



BERN UNIVERSITY OF APPLIED SCIENCES (BFH)

BACHELOR THESIS

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# CHVote Demonstrator

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# 1 Management Summary



## 2 Introduction

### 2.1 Electronic voting

Since 2015, it is possible for Swiss citizens registered in the cantons Geneva and Neuchâtel and living abroad, to vote electronically. However, these systems did not yet meet the requirements in terms of security and transparency, to be accepted as a secure E-Voting platform on a nationwide scale.

One of the requirements that is hardest to achieve, is that the system must ensure the voters privacy while at the same time, it must be verifiable that only valid votes have been counted. A good e-voting system must be transparent and allow an external verifier to verify, that every protocol participant has abided by the protocol and that all votes of eligible voters have been counted correctly.

Another common problem is the insecure platform problem: If a voters computer is affected by malware, the vote casting process is no longer under the voters control and the candidate selection could be possibly manipulated.

A contract was formed between the state of Geneva and the Bern University of Applied Sciences to work out a new protocol which does meet the complex requirements set up by the government. In 2017, the resulting specification document has been officially published and a proof-of-concept / prototype has been successfully implemented by the State of Geneva.

### 2.2 The CHVote protocol

The protocol our project is based on, does not originate from us! The concept and specification has been created by Rolf Haenni, Philipp Locher and Reto E. Koenig of the Institute for Security in the Information Society (RISIS) of the Bern University of Applied Sciences. For this project, we have implemented the protocol according to their specification. In this section we summarize the most important aspects of the protocol for better understanding our application.

### 2.2.1 Actors

**Voter:** A voter is a person who is eligible to vote in his state. Every voter must possess a voting card that has been sent to him prior to an election and that contains several codes such as the voting code and the confirmation code, which are used to identify the voter during the vote casting process.

A voter uses a voting client to participate in the protocol, e.g. forming a ballot according to the protocol, by entering his selection, a voting code to cast, and later in the process, his confirmation code to confirm his vote. Verification codes and a finalization code are displayed by the voting client to ensure the voter that his vote has been cast as intended and hasn't been manipulated by a third party.

**Election Administrator:** The election administrator, typically a person of the government, sets up the election by providing the necessary information such as the candidates and the voters and initiates the generation of the cryptographic electorate data. He is also responsible for tallying the election and publishing the final results.

**Election Authorities** The election authorities can be seen as some kind of independent election observers. In a CHVote election there are always multiple election authorities in order to avoid having a single authority that has to be trusted. The authorities are involved in almost every step of the protocol, starting from generating their shares of the electorate data, shares of a joint public key used for encryption, checking and responding to new ballots and vote confirmations, as well as in the mixing and decryption phases.

**Bulletin Board** The bulletin board acts as a central board over which most communication is done and where all the public data is stored.

**Printing Authority** The printing authority is responsible for printing the voting cards and handling them over to a trusted mailing service. Since the printing authority needs to print the voting cards that contain the sensitive voting and confirmation code, it is the only participant possessing the secret voting codes of all voters.

### 2.2.2 Pre-Election & Voting cards

Before the actual election phase, the vote administrator sets up the election by entering all required parameters. All election authorities jointly generate the cryptographic data for the whole electorate from which the voting card information is derived. The printing authority combines the information from all election authorities, prints the voting cards and deliver them to the voters by a trusted mailing service.

A voting card contains several codes, namely:

- a voting code
- a confirmation code



- a finalization code
- one verification code for every candidate

The voting and confirmation codes are authentication codes and used to authenticate the voter twice during the vote casting process; the first time with the voting code when he casts his vote, and a second time for confirming his vote. This kind of authentication is required because otherwise an attacker who infected a voters computer with malware could easily cast or confirm a vote on behalf of the voter.

### 2.2.3 Cast-as-intended verification with oblivious transfer

Even with the authentication codes, an insecure voting platform infected with malware still poses the risk that an attacker manipulates the candidate selection on the vote-casting page after the voter has entered his voting code.

The CHVote specification suggests a "Cast-as-intended verification" to allow voters to detect this kind of manipulation:

When a voter enters his voting code and the indices of his favored candidates, the voting client forms a **ballot** containing the voters selection encrypted with the authorities public key, his public voting credential derived from the voting code, and a zero knowledge proof which proves that the voter has formed the ballot according to the protocol and that he has been in knowledge of his voting code.

Every election authority now checks the voters public voting credential, the validity of the ballot proof and that the voter hasn't already cast a vote.

The encrypted selection also serves as a query for an oblivious transfer. A k-out-of-n oblivious transfer allows a client to query a server for k messages, without the server knowing what k messages the client requested, and without the client learning anything about the n-k other messages. Adapted to the CHVote protocol, the query queries the authorities for the corresponding verification codes of the selected candidates, without the authorities learning which candidates the voter has selected, and without revealing any information about the other candidates.

The voter then checks if the returned verification codes match the codes of the candidates he has chosen on the printed voting card. If the selection was somehow manipulated by malware, the returned verification codes would not match the printed ones and the voter would have to abort the vote casting process. This way the integrity of the vote casting can be assured even in the presence of malware. In such a case, privacy on the other hand cannot be protected since the malware will learn the plaintext of the voter's selection.

In order to verify that a voter has formed the ballot correctly by choosing exactly the number of candidates he is supposed to choose, the following trick is being used: the verification codes are derived from  $n = \sum_{j=1}^t n_j$  random points on  $t$  polynomials (one for every election event  $j$ ) of degree  $k_j - 1$ , that each election authority has chosen randomly prior to the election.

By learning exactly  $k = \sum_{n=1}^t k_j$  points on these polynomials, the voting client is able to reproduce these polynomials and therefore is able to calculate a particular point with  $x = 0$  on these polynomials. The corresponding  $y$  values are incorporated into the second voting credential from which the confirmation code is derived. Only if the voter knows these points (by submitting a valid candidate selection), he will be able to confirm the vote that he casted.

## 2.2.4 Re-encryption mix network

Since there is still a connection between the encrypted ballot and the voter at this point, the encrypted selections are extracted from the ballots as the first step of the post-election phase. In order to anonymize the list of encryptions, every authority performs a cryptographic shuffle with a random permutation and re-encrypts all ciphertexts in order to make it impossible to find out which voter has submitted which encrypted ballot. This is done by using the multiplicative homomorphic property of the ElGamal encryption scheme. A multiplicative homomorphic encryption scheme allows to perform multiplications on the ciphertext such that:

$$Enc(a) \cdot Enc(b) = Enc(a \cdot b)$$

The specification suggests multiplying the encryption with the encryption of the neutral element 1 since this yields a new ciphertext for the exact same plaintext.

## 2.2.5 Distributed trust

The public key that is used for encrypting the ballots has been jointly generated by all election authorities. Therefore in order to decrypt the result, all authorities must provide their share of the private key. The measure of multiple authorities participating in the whole e-voting process ensures the security of the whole election even if only one authority can be trusted.

## 2.3 Project task

Understanding such a complex protocol isn't easy and might be the reason why many people still do not trust electronic e-voting systems. In close consultation with the authors of the CHVote specification, we agreed to develop an application that allows users to get a hands-on experience with the CHVote e-voting system and makes it possible to show to an audience how the future of voting in Switzerland might possibly look like.

For this reason, we have implemented the protocol according to the specification and developed a web-based application on top of it, which allows to perform every step of an election, from generating the electorate data, to casting and confirming ballots from a voters point of view, to the post-election processes like mixing, decryption and tallying.

## 3 Project Plan

In this chapter we are going to describe the several aspects regarding the planing of our project.

### 3.1 Project Method

Since this wasn't a project where the project scope and goals were strictly defined and clear from the beginning, it was very important to elaborate the requirements in close collaboration with our supervisors early on and work. This is why we decided our project would be very suitable for an agile kind of project method.

We have therefore set up regular meetings (usually once every 2 weeks) to discuss our ideas and get feedback about the current progress.

### 3.2 Requirements

As the first step, we have though about the goals and requirements for our application. We have structured the requirements into groups that mostly correspond to the "modules" / views that our application is going to consist of.

Some requirements affect multiple or all modules and are therefore listed as "General Requirements".

