Capital IQ Tool Tips

Capital IQ
A Division of Standard & Poor's

Coverage

Capital IQ is a great resource for finding in-depth information on companies. There are over two million private and public companies profiled in the database as well as over two and a half million professionals. The financial data is especially useful and often covers details of a company's transactions and other financial activities.

Accessibility

To request access to Capital IQ for the current quarter, log in to the Intranet > Request Capital IQ. Click the link *Capital IQ: new users/reactivation of old account*, enter your Booth username and password when prompted, then fill out the poll. Capital IQ requests received by 8:00 am on Monday are usually processed by 5:00 pm Tuesday evening. You'll receive an email confirmation when your account is created. You can also check the **Capital IQ: Access database** link after 5:00 pm the Tuesday following your request to check on the creation of your account.

All current students can access Capital IQ on campus and off campus, but remember:

- Do not access Capital IQ from a company's network.
- Use your home network, public wifi, or the UChicago network.
- If Capital IQ suspects that your account is being used at a company, they will lock your account and notify Career Services.

10 designated computers in the following locations are set up with automatic access to Capital IQ:

- Fisher CRC (Gleacher 440)
- Harper CRC (Harper 212)
- London CRC
- Singapore CRC
- Gleacher Computer Lab (Gleacher 110)
- Harper Computer Lab (Harper C50)

Alumni may use these designated lab computers on campus or email boothworks@ChicagoBooth.edu for information about possible temporary remote access.

Authoritative Sources

Capital IQ sources its information from SEC filings, LexisNexis, Dun & Bradstreet, company websites, Reuters, and public filings. Information on large public companies tends to be more robust and updated more frequently than smaller private companies. Capital IQ is strong in financial and transactional data, but weaker in comprehensive news updates.

Coverage Varies By Industry

Although Capital IQ has coverage of companies in a variety of industries, data is most useful for those interested in the financial elements of an industry or companies in financial sectors.

Find a Company Profile

Find information on a specific company including business descriptions, competitors, and products to help you evaluate your interest in a company and prepare for interviews.

A. **To search, enter the company name using the upper left search box on the homepage.**
   - The search box is not case sensitive.
   - You may retrieve multiple entries for one company name. Capital IQ profiles some subsidiaries and divisions of companies.

B. **As you type, options will begin to auto-populate. Select either from the drop down menu or choose from the search results.** Content within each profile can vary.

C. **On the left side you'll see a series of additional items. These may include:**
   - **Company Summary** – The links in this section can include the Tearsheet (this is the page that initially appears when viewing a company's profile), Corporate Timeline, Long Business Description, Products, Competitors, Industry Classification, and Offices.
   - **People** – In this section you can find bios of Professionals (mostly executives), Board Members, Committees and their members, and Executive Compensation. In the Professional's section, select "View All" and search for Booth alumni. A professional's education background is usually listed at the end of each bio.
   - **Financials/Valuation** – This section is a strength of Capital IQ as it provides various financial statistics. You will find information including Key Stats, Income Statement, Balance Sheet, Cash Flow, and Capital Structure.
   - **Estimates** – This section can include a Consensus that offers current financial stats and projected stats for the future.
   - **Charting** – This section includes a Chart Builder and Annotated Stock Chart that allows you to customize charts related to company stock.
   - **Transactions** – Another area of strength, this section includes M&A/Private Placement and Public Offerings. You can click on the date of each transaction and see complete details including advisory relationships.
   - **Business Relationships** – See which companies the profiled company has as its Customers and Suppliers, as well as which companies they have Strategic Alliances with.
   - **Investors** – See the details of the company's Private and Public Ownership.
   - **News, Events & Filings** – See the latest company Events Calendar, SEC filings (including annual reports), Key Developments, and Key Documents. Business Source Complete and Factiva are much better news sources than this feature.
   - **Investments** – Find information about investments that the company has made including all recent acquisitions. Click on each transaction for details.
   - **Report Builder** – Export Basic Reports or Customize your own to include the information you need.

Find an Industry Profile

Use this function to find industry participants and statistics.

- **Capital IQ has industry overviews for several industries. These Market Analyses are heavily focused on financial statistics that are updated on a daily basis.**
- **To view industry overviews, put your cursor over Markets on the top menu bar, then click on Analysis in the Industries section.**

- This screen offers an overview of Top Performing and Worst Performing Industries.
- Scroll down and you will find statistics on the major industry sectors.
- Click on any industry name to view an industry analysis of that particular industry.
- The left menu bar from this screen may include:
  - **Market Analysis** – This section allows you to see information filtered by Industry, Geography, Mergers & Acquisitions, Fixed Income, and Equity Offerings. You can refine the industry and geography by preference.
  - **Watch Lists –** This feature allows you to keep track of companies of interest. You can then use your watch list on other areas of the site, such as Screening or Real-Time. You can have as many watch lists as you like, and they can each hold an unlimited number of companies and indices.

