ML Predictor of IBD Status from Microbiome Profiles

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Packages

```
library(caret)
library(curatedMetagenomicData)
library(dplyr)
library(DT)
library(furrr)
library(ggplot2)
library(mia)
library(microbiome)
library(phyloseq)
library(plotly)
library(pR0C)
library(PRROC)
library(purrr)
library(randomForest)
library(SummarizedExperiment)
library(table1)
library(tidymodels)
library(tidyverse)
library(TreeSummarizedExperiment)
# setup
options(DT.fillContainer = FALSE,
        DT.options = list(scrollY = "500px", scrollX = TRUE, scrollCollapse = FALSE, pagir
)
```

Retrieval of datasets from IBD-associated studies

```
data("sampleMetadata")
# what diseases do we have available?
availablediseases <- pull(sampleMetadata, study_condition) %>%
  table() %>%
  sort(decreasing = TRUE)
availablediseases
#> .
                                                     IBD
                                                                                 T2D
#>
                      control
                        15121
                                                    2088
                                                                                 882
#>
#>
                          IGT
                                          premature_born
                                                                           melanoma
                          563
                                                     448
#>
                                                                                 315
#>
                         ACVD
                                                 adenoma
                                                                                 FMT
                          214
                                                     209
                                                                                 149
#>
                          STH
#>
                                                  otitis
                                                                      schizophrenia
                          108
                                                     107
#>
                                                                                 106
                           AS
                                                      ΗF
                                                                                 T1D
#>
                           97
#>
                                                      95
                                                                                  89
            pre-hypertension
                                                     CDI
                                                                             ME/CFS
#>
                                                      53
#>
                           56
                                                                                  50
                         STEC
                                             fatty liver
                                                                          psoriasis carcinoma
#>
#>
                           42
                                                                                  41
                                                                                  PD
                           ΑD
                                          cephalosporins
#>
#>
                           38
                                                      36
                                                                                  31
                                                     SRP
                                                                   peri-implantitis
#>
               periodontitis
                           24
                                                      24
#>
                                              bronchitis
                                                            TKI_dependent_diarrhoea
#>
                   mucositis
                                                      18
                                                                                  16
#>
          metabolic syndrome
#>
                                                   donor
                                                                     pyelonephritis infectiou
                                                       9
#>
                           10
                         MDRB
#>
                                                   fever
                                                                          pneumonia
                            5
#>
                                                       3
                                                                                   3
#>
                        cough
                                           pyelonefritis
                                                                            skininf
#>
                            2
                                                                                   2
                                           salmonellosis
#>
                     cystitis
                                                                             sepsis
#>
                                                                                   1
# get list of IBD studies
list_ibd_studies <- filter(sampleMetadata, study_condition %in% "IBD") %>% pull(study_name
list ibd studies
                          "HMP_2019_ibdmdb" "IaniroG_2022"
                                                                                   "LiJ_2014"
#> [1] "HallAB_2017"
                                                                "IjazUZ_2017"
#> [7] "VilaAV_2018"
# create TSE object -- note that 'counts' is FALSE by default
se <- suppressMessages(</pre>
  sampleMetadata %>%
    filter(study_name %in% list_ibd_studies & study_condition %in% c("IBD", "control")) %
    returnSamples(dataType = "relative_abundance", counts = TRUE)
)
```

Exploration

Cohort characteristics

	Overall (N=2887)
disease	
healthy	799 (27.7%)
IBD	2051 (71.0%)
IBD;perianal_fistula	37 (1.3%)
disease_subtype	
CD	1205 (41.7%)
UC	860 (29.8%)
undetermined_colitis	20 (0.7%)
Missing	802 (27.8%)
age	
Mean (SD)	31.5 (18.6)
Median [Min, Max]	29.0 [6.00, 76.0]
Missing	657 (22.8%)
gender	
female	1362 (47.2%)
male	1169 (40.5%)
Missing	356 (12.3%)
study_name	
HallAB_2017	259 (9.0%)
HMP_2019_ibdmdb	1627 (56.4%)
laniroG_2022	6 (0.2%)
ljazUZ_2017	94 (3.3%)
LiJ_2014	150 (5.2%)
NielsenHB_2014	396 (13.7%)
VilaAV_2018	355 (12.3%)

Convert TSE to phyloseq object

still a bug when working with counts...change name to 'counts' from 'relative_abundance' assayNames(se) <- "counts"

```
phy <- mia::convertToPhyloseq(se, assay.type = "counts")
#> Warning: Tips of rowTree are renamed to match rownames.
phy
#> phyloseq-class experiment-level object
#> otu_table() OTU Table: [ 940 taxa and 2887 samples ]
#> sample_data() Sample Data: [ 2887 samples by 140 sample variables ]
#> tax_table() Taxonomy Table: [ 940 taxa by 7 taxonomic ranks ]
#> phy_tree() Phylogenetic Tree: [ 940 tips and 939 internal nodes ]
```

Note that we have multiple samples per subject ID, i.e., our there is not a 1:1 mapping between sample and subject. This violates the assumption that each observation is independent. Additionally, subjects with more time points contribute disproportionately to alpha- and beta-diversity calculations, skewing measures of community richness and dissimilarity.

Investigate pre-filtered data

So we have 940 TaxonID-level features, but 935 species-level features. Do we drop them?

```
# turn tax_table from s4 obj to tibble
tax df <- tax table(phy) %>%
  as("matrix") %>%
  as tibble(rownames = "TaxonID")
# turn sample data from s4 obj to tibble
samp df <- sample data(phy) %>%
  as("matrix") %>%
  as_tibble(rownames = "SampleID")
# turn otu_table from s4 obj to tibble, with cols: TaxonID, Sample1, Sample2, ..., SampleN
otu df <- otu table(phy) %>%
  as("matrix") %>%
  {if (!taxa_are_rows(phy)) t(.) else .} %>%
  as_tibble(rownames = "TaxonID")
# make otu_long have one row for every (TaxonID, SampleID) combo where count > 0
otu_long <- otu_df %>%
  pivot longer(
    cols = -TaxonID,
    names to = "SampleID",
    values_to = "Count"
  )
# join otu_long to samp_df so that each row also carries its "study"
otu with study <- otu long %>%
  dplyr::left_join(samp_df, by = "SampleID")
# collect unique set of studies where each TaxonID appears
tax study <- otu with study %>%
  group by(TaxonID) %>%
  summarize(
    # collapse unique study names into a comma-separated string (or keep as list)
    Studies = paste0(study name, collapse = "; "),
    # check if Count = 0 for all values for each TaxonID
    Counts in study = if (all(Count == 0)) {
      "All 0"
    } else {
      paste0(Count, collapse = "; ")
    }
  ) %>%
  ungroup()
na_species_with_study <- tax_table(phy) %>%
  as("matrix") %>%
  as tibble(rownames = "TaxonID") %>%
  filter(is.na(species) | species == "") %>%
  dplyr::left join(tax study, by = "TaxonID")
na_species_with_study
#> # A tibble: 5 × 10
   TaxonID
                                               superkingdom phylum class order family genu
```

Even though the species is NA, we have counts for the TaxonID, so we should focus on the TaxonID.

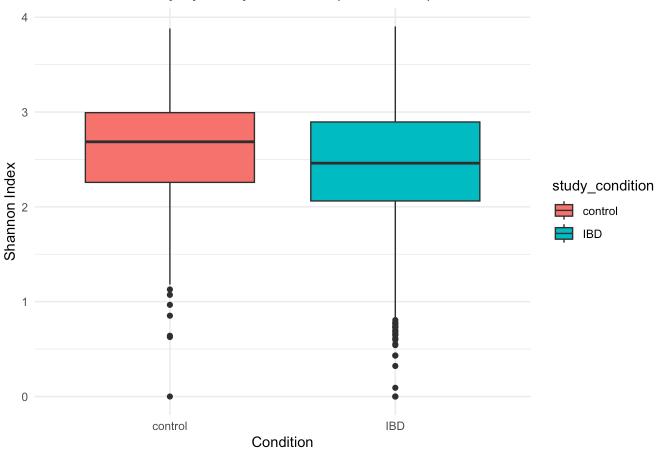
α -diversity

Next, we want to better understand the control vs IBD data. We'll look at α -diversity and use two indices: Shannon and Simpson (see here for further info on Shannon and Simpson), to better understand how "diverse" the community is by combining info about richness (how many taxa) and evenness (how evenly their abundances are distributed).

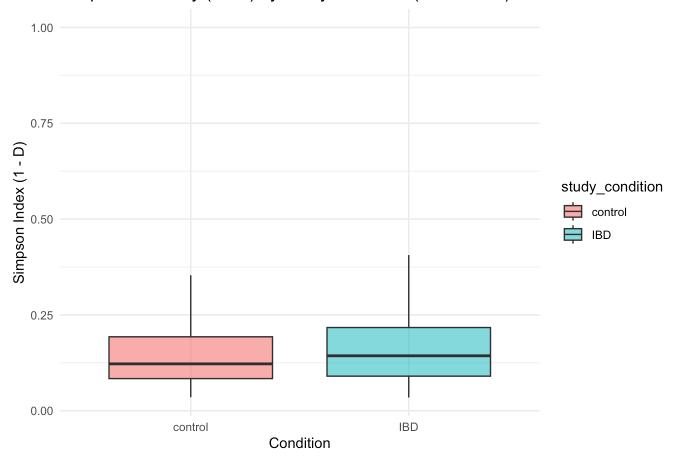
Note that, since we used <code>counts = TRUE</code>, the counts are rounded, which has led to no singletons. This means we cannot estimate richness metrics such as Observed or Chao1. We have left "Observed" as a measure to display the warning that we in fact do not have singletons.

```
# Extract richness from counts
     a) remove sequencing noise and artifacts
     b) reduces overestimation of diversity
#
#
     c) improve comparability across samples
#
     d) improve statistical validity (e.g., avoid unneccesarily inflating Type I error)
# estimate_richness provides per-sample diversity indices
alpha_raw <- estimate_richness(physeq =</pre>
  phy,
  measures = c("Shannon", "Simpson", "Observed")
\#> Warning in estimate_richness(physeq = phy, measures = c("Shannon", "Simpson", : The dat
#> any singletons. This is highly suspicious. Results of richness
#> estimates (for example) are probably unreliable, or wrong, if you have already
#> trimmed low-abundance taxa from the data.
#>
#> We recommended that you find the un-trimmed data and retry.
# create df that combines metadata with richness estimates
div df <- data.frame(</pre>
  sample = sample_names(phy),
  study condition = sample data(phy)$study condition,
  Shannon = alpha_raw$Shannon,
  Simpson_D = alpha_raw$Simpson,
  InvSimpson = 1 - alpha_raw$Simpson
                                       \# (1 - D)
)
# boxplot Shannon by group
ggplot(div_df, aes(x = study_condition, y = Shannon, fill = study_condition)) +
  geom_boxplot() +
  theme_minimal() +
  labs(title = "Shannon Diversity by Study Condition (Pre-filtered)",
       x = "Condition", y = "Shannon Index")
```

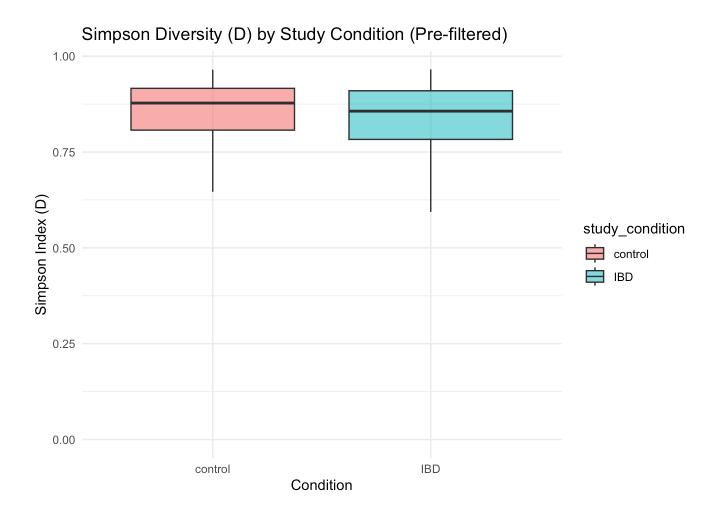
Shannon Diversity by Study Condition (Pre-filtered)



Simpson Diversity (1 - D) by Study Condition (Pre-filtered)



