CS 111
Operating Systems Principles
Section 1E Week 3

Tengyu Liu

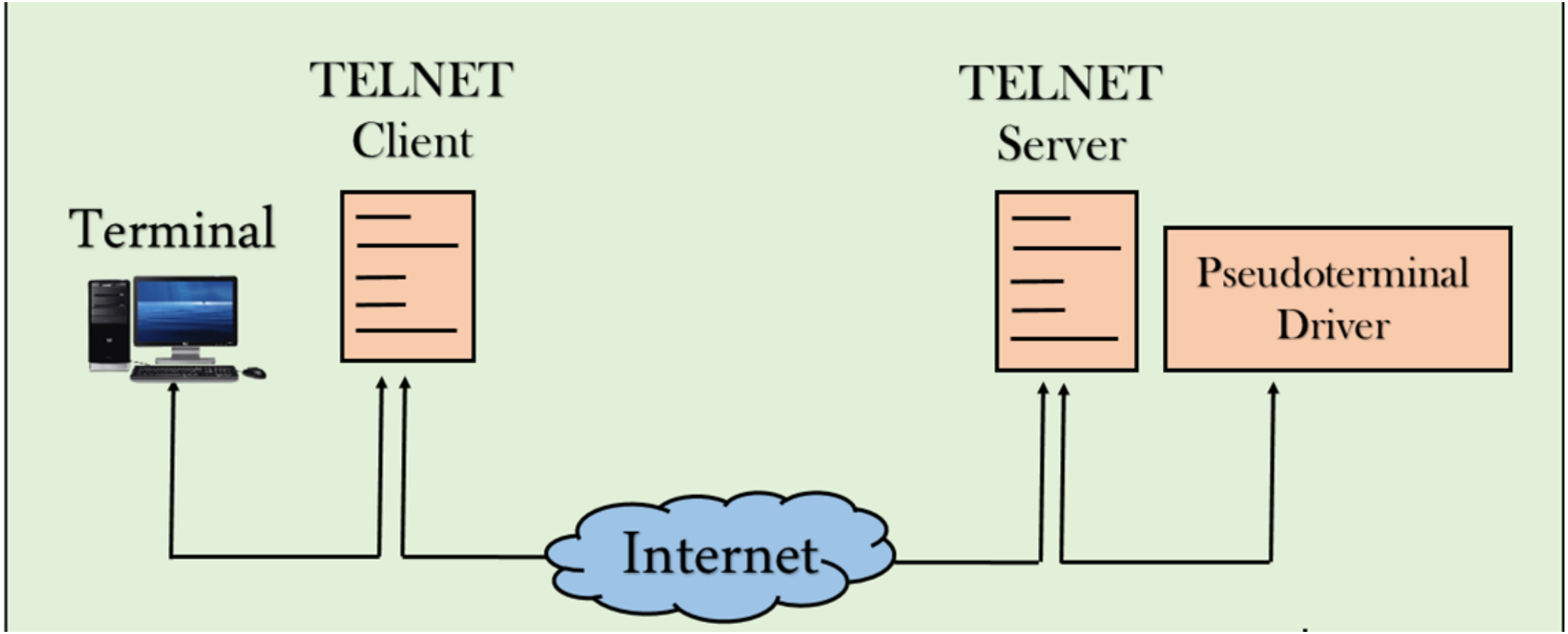
Changes

- Slides are now uploaded to CCLE every Wednesday
  - I will update the slides before each discussion section
- I will explain the overall picture of each project
- I will include more code examples

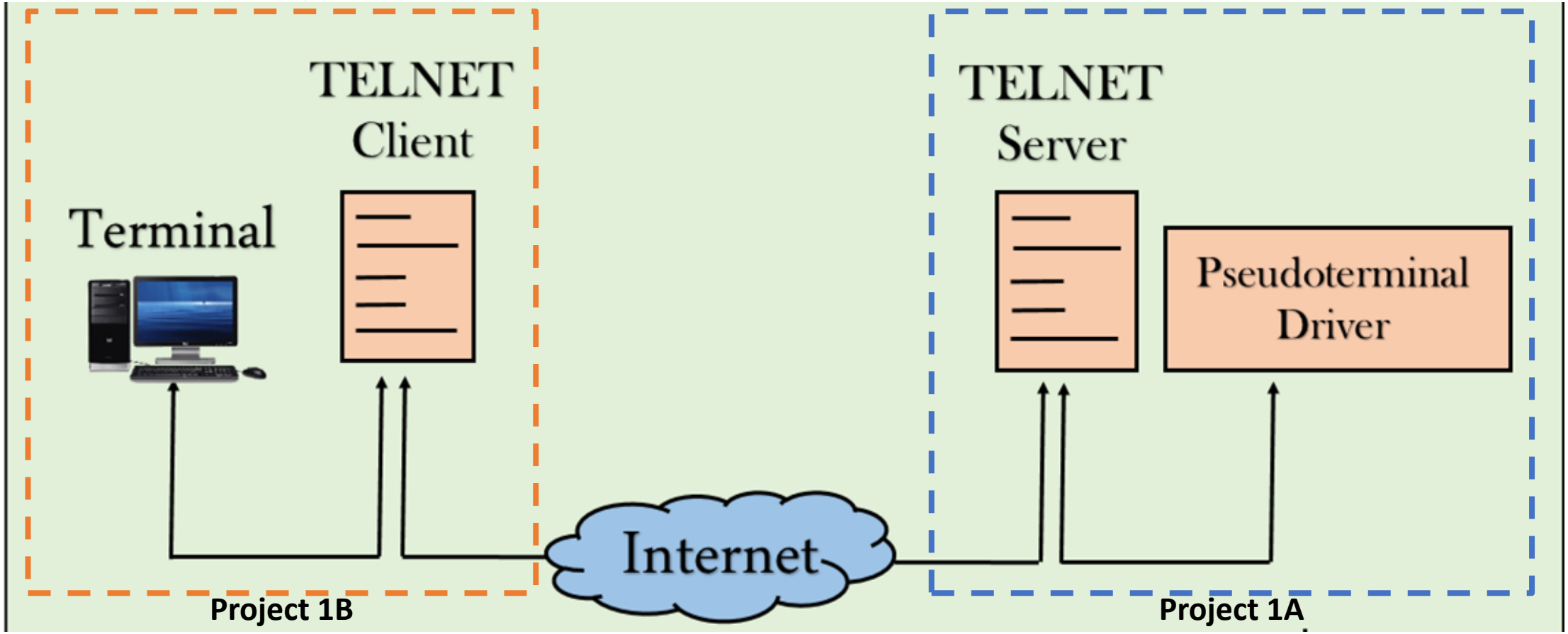
Overview

- Project 1B: Inter-Process Communication over Internet
- System calls:
  - Internet communication
    - `socket(2), connect(2), bind(2), listen(2), accept(2), shutdown(2)`
  - Compressed Communication
    - `deflateInit(), inflateInit(), deflate(), inflate(), deflateEnd(), inflateEnd()`

Project 1B


TELNET
Client
TELNET
Server
Terminal
Pseudoterminal
Driver
Internet

Project 1B


TELNET
Client
Terminal
TELNET
Server
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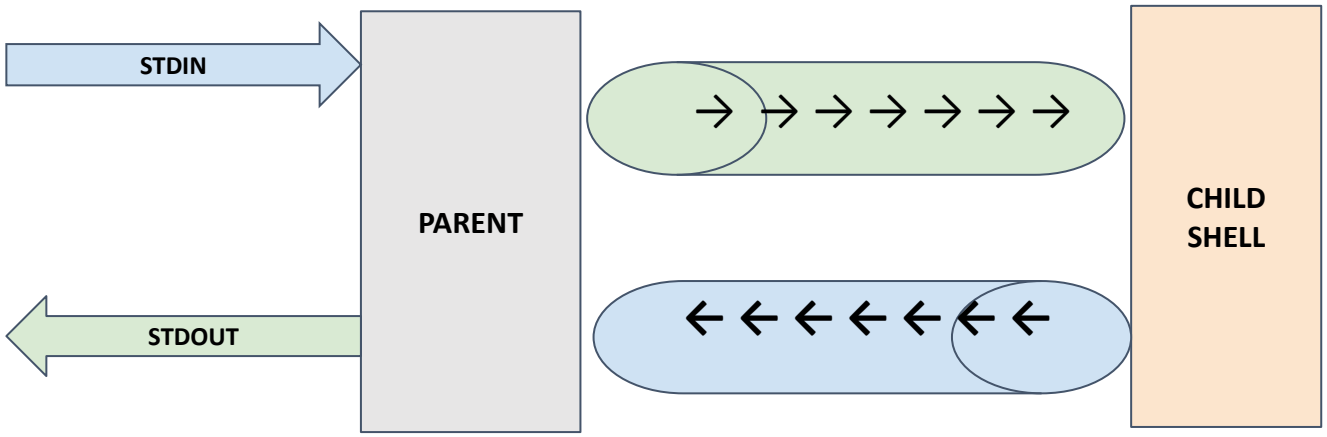
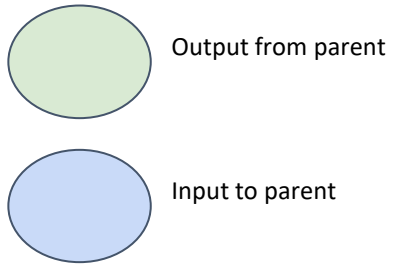
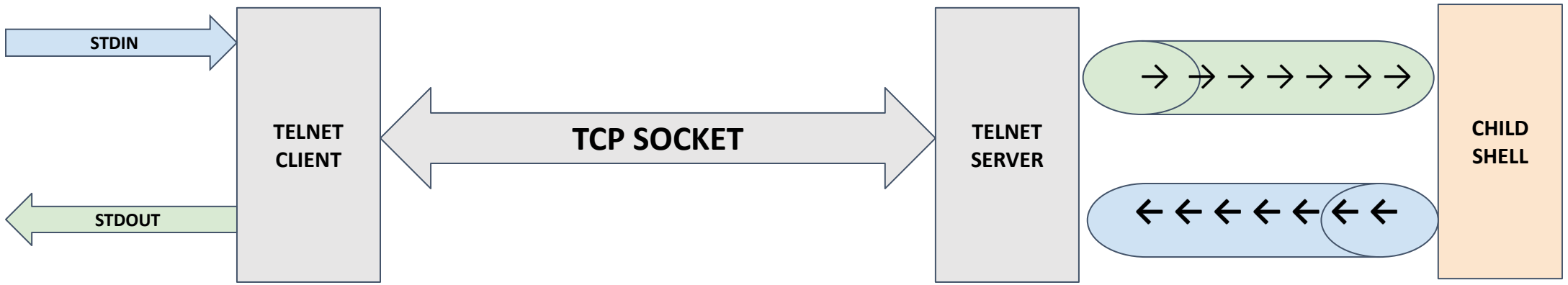
Driver
Internet
Project 1B
Project 1A

