#### **Server Performance**

**Computer Systems** 

**David Marchant** 

#### Based on slides by:

Randal E. Bryant and David R. O'Hallaron

#### Some reminders...

- Threads & Processes: Both can mainting concurrent logical control through context switching. Threads are lighter weight and share more data.
- Concurrency & Parrallel: Parallel means running different processing at the literal same time. Concurrency can simulate this by interweaving
- Semaphores & Mutex: Synchronisation tools to ensure that we don't encounter concurrency problems such as race conditions or deadlock

# **Approaches for Writing Concurrent Servers**

Allow server to handle multiple clients concurrently

#### 1. Process-based (Intro to Network Programming Lecture)

- Kernel automatically interleaves multiple logical flows
- Each flow has its own private address space

#### 2. Event-based

- Programmer manually interleaves multiple logical flows
- All flows share the same address space
- Uses technique called I/O multiplexing.

#### 3. Thread-based (Intro to Network Programming Lecture)

- Kernel automatically interleaves multiple logical flows
- Each flow shares the same address space
- Hybrid of of process-based and event-based.

#### **Pros and Cons of Process-based Servers**

- + Handle multiple connections concurrently
- + Clean sharing model
  - descriptors (no)
  - file tables (yes)
  - global variables (no)
- + Simple and straightforward
- Additional overhead for process control
- Nontrivial to share data between processes
  - Requires IPC (interprocess communication) mechanisms
    - FIFO's (named pipes), System V shared memory and semaphores

# **Pros and Cons of Thread-Based Designs**

- + Easy to share data structures between threads
  - e.g., logging information, file cache
- + Threads are more efficient than processes
- Unintentional sharing can introduce subtle and hardto-reproduce errors!
  - The ease with which data can be shared is both the greatest strength and the greatest weakness of threads
  - Hard to know which data shared & which private
  - Hard to detect by testing
    - Probability of bad race outcome very low
    - But nonzero!
  - Future lectures

#### **Approach #2: Event-based Servers**

- Server maintains set of active connections
  - Array of connfd's
- Repeat:
  - Determine which descriptors (connfd's or listenfd) have pending inputs
    - e.g., using select or epoll functions
    - arrival of pending input is an event
  - If listenfd has input, then accept connection
    - and add new connfd to array
  - Service all connfd's with pending inputs

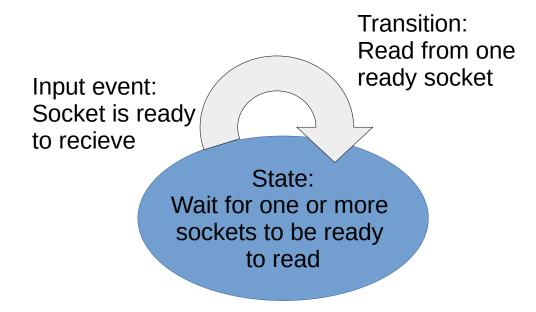
## How does this help us?

```
int main(int argc, char **argv)
   /* Boring declarations go here */
   listenfd = Open_listenfd(argv[1]);
   while (1) {
     clientlen = sizeof(struct sockaddr_storage);
   connfd = Accept(listenfd, (SA *)&clientaddr, &clientlen);
     Rio_readinitb(&rio, connfd);
      while((n = Rio_readlineb(&rio, buf, MAXLINE)) != 0) {
        printf("server received %d bytes\n", (int)n);
       Rio_writen(connfd, buf, n);
```

The key issue is that we want to wait on these two lines at the same time

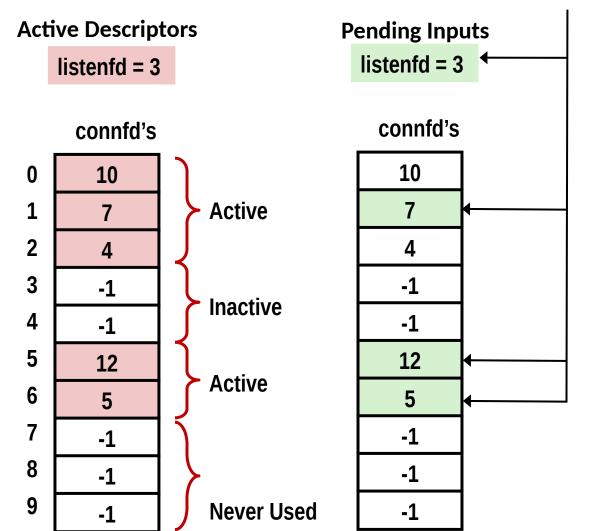
# I/O Multiplexing

- Use a Select command to combine multiple events into a set, then wait for at least one of them to occur
- Our set of waitable events will be input through listenfd, plus any connfd's that have been set up



# I/O Multiplexed Event Processing

Read and service



#### Abridged Example (from book, pg 1015)

```
int main(int argc, char **argv) {
  // More boring declarations go here
  fd_set all_socks_set, ready_set;
  listenfd = Open_listenfd(PORT);
  FD_ZERO(&all_socks_set);
                                   // Clear all_socks_set
  FD_SET(STDIN_FILENO, &all_socks_set); // Add stdin to all_socks_set
  FD_SET(listenfd, &all_socks_set); // +listenfd to all_socks_set
  while (1) {
    ready_set = all_socks_set;
    Select(listenfd+1, &ready_set, NULL, NULL, NULL);
    if (FD_ISSET(STDIN_FILENO, &ready_set))
      command(); // Read command line from stdin
    if (FD_ISSET(listenfd, &ready_set)) {
      clientlen = sizeof(struct sockaddr_storage);
      connfd = Accept(listenfd, (SA *)&clientaddr, &clientlen);
      echo(connfd); /* Echo client input until EOF */
      Close(connfd);
}}}
```

#### Abridged Example (from book, pg 1015)

```
void command(void) {
   char buf[MAXLINE];
   if (!Fgets(buf, MAXLINE, stdin))
      exit(0); /* EOF */
   printf("%s", buf); /* Process the input command */
}
```

```
void echo(int connfd) {
    size_t n;
    char buf[MAXLINE];
    rio_t rio;

    Rio_readinitb(&rio, connfd);
    while((n = Rio_readlineb(&rio, buf, MAXLINE)) != 0) {
        printf("server received %d bytes\n", (int)n);
        Rio_writen(connfd, buf, n);
    }
}
```

