# DL Project Interim Report: Shakespeare - English Sequence to Sequence Modeling

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#### Abstract

We present a interim report on our efforts to contruct a sequence-to-sequence neural translation model with attention. We present the inital work done on data procurement and processing, and provide statitics on our datasets. Additionally, we describe in detail our models that we are experimenting with. Finally, we describe the overall strucutre of our code to support sequence to sequence modeling.

#### 1 Introduction

#### 2 Data Procurement

Most of our data was procured from [6]. This includes all of Shakespeares plays translated line by line into modern English. However, since the data was aligned, not all of the original lines from the plays are included (the sentences could not be aligned properly). <sup>1</sup>

# 3 Preprocessing

We describe our data processing algorithms to create test, development, and training samples in a simple and easy format.

#### 3.1 SOS and EOS

We encapsulate every sentence with two special tokens: SOS and EOS. The Start of Sentence token (SOS) signals the start of a sequence, and allows us to map our first real word to one that most likely starts a sentence.

We use the End of Sentence (EOS) token to signal the end of the sequence, and always comes after punctuation.

By incorporating these special tokens, we can signal to the model the start and end of a sequence, and helps training overall.

#### 3.2 Proper Nouns

Proper nouns are unique in both corpus, and have direct translations. In order to reduce vocabulary size and aggregating the learning of all proper nouns, we replace all proper nouns with the following token: propn. Thus our model should learn to map propn to propn, and can utilize the encoding to learn the most likely token following its usage. We use SpaCy's nlp models to identity proper nouns in each sentence, and replace the tokens.

#### 3.3 Miscellaneous

Additionally, we lower case all input. We use nltk's tokenization algorithm to split punctation, contrations, etc for each word.

#### 3.4 Train, Validation, Test Split

We randomly shuffle and split the combined preprocessed dataset into three sets: Train, Dev, and Test. We opted for a 87.5/10/2.5 split to reduce the appearance of unkown tokens (UNK). We provide statistics for the data.

We will use spacy.io to tokenize our dataset to replace proper nouns with pronouns.<sup>2</sup> In addition, we will tokenize numbers and punctuation to reduce our vocabulary size. Finally, we will lowercase the data. We will

<sup>&</sup>lt;sup>1</sup>https://github.com/cocoxu/Shakespeare

<sup>&</sup>lt;sup>2</sup>https://spacy.io

#	Original Sentence	Modern Sentence	
1	there s beggary in the love that can be reckoned .	it would be a pretty stingy love if it	
		could be counted and calculated .	
2	poor souls, they perished.	the poor people died .	
3	the propn s abused by some most villainous knave,	the propn is being tricked by some crook,	
	some base notorious knave , some scurvy fellow .	some terrible villain , some rotten bastard .	
4	my best way is to creep under his gaberdine.	the best thing to do is crawl under his cloak .	
5	when they do choose , they have the wisdom by their wit to lose .	when they choose , they only know how to lose .	
6	her blush is guiltiness, not modesty.	she blushes from guilt , not modesty .	
7	go , hang yourselves all !	go hang yourselves , all of you!	
8	then , if ever thou propn acknowledge it ,	then, if you dare to acknowledge it,	
	i will make it my quarrel .	i ll take up my quarrel with you.	
9	and lovers 'absent hours more tedious	and lovers 'hours are a hundred and	
	than the dial eightscore times!	sixty times longer than normal ones!	
10	i have no great devotion to the deed and	i do n t really want to do this,	
	yet he hath given me satisfying reasons .	but he s given me good reasons.	

Figure 1: Sample Original-Modern Sentence Pairs, Processed (Without SOS/EOS Tokens)

randomly sample a 70/20/10 split for train/dev/test on the full merged dataset.

#### 4 Architectures

We seek to develop several models to improve our translations, incorporating context, attention, and novel training methods. It will incorporate an encoder-decoder style model [2] [5].

#### 4.1 Encoders

The encoder (or inference network) receives an input token sequence  $\vec{x} = [x_1, \dots, x_n]$  of length n and processes this to create an output encoding. The result is a sequence  $\vec{h} = [h_1, \dots, h_{T_x}]$  that maps to the input sequence  $\vec{x}$ .