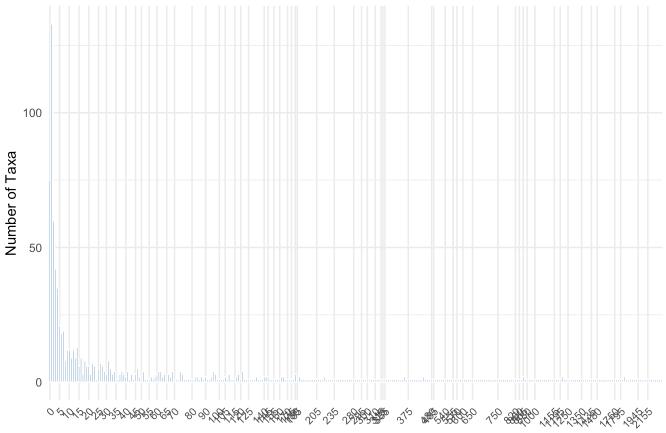
```
# boxplot Simpson Diversity (D) by group
ggplot(div_df, aes(x = study_condition, y = Simpson_D, fill = study_condition)) +
geom_boxplot(outlier.shape = NA, alpha = 0.6) +
theme_minimal() +
labs(title = "Simpson Diversity (D) by Study Condition (Pre-filtered)",
    x = "Condition", y = "Simpson Index (D)")
```



Plot prevalence histogram

```
# extract OTU table as a matrix
otu_mat <- as(otu_table(phy), "matrix")</pre>
# make sure rows = taxa, columns = samples. If phy has taxa as columns, transpose it
if (!taxa_are_rows(phy)) {
  otu mat <- t(otu mat)
\# calculate prevalence: number of samples in which each taxon has count > 0
prevalence_df <- data.frame(</pre>
             = rownames(otu_mat),
  prevalence = rowSums(otu_mat > 0)
) %>%
  mutate(prevalence_prop = prevalence / nsamples(phy)) %>%
  arrange(-prevalence)
# bar plot of prevalence
ggplot(prevalence_df, aes(x = factor(prevalence))) +
  geom_bar(fill = "steelblue", color = "white") +
  theme minimal() +
  labs(
    title = "Taxon Prevalence (Discrete Count)",
         = "Prevalence (number of samples)",
          = "Number of Taxa"
    У
  scale_x_discrete(
    breaks = as.character(seq(0, max(prevalence_df$prevalence), by = 5))
  ) +
  theme(
    axis.text.x = element_text(angle = 45, hjust = 1, size = 8)
  )
```

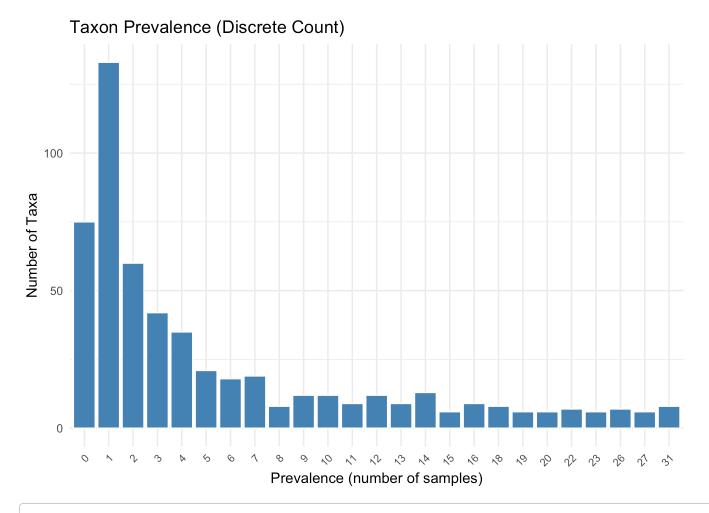
Taxon Prevalence (Discrete Count)



Prevalence (number of samples)

View subset

```
# plot subset that have > 5 prevalence
ggplot(prevalence_df %>%
         group_by(prevalence) %>%
         filter(n() > 5) %>%
         ungroup(),
       aes(x = factor(prevalence))) +
 geom_bar(fill = "steelblue", color = "white") +
 theme_minimal() +
 labs(
   title = "Taxon Prevalence (Discrete Count)",
          = "Prevalence (number of samples)",
         = "Number of Taxa"
   У
 ) +
 theme(
   axis.text.x = element_text(angle = 45, hjust = 1, size = 8)
  )
```



```
# table view
DT::datatable(
  prevalence_df %>%
  filter(prevalence > 5) %>%
  arrange(-prevalence)
)
```

Search:

k_Bacteria|p_Firmicutes|c_Clostridia|o_Clostridiales|f_Ruminococcaceae|g_Faecalibacterium|s_Faecalibacteria|p_Bacteroidetes|c_Bacteroidia|o_Bacteroidales|f_Bacteroidaceae|g_Bacteroides|s_Bacteroidee|k_Bacteroidetes|c_Bacteroidia|o_Bacteroidales|f_Bacteroidaceae|g_Bacteroides|s_Bacteroidee|k_Bacteroidee|c_Clostridia|o_Clostridiales|f_Ruminococcaceae|g_Flavonifractor|s_Flavonifractoe|k_Bacteria|p_Firmicutes|c_Clostridia|o_Clostridiales|f_Lachnospiraceae|g_Anaerostipes|s_Anaerostipes_flavonifractoe|c_Clostridiales|f_Lachnospiraceae|g_Lachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachnospiraceae_unclassified|s_flachn

k_Bacteria p_Firmicutes c_Clostridia o_Clostridiales f_Lachnospiraceae g_Fusicatenibacter s_Fusicatenib
k_Bacteria p_Firmicutes c_Clostridia o_Clostridiales f_Lachnospiraceae g_Blautia s_Blautia_wexlerae
k_Bacteria p_Bacteroidetes c_Bacteroidia o_Bacteroidales f_Tannerellaceae g_Parabacteroides s_Paraba
k_Bacteria p_Bacteroidetes c_Bacteroidia o_Bacteroidales f_Bacteroidaceae g_Bacteroides s_Bacteroide
k_Bacteria p_Firmicutes c_Clostridia o_Clostridiales f_Lachnospiraceae g_Dorea s_Dorea_longicatena
k_Bacteria p_Firmicutes c_Clostridia o_Clostridiales f_Lachnospiraceae g_Roseburia s_Roseburia_inuliniv
k Bacterialo FirmicutesIc Clostridialo ClostridialesIf Ruminococcaceaela RuthenibacteriumIs Ruthenib
Showing 1 to 574 of 574 entries

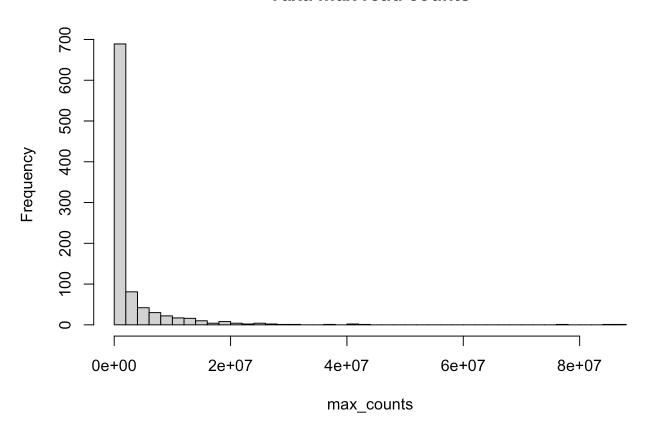
Filter low-abundance taxa

Ideally, we capture every taxon. However,

```
# define grid of parameters
params <- expand.grid(</pre>
                       = c(1, 2, 3, 4, 5, 10, 50, 100, 200, 500, 1000, 2000),
 min_reads
 min prevalence prop = c(0.001, 0.01, 0.05, 0.1, 0.2, 0.5), # number of samples
  stringsAsFactors
                       = FALSE
)
# for each row in params, filter taxa and record how many remain
keep_counts <- params %>%
  pmap_dfr(function(min_reads, min_prevalence_prop) {
    # keep taxa observed in >= min_reads in > min_prevalence_count
    phy_filt <- filter_taxa(</pre>
      phy,
      function(x) sum(x >= min_reads) > (min_prevalence_prop * length(x)),
      prune = TRUE
    )
    # create a one-row tibble of results
    tibble(
      min reads
                          = min_reads,
      min_prevalence_prop = min_prevalence_prop,
                          = ntaxa(phy_filt)
      n_taxa_kept
    )
 })
keep_counts %>% arrange(-n_taxa_kept)
#> # A tibble: 72 × 3
#>
      min_reads min_prevalence_prop n_taxa_kept
#>
          <dbl>
                               <dbl>
                                           <int>
#> 1
              1
                               0.001
                                             672
              2
   2
#>
                               0.001
                                             672
#> 3
              3
                               0.001
                                             672
#> 4
              4
                               0.001
                                             672
   5
              5
                               0.001
                                             672
#>
  6
             10
                               0.001
#>
                                             672
#>
   7
             50
                               0.001
                                             672
#>
   8
            100
                               0.001
                                             671
   9
                                             669
#>
            200
                               0.001
#> 10
            500
                               0.001
                                             660
#> # i 62 more rows
```

```
max_counts <- apply(otu_table(phy), 1, max)
hist(max_counts, breaks = 50, main="Taxa max read counts")</pre>
```

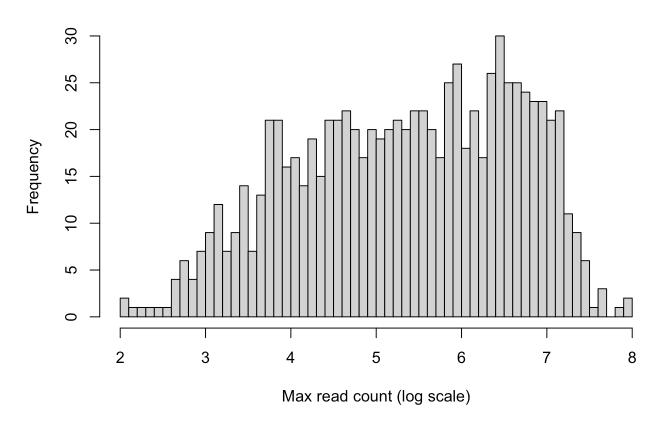
Taxa max read counts



This is hard to read — let's "spread" the data, i.e., log-transform our data.

```
# histogram plot of > 0 log-transformed counts
mc <- max_counts[max_counts > 0]
hist(log10(mc),
    breaks = 50,
    main = "Log-scaled Taxa Max Read Counts",
    xlab = "Max read count (log scale)"
)
```

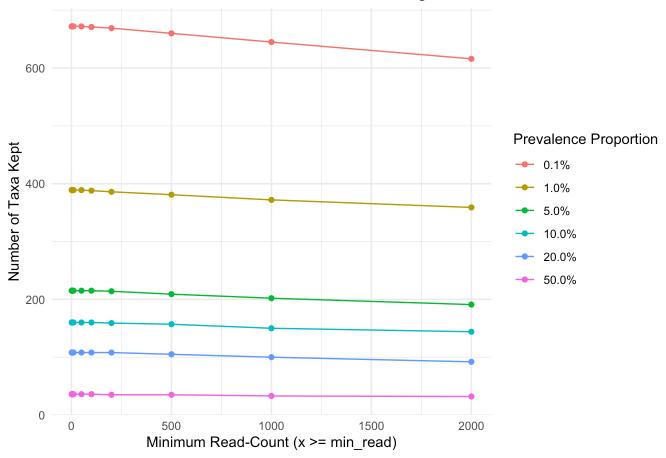
Log-scaled Taxa Max Read Counts



Note that the left tail (at around 2-3) implies "rare" taxa, while the right tail (at around > 6) implies highly dominant taxa.

```
# plot impact of filtering
ggplot(keep_counts, aes(x = min_reads, y = n_taxa_kept, color = factor(min_prevalence_property)
geom_line() +
geom_point() +
scale_color_discrete(
    name = "Prevalence Proportion", # legend title
    labels = function(x) percent(as.numeric(x), accuracy = 0.1) # 0.05 to 5%
) +
theme_minimal() +
labs(
    title = "How number of retained taxa varies with filtering thresholds",
    x = "Minimum Read-Count (x >= min_read)",
    y = "Number of Taxa Kept"
)
```