Do remember this code is heavily abridged so will not work as is, full code on pg 1015 of BOH

#### Abridged Example (from book, pg 1015)

```
int main(int argc, char **argv) {
 // More boring declarations go here
  fd_set all_socks_set, ready_set;
  listenfd = Open_listenfd(PORT);
  FD_ZERO(&all_socks_set);
                                     // Clear all_socks_set
  FD_SET(STDIN_FILENO, &all_socks_set); // Add stdin to all_socks_set
  FD_SET(listenfd, &all_socks_set); // listenfd to all_socks_set
                                                   Our server blocks here
 while (1) {
    ready_set = all_socks_set;
                                                   but in such a way that
    Select(listenfd+1, &ready_set, NULL, NULL, NU
                                                   we can wait for multiple
    if (FD_ISSET(STDIN_FILENO, &ready_set))
                                                   things to happen and
      command(); // Read command line from stdin
                                                   respond accordingly
    if (FD_ISSET(listenfd, &ready_set)) {
      clientlen = sizeof(struct sockaddr_storage);
      connfd = Accept(listenfd, (SA *)&clientaddr, &clientlen);
      echo(connfd); /* Echo client input until EOF */
      Close(connfd);
}}}
```

# I/O Multiplexing

- Thats all well and good, but it doesn't show an example of how we'd actually run a server. . .
- Example on page 1018-20 does though
- Its going to be a lot of code, so we're going to present it slightly differently . . . .

```
#include "csapp.h"
typedef struct { /* Represents a pool of connected descriptors */
   fd set all socks set; /* Set of all active descriptors */
   fd set ready set; /* Subset of descriptors ready for reading */
  int clientfd[FD SETSIZE]; /* Set of active descriptors */
   rio t clientrio[FD SETSIZE]; /* Set of active read buffers */
} pool;
void init pool(int listenfd, pool *p);
void add client(int connfd, pool *p);
void check clients(pool *p);
int byte cnt = 0; /* Counts total bytes received by server */
```

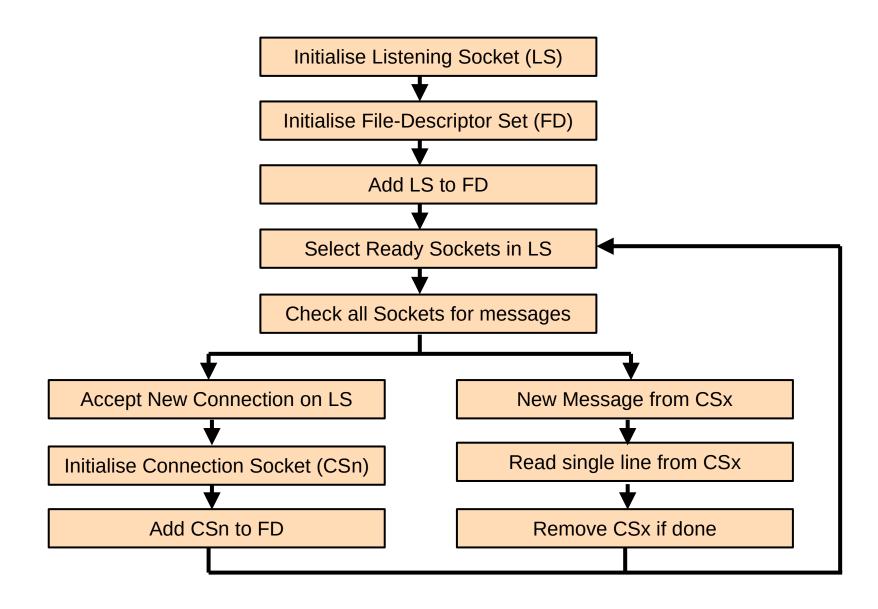
```
int main(int argc, char **argv){
    int listenfd, connfd;
    socklen t clientlen;
    struct sockaddr storage clientaddr;
    static pool pool;
    if (argc != 2) {
        fprintf(stderr, "usage: %s <port>\n", argv[0]);
       exit(0):
    listenfd = Open listenfd(argv[1]);
    init pool(listenfd, &pool);
   while (1) {
        /* Wait for listening/connected descriptor(s) to be ready */
        pool.ready_set = pool.all_socks_set;
        pool.nready = Select(pool.maxfd+1, &pool.ready set, NULL, NULL, NULL);
        /* If listening descriptor ready, add new client to pool */
        if (FD ISSET(listenfd, &pool.ready set)) {
            clientlen = sizeof(struct sockaddr storage);
            connfd = Accept(listenfd, (SA *)&clientaddr, &clientlen);
            add client(connfd, &pool);
        check clients(&pool); /* Echo line from each ready descriptor */
```

```
void init_pool(int listenfd, pool *p) {
    /* Initially, there are no connected descriptors */
    int i;
    p->maxi = -1;
    for (i=0; i< FD_SETSIZE; i++)
        p->clientfd[i] = -1;

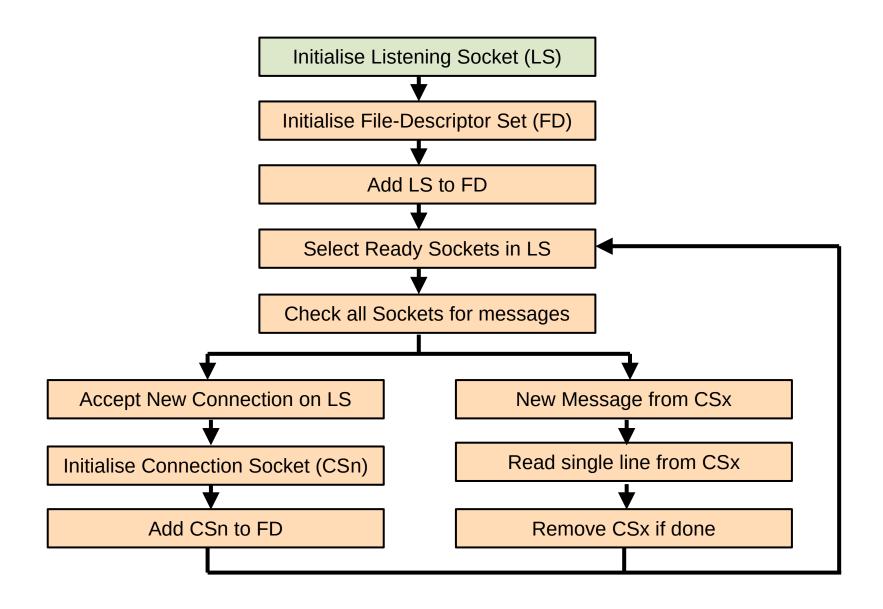
    /* Initially, listenfd is only member of select read set */
    p->maxfd = listenfd;
    FD_ZERO(&p->all_socks_set);
    FD_SET(listenfd, &p->all_socks_set);
}
```

```
void add client(int connfd, pool *p) {
    int i:
    p->nready--;
    for (i = 0; i < FD_SETSIZE; i++) /* Find an available slot */</pre>
       if (p->clientfd[i] < 0) {</pre>
           /* Add connected descriptor to the pool */
           p->clientfd[i] = connfd;
           Rio readinitb(&p->clientrio[i], connfd);
            /* Add the descriptor to descriptor set */
           FD SET(connfd, &p->all socks set);
           /* Update max descriptor and pool highwater mark */
           if (connfd > p->maxfd)
               p->maxfd = connfd;
           if (i > p->maxi)
               p->maxi = i;
           break:
    if (i == FD_SETSIZE) /* Couldn't find an empty slot */
       app_error("add_client error: Too many clients");
}
```

