# Detailed Explanation of drone\_env\_limited.py and train\_agent.py

(Provided by ChatGPT)

April 7, 2025

# 1 Introduction

In this document, we provide an in-depth explanation of a two-file system that uses Q-learning to cover a 2D grid with multiple drones while avoiding/handling obstacles. The two files are:

- drone\_env\_limited.py: Defines an environment class DroneCoverageEnvAdaptiveLimited
  that manages drone spawning, movement, toggling on/off, obstacle generation, and a multicomponent reward system.
- 2. train\_agent.py: Implements tabular Q-learning on the environment, logs progress, and tests a learned policy.

We will walk through how each function works and the rationale behind them.

# 2 drone\_env\_limited.py

import numpy as np
import random

Listing 1: drone\_env\_limited.py

class DroneCoverageEnvAdaptiveLimited:

"""

An "adaptive" environment with up to max\_drones total.

- You can spawn new drones (choose radius from a user-defined list) until the limit.
- You can toggle drones on/off, move them, or remove them.
- Same reward structure as the original "adaptive" approach:

reward = coverage\_fraction

- alpha \* overlap\_fraction
- beta \* uncovered\_fraction
- gamma\_penalty \* (number\_of\_active\_drones)

#### Terminal conditions:

- 1) All free cells covered,
- 2) Coverage doesn't improve for stall\_threshold steps,
- 3) max\_steps reached.