How number of retained taxa varies with filtering thresholds



We need to balance noise removal and biological signal. Let's investigate the phylum breakout to make sure we don't lose phylums with > 1 prevalence.

```
# Check phylum-level breakdown of the M taxa kept to make sure don't filter out entire Phy
# pre-filter
tax_table_df <- as.data.frame(table(tax_table(phy)[, "phylum"])) %>%
  arrange(-Freq) %>%
  rename(
               = Var1,
    phylum
    freq_orig = Freq
  ) %>%
  mutate(
    Percent_phylum_orig = round((freq_orig / sum(freq_orig)) * 100, 1)
  )
str(tax_table_df)
#> 'data.frame':
                    20 obs. of 3 variables:
#> $ phylum
                         : Factor w/ 20 levels "Actinobacteria",..: 12 16 3 1 13 10 18 2 1
#> $ freq_orig
                         : int 482 149 140 119 18 5 5 4 3 2 ...
#> $ Percent phylum orig: num 51.4 15.9 14.9 12.7 1.9 0.5 0.5 0.4 0.3 0.2 ...
tax_table_df
#>
                           phylum freq orig Percent phylum orig
#> 1
                       Firmicutes
                                         482
                                                            51.4
#> 2
                   Proteobacteria
                                         149
                                                            15.9
#> 3
                     Bacteroidota
                                         140
                                                            14.9
#> 4
                                                            12.7
                   Actinobacteria
                                         119
#> 5
                     Fusobacteria
                                         18
                                                             1.9
                                                             0.5
#> 6
                    Euryarchaeota
                                          5
#> 7
                    Synergistetes
                                          5
                                                             0.5
#> 8
                                          4
                                                             0.4
                       Ascomycota
#> 9
                     Spirochaetes
                                          3
                                                             0.3
#> 10
                           Evosea
                                          2
                                                             0.2
#> 11
                                          2
                                                             0.2
                      Tenericutes
#> 12
                                                             0.1
                    Basidiomycota
                                           1
#> 13 Candidatus Melainabacteria
                                                             0.1
                                           1
#> 14 Candidatus Thermoplasmatota
                                           1
                                                             0.1
#> 15
                       Chlamydiae
                                           1
                                                             0.1
#> 16
                      Chloroflexi
                                           1
                                                             0.1
#> 17
                    Cyanobacteria
                                           1
                                                             0.1
#> 18
                    Lentisphaerae
                                           1
                                                             0.1
#> 19
                  Planctomycetota
                                           1
                                                             0.1
#> 20
                  Verrucomicrobia
                                           1
                                                             0.1
```

```
# keep taxa with reads >= 100 in at least 1% of samples phy_filtered <- filter_taxa(phy, function(x) sum(x >= 100) > (0.01 * length(x)), TRUE) message("Kept ", ntaxa(phy_filtered), " out of ", ntaxa(phy), " taxa.\n") #> Kept 388 out of 940 taxa.
```

```
# check phylum-level breakdown of the M taxa kept to make sure don't filter out entire Phy
# post-filter
tax_table_filtered_df <- as.data.frame(table(tax_table(phy_filtered)[, "phylum"])) %>%
  arrange(-Freq) %>%
  rename(
             = Var1,
    phylum
   freq_sub = Freq
  )
str(tax_table_filtered_df)
#> 'data.frame':
                    12 obs. of 2 variables:
#> $ phylum : Factor w/ 12 levels "Actinobacteria",..: 7 3 1 10 8 6 11 2 4 5 ...
#> $ freq_sub: int 234 72 42 27 3 2 2 1 1 1 ...
tax_table_filtered_df
#>
                           phylum freq_sub
#> 1
                       Firmicutes
                                       234
#> 2
                     Bacteroidota
                                        72
#> 3
                                        42
                   Actinobacteria
#> 4
                   Proteobacteria
                                        27
#> 5
                     Fusobacteria
                                         3
                                         2
#> 6
                   Euryarchaeota
#> 7
                                         2
                    Synergistetes
#> 8
                       Ascomycota
                                         1
#> 9
      Candidatus Melainabacteria
                                         1
#> 10 Candidatus Thermoplasmatota
                                         1
#> 11
                    Lentisphaerae
                                         1
                  Verrucomicrobia
#> 12
                                         1
```

```
merged_tax_table_df <- merge(
  tax_table_df,
  tax_table_filtered_df,
  by = "phylum",
  all.x = TRUE
) %>%
  mutate(
    # Compute percent of Freq_sub of original
    Percent_phylum_sub_of_orig = round((freq_sub / sum(freq_orig)) * 100, 1),
    Percent_freq_sub_of_orig = round((freq_sub / freq_orig) * 100, 1)
)
DT::datatable(merged_tax_table_df)
```

	phylum	♦ freq_orig ♦	Percent_phylum_orig	freq_sub	Percent_phylum_sub_of_
1	Actinobacteria	119	12.7	42	
2	Ascomycota	4	0.4	1	

Search:

3	Bacteroidota	140	14.9	72	
4	Basidiomycota	1	0.1		
5	Candidatus Melainabacteria	1	0.1	1	
6	Candidatus Thermoplasmatota	1	0.1	1	
7	Chlamydiae	1	0.1		
8	Chloroflexi	1	0.1		
9	Cyanobacteria	1	0.1		
10	Euryarchaeota	5	0.5	2	
11	Evosea	2	0.2		
12	Firmicutes	482	51.4	234	

Showing 1 to 20 of 20 entries

So we end up losing 8 taxa, but these are rare. Recall our task is RF classification. Filtering rare taxa (even up to moderate prevalence thresholds) reduces α -diversity differences between batches and alleviates technical variability, while maintaining β -diversity structure. Additionally, in disease studies, filtering retains significant taxa and preserves RF AUC for classification tasks [1].

We will choose 1% as our threshold, which seems like a good balance of signal vs noise while still retaining a broad range of taxa.

Investigate filtered data

How does "diversity" differ between IBD vs. control

 α -diversity often differs in disease vs. healthy (e.g., IBD typically has lower Shannon). We first visualize and then perform a formal test (i.e., Wilcoxon rank-sum test) to qualitatively and quantitatively compare the groups before jumping to our ML analysis.

```
# Extract richness from the raw counts
#
     a) remove sequencing noise and artifacts
#
     b) reduces overestimation of diversity
#
     c) improve comparability across samples
     d) improve statistical validity (e.g., avoid unneccesarily inflating Type I error)
#
alpha_raw <- estimate_richness(</pre>
  phy filtered,
 measures = c("Shannon", "Simpson")
)
\#> Warning in estimate_richness(phy_filtered, measures = c("Shannon", "Simpson")): The dat
#> any singletons. This is highly suspicious. Results of richness
#> estimates (for example) are probably unreliable, or wrong, if you have already
#> trimmed low-abundance taxa from the data.
#>
#> We recommended that you find the un-trimmed data and retry.
alpha_raw
#>
                        Shannon
                                  Simpson
#> SKST006_6_G102964 1.2522940 0.6372417
#> SKST006 7 G102965 1.4010656 0.5467980
#> SKST006_4_G102962 1.7122378 0.7658535
#> SKST006 5 G102963 1.2635459 0.6865648
#> SKST006_2_G102960 0.9780697 0.5907783
#> SKST006 3 G102961 0.6130828 0.4220964
#> SKST006 10 G102994 0.0000000 0.0000000
#> SKST006_1_G102959 1.3779269 0.6894939
#> SKST006_9_G103014 1.4712727 0.6384448
#> SKST027_3_G102945 2.6546096 0.8849364
#> SKST027 4 G102958 2.7693670 0.9025294
#> SKST027_6_G102955 1.0200554 0.6072276
#> SKST027_9_G102947 2.5510789 0.8891747
#> SKST027 11 G102996 2.0612132 0.7799172
#> SKST027 12 G103011 2.2657963 0.8509940
#> SKST027_1_G102984 1.1866775 0.5446189
#> SKST027 2 G102985 2.1089348 0.8399448
#> SKST032 1 G102943 2.3864312 0.8444605
#> SKST032 2 G102946 2.4135732 0.8751413
#> SKST014_3_G102978 1.7020262 0.7173127
#> SKST014 2 G102977 1.7731820 0.6793101
#> SKST014_5_G102980 2.5844154 0.8805813
#> SKST014 4 G102979 2.0348834 0.8489550
#> SKST014_7_G102940 2.4629366 0.8500670
#> SKST014 6 G102951 2.4753211 0.8777362
#> SKST023_2_G102987 1.8026734 0.7462762
#> SKST023 1 G102981 2.0283657 0.7817109
#> SKST023_4_G102937 2.8169363 0.9088080
#> SKST023 3 G102941 2.7045113 0.8781404
#> SKST011 3 G102973 2.8240780 0.9060256
#> SKST011_2_G102972 2.7897078 0.9035860
#> SKST011 1 G102971 2.8115253 0.9067192
#> SKST010 8 G102998 2.6287806 0.8766470
```

```
#> SKST010 7 G103003 2.7272082 0.8715787
#> SKST010 6 G103004
                     2.3480810 0.8592615
#> SKST010 5 G102990
                    2.7711954 0.8921098
#> SKST010 4 G102956
                     2.8546624 0.8897277
#> SKST011 5 G102993 2.7648181 0.8958376
#> SKST011_4_G102952
                    2.7560408 0.8990970
#> SKST023_7_G103015
                     3.0339162 0.9251253
#> SKST024 1 G102982
                     2.2056892 0.8348499
#> SKST023 5 G103007
                     1,6690423 0,7235394
#> SKST023_6_G103009
                     2.8821410 0.9141447
#> SKST024 4 G102938
                    2.8904509 0.8926771
#> SKST024_5_G103001
                    1.9978854 0.8059146
#> SKST024_2_G102953
                     2.1638165 0.7435241
#> SKST024_3_G102944 2.8871684 0.9210467
#> SKST024 6 G103012 2.6716317 0.8487322
#> SKST024_7_G103022 2.3202246 0.8072082
#> SKST011_6_G103002 2.8433942 0.9045948
#> SKST011 7 G103017 2.6457497 0.8868671
#> SKST011 8 G103000 2.5949466 0.8581854
#> SKST012_1_G102974
                    3.2063818 0.9353146
#> SKST012_2_G102975 3.2757311 0.9332595
#> SKST012 3 G102950
                    2.9107180 0.9018265
#> SKST012 4 G102954
                    3.2504997 0.9313359
#> SKST012 5 G102992 2.7658572 0.8999757
#> SKST012_6_G102997 3.0290341 0.9162627
#> SKST014 1 G102976
                    0.6842360 0.4911153
#> SKST036 3 G103025 2.7133186 0.8846836
#> SKST036_2_G103020 2.4866486 0.8723806
#> SKST037 2 G103023
                    2.1749561 0.7924307
#> SKST032 4 G103008 2.3228094 0.8444105
#> SKST032 3 G102989
                    2.7601873 0.9161065
#> SKST036_1_G103013
                    2.5246610 0.8584318
#> SKST032 5 G103024 2.3957859 0.8529960
#> SKST041 1 G103005
                    2.8809232 0.9108480
#> SKST037_3_G103026
                    1.2527133 0.6787014
#> SKST041 2 G103027 2.0987718 0.8033809
#> SKST041 3 G103028 2.2962906 0.8474506
#> SKST010_2_G102969 2.5482905 0.8826525
#> SKST010_3_G102970
                    3.3414326 0.9396790
#> SKST007_8_G102999
                    2.6317371 0.8958339
#> SKST010 1 G102968
                     3.4896605 0.9544864
#> SKST007_6_G102995
                    2.6634173 0.8338101
#> SKST007 7 G103016
                    2.8152047 0.9110826
#> SKST007_3_G102949
                    2.9240942 0.9226028
#> SKST007 4 G102948
                    2.6810693 0.8931690
#> SKST007_1_G102966 2.7810739 0.9093627
#> SKST007 2 G102967 2.5204322 0.8741401
#> SKST027_10_G102936 1.8598491 0.8024193
#> SKST025 9 G103021 1.5556967 0.6776121
#> SKST025 2 G102986 2.6696480 0.8958129
#> SKST025 1 G102983 2.7781552 0.8919120
#> SKST024 8 G103031 2.3069551 0.8079908
```

```
#> SKST025 6 G103010
                      1.5780891 0.7437443
#> SKST025_5_G103006
                      2.0191108 0.7401280
#> SKST025 4 G102939
                      1.8767355 0.7931885
#> SKST025 3 G102942
                      2.4100870 0.8393832
#> p8883 mo6
                       2.7980886 0.9148663
#> p9223_mo4
                      2.1881319 0.6885799
#> p9223 mo3
                      2.7076171 0.8123768
#> p9223_mo2
                      2.7358612 0.8100018
#> p9223 mo1
                      2.0431248 0.6344728
#> p9220_mo7
                      2.9987451 0.9184255
#> p9220 mo6
                       2.7864065 0.8938321
#> p9220_mo5
                      3.2924001 0.9469014
                      3.1979251 0.9360076
#> p9220 mo4
#> p9220_mo3
                      3.2498214 0.9433278
#> p9220 mo2
                      3.1767250 0.9310763
#> p8646_mo8
                      3.2712163 0.9467655
#> p8646_mo9
                      3.1069294 0.9333765
#> p8646 mo6
                      2.8863611 0.8884233
#> p8646_mo7
                      2.9868318 0.9208884
                      2.1949472 0.7365005
#> p8649_mo11
#> p8649_mo12
                      2.7410957 0.8342998
                      3.3293681 0.9484852
#> p8649 mo1
#> p8649_mo10
                      3.2217033 0.9339763
#> p8649_mo2
                      3.1776585 0.9329343
#> p8649_mo3
                      2.9045244 0.8851852
#> p8582 mo5
                      3.2352955 0.9424675
#> p8582_mo7
                      3,2676648 0,9412434
#> p8582_mo6
                      3.2571216 0.9458514
#> p9281_mo5
                      3.1516610 0.9288301
#> p9281 mo6
                       1.9488341 0.7903650
#> p9223 mo7
                      2.7323455 0.8154577
#> p9223_mo8
                      2.8639164 0.8480329
#> p9223 mo5
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#> p9223 mo6
                      2.7585885 0.8478928
#> p9281_mo3
                      3.2784516 0.9429546
#> p9281 mo4
                      3.0690481 0.9239806
#> p9281 mo1
                      3.3366552 0.9415510
#> p9281_mo2
                      3.1349278 0.9249857
#> p8646_mo3
                      2.8212167 0.8770671
#> p8646_mo2
                       2.6068442 0.8508834
#> p8646 mo12
                       3.1970799 0.9379695
                      3.1906148 0.9385366
#> p8646_mo11
                      3.1904190 0.9328649
#> p8646 mo10
#> p8646_mo1
                       2.4563344 0.8190123
#> p8600 mo9
                       2.7306945 0.8812102
#> p8600_mo8
                      2.5054908 0.8435909
#> p8646_mo5
                      3.3252302 0.9431623
#> p8646_mo4
                      3.2608190 0.9272229
#> p8808_mo7
                      2.6817387 0.8918650
#> p8808 mo6
                      2.8267354 0.9175881
#> p8808_mo9
                      2.6598647 0.8968506
#> p8808 mo8
                      2.7542698 0.9090572
```

