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# UNiTE: Unitary N-body Tensor Equivariant Network with Applications to Quantum Chemistry

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## Abstract

1 Equivariant neural networks have been successful in incorporating various types of  
2 symmetries, but are mostly limited to vector representations of geometric objects.  
3 Despite the prevalence of higher-order tensors in various application domains, e.g.  
4 in quantum chemistry, equivariant neural networks for general tensors remain  
5 unexplored. Previous strategies for learning equivariant functions on tensors  
6 mostly rely on expensive tensor factorization which is not scalable when the  
7 dimensionality of the problem becomes large. In this work, we propose unitary  
8  $N$ -body tensor equivariant neural network (UNiTE), an architecture for a general  
9 class of symmetric tensors called  $N$ -body tensors. The proposed neural network  
10 is equivariant with respect to the actions of a unitary group, such as the group  
11 of 3D rotations. Furthermore, it has a linear time complexity with respect to the  
12 number of non-zero elements in the tensor. We also introduce a normalization  
13 method, viz., Equivariant Normalization, to improve generalization of the neural  
14 network while preserving symmetry. When applied to quantum chemistry, UNiTE  
15 in combination with a physics-based molecular representation outperforms all state-  
16 of-the-art machine learning methods on multiple benchmarks. Finally, we show  
17 that UNiTE achieves a robust zero-shot generalization performance on diverse  
18 down stream chemistry tasks, while being three orders of magnitude faster than  
19 conventional numerical methods with competitive accuracy.

## 20 1 Introduction

21 Geometric deep learning is focused on building neural network models for geometric objects, and it  
22 needs to encode the symmetries present in the problem domain [1]. A geometric object is usually  
23 represented using a reference frame input to the neural network model. Symmetries are incorporated  
24 via the concept of *equivariance* defined as the property of being independent of the choice of reference  
25 frame.

26 One intuitive and common way to encode a geometric object is to represent it as the positions of a  
27 collection of points, i.e. a set of vectors. Examples include point clouds [2], grids [3] and meshes  
28 [4]. Many previous geometric learning methods, termed *equivariant neural networks*, have been  
29 designed by considering how the vectors transform under symmetry operations on the reference  
30 frames. These equivariant neural networks have successfully ‘baked’ symmetries into deep neural  
31 networks in various application domains, such as autonomous driving [5] and molecular design [6].

32 However, we identify two remaining challenges that are not addressed in prior works:

- 33 (a) Prior works mostly focus on vector (i.e. order-1 tensor) representations of a geometric entity,  
34 but constructing equivariant neural networks for general tensors is largely unexplored;

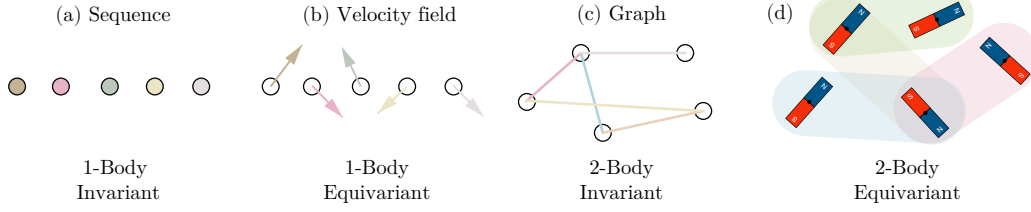


Figure 1: Examples of  $N$ -body tensors.

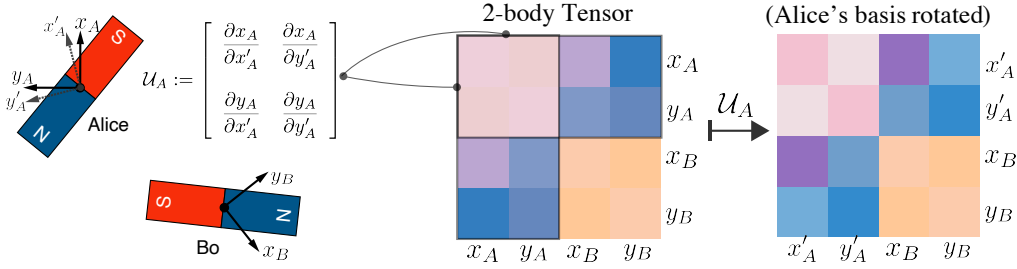


Figure 2: Illustrating an  $N$ -body tensor with  $N = 2$ . Imagine Alice and Bo are doing experiments with two bar magnets without knowing each other's reference frame. The magnetic interactions depend on both bar magnets' orientations and can be written as a 2-body tensor. When Alice make a rotation on her reference frame, sub-tensors containing index  $A$  are transformed by a unitary matrix  $\mathcal{U}_A$ , giving rise to the 2-body tensor coefficients in the transformed basis. We design neural network to be equivariant to all such local basis transformations.

35 (b) They are often specific to one form of data (e.g., point clouds or grids) or one class of groups,  
 36 while lacking a more generic framework.

37 Higher-order tensors are ubiquitous, and many famous problems in physical science, e.g. general  
 38 relativity, are defined using tensors of order larger than one. However, it is challenging to directly  
 39 apply equivariant neural networks for learning on those tensors. First, the order of learning targets of  
 40 interest, mostly scalars (order-0) or vectors (order-1), usually differ from the order of input tensors and  
 41 cannot be handled in those frameworks. Second, many equivariant neural networks rely on computing  
 42 tensor products of vectors and their decompositions in the neural network building blocks [2, 7],  
 43 which is not applicable to cases where the inputs are tensors. A few works designed for learning  
 44 equivariant functions on tensors in specific domains rely on tensor factorization [8], which is not  
 45 scalable when the order of tensors or the dimension of the physical spaces become large.

46 The absence of a more generic framework may limit their applicability when symmetries in the  
 47 learning problem become more complicated. For example, in particle physics, each particle is linked  
 48 with a different symmetry group [9]. Without a unified approach one has to exhaustively formulate  
 49 equivariant neural networks for every instance of such systems.