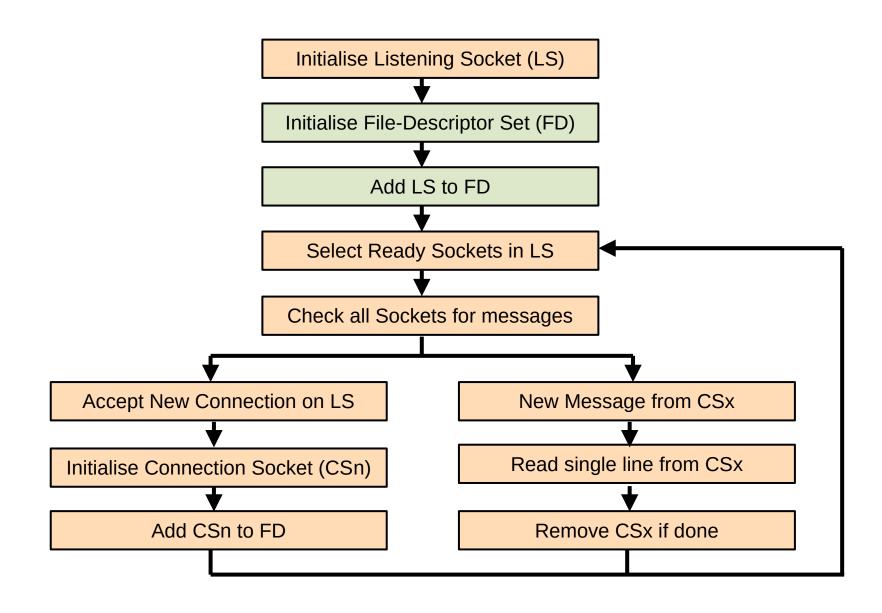
```
void check clients(pool *p) {
    int i, connfd, n;
    char buf[MAXLINE];
    rio t rio;
    for (i = 0; (i \le p->maxi) \&\& (p->nready > 0); i++) {
        connfd = p->clientfd[i];
        rio = p->clientrio[i];
        /* If the descriptor is ready, echo a text line from it */
        if ((connfd > 0) && (FD_ISSET(connfd, &p->ready_set))) {
            p->nready--;
            if ((n = Rio readlineb(&rio, buf, MAXLINE)) != 0) {
                byte cnt += n;
                printf("Server received %d (%d total) bytes on fd %d\n",
                   n, byte cnt, connfd);
                Rio writen(connfd, buf, n);
            /* EOF detected, remove descriptor from pool */
            else {
                Close(connfd);
                FD CLR(connfd, &p->all socks set);
                p->clientfd[i] = -1;
            }}}
```



```
#include "csapp.h"
typedef struct { /* Represents a pool of connected descriptors */
   fd set all socks set; /* Set of all active descriptors */
   fd set ready set; /* Subset of descriptors ready for reading */
   int clientfd[FD SETSIZE]; /* Set of active descriptors */
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} pool;
void init pool(int listenfd, pool *p);
void add client(int connfd, pool *p);
void check clients(pool *p);
int byte cnt = 0; /* Counts total bytes received by server */
```

