

Individual Tax Residency Self-Certification Form

In compliance with the Inland Revenue Ordinance on the automatic exchange of financial account information ("AEOI"), Saxo Capital Markets HK Limited is required to obtain the following information from you. For more information, please go to IRD website: *AEOI Pamphlets*

(please complete parts 1-3 in BLOCK CAPITALS)

Part 1 – Identification of Individual Account Holder

A. SAXO ID:

B. Name of Account Holder:

C. Current Residence Address:

City _____ Postal Code _____
State/Province _____
Country _____

D. Mailing Address: *(please only complete if different to the address shown in Section C above)*

City _____ Postal Code _____
State/Province _____
Country _____

E. Contact Number: *(Prefix included, example: +852 1234 5678)*

Primary: (Country Code) + _____ (Phone number) _____

Secondary: (Country Code) + _____ (Phone number) _____

F. Date of Birth *(DD/MMM/YYYY)*

G. City and Country of Birth

Part 2 – Country/Jurisdiction of Residence for Tax Purposes and related Taxpayer Identification Number or equivalent number (“TIN”)

Please complete the following table indicating (i) where the Account Holder is tax resident and (ii) the Account Holder’s TIN for each country/jurisdiction indicated.

*If the Account Holder is tax resident in more than three countries/jurisdictions, please use an additional form.
 If a TIN is unavailable please provide the appropriate reason **A**, **B** or **C** where indicated below:*

Reason A - The country/jurisdiction where the Account Holder is resident does not issue TINs to its residents

Reason B - The Account Holder is otherwise unable to obtain a TIN or equivalent number (Please explain why you are unable to obtain a TIN in the below table if you have selected this reason)

Reason C - No TIN is required (Only select this reason if the domestic law of the relevant jurisdiction does not require the collection of the TIN issued by such jurisdiction)

Country of tax residence	TIN (or equivalent Identification number)	No TIN - Reason A, B or C	If you indicated Reason B, please explain why you are unable to obtain a TIN or equivalent

Part 3 – Declarations and Signature

I understand that the information supplied by me is covered by the full provisions of the terms and conditions governing the Account Holder's relationship with **Saxo Capital Markets HK Limited** setting out how **Saxo Capital Markets HK Limited** may use and share the information supplied by me.

I acknowledge that the information contained in this form and information regarding the Account Holder and any Reportable Account(s) may be provided to the tax authorities of the country/jurisdiction in which this account(s) is/are maintained and exchanged with tax authorities of another country/jurisdiction or countries/jurisdictions in which the Account Holder may be tax resident pursuant to intergovernmental agreements to exchange financial account information.

I certify that I am the Account Holder (or am authorised to sign for the Account Holder) of all the account(s) to which this form relates.

I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.

I undertake to advise **Saxo Capital Markets HK Limited** within **30** days of any change in circumstances which affects the tax residency status of the individual identified in Part 1 of this form or causes the information contained herein to become incorrect or incomplete, and to provide **Saxo Capital Markets HK Limited** with a suitably updated self-certification and Declaration within **30** days of such change in circumstances.

Signature: _____

Name: _____

Date: _____