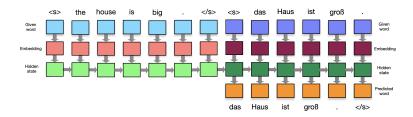
#### Attention is All You Need

Bill Watson

S&P Global

November 22, 2019

### Recap: Encoder-Decoder Models



- ► Encoders: Give the source sentence meaning
- ▶ Decoders: Emit a variable-length sequence
- Seq2Seq models rely on propagating the hidden state for generation

### How can we improve this model?

- Add an alignment model to force the model to 'attend' and 'read' different parts of the input
- Gives the decoder direct access to the encodings to make generations
- Known as a context vector

#### What are Context Vectors?

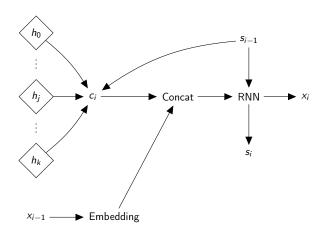
$$c_i = \sum_j \alpha_{ij} \cdot h_j$$

- ► A **context vector**  $c_i$  is the weighted sum of the encodings for the i-th iteration of the decoder
- $\triangleright$   $s_{i-1}$  is the previous hidden state of the decoder
- $\blacktriangleright$   $h_i$  is the encoding for the j-th input word
- $ightharpoonup lpha_{ij}$  is the weight associated for the *j*-th input word for the *i*-th deocding iteration

#### How to use Context Vectors?

- No general rule
- Usually, concat the token embedding with context vector c<sub>i</sub>
- Pass this as the input to the RNN, along with the previous decoder state  $s_{i-1}$  as the hidden state

## Visual



# (Simple) Attention Mechanisms

#### Mean Attention

$$\alpha_{ij} = \frac{1}{S}$$

$$c_i = \frac{1}{S} \sum_{j}^{S} h_j = \sum_{j} \alpha_{ij} \cdot h_j$$

- ightharpoonup Very simple and naive approach is to apply uniform weights to each encoding  $h_j$
- ► Fancy way of saying average
- S is the length of the source sentence

#### Location Based: Laplace

$$\alpha_{ij} = f(j \mid i, b) = \frac{1}{2b} \exp\left(-\frac{|j - i|}{b}\right)$$
$$c_i = \sum_j \alpha_{ij} \cdot h_j$$

- ► Weighted by location in sequence
  - ▶ Center a Laplace at  $\mu = i$ , scale b
  - Weight is the probability of position j relative to i
- ▶ Penalizes elements away from the diagonal
- ► Heavier tail than a Gaussian

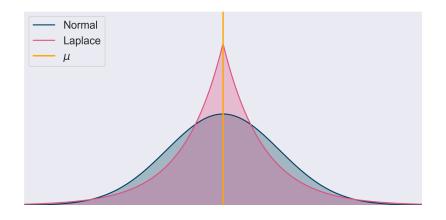
#### Location Based: Gaussian

$$\alpha_{ij} = f\left(j \mid i, \sigma^2\right) = \frac{1}{\sqrt{2\pi\sigma^2}} \exp\left(-\frac{(j-i)^2}{2\sigma^2}\right)$$

$$c_i = \sum_j \alpha_{ij} \cdot h_j$$

- ► Weighted by location in sequence
  - ightharpoonup Center a Gaussian at  $\mu = i$ , scale  $\sigma$
  - $\blacktriangleright$  Weight is the probability of position j relative to i
- Smoother distribution closer to the diagonal
- Smaller tail than Laplace, so outliers are penalized more