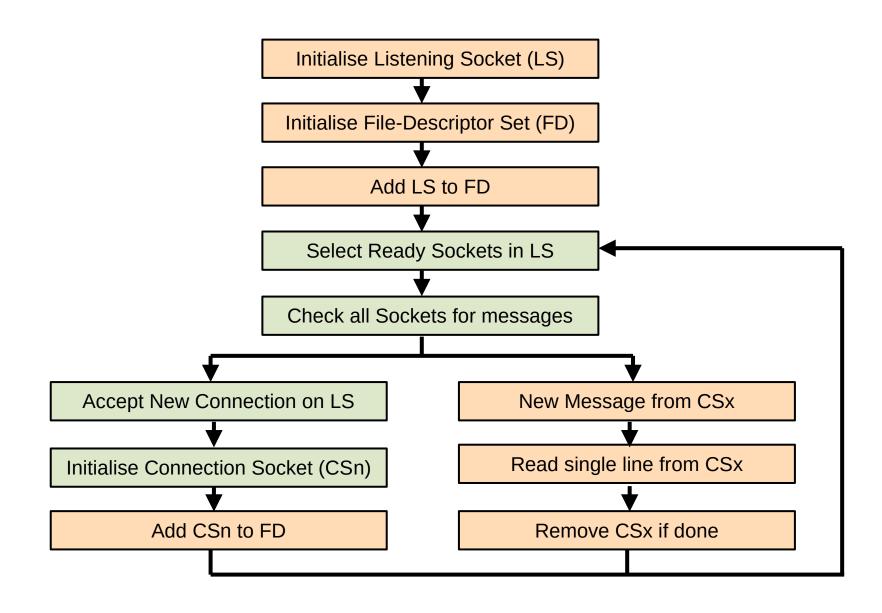


```
int main(int argc, char **argv){
    int listenfd, connfd;
    socklen t clientlen;
    struct sockaddr_storage clientaddr;
    static pool pool;
    if (argc != 2) {
        fprintf(stderr, "usage: %s <port>\n", argv[0]);
       exit(0):
    listenfd = Open listenfd(argv[1]);
    init pool(listenfd, &pool);
   while (1) {
        /* Wait for listening/connected descriptor(s) to be ready */
        pool.ready set = pool.all socks set;
        pool.nready = Select(pool.maxfd+1, &pool.ready_set, NULL, NULL, NULL);
        /* If listening descriptor ready, add new client to pool */
                            a, S loot. read s t
                            DA S FUCT BOOK 3d /r
            connfd = Accept(listenfd, (SA *)&clientaddr, &clientlen);
            add client(connfd, &pool);
        check clients(&pool); /* Echo line from each ready descriptor */
```

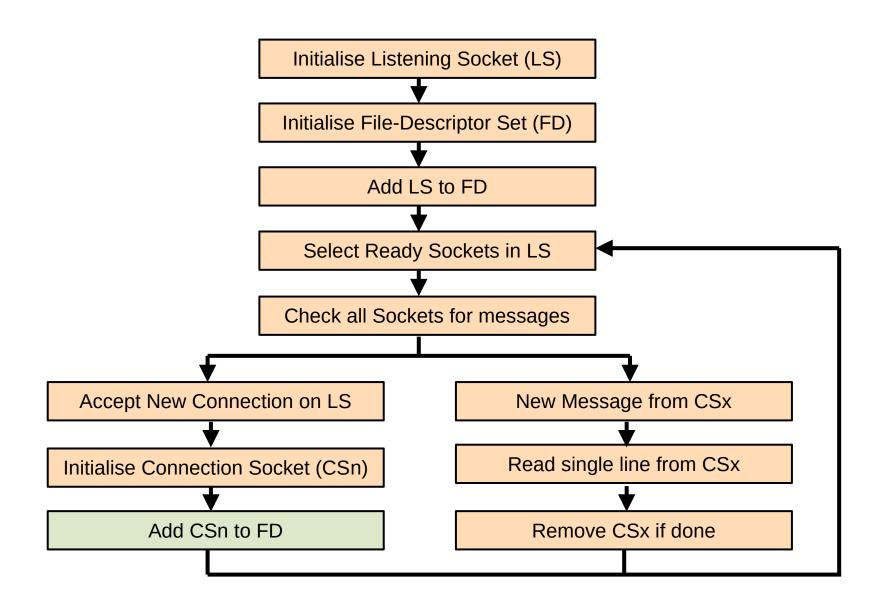


```
void init_pool(int listenfd, pool *p) {
    /* Initially, there are no connected descriptors */
    int i;
    p->maxi = -1;
    for (i=0; i< FD_SETSIZE; i++)
        p->clientfd[i] = -1;

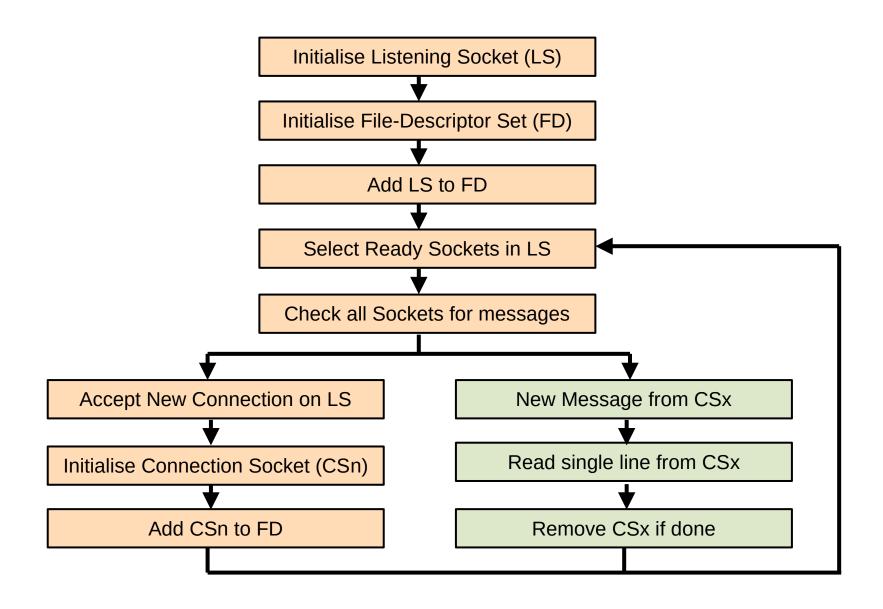
    /* Initially, listenfd is only member of select read set */
    p->maxfd = listenfd;
    FD_ZERO(&p->all_socks_set);
    FD_SET(listenfd, &p->all_socks_set);
}
```



```
int main(int argc, char **argv){
   int listenfd, connfd;
   socklen t clientlen;
   struct sockaddr storage clientaddr;
   static pool pool;
   for the transfer as port n, a g (0); HERE
   listenfd = Open listenfd(argv[1]);
   init pool(listenfd, &pool);
   while (1) {
       /* Wait for listening/connected descriptor(s) to be ready */
       pool.ready set = pool.all socks set;
       pool.nready = Select(pool.maxfd+1, &pool.ready set, NULL, NULL, NULL);
       /* If listening descriptor ready, add new client to pool */
       if (FD ISSET(listenfd, &pool.ready set)) {
           clientlen = sizeof(struct sockaddr storage);
           connfd = Accept(listenfd, (SA *)&clientaddr, &clientlen);
           add client(connfd, &pool);
       check clients(&pool); /* Echo line from each ready descriptor */
```



```
void add client(int connfd, pool *p) {
    int i:
    p->nready--;
    for (i = 0; i < FD_SETSIZE; i++) /* Find an available slot */</pre>
       if (p->clientfd[i] < 0) {</pre>
           /* Add connected descriptor to the pool */
           p->clientfd[i] = connfd;
           Rio readinitb(&p->clientrio[i], connfd);
            /* Add the descriptor to descriptor set */
           FD SET(connfd, &p->all_socks_set);
           /* Update max descriptor and pool highwater mark */
           if (connfd > p->maxfd)
               p->maxfd = connfd;
           if (i > p->maxi)
               p->maxi = i;
           break:
    if (i == FD_SETSIZE) /* Couldn't find an empty slot */
       app_error("add_client error: Too many clients");
}
```



```
void check clients(pool *p) {
    int i, connfd, n;
    char buf[MAXLINE];
    rio t rio;
    for (i = 0; (i \le p->maxi) \&\& (p->nready > 0); i++) {
        connfd = p->clientfd[i];
        rio = p->clientrio[i];
        /* If the descriptor is ready, echo a text line from it */
        if ((connfd > 0) && (FD_ISSET(connfd, &p->ready_set))) {
            p->nready--;
            if ((n = Rio readlineb(&rio, buf, MAXLINE)) != 0) {
                byte cnt += n;
                printf("Server received %d (%d total) bytes on fd %d\n",
                   n, byte cnt, connfd);
                Rio writen(connfd, buf, n);
            /* EOF detected, remove descriptor from pool */
            else {
                Close(connfd);
                FD CLR(connfd, &p->all socks set);
                p->clientfd[i] = -1;
            }}}
```