#> p8808_mo12	2.1685183 0.8268748
#> p8808_mo11	2.0175372 0.7927332
	2.9641448 0.9164362
#> p8808_mo4	2.4652800 0.8516828 2.8854457 0.9153551
#> p8816_mo10	2.8854457 0.9153551
#> p8816_mo1	3.2642581 0.9372518
#> p8883_mo3	2.8320962 0.8885733
#> p8600_mo1	2.3235612 0.8419463
#> p8600_mo10	2.5144408 0.8568160
#> p8600_mo11	2.4295687 0.8235414
#> p8600_mo12	2.5358425 0.8374550
#> p8600_mo2	2.7809702 0.9032680
#> p8600_mo3	2.8533362 0.9127022
#> p8600_mo4	2.5195770 0.8483133
#> p8600_mo5	2.9446139 0.9161902
#> p8600_mo6	3.1102496 0.9345201
#> p8600_mo7	2.3905778 0.8205733
	2.9502307 0.9082173
 #> p8775_mo8	3.2512044 0.9410491
 #> p8775_mo5	3.0296708 0.9141398
#> p8775_mo6	3.2244045 0.9381442
#> p8775_mo3	3.0645091 0.9189255
#> p8775_mo4	3.0852510 0.9217384
#> p8775_mo12	2.9004152 0.9077146
#> p8775_mo2	2.7901970 0.8848275
#> p8775_mo9	2.6037354 0.8563312
#> p8808_mo10	2.7467400 0.9204208
#> p8816_mo8	2.8811862 0.9125761
#> p8816_mo9	2.9347897 0.9134674
#> p8816_mo4	3.0869504 0.9222314
#> p8816_mo5	2.9759955 0.9165706
#> p8816_mo6	2.9136666 0.9110562
#> p8816_mo7	2.9174809 0.9160573
#> p8816_mo11	3.0211472 0.9228923
#> p8816_mo12	2.9358613 0.9036371
#> p8816_mo2	2.7700241 0.8799520
#> p8816_mo3	3.2272053 0.9394574
#> p8585_mo9	3.0697299 0.9066927
#> p8585_mo8	2.5014031 0.7884440
#> p8585_mo4	3.1355768 0.9298240
#> p8585_mo3	2.7160162 0.9054014
#> p8585_mo7	2.7432172 0.8466796
#> p8585_mo6	2.8369090 0.8679917
#> p8582_mo9	3.2366453 0.9410905
#> p8582_mo8	3.3240788 0.9418381
#> p8585_mo2	2.9459631 0.9122657
#> p8585_mo1	2.6820190 0.9014596
#> p8883_mo12	2.5724065 0.8754515
#> p8883_mo11	2.8374424 0.9141775
#> p8855_mo3	2.9524064 0.9244398
#> p8855_mo12	2.6117483 0.8739644
#> p8855_mo11	2.5363042 0.8779739

```
#> p8855 mo10
                      2.0196478 0.7770400
#> p8883 mo10
                       2.9630591 0.9199234
#> p8855 mo9
                      2.4258523 0.8606390
#> p8855 mo8
                      2.6717697 0.8905552
#> p8855_mo4
                      3.0695902 0.9328922
#> p8808_mo5
                      2.7017634 0.9061237
#> p8883_mo9
                      2.6951496 0.8936411
                       1.9915813 0.7012513
#> p9061_mo1
                      2.8513694 0.9146848
#> p8883 mo7
#> p8883_mo8
                      2.7596145 0.9069852
#> p8883 mo4
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#> p8582_mo11
                      3.1501048 0.9298924
                      3.2368306 0.9348806
#> p8582_mo10
#> p8582_mo1
                      3.2097885 0.9376378
#> p9061 mo2
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#> p9061_mo3
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#> p8775_mo11
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                       3.1864834 0.9229290
#> p8775 mo10
#> p8748_mo6
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#> p8748 mo5
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#> p8748_mo4
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#> p8748 mo12
#> p8775_mo1
                      3.0019386 0.9131593
#> p8748_mo9
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#> p8748_mo8
#> p8748 mo7
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#> p9193_mo1
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#> p9061_mo8
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#> p9193_mo4
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#> p9193 mo2
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#> p9061 mo5
                       1.6246152 0.5817584
#> p9061_mo4
                       1.6147715 0.5975075
#> p9061 mo7
                       1.8977298 0.6684717
#> p9061_mo6
                       1.6851856 0.5794057
#> p9193_mo6
                      3.1315381 0.9104450
#> p9193 mo5
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#> p8712 mo6
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#> p8712_mo7
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#> p8712_mo8
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#> p8712_mo9
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#> p8712 mo2
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#> p8712_mo3
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#> p8712 mo4
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#> p8712_mo5
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#> p8748_mo10
                       3.1398351 0.9250578
#> p8748_mo11
                       3.0593933 0.9268043
#> p9193 mo7
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#> p9216 mo1
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#> p9216_mo2
                      0.6453250 0.4529451
#> p9216 mo3
                      3.3974279 0.9498241
#> p9216_mo4
                      3.1197677 0.9348338
#> p9216 mo5
                       3.0389745 0.9222445
```

```
#> p9216 mo6
                      2.9881114 0.9199452
#> p9216 mo7
                      2.8526506 0.8921778
#> p9216 mo8
                      2.8344539 0.8838441
#> p9220 mo1
                      2.9962468 0.9098635
#> p8649 mo5
                      1.5583795 0.6939676
#> p8649_mo4
                      3.0511013 0.9240628
                      2.6524014 0.8808904
#> p8649 mo7
#> p8649 mo6
                      2.9850130 0.9112910
#> p8649 mo9
                      3.2421201 0.9397685
#> p8649_mo8
                      3.1185726 0.9334617
#> p8712 mo10
                      3.1243752 0.9317430
#> p8712_mo1
                      3.2938875 0.9274425
                      3.0672867 0.9265143
#> p8712 mo12
#> p8712_mo11
                      2.9264568 0.9248038
#> p8582 mo4
                      2.8042167 0.8951669
#> p8582_mo3
                      3.2326613 0.9420385
#> p8582_mo2
                      3.2542472 0.9448576
#> p8582 mo12
                      2.9923019 0.9143940
#> CSM5FZ3N P
                      1.6520594 0.7114941
#> CSM5FZ3R P
                      1.4575519 0.6394882
#> CSM5YRY7_P
                      1.7427193 0.7787577
#> CSM5FZ3V P
                      1.6696654 0.7271918
#> CSM5FZ4C P
                      1.8650021 0.7995602
#> CSM5MCVD_P
                      1.4817786 0.5847641
#> CSM5MCVF P
                      1.5700839 0.6936967
#> CSM5MCVV P
                      2.0499632 0.7855607
#> CSM5MCWI P
                      1.5890611 0.7133541
#> CSM5MCXD
                      1.8335070 0.6998957
#> CSM5MCYS
                      2.3497039 0.8392791
                      1.6994994 0.6963556
#> CSM67U9J
#> CSM67UA2
                      1.8170014 0.7582638
#> CSM67UGC
                      2.2371059 0.8500937
#> CSM79HG5
                      1.4434056 0.6974857
#> CSM79HGP
                      1.8406152 0.7472978
#> CSM5FZ3T P
                      1.0715167 0.4989353
#> CSM5FZ3X P
                      1.0820570 0.4799621
#> CSM5FZ3Z_P
                      1.4495247 0.6820875
#> CSM5FZ42 P
                      1.1987048 0.4665043
#> CSM5FZ44 P
                      1.2666094 0.5628854
#> CSM5FZ46 P
                      1.1325944 0.5775475
#> CSM5MCVJ P
                      1.0825625 0.5584290
#> CSM5MCVL
                      1.4703354 0.6291225
#> CSM5MCVN
                      1.3819303 0.6077765
#> CSM67UBF
                      1.3302051 0.6089956
#> CSM67UBH
                      1.6581611 0.7099598
#> CSM67UBN
                      1.2096162 0.5201594
#> CSM67UBR
                      1.3971923 0.6368677
#> CSM79HJW
                      1.2396614 0.5559902
#> CSM79HJY
                      1.5662045 0.6754470
#> CSM5FZ4E P
                      2.0671811 0.7866476
#> CSM5FZ4G P
                      2.2676452 0.8479068
#> CSM5FZ4K P
                      2.2956714 0.8365199
```

#> CSM5FZ4M	1.8789970 0.7682081
#> CSM5MCWM_P	1.6700215 0.6949797
#> CSM5MCWQ	1.8789970 0.7682081 1.6700215 0.6949797 2.5182501 0.8687125 2.2446969 0.8161451 2.2782451 0.8363078
#> CSM67UBX	2.2446969 0.8161451 2.2782451 0.8363078 2.2371169 0.7958937
#> CSM67UBZ	2.2782451 0.8363078
#> CSM67UC6	2.2371169 0.7958937
#> CSM79HLM	2.2323573 0.8163183
#> CSM5FZ4A_P	1.7745244 0.7636662
#> CSM5MCU8_P	2.7507206 0.8943257
#> CSM5MCUA_P	1.9482560 0.7901734
#> CSM5MCUC_P	1.9492407 0.7612829
#> CSM5MCUE_P	1.9492407 0.7612829 2.2063365 0.8290263 1.8688807 0.7496933 2.0342706 0.7927273 2.2213913 0.8274654
#> CSM5MCXH	1.8688807 0.7496933
#> CSM5MCXJ	2.0342706 0.7927273
#> CSM5MCXL	2.2213913 0.8274654
#> CSM5MCXN	2.58652/8 0.88/1/89
#> CSM5MCXP	2.3700899 0.8488629 2.2646426 0.8396055
#> CSM5MCXR	2.2646426 0.8396055
#> CSM67UDF	2.1672023 0.8199337
#> CSM67UDJ	2.1026285 0.8108594 2.1456938 0.8210810
#> CSM67UDN	2.1456938 0.8210810
#> CSM0/UDR	2.2402528 0.8413014
#> CSM67UDR_TR	2.4136372 0.8556242
#> CSM67UDY	2.1115567 0.8096098
#> CSM79HLA	3.0016989 0.9226866
<pre>#> CSM79HLA_TR</pre>	2.7229365 0.8944191
#> CSM79HLG	2.5316464 0.8740511
#\ CCM70ULE	2 0075122 0 7000601
#> CSM79HLC	2.0679304 0.7955003
#> CSM79HLI	2.0679304 0.7955003 1.9597261 0.7719270
#/ C3M/9HLK	2.2393932 0.8426094
#> CSM5MCUQ_P	2.6783770 0.8637182
#> CSM5MCUS_P	2.6921982 0.9067930
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#> CSM5MCY4	2.4670861 0.8593528
#> CSM5MCY8	2.4660379 0.8562897
	2.5326477 0.8773569
#> CSM67UE7	2.4140401 0.8555483
#> CSM67UEA	2.4856349 0.8555281
#> CSM67UEM	2.2832589 0.8613496
#> CSM67UEI	2.7682715 0.9099509
#> CSM79H01	2.3205384 0.8749319
_	2.6005596 0.8765023
#> CSM5MCUG_P	2.3055449 0.7895238
#> CSM5MCUK_P	2.5135075 0.8455467
#> CSM5MCU0	2.6080384 0.8605206
#> CSM5MCX3	2.7068830 0.8533647
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#> CSM79HMN	2.5662174 0.8616955