#### 3.2.1 General Requirements

	Description	Type	Prio.	Phase	Status
R1	The CHVote protocol is implemented as specified in the latest specification document. The only exclusion are the algorithms for channel security.	Must	High	1	Done
R2	The application is web-based shows updates within the same demo-election in real-time.	Must	High	1	Done
R3	The system supports 1-out-of-3 type of elections (e.g. elect 1 of 3 possible candidates)	Must	High	1	Done

R4	The system supports multiple parallel elections	Must	High	1	Done
R5	Users can create new elections	Must	High	1	
R6	The system supports internationalization. Providing more than one language is not required.	Must	Med.	1	
R7	The system can handle k-out-of-n type of elections	Can	Med.	1	

### 3.2.2 Election-Overview

	Description	Type	Prio.	Phase	Status
R8	The overview shows which phase the election is currently in	Must	High	2	
R9	A graphical scheme of the chVote protocol gives an overview of all participating parties	Must	Med.	2	

### 3.2.3 Election Administrator

	Description	Type	Prio.	Phase	Status
R10	An election can be set up by providing all required information such as the candidates, number of parallel voters, the number of voters and the number of selections (simplified JSON input)	Must	High	1	Done
R11	The election can be set up without entering the parameters in JSON format and allows easier set up of elections with multiple parallel election events	Must	Low	2	
R12	The election administrator view allows to perform the tallying and displays the final result of an election in numbers and a pie chart	Must	High	1	
R13	During election setup, the security parameters can be chosen from a set of predefined parameters	Can	Low	2	

### 3.2.4 Printing Authority

	Description	Type	Prio.	Phase	Status
R14	Users can generate and display voting cards for an election.	Must	High	1	Done
R15	Voting cards hide sensitive information behind a scratch card	Can	Med.	2	

### 3.2.5 Election Authority

	Description	Type	Prio.	Phase	Status
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R16	The election authority view shows all information known to an election authority	Must	High	1	Done
R17	After a voter has submitted a ballot, all election authorities can check and respond to the voters submission	Must	High	1	Done
R18	In the post-election phase, all election authorities can perform the mixing and decryption tasks	Must	High	2	
R19	Each authority can optionally processes all tasks automatically	Can	High	2	Partially done

### 3.2.6 Voter

	Description	Type	Prio.	Phase	Status
R20	Users are able to go through the whole vote-casting process for every voter	Must	High	1	Done
R21	The voting card of a voter is displayed on screen. The voting and confirmation codes can be copied into the input textfields by double clicking	Must	Med.	1	

### 3.2.7 Bulletin Board

	Description	Type	Prio.	Phase	Status
R22	The bulletin board view shows what information is publicly available	Must	High	1	Done
R23	The bulletin board view is extended with verification-functionality	Can	Low	2	

## 3.3 Time schedule & Implementation phases

As the next step we created a time schedule and structured our project into smaller units.

The last step before starting the actual implementation was to develop a proof-of-concept / prototype of our application, by implementing one usecase in a reduced extent with the envisaged frameworks to evaluate the technical feasibility.

The actual implementation phase has been broken down into two phases.

Phase 1 involves the implementation of all high priority must-requirements, so, basically bringing the application into a state that allows to visualize the whole CHVote election process. We have agreed that after phase 1, some areas of the user interface would still be in a rather primitive state (eg. user inputs are not validated and require a more technical type of input).

For phase 2 we planned on implementing the can-requirements and the must-requirements with lower priority.

This approach reduced the risk of technical limitations of our architecture not being revealed until later that would have resulted in time consuming architectural changes.

### 3.4 Time schedule

[illegible]

Table 3.8: Project time schedule

From our requirements we have defined the following mile-stones:

- M1: Finishing the implementation of the CHVote cryptolibrary
- M2: Upon successful creation of a proof-of-concept / prototype for our application
- M3: After finishing implementation phase 1
- M4: Finishing implementation phase 2 including the can-requirements
- M5: After finishing our documentation

## 3.5 Use Cases

The next step was to create use cases. As an example we show one of the use cases, for the complete list of use cases we refer to the appendix.

Table 3.9: Use Case «Casting of a vote»

Use Case	Casting of a vote
Primary Actor	Voter
Description	The voter can cast a vote by selecting his favored candidate(s) and his voting code
Precondition	<ul style="list-style-type: none"> <li>• The election has the status "Election Phase"</li> <li>• A voter is selected in the "Voter"-view</li> <li>• The voter has the status "Vote Casting Phase"</li> </ul>
Postcondition	The first election authority receives a "Ballot-check task"
Main path (M)	<ol style="list-style-type: none"> <li>1. The voter visits the "Voter"-view and select his voter object</li> <li>2. The system demands a selection of the candidates and the voter's voting code</li> <li>3. The voter clicks on "Cast ballot"</li> </ol>

## 3.6 Mockups

After the requirements have been more or less clear, we have started working on creating mockups in which we tried to visualize how we understood the task and how we could imagine the resulting application to look like.



## 3.7 Concept

TODO: Beschreiben, wie wir die Anwendung aus fachlicher Sicht aufteilen wollen (Unterteilung in eine View per Actor etc.)

## 3.8 Layout

TODO: Beschreiben wie wir die Anwendung optisch/layoutmässig geplant haben



## 4 Implementation

In this chapter, we will describe how we implemented the application. We will start explaining the architecture from a high-level perspective with each component being a black-box. Later sections of this chapter will then further describe the internals of each component.

From a high-level perspective, our application consists of three components which themselves may consist of multiple sub components: The **CHVote crypto-library** is the result of our

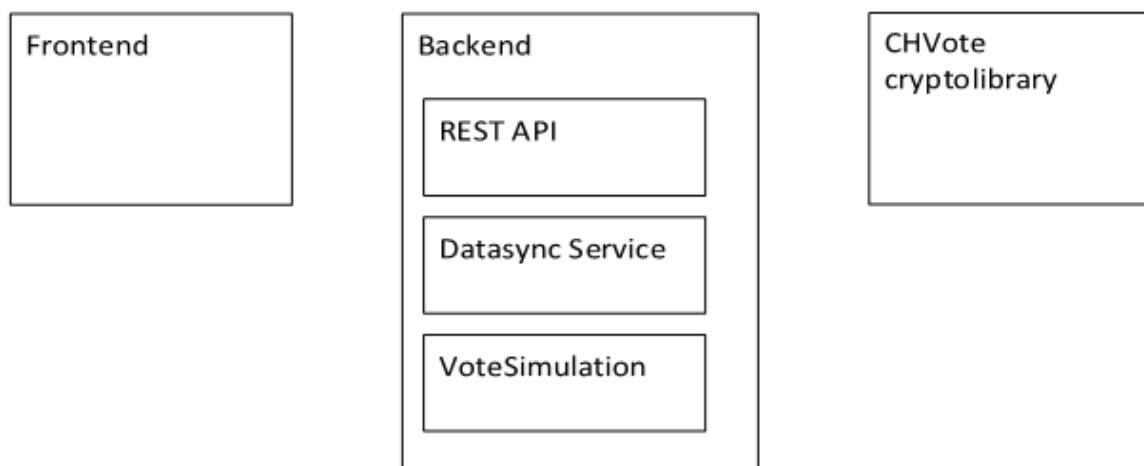


Figure 4.1: System components

"Project 2" course project which we have finished before our bachelor thesis. This library contains all the algorithms that we have implemented according to the specification.

The back-end consists of several services that are responsible for simulating a CHVote election, providing an API to manipulate this state and a data sync service to synchronize the data between the clients and the back-end. All the services of the backend run on a single server.

The front-end basically is the web-app where all the functionality of our back-end is consumed and the resulting election state is visualized.

### 4.1 Technology & Language Decisions

When we implemented the CHVote crypto-library, we have evaluated and decided to use Python. Since Java has already been used by the team in Geneva, we wanted to use a dif-

ferent language as it was desirable to prove that the CHVote specification can be implemented in any language. Python seemed like a rather suitable language due to the following reasons:

- mature language with lots of libraries
- python's syntax enables programs to be written in a compact and readable style
- as the protocol wasn't completely specified at that point and has still been undergoing some changes, we wanted to use a language in which we can adapt changes quickly and easily
- native support for large integers (*BigInts*) and bindings for the GMP<sup>1</sup> library
- supports a lot of platforms
- many popular web development frameworks are available

Throughout the project not all of the reason above turned out to be true or ideal. The drawbacks that we have experienced during the implementation of this project will be discussed at the end of this document.

Since we used the crypto-library in our back-end, Python was also the obvious language for the back-end. Python offers a wide variety of frameworks for building web-services. Since we planed on building a single-page-application for the client, we chose the lightweight micro-framework flask for building a restful web-service.

For our **front-end** (web application) we evaluated several single-page application (SPA) frameworks. VueJS is a new, modern and lightweight SPA framework that in contrast to Angular has a much flatter learning curve but still offered all the functionality that we needed. The VueJS addon Vuex enabled us to establish a data-store pattern in our front-end, which makes it possible to have a copy of the back-end data-store in our web application which is synchronized in real-time through web-sockets.

**Socket.io** simplifies the usage of web-sockets and offers fallback technologies such as long-polling in case web-socket is not supported by either the browser or the web-server. Both Flask as well as VueJS have plug-ins that support and integrate socket.io.