#### **Pros and Cons of Event-based Servers**

- + One logical control flow and address space.
- + Can single-step with a debugger.
- + No process or thread control overhead.
  - Design of choice for high-performance Web servers and search engines. e.g., Node.js, nginx, Tornado
- Significantly more complex to code than process- or threadbased designs.
- Hard to provide fine-grained concurrency
  - E.g., how to deal with partial HTTP request headers
- Cannot take advantage of multi-core
  - Single thread of control

## **Summary: Approaches to Concurrency**

#### Process-based

- Hard to share resources: Easy to avoid unintended sharing
- High overhead in adding/removing clients

#### Event-based

- Tedious and low level
- Total control over scheduling
- Very low overhead
- Cannot create as fine grained a level of concurrency
- Does not make use of multi-core

#### Thread-based

- Easy to share resources: Perhaps too easy
- Medium overhead
- Not much control over scheduling policies
- Difficult to debug
  - Event orderings not repeatable

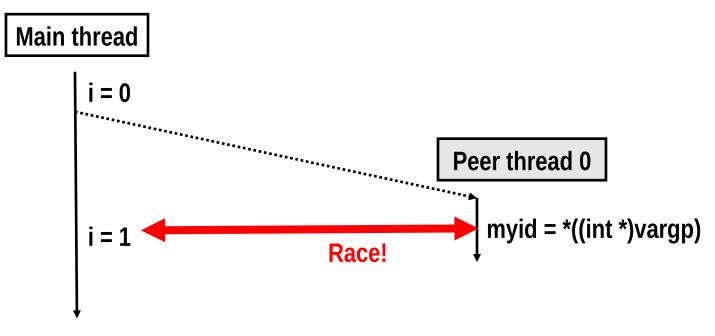
#### One worry: Races

A race occurs when correctness of the program depends on one thread reaching point x before another thread reaches point y

```
/* A threaded program with a race */
int main()
                                    N threads are sharing i
  pthread t tid[N];
  int i; ←
  for (i = 0; i < N; i++)
     Pthread create(&tid[i], NULL, thread, &i);
  for (i = 0; i < N; i++)
     Pthread join(tid[i], NULL);
  exit(0);
/* Thread routine */
void *thread(void *vargp)
  int myid = *((int *)vargp);
  printf("Hello from thread %d\n", myid);
  return NULL;
```

#### **Race Illustration**

```
for (i = 0; i < N; i++)
  Pthread_create(&tid[i], NULL, thread, &i);</pre>
```



- Race between increment of i in main thread and deref of vargp in peer thread:
  - If deref happens while i = 0, then OK
- Bryant and O'Hallaron, Computer Systems, Aprogrammer Spengedtige Hind Edition of id value

### Could this race really occur?

#### Main thread

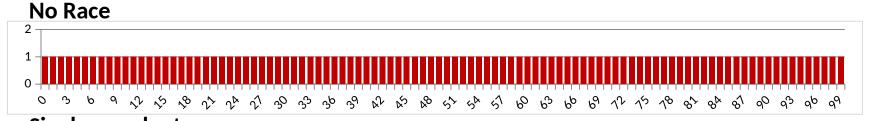
#### Peer thread

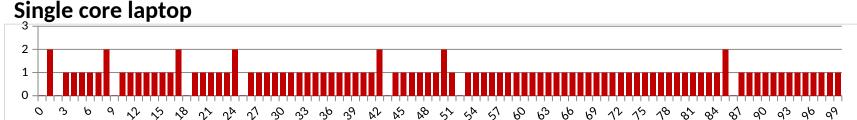
```
void *thread(void *vargp) {
   Pthread_detach(pthread_self());
   int i = *((int *)vargp);
   save_value(i);
   return NULL;
}
```

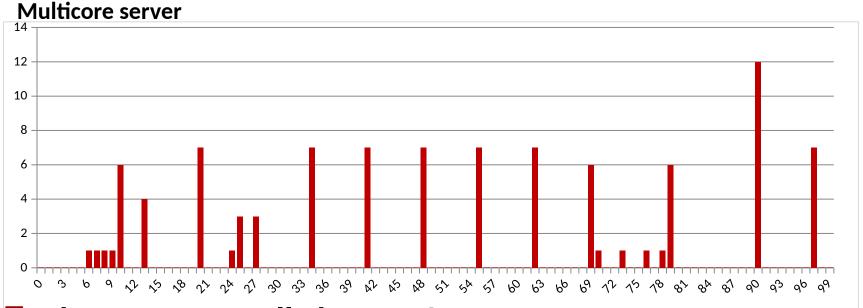
#### Race Test

- If no race, then each thread would get different value of i
- Set of saved values would consist of one copy each of 0 through 99

# **Experimental Results**







The race can really happen!