Project 1A

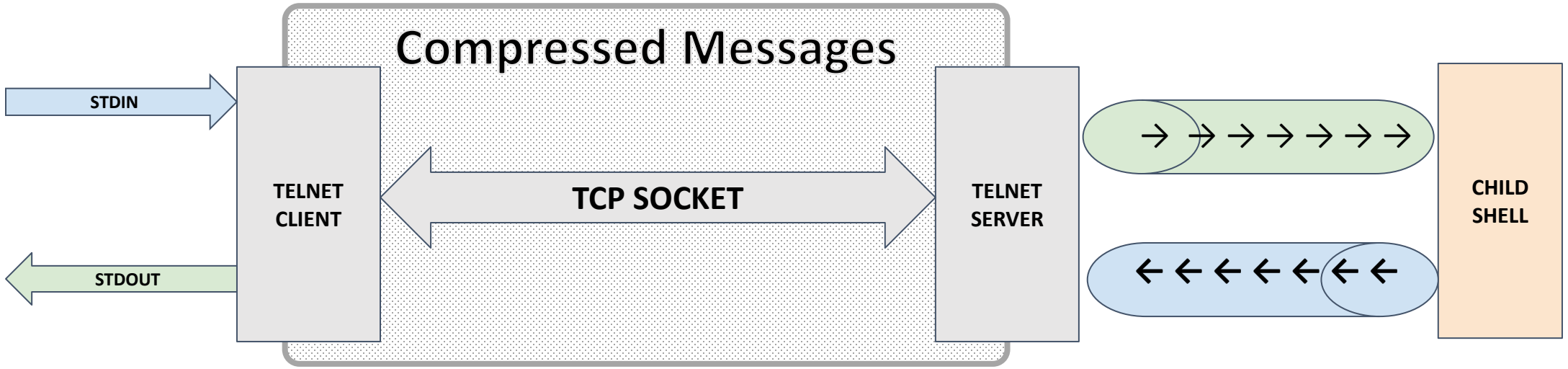

STDIN
STDOUT
PARENT
CHILD
SHELL


Output from parent
Input to parent

Project 1B


STDIN
STDOUT
TELNET
CLIENT
TCP SOCKET
TELNET
SERVER
CHILD
SHELL

Project 1B `--compress`



TCP Socket

TCP Socket

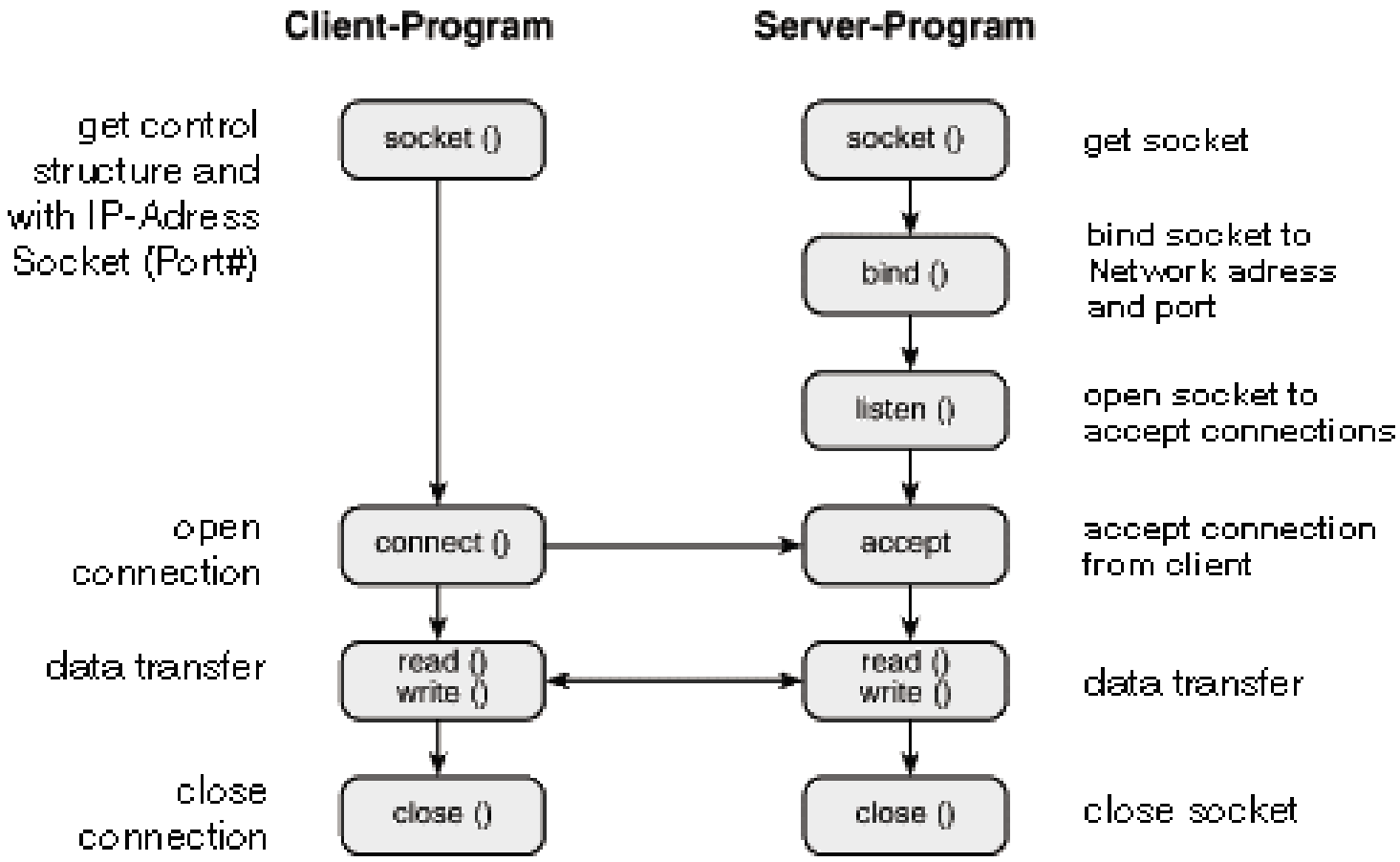
Pipe

- Both ends must live on the same machine
- Returns 2 file descriptors, one for reading, one for writing

Socket

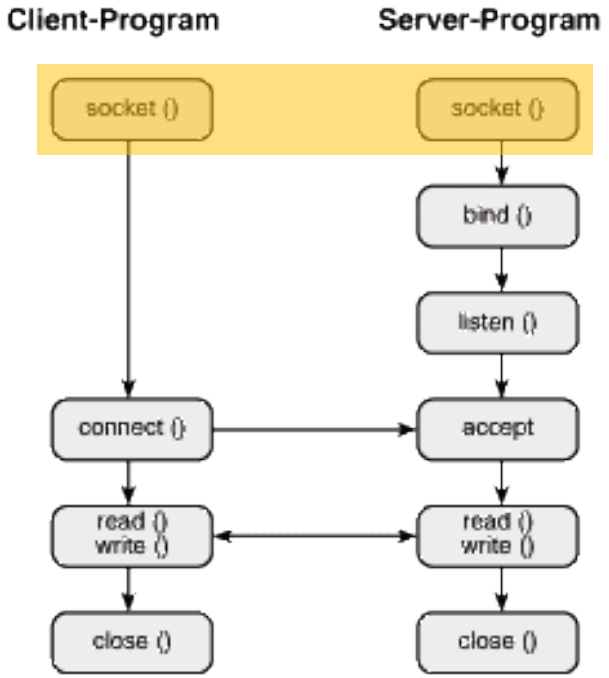
- Makes no assumption on process location
- Returns only 1 file descriptor, for both reading and writing
- Socket needs more information
  - Protocol
  - Target address