For persisting the state of an election, we decided to use mongoDB. The reason behind this choice will be described in more details later on.

## 4.2 Architecture

The core of our application is the VoteSimulation component in our backend which implements the e-voting protocol by utilizing all algorithms of the CHVote crypto-library according to the

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<sup>1</sup>GMP is a free library for arbitrary precision arithmetic, operating on signed integers, rational numbers, and floating-point numbers, see <https://gmplib.org/>.

CHVote specification. The VoteSimulation component internally holds the state of a whole CHVote election and exposes functions to manipulate this state at a granularity required by our web application to implement all use cases. For example: The VotingSimulation contains a list of ballots and exposes functions to cast a new ballot, which will generate a new ballot according to the protocol, by calling the CHVote crypto-library, and adds the ballot to the list.

On top of the VoteSimulation we have implemented a REST service that acts a facade to the VoteSimulation component and makes its functionality available as an API for our web-clients. The REST service also has to initialize the VoteSimulation by loading and persisting it's state from and to the database between each API call.

One of the requirements is that all clients must be informed in real-time about mutations of the election state made by other clients. To achieve this, we have implemented a data sync service which allows to push the state or parts of the state of the VoteSimulation to the web-clients by using the websocket protocol. This service is triggered by the REST service after every API call to push the delta between the old and the new state to the clients.

To establish a proper separation of concerns, the state of the VoteSimulation is always sent to the client via the data sync service. The REST service only returns success or error codes or information that is required in response to some particular API call, and never state objects. On the other hand, the data sync service does never manipulate the state of the VoteSimulation and is solely responsible for sending data to the client.

From the clients point of view, the web-client contains a copy of the whole VoteSimulation state in a local datastore. This store is initially populated when the web application is initialized with an election. Whenever the state of the VoteSimulation changes, the data sync service is called to push the new data to the web application. A local mutation handler is called inside the web application which writes the new data into the local datastore.

Since the components that the web-pages of the web application are built of, are directly bound to the local data-store, all mutations automatically reflected to the user. From those pages, the REST API can be called to trigger some CHVote specific action on the back end. The resulting state change is again being pushed to all clients while the responsible client that performed the HTTPS request will additionally receive a success code, or an error message in case of an error.

## 4.3 Back-end

In this section we describe the internals of the back-end services.

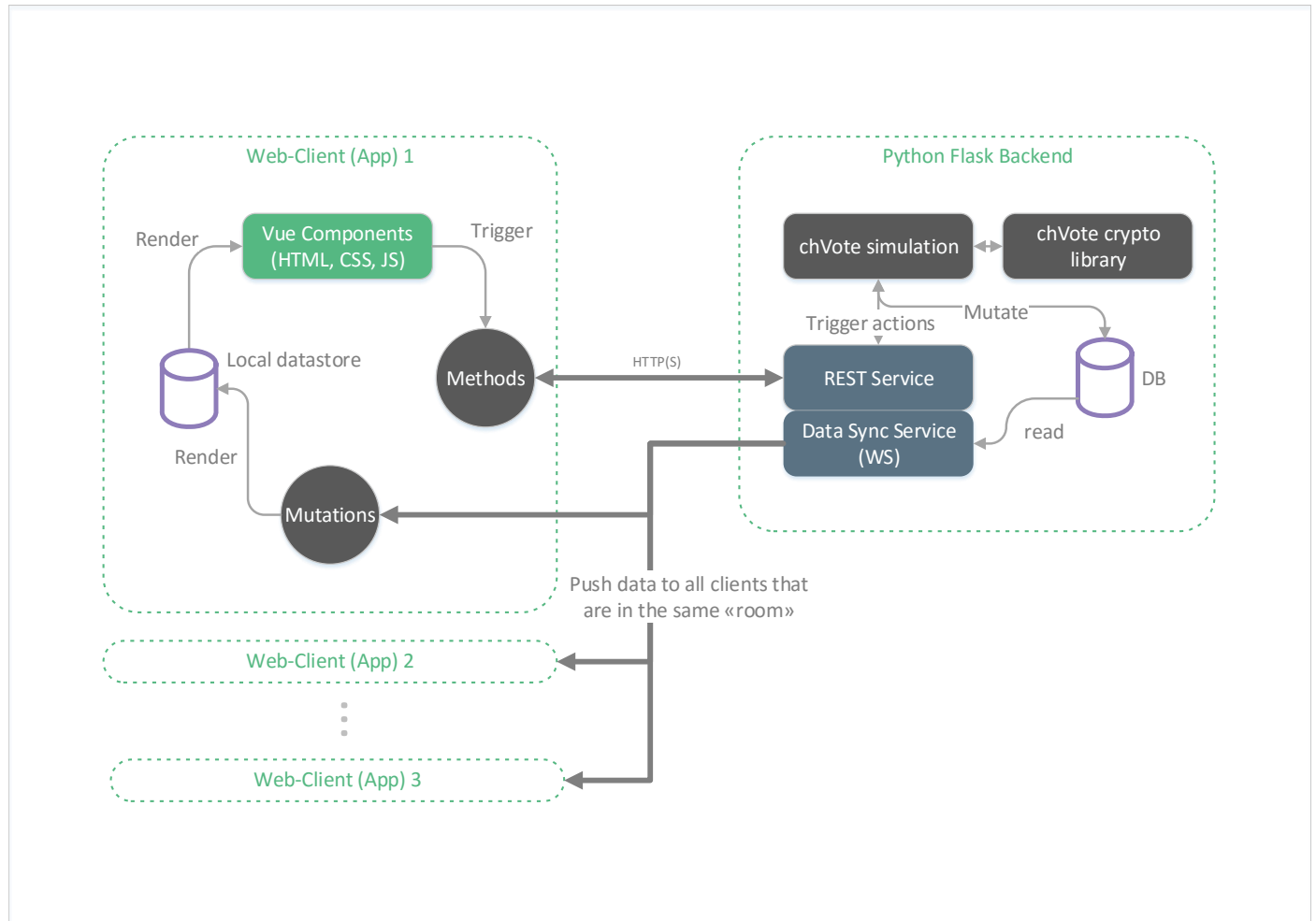


Figure 4.2: Architecture

### 4.3.1 VoteSimulation

The VoteSimulation represents the state of a whole election and consists of instances of all the actors that participate in a CHVote election. We have divided the state and actors of a CHVote election into the following classes:

- **BulletinBoardState:** Holds all data that is publicly available on the bulletin board (the number of candidates, the tallied result)
- **ElectionAuthorityState:** Holds all data that an election authority knows (e.g. the list of ballots, the secret key of an election authority)
- **VoterState:** Since there is no distinction made between a voter and a voting client in our application, the VoterState contains the data of both the voter (e.g. the voting card) and the data typically known to the voting client (e.g. the points returned by the oblivious transfer)

