonable. The generated Lorem Ipsum is therefore always free from repetition, injected humour, or non-characteristic words etc.