50 In this work, we are interested in general tensors that encode *relations* among multiple geometric  
 51 objects. We define a class of tensors  $\mathbf{T}$ , which we call *N-body tensors*, that can describe such  
 52  $N$ -object relations. Many forms of data can be interpreted as examples of  $N$ -body tensors (Figure 1).  
 53 For example, sequences are scalar-valued features concatenated together to form a flattened array  
 54 which is an order-1 tensor (e.g. word embeddings). They do not contain any positional information in  
 55 physical space, therefore their values are trivially unchanged when rotating the reference frame. Thus  
 56 sequences are classified as 1-body invariant tensors. Velocity fields can be understood as sequences  
 57 with an extra directional information. When rotating the reference frame, the values recorded on  
 58  $xyz$ -components of each sub-vector changes equivariantly. Therefore velocity fields are interpreted  
 59 as 1-body equivariant tensors. Graphs encode relational information between a set of nodes, defined

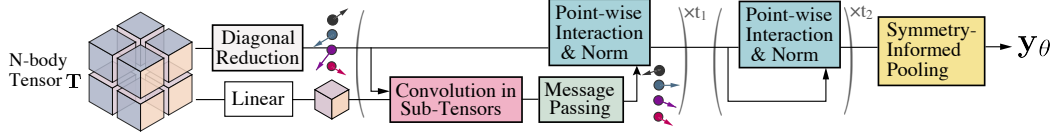


Figure 3: The UNiTE model architecture. UNiTE first initialize order-1 (vector) representations via a diagonal sub-tensor reduction layer (Section 4.2), then updates representations through convolution, message-passing (Section 4.1), and point-wise interaction blocks with Equivariant Normalization (Section 4.3). A symmetry-informed pooling is used at the end to readout the predictions  $\mathbf{y}_\theta$ .

by their adjacency matrices (order-2 tensors). Since the adjacency matrix of a graph only contains scalar values, graphs are also rotation-invariant and are 2-body invariant tensors.

To further motivate towards the general  $N$ -body case, we can consider a toy model where many bar magnets are placed on a table (Figure 1d). The magnetic interaction between a pair of bar magnets (say, Alice and Bo) in fact cannot be simply expressed by the magnets’ orientation vectors, but need to be written as a  $2 \times 2$  matrix dependent on Alice’s and Bo’s reference frames. Values on the matrix are rotationally-equivariant because its columns and rows are transformed by a rotation matrix as Alice or Bo rotates their reference frames (Figure 2); hence, this is an example of 2-body equivariant tensor. Given  $M$  bar magnets, the 2-body tensor representing the system depicted in Figure 1d is thus a  $(2M \times 2M)$  square matrix stacked from such  $2 \times 2$  matrices, which may be also viewed as a graph of those order-2 sub-tensors (matrices).

We propose UNiTE for the general case of  $N$ -body equivariant tensors where both  $N$ -object relations and physical-space information are present. **Our contributions are:**

- We present Unitary  $N$ -body Tensor Equivariant neural network (UNiTE), a novel architecture for  $N$ -body tensors of any  $N$  in arbitrary-dimensional physical spaces. It is equivariant with respect to unitary transformations on the reference frames and tensor index permutations.
- The proposed approach realizes equivariance without requiring explicit tensor factorization operations. It is efficient, having a linear time complexity with respect to the number of non-zero elements in the tensor.
- Generalization and training stability of equivariant neural networks is improved using a simple but effective normalization scheme, Equivariant Normalization (EvNorm), proposed in this paper.

UNiTE has a modular architecture (Figure 3) that updates a set of latent order-1 (i.e. vectors) representations:  $\mathbf{h}^{t=0} \mapsto \mathbf{h}^{t=1} \mapsto \dots \mapsto \mathbf{h}^{t=t_f}$  and performs pooling at the end. The vector representations are defined on each geometric object  $\mathbf{h}^t := [\mathbf{h}_1^t, \mathbf{h}_2^t, \dots, \mathbf{h}_d^t]$ .

The initial vector representations  $\mathbf{h}^{t=0}$  are generated by decomposing diagonal sub-tensors of the  $N$ -body tensor into vectors without explicitly solving tensor factorization, based on a theoretical result in this work which is connected to the Wigner-Eckart Theorem in quantum physics [10]. We now give some intuitive explanations for our theoretical results: the diagonal sub-tensors can be viewed as isolated systems because they can be modeled as being infinitely apart and only interacting with an external field. The Wigner-Eckart Theorem describes rotational symmetry for such isolated systems, and we generalize it to  $N$ -body tensors in our work.

Each update step  $\mathbf{h}^t \mapsto \mathbf{h}^{t+1}$  is composed of (a) Convolution, (b) Message passing, and (c) Point-wise interaction blocks. All such blocks are designed to be equivariant with respect to index permutations and both globally-applied and locally-applied reference-frame transformations, by (a) contracting the  $N$ -body tensor with vector representations  $\mathbf{h}^t$  to make the contracted tensor dimensions invariant to reference-frame transformations, and (b) designing neural network layers on  $\mathbf{h}^t$  that preserve its transformation rule under rotations and reflections. Thus, we have an end-to-end equivariant neural network.

In an update step  $\mathbf{h}^t \mapsto \mathbf{h}^{t+1}$ , each sub-tensor of the  $N$ -body tensor input  $\mathbf{T}$  is first contracted with products of the vector representations  $\mathbf{h}^t$ . This tensor contraction operation can be interpreted as performing an  $(N - 1)$  dimensional convolution using the sub-tensors of  $\mathbf{T}$  as convolution kernels, therefore we call it *convolution-in-sub-tensors* block. The convolution outputs from each sub-tensor

is an order-1 tensor (i.e. vectors); those convolution outputs are then passed into a *message-passing* block, which is analogous to a message-passing operation on edges in graph neural networks (GNNs) but are performed on edges connecting  $N$  nodes. The outputs are then fed into a *point-wise interaction* block with the previous-step representation  $\mathbf{h}^t$  to complete the update  $\mathbf{h}^t \mapsto \mathbf{h}^{t+1}$ . The point-wise interaction blocks are constructed as a stack of multi-layer perceptrons (MLPs), vector outer-products and skip connections. Within those blocks, a *matching layer* is used to ‘glue’ the basis of  $\mathbf{h}^t$  with the basis used to define the tensor  $\mathbf{T}$ . Presence of the matching layer ensures equivariance is maintained.”.

In addition, we propose a novel normalization layer, Equivariant Normalization (EvNorm). EvNorm normalizes the scales of vectors in the order-1 (i.e. vectors) representation, while recording those vectors’ directional information to be recovered after normalization. Therefore it performs normalization without sacrificing model equivariance. EvNorm can be feasibly integrated within a point-wise interaction block through first applying EvNorm on the input vector representations, then using an MLP to the array of normalized vector scales, and finally multiplying the vector directions recorded by EvNorm to the MLP’s output. In practice, we found using EvNorm within the point-wise interaction blocks greatly stabilizes training, improves model generalization, and eliminates the need for hand-tuning weight initializations and learning rates across different tasks.