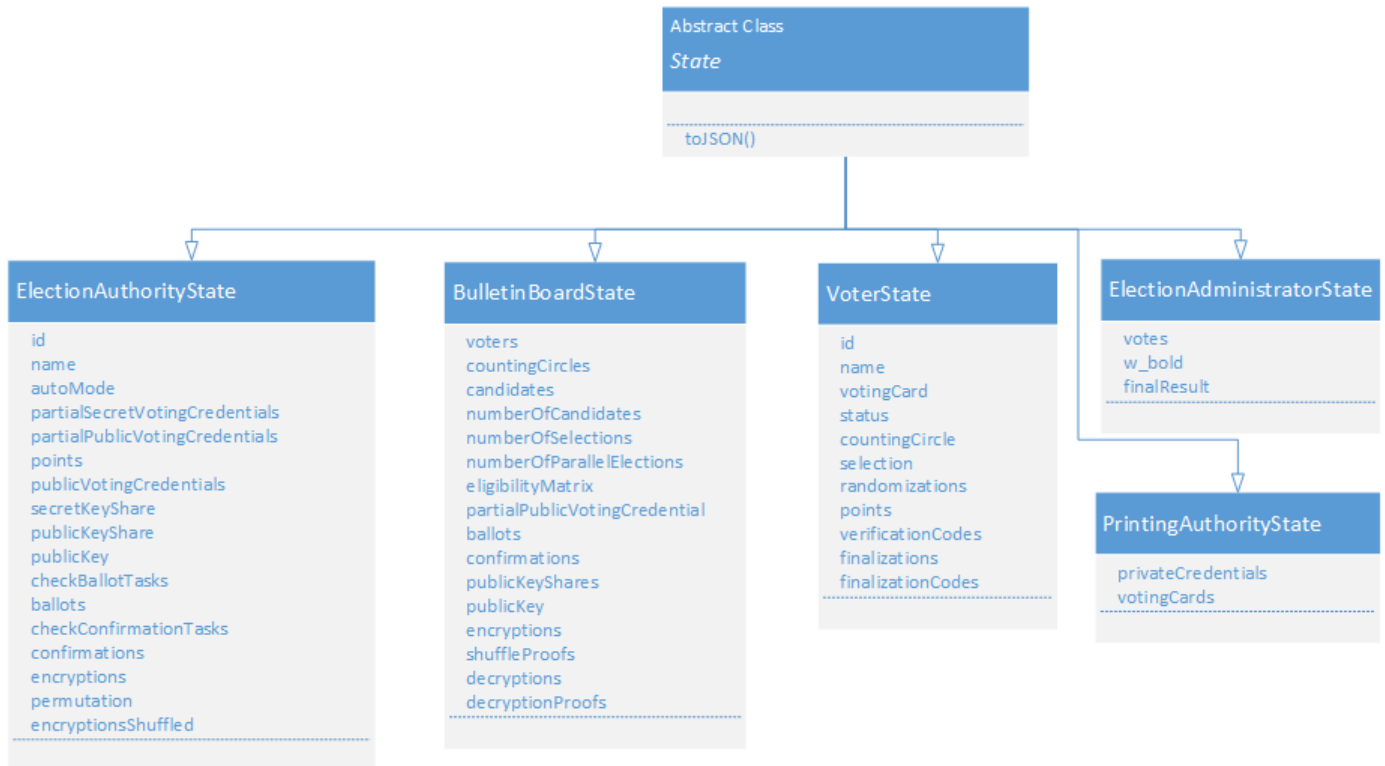


Figure 4.3: State classes

- **PrintingAuthorityState**: Holds the data known to the printing authority (e.g. the list of all voters private credentials and the voting cards)
- **ElectionAdministratorState**: Holds all data known to the election administrator

Since our application must handle multiple elections in parallel, the state cannot be kept in RAM, but needs to be persisted between every single request. For this reason we evaluated different database systems and concepts. We decided not to use a relational database system that requires us to define a database schema as we want our state objects to be the only place where the schema is defined. This makes it easier to apply changes to the protocol in future.

For our purpose, mongoDB seemed like a good choice. Since we do not need the ability to access and filter our data with arbitrary queries, but only need to be able to save and load a state object of a particular election, we simply store the whole state as a binary string in a mongoDB collection. The only additional attribute that is saved to the database alongside with the serialized state is the `electionId` which denotes which election a particular state belongs to.

An election contains multiple `VoterStates` and `ElectionAuthorityStates`. Therefore, these two states additionally require an `electionAuthorityId` and a `voterId`.

The only common functionality between every state object, is the ability to serialize the object to a JSON string. For this reason we had to write a custom transformer which tells the

```

1  _id: ObjectId("5a040ba19a7c4c40c8b310a7")
2  election : "5a040ba19a7c4c40c8b310a5"
3  state : Binary('gANjYXBwLm1vZGVscy51bGVjdGlvbkF1dGhvcml0eVW0YXR1ckVsZWNoaw9uQXV0aG9yaXR5U3RhdGUKcQAgpXEBfXECkFgXAAAA...')
4  authorityID : 0

```

ObjectId  
 String  
 Binary  
 Int32

Figure 4.4: Example: ElectionAuthority document collection

JSON parser how to serialize data-types such as mpz, bytearrays and custom classes. Luckily, python offers a way to easily serialize any custom object. By calling `object.__dict__` we can convert an object into a dictionary, as long as the transformer is able to serialize all properties of the object.

We described how the state classes are used to divide the data of the VoteSimulation into smaller units. Similarly, the functionality of the VoteSimulation class is separated into classes, one for every actor in the protocol.

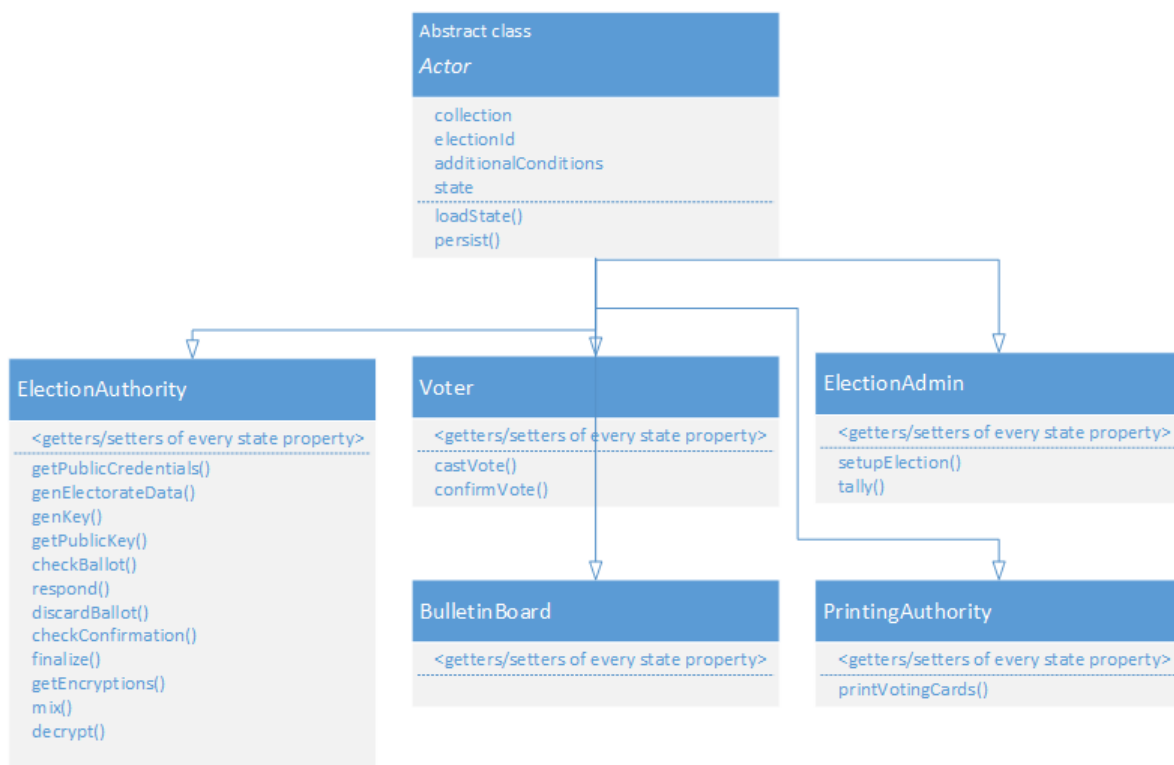


Figure 4.5: Actor classes

The common functionality, namely, a function for loading the corresponding state from the database and one for persisting the state to the database, are contained in an abstract base class.

The distinction between the actors and their states allows us to easily serialize the state of every actor and provide methods for loading and persisting the state to the database.

This approach was also necessary because we have implemented the data synchronization between the clients and the back-end using the JSON Patch approach, for which the delta