TCP Socket: Pipeline Overview



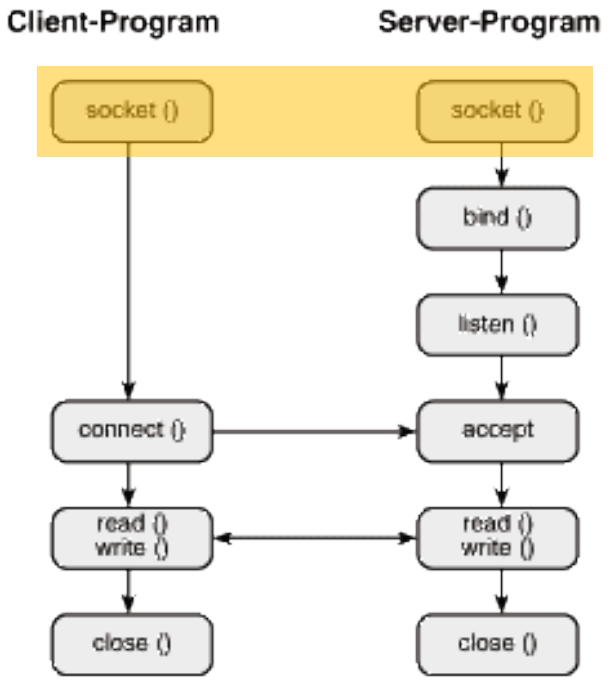
socket(2)

- Create an endpoint for communication
- `int socket(int domain, int type, int protocol)`



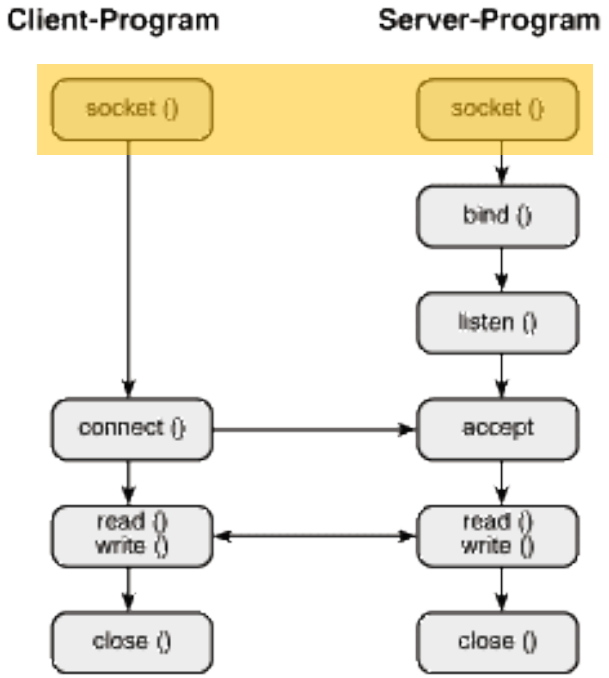
socket(2)

- Create an endpoint for communication
- `int socket(int domain, int type, int protocol)`
  - Communication domain
    - `IPv4: AF_INET`
    - `IPv6: AF_INET6`
    - `Local: AF_LOCAL`



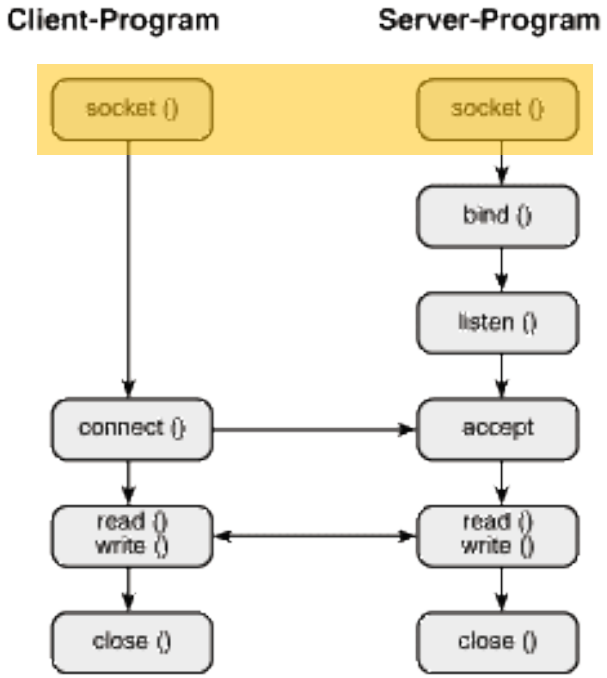
socket(2)

- Create an endpoint for communication
- `int socket(int domain, int type, int protocol)`
  - Communication type
    - `TCP: SOCK_STREAM`
    - `UDP: SOCK_DGRAM`



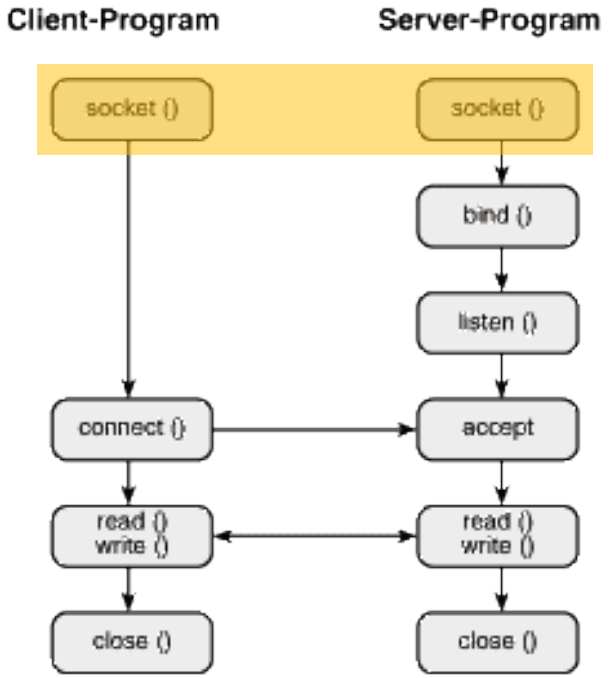
socket(2)

- Create an endpoint for communication
- `int socket(int domain, int type, int protocol)`
  - IP protocol value
    - Usually there is only one protocol available for each domain
    - Use 0 to use the default



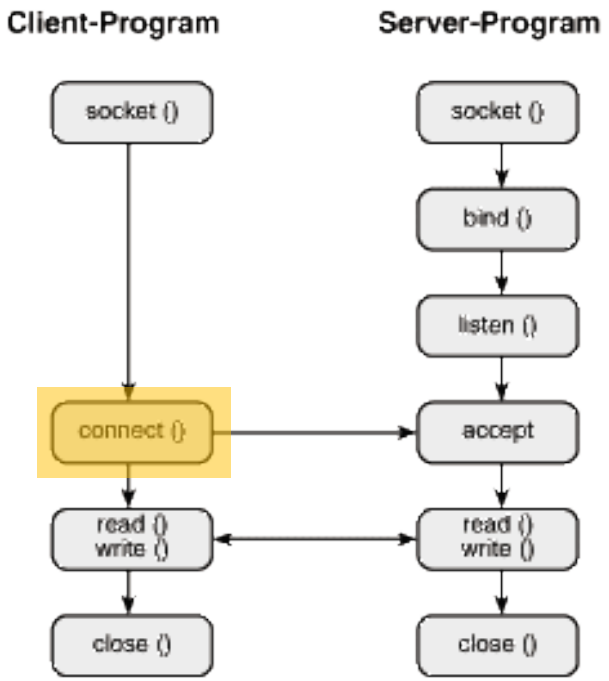
socket(2)

- Create an endpoint for communication
- **`int socket`**`(int domain, int type, int protocol)`
  - Return value: socket descriptor
  - On error: returns -1



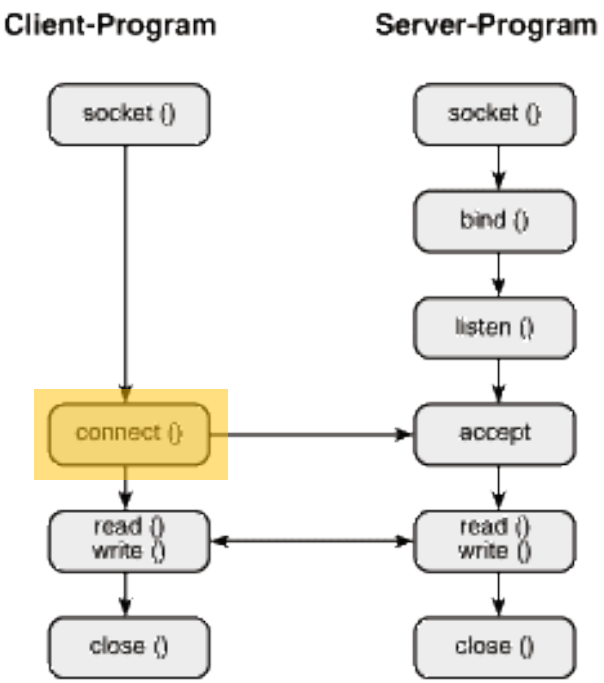
connect(2)

- Connect socket to a remote host
- `int connect(int sockfd, const struct sockaddr *addr, socklen_t addrlen);`



connect(2)

- Connect socket to a remote host
- `int connect(int sockfd, const struct sockaddr *addr, socklen_t addrlen);`
  - Socket for connect (returned by `socket(2)`)