In UNiTE, the only operation explicitly performed on the tensor input is the  $(N - 1)$  dimensional convolution, which has a linear time complexity with respect to the number of non-zero elements in the  $N$ -body tensor. The scalability of the architecture with respect to the number of physical interactions in the learning task is therefore ensured.

**Quantum chemistry with UNiTE.** One main motivation for us to develop UNiTE is to enable learning quantum chemistry properties based on 2-body and higher-order tensor representations of molecules. Such  $N$ -body tensor molecular representations are necessary to encode the interactions between electrons and atoms, since their motions are quantum-mechanical and are described by high-dimensional functions. Furthermore, the chemical properties predicted by the model need to satisfy their symmetry constraints. When applied to quantum chemistry, empirically we find UNiTE in combination with a quantum-mechanical-approximation-based molecular representation showing superior performance over all existing machine learning approaches for that domain which were only capable of utilizing molecular geometry inputs. On average, it outperforms the state-of-the-art methods by **150%** on QM9, **114%** on MD17 and **50-75%** on electron densities. In addition, it outperforms previous method that uses the same physics-based molecular representation by 45% for learning the formation energies on QM9.

Beyond data efficiency on benchmarks in train-test split settings, a more practical and challenging aspect is whether the neural network can deduce down-stream properties of humans’ interest once being trained on primitive physical quantities. This aspect is even more critical in the domain of quantum chemistry, where all chemical reactions are fundamentally related to the energies of molecules through the famous Schrödinger equation, but directly obtaining down-stream properties about chemical reactions by solving the Schrödinger equation is both theoretically and computationally prohibitive. In this work, we find UNiTE model pre-trained on energies of 236k molecules achieves robust performance on various practical, down stream chemistry tasks without any model fine-tuning. Even in such a zero-shot setting, it offers an accuracy similar or better than conventional numerical methods with up to **3-orders-of-magnitude** speedup.

## 2 Related works

**Equivariant neural networks.** Equivariant neural networks were first introduced for homogeneous grid and point cloud data[2, 3, 7, 11], and have been generalized to symmetries on non-Euclidean manifolds[4, 12, 13]. While being powerful, those architectures are typically designed based on order-1 tensors in the geometry. In contrast, our goal is to develop equivariant neural network operating on a  $N$ -body tensors for geometric data that may entail higher-order relational information.

**Graph neural networks.** Graph neural networks (GNNs) are gaining popularity for learning on relational data [14, 15]. They are permutation-equivariant with respect to reordering the node indices in the graph. Recent works have extended graph neural networks to hyper-graphs [16, 17], as well as to equivariance under continuous symmetry transformations [11, 18] when the set of nodes in the graph correspond to point clouds in the Euclidean space. GNNs on point clouds primarily focused on

the global 3D rotational symmetry, and achieve rotation-equivariance using either a set of harmonic basis functions [2, 19] or the simpler standard basis [18, 20]. Some GNNs have also been developed with special interests in N-body physical simulation data or quantum chemistry [19–26].

**Equivariance for order- $N$  tensors.** To our best knowledge, closest to ours in spirit is a pair of recent works [8, 27] developed for a special class of order-2  $SU(n)$  tensors in a high-energy physics problem. They proposed to apply learnable equivariant transformations on those order-2 tensors through eigen-decomposition, with a symmetrization algorithm eigenvalues to enforce permutation equivariance. But in a general order- $N$  setting, such an approach requires performing tensor factorization for each order- $N$  sub-tensor, which would introduce significant computational costs and can be intractable when  $N$  or  $n$  become large.

### 3 $N$ -body tensors

We are interested in a class of tensors  $\mathbf{T}$ , for which each sub-tensor  $\mathbf{T}(u_1, u_2, \dots, u_N)$  describes relation among a collection of  $N$  geometric objects defined in an  $n$ -dimensional physical space. For simplicity, we will introduce the tensors of interest using a special case based on point clouds embedded in the  $n$ -dimensional Euclidean space, associating a (possibly different) set of orthogonal basis with each point’s neighbourhood. In this setting, our main focus is the change of the order- $N$  tensor’s coefficients when applying  $n$ -dimensional rotations and reflections to the local reference frames. Our proposed approach can be generalized to non-flat manifolds, harmonic basis and complex fields, and a general problem statement will be provided in Appendix A.2.

**Definition 1 ( $N$ -body tensor).** Let  $\{\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_d\}$  be  $d$  points in  $\mathbb{R}^n$  for each  $u \in \{1, 2, \dots, d\}$ . For each point index  $u$ , we define an orthonormal basis (local reference frame)  $\{\mathbf{e}_{u;v_u}\}$  centered at  $\mathbf{x}_u$ <sup>1</sup>, and denote the space spanned by the basis as  $V_u := \text{span}(\{\mathbf{e}_{u;v_u}\}) \subseteq \mathbb{R}^n$ . We consider a tensor  $\hat{\mathbf{T}}$  defined via  $N$ -th direct products of the ‘concatenated’ basis  $\{\mathbf{e}_{u;v_u}; (u, v_u)\}$ :

$$\hat{\mathbf{T}} := \sum_{\vec{u}, \vec{v}} T((u_1; v_1), (u_2; v_2), \dots, (u_N; v_N)) \mathbf{e}_{u_1;v_1} \otimes \mathbf{e}_{u_2;v_2} \otimes \dots \otimes \mathbf{e}_{u_N;v_N} \quad (1)$$

$\hat{\mathbf{T}}$  is a tensor of order- $N$  and is an element of  $(\bigoplus_{u=1}^d V_u)^{\otimes N}$ . We call its coefficients  $\mathbf{T}$  an  $N$ -body tensor if  $\mathbf{T}$  is invariant to global translations ( $\forall \mathbf{x}_0 \in \mathbb{R}^n, \mathbf{T}[\mathbf{x}] = \mathbf{T}[\mathbf{x} + \mathbf{x}_0]$ , and is symmetric:

$$T((u_1; v_1), (u_2; v_2), \dots, (u_N; v_N)) = T((u_{\sigma_1}; v_{\sigma_1}), (u_{\sigma_2}; v_{\sigma_2}), \dots, (u_{\sigma_N}; v_{\sigma_N})) \quad (2)$$

where  $\sigma$  denotes arbitrary permutation on its dimensions  $\{1, 2, \dots, N\}$ . Note that each sub-tensor,  $\mathbf{T}_{\vec{u}}$ , does not have to be symmetric. The shorthand notation  $\vec{u} := (u_1, u_2, \dots, u_N)$  indicates a subset of  $N$  points in  $\{\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_d\}$  which then identifies a sub-tensor<sup>2</sup>  $\mathbf{T}_{\vec{u}} := \mathbf{T}(u_1, u_2, \dots, u_N)$  in the  $N$ -body tensor  $\mathbf{T}$ ;  $\vec{v} := (v_1, v_2, \dots, v_N)$  index a coefficient  $T_{\vec{u}}(v_1, v_2, \dots, v_N) := T((u_1; v_1), (u_2; v_2), \dots, (u_N; v_N))$  in a sub-tensor  $\mathbf{T}_{\vec{u}}$ , where each index  $v_j \in \{1, 2, \dots, \dim(V_{u_j})\}$  for  $j \in \{1, 2, \dots, N\}$ .