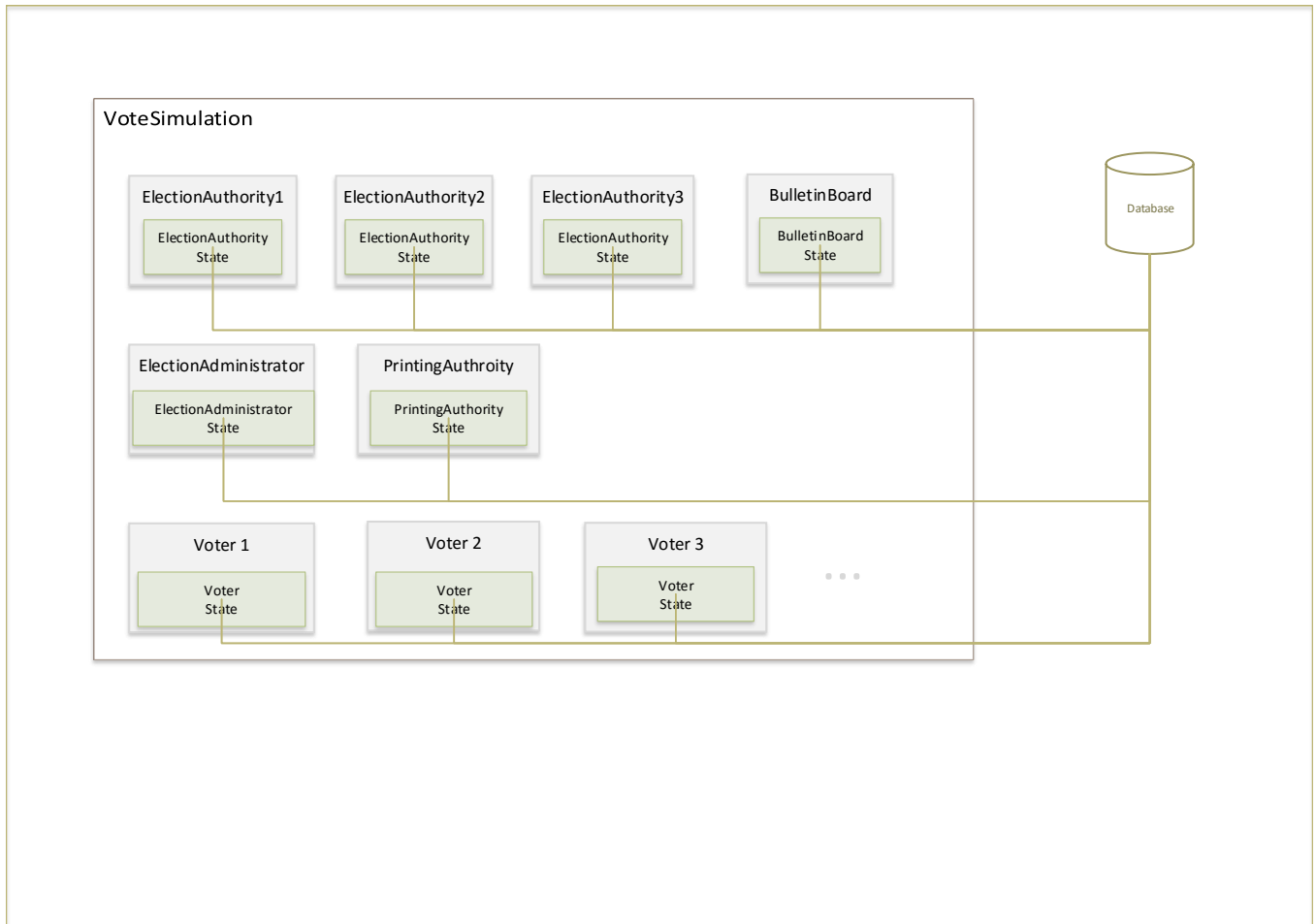


Figure 4.6: State classes

between the original state and the modified state can be automatically determined and operations generated which will patch the state object on the client such that it equals the new, modified state of the back-end. The original state is simply attached as another property to the actor-object.

### 4.3.2 Data-Sync Service

One of the big challenges of our application has been the synchronization of the election state from the back-end to the clients local data store. As mentioned earlier, we wanted to achieve real time data synchronization such that every web client observing a particular election, is informed of any change of this elections state. For this purpose we have used web-sockets.

Additionally, we had to keep an eye on the performance of the data transfers since especially the bulletin board and the election authorities grow big in size when they contain a lot of ballots. We observed that the size of the whole state of an election with 6 candidates and 10 voters, of which each has submitted at least one ballot and a confirmation can easily reach

600 kilobytes already. Admittedly, we haven't noticed any performance issues even with rather large elections, however, transferring the whole state of an election after every single mutation didn't seem like a proper solution.

Nevertheless, when a client connects to the data sync service for the first time, it needs to fetch the JSON representation of every state object of the VoteSimulation. For this purpose we have implemented a "FullSync" method which will populate the clients local data store with the full state of an election.

After a client has populated his local data store, future manipulations on the backend are synchronized using the so called JSON Patch operations, which only contain the delta between the previous and the current state.

JSON Patch is a structure for describing how a JSON document can be modified / "patched". The procedure is standardized and described in the RFC 6902 of the Internet Engineering Task Force (IETF). There exist JSON Patch implementations for many languages, including Python and JavaScript. We used JSON Patch to realize our incremental data synchronization as follows:

When the VoteSimulation loads the state of its actor objects, it sets the `originalState` property of the actor to a deep copy of the loaded state object. Mutations are always done only to the `state` property. Before calling the `persist()` method on an actor object, we use the Python JSON Patch library to create a set of JSON Patch operations that describes how to patch the `originalState` such that it becomes identical to the manipulated `state` object, by calling `make_patch(json.loads(self.originalState.toJSON()), json.loads(self.state.toJSON()))`

The result is a JSON array of operations that contains:

- The path of the manipulation
- The type of operation (replace, add, remove, ...)
- The new value (if required)

For example, after casting a ballot, we might receive the following JSON patch:

These JSON patches are pushed to all the clients that need to receive the mutations and are applied to the local datastore which contains the original state. After applying the JSON patch, the data store of all clients contains the same state of an election as the backend.

We decided not to use JSON patches when populating the data store for the first time, since generating JSON patches to patch an empty object to the full state of an election could result in thousands of operations that would almost certainly perform worse than sending the whole object over a fast websocket connection.

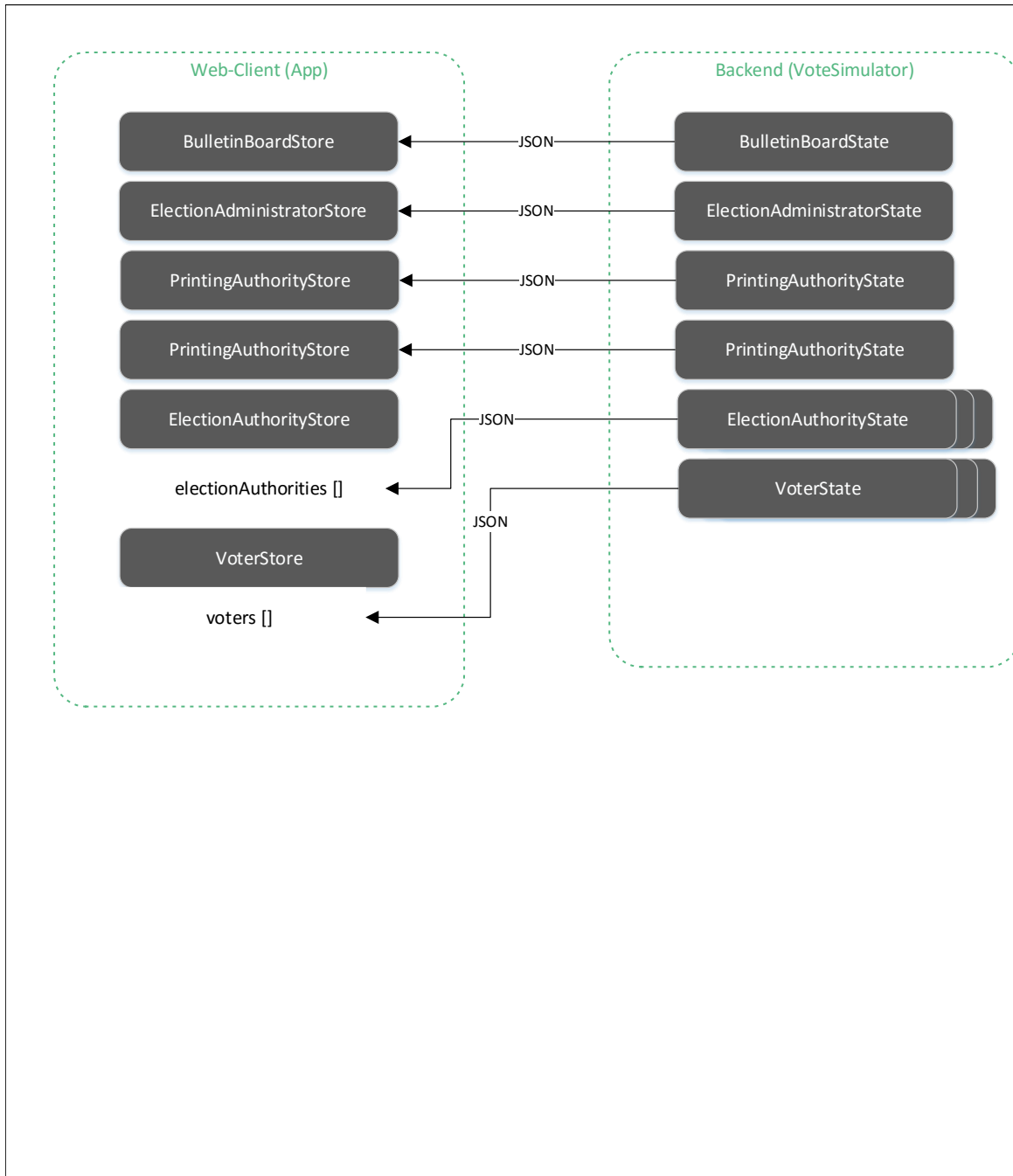


Figure 4.7: State classes

### 4.3.3 REST API

The third component of the backend is the REST API. Its responsibility is to serve as an API and to provide all the functionality of the VotingSimulation to the webclients. Every function, such as the `castVote()` has a corresponding endpoint in the REST API service. Since almost every API endpoint looks almost the same, we take a look at one of them:

```

▼ {election_authority_0: Array(1), printing_authority: Array(0),
  ▼ bulletin_board: Array(1)
    ► 0: {path: "/ballots/0", op: "add", value: {...}}
  ► election_administrator: []
  ▼ election_authority_0: Array(1)
    ► 0: {path: "/checkBallotTasks/0", op: "add", value: {...}}
  ► election_authority_1: []
  ► election_authority_2: []
  ► printing_authority: []
  ► voters: [{...}]