#### 4.1.1 Baseline RNN Encoder

For the baseline encoder, we implemented a simple Embedding + RNN encoder. This accepts an input sequence  $\vec{x}$  and encoders the sequence using the lookup embeddings and forward context.

$$h_t = \tanh(W_{ih}x_t + b_{ih} + W_{hh}h_{t-1} + b_{hh}) \tag{1}$$

Howver, this is the simplest model, prone to the vanishing gradient problem on large sequences. In addition, this model has the lowest capacity to learn. Nonetheless, we will present results for our baseline.

#### 4.1.2 GRU Encoder

An obvious improvement to our encoding scheme would be to replace the RNN layer with a GRU. A GRU encodes a forward sequence using more complex equations to improve capacity and learning.

$$r_{t} = \sigma(W_{ir}x_{t} + b_{ir} + W_{hr}h_{t-1} + b_{hr})$$

$$z_{t} = \sigma(W_{iz}x_{t} + b_{iz} + W_{hz}h_{t-1} + b_{hz})$$

$$n_{t} = \tanh(W_{in}x_{t} + b_{in} + r_{t} \circ (W_{hn}h_{t-1} + b_{hn}))$$

$$h_{t} = (1 - z_{t}) \circ n_{t} + z_{t} \circ h_{t-1}$$
(2)

GRUs help with vanishing gradients, increases our models capacity to learn, and uses less parameters than the LSTM layer. Hence, for our purposes, we used a GRU over the LSTM.

#### 4.1.3 Bidirectional GRU Encoder

An extension to our encoding scheme will consider the full context of words immediately before an after it. This is doen by running a GRU layer on the forward and backward sequence and combining each tensor. Hence we use a bidirectional GRU as laid forth in [1].

$$\overrightarrow{h_f} = \text{GRU}(\overrightarrow{input})$$

$$\overleftarrow{h_b} = \text{GRU}(\overleftarrow{input})$$

$$(3)$$

$$h_o = \overrightarrow{h_f} \parallel \overrightarrow{h_b}$$

PyTorch concatenates the resulting tensors, doubling the hidden output size of a normal GRU. Other libraries allow for concatenatation, averaging, and summing. We use PyTorch's implementation of a bidirectional GRU.

#	Encoder	Decoder	Attention	Teacher Forcing
1	RNN	RNN	None	False
2	GRU	GRU	None	False
3	Bidirectional GRU	GRU	None	False
4	Bidirectional GRU	GRU	Concat	False
5	Bidirectional GRU	GRU	General	False
6	Bidirectional GRU	GRU	Concat	True
7	Bidirectional GRU	GRU	General	True

Figure 2: Planned Model Experiments

#### 4.2 Decoders

#### 4.2.1 Baseline RNN

#### 4.2.2 GRU Decoder

#### 4.3 Attention Mechanisms

Attention mechanisms have been shown to improve sequence to sequence translations from Bahdanau et al [1], and further work from Luong et al [4] examines global vs local approached to attention-based encoder-decoders. Common attention mechanisms are:

$$a(s_{i-1}, h_j) = \begin{cases} W_a(s_{i-1} || h_j) & concat \\ s_{i-1}^T \cdot W_a h_j & general \\ s_{i-1}^T \cdot h_j & dot \\ W_a \cdot s_{i-1} & location \end{cases}$$
(4)

However, we will focus on dot attention and concat attention in our experiments.

#### 4.3.1 Dot Attention

#### 4.3.2 Concat Attention

### 4.4 Teacher Forcing

In terms of training, an encoder-decoder system can either accept the target token or the model's prediction as input during the decoding step. When we use the target token, this is known as teacher forcing, and is shown to be favored during initial training iterations, but should be backed off to use the model's own predictions, as it will exhibit instability in the translations otherwise [3].

# 5 Planned Experiments

# 6 Current Status and Expectation

## 7 Planned Experiments

#### References

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#	Task	Status	Team Member
1	Data Procurement	DONE	All
2	Preprocessing	DONE	Riley, Bill
3	Baseline RNN	IN PROGRESS	Riley
4	GRU Encoder	IN PROGRESS	Morris
5	Bidirectional GRU	IN PROGRESS	Morris
6	RNN Decoder	IN PROGRESS	Bill
7	GRU Decoder	IN PROGRESS	Bill
8	Attention Models	TODO	Bill
9	Teacher Forcing	TODO	Bill
10	Vocabulary Building	DONE	Bill
11	Train/Eval	IN PROGRESS	Bill
12	Experinments	TODO	All

Figure 3: Current Progress