# Review: Laplace and Gaussian



## Visualizing Location-Based Distributions

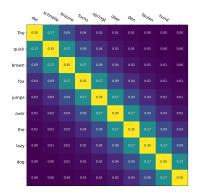


Figure: Laplace

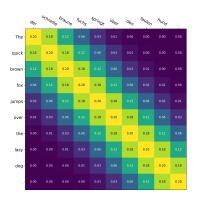


Figure: Gaussian

(Parameter-based) Attention Mechanisms

#### Parameter-based Attention

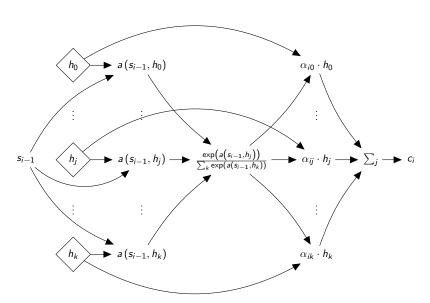
- Why not use learnable weights to effectively mix the encodings?
- ► Let deep learning figure out the alignment
- We now define a scoring function conditioned on the previous decoder state  $s_{i-1}$  and input encodings  $h_j$

$$a(s_{i-1},h_j)=?$$

► In order to constrain the weights to a valid distribution, we softmax

$$\alpha_{ij} = \frac{\exp\left(a\left(s_{i-1}, h_{j}\right)\right)}{\sum_{k} \exp\left(a\left(s_{i-1}, h_{k}\right)\right)}$$
$$c_{i} = \sum_{j} \alpha_{ij} \cdot h_{j}$$

## Attention Computation Graph

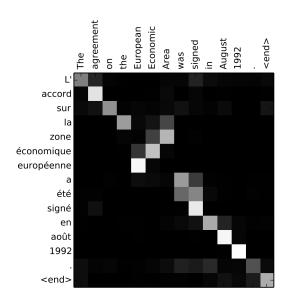


#### Bahdanau Attention

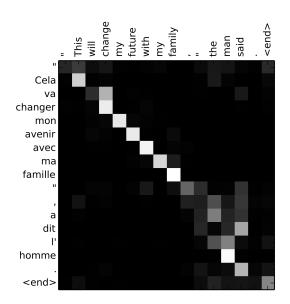
$$a(s_{i-1}, h_j) = v_a^T \tanh(W_a s_{i-1} + U_a h_j + b)$$

- $\triangleright$   $W_a$ ,  $U_a$ , are learnable matrices
- $\triangleright$   $v_a$ , b are learnable vectors
- Output is a scalar value
- AKA: Additive Attention, Concat Attention

#### Bahdanau Attention Visual



#### Bahdanau Attention Visual



## Luong Attention: Dot Product

$$a(s_{i-1},h_j)=s_{i-1}^Th_j$$

- ► Notice: No Learnable Parameters
- ▶ Simple dot product between decoder state and encoding
- Output is a scalar value

## Luong Attention: Scaled Dot Product

$$a(s_{i-1},h_j) = \frac{1}{\sqrt{|h_j|}} s_{i-1}^T h_j$$

- Rescale the dot product according to the dimensionality of the vectors
- ▶ Why? Because for large dimensionality, the dot-product is large in magnitude, resulting in small gradients from the softmax
- Output is a scalar value

## Luong Attention: General

$$a(s_{i-1},h_j)=s_{i-1}^TW_ah_j$$

- $\triangleright$  Allow a learnable matrix  $W_a$  inbetween the states
- ► AKA a Bilinear Layer:  $x_0^T A x_1$
- Output is a scalar value

### Luong Attention: Location Attention

$$a(s_{i-1},h_j)=W_as_{i-1}$$

- An approach to reweight exclusively on the decoder state
- ► Single learnable matrix W<sub>a</sub>
- Output is vector of fixed-length
- ► **Footnote**: For short sentences, only use the top part of the vector. For long sentences, ignore words near the end.
- ▶ Note: Implies that this only works for fixed-length sentences!