```

Figure 4.8: Initial full data sync

```

1  @main.route('/castVote', methods=['POST'])
2  @cross_origin(origin='*')
3  def castVote():
4      data = request.json
5      electionId = data["election"]
6      selection = data["selection"]
7      voterId = data["voterId"]
8      votingCode = data["votingCode"]
9
10     if len(selection) == 0:
11         return make_error(400, "Empty selection")
12
13     try:
14         sim = VoteSimulator(electionId) # prepare voteSimulator
15
16         sim.castVote(voterId, selection, votingCode) # perform action
17
18         patches = sim.persist() # persist modified state and retrieve JSON
19         ↪ patches
20
21         syncPatches(electionId, SyncType.ROOM, patches) # send the JSON
22         ↪ patches to all clients
23
24     except Exception as ex:
25         return make_error(500, str(ex))
26
27     return json.dumps({'result': 'success'})

```

The API can be reached by sending a HTTP(S) POST request to our webserver hosting the backend. The URL defines what function will be executed. For example: A POST request to `https://<server>:5000/castVote/` would call the above function. The required parameters are added in the POST body.

As a first step, parameters are extracted from the POST request and validated if necessary. As the next step, a `VoteSimulator` object is instantiated by passing the `electionId` to the constructor. The `VoteSimulator` will internally load the states of the corresponding election from the database and instantiate the actor objects such as the election authorities.

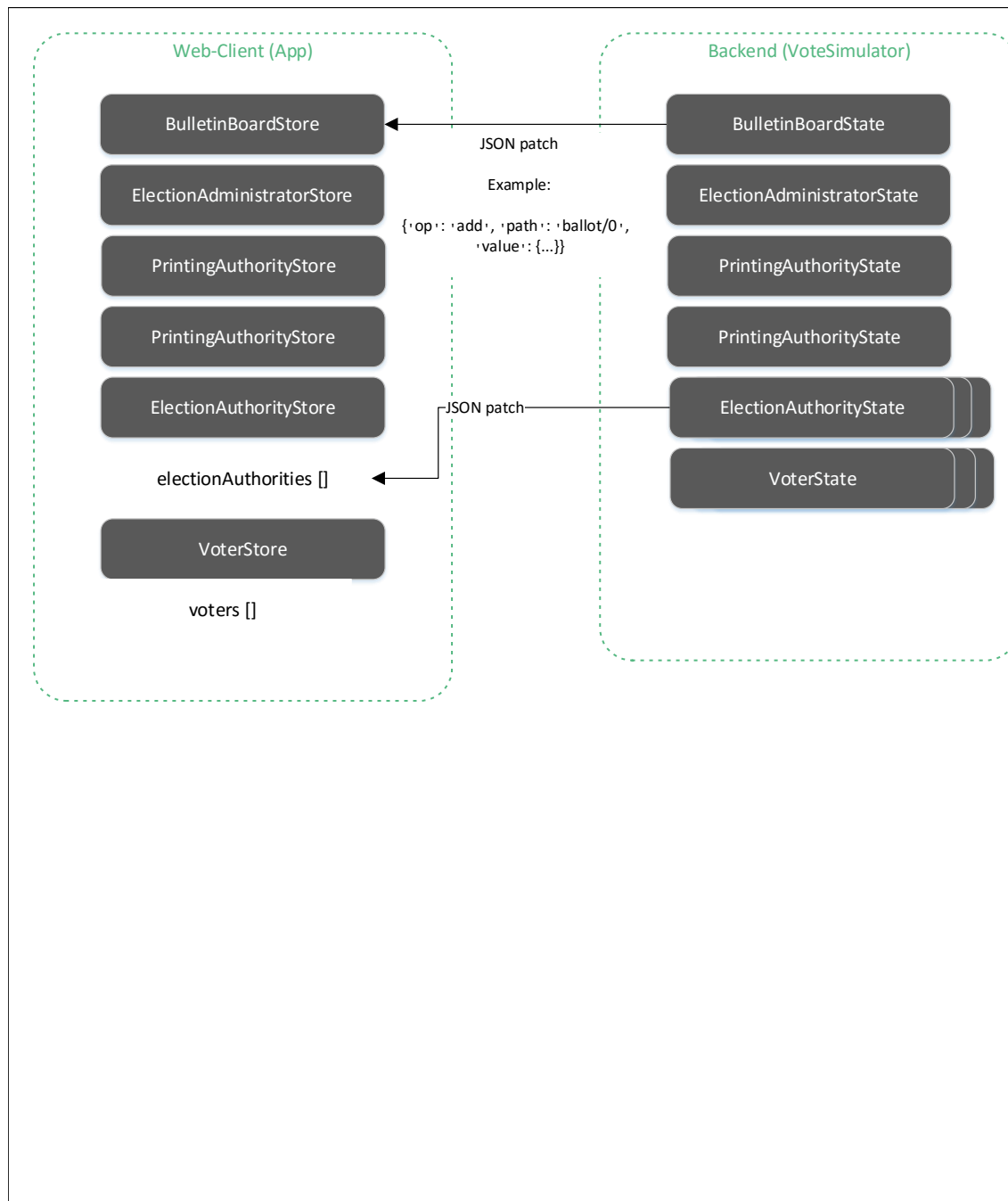


Figure 4.9: Data-Sync with JSON Patches

Now the VoteSimulator can execute the function which the user intended to call, for example "CastVote". By calling the function `persist()`, the new state is written to the database and the JSON Patches of all mutations are determined, returned and can be passed sent to all clients by calling the Data-Sync service.

The following sequence diagram shows how the vote casting use case is implemented within the backend.