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### **Race Elimination**

```
/* Threaded program without the race */
int main()
                                    Avoid unintended sharing of
  pthread t tid[N];
                                    state
  int i, *ptr;
  for (i = 0; i < N; i++) {
     ptr = Malloc(sizeof(int));
     *ptr = i;
     Pthread_create(&tid[i], NULL, thread, ptr);
  for (i = 0; i < N; i++)
     Pthread join(tid[i], NULL);
  exit(0);
/* Thread routine */
void *thread(void *vargp)
  int myid = *((int *)vargp);
  Free(vargp);
  printf("Hello from thread %d\n", myid);
  return NULL:
```

Bryant and O' norace.c

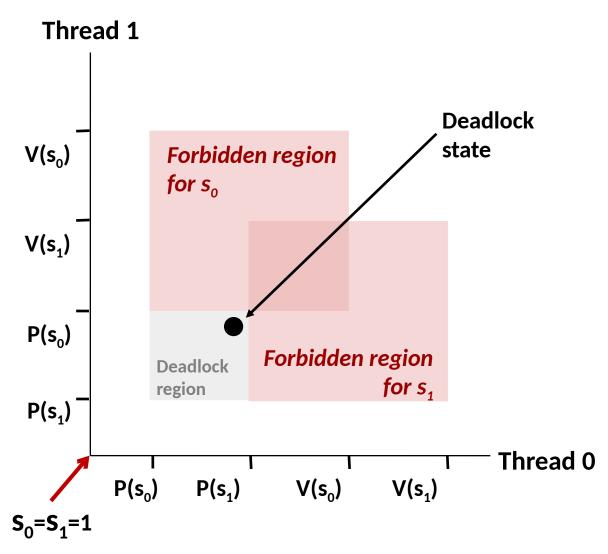
## **Deadlocking With Semaphores**

```
int main()
{
    pthread_t tid[2];
    Sem_init(&mutex[0], 0, 1); /* mutex[0] = 1 */
    Sem_init(&mutex[1], 0, 1); /* mutex[1] = 1 */
    Pthread_create(&tid[0], NULL, count, (void*) 0);
    Pthread_create(&tid[1], NULL, count, (void*) 1);
    Pthread_join(tid[0], NULL);
    Pthread_join(tid[1], NULL);
    printf("cnt=%d\n", cnt);
    exit(0);
}
```

```
void *count(void *vargp)
{
    int i;
    int id = (int) vargp;
    for (i = 0; i < NITERS; i++) {
        P(&mutex[id]); P(&mutex[1-id]);
        cnt++;
        V(&mutex[id]); V(&mutex[1-id]);
    }
    return NULL;
}
Bryant and O Hallaron, Computer Systems: A Programmer's Perspective, Imira Edition</pre>
```

```
Tid[0]: Tid[1]: P(s<sub>0</sub>); P(s<sub>1</sub>); P(s<sub>0</sub>); cnt++; V(s<sub>0</sub>); V(s<sub>1</sub>); V(s<sub>0</sub>);
```

## **Deadlock Visualized in Progress Graph**



Locking introduces the potential for deadlock: waiting for a condition that will never be true

Any trajectory that enters the deadlock region will eventually reach the deadlock state, waiting for either S<sub>0</sub> or S<sub>1</sub> to become nonzero

Other trajectories luck out and skirt the deadlock region

Unfortunate fact: deadlock is often nondeterministic (race)

## **Avoiding Deadlock**

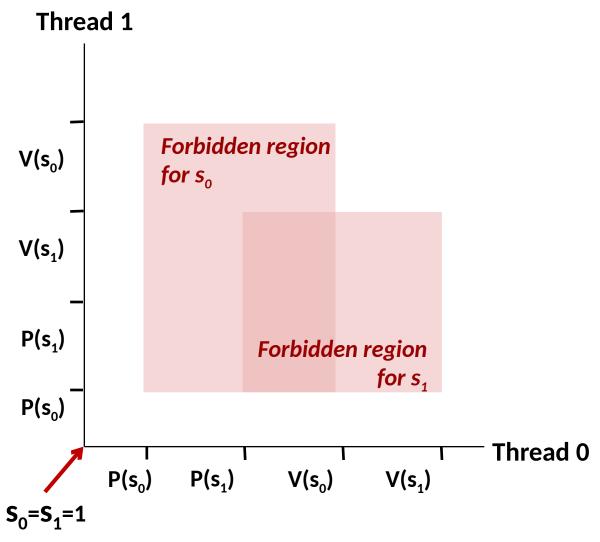
Acquire shared resources in same order

```
int main()
{
    pthread_t tid[2];
    Sem_init(&mutex[0], 0, 1); /* mutex[0] = 1 */
    Sem_init(&mutex[1], 0, 1); /* mutex[1] = 1 */
    Pthread_create(&tid[0], NULL, count, (void*) 0);
    Pthread_create(&tid[1], NULL, count, (void*) 1);
    Pthread_join(tid[0], NULL);
    Pthread_join(tid[1], NULL);
    printf("cnt=%d\n", cnt);
    exit(0);
}
```

```
void *count(void *vargp)
{
    int i;
    int id = (int) vargp;
    for (i = 0; i < NITERS; i++) {
        P(&mutex[0]); P(&mutex[1]);
        cnt++;
        V(&mutex[id]); V(&mutex[1-id]);
    }
    return NULL;
}
Bryant and **Count(void *vargp)
</pre>
```

```
Tid[0]: Tid[1]: P(s0); P(s1); P(s1); cnt++; V(s0); V(s1); V(s0);
```