We aim to build neural networks  $\hat{\mathcal{F}}_\theta : (\bigoplus_{u=1}^d V_u)^{\otimes N} \rightarrow \mathcal{Y}$  that map  $\hat{\mathbf{T}}$  to order-1 tensor- or scalar-valued outputs  $\mathbf{y} \in \mathcal{Y}$ . While  $\hat{\mathbf{T}}$  is independent of the choice of local reference frame  $\mathbf{e}_u$ , its coefficients  $\mathbf{T}$  (i.e. the  $N$ -body tensor) vary when rotating or reflecting the basis  $\mathbf{e}_u := \{\mathbf{e}_{u;v_u}; v_u\}$ , i.e. acted by an element  $\mathcal{U}_u \in O(n)$ . Therefore, the neural network  $\hat{\mathcal{F}}_\theta$  should be constructed equivariant with respect to those reference frame transformations.

**Equivariance.** For a map  $f : \mathcal{V} \rightarrow \mathcal{Y}$  and a group  $G$ ,  $f$  is said to be  $G$ -equivariant if for all  $g \in G$  and  $\mathbf{v} \in \mathcal{V}$ ,  $g \cdot f(\mathbf{v}) = f(g \cdot \mathbf{v})$ . In our case, the group  $G$  is composed of (a) Unitary transformations  $\mathcal{U}_u$  locally applied to basis:  $\mathbf{e}_u \mapsto \mathcal{U}_u^\dagger \cdot \mathbf{e}_u$ , which are rotations and reflections for  $\mathbb{R}^n$ .  $\mathcal{U}_u$  induces

<sup>1</sup>We additionally allow for  $\mathbf{0} \in \{\mathbf{e}_{u;v_u}\}$  to represent features in  $\mathbf{T}$  that transform as scalars.

<sup>2</sup>For example, if there are  $d = 5$  points defined in the 3-dimensional Euclidean space  $\mathbb{R}^3$  and each point is associated with a standard basis  $(x, y, z)$ , then for the example of  $N=4$ , there are  $5^4$  sub-tensors and each sub-tensor  $\mathbf{T}(u_1, u_2, u_3, u_4)$  contains  $3^4 = 81$  elements with indices spanning from  $xxxx$  to  $zzzz$ . In total,  $\mathbf{T}$  contains  $(5 \times 3)^4$  coefficients. The coefficients of  $\mathbf{T}$  are in general complex-valued as formally discussed in Definition A1, but are real-valued for the special case introduced in Definition 1.

transformations on tensor coefficients:  $\mathbf{T}_{\vec{u}} \mapsto (\mathcal{U}_{u_1} \otimes \mathcal{U}_{u_2} \otimes \cdots \otimes \mathcal{U}_{u_N}) \mathbf{T}_{\vec{u}}$ , and an intuitive example for infinitesimal basis rotations in  $N = 2, n = 2$  is shown in Figure 2; (b) Tensor index permutations:  $(\vec{u}, \vec{v}) \mapsto \sigma(\vec{u}, \vec{v})$ ; (c) Global translations:  $\mathbf{x} \mapsto \mathbf{x} + \mathbf{x}_0$ . For conciseness, we borrow the term  $G$ -equivariance to say  $\hat{\mathcal{F}}_\theta$  is equivariant to all the symmetry transformations listed above.

To reiterate, **our goal** is to propose neural networks  $\hat{\mathcal{F}}_\theta$  that are not only  $G$ -equivariant, but also scalable for general values of  $N$  and  $n$ , and efficient for implementation and training in practice.

## 4 Unitary $N$ -body tensor equivariant neural network (UNiTE)

We propose our Unitary  $N$ -body Tensor Equivariant (UNiTE) neural network architecture (Figure 3). Given an input  $N$ -body tensor, UNiTE first performs an efficient tensor order reduction on diagonal sub-tensors to generate a set of representations  $\mathbf{h}^{t=0}$ . Then it updates the representations with  $t_1$  stacks of convolution, message-passing, and point-wise interaction blocks, followed by  $t_2$  stacks of point-wise self interaction blocks. Finally, a pooling operation is applied to the final representations  $\mathbf{h}^{t=t_f}$  at  $t_f = t_1 + t_2$  to readout the predictions.

### 4.1 Convolutions and message passing on $\mathbf{T}$

**Convolution in sub-tensors.** In an update step  $\mathbf{h}^t \mapsto \mathbf{h}^{t+1}$ , sub-tensors of  $\mathbf{T}$  are contracted with products of the order-1 (i.e. vectors) representations  $\mathbf{h}^t$ :

$$(\mathbf{m}_{\vec{u}}^t)_{v_1}^i = \sum_{v_2, \dots, v_N} T_{\vec{u}}(v_1, v_2, \dots, v_N) \prod_{j=2}^N (\rho_{u_j}(\mathbf{h}_{u_j}^t))_{v_j}^i \quad (3)$$

which can be viewed as a  $(N - 1)$ -dimensional convolution operation between each sub-tensor  $\mathbf{T}_{\vec{u}}$  (as convolution kernels) and the signal  $\prod_{j=2}^N \mathbf{h}_{u_j}^t$  in the  $i$ -th convolution channel. This  $(N - 1)$ -dimensional convolution gives an order-1 tensor output  $\mathbf{m}_{\vec{u}}^t$  for each sub-tensor index  $\vec{u}$ .  $\rho_u$  is called a *matching layer* at index  $u$ , which will be defined later.