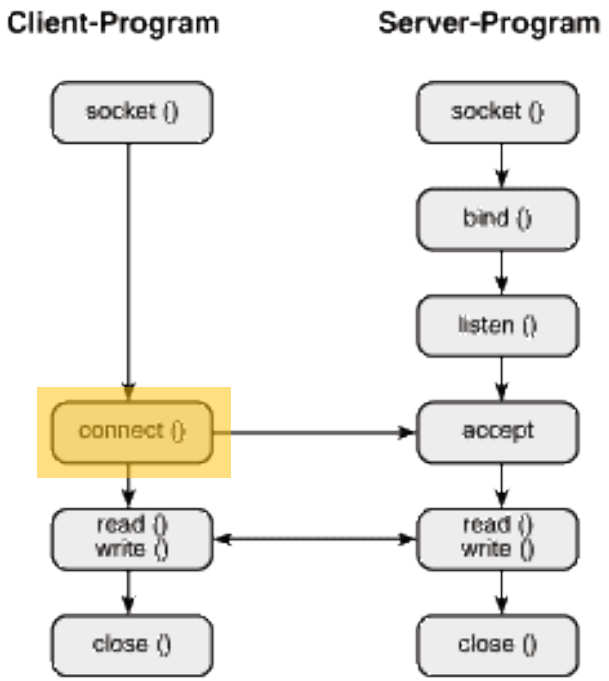


connect(2)

- Connect socket to a remote host
- `int connect(int sockfd, const struct sockaddr *addr, socklen_t addrlen);`
  - Structure containing server's IP address and port number

```
struct sockaddr_in {
    sa_family_t    sin_family; /* address family: AF_INET */
    in_port_t      sin_port;   /* port in network byte order */
    struct in_addr sin_addr;   /* internet address */
};

/* Internet address. */
struct in_addr {
    uint32_t       s_addr;     /* address in network byte order */
};
```



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AF_INET →

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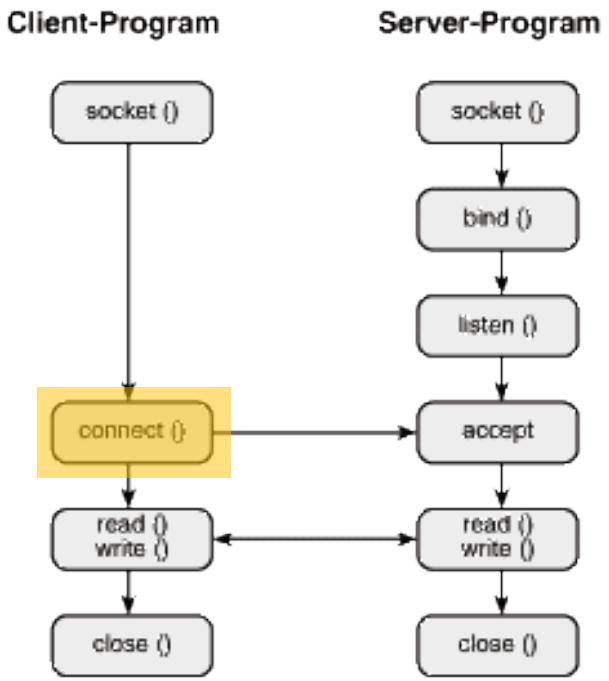

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Port number →

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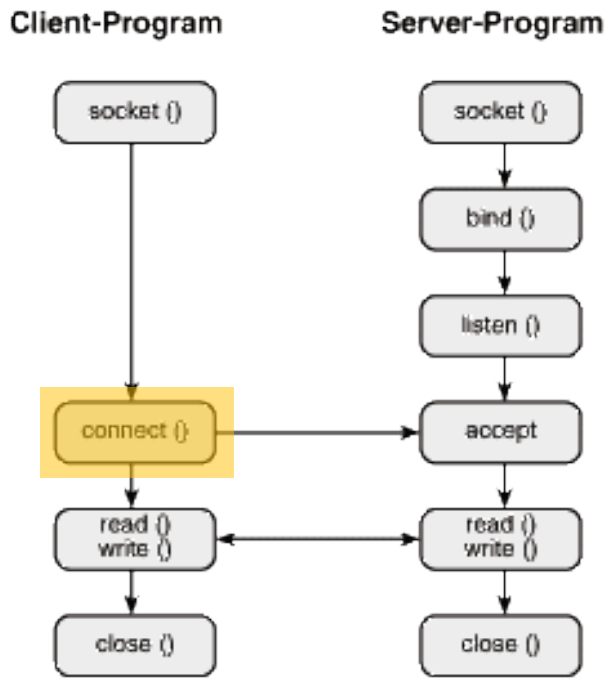

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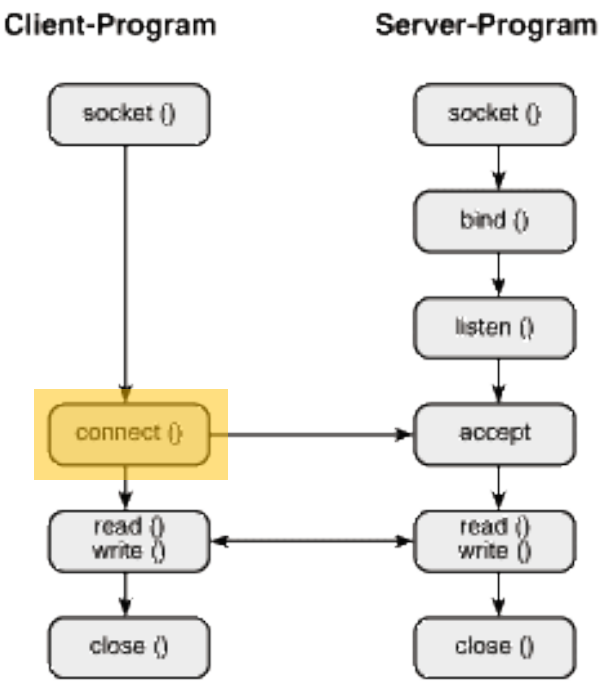
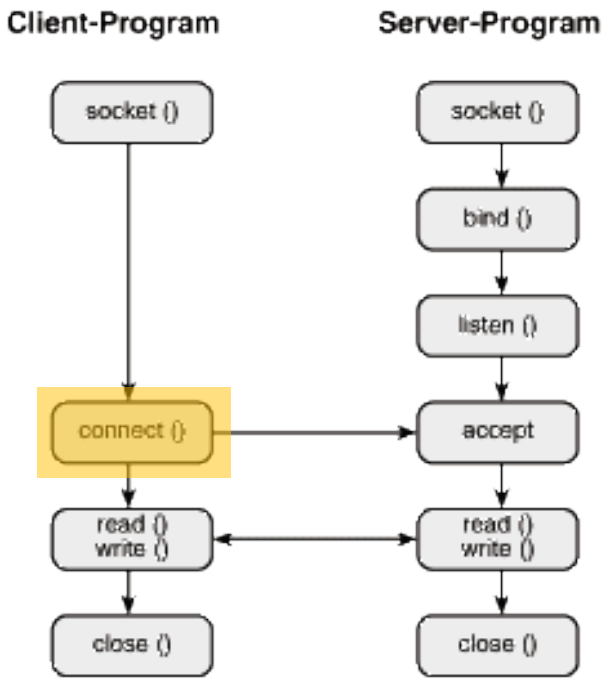
Obtained by gethostbyname(3)



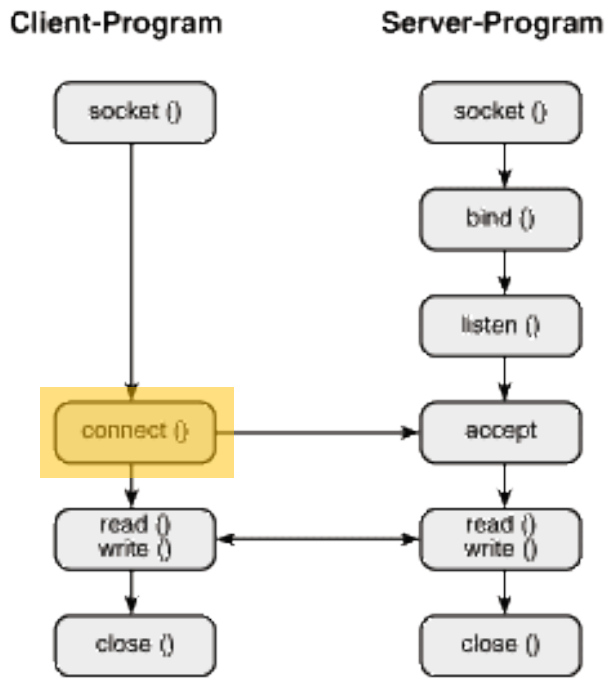
connect(2)

- Connect socket to a remote host
- `int connect(int sockfd, const struct sockaddr *addr, socklen_t addrlen);`
  - `sizeof(*addr)`



connect(2)

- Connect socket to a remote host
- **`int connect`**`(int sockfd, const struct sockaddr *addr, socklen_t addrlen);`
  - Returns the socket descriptor
  - On error, return -1