0.00

def \_\_init\_\_(self, config):

```
0.00
   We take all environment parameters from the 'config' dictionary:
   For example:
     config = {
        "N": 20,
        "M": 20,
        "available_sizes": [1,2,3,4,5,6,7,8,9,10],
        "max_drones": 10,
        "obstacle_percent": 0.1,
        "alpha_env": 0.5,
        "beta_env": 1.0,
        "gamma_penalty_env": 0.01,
        "stall_threshold_env": 5,
        "max_steps_env": 200
   Then in train_agent.py, we do:
       env = DroneCoverageEnvAdaptiveLimited(config)
   # Read environment parameters from the config dict
   self.N = config["N"]
   self.M = config["M"]
   self.available_sizes = config["available_sizes"]
   self.max_drones = config["max_drones"]
   self.obstacle_percent = config["obstacle_percent"]
   self.alpha = config["alpha_env"]  # overlap penalty weight
   self.beta = config["beta_env"]
                                          # uncovered area penalty
   self.gamma_penalty = config["gamma_penalty_env"]
   self.stall_threshold = config["stall_threshold_env"]
   self.max_steps = config["max_steps_env"]
   # Internal state
   self.done = False
   self.obstacles = set()
   self.num_free_cells = self.N * self.M
   self.drones = [] # list of dicts: {"x": int, "y": int, "radius": int, "active":
       bool}
   self.previous_coverage = 0
   self.stall_counter = 0
   self.steps_taken = 0
def reset(self):
   Resets environment:
     - new obstacles
     - clear drones
     - reset coverage tracking
   Returns an observation describing all drones (positions, radius, active) +
       obstacles.
   0.00
   self.done = False
```

```
self._generate_obstacles()
   self.drones = []
   self.previous_coverage = 0
   self.stall_counter = 0
   self.steps_taken = 0
   return self._get_observation()
def _generate_obstacles(self):
   total_cells = self.N * self.M
   num_obstacles = int(self.obstacle_percent * total_cells)
   all_cells = [(x, y) for x in range(self.N) for y in range(self.M)]
   obstacle_cells = random.sample(all_cells, num_obstacles)
   self.obstacles = set(obstacle_cells)
   self.num_free_cells = total_cells - num_obstacles
def _get_observation(self):
   0.00
   Return a Python object summarizing drones + obstacles.
   In tabular Q-learning, you'd eventually hash or convert this to a string.
   drones_repr = []
   for d in self.drones:
       drones_repr.append((d["x"], d["y"], d["radius"], d["active"]))
   obs = {
       "drones": drones_repr,
       "obstacles": list(self.obstacles),
   return obs
def step(self, action):
   action: dict with keys
       "type": <"SPAWN", "ACT", "NOOP">,
       "radius": <int if "SPAWN">,
       "drone_index": <int if "ACT">,
       "move": <0..4 or "TOGGLE" or "REMOVE">
     }
   We apply the action (spawn / act / noop), then compute coverage & overlap
   to generate the reward, check terminal conditions, and return:
      next_obs, reward, done, info
   # 1) Process the action
   if action["type"] == "SPAWN":
       self._spawn_drone(action.get("radius", 1))
   elif action["type"] == "ACT":
       idx = action.get("drone_index", -1)
       move = action.get("move", None)
       self._act_on_drone(idx, move)
   elif action["type"] == "NOOP":
       pass
   else:
```

```
pass # ignore invalid
   # 2) Compute coverage & overlap
   coverage_count, overlap_count = self._compute_coverage_and_overlap()
   coverage_fraction = coverage_count / float(self.num_free_cells) if self.
       num_free_cells > 0 else 1.0
   overlap_fraction = overlap_count / float(self.num_free_cells) if self.
       num_free_cells > 0 else 0.0
   uncovered_fraction = 1.0 - coverage_fraction
   num_active = sum(d["active"] for d in self.drones)
   # 3) Compute reward
   reward = (coverage_fraction
            - self.alpha*overlap_fraction
            - self.beta*uncovered_fraction
            - self.gamma_penalty*num_active)
   # 4) Check terminal conditions
   if coverage_count == self.num_free_cells:
       self.done = True
   if coverage_count > self.previous_coverage:
       self.previous_coverage = coverage_count
       self.stall_counter = 0
   else:
       self.stall_counter += 1
       if self.stall_counter >= self.stall_threshold:
          self.done = True
   self.steps_taken += 1
   if self.steps_taken >= self.max_steps:
       self.done = True
   # 5) Return next_obs, reward, done, {}
   return self._get_observation(), reward, self.done, {}
def _spawn_drone(self, radius):
   Spawn a new drone, if we haven't reached self.max_drones.
   If 'radius' is not in self.available_sizes, pick a random one.
   if len(self.drones) >= self.max_drones:
       return # can't spawn more
   if radius not in self.available_sizes:
       radius = random.choice(self.available sizes)
   x = random.randint(0, self.N-1)
   y = random.randint(0, self.M-1)
   d = {"x": x, "y": y, "radius": radius, "active": True}
   self.drones.append(d)
def _act_on_drone(self, idx, move):
```

```
If valid idx, apply the specified move:
     - "REMOVE", "TOGGLE", or one of the movement codes (0..4)
   if idx < 0 or idx >= len(self.drones):
       return
   drone = self.drones[idx]
   if move == "REMOVE":
       self.drones.pop(idx)
       return
   if move == "TOGGLE":
       drone["active"] = not drone["active"]
   if not drone["active"]:
       # no movement if inactive
       return
   x, y = drone["x"], drone["y"]
   if move == 0: # UP
       x = \max(0, x-1)
   elif move == 1: # DOWN
       x = \min(self.N-1, x+1)
   elif move == 2: # LEFT
       y = \max(0, y-1)
   elif move == 3: # RIGHT
       y = \min(self.M-1, y+1)
   elif move == 4: # STAY
       pass
   drone["x"], drone["y"] = x, y
def _compute_coverage_and_overlap(self):
   cover_counter = {}
   for d in self.drones:
       if not d["active"]:
           continue
       x_d, y_d, r = d["x"], d["y"], d["radius"]
       for x_cell in range(self.N):
           for y_cell in range(self.M):
              if (x_cell, y_cell) in self.obstacles:
                  continue
              dist = abs(x_cell - x_d) + abs(y_cell - y_d)
              if dist <= r:</pre>
                  cover_counter[(x_cell, y_cell)] = cover_counter.get((x_cell, y_cell))
                      ), 0) + 1
   coverage_count = sum(1 for cval in cover_counter.values() if cval >= 1)
   overlap_count = sum(1 for cval in cover_counter.values() if cval >= 2)
   return coverage_count, overlap_count
```

# 2.1 Function-by-Function Explanation

# 2.1.1 \_\_init\_\_(config)

- Reads environment parameters from the **config** dictionary (grid size, obstacle fraction, reward coefficients, etc.).
- Initializes internal state, including:
  - self.drones: an empty list that will hold drone data as dictionaries.
  - self.obstacles: an empty set, later populated in \_generate\_obstacles.
  - stall\_counter: tracks how many consecutive steps we've gone without improving coverage.
  - previous\_coverage: stores coverage of the prior step to detect stalls.