### Luong Attention Visual

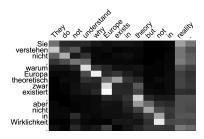


Figure: Luong Attention

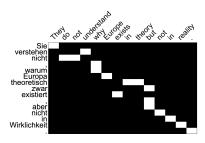


Figure: Gold Alignments

# (Advanced) Attention Mechanisms

### Luong Attention: Local Attention

- ▶ What if we focused on a window instead of all the vectors?
- ► This approach is known as *local* attention
- ▶ Before, we were using *global* attention

### Luong Attention: Local Attention

▶ Define a window W of size 2D at alignment point  $p_t$ :

$$\mathcal{W} = [p_t - D, p_t + D]$$

Our context vector is now an average over this window

$$c_i = \sum_{j \in \mathcal{W}} \alpha_{ij} \cdot h_j$$

#### Luong Attention: Local Attention

- ▶ How do we select  $p_t$ , the alignment position?
- ▶ Monotonic alignment set  $p_t = t$ 
  - Assumes src and trg are roughly monotonically aligned
- Predictive alignment predict the aligned position

### Luong Attention: Local Predictive Attention

$$p_t = S \cdot \sigma \left( v_p^T \tanh \left( W_p \cdot s_{i-1} \right) \right)$$

- ▶ Predictive alignment allows for learnable positions
- ► *S* is the length of the source sentence
- $\triangleright$   $W_p$  and  $v_p$  are learnable
- ▶ Sigmoid enforces the constraint  $p_t \in [0, S]$

### Luong Attention: Local Predictive Attention

$$a_p(s_{i-1},h_j) = a(s_{i-1},h_j) \cdot \exp\left(-\frac{(j-p_t)^2}{2\sigma^2}\right)$$

- ▶ Use a gaussian penalty to favor words near  $p_t$
- ▶ Note:  $p_t \in \mathbb{R}$ ,  $j \in \mathbb{Z}$
- ▶ *j* is the *integer* position of the encoding within the window
- Empirically set  $\sigma = \frac{D}{2}$
- $ightharpoonup a(s_{i-1},h_j)$  is any previous attention mechanism

### Luong Attention Visuals

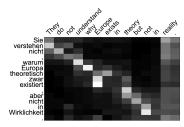


Figure: Global Attention

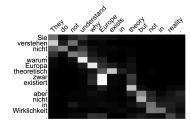


Figure: Local-P

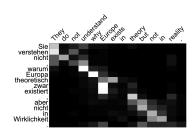


Figure: Local-M

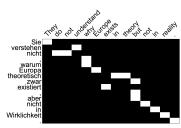


Figure: Gold Alignments

#### Fine-Grained Attention

$$a^{k}\left(s_{i-1}, h_{j}\right) = \operatorname{FF}^{k}(s_{i-1}, h_{j})$$

$$\alpha^{d}_{ij} = \frac{\exp\left(a^{d}\left(s_{i-1}, h_{j}\right)\right)}{\sum_{k} \exp\left(a^{d}\left(s_{i-1}, h_{k}\right)\right)}$$

$$c_{i} = \sum_{j} \alpha_{ij} \odot h_{j}$$

- ▶ What's different?
- Instead of a scalar scoring function, have it output a weight per dimension
- Now a weighted average on the feature dimension instead of the entire encoding

#### Fine-Grained Attention

$$c_i = \underbrace{0.64}_{} \cdot \begin{bmatrix} 0.17 \\ 0.53 \\ -0.22 \end{bmatrix} + \underbrace{0.12}_{} \cdot \begin{bmatrix} 1.34 \\ 0.39 \\ 0.45 \end{bmatrix} + \underbrace{0.24}_{} \cdot \begin{bmatrix} -0.08 \\ -1.39 \\ 1.21 \end{bmatrix}$$

$$c_{i} = \begin{bmatrix} 0.59 \\ 0.18 \\ 0.16 \end{bmatrix} \odot \begin{bmatrix} 0.17 \\ 0.53 \\ -0.22 \end{bmatrix} + \begin{bmatrix} 0.09 \\ 0.36 \\ 0.74 \end{bmatrix} \odot \begin{bmatrix} 1.34 \\ 0.39 \\ 0.45 \end{bmatrix} + \begin{bmatrix} 0.32 \\ 0.46 \\ 0.10 \end{bmatrix} \odot \begin{bmatrix} -0.08 \\ -1.39 \\ 1.21 \end{bmatrix}$$