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#> CSM5MCVZ P
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                      1.2099423 0.4223702
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#> CSM5MCZ3
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#> CSM5MCZ5
#> CSM5MCZ7
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#> CSM5MCWA P
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#> CSM5MCWC
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#> CSM5MCWE
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#> CSM5MCWG
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#> CSM67UAA
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#> CSM7K0J0
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#> CSM5MCXX P
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#> CSM79HM1	2.7156725 0.9005944
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#> CSM5MCXF P	2.5083123
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#> CSM67U9B	2.6247389 0.8813408 2.7143194 0.9020766
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#> CSM67U9H P	1 0914977 0 4250090
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#> CSM67U9P P	2.3110086 0.8468533
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#> CSM79HGFF	2.8160284 0.9138864
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#> CSM79HGI_P	1.8994297 0.7640444
#> CSM79HGJ_F #> CSM79HGL P	2.6310666 0.9020998
#> CSM79HGL_F #> CSM79HGN P	1.0104367 0.3468809
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#> CSM79HPM P	2.1351373 0.7567209
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#> CSM79HPU #> CSM79HPU	1.1379207 0.6090767
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#> CSM7KONS_P #> CSM7KONU	2.1304211 0.7932978
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#> CSM79HJ8 P
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```
# Build a data.frame that combines metadata with these richness estimates.
div df <- data.frame(</pre>
  sample
                  = rownames(alpha raw),
  study_condition = sample_data(phy_filtered)$study_condition,
                  = alpha_raw$Shannon,
  Shannon
  Simpson D
                  = alpha_raw$Simpson,
                                              # raw D
  InvSimpson
                  = 1 - alpha_raw$Simpson
                                              \# (1 - D)
)
div_df
#>
                                             Shannon Simpson D InvSimpson
                   sample study_condition
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        SKST006_4_G102962
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        SKST006 5 G102963
                                      IBD 1.2635459 0.6865648 0.31343520
        SKST006_2_G102960
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                                       IBD 0.9780697 0.5907783 0.40922169
                                       IBD 0.6130828 0.4220964 0.57790363
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#> 7
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                                       IBD 1.3779269 0.6894939 0.31050609
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        SKST006 9 G103014
                                       IBD 1.4712727 0.6384448 0.36155524
#> 10
        SKST027_3_G102945
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#> 11
        SKST027 4 G102958
                                      IBD 2.7693670 0.9025294 0.09747058
#> 12
                                      IBD 1.0200554 0.6072276 0.39277236
        SKST027_6_G102955
#> 13
        SKST027_9_G102947
                                      IBD 2.5510789 0.8891747 0.11082530
#> 14 SKST027_11_G102996
                                      IBD 2.0612132 0.7799172 0.22008285
#> 15
       SKST027 12 G103011
                                      IBD 2.2657963 0.8509940 0.14900603
                                      IBD 1.1866775 0.5446189 0.45538110
#> 16
        SKST027_1_G102984
#> 17
       SKST027_2_G102985
                                      IBD 2.1089348 0.8399448 0.16005522
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        SKST032_1_G102943
                                      IBD 2.3864312 0.8444605 0.15553946
#> 19
        SKST032_2_G102946
                                      IBD 2.4135732 0.8751413 0.12485866
#> 20
        SKST014 3 G102978
                                      IBD 1.7020262 0.7173127 0.28268733
#> 21
                                      IBD 1.7731820 0.6793101 0.32068985
        SKST014_2_G102977
#> 22
        SKST014 5 G102980
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#> 23
        SKST014 4 G102979
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#> 24
        SKST014_7_G102940
                                      IBD 2.4629366 0.8500670 0.14993296
#> 25
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                                   control 1.8026734 0.7462762 0.25372381
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#> 27
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                                   control 2.8169363 0.9088080 0.09119199
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#> 29
                                   control 2.7045113 0.8781404 0.12185965
        SKST023_3_G102941
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                                   control 2.7272082 0.8715787 0.12842131
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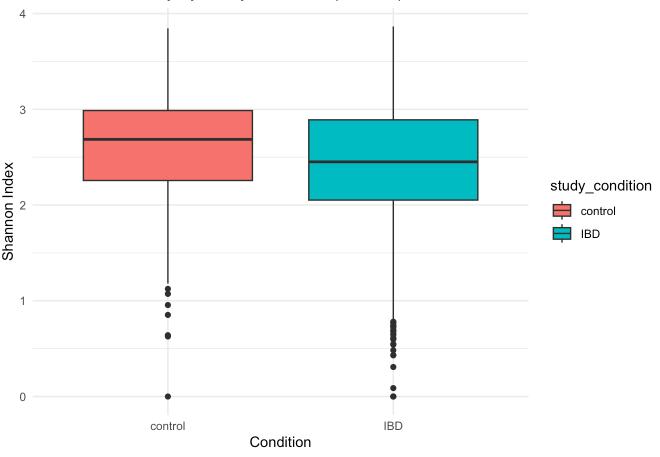
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        SKST024_3_G102944
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#> 48
                                   control 2.6716317 0.8487322 0.15126780
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        SKST024_7_G103022
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        SKST011 8 G103000
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	109	p8649_mo2				0.06706573
	110	p8649_mo3				0.11481484
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	112	p8582_mo7				0.05875662
	113	p8582_mo6				0.05414862
	114	p9281_mo5				0.07116990
	115	p9281_mo6				0.20963496
	116	p9223_mo7				0.18454233
	117	p9223_mo8				0.15196706
	118	p9223_mo5				0.07803965
	119	p9223_mo6				0.15210718
	120	p9281_mo3				0.05704545
	121	p9281_mo4				0.07601941
	122	p9281_mo1				0.05844896
	123	p9281_mo2				0.07501427
	124	p8646_mo3				0.12293292
	125	p8646_mo2				0.14911661
	126	p8646_mo12				0.06203050
	127	p8646_mo11				0.06146342
	128	p8646_mo10				0.06713509
	129	p8646_mo1				0.18098771
	130	p8600_mo9				0.11878980
	131	p8600_mo8				0.15640911
	132	p8646_mo5				0.05683770
	133	p8646_mo4				0.07277711
	134	p8808_mo7				0.10813503
	135	p8808_mo6				0.08241192
	136	p8808_mo9				0.10314940
	137	p8808_mo8				0.09094278
	138	p8808_mo12				0.17312520
	139	p8808_mo11				0.20726684
	140	p8883_mo2				0.08356380
	141	p8808_mo4				0.14831722
	142	p8816_mo10				0.08464486
	143	p8816_mo1				0.06274820
#>	144	p8883_mo3	IBD	2.8320962	0.8885733	0.11142674
#>	145	p8600_mo1	IBD	2.3235612	0.8419463	0.15805366
1						

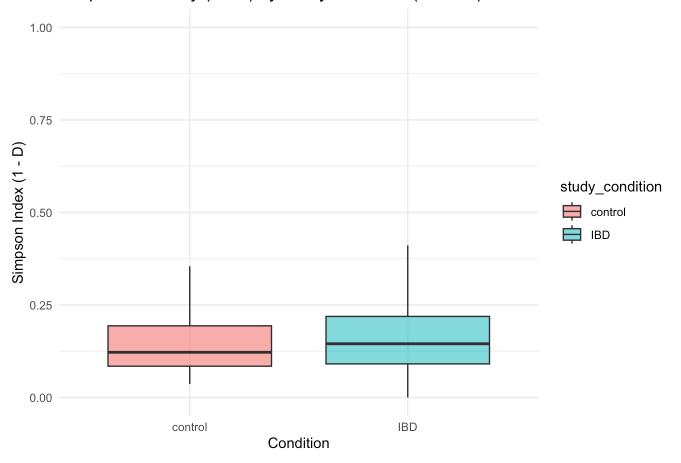
1		
<i>#</i> > 146	p8600_mo10	IBD 2.5144408 0.8568160 0.14318402
#> 147	p8600_mo11	IBD 2.4295687 0.8235414 0.17645859
<i>#</i> > 148	p8600_mo12	IBD 2.5358425 0.8374550 0.16254496
#> 149	p8600_mo2	IBD 2.7809702 0.9032680 0.09673202
#> 150	p8600_mo3	IBD 2.8533362 0.9127022 0.08729784
<i>#</i> > <i>151</i>	p8600_mo4	IBD 2.5195770 0.8483133 0.15168668
<i>#> 152</i>	p8600_mo5	IBD 2.9446139 0.9161902 0.08380984
<i>#</i> > <i>153</i>	p8600_mo6	IBD 3.1102496 0.9345201 0.06547994
<i>#</i> > <i>154</i>	p8600_mo7	IBD 2.3905778 0.8205733 0.17942666
<i>#</i> > <i>155</i>	p8775_mo7	IBD 2.9502307 0.9082173 0.09178271
<i>#> 156</i>	p8775_mo8	IBD 3.2512044 0.9410491 0.05895087
<i>#> 157</i>	p8775_mo5	IBD 3.0296708 0.9141398 0.08586023
<i>#> 158</i>	p8775_mo6	IBD 3.2244045 0.9381442 0.06185582
<i>#</i> > 159	p8775_mo3	IBD 3.0645091 0.9189255 0.08107447
<i>#> 160</i>	p8775_mo4	IBD 3.0852510 0.9217384 0.07826159
<i>#</i> > 161	p8775_mo12	IBD 2.9004152 0.9077146 0.09228544
<i>#> 162</i>	p8775_mo2	IBD 2.7901970 0.8848275 0.11517247
<i>#</i> > <i>163</i>	p8775_mo9	IBD 2.6037354 0.8563312 0.14366876
<i>#> 164</i>	p8808_mo10	IBD 2.7467400 0.9204208 0.07957923
<i>#> 165</i>	p8816_mo8	IBD 2.8811862 0.9125761 0.08742389
<i>#> 166</i>	p8816_mo9	IBD 2.9347897 0.9134674 0.08653263
<i>#> 167</i>	p8816_mo4	IBD 3.0869504 0.9222314 0.07776861
<i>#</i> > 168	p8816_mo5	IBD 2.9759955 0.9165706 0.08342943
<i>#> 169</i>	p8816_mo6	IBD 2.9136666 0.9110562 0.08894382
<i>#> 170</i>	p8816_mo7	IBD 2.9174809 0.9160573 0.08394267
<i>#> 171</i>	p8816_mo11	IBD 3.0211472 0.9228923 0.07710768
<i>#> 172</i>	p8816_mo12	IBD 2.9358613 0.9036371 0.09636293
<i>#> 173</i>	p8816_mo2	IBD 2.7700241 0.8799520 0.12004803
<i>#> 174</i>	p8816_mo3	IBD 3.2272053 0.9394574 0.06054260
<i>#> 175</i>	p8585_mo9	IBD 3.0697299 0.9066927 0.09330725
<i>#> 176</i>	p8585_mo8	IBD 2.5014031 0.7884440 0.21155603
<i>#> 177</i>	p8585_mo4	IBD 3.1355768 0.9298240 0.07017595
<i>#> 178</i>	p8585_mo3	IBD 2.7160162 0.9054014 0.09459857
<i>#</i> > 179	p8585_mo7	IBD 2.7432172 0.8466796 0.15332037
<i>#> 180</i>	p8585_mo6	IBD 2.8369090 0.8679917 0.13200829
<i>#</i> > 181	p8582_mo9	IBD 3.2366453 0.9410905 0.05890952
<i>#> 182</i>	p8582_mo8	IBD 3.3240788 0.9418381 0.05816191
<i>#> 183</i>	p8585_mo2	IBD 2.9459631 0.9122657 0.08773433
<i>#> 184</i>	p8585_mo1	IBD 2.6820190 0.9014596 0.09854038
<i>#</i> > 185	p8883_mo12	IBD 2.5724065 0.8754515 0.12454848
<i>#> 186</i>	p8883_mo11	IBD 2.8374424 0.9141775 0.08582252
<i>#</i> > 187	p8855_mo3	IBD 2.9524064 0.9244398 0.07556015
<i>#> 188</i>	p8855_mo12	IBD 2.6117483 0.8739644 0.12603559
<i>#</i> > 189	p8855_mo11	IBD 2.5363042 0.8779739 0.12202607
<i>#> 190</i>	p8855_mo10	IBD 2.0196478 0.7770400 0.22295998
<i>#</i> > 191	p8883_mo10	IBD 2.9630591 0.9199234 0.08007657
<i>#</i> > 192	p8855_mo9	IBD 2.4258523 0.8606390 0.13936098
<i>#</i> > 193	p8855_mo8	IBD 2.6717697 0.8905552 0.10944483
<i>#</i> > 194	p8855_mo4	IBD 3.0695902 0.9328922 0.06710784
<i>#</i> > 195	, _ p8808_mo5	IBD 2.7017634 0.9061237 0.09387631
<i>#</i> > 196	p8883_mo9	IBD 2.6951496 0.8936411 0.10635891
<i>#</i> > 197	p9061_mo1	IBD 1.9915813 0.7012513 0.29874866
	, <u>-</u> - -	

```
#> 198
               p8883 mo7
                                      IBD 2.8513694 0.9146848 0.08531518
#> 199
                p8883 mo8
                                      IBD 2.7596145 0.9069852 0.09301479
#> 200
               p8883_mo4
                                     IBD 2.8667172 0.9232376 0.07676240
#> [ reached 'max' / getOption("max.print") -- omitted 2687 rows ]
# Boxplot Shannon by group
ggplot(div_df, aes(x = study_condition, y = Shannon, fill = study_condition)) +
  geom_boxplot() +
  theme_minimal() +
  labs(title = "Shannon Diversity by Study Condition (Filtered)",
       x = "Condition", y = "Shannon Index")
```