- **Each Industry Profile page may include the following on the left menu bar:**

  - **Industry Profile**.
    - **Tearsheet** – The landing page for the Industry Profile is the **Tearsheet**, which includes key financials on the industry as a whole, recent key developments, and all the latest transactions that have occurred in the industry.
    - **Key Professionals** – This page shows average compensation for executives within an industry as well as all available bios.
    - **Financial Operating Metrics** – Allows you to view key players' financial stats by Large Cap, Mid Cap, and Small Cap companies.
    - **Active Investors** – Lists companies in each industry by number of transactions. Click on each company's name for more information about each
  - **Transactions** – Lists transactions within an industry by announcement date. Click on any of the dates for more details on each transaction.
  - **News, Events, & Filings** – Searches news feeds using keywords and company names. Business Source Complete and Factiva are much better news sources than this feature.

Search for Companies

Use this function to build a target list of companies using criteria you select.

- **To search for companies that fit your criteria do a Company Screen.** From the top menu bar click on **Screening**. Under the Screening menu click on **Companies**.

- **The Company Screening page lists columns of criteria that you can use to search for companies.** Click on any criteria you'd like to search by and click **Add Criteria** to add. Below are some of the most commonly used criteria:

  - **Company Details**
    - **Industry Classifications** – Search or select industries from the menu of industries (i.e. investment banking, management consulting, consumer staples, real estate, etc.)
    - **Geographic Locations** – Narrow your search by country, metropolitan area, or city.
  - **Person Details**
    - **Biographies** – Narrow your search by companies who are employing Booth alums. Type "University of Chicago" (including quotations) in the search field.
  - **Investment/Advisory Firms**
    - **Investment Firm Type** – This feature is especially useful for searching venture capital, private equity, or hedge fund firms.

- **Select *Save As New Screen* to save this screening for later use.** You'll be able to retrieve saved searches by going to Screening > Saved Screens.

- **Add as many criteria as you need to define your target list**. Click on **View Results** to see a table with your result set. You may also export your results as an excel file.

- **From the Screening Results you can add additional columns of information before exporting your results.** This will allow you to view additional information in your exported file without filtering out companies. For instance, if you add a column for *Number of Employees* and a company in your results list does not have that information available, that field will simply be blank but the company will remain on your list.
  - Click **Add/Edit Display Columns > Browse All Display Columns** to add more columns before exporting results.
  - Add columns under the listed categories. Many popular categories are listed within *General Business Details* and *Employee Details*.
  - Click **View Results** to view your company list with added columns.
  - You can then click **Go** from the Reporting Options box to download your results into an Excel spreadsheet.

- **Download individual company reports by clicking on the company name within the Screening Results. Within the company profile, use the Tearsheet Report option for a general company report or use the Report Builder feature to customize a company report.**

Research Transactions

Use this function to research transactions for a particular company or to screen for PE/VC firms by transactions.

- The following is a sample search to find information on deals that a particular bank advised on and to learn which bankers advised on which deals.

Search for transactions for a particular firm:

1. Go to Screening > Transactions.
2. Under List Management, click **Add Companies**.
3. In the “Search for” field, either type in the company’s ticker or the company’s name.
4. In the “Search Results” box, select and use the arrows (<, >, etc.) to bring the company name over to the “Selected Companies” box.
5. Below the “Search Results” box, there is a pull-down menu that allows you to limit your search to “Advisor” role, which is most likely the role the bank played in the transaction.
6. Click **Add Criteria**.
7. You will see the total number of transactions based on your search.
8. To limit your search by most current transactions, click **Dates** under “General Transaction Details.” From this screen you will be able to limit your search to the most current transactions (last 7 days, 3 months, etc.).
9. To view transaction information, click on **View Results.**
10. You will notice columns with information including the date a deal was announced, the roles played by all firms involved, and the status of the deal.
11. To view details on a particular deal, click on the date listed in the first column. There you will see any commentary and details available.

- The following is a sample search to find private equity/venture capital firms based on specific criteria. Using Capital IQ to screen for venture capital or private equity firm investing in an industry of interest is a great way to add to your target list.

Search for PE firms by location and investing in a particular industry:

1. Go to Screening > Transactions.
2. Under Investment/Advisory Firms, click **Investment Firm Type.**
3. In the Available Items box, double-click **PE/VC** to move this selection over to the “Selected Items” box.

4. Change “This criterion describes” to the **Buyers/Investors** and click **Add Criteria.** The results will represent all PE/VC firms in Capital IQ.
5. To narrow this list to find PE/VC firms in a specific location, click **Geographic Locations** under “Company Details”.
6. Select your geographic region of interest, and be sure to change the criterion to describe the **Buyers/Investors.** Click **Add Criteria.**
7. To find investments in a particular industry, select **Industry Classification** under “Company Details”.
8. Select your industry of interest, keeping the criterion to describe the **Target/Issuer** and click **Add Criteria.**
12. To limit your search by most current transactions, click **Dates** under “General Transaction Details.” From this screen you will be able to limit your search to the most current transactions (last 3 months, last 3 years, etc.).
13. Additional criteria of interest is located under **Investment Criteria/PE Funds.**
14. To view transaction information, click on **View Results.**
15. You will notice columns with information including the date a deal was announced, the target/issuers (companies receiving investments), transaction type and the buyers/investors (the PE/VC firms).
16. To view details on a particular deal, click on the date listed in the first column. There you will see any commentary and details available.