#### Multi-Headed Attention

$$\alpha_{ij}^{k} = \frac{\exp\left(a^{k}\left(s_{i-1}, h_{j}\right)\right)}{\sum_{k} \exp\left(a^{k}\left(s_{i-1}, h_{k}\right)\right)}$$

Why not have K attention weights?

$$c_i^k = \sum_j \alpha_{ij}^k \cdot h_j$$

$$c_i = \frac{1}{K} \sum_k c_i^k$$

- Multi-Headed Attention is just aggregating several attended representations of the encodings
- Fancy way of ensembling attention mechanisms

#### Multi-Headed Attention

$$\alpha_{ij}^{d} = \frac{\exp\left(a^{d}\left(s_{i-1}, h_{j}\right)\right)}{\sum_{k} \exp\left(a^{d}\left(s_{i-1}, h_{k}\right)\right)}$$

$$c_{i}^{k} = \sum_{j} \alpha_{ij}^{k} \cdot h_{j}$$

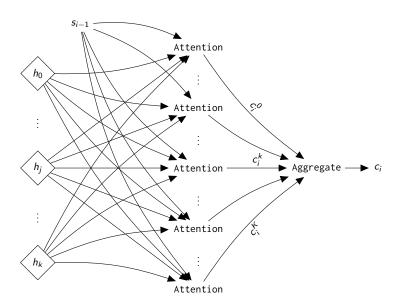
$$c_{i} = \begin{bmatrix} c_{i}^{0} \\ \vdots \\ c_{i}^{k} \end{bmatrix} W_{a}$$

$$\vdots$$

$$c_{i}^{K}$$

- ► Alternative: Concat each context vector and use a linear layer to reduce the dimensionality
- ▶ Weighted Average of *K* 'attended' context vectors!

#### Multi-Headed Attention



#### Self-Attention: Generalized

$$\texttt{Attention}\left(Q,K,V\right) = \texttt{softmax}\left(\frac{QK^T}{\sqrt{d_k}}\right)V$$

- Query Q, Key K, and Value V
- $ightharpoonup d_k$  is the dimensionality of the query and keys
- Note that this implies that we can re-mix the encodings via linear layers before attending
- ▶ Note the similiarity to Luong's Scaled Dot Attention

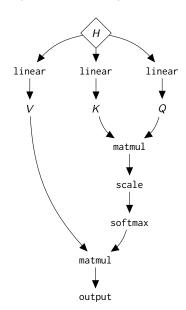
Self-Attention: What are Queries, Keys, and Values?

## Self-Attention: Packing the Encodings

- ➤ To create Queries, Keys, and Values, we must pack the encodings
- ightharpoonup Create a matrix  $H \in \mathbb{R}^{S \times d}$
- S is sequence length, d is the dimensionality of the vectors

$$H = \begin{bmatrix} \longleftarrow h_0 \longrightarrow \\ \vdots \\ \longleftarrow h_j \longrightarrow \\ \vdots \\ \longleftarrow h_k \longrightarrow \end{bmatrix}$$

## Self-Attention: Computation Graph



#### Practical Considerations

# Masking

#### Which one to use?

- ► Trend seems to be moving towards **Transformers**
- ► AKA a series of Self-Attentions inside Multi-headed Attentions
- ► Ideally, experiment and go crazy

# Mixing and Matching

- ▶ Remember! You can always mix and match!
- Different attention mechanisms under MHA
- ► Etc, etc

Tools, References, and Further Reading

### **Papers**

- Bahdanau et al., Neural Machine Translation by Jointly Learning to Align and Translate
- ► Luong et al.m Effective Approaches to Attention-based Neural Machine Translation
- ▶ Vaswani et al., Attention is All You Need
- Dyer et al., A Simple, Fast, and Effective Reparameterization of IBM Model 2