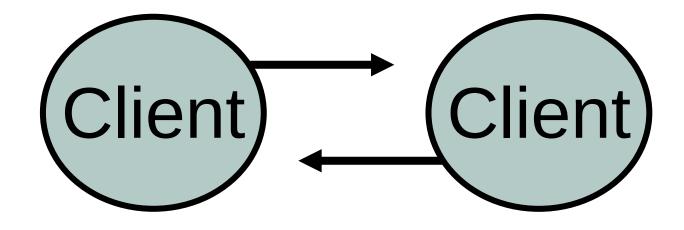
## **Avoided Deadlock in Progress Graph**



No way for trajectory to get stuck

Processes acquire locks in same order

Order in which locks released immaterial

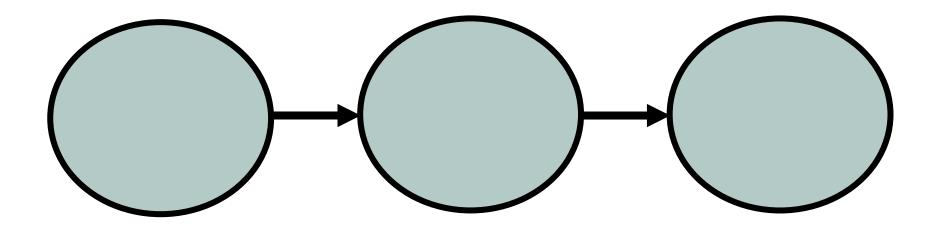


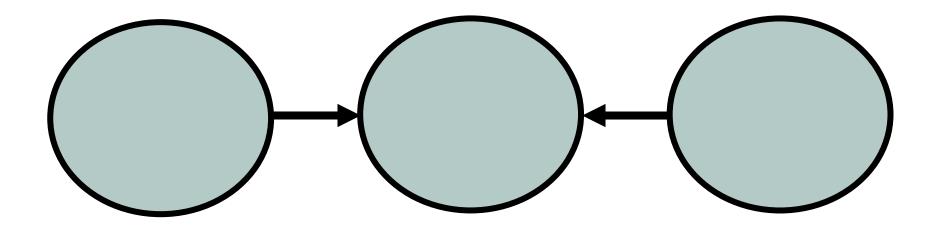
Deadlock can occur when two processes/threads/hosts try to act as clients at the same time.

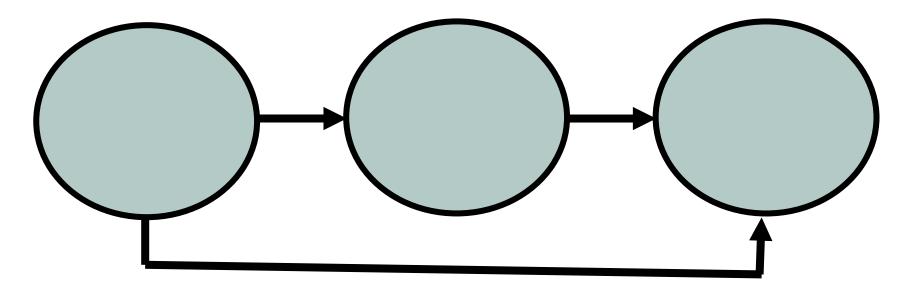
Or if they both act as servers at the same time

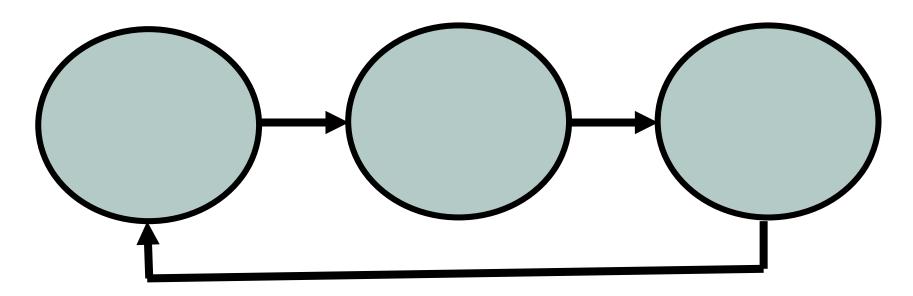
To solve this we can use process diagrams to draw interactions