Shannon Diversity by Study Condition (Filtered)

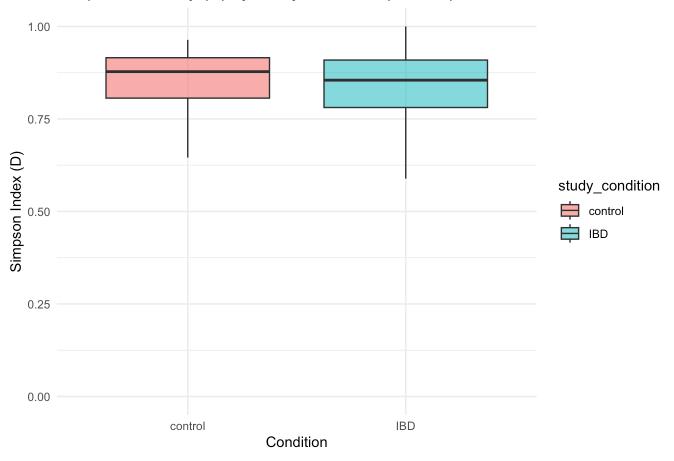


Simpson Diversity (1 - D) by Study Condition (Filtered)



```
# Boxplot Simpson Diversity (D) by group
ggplot(div_df, aes(x = study_condition, y = Simpson_D, fill = study_condition)) +
geom_boxplot(outlier.shape = NA, alpha = 0.6) +
theme_minimal() +
labs(title = "Simpson Diversity (D) by Study Condition (Filtered)",
    x = "Condition", y = "Simpson Index (D)")
```

Simpson Diversity (D) by Study Condition (Filtered)



Shannon Index

- IBD Median Shannon Index < control Median Shannon Index ⇒ less microbial diversity than control
- Low-Shannon outliers in IBD: could reflect patients whose gut communities have lost many taxa (very few species or highly uneven communities), often interpreted as dysbiosis

Simpson Diversity (1 - D)

• Visually shows us that we have a relatively low diversity (i.e., 1 - D is closer to 0).

Statistical test

We use the Wilcoxon-rank test.

 H_0 : The Shannon distributions in control and IBD come from the same population (i.e., no difference in median diversity).

 H_a : The Shannon distributions in control and IBD differ (i.e., one group tends to have higher or lower Shannon).

```
div_df %>%
 wilcox_test(Shannon ~ study_condition) %>%
 add_significance("p")
#> # A tibble: 1 × 8
     .y.
             group1
                     group2
                               n1
                                     n2 statistic
                                                          p p.signif
    <chr>
                                             <dbl>
                                                      <dbl> <chr>
#>
             <chr>
                     <chr> <int> <int>
#> 1 Shannon control IBD
                              799 2088
                                          959496. 3.97e-10 ****
```

```
div df %>%
 # requires "coin" package
 wilcox effsize( # rank-biserial correlation
    Shannon ~ study_condition,
   alternative = "two.sided",
    conf.level = 0.95,
    Сi
                = TRUE
  )
#> # A tibble: 1 × 9
#>
     . y .
             group1 group2 effsize
                                        n1
                                              n2 conf.low conf.high magnitude
#> * <chr>
                              <dbl> <int> <int>
                                                    <dbl>
                                                              <dbl> <ord>
             <chr>
                     <chr>
#> 1 Shannon control IBD
                              0.116
                                                                0.15 small
                                       799 2088
                                                     0.08
```

Given our extremely small p-value, i.e., $p \ll 0.05$, we reject the null hypothesis. There is statistically significant evidence that the Shannon index in controls is not drawn from the same distribution as in IBD.

This confirms that IBD patients in our cohort exhibit significantly reduced gut-microbial α -diversity (Shannon index) compared to controls.

Rank-biserial correlation

can be interpreted roughly like a Pearson r.

```
• |\mathbf{r}| \approx 0.1 (small), \approx 0.3 (medium), \approx 0.5 (large)
```

effsize = 0.116 ⇒ small rank-biserial correlation, indicating that control samples tend to have higher Shannon than IBD samples.

CLR-Transform

Microbiome count tables are compositional — each sample's taxa counts are only meaningful *relative* to one another and sum to an arbitrary total. The **centered log-ratio (CLR) transform** maps those compositions into real space so that standard multivariate methods (e.g. distance measures, classifiers) behave properly.

In the context of the Random Forest model, the CLR-transform removes sequencing-depth effects, stablizes variance, centers the features (so that each is on a comparable scale across samples), and respects compositional structure (ensuring measured distances between feature vectors reflect relative changes in taxa, not absolute counts).

```
# clr-transform
phy_clr <- microbiome::transform(phy_filtered, "clr")
message("After CLR-transform: ntaxa = ", ntaxa(phy_clr), ", nsamples = ", nsamples(phy_clr)
#> After CLR-transform: ntaxa = 388, nsamples = 2887
```

Train

Define Random Forest Model

```
train_rf <- function(phy_clr,</pre>
                     n folds
                                = 5,
                     n_{repeats} = 3,
                                = 43.
                                       # for reproducibility
                     seed
                              = 500) {  # number of trees
                     trees
 set.seed(seed)
 # extract feature matrix (samples by taxa) and metadata
 otu_mat <- t(as(otu_table(phy_clr), "matrix"))</pre>
 meta_df <- as(sample_data(phy_clr), "data.frame") %>%
   as_tibble(rownames = "SampleID") %>%
   mutate(
      Outcome
                = factor(study_condition, levels = c("control","IBD")),
      SubjectID = as.character(subject_id) # ensure character
    )
 # sanitize names so randomForest() doesn't yell
 colnames(otu mat) <- make.names(colnames(otu mat))</pre>
 data all <- as tibble(otu mat, rownames = "SampleID") %>%
   dplyr::left_join(meta_df, by = "SampleID")
 message("Full data: ", nrow(data_all), " samples x ", ncol(otu_mat), " taxa; ",
          length(unique(data_all$SubjectID)), " subjects.")
 # grab feature cols
 feature_cols <- colnames(otu_mat)</pre>
 # pre-allocate lists for storing ROC objects & probabilities
             <- list() # pROC::roc objects
  roc list
 probs list <- list() # numeric vectors of predicted probs</pre>
 labels_list <- list() # test-set labels</pre>
      <- 1
  idx
                         # running index
 # function to do one round of grouped k-fold CV
 one repeat <- function(rep id) {</pre>
   # shuffle and split unique subjects into folds
    subs
             <- unique(data_all$SubjectID)
              <- sample(subs)
    subs
    fold_ids <- cut(seq_along(subs), breaks = n_folds, labels = FALSE)</pre>
    subject folds <- split(subs, fold ids)</pre>
   # for each fold, train, test, compute AUC
    purrr::map_dfr(seq_along(subject_folds), function(k) {
```

```
test_subj <- subject_folds[[k]]</pre>
    train_df <- filter(data_all, !SubjectID %in% test_subj) %>%
                  dplyr::select(all of(feature cols), Outcome)
    test_df <- filter(data_all, SubjectID %in% test_subj) %>%
                  dplyr::select(all_of(feature_cols), Outcome)
    rf_mod <- randomForest(</pre>
      Outcome ~ .,
      data = train_df,
      ntree = trees
    )
    # predict probabilities for positive class "IBD"
    probs <- predict(rf mod, test df, type = "prob")[, "IBD"]</pre>
    roc_obj <- roc(test_df$Outcome, probs, quiet = TRUE)</pre>
    # store for later
    probs list[[idx]] <<- probs</pre>
    roc_list[[idx]] <<- roc_obj</pre>
    labels_list[[idx]] <<- test_df$0utcome</pre>
    idx
                        << idx + 1
    tibble(
      repeats = rep_id,
      fold
             = k,
      n_train = nrow(train_df),
      n_test = nrow(test_df),
      auc = as.numeric(auc(roc_obj))
  })
# run repeats in parallel
cv_results <- future_map_dfr(seq_len(n_repeats), one_repeat, .options = furrr_options(set
# summarize
summary_df <- cv_results %>%
  group_by(repeats) %>%
  summarize(
   mean_auc = mean(auc),
    sd_auc = sd(auc),
    .groups = "drop"
  ) %>%
  ungroup() %>%
  mutate(overall_mean = mean(mean_auc),
         overall_sd = sd(mean_auc))
list(
  cv_folds = cv_results, # df of AUCs per fold
  cv_summary = summary_df, # summary of AUCs
  probs_list = probs_list, # list of numeric vectors of probabilities
```

```
roc_list = roc_list, # list of pROC::roc objects
  labels_list = labels_list # list of test-set labels
)
}
```

Train + Test model

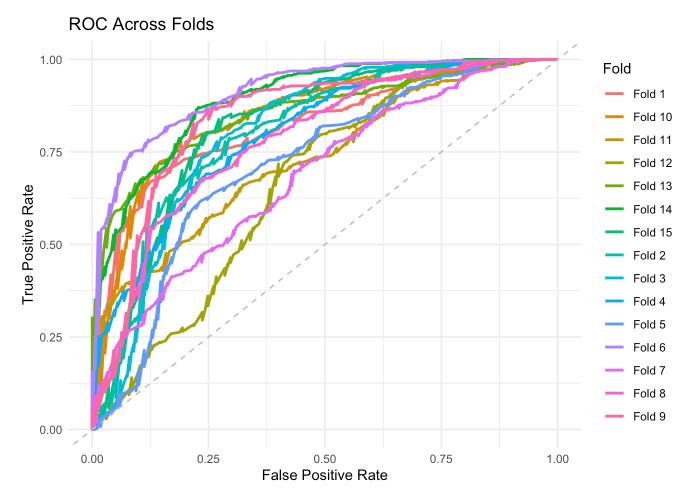
```
# train the model
rf_workflow_fit <- train_rf(phy_clr)
#> Full data: 2887 samples × 388 taxa; 986 subjects.
```

Results

Prediction of IBD vs control

Plot ROC across folds

```
# create ROC df
roc_df <- purrr::imap_dfr(rf_workflow_fit$roc_list, function(roc_obj, i) {</pre>
  data.frame(
    Fold
               = paste0("Fold ", i),
    FPR
               = 1 - roc_obj$specificities,
    TPR
               = roc_obj$sensitivities
})
# plot ROC
ggplot(roc_df, aes(x = FPR, y = TPR, color = Fold)) +
  geom_line(linewidth = 1) +
  geom_abline(linetype = "dashed", color = "gray") +
  labs(
    title = "ROC Across Folds",
         = "False Positive Rate",
          = "True Positive Rate"
  theme_minimal()
```

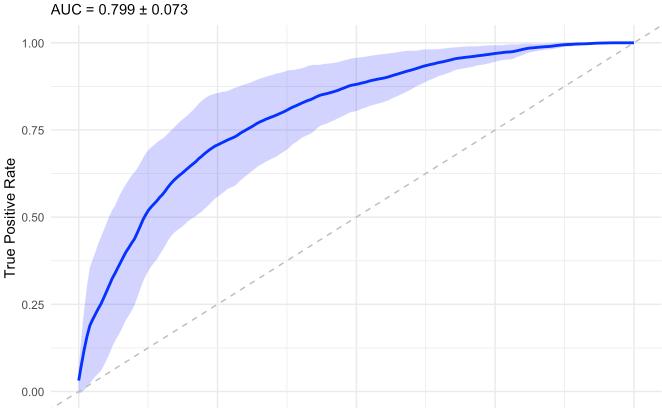


We see the each fold's ROC trade-off between TPR (sensitivity) and FPR (1-specificity). Looking across all folds helps us gauge the stability of our classifier. Given the wide spread signals, our classifier might depend on the training/test split. Let's investigate.