**Message-passing on convolution outputs.**  $\mathbf{m}_{\vec{u}}^t$  are then aggregated into each index  $u$  by summing over the indices  $u_2, u_3, \dots, u_N$ , analogous to a ‘message-passing’ between nodes and edges in common realizations of graph neural networks [15]. We define the following equivariant message passing scheme on the (hyper-)graph defined by the the set of indices  $\vec{u}$  where  $\mathbf{T}_{\vec{u}}$  is non-zero:

$$\tilde{\mathbf{m}}_{u_1}^t = \sum_{u_2, u_3, \dots, u_N} \bigoplus_i (\mathbf{m}_{\vec{u}}^t)^i \cdot \alpha_{\vec{u}}^t \quad (4)$$

$$\mathbf{h}_{u_1}^{t+1} = \phi(\mathbf{h}_{u_1}^t, \rho_{u_1}^\dagger(\tilde{\mathbf{m}}_{u_1}^t)) \quad (5)$$

where  $\alpha_{\vec{u}}^t$  are reference-frame-invariant, scalar-valued weights for improving the network capacity, and their practical parameterizations are discussed in Appendix A.8. In Equation 5, the aggregated equivariant messages  $\tilde{\mathbf{m}}_{u_1}^t$  are interacted with  $\mathbf{h}_{u_1}^t$  through an point-wise interaction block  $\phi(\cdot, \cdot)$  to complete the update  $\mathbf{h}_{u_1}^t \mapsto \mathbf{h}_{u_1}^{t+1}$ , which we elaborate in Section 4.3. Equations 3-5 form the backbone of UNiTE, which can be shown to satisfy  $G$ -equivariance (see Appendix A.2 for proofs).

### 4.2 Embedding through diagonal sub-tensor reduction

Here we take a step backward and discuss the construction of initial order-1 representations,  $\mathbf{h}^{t=0}$ . We note that in general those order-1 embeddings can be *uniquely* extracted from the diagonal sub-tensors of the  $N$ -body tensor  $\mathbf{T}$  based on group representation theory. We use the short-hand notation  $\mathbf{T}_u$  to denote the  $u$ -th diagonal sub-tensors of  $\mathbf{T}$ , i.e.  $\{\mathbf{T}_u\} := \{\mathbf{T}_{\vec{u}}; u_1 = u_2 = \dots = u_N = u\}$ .

**Theorem 1 (Diagonal sub-tensors of an  $N$ -body tensor are reducible)** *(Informal)  $\mathbf{T}_u$  can be uniquely expressed as a linear combination of order-1 tensors (i.e. vectors). Such a linear combination coefficients do not depend on  $\mathbf{T}$ . Those order-1 tensors transform under the irreducible representations of the group  $O(n)$  when rotating or reflecting the reference frames.*

The above theorem is a consequence of group representation properties of  $O(n)$  and realizing that  $\mathbf{T}_u$  has a symmetric tensor decomposition which allows for identifying a special case of the Schur–Weyl

duality. See Appendix A.2 for a formal statement and proof. Based upon Theorem 1, we can obtain a practically useful result for generating the desired order-1 embeddings  $\mathbf{h}_u^{t=0}$  from  $\mathbf{T}_u$ :

**Lemma 1** *Let  $(\pi_l)_m$  denote the  $m$ -th basis component for the  $l$ -th irreducible representation of  $O(n)$ . For each allowed  $l, m$ , there exist  $K_{l,m} \times n^N$   $\mathbf{T}$ -independent scalar coefficients  $Q_{klm}^{\vec{v}}$  parameterizing a linear transformation  $\psi$  that performs  $\mathbf{T}_{\vec{u}} \mapsto \mathbf{h}_u$ , if  $u_1 = u_2 = \dots = u_N = u$ :*

$$(\psi(\mathbf{T}_u))_{klm} := \sum_{\vec{v}} T_u(v_1, v_2, \dots, v_N) Q_{klm}^{\vec{v}} \quad \text{for } k \in \{1, 2, \dots, K_l\} \quad (6)$$

such that the linear map  $\psi$  is injective,  $\sum_{l,m} K_{l,m} \leq n^N$ , and for each  $g_u \in O(n)$ :

$$\psi(g_u(\mathbf{T}_u))_{kl} = \pi_l(g_u) \cdot (\psi(\mathbf{T}_u))_{kl} \quad (7)$$

**Wigner-Eckart layer  $\psi$ .** Lemma 1 implies that we can use a fixed set of at most  $n^{2N}$  coefficients  $\mathbf{Q}$  to uniquely map the diagonal sub-tensors of  $\mathbf{T}$  to order-1  $G$ -equivariant embeddings  $\mathbf{h}_u^{t=0} := \psi(\mathbf{T}_u)$ , without solving tensor factorization on  $\mathbf{T}_u$ .  $\mathbf{Q}$  can be shown to further decompose into products of  $O(n)$  Clebsch-Gordan coefficients which can simplify the contraction (6), and in practice  $\mathbf{Q}$  can be numerically tabulated using integrals of radial functions and spherical harmonics (see Appendix A.2, A.5). Lemma 1 can be regarded as a generalization of the Wigner-Eckart theorem originally defined for  $(N = 2, n = 3)$ , therefore we also refer to  $\psi$  defined in (6) as a *Wigner-Eckart layer*.

### 4.3 Interacting and normalizing representations with equivariance

**Equivariant Normalization (EvNorm).** We propose a normalization scheme on order-1 tensors to improve generalization while preserving  $G$ -equivariance. Given an order-1 tensor  $\mathbf{x}$ , we define  $\text{EvNorm} : \mathbf{x} \mapsto (\bar{\mathbf{x}}, \hat{\mathbf{x}})$  where  $\bar{\mathbf{x}}$  and  $\hat{\mathbf{x}}$  are given by

$$\bar{x}_{kl} := \frac{\|\mathbf{x}_{kl}\| - \mu_{kl}^x}{\sigma_{kl}^x} \quad \text{and} \quad \hat{x}_{klm} := \frac{x_{klm}}{\|\mathbf{x}_{kl}\| + 1/\beta_{kl} + \epsilon} \quad (8)$$

where  $k$  index a feature channel,  $\mu_{kl}^x$  and  $\sigma_{kl}^x$  are mean and variance estimates of the invariant content  $\|\mathbf{x}\|$ ; they can be obtained from either batch or layer statistics as in normalization schemes developed for scalar neural networks [28, 29];  $\beta_{kl}$  are positive, learnable scalars controlling the fraction of vector scale information from  $\mathbf{x}$  to be retained in  $\hat{\mathbf{x}}$ , and  $\epsilon$  is a numerical stability factor. The proposed EvNorm operation (8) decouples the order-1 tensor  $\mathbf{x}$  to the normalized scalar-valued tensor  $\bar{\mathbf{x}}$  suitable for being transformed by an MLP, and a ‘pure-direction’ tensor  $\hat{\mathbf{x}}$  that can be later multiplied to the MLP-transformed normalized invariant content to finish updating  $\mathbf{x}$ . Note that in (8), zero is always a fixed point of the map  $\mathbf{x} \mapsto \hat{\mathbf{x}}$  and the vector directions information  $\mathbf{x}$  is always preserved.