As long as they is no loop, theres no deadlock

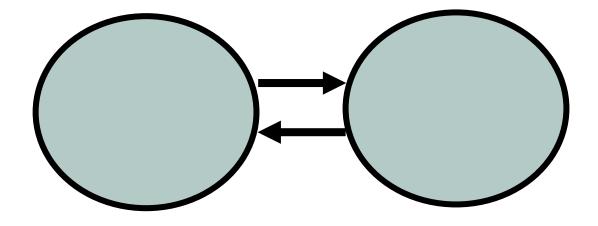






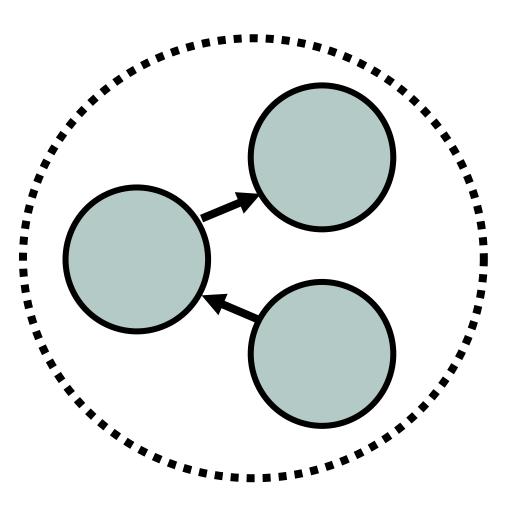


# Deadlock

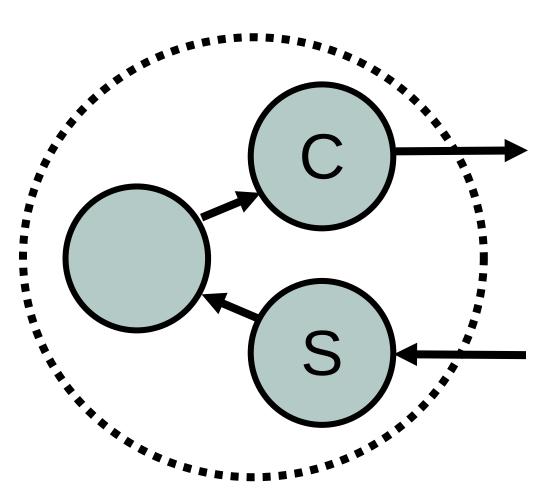


# Deadlock

# Didn't we solve this already?

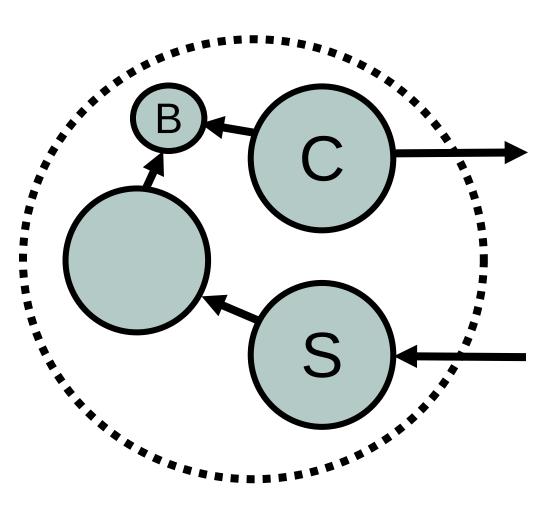


# Didn't we solve this already?





# Didn't we solve this already?



## **Summary: Approaches to Concurrency**

#### Process-based

- Hard to share resources: Easy to avoid unintended sharing
- High overhead in adding/removing clients

#### Event-based

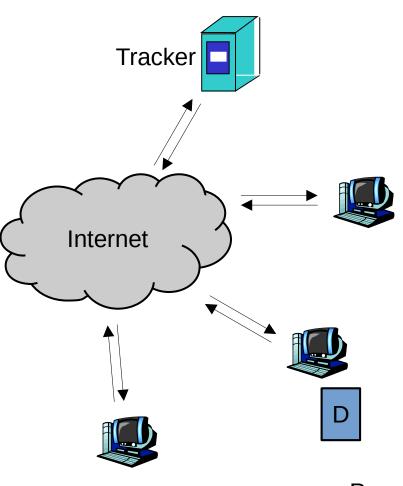
- Tedious and low level
- Total control over scheduling
- Very low overhead
- Cannot create as fine grained a level of concurrency
- Does not make use of multi-core

#### Thread-based

- Easy to share resources: Perhaps too easy
- Medium overhead
- Not much control over scheduling policies
- Difficult to debug
  - Event orderings not repeatable

#### **Peer to Peer Network**

- P2P is a way to share data files
- Peers connect to a network by registering with a tracker server
- Peers can get a list of all registered peers from the tracker (This also enrols them in the network)
- Peers can download locally missing data from other peers, or provide locally available data to others



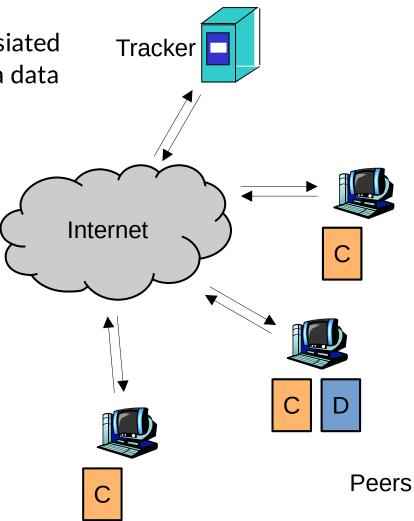
Peers

#### **Cascade Files**

Each data file has a cascade file assosiated with it, used to track what contents a data file should contain

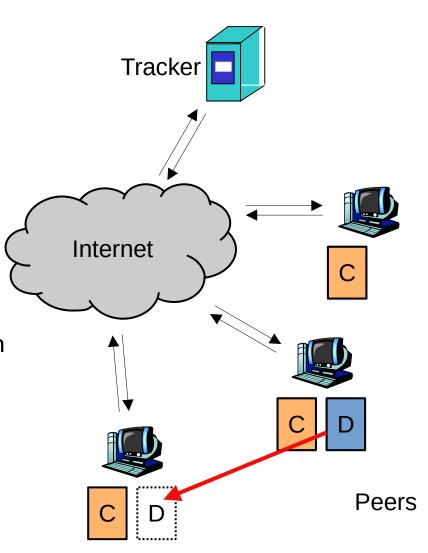
Data files are divided into chunks, with a hash taken of each chunk. This is a way of checking what the data contains, without replicating all of the data.

A peer can read a cascade file, and determine if the expected data is locally present.



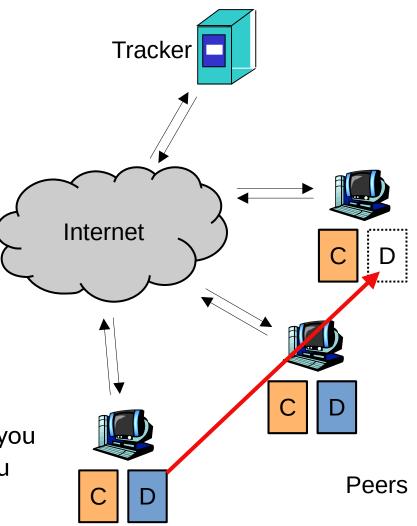
#### **A3**

- For A3 you implemented the first half of the peer
- Your peer should now parse a cascade file, connect to the tracker, and retrieve missing data from other peers
- A sample answer to A3 is provided in A4. Feel free to use your own, the provided one, or a mix of both



#### **A4**

- For A4 you will implement the second half
- Your peer should also be able to act as a source for other peers, once the data is available locally
- Your peer should be capable of accepting multiple inputs at once
- There are no TODO's provided in this assignment, it is as much about how you structure the the solution as what you type



#### <u>Peer</u> P2P architecture (lecture on sockets & HTTP) socket() <u>Peer</u> connect() write() socket() read() bind() socket() socket() OR listen() client connect() bind() listen() accept() write() < accept() read() read() read() write() write()

