

Declaration of Source of Funds

Client Name: _____

Saxo ID: _____

Please check one of the below:

Sole proprietor - You must complete the Declaration of Net Worth as well

Partnership - **Each partner** must complete a separate Declaration of Net Worth

Corporation - List **all** of the assets and liabilities of the **corporation only**

Limited partnership - List **all** of the assets and liabilities of the **limited partnership only**

Limited liability company - List **all** of the assets and liabilities of the **limited liability company only**

Limited liability partnership - List **all** of the assets and liabilities of the **limited liability partnership only**

Company Background

(a) Place of Incorporation _____

(b) Principal Place of Business _____

(c) Nature of business

Financial Position

(a) Share Capital _____

(b) Current Assets _____

(c) Current Liabilities _____

(d) Net Worth Asset (last financial year) _____

Please state figures for the following:

Major Revenue

- (a) Business Activity _____
- (b) Investment _____
- (c) Transfer Pricing _____
- (d) Other(s) (please state) _____
- _____

Assets

- (a) Cash / Bank Deposits _____
- (b) Securities / Stocks / Shares / Bonds _____
- (c) Other Liquid Assets (Pleases specify) _____
- (d) Real Estate _____
- (e) Market Value _____
- (f) Others Assets (Please specify) _____
- Total Assets : _____

Liabilities

- (a) Loans / Notes Payables _____
- (b) Mortgage Loan _____
- (c) Other Payables (Please specify) _____
- Total Liabilities : _____

Net Assets (total assets - total liabilities) _____

I/We, _____ understand that Saxo Capital Markets HK Limited (SCM HK) will rely on this information as being part of the account opening; “know your client” assessment and to determine source of funds. I/We will inform SCM HK of any material change to any of this information as soon as practicable. I/We will also provide all necessary information for SCM HK to make its assessment/determination and will not omit any material information.

I/We declare that I/we have made all necessary enquiries in order to provide this information. To the best of my/our knowledge that the information given above is true and accurate in every respect.

Applicant's Authorized Signature

Date :