Plot Average ROC with Confidence Bands

```
# define grid
fpr\_grid \leftarrow seq(0, 1, length.out = 200)
# create per-fold interpolated TPRs at correct grid
roc_df <- map_dfr(rf_workflow_fit$roc_list, ~{</pre>
  roc_obj <- .
 # interpolate TPR at desired FPRs via approx():
          <- 1 - roc_obj$specificities
 tpr
          <- roc_obj$sensitivities</pre>
 interp <- approx(x = fpr, y = tpr, xout = fpr_grid, ties = mean)</pre>
 tibble(FPR = fpr_grid, TPR = interp$y)
}, .id = "Fold")
# summarize mean and sd
summary_roc <- roc_df %>%
 group_by(FPR) %>%
 summarize(
   mean_TPR = mean(TPR, na.rm = TRUE),
   sd TPR
           = sd(TPR, na.rm = TRUE),
    .groups = "drop"
 )
# plot mean ROC
ggplot(summary_roc, aes(x = FPR, y = mean_TPR)) +
 geom_ribbon(aes(ymin = mean_TPR - sd_TPR,
                  ymax = mean\_TPR + sd\_TPR),
              fill = "blue", alpha = 0.2) +
 geom_line(color = "blue", size = 1) +
 geom_abline(linetype = "dashed", color = "gray") +
 labs(
   title
             = "Mean ROC Across Folds",
    subtitle = sprintf("AUC = %.3f ± %.3f",
                       mean(sapply(rf_workflow_fit$roc_list, auc)),
                       sd (sapply(rf_workflow_fit$roc_list, auc))),
             = "False Positive Rate",
   Χ
             = "True Positive Rate"
   У
 ) +
 theme minimal()
```

Mean ROC Across Folds



Quantify AUC Variability

0.00

```
# per-fold AUC vector
aucs <- rf_workflow_fit$cv_folds$auc

# basic descriptors
mean_auc <- mean(aucs); sd_auc <- sd(aucs)
cat(sprintf("Mean AUC = %.3f ± %.3f\n", mean_auc, sd_auc))
#> Mean AUC = 0.799 ± 0.073

# 95% CI via bootstrapping across folds
boot_ci <- boot::boot(aucs, statistic = function(u, i) mean(u[i]), R = 2000)
ci_vals <- boot::boot.ci(boot_ci, type = "perc")$percent[4:5]
cat(sprintf("Bootstrap 95% CI: [%.3f, %.3f]\n", ci_vals[1], ci_vals[2]))
#> Bootstrap 95% CI: [0.763, 0.833]
```

0.50

False Positive Rate

0.75

1.00

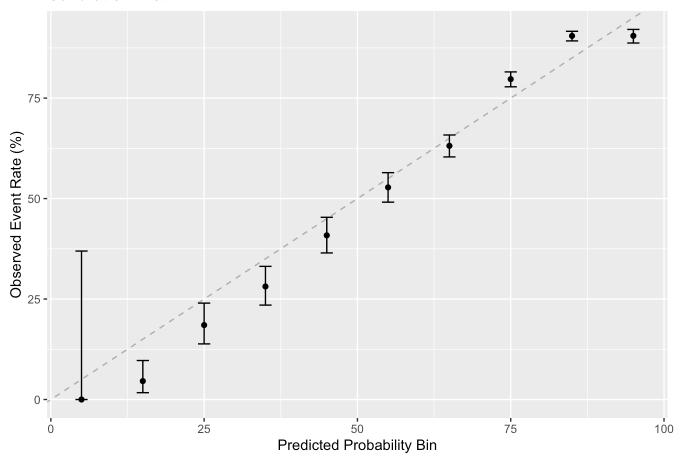
Calibration Curves

Calibration shows how predicted probabilities map to observed frequencies.

0.25

```
# create calibration df
calib_df <- purrr::imap_dfr(</pre>
  rf_workflow_fit$probs_list,
  ~ tibble(
      Prob = x,
      Obs = rf_workflow_fit$labels_list[[.y]]
)
# caret::calibration object
calib_obj <- caret::calibration(</pre>
  Obs ∼ Prob,
  data = calib_df,
  class = "IBD",
         = 10 # number of bins
  cuts
)
# plot calibration
ggplot(calib_obj, bwidth = 2, dwidth = 3) +
    title = "Calibration Plot",
          = "Predicted Probability Bin",
          = "Observed Event Rate (%)"
    У
  )
```

Calibration Plot



The diagonal reference line represents perfect calibration: predicted probability = observed frequency. Points above the line means the model's predictions in that bin are too low (it underestimates risk), while points below the line means predictions are too high (it overestimates risk).

The width of the each error bar indicates how reliable the calibration is in that bin. Note that the wide error bars in the first bin is between 0-37%, which means that there may be very few IBD cases in that range, so we should be cautious in our interpretation.

However, looking at our bins, we actually see that there are *no* predictions in the first bin, which explains the very wide confidence bound since confidence intervals were forced on zero counts.

#>	calibModelVar	hin	Darcant	Lower	Upper	Count	midnoint
+-							штиротис
<i>#</i> > 1	Prob	[0,0.1]	0.000000	0.000000	36.941665	0	5
#> 2	Prob	(0.1,0.2]	4.580153	1.699134	9.702458	6	15
#> 3	Prob	(0.2,0.3]	18.518519	13.839956	23.983100	45	25
<i>†</i> > 4	Prob	(0.3,0.4]	28.125000	23.487475	33.134896	99	35
#> 5	Prob	(0.4,0.5]	40.853659	36.474839	45.343059	201	45
> 6	Prob	(0.5,0.6]	<i>52.</i> 789116	49.106960	56.448805	388	55
> 7	Prob	(0.6,0.7]	63.128039	60.366941	65.826407	779	65
÷ 8	Prob	(0.7,0.8]	79.720280	77.819356	81.526941	1482	75
⊳ 9	Prob	(0.8,0.9]	90.484140	89.238333	91.629918	2168	85
> 10	Prob	(0.9,1)	90.503716	88.711493	92.096239	1096	95

Let's look at bins by risk.

- Low-Risk Bins (left side, 10-30% predicted):
 - Beginning at bin 2, where we actually observed outcomes in that probability range, our model is slightly over-confident: it gives 15% when the true rate is ~2%.
- Mid-Risk Bins (around 25–55% predicted):
 - The observed rates lie almost exactly on the diagonal, with narrow confidence intervals. Our model is
 well calibrated in the mid-probability range, leading to confidence in its risk estimates around "coinflip" probabilities.
- **High-Risk Bins** (right side, 65-95% predicted):
 - There is a slight underestimation of risk around the 75–95% region (points just below the diagonal), meaning the model is a bit **under-confident**: it gives 95% when the true rate is ~88 %, and 75% when the true rate is ~78 %.
 - However, these deviations are modest and well within the narrow confidence bands, indicating acceptable performance even at extreme probabilities.

Let's further assess our model by checking how accurate our predictions are, on average. We will use the Brier score, which quantifies overall calibration, with lower values indicating better calibration.

Brier Score

```
calib_df <- calib_df %>%
  mutate(
    OutcomeNum = as.integer(Obs == "IBD") # 1 when Obs == "IBD", 0 otherwise
)

# manual calculation
brier_score <- calib_df %>%
  summarise(Brier = mean((Prob - OutcomeNum)^2)) %>%
  pull(Brier)

brier_score
#> [1] 0.1532861
```

Our Brier score is closer to 0, which implies overall good calibration and discrimination. Let's now compare how much better this is than baseline, i.e., calculate Brier Skill Score (BSS).

```
# calculate IBD prevalence
prevalence <- mean(calib_df$OutcomeNum)
cat("IBD prevalence:", round(prevalence * 100, 1), "%\n")
#> IBD prevalence: 72.3 %

# calculate BS_{ref}
BS_ref <- prevalence * (1 - prevalence)
cat("BS_ref:", BS_ref, "\n")
#> BS_ref: 0.200163

# calculate BSS
BSS = 1 - (brier_score)/BS_ref
cat("BSS:", BSS)
#> BSS: 0.2341937
```

BS_ref can be viewed as a "no-skill" baseline, in which this naive model always predicts the overall IBD prevalence 20%. Our result, 15.3%, is less than BS_ref, with BSS indicating a 23% improvement over baseline.

Precision-Recall Curves

Let's first quantify class imbalance.

```
# flatten labels_list into one factor vector
all labels <- unlist(rf workflow fit$labels list, use.names = FALSE)
      <- table(all labels)
tab
      <- prop.table(tab) * 100
pct
tab
#> all labels
#> control
               IBD
#>
      2397
              6264
pct
#> all_labels
#> control
                 IBD
#> 27.67579 72.32421
```

So we see that IBD dominates control (72% vs 28%).

```
# create PRROC objects per fold
pr_list <- imap(rf_workflow_fit$probs_list, function(probs, i) {</pre>
  labels <- rf_workflow_fit$labels_list[[i]]</pre>
         <- probs[labels == "IBD"] # scores for the positive class</pre>
  fg
         <- probs[labels == "control"] # scores for the negative class</pre>
  bg
 pr.curve(
    scores.class0 = fg,
    scores.class1 = bg,
    curve
            = TRUE
 )
})
# create PR df
pr_df <- purrr::imap_dfr(pr_list, function(pr, i) {</pre>
 as.data.frame(pr$curve) %>%
    setNames(c("Recall", "Precision", "Threshold")) %>%
    mutate(Fold = paste0("Fold ", i))
})
# plot PR
ggplot(pr_df, aes(x = Recall, y = Precision, color = Fold)) +
  geom\_line(size = 1) +
  labs(
    title = "Precision-Recall Curves Across Folds",
    Χ
          = "Recall",
          = "Precision"
    У
  ) +
  theme_minimal()
```

Precision–Recall Curves Across Folds 1.00 Fold Fold 1 Fold 10 Fold 11 0.75 Fold 12 Fold 13 Fold 14 Fold 15 0.50 Fold 2 Fold 3 Fold 4 Fold 5 0.25 Fold 6 Fold 7 Fold 8 Fold 9 0.00 0.50 0.00 0.25 0.75 1.00 Recall

The clustering of curves near the top-left indicates generally high precision even at moderate recall, while the spread between them reflects fold-to-fold variability in performance.

Let's look at our case broken out into three components: the high-precision, high-recall region, trade-off as recall increases, and fold-to-fold variability.

- High-precision, high-recall region
 - All folds achieve perfect precision at very low recall (selecting only the highest-confidence predictions). Where the curves run nearly flat, it means that we can increase recall (i.e., catch more true IBD cases) without sacrificing precision (few false positives).
- Trade-off as recall increases
 - Precision slowly declines as we increase recall, meaning that, if we want to capture more true
 positives, we have to admit more false positives. The slope of each fold's curve quantifies how
 rapidly precision deteriorates; flatter slopes are better (i.e., precision holds up longer).
- Fold-to-fold variability
 - The spread between curves reveals how stable the model's PR performance is across different train/test splits.

So how good is our model at capturing true positives, i.e., IBD prevalence?

AUPRC

Recall that IBD prevalence is 72%, which means that a random classifier has AUPRC = 0.72. Area Under the Precision–Recall Curve (AUPRC) summarizes a model's ability to retrieve true positives without overwhelming false positives by integrating precision over all recall levels. AUPRC's baseline equals the positive-class prevalence, making it especially informative in imbalanced settings.

```
auprcs <- purrr::map_dbl(pr_list, ~ .x$auc.integral)

# Summary across folds
mean_auprc <- mean(auprcs)
sd_auprc <- sd(auprcs)

cat(sprintf("Mean AUPRC = %.3f ± %.3f\n", mean_auprc, sd_auprc))
#> Mean AUPRC = 0.892 ± 0.054
```

Since our mean AURPC = $0.892 \pm 0.054 > 0.72$, our model performs better than a random classifier.