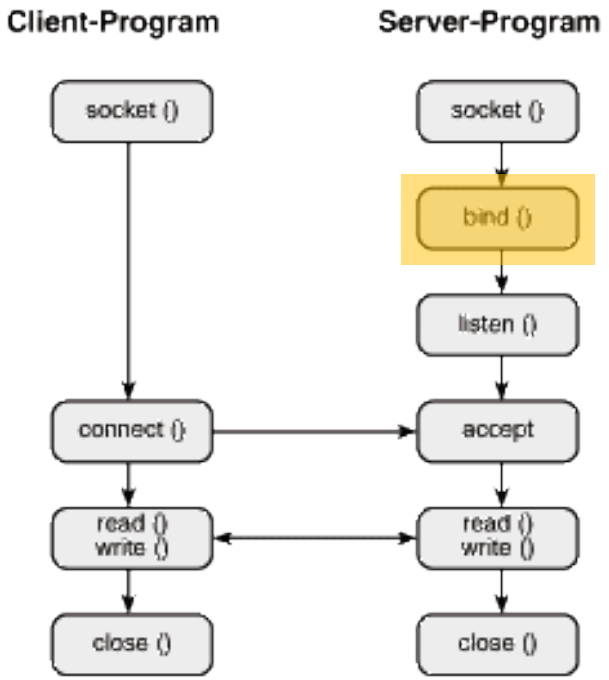
connect(2)

- `int connect(int sockfd, const struct sockaddr *addr, socklen_t addrlen);`
- Example (error checks are omitted)

```
// get target ip address
char *host = "localhost";
struct hostent *server = gethostbyname(host);
// construct sockaddr_in struct
struct sockaddr_in serv_addr;
bzero( (char *) &serv_addr, sizeof(serv_addr));
bcopy((char *) server->h_addr, (char *) &serv_addr.sin_addr.s_addr, server->h_length);
serv_addr.sin_family = AF_INET;     // specify IPv4
serv_addr.sin_port = htons(port);   // specify port number
connect(sockfd, (struct sockaddr *) &serv_addr, sizeof(serv_addr))
```

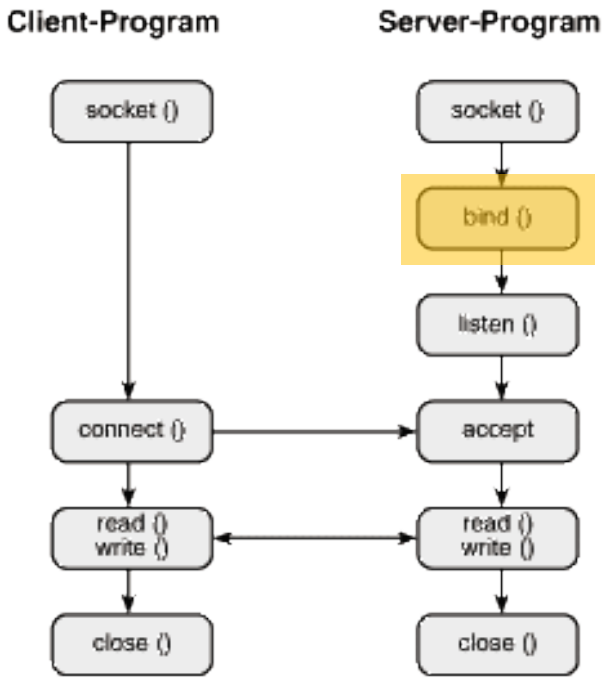
bind(2)

- Bind a name to a socket
- `int bind(int sockfd, const struct sockaddr *addr, socklen_t addrlen);`



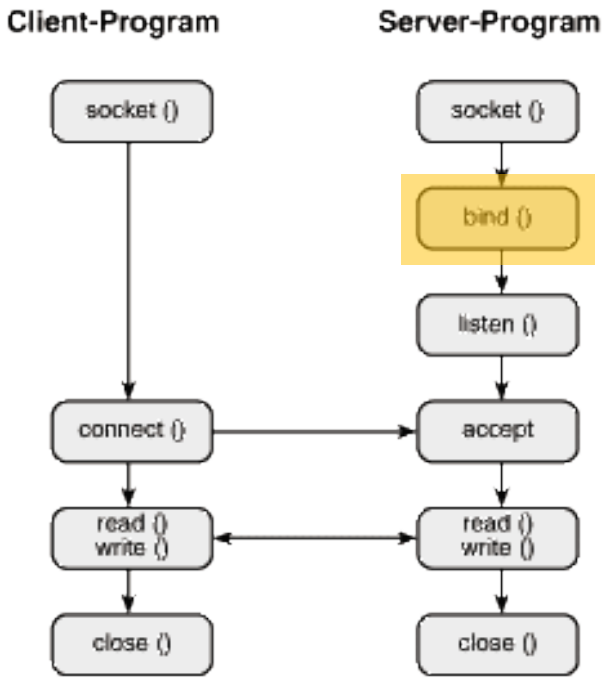
bind(2)

- Bind a name to a socket
- `int bind(int sockfd, const struct sockaddr *addr, socklen_t addrlen);`
  - Socket descriptor



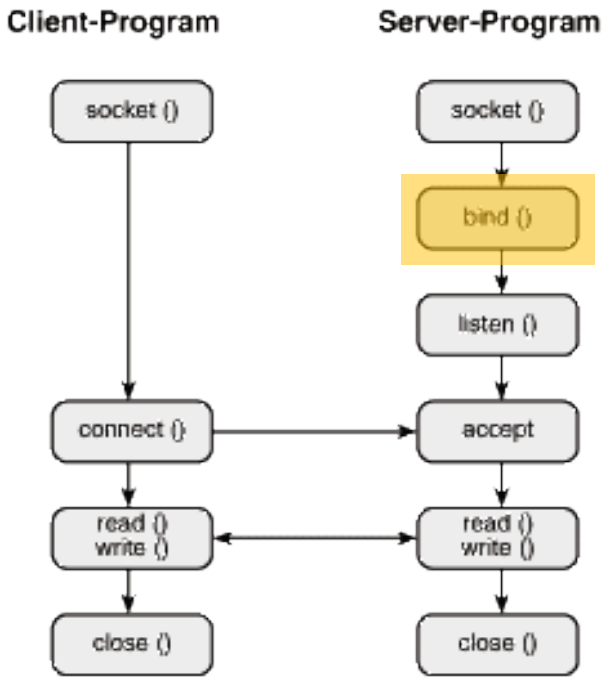
bind(2)

- Bind a name to a socket
- `int bind(int sockfd, const struct sockaddr *addr, socklen_t addrlen);`
  - IP Address and Port



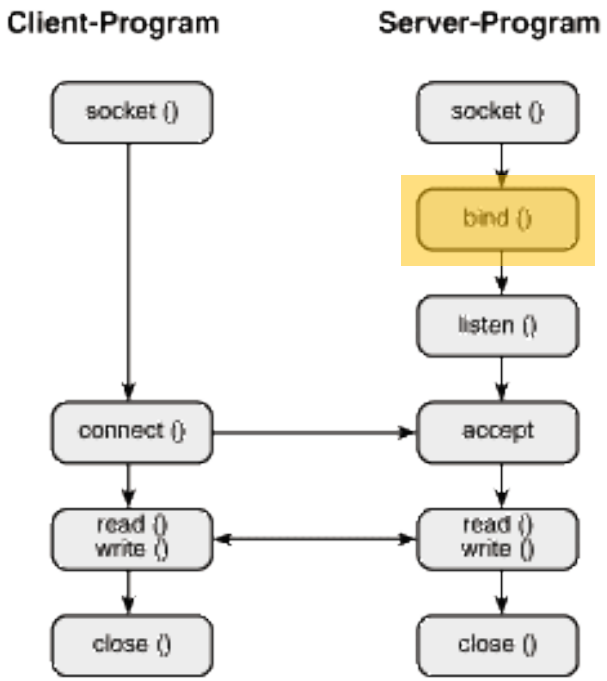
bind(2)

- Bind a name to a socket
- `int bind(int sockfd, const struct sockaddr *addr, socklen_t addrlen);`
  - `sizeof(*addr)`



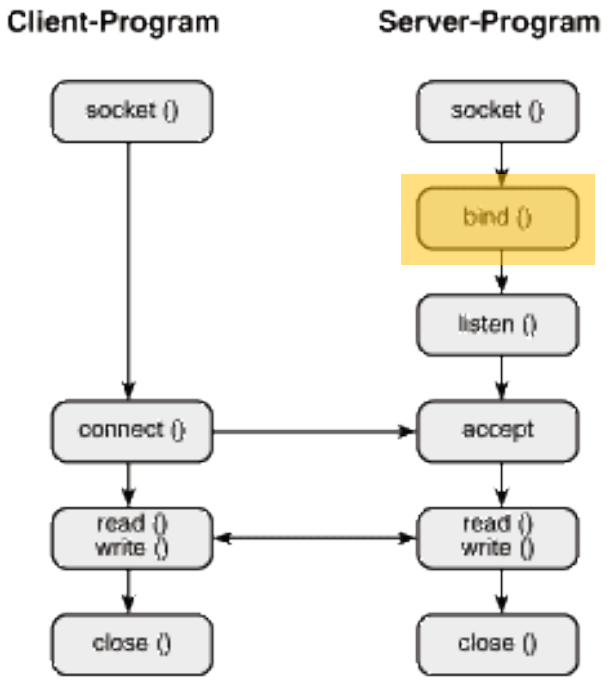
bind(2)

- Bind a name to a socket
- **`int bind`**`(int sockfd, const struct sockaddr *addr, socklen_t addrlen);`
  - Socket descriptor
  - On error, return -1



bind(2)

- Bind a name to a socket
- `int bind(int sockfd, const struct sockaddr *addr, socklen_t addrlen);`
  - This function effectively gives a port to the socket
  - IP address: address of the machine
  - Port number: address of the process
  - Without a port number, messages cannot reach your process