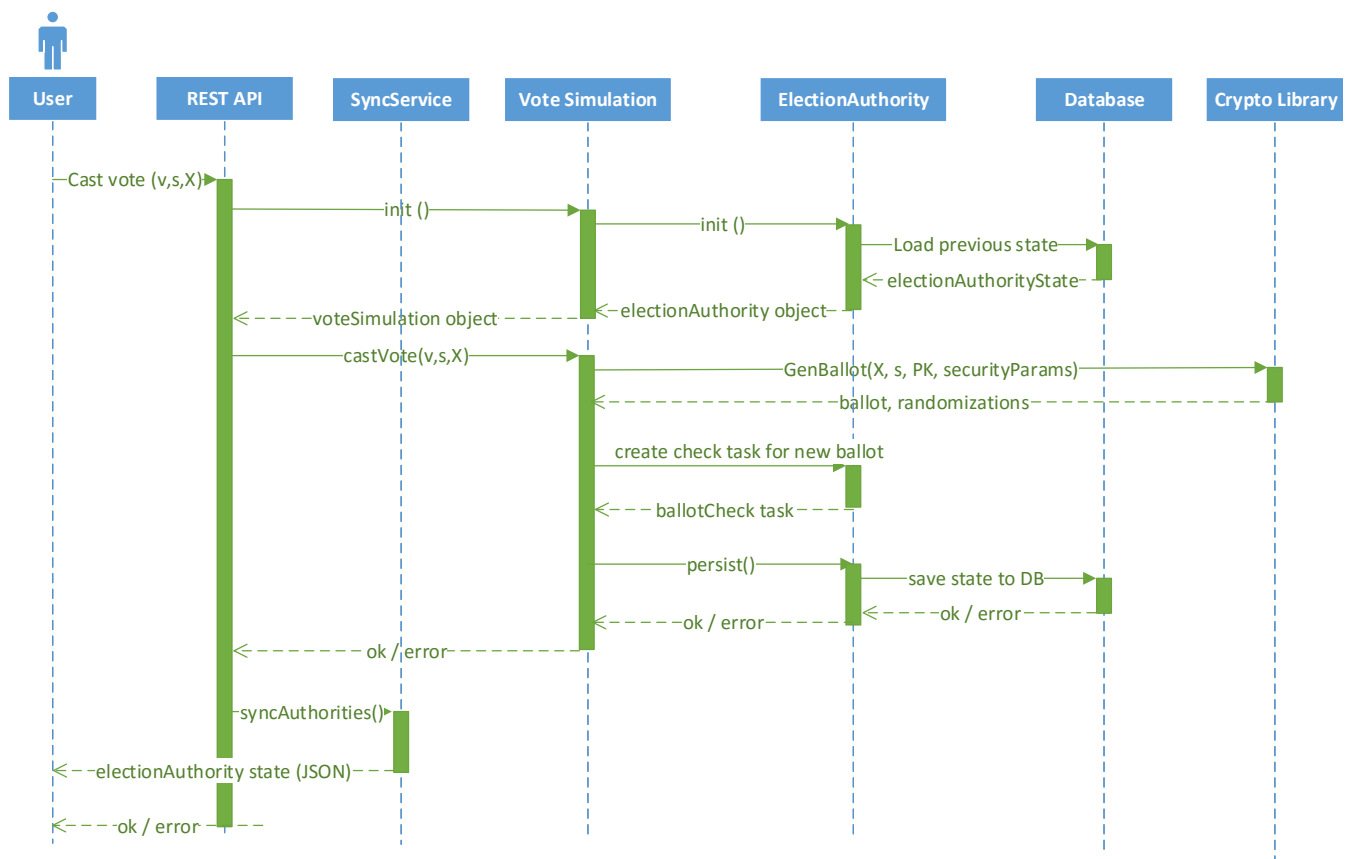


Figure 4.10: Vote casting sequence diagram

## 4.4 Crypto-library

### 4.4.1 File structure

We decided to put every algorithm of the specification in its own file together with related unit tests. The files are structured according to the actors of the protocol, for example:

- **Common:** contains common cryptographic algorithms and the security parameters used by multiple algorithms
- **ElectionAuthority:** contains all the algorithms used by the election authority
- **PrintingAuthority:** contains all the algorithms used by the printing authority
- **VotingClient:** contains all the algorithms used by the voting client

- **ElectionAdministration**: contains all the algorithms used by the election administrator
- **Utils**: contains helper classes and miscellaneous utility functions

#### 4.4.2 Public parameters

There exist two types of public parameters:

The **security relevant parameters**, e.g:

- The order of the prime groups:  $p, \ell p, \hat{p}$
- The length of the voting, confirmation, return and finalization codes
- The number of authorities:  $s$

and **public election parameters**, e.g.:

- The size of the electorate:  $N_E$
- The number of candidates:  $n$
- The list of candidate descriptions:  $c$

The security parameters are typically used within the algorithms and remain unchanged for a longer time period, whereas the public election parameters are only used by the protocol implementations and change with every election.

The object **SecurityParams** holds all security relevant parameters and is injected as an additional function argument to all algorithms. Several different **SecurityParams** objects are created initially, which contain all the parameters according to the recommendations in the CHVote specification document ("level 0" for testing purposes and "level 1" through "level 3" for actual use of the protocol). This approach allows us to use different levels of security during development of the algorithms and protocols. For simple unit testing we used "level 0" in order to inject the security parameters recommended for testing puposes. For actual test runs of the project the security parameters from "level 2" were used.

The public election parameters on the other hand are directly passed to the algorithms by the calling party. If an algorithm needs to know certain election parameters (like the size of the electorate  $N_E$ ), these values are typically derived from vectors that they have access to, so they do not require specific knowledge of these parameters.

### 4.4.3 Coding style

The following source code sample shows a typical implementation of an algorithm (in this example, algorithm 7.18 according to the CHVote specification).

```

1  import unittest
2  import os, sys
3  from gmpy2 import mpz
4  import gmpy2
5
6  sys.path.append(os.path.dirname(os.path.dirname(os.path.abspath(__file__))))
7
8  from Utils.Utils                import AssertMpz, AssertList, AssertClass,
   ↪   AssertString
9  from Crypto.SecurityParams      import SecurityParams, secparams_10
10 from Utils.ToInteger            import ToInteger
11 from VotingClient.GetSelectedPrimes import GetSelectedPrimes
12 from VotingClient.GenQuery      import GenQuery
13 from VotingClient.GenBallotProof import GenBallotProof
14 from UnitTestParams             import unittestparams
15 from Types                      import Ballot
16 from Utils.StringToInteger      import StringToInteger
17
18 def GenBallot(X_bold, s, pk, secparams):
19     """
20     Algorithm 7.18: Generates a ballot based on the selection s and the voting
   ↪   code X. The
21     ballot includes an OT query a and a proof pi. The algorithm also returns
   ↪   the random
22     values used to generate the OT query. These random values are required in
   ↪   Alg. 7.27
23     to derive the transferred messages from the OT response, which itself is
   ↪   generated by Alg. 7.25.
24
25     Args:
26         X_bold (str):                Voting Code  $X \in A_X^{l_X}$ 
27         s (list of int):             Selection  $s = (s_1, \dots, s_k), 1$ 
   ↪    $\leq s_1 < \dots < s_k$ 
28         pk (mpz):                   ElGamal key  $pk \in G_p \setminus \{1\}$ 
29         secparams (SecurityParams): Collection of public security
   ↪   parameters
30
31     Returns:
32         tuple:                        $\alpha = (r, \text{Ballot}) = (r, (x_{\text{hat}},$ 
   ↪   a, b, pi))
33     """

```



```

34
35     AssertMpz(pk)
36     AssertList(s)
37     AssertClass(secparams, SecurityParams)
38
39     x = mpz(StringToInteger(X_bold, secparams.A_X))
40     x_hat = gmpy2.powmod(secparams.g_hat, x, secparams.p_hat)
41
42     q_bold = GetSelectedPrimes(s, secparams)                # q = (q_1,
43     ↪ ... , q_k)
44     m = mpz(1)
45
46     for i in range(len(q_bold)):
47         m = m * q_bold[i]
48
49     if m >= secparams.p:
50         return None
51
52     (a_bold, r_bold) = GenQuery(q_bold, pk, secparams)
53     a = mpz(1)
54     r = mpz(0)
55
56     for i in range(len(a_bold)):
57         a = (a * a_bold[i]) % secparams.p
58         r = (r + r_bold[i]) % secparams.q
59
60     b = gmpy2.powmod(secparams.g, r, secparams.p)
61     pi = GenBallotProof(x, m, r, x_hat, a, b, pk, secparams)
62     alpha = Ballot(x_hat, a_bold, b, pi)
63
64     return (alpha, r_bold)
65
66 class GenBallotTest(unittest.TestCase):
67     def testGenBallot(self):
68         selection = [1,4]                # select candidates with indices 1,4
69         (ballot, r) = GenBallot(unittestparams.X, selection,
70         ↪ unittestparams.pk, secparams_l0)
71         print(ballot)
72         print(r)
73
74 if __name__ == '__main__':
75     unittest.main()

```

All algorithms contain a short description, which was taken as-is from the specification document, as well as a comment (Google-style documentation string), which can be used to automatically generate code documentation. The algorithm itself is implemented as close to the

specification as possible, using the same variable names and (as far as the language supports it) similar control structures:

- The suffix `_bold` for emphasized (bold) variables, e.g. `p_bold` for **p**
- The suffix `_hat` for variables with a hat, e.g. `a_hat` for  $\hat{a}$
- The suffix `_prime` for variables with a prime, e.g. `a_prime` for  $a'$
- etc.

Each file also contains unit test relevant to the specific algorithm (if unit testing was considered useful for the particular algorithm).

The following example shows the similarities between the algorithm pseudo code and the actual implementation in Python:

**Algorithm:** `GenBallot( $X, s, pk$ )`

**Input:** Voting code  $X \in A_X^{\ell_X}$   
 Selection  $s = (s_1, \dots, s_k)$ ,  $1 \leq s_1 < \dots < s_k$   
 Encryption key  $pk \in \mathbb{G}_q \setminus \{1\}$

$x \leftarrow \text{ToInteger}(X)$   
 $\hat{x} \leftarrow \hat{g}^x \bmod \hat{p}$   
 $q \leftarrow \text{GetSelectedPrimes}(s)$   
 $m \leftarrow \prod_{i=1}^k q_i$   
**if**  $m \geq p$  **then**  
  **return**  $\perp$   
 $(a, r) \leftarrow \text{GenQuery}(q, pk)$   
 $a \leftarrow \prod_{i=1}^k a_i \bmod p$   
 $r \leftarrow \sum_{i=1}^k r_i \bmod q$   
 $b \leftarrow g^r \bmod p$   
 $\pi \leftarrow \text{GenBallotProof}(x, m, r, \hat{x}, a, b, pk)$   
 $\alpha \leftarrow (\hat{x}, a, b, \pi)$   
**return**  $(\alpha, r)$

```
x = mpz(StringToInteger(X_bold, secparams.A_X))
x_hat = gmpy2.powmod(secparams.g_hat, x, secparams.p_hat)
q_bold = GetSelectedPrimes(s, secparams)

m = mpz(1)
for i in range(len(q_bold)):
    m = m * q_bold[i]

if m >= secparams.p:
    return None

(a_bold, r_bold) = GenQuery(q_bold, pk, secparams)
a = mpz(1)
r = mpz(0)

for i in range(len(a_bold)):
    a = (a * a_bold[i]) % secparams.p
    r = (r + r_bold[i]) % secparams.q

b = gmpy2.powmod(secparams.g, r, secparams.p)
pi = GenBallotProof(x, m, r, x_hat, a, b, pk, secparams)
alpha = Ballot(x_hat, a_bold, b, pi)

return (alpha, r_bold)
```

#### 4.4.4 Return types

In most cases, when an algorithm returns more than a scalar datatype, tuples are used. Tuples allow to return multiple values from a function:

```
1 def foo():
2     return (1, 2)
3
4 def main():
5     a, b = foo()
```

This way a lot of the source code looked very similar to the pseudo code in the CHVote

specification. For more complex data types or return values that are used more often, named tuples were used. The data type "namedtuple" is like a lightweight class and allows access to named properties.

```
1 Ballot = namedtuple("Ballot", "x_hat, a_bold, b, pi")
2
3 def main():
4     Ballot b = getBallot()
5     x_hat = b.x_hat
```

By following this approach we can avoid having lots of container classes only used to pass data structures between the algorithms.