Thus, a high AURPC alongside a low Brier score indicates both reliable probability estimates and strong discrimination.

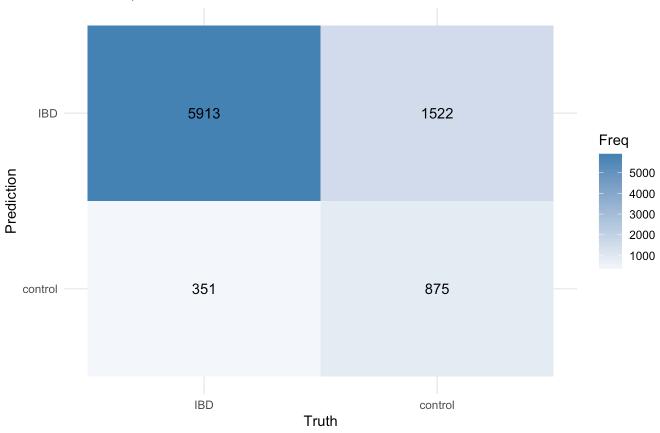
Confusion Matrix

```
# get predictions and labels
results_df <- purrr::imap_dfr(
  rf_workflow_fit$probs_list,
  ~ tibble(
      Fold
                 = paste0("Fold ", .y),
                 = rf_workflow_fit$labels_list[[.y]],
      truth
      .pred_prob = .x
) %>%
  # convert probabilities to class labels at 0.5 threshold
  mutate(.pred_class = factor(if_else(.pred_prob > 0.5, "IBD", "control"),
                               levels = levels(truth)))
# re-level so IBD comes first to make sure we calculate metrics for the correct "positive"
results_df <- results_df %>%
  mutate(
    truth = fct_relevel(truth, "IBD", "control"),
    .pred_class = fct_relevel(.pred_class, "IBD", "control")
  )
# compute the confusion matrix
conf mat res <- results df %>%
  yardstick::conf_mat(truth = truth, estimate = .pred_class)
# calculate metrics for binary classification
# Sensitivity
sens_res <- sens_vec(results_df$truth, results_df$.pred_class)</pre>
# Specificity
spec_res <- spec_vec(results_df$truth, results_df$.pred_class)</pre>
# Precision
ppv_res <- precision_vec(results_df$truth, results_df$.pred_class)</pre>
# F1-score
       <- f_meas_vec(results_df$truth, results_df$.pred_class)</pre>
f1_res
data.frame(
  sens = sens_res,
  spec
         = spec_res,
  precision= ppv_res,
 F1
            = f1_res
)
#>
          sens
                    spec precision
                                           F1
#> 1 0.9439655 0.3650396 0.7952925 0.8632747
# visualize the confusion matrix as heatmap
p <- autoplot(conf_mat_res, type = "heatmap")</pre>
# remove whatever scales (including 'fill') were already there to avoid warning
p$scales$scales <- list()</pre>
# add custom fill scale
```

```
p +
   scale_fill_gradient2(low = "white", high = "steelblue") +
   labs(title = "Confusion Matrix",
        subtitle = "Counts of predictions vs. truth") +
   theme_minimal()
```

Confusion Matrix

Counts of predictions vs. truth



- Sensitivity (Recall):
 - Calculation

$$TP = \frac{TP}{TP + FN} = \frac{5,913}{5,913 + 351} = 0.944$$

- · What is it?
 - It measure the model's ability to detect true cases. Our model correctly identifies 94.4% of all IBD cases. This is valuable for medical screening where missing a case is costly.
- Specificity:
 - Calculation

$$TN = \frac{TN}{TN + TP} = \frac{875}{875 + 1,522} = 0.365$$

- · What is it?
 - It quantifies how well the model rules out negatives (controls). Only 36.5% of control samples
 are correctly classified, indicating a high false-positive rate. Misclassifying healthy samples as
 IBD could lead to unnecessary follow-up tests.

- Precision:
 - Calculation

$$TP = \frac{TP}{TP + FP} = \frac{5,913}{5,913 + 1,522} = 0.795$$

- · What is it?
 - It tells us the proportion of positive predictions that are actually correct. When our model predicts "IBD", it is correct 79.5% of the time. Precision is moderate and can be improved to reduce false positives.
- F1:
 - Calculation

$$2 \times \frac{\text{Precision} \times \text{Recall}}{\text{Precision} + \text{Recall}} = \frac{2 \text{ TP}}{2 \text{ TP} + \text{FP} + \text{FN}} = \frac{2(5,913)}{2(5,913) + 1.522 + 351} = 0.863$$

- · What is it?
 - The harmonic mean of precision and recall, giving equal weight to both metrics. The harmonic mean penalized extreme values, e.g., if either precision or recall is low, then the overall score will be driven down.
 - 1.0 ⇒ perfect precision and recall.
 - $0.5 \implies$ as good as random guessing.
 - $0.0 \implies$ either precision or recall (or both) is zero.

Conclusion

We've classified subjects across several studies based on their gut microbiome samples, which may include repeat measurement samples, into two classes: IBD or control.

Our first step was to explore the counts data. We looked at the cohort characteristics of the data across the different studies. We then looked at α -diversity (Shannon/Simpson) and β -diversity to get a better understanding of the underlying data. We then proceeded to examine the data at the taxa-level to determine how to filter the data, ultimately deciding to keep taxa with reads \geq 100 in at least 1% of samples.

Our second step was to investigate the filtered data. We performed another α -diversity analysis and ran the Wilcoxon-rank test, resulting in a rejection of the null hypothesis. That is, there is statistically significant evidence that the Shannon index in controls is not drawn from the same distribution as in IBD.

Our third step was to create, train, and test a Random Forest model. To account for repeated measurements across subjects, which violates the i.i.d. assumption underlying cross-validation, we incorporated repeated grouped k-fold CV, in our case, k = 5 with 3 repeats per fold. We fed the model CLR-transformed, which removes distortions of compositional bias, OTU abundances as features, which are now on an appropriate, comparable scale.

Our final step was to evaluate our model. We looked at ROC, AUC, calibration, precision-recall curves, AUPRC, and the confusion matrix and metrics. We determined that our model performs better than a random classifier, with a high AURPC alongside a low Brier score indicating both reliable probability estimates and strong discrimination.

Future work can look to improve the false positive rate for controls and compare different models for classification.

Appendix: Definitions and Explanations

α -diversity

Shannon Index

The Shannon index H quantifies both richness (number of taxa) and evenness (relative abundance distribution) in a single value. It is defined as

$$H = -\sum_{i=1}^{S} p_i \ln(p_i)$$

where

- S = total number of observed taxa (OTUs/ASVs) in the sample.
- p_i = proportion of reads belonging to taxon i (i.e. $p_i = \frac{n_i}{\sum_{j=1}^{S} n_j}$, where n_i is the count of taxon i).

Key properties:

- H increases as (1) the number of taxa S increases, and (2) the abundances become more even.
- Minimum H=0 occurs when one taxon comprises 100% of the sample.
- Maximum $H = \ln(S)$ occurs when all S taxa are equally abundant ($p_i = 1/S$ for all i).

Simpson index

The **Simpson index** measures dominance (or conversely, diversity) by focusing on the probability of picking two reads from the same taxon. There are two common forms:

1. Raw Simpson (D):

$$D = \sum_{i=1}^{S} p_i^2$$

where, D is the probability that two randomly drawn reads (with replacement) come from the same taxon.

- \$D\$
 - D = 1 if a single taxon dominates 100%.
 - D = 1/S if all S taxa are equally abundant.
- 2. Gini-Simpson or "Simpson's Diversity" (1 D):

$$1 - D = 1 - \sum_{i=1}^{S} p_i^2$$

$$\circ \ 1 - D \in \left[0, \ 1 - \frac{1}{S}\right]$$

• 1 - D = 0 when one taxon dominates entirely.

• 1-D approaches 1 as the community becomes more even and richly diverse.

Observed Richness

Observed richness simply counts how many taxa (OTUs/ASVs) are present in a sample:

$$S_{\text{obs}} = \sum_{i=1}^{S} \mathbb{1}(n_i > 0)$$

where $\mathbb{1}(\cdot)$ is an indicator that equals 1 if taxon i has at least one read. Thus, Observed richness measures raw taxon count without regard to abundance.

Chao1 Estimator

Chao1 is a non-parametric richness estimator that adjusts Observed richness for unseen (rare) taxa, using singletons (taxa with one read) and doubletons (two reads):

$$\widehat{S}_{\text{Chao1}} = S_{\text{obs}} + \frac{f_1^2}{2f_2}$$

where

- $S_{\rm obs}$ = number of observed taxa.
- f_1 = count of taxa observed exactly once.
- f_2 = count of taxa observed exactly twice.

When $f_2=0$, one often uses a bias-corrected form or sets $\widehat{S}_{\rm Chao1}=S_{\rm obs}+\frac{f_1(f_1-1)}{2}$

CLR-transform

The centered log-ratio transform is a mapping of each composition x_i (either a taxon count or proportion) from the simplex S^d into the unconstrained (real) subspace $\{y \in \mathbb{R}^d : \sum_{i=1}^d y_i = 0\}$.

Formula Summary

For a sample with taxa counts (or proportions) (x_1, x_2, \dots, x_d) , define the geometric mean

$$g = \left(\prod_{i=1}^d x_i\right)^{1/d}$$

Then the CLR-transformed vector is

$$\operatorname{clr}(x)_i = \log\left(\frac{x_i}{g(x)}\right) \quad \text{for } i = 1, \dots, d.$$

Because $clr(x)_i = 0$, the transform removes the simplex constraint (i.e., the simplex constraint is that each sample's taxa counts (or proportions) (x_1, x_2, \dots, x_d) satisfy:

- 1. Non-negativity: $x_i \ge 0 \quad \forall i \in \{1, \dots, d\}$
- 2. Constant-sum (closure) constraint: $\sum_{i=1}^{d} x_i = 1$),

avoiding spurious correlations and misleading results that would arise from failing to meet the "unconstrained Euclidean space" assumption for PCA, Euclidean distances, and several classifiers.

Wilcoxon rank-sum test

The Wilcoxon rank-sum test (also called the Mann–Whitney U test) is a non-parametric method for comparing two independent groups. It is an alternative to a two-sample t-test and does not assume normality, only that the two groups are independent and that the outcome is at least ordinal.

Quick summary

- 1. Pool all observations from both groups and rank them from smallest to largest.
- 2. Sum the ranks within each group.
- 3. Compute the test statistic *U*.
- 4. Use either an exact table (small samples) or a normal approximation (large samples) to obtain a p-value under the null hypothesis that the two groups come from the same distribution.
 - Since we have moderate/large samples, we can calculate Z as

$$Z = \frac{U - \mu_U}{\sigma_U}$$

where

$$\mu_U = \frac{n_1 \ n_2}{2}, \quad \sigma_U = \sqrt{\frac{n_1 \ n_2 \ (n_1 + n_2 + 1)}{12}}$$

Formula summary

- Ranks: assign ranks to the pooled data.
- · Rank-sum:

$$R_1 = \sum_{\text{all observations in group 1}} (\text{rank})$$

• U statistic for group 1:

$$U_1 = R_1 - \frac{n_1(n_1+1)}{2}$$

Mean and variance of U under H₀:

$$\mu_U = \frac{n_1 \ n_2}{2}, \quad \sigma_U = \sqrt{\frac{n_1 \ n_2 \ (n_1 + n_2 + 1)}{12}}$$

Z-score approximation:

$$Z = \frac{U - \mu_U}{\sigma_U}$$

• Two-sided p-value (for large samples):

$$p = 2 \times P(|Z| \ge |Z_{\text{observed}}|)$$

Brier score

A proper scoring rule that measures the accuracy of probabilistic predictions for binary outcomes by computing the mean squared difference between predicted probabilities and actual outcomes. A lower Brier score indicates better calibration and discrimination, with a perfect score of 0 and a worst score of 1.

Formula summary

For binary events, the Brier score is defined as:

Brier Score =
$$\frac{1}{N} \sum_{i=1}^{N} (p_i - o_i)^2$$

where:

- p_i is the predicted probability of the positive class for instance i,
- o_i is the observed outcome (1 if the event occurred, 0 otherwise),
- N is the number of forecasts

This can be thought of as the mean squared error of the predicted probabilities.

References

 Cao Q, Sun X, Rajesh K, Chalasani N, Gelow K, Katz B, Shah VH, Sanyal AJ, Smirnova E. Effects of Rare Microbiome Taxa Filtering on Statistical Analysis. Front Microbiol. 2021 Jan 12;11:607325. doi: 10.3389/fmicb.2020.607325. PMID: 33510727; PMCID: PMC7835481.