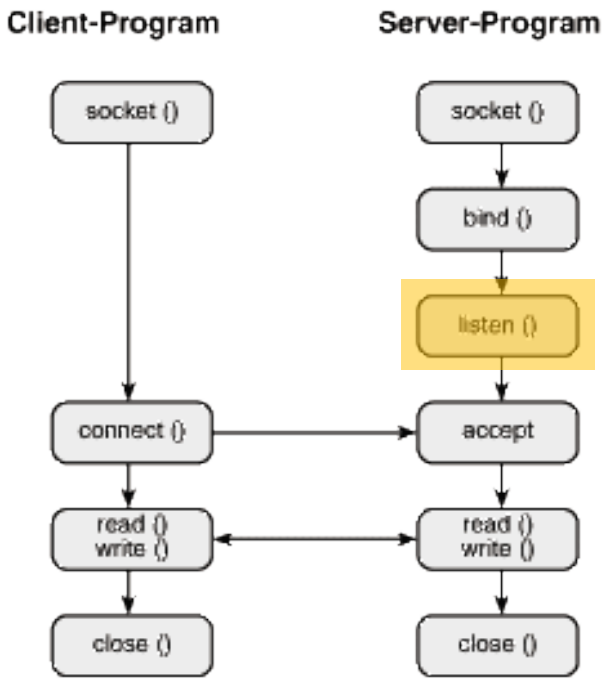


bind(2)

```
listenfd = socket(AF_INET, SOCK_STREAM, 0);
bzero((char *) &serv_addr, sizeof(serv_addr));
serv_addr.sin_family = AF_INET;
serv_addr.sin_addr.s_addr = INADDR_ANY;
serv_addr.sin_port = htons(port);
bind(listenfd, (struct sockaddr *) &serv_addr, sizeof(serv_addr))
```

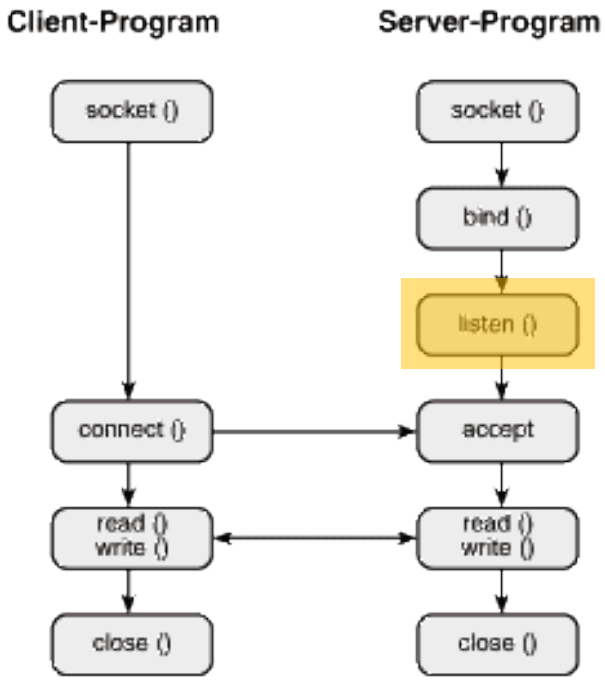
listen(2)

- Start listening for incoming connections
- `int listen(int sockfd, int backlog)`



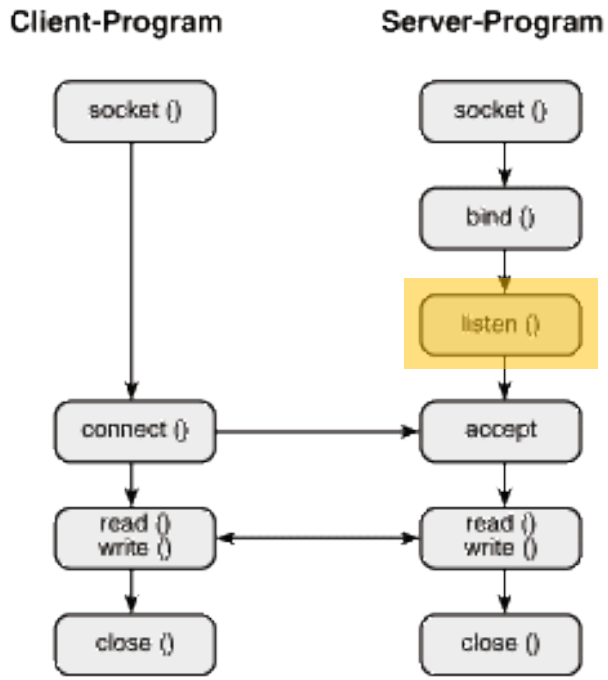
listen(2)

- Start listening for incoming connections
- `int listen(int sockfd, int backlog)`
  - Socket file descriptor



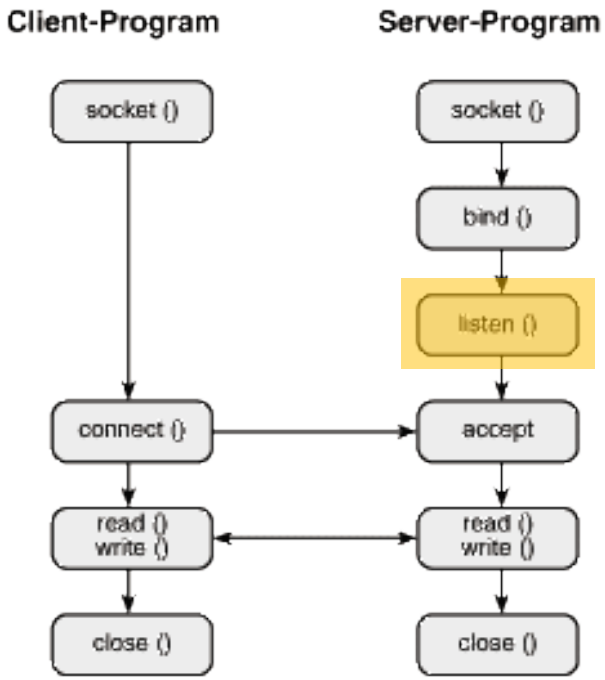
listen(2)

- Start listening for incoming connections
- `int listen(int sockfd, int backlog)`
  - Number of connections allowed in the incoming queue
  - On most systems, max allowed is 5
  - Use 5



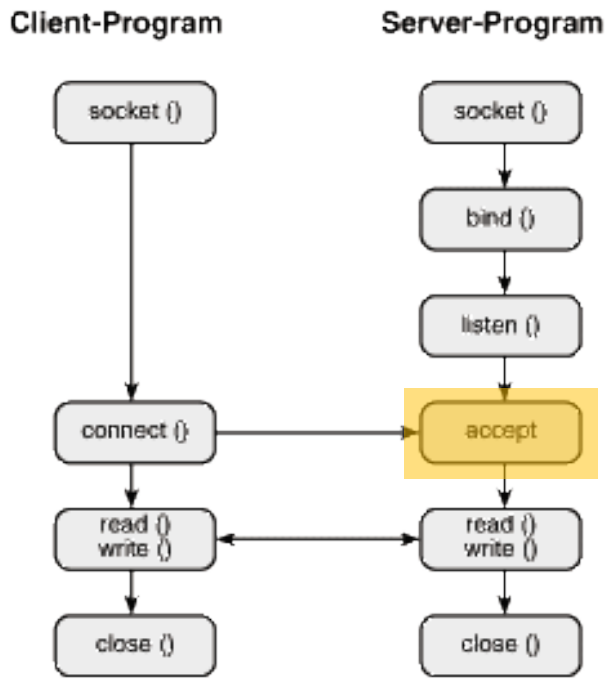
listen(2)

- Start listening for incoming connections
- **`int listen`**`(int sockfd, int backlog)`
  - Success: `0`
  - Error: `1`



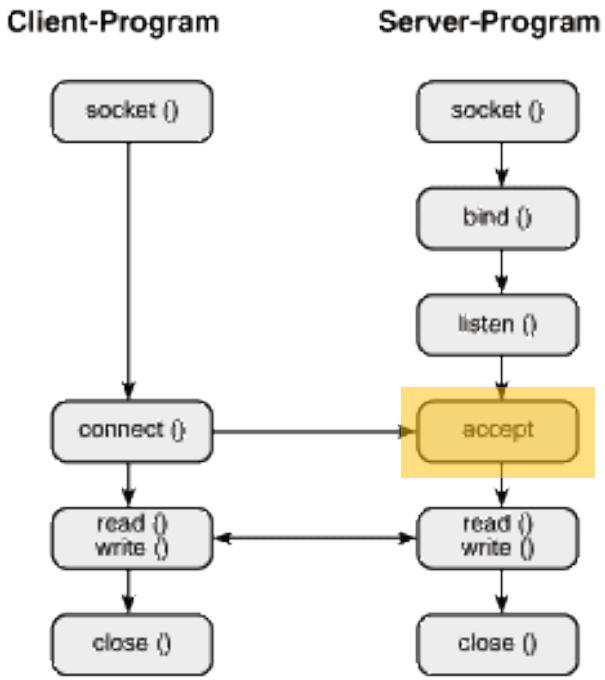
accept(2)

- Accept a connection on a socket (blocking until a connection is accepted)
- `int accept(int sockfd, struct sockaddr *addr, socklen_t *addrlen)`