#### 2.1.2 reset()

- Called at the start of each new episode.
- Regenerates obstacles randomly by calling \_generate\_obstacles(), clears any existing drones, and resets counters (stall, coverage, steps).
- Returns an initial observation via \_get\_observation.

# 2.1.3 \_generate\_obstacles()

- Randomly selects a certain percentage (given by obstacle\_percent) of the total grid cells to become obstacles.
- Stores them in self.obstacles.
- Adjusts self.num\_free\_cells to reflect non-obstacle cells.

#### 2.1.4 \_get\_observation()

- Collects a list of drone data: (x, y, radius, active) for each drone in self.drones.
- Returns a dictionary:

```
{
  "drones": [ (x, y, radius, active), ... ],
  "obstacles": [ (ox, oy), ... ]
}
```

• Used by the Q-learning agent for hashing or direct consumption.

# 2.1.5 step(action)

• Core environment update method. Expects an action dictionary with:

```
"type": One of {"SPAWN", "ACT", "NOOP"}.

"radius": An integer radius, if type is "SPAWN".

"drone_index": If type = "ACT", which drone we are acting on.
```

"move": The operation for that drone: "REMOVE", "TOGGLE", or an integer (0..4).

- After processing action, calls \_compute\_coverage\_and\_overlap() to gather coverage stats.
- Computes the step reward:

```
reward = coverage\_fraction - \alpha \times overlap\_fraction - \beta \times uncovered\_fraction - \gamma\_penalty \times num\_active\_drones.
```

- Checks if we reached terminal conditions (full coverage, stall threshold, max steps).
- Returns (next\_obs, reward, done, {}).

# 2.1.6 \_spawn\_drone(radius)

- Creates a new drone with the requested radius, if we are below self.max\_drones.
- Places it randomly in the grid, sets active = True.

# 2.1.7 \_act\_on\_drone(idx, move)

- For an existing drone idx, apply:
  - REMOVE: remove from self.drones.
  - TOGGLE: flip drone["active"].
  - 0..4: move drone up, down, left, right, or stay (assuming it is active).

#### 2.1.8 \_compute\_coverage\_and\_overlap()

- Iterates over all active drones. For each drone's coverage radius, mark any grid cell within that radius (Manhattan distance) as covered.
- cover\_counter[(x\_cell, y\_cell)] increments if multiple drones cover that same cell.
- coverage\_count = number of cells covered by at least 1 drone.
- overlap\_count = number of cells covered by 2 or more drones (which is penalized in the reward).