**Point-wise interaction block  $\phi$ .** We propose a *point-wise interaction block* ( $\phi$  in (5)) as a modular component to construct  $\hat{\mathcal{F}}_\theta$ , which equivariantly update  $\mathbf{h}_u^{t+1} = \phi(\mathbf{h}_u^t, \mathbf{g}_u)$  by coupling another order-1 (i.e. vector) tensor  $\mathbf{g}_u$  (e.g.  $\hat{\mathbf{m}}_u^t$  in (5), or  $\mathbf{h}_u^t$  itself) with  $\mathbf{h}_u^t$ , and performing normalizations:

$$\mathbf{f}_u^t = \text{MLP}_1(\bar{\mathbf{h}}_u^t) \odot \hat{\mathbf{h}}_u^t \quad \text{where} \quad (\bar{\mathbf{h}}_u^t, \hat{\mathbf{h}}_u^t) = \text{EvNorm}(\mathbf{h}_u^t) \quad (9)$$

$$(\mathbf{q}_u)_{klm} = (\mathbf{g}_u)_{klm} + \sum_{l_1, l_2} \sum_{m_1, m_2} (\mathbf{f}_u^t)_{kl_1 m_1} (\mathbf{g}_u)_{kl_2 m_2} C_{l_1 m_1; l_2 m_2}^{lm} \quad (10)$$

$$\mathbf{h}_u^{t+1} = \mathbf{h}_u^t + \text{MLP}_2(\bar{\mathbf{q}}_u) \odot \hat{\mathbf{q}}_u \quad \text{where} \quad (\bar{\mathbf{q}}_u, \hat{\mathbf{q}}_u) = \text{EvNorm}(\mathbf{q}_u) \quad (11)$$

where  $C_{l_1 m_1; l_2 m_2}^{lm}$  are Clebsch-Gordan coefficients known for relating vectors to their tensor products, and  $\text{MLP}_1$  and  $\text{MLP}_2$  denote multi-layer perceptrons. See Appendix A.2 for the proof on  $G$ -equivariance.

**Symmetry-informed pooling.** Once the representations  $\mathbf{h}_u^t$  are updated to the last step  $\mathbf{h}_u^{t_f}$ , a pooling operation  $\{\mathbf{h}_u^{t_f}\} \mapsto \mathbf{y}_\theta$  can be employed to readout the target prediction. Due to equivariance, we can flexibly address the the symmetry prior of the learning task by designing pooling schemes without modifying the model architecture. For quantum-chemistry tasks, we define a class of pooling operations detailed in Appendix A.7; for example, a molecule’s dipole vector can be predicted as  $\vec{\mu} = \sum_u (\vec{r}_u \cdot q_u + \vec{\mu}_u)$  where  $\vec{r}_u$  is the atom  $u$ ’s position, and atomic charges  $q_u$  and atomic dipoles  $\vec{\mu}_u$  can be respectively predicted using scalar ( $l = 0$ ) and vector ( $l = 1$ ) components of  $\mathbf{h}_u^{t_f}$ .

272 **Matching layer**  $\rho_u$ . One subtlety that must be addressed for the convolution-message-passing  
 273 layers (3-5) is that the basis  $\mathbf{e}_u$  for the local reference frame at point  $u$  may differ from the underlying  
 274 basis for the vector representations  $\mathbf{h}_u^t$ . However, we can construct matching layers  $\rho_u$  and  $\rho_u^\dagger$  to  
 275 connect the representations defined on the two sets of basis:

$$(\rho_u(\mathbf{h}_u^t))_v^i = \sum_{l,m} \mathbf{W}_l^i \cdot (\mathbf{h}_u^t)_{lm} \cdot \langle \mathbf{e}_{u,v}, (\boldsymbol{\pi}_l)_m \rangle \quad (12)$$

$$(\rho_u^\dagger(\tilde{\mathbf{m}}_u^t))_{lm} = \sum_v \mathbf{W}_l^\dagger \cdot (\tilde{\mathbf{m}}_u^t)_v \cdot \langle (\boldsymbol{\pi}_l)_m, \mathbf{e}_{u,v} \rangle \quad (13)$$

276 where  $\mathbf{W}_l, \mathbf{W}_l^\dagger$  are learnable linear functions, and a careful treatment for the inner product  $\langle \mathbf{e}_u, \boldsymbol{\pi} \rangle$   
 277 will be discussed in Appendix A.8. For a simple example that  $\mathbf{e}_u$  is the standard basis of  $\mathbb{R}^3$  and there  
 278 is only one convolution channel  $i$ ,  $\rho_u^\dagger$  is (up to a constant) given by  $(\rho_u^\dagger(\mathbf{v}))_{l=1,p=1,m} = Y_{l=1,m}(\mathbf{v})$   
 279 and  $(\rho_u^\dagger(\mathbf{v}))_{l \neq 1,p,m} \equiv 0$ , where  $\mathbf{v} \in \mathbb{R}^3$  and  $Y_{lm}$  is the spherical harmonic of degree  $l$  and order  $m$ .

280 **Time complexity.** The asymptotic time complexity of UNiTE is  $\mathcal{O}(BNI)$ , where  $B$  is the number  
 281 of indices  $(\vec{u}, \vec{v})$  such that  $T_{\vec{u}, \vec{v}} \neq 0$  (i.e., the number of non-zero elements in  $\mathbf{T}$ ), and  $I$  denotes  
 282 the number of convolution channels in a convolution block (3). This implies UNiTE scales as  
 283  $\mathcal{O}(N(nd)^N)$  if the  $N$ -body tensor  $\mathbf{T}$  is dense, but can achieve a lower time complexity if  $\mathbf{T}$  is sparse.

284 *Proof. (Informal) In each convolution block (3) the summand  $T_{\vec{u}, \vec{v}} \cdot \prod_{j=2}^N (\rho_{u_j}(\mathbf{h}_{u_j}^t))_{v_j}^i \neq 0$  only if*  
 285 *the tensor coefficient  $T_{\vec{u}, \vec{v}} \neq 0$ ; therefore (3) can be exactly evaluated using  $((N-1)BI)$  arithmetic*  
 286 *operations. It can be shown that in each message passing block (4) the number of arithmetic*  
 287 *operations scales as  $\mathcal{O}(B'I)$  where  $B'$  is the number of indices  $\vec{u}$  such that  $\mathbf{m}_{\vec{u}}^t \neq 0$ , and  $B' \leq B$ .*  
 288 *It can be shown that the embedding block  $\phi$  and the point-wise interaction block  $\psi$  has  $\mathcal{O}(d)$  time*  
 289 *complexities and do not contribute to the asymptotic time complexity.*

290 See Appendix A.2 for the full proof. Several more technical aspects, including the case of multiple  
 291 input channels and efficient implementations are discussed in Appendix A.6 and A.8.