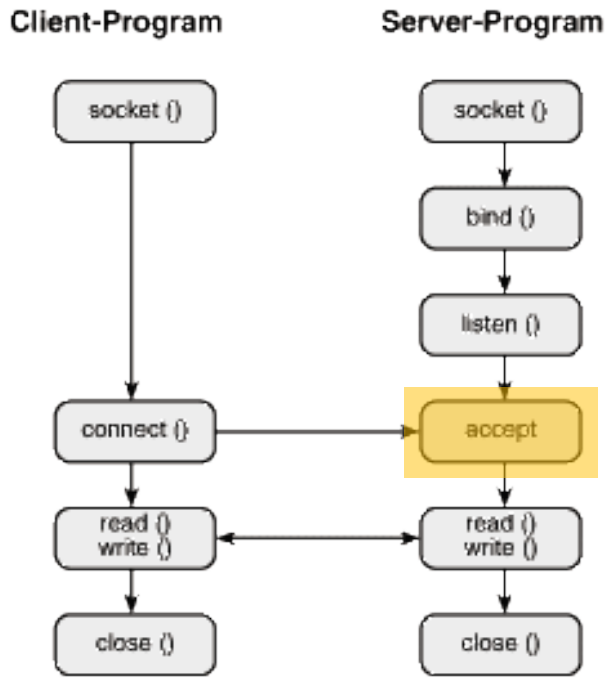
accept(2)

- Accept a connection on a socket (blocking until a connection is accepted)
- `int accept(int sockfd, struct sockaddr *addr, socklen_t *addrlen)`
  - Socket descriptor that we listened on



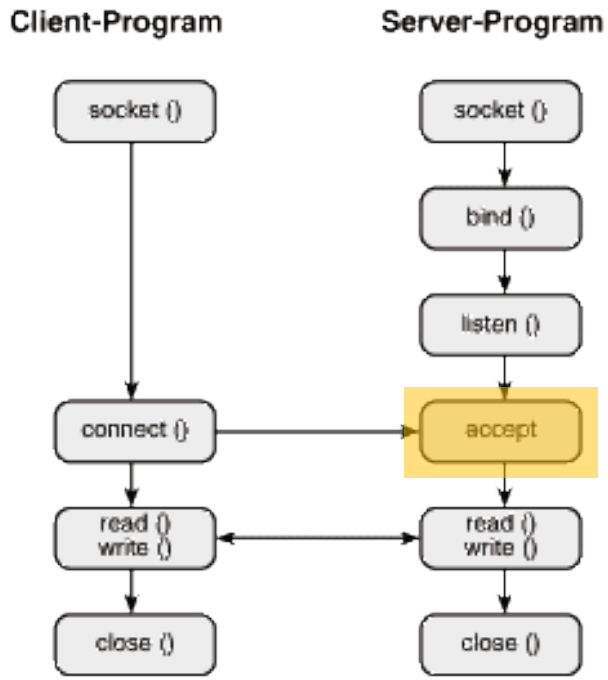
accept(2)

- Accept a connection on a socket (blocking until a connection is accepted)
- `int accept(int sockfd, struct sockaddr *addr, socklen_t *addrlen)`
  - Output parameter
  - Contains information about the incoming connection



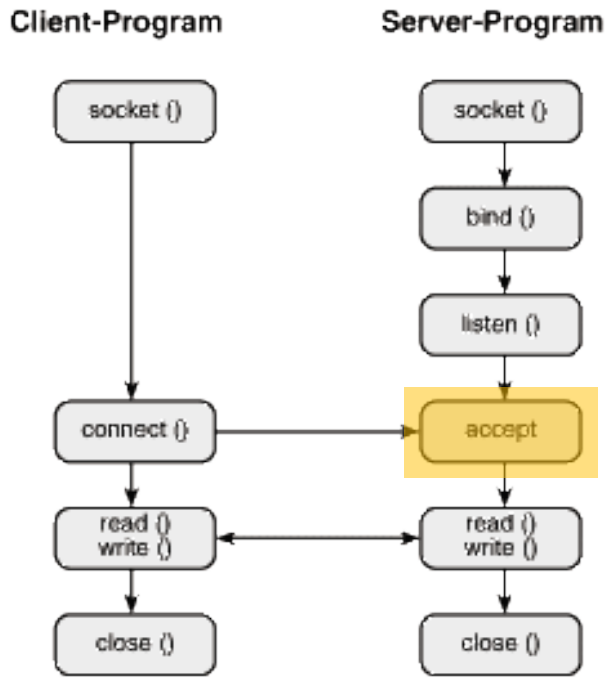
accept(2)

- Accept a connection on a socket (blocking until a connection is accepted)
- `int accept(int sockfd, struct sockaddr *addr, socklen_t *addrlen)`
  - Length of the incoming `sockaddr struct`



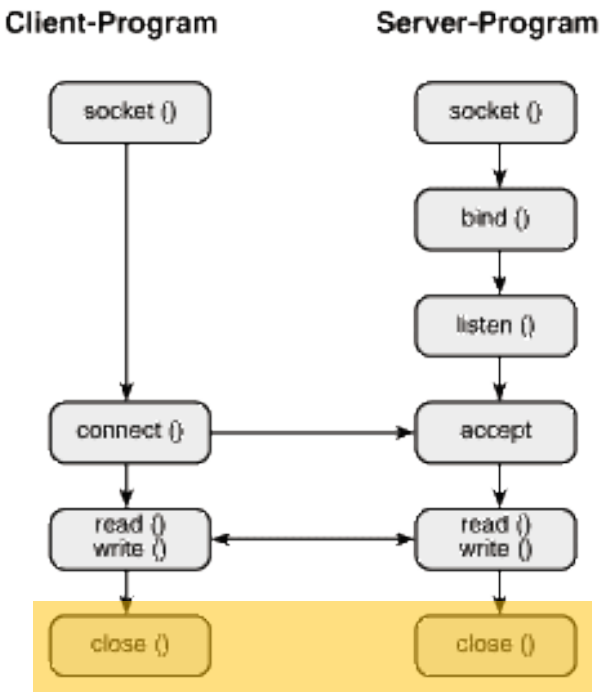
accept(2)

- Accept a connection on a socket (blocking until a connection is accepted)
- **`int accept`**`(int sockfd, struct sockaddr *addr, socklen_t *addrlen)`
  - Success
    - New socket file descriptor to use for this connection
    - The old descriptor is only for accepting incoming connections
    - A new descriptor is allocated for every connection established
  - Error
    - -1



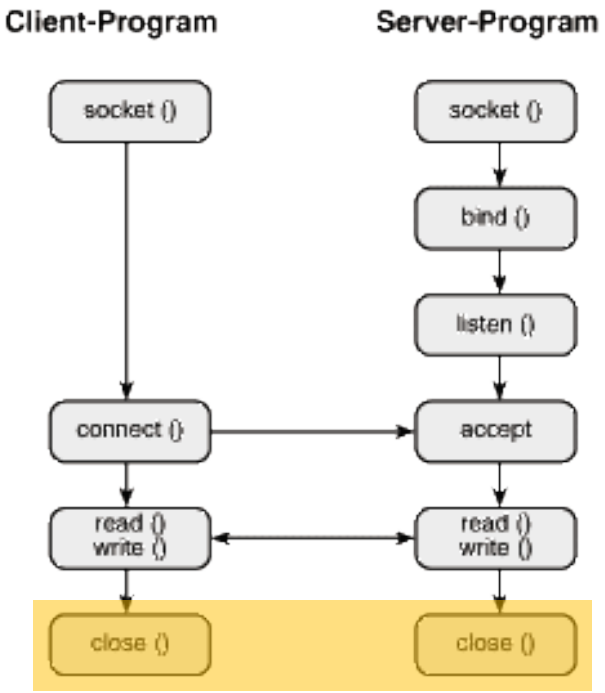
shutdown(2)

- Shut down socket
- `int shutdown(int socket, int how)`



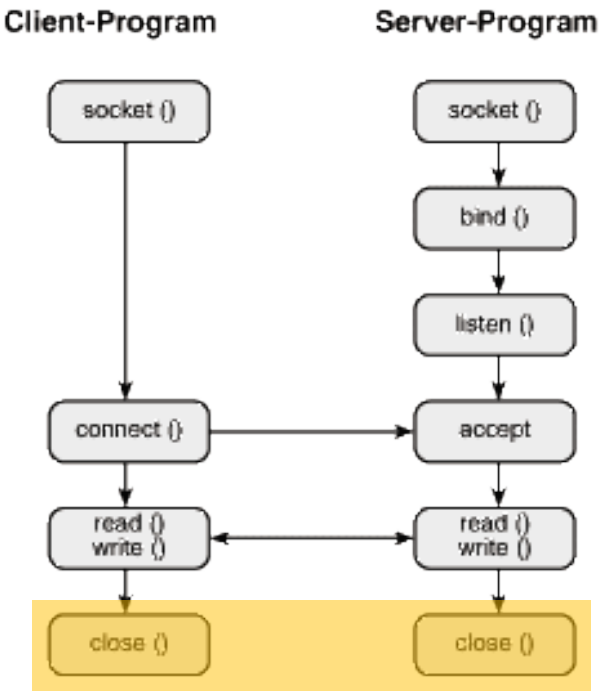
shutdown(2)