# 3 train\_agent.py

Listing 2: train\_agent.py

```
# -----
   "N": 10,
   "M": 10,
   "available_sizes": [1,2,3,4,5,6,7,8,9,10],
   "max_drones": 10,
   "obstacle_percent": 0.1,
   "alpha_env": 0.3,
   "beta_env": 0.8,
   "gamma_penalty_env": 0.005,
   "stall_threshold_env": 5,
   "max_steps_env": 200,
   # -----
   # Q-LEARNING hyperparameters
   # -----
   "num_episodes": 2000,
   "gamma_rl": 0.9,
   "alpha_rl": 0.05,
   "epsilon_rl": 1.0,
   "epsilon_decay": 0.995,
   "epsilon_min": 0.05,
}
# HELPER FUNCTIONS
def state_to_str(obs):
   Convert the environment's observation (list of drones) into a canonical string.
   We'll sort drones by (radius, x, y, active) so permutations map to the same state.
   drones = obs["drones"]
   canonical = []
   for (x,y,r,a) in drones:
      a_bit = 1 if a else 0
      canonical.append((r,x,y,a_bit))
   canonical.sort()
   return str(canonical)
def possible_actions(env):
   Enumerate all top-level actions:
    1) NOOP
    2) SPAWN for each radius (if below max_drones)
    3) ACT for each drone: 'REMOVE', 'TOGGLE', or move 0..4
   actions = [{"type":"NOOP"}]
   # If we can spawn more drones, add spawn actions
   if len(env.drones) < env.max_drones:</pre>
      for r in env.available_sizes:
         actions.append({"type": "SPAWN", "radius": r})
```

```
# For each existing drone, define possible "ACT" actions
   for i in range(len(env.drones)):
      actions.append({"type":"ACT", "drone_index": i, "move":"REMOVE"})
      actions.append({"type":"ACT", "drone_index": i, "move":"TOGGLE"})
      for move in range(5):
         actions.append({"type":"ACT", "drone_index": i, "move":move})
   return actions
def safe_get(Q_table, s, a):
   Q_table: dict { state_str: { action_str: q_value } }
   Returns Q_table[s][a], creating if needed.
   if s not in Q_table:
      Q_{table[s]} = {}
   if a not in Q_table[s]:
      Q_{table[s][a]} = 0.0
   return Q_table[s][a]
# Q-LEARNING FUNCTION
def Q_learning_adaptive_limited(config):
   Tabular Q-learning using the DroneCoverageEnvAdaptiveLimited environment.
   We read environment params and RL hyperparams from 'config'.
   In addition to printing each episode's reward, we'll write it to 'training_log.txt'.
   # 1. Create the environment
   env = DroneCoverageEnvAdaptiveLimited(config)
   # 2. Extract RL hyperparams
   num_episodes = config["num_episodes"]
            = config["gamma_rl"]
   gamma
             = config["alpha_rl"]
   alpha
            = config["epsilon_rl"]
   epsilon_decay= config["epsilon_decay"]
   epsilon_min = config["epsilon_min"]
   # 3. Initialize Q-table
   Q_table = {}
   # Open a text file for logging
   with open("training_log.txt", "w") as log_file:
      # 4. Run episodes
      for ep in range(num_episodes):
         obs = env.reset()
         s_str = state_to_str(obs)
         done = False
```

```
episode_reward = 0.0
       while not done:
           acts = possible_actions(env)
           # Epsilon-greedy selection
           if random.random() < epsilon:</pre>
              act = random.choice(acts)
           else:
              best_q = float("-inf")
              chosen = acts[0]
              for a in acts:
                  q_val = safe_get(Q_table, s_str, str(a))
                  if q_val > best_q:
                      best_q = q_val
                      chosen = a
              act = chosen
           next_obs, reward, done, _ = env.step(act)
           episode_reward += reward
           sp_str = state_to_str(next_obs)
           old_q = safe_get(Q_table, s_str, str(act))
           if sp_str not in Q_table:
              Q_table[sp_str] = {}
           if not done:
              # compute best Q in the next state
              next_acts = possible_actions(env)
              best_next = float("-inf")
              for na in next_acts:
                  val = safe_get(Q_table, sp_str, str(na))
                  if val > best_next:
                     best_next = val
              td_target = reward + gamma * best_next
           else:
              td_target = reward
           new_q = old_q + alpha * (td_target - old_q)
           Q_table[s_str][str(act)] = new_q
           s_str = sp_str
       # Print the episode summary
       print(f"Episode_{ep+1}/{num_episodes}_-total_reward:_{episode_reward:.3f}")
       # Write to file
       log_file.write(f"Episode_{ep+1}/{num_episodes}_-total_reward:_{episode_reward
           :.3f}\n")
       # decay epsilon
       if epsilon > epsilon_min:
           epsilon *= epsilon_decay
return Q_table
```

```
# RUNNING THE LEARNED POLICY
def run_trained_policy(Q_table, config):
  Run the learned policy in a fresh environment using the same environment parameters
  from 'config'. Return the total reward and final observation.
  env = DroneCoverageEnvAdaptiveLimited(config)
  obs = env.reset()
  s_str = state_to_str(obs)
  done = False
  total_r = 0.0
  steps = 0
  max_steps_run = config["max_steps_env"]
  while not done and steps < max_steps_run:</pre>
     acts = possible_actions(env)
     best_q = float("-inf")
     chosen = acts[0]
     for a in acts:
        q_val = safe_get(Q_table, s_str, str(a))
        if q_val > best_q:
           best_q = q_val
           chosen = a
     next_obs, reward, done, _ = env.step(chosen)
     total_r += reward
     s_str = state_to_str(next_obs)
     steps += 1
  return total_r, env._get_observation()
# MAIN DEMO FUNCTION
def demo_training():
  Q_table = Q_learning_adaptive_limited(CONFIG)
  # Save Q_table
  with open('Q_table_adaptive_limited.pickle', 'wb') as f:
     pickle.dump(Q_table, f, protocol=pickle.HIGHEST_PROTOCOL)
  # Test run
  total_r, final_obs = run_trained_policy(Q_table, CONFIG)
  print(f"\\nTest_run_=>_total_reward:_{total_r:.3f}._Final_drones:_{final_obs['drones
      <sup>'</sup>]}")
if __name__ == "__main__":
```

# 3.1 Function-by-Function Explanation

#### **3.1.1** CONFIG

A single dictionary holding environment hyperparameters and Q-learning hyperparameters. For instance:

- N. M: Grid size.
- alpha\_env, beta\_env, gamma\_penalty\_env: Coefficients for reward shaping.
- num\_episodes, alpha\_rl, epsilon\_rl: RL parameters such as the number of episodes, learning rate, and exploration rate.