## 292 5 Experimental results

293 **Problem statement.** Quantum chemistry studies interactions among atoms and electrons in a  
 294 molecular system. We aim to learn quantum chemistry properties through utilizing 2-body tensor  
 295 representations for molecules originated from physical approximations on such electron-atom interac-  
 296 tions, in this work using a semi-empirical quantum mechanics model. A scientific background and  
 297 the semi-empirical tight-binding quantum chemistry approach employed to generate the tensor-based  
 298 molecular representation are provided in Appendix A.3-A.4. We note that compared to methods that  
 299 were developed for solely utilizing molecular geometry inputs, constructing this physic-based molec-  
 300 ular representation incurs a moderate computational overhead; model inference time breakdowns  
 301 and discussions are provided in Appendix A.1. All the ground-truth labels of the benchmarks were  
 302 generated based on a conventional numerical method, i.e. Density Function Theory (DFT).

303 We first explore UNiTE’s performance on learning quantum-chemical properties on several open-  
 304 source machine learning datasets. Then, using a UNiTE model trained only on energies, we perform  
 305 zero-shot generalization to a variety of quantum chemical benchmarks, with comparison to physics-  
 306 based and ML models. We use the **same** set of model hyperparameters for obtaining all experimental  
 307 results. See Appendix A.9 for hyperparameter and training details.

308 **QM9.** The QM9 dataset [30] contains 134k small organic molecules with up to 9 heavy (CNOF)  
 309 atoms in their equilibrium geometries, with scalar-valued chemical properties computed by DFT.  
 310 QM9 is widely used to benchmark deep learning methods [19–22, 26, 31]. Following previous  
 311 works, we use 110000 random samples as the training set and another 10831 samples as the test  
 312 set. As shown in Table 1, we observe state-of-the-art performance on all 12 targets with a 150%  
 313 average decrease of MAE relative to the second best model. We also perform experiments on two  
 314 representative targets, energy  $U_0$  and dipole vector  $\vec{\mu}$ , for which a plethora of task-specific ML models  
 315 has previously been developed [32–37]; UNiTE outperforms all those methods across all sizes of  
 316 training data. See Appendix A.11 for reference calculation details of  $\vec{\mu}$ .



Table 1: Experiments on QM9. (Left) Prediction MAEs on multiple targets for models trained on 110k samples. The best results on each task are marked in bold and the second-bests are indicated by underline. The results on first 8 tasks are obtained with a preconditioning strategy based on the physical approximation used to construct the molecular representation (see Appendix A.7). UNiTE achieves state-of-the-art on all 12 targets, outperforming the second-best (SphereNet) by 150% on average. (Right) Comparing UNiTE to task-specific models and deep learning methods for (a) energy  $U_0$  and (b) dipole moment vector  $\vec{\mu}$  at different training data sizes. Results obtained with the preconditioning strategy (black) and obtained without preconditioning (brown) are both included.

Target	Unit	SchNet	PhysNet	Cormorant	DimeNet++	PaiNN	SphereNet	UNiTE
$\mu$	mD	33	53	38	29.7	<u>12</u>	26.9	<b>6.3</b>
$\epsilon_{\text{HOMO}}$	meV	41	34	32.9	24.6	27.6	<u>23.6</u>	<b>9.9</b>
$\epsilon_{\text{LUMO}}$	meV	34	24.7	38	19.5	20.4	<u>18.9</u>	<b>12.7</b>
$\Delta\epsilon$	meV	63	42.5	38	32.6	45.7	<u>32.3</u>	<b>17.3</b>
$U_0$	meV	14	8.2	22	6.3	<u>5.9</u>	6.3	<b>3.5</b>
$U$	meV	19	8.3	21	6.3	<u>5.8</u>	7.3	<b>3.5</b>
$H$	meV	14	8.4	21	6.5	<u>6.0</u>	6.4	<b>3.5</b>
$G$	meV	14	9.4	20	7.6	<u>7.4</u>	8.0	<b>5.2</b>
$\alpha$	$a_0^3$	0.235	0.062	0.085	<u>0.044</u>	0.045	0.047	<b>0.036</b>
$\langle R^2 \rangle$	$a_0^3$	0.073	0.765	0.961	0.331	<u>0.066</u>	0.292	<b>0.030</b>
ZPVE	meV	1.7	1.4	2.0	1.2	1.3	<b>1.1</b>	<b>1.1</b>
$c_v$	$\frac{\text{cal}}{\text{molK}}$	0.033	0.028	0.026	0.023	0.024	<b>0.022</b>	<b>0.022</b>
std. MAE	%	1.76	1.37	1.44	0.98	1.01	0.94	<b>0.47</b>
log. MAE	-	-5.2	-5.4	-5.0	-5.7	-5.8	-5.7	<b>-6.4</b>

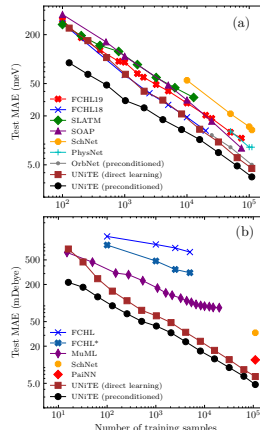


Table 2: Benchmarking UNiTE against representative semi-empirical quantum mechanics (SEQM), machine learning (ML), and density functional theory (DFT) methods on down-stream tasks.

Task	Benchmark	Metric	SEQM GFN2-xTB	ML ANI-2x	DFT B97-3c	(Ours) UNiTE
Speed <sup>3</sup>	[43]	Relative time-to-solution ↓	~1	~1	~200	~1
Data efficiency	-	Training dataset size ↓	-	8.9M	-	236K
Drug chemistry coverage	[43]	Sample coverage rate ↑	100%	81%	100%	100%
General chemistry coverage	[44]	Subset coverage rate ↑	100%	36%	100%	67%
Conformer ordering	[43]	$R^2$ [DLPNO] ↑	0.63±0.04	0.63±0.06	<b>0.90±0.01</b>	<b>0.89±0.02</b>
Torsion profiles	[45]	MAE (kcal/mol) ↓	0.73±0.01	0.90±0.01	0.29±0.00	<b>0.17±0.00</b>
Reaction energies	[44]	WTMAD-2 ↓	36.1±3.8	19.2±10.1	<b>14.6±1.6</b>	<b>14.6±4.3</b>
Intra-molecular interactions	[44]	WTMAD-2 ↓	25.1±2.4	29.6±5.8	<b>8.6±0.9</b>	10.3±1.7
Geometry optimizations	[46]	RMSD (Å) ↓	0.21±0.08	-	<b>0.06±0.01</b>	<b>0.06±0.01</b>
	[47]		0.60±0.06	-	0.51±0.07	<b>0.18±0.02</b>

**MD17.** The MD17 dataset [38] contains energy and force labels from molecular dynamics trajectories of eight small organic molecules, and is used to benchmark ML methods for modelling a single instance of a molecular potential energy surface. We train UNiTE on energies and forces of 1000 geometries of each molecule and test on another 1000 geometries of the same molecule, using reported dataset splits and revised labels [39] (see Appendix A.9 for details). As shown in Table A1, UNiTE achieves over 110% average improvements on both energies and forces, when compared to kernel methods [39, 40] and graph neural networks [20, 24, 25].