- Shut down socket
- `int shutdown(int socket, int how)`
  - Socket descriptor



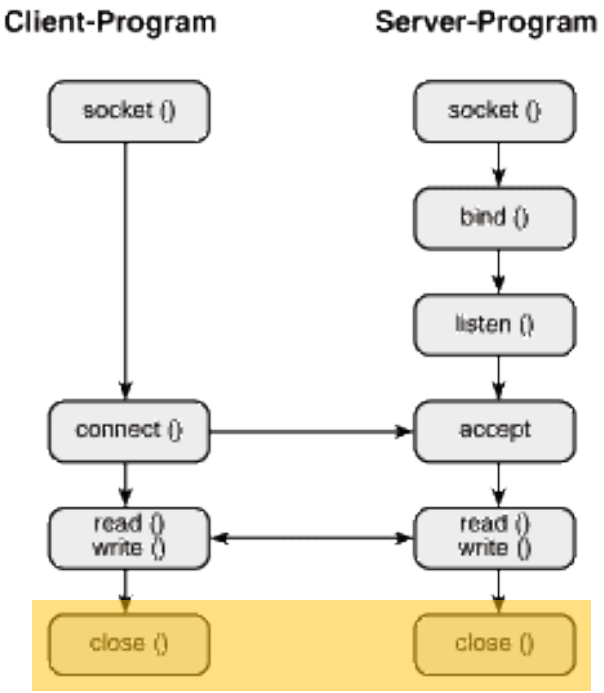
shutdown(2)

- Shut down socket
- `int shutdown(int socket, int how)`
  - `SHUT_RD:` shut down receive ops
  - `SHUT_WR:` shut down sending ops
  - `SHUT_RDWR:` shut down obth ops



shutdown(2)

- Shut down socket
- **`int shutdown`**`(int socket, int how)`
  - Success: `0`
  - Error: `-1`



Compressed Communication

Advantage?

Disadvantage?

Compressed Communication

Advantage

- Reduce communication length
- Therefore uses less bandwidth

Disadvantage

- Requires processing at both ends of the communication channel

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- Reduce communication length
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Disadvantage

- Requires processing at both ends of the communication channel

Is compressed message always smaller than the uncompressed one?

Can compression provide privacy?

Compressed communication

- Pipeline
  - To compress
    - `deflateInit(), deflate(), deflateEnd()`
  - To decompress
    - `inflateInit(), inflate(), inflateEnd()`
- You will need zlib
  - `include <zlib.h>`
  - Compile with `–lz` flag

deflateInit()

- Initialize internal stream state for compression
- `ZEXTERN int ZEXPORT deflateInit OF((z_streamp strm, int level))`

deflateInit()

- Initialize internal stream state for compression
- **ZEXTERN** int **ZEXPORT** deflateInit **OF**((z_streamp strm, int level))
  - zlib macros for cross-platform compatibility
  - You can think of it as
    - `extern int deflateInit(z_streamp strm, int level)`

deflateInit()

- Initialize internal stream state for compression
- `ZEXTERN int ZEXPORT deflateInit OF((z_streamp strm, int level))`
  - Pointer to `z_stream`

initialize to Z_NULL

```
typedef struct z_stream_s {
    z_const Bytef *next_in;     /* next input byte */
    uInt     avail_in;  /* number of bytes available at next_in */
    uLong    total_in;  /* total number of input bytes read so far */

    Bytef    *next_out; /* next output byte will go here */
    uInt     avail_out; /* remaining free space at next_out */
    uLong    total_out; /* total number of bytes output so far */

    z_const char *msg;  /* last error message, NULL if no error */
    struct internal_state FAR *state; /* not visible by applications */

    alloc_func zalloc;  /* used to allocate the internal state */
    free_func  zfree;   /* used to free the internal state */
    voidpf     opaque;  /* private data object passed to zalloc and zfree */

    int     data_type;  /* best guess about the data type: binary or text
                           for deflate, or the decoding state for inflate */
    uLong   adler;      /* Adler-32 or CRC-32 value of the uncompressed data */
    uLong   reserved;   /* reserved for future use */
} z_stream;
```

deflateInit()

- Initialize internal stream state for compression
- `ZEXTERN int ZEXPORT deflateInit OF((z_streamp strm, int level))`
  - Between 0 (no compression) and 9 (most compression)
  - Can set to `Z_DEFAULT_COMPRESSION`

deflateInit()

- Initialize internal stream state for compression
- `ZEXTERN int ZEXPORT deflateInit OF((z_streamp strm, int level))`

```
#define Z_OK            0
#define Z_STREAM_END    1
#define Z_NEED_DICT     2
#define Z_ERRNO        (-1)
#define Z_STREAM_ERROR (-2)
#define Z_DATA_ERROR   (-3)
#define Z_MEM_ERROR    (-4)
#define Z_BUF_ERROR    (-5)
#define Z_VERSION_ERROR (-6)
```

inflateInit()

- Initialize streams for decompression
- `ZEXTERN int ZEXPORT inflateInit OF((z_streamp strm))`

inflateInit()

- Initialize streams for decompression
- `ZEXTERN int ZEXPORT inflateInit OF((z_streamp strm))`
  - Pointer to `z_stream`
  - Same as `deflateInit()`

inflateInit()

- Initialize streams for decompression
- `ZEXTERN **int** ZEXPORT **inflateInit** OF((z_streamp strm))`
  - Same as `deflalteInit()`

deflate()

- Compress data until input buffer is empty of output buffer is full
- `ZEXTERN int ZEXPORT deflate OF((z_streamp strm, int flush))`

deflate()

- Compress data until input buffer is empty of output buffer is full
- `ZEXTERN int ZEXPORT deflate OF((z_streamp strm, int flush))`
  - Same as before
  - `strm.next_in:` next input byte
  - `strm.avail_in:` number of bytes available in next_in
  - `strm.total_in:` total number of bytes read so far
  - Similarly,
    - `strm.next_out, strm.avail_out, strm.total_out`

deflate()

- Compress data until input buffer is empty of output buffer is full
- `ZEXTERN int ZEXPORT deflate OF((z_streamp strm, int flush))`
  - How do you want to force flush
  - Forcing flush frequently degrades compression ratio
  - `Z_NO_FLUSH` gives best compression ratio

```
#define Z_NO_FLUSH      0
#define Z_PARTIAL_FLUSH 1
#define Z_SYNC_FLUSH    2
#define Z_FULL_FLUSH    3
#define Z_FINISH        4
#define Z_BLOCK         5
#define Z_TREES         6
```

deflate()

- Compress data until input buffer is empty of output buffer is full
- `ZEXTERN int ZEXPORT deflate OF((z_streamp strm, int flush))`
  - `Z_OK` on success

inflate()

- Decompress data until input buffer is empty or output buffer is full
- `ZEXTERN int ZEXPORT inflate OF((z_streamp strm, int flush))`
  - Similar to `deflate()`

deflateEnd() / inflateEnd()

- `ZEXTERN int ZEXPORT deflateEnd OF((z_streamp strm))`
- `ZEXTERN int ZEXPORT inflateEnd OF((z_streamp strm))`

An example code for compressing

```c
#include <stdio.h>
#include <stdlib.h>
#include <unistd.h>
#include <string.h>
#include <zlib.h>

int main( int argc, char **argv ) {
    // initialize compressor
    z_stream compressor;
    // message to be compressed
    const char* input_string = "abcdabcdabcdefgefgefg";
    // initialize compressor
    compressor.zalloc = Z_NULL;
    compressor.zfree = Z_NULL;
    compressor.opaque = Z_NULL;
    compressor.avail_in = 0;
    compressor.next_in = Z_NULL;
    int ret = deflateInit(&compressor, Z_DEFAULT_COMPRESSION);
    if (ret != Z_OK) {
        exit(1);
    }
    // prepare for compression
    char output_buf[1024];
    compressor.avail_in = sizeof input_string;
    compressor.next_in = (unsigned char *) input_string;
    compressor.avail_out = sizeof output_buf;
    compressor.next_out = (unsigned char *) output_buf;
    // compress message
    do {
        (void) deflate(&compressor, Z_SYNC_FLUSH);
    } while( compressor.avail_in > 0 );
    // print the compressed message
    write(1, output_buf, sizeof output_buf - compressor.avail_out);
    write(1, "\n", 1);
    // shutdown compressor
    deflateEnd(&compressor);
}
```

```
> ./compress
xJLJNI▣b
```

Workflow

- How your code should work

Workflow: client

- Initialize zlib streams
- Create a socket
  - `socket(2)`
- Identify server
  - `gethostbyname(3)`
- `connect(2)` to server
- Wait for input
  - `poll(2)` on keyboard and socket
  - Compress and decompress if necessary
- `shutdown(2)` socket
- Restore terminal modes

Workflow: server

- Initialize zlib streams
- Create a socket
  - `socket(2)`
- `bind(2)` socket to name
  - Fill server's sockaddr_in struct INADDR_ANY
- Establish connection with client
  - `listen(2), accept(2)`
- Input/output forwarding
  - `poll(2)` on socket and shell, decompress if necessary

Recommendation

- Test individual modules before putting everything together
  1. Write a server and a client that simply sends “hello” to each other
  2. Write a program and compresses and decompresses messages
     - Test if you compress and decompress, you get the same message back
  3. Add --compress support to your server and client program
  4. Add project 1A to your program

Socket FAQ

- Socket-in-use error
  - `listen(2)` remains active for some time (usually seconds) after the server exits. Wait for a few seconds and try again. Or you can switch to another port.
- Connection refused error
  - Nothing is listening to the port you are trying to connect.
  - On linux servers, monitor listening ports with
    - `netstat -tln`