# 3.1.2 state\_to\_str(obs)

- Takes an obs which is a dictionary with "drones" and "obstacles".
- Focuses on obs["drones"], which is a list of tuples (x, y, radius, active).
- Sorts them by (radius, x, y, active) to get a canonical order (otherwise, permutations of the same set of drones would produce different strings).
- Returns the string representation to serve as a key in the Q-table dictionary.

## 3.1.3 possible\_actions(env)

- Enumerates all valid top-level actions.
- Always includes:
  - {"type": "NOOP"} for doing nothing.
- If the environment has fewer drones than max\_drones, we add SPAWN actions for each possible radius in env.available\_sizes.
- For each existing drone index i:
  - REMOVE: remove the drone
  - TOGGLE: toggle active on/off (unless code was modified to remove toggling).
  - Movement: 0..4 meaning UP, DOWN, LEFT, RIGHT, STAY.
- The final list of dictionaries is returned to the Q-learner, which picks from them.

## 3.1.4 safe\_get(Q\_table, s, a)

- A small helper ensuring Q\_table[s][a] always exists. Initializes to 0.0 if absent.
- Returns that Q-value.

# 3.1.5 Q\_learning\_adaptive\_limited(config)

Main Q-learning routine:

- 1. Creates the environment with DroneCoverageEnvAdaptiveLimited(config).
- 2. Extracts RL hyperparams like num\_episodes, gamma\_rl, alpha\_rl, etc.
- 3. Initializes an empty dictionary Q\_table.
- 4. Loops over episodes:
  - Resets environment, obtains initial obs.
  - Converts obs to a string s\_str.
  - While not done:
    - (a) Compute acts = possible\_actions(env).
    - (b) Pick an action by epsilon-greedy from Q\_table[s\_str].
    - (c) Step the environment, get next\_obs, reward, done.
    - (d) Convert next observation to sp\_str.
    - (e) Q-update:

$$Q(s, a) \leftarrow Q(s, a) + \alpha \left[ r + \gamma \max_{a'} Q(s', a') - Q(s, a) \right].$$

- Print and log the episode reward.
- Decay  $\epsilon$ .
- 5. Returns the learned Q\_table.

## 3.1.6 run\_trained\_policy(Q\_table, config)

- Creates a new environment with the same config.
- Resets to get initial state string.
- Takes deterministic actions by picking the action with highest Q-value in the current state.
- Accumulates reward until done or max\_steps\_run steps are reached.
- Returns the total reward and the final observation (drone positions).

#### 3.1.7 demo\_training()

- Calls Q\_learning\_adaptive\_limited to train and get a Q\_table.
- Saves Q\_table to Q\_table\_adaptive\_limited.pickle.
- Tests the policy via run\_trained\_policy.
- Prints final reward and drone configuration.

# 4 Key Observations and Summary

- Adaptive Drone Approach. The ability to spawn or remove drones, plus toggling them, gives the policy flexibility to maintain an optimal set of active drones. Drones that add more overlap or cost than benefit can be turned off or removed.
- **Reward Shaping.** The environment returns a composite reward balancing coverage, penalizing overlap, penalizing uncovered areas, and penalizing using many active drones.
- Terminal Criteria. Episode ends if:
  - 1. Full coverage is achieved.
  - 2. Coverage does not improve for stall\_threshold\_env steps.
  - 3. max\_steps\_env is reached.
- Epsilon-Greedy and Q-Table. The code uses tabular Q-learning with state strings. For bigger grids or more drones, the state space becomes huge, so in practice, one might switch to function approximation or deep RL.

This system demonstrates how to integrate a multi-action adaptive environment with a straightforward RL agent. By carefully constructing the environment, enumerating possible actions, and storing Q-values in a dictionary keyed by a canonical state string, one can systematically learn improved coverage policies over many episodes.