**Electron density.** We next focus on the more challenging task of predicting the electron density of molecules  $\rho(\vec{r}) : \mathbb{R}^3 \rightarrow \mathbb{R}$  which plays an essential role in the formulation of quantum chemistry. Equivariance enables UNiTE to efficiently learn  $\rho(\vec{r})$  in a compact spherical expansion basis (see Appendix A.7). Compared to two baselines [41, 42] developed for learning  $\rho(\vec{r})$ , UNiTE achieves 50-75% reduction in mean  $L^1$  density error. Remarkably, UNiTE is also more efficient at training compared to SA-GPR [41] which has a cubic training time complexity, and at inference compared to DeepDFT [42] which requires evaluating part of the neural network at each grid point  $\vec{r}$ .

**Down stream chemistry tasks.** To evaluate UNiTE’s performance as a black-box quantum chemistry method, we train a UNiTE model on the DFT energies of 236k samples with broad chemical space coverage, non-equilibrium geometries, and include charged systems (see Appendix A.10).

<sup>3</sup>All based on CPU timings. While neural networks are better parallelized on GPUs, no GPU-based implementations are available for GFN2-xTB and B97-3c and we report timings with the same hardware setup.

Without any model fine-tuning, we directly apply it to down-stream tasks commonly used to benchmark quantum-chemistry simulation methods (detailed in Appendix A.12). In this zero-shot setting, our pretrained model achieves accuracy similar or better than a popular DFT method [48] while being around 200x faster and is significantly better than representative semi-empirical quantum mechanics methods [49] or machine learning methods [50] which offer comparable speeds.

## 6 Discussion and conclusions

We propose UNiTE, a neural network framework for learning general  $N$ -body tensors. It shows superior performance when applied to quantum chemistry, achieving up to three orders of magnitude speedup compared to DFT on down stream chemical tasks. A limitation of our approach is the model cannot be applied to several edge cases such as all diagonal sub-tensors are zeros, therefore is not universal; however we expect such examples to be rare in physical sciences. A natural future direction is to extend the formalism to general asymmetric order- $N$  tensors through representation-theoretic techniques. While the current implementation to quantum-chemistry tasks requires solving a tight-binding model beforehand which has a cubic asymptotic time complexity with respect to the number of atoms in the molecular system, a future direction is to formulate sparse or separate-length-scale molecular representations to make the approach scalable to systems with millions of atoms. Given its demonstrated performance on practical tasks, we anticipate UNiTE to be useful in scientific applications in chemistry, quantum physics and other domains.

## Broader Impacts

Our contribution is a neural network framework with primary focus on tensor-based problems in physical sciences. Data used in this study do not contain human-related or offensive content. Although we do not foresee any direct negative societal impacts associated with human-related objects, we note that training the model may produce carbon emissions that should be taken into consideration for large-scale application scenarios. However, we also anticipate our approach to reduce carbon footprint in long terms by replacing more compute-intensive solvers. We expect our approach to be practically beneficial to the society when applied to tasks such as drug discovery and the study of elementary particles.

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## Checklist

1. For all authors...
  - (a) Do the main claims made in the abstract and introduction accurately reflect the paper’s contributions and scope? [\[Yes\]](#)
  - (b) Did you describe the limitations of your work? [\[Yes\]](#)
  - (c) Did you discuss any potential negative societal impacts of your work? [\[Yes\]](#)
  - (d) Have you read the ethics review guidelines and ensured that your paper conforms to them? [\[Yes\]](#)
2. If you are including theoretical results...
  - (a) Did you state the full set of assumptions of all theoretical results? [\[Yes\]](#)
  - (b) Did you include complete proofs of all theoretical results? [\[Yes\]](#)
3. If you ran experiments...
  - (a) Did you include the code, data, and instructions needed to reproduce the main experimental results (either in the supplemental material or as a URL)? [\[Yes\]](#)
  - (b) Did you specify all the training details (e.g., data splits, hyperparameters, how they were chosen)? [\[Yes\]](#)
  - (c) Did you report error bars (e.g., with respect to the random seed after running experiments multiple times)? [\[Yes\]](#) Confidence interval estimates for results in Table 1 is provided in Appendix A.1. The methods used to compute errorbars for results in Table 1 and Table 2 are detailed in Appendix A.13.
  - (d) Did you include the total amount of compute and the type of resources used (e.g., type of GPUs, internal cluster, or cloud provider)? [\[Yes\]](#)
4. If you are using existing assets (e.g., code, data, models) or curating/releasing new assets...
  - (a) If your work uses existing assets, did you cite the creators? [\[Yes\]](#)

- 526 (b) Did you mention the license of the assets? [Yes] We mention that existing datasets used  
527 in this work are open source in Section 5, and list their licenses in the supplemental  
528 material.
- 529 (c) Did you include any new assets either in the supplemental material or as a URL? [No]
- 530 (d) Did you discuss whether and how consent was obtained from people whose data you're  
531 using/curating? [Yes] As mentioned in the broader impact statement, the quantum  
532 chemistry datasets used in this work do not curate data from human sources.
- 533 (e) Did you discuss whether the data you are using/curating contains personally identifiable  
534 information or offensive content? [Yes] As mentioned in the broader impact statement,  
535 the quantum chemistry datasets used in this work do not contain such information.
- 536 5. If you used crowdsourcing or conducted research with human subjects...
- 537 (a) Did you include the full text of instructions given to participants and screenshots, if  
538 applicable? [N/A] We did not conduct research with human subjects.
- 539 (b) Did you describe any potential participant risks, with links to Institutional Review  
540 Board (IRB) approvals, if applicable? [N/A]
- 541 (c) Did you include the estimated hourly wage paid to participants and the total amount  
542 spent on participant compensation? [N/A]