## 4.5 Frontend

Our frontend is a singlepage application built with Javascript, VueJS, HTML5 and CSS3. We tried to follow the design patterns and best practices proposed by the VueJS framework wherever possible.

Every site of our applications consists of at least one VueJS component which is activated when the user visits the corresponding route in the URL.

### 4.5.1 Datastore

The web application's datastore is divided into multiple modules, one datastore module for each corresponding state of the backend.

TODO: Grafik die zeigt, wie Vuex Datastores mit Backend States zusammenhängen

### 4.5.2 Websocket room concept

TODO: Konzept mit den Rooms / Election IDs beschreiben

### 4.5.3 Development Environment

TODO: Webpack, ESLint etc. beschreiben



## 5 Conclusion

During the first few weeks we felt as if we have been thrown into cold water. Reading and understanding the protocol wasn't easy at first, because we had to get used to the notation and memorize a large amount of variables used by the many algorithms. While some of the cryptographic primitives were taught in previous courses, most of them were new and unknown to us. We focused on getting a good understanding of the protocol on a higher level rather than learning about each and every algorithm in detail, as this was sufficient for implementing and understanding the protocol.

Additionally, programming algorithms isn't something we are doing on a daily basis. Therefore, the first few algorithms took us quite some time to implement. After a few weeks, we could greatly increase our productivity and in the end, we could implement even the larger algorithms in not much more time than the simple ones in the beginning of the project.

From our perspective, the project has been extremely interesting and we are still impressed by the ideas presented and specified in the CHVote specification. From simply implementing the protocol we could learn a lot about the CHVote protocol and E-Voting in general and could improve both our knowledge of more advanced cryptographic topics and get practise in implementing cryptographic algorithms.

### 5.1 Python drawbacks

During the project we have experienced a few issues with the programming language that we used to implement the specification in, Python. In particular, we have observed the following issues:

- Performance issues due to Python being an interpreted language
- Function overhead: function calls in Python seem to be quite slow
- Strongly dynamic typing vs. static typing: the Python interpreter needs to inspect every single object during run time (be it an integer or a more complex object)
- The *BigInteger* library surprisingly isn't as fast as using directly the GMP library
- Larger projects tend to turn out messy
- Little to no standard documentation regarding project structure

- No real standard for unit testing, documentation generation etc.

For detailed information regarding the performance issues that we have experienced see [2] and [3]. Based on the reasons above we would not recommend to use Python for the use in similar or larger project. Python is indeed a very handy language to write quick prototypes and proof of concepts, but issues become more frequent in larger projects.

# Bibliography

- [1] "CHVote System Specification", by Rolf Haenni, Reto E. Koenig, Philipp Locher and Eric Dubuis, April 11, 2017.
- [2] "Why Python is Slow: Looking Under the Hood", by Jake VanderPlas, see <http://jakevdp.github.io/blog/2014/05/09/why-python-is-slow/>
- [3] "Python speed: performance tips", from the official Python wiki, see <https://wiki.python.org/moin/PythonSpeed/PerformanceTips>





## 6 Appendix

### 6.1 Use Cases

Table 6.1: Use Case «Create new election»

Use Case	Create new election
Primary Actor	User
Description	The system allows to create new elections
Precondition	The system shows the available elections in a list
Main path (M)	<ol style="list-style-type: none"> <li>1. User clicks on "create election"</li> <li>2. System demands a name for the election</li> <li>3. User is redirected to the election overview page</li> </ol>

Table 6.2: Use Case «Set up election»

Use Case	Set up election
Primary Actor	Election Administrator
Description	The election administrator can set up the election. This involves the generation of the cryptographic electorate data in the backend
Precondition	An new election has been created
Postcondition	The election has the status "Printing"
Main path (M)	<ol style="list-style-type: none"> <li>1. Election Administrator visits the "Election Administrator"-view of a new election.</li> <li>2. The system demands the following information: <ul style="list-style-type: none"> <li>• Number of parallel elections</li> <li>• Candidates per election</li> <li>• Number of possible selections per election event</li> <li>• Number of voters</li> <li>• Counting circles of the voters</li> </ul> </li> <li>3. User clicks on "Generate"</li> </ol>

Table 6.3: Use Case «Printing of voting cards»

Use Case	Printing of voting cards
Primary Actor	Printing Authority
Description	The printing authority generates voting cards
Precondition	The election has the status "‘Printing”’
Postcondition	The election has the status "‘Delivery”’
Main path (M)	<ol style="list-style-type: none"> <li>1. The election administrator visits the "‘Printing Authority”’-view of an election.</li> <li>2. The election administrator clicks on "‘Print Voting Cards”’</li> <li>3. A list of all voters is displayed</li> <li>4. The election administrator can select a voter to see his voting card</li> </ol>

Table 6.4: Use Case «Delivery of voting cards»

Use Case	Delivery of voting cards
Primary Actor	Printing Authority
Description	The printing authority can send the voting cards to the voters
Precondition	The election has the status "‘Delivery”’
Postcondition	The election has the status "‘Election Phase”’
Main path (M)	<ol style="list-style-type: none"> <li>1. The election administrator visits the "‘Printing Authority”’-view of an election.</li> <li>2. The election administrator clicks on "‘Deliver Voting Cards”’</li> <li>3. Within the voters-view, the voting card shows up for every voter</li> </ol>

## 6.2 Journal

### 6.2.1 Week 1

### 6.2.2 Kickoff Meeting

During our kickoff meeting we discussed the possibilities of our bachelor thesis based on the spadework of the previous "project 2" module and broke them down into two options: A realistic prototype of the whole CHVote system which includes everything a real implementation would need, like signatures, channel security, distributed election authorities with docker etc., or to build a demonstrator tool (an application that allows to demonstrate the functionality of the chVote protocol in a more visual manner).

Table 6.5: Use Case «Confirmation of a vote»

Use Case	Confirmation of a vote
Primary Actor	Voter
Description	The voter can confirm his vote by verifying the verification codes and entering his confirmation code
Precondition	<ul style="list-style-type: none"> <li>• The election has the status "Election Phase"</li> <li>• A voter is selected in the "Voter"-view</li> <li>• The voter has the status "Confirmation Phase"</li> </ul>
Postcondition	The first election authority receives a "Check-confirmation task"
Main path (M)	<ol style="list-style-type: none"> <li>1. The voter visits the "Voter"-view and select his voter object</li> <li>2. The system displays the verification codes of the selected candidates</li> <li>3. The voter must manually verify that the displayed codes match the verification codes of the selected candidates on his voting card</li> <li>4. The system demands the confirmation code</li> <li>5. The voter clicks on "Confirm vote"</li> </ol>

### 6.2.3 Week 2

In week 2 we have made the decision to build the demonstrator mainly because the final product would potentially be more attractive visually than a prototype where the main work lies in the background which is not visible to an outsider. We have also started thinking about what technologies and frameworks to use and to build a few sketches and mockups to have some basis for discussion for our next meeting.

We have also started updating our implementation of the CHVote crypto-library to the latest specification. Since we ran into a few problems, this took us almost the whole week.

### 6.2.4 Reflexion

At this stage we have yet been very unsure about how the application should look like, what audience we should have as our main target and what functionality the application should offer.

Table 6.6: Use Case «Checking a ballot»

Use Case	Checking a ballot
Primary Actor	Election Authority
Description	The election authority can verify the validity of a ballot and respond to the voters query
Precondition	<ul style="list-style-type: none"> <li>• The election has the status "Election Phase"</li> <li>• The currently selected election authority has a new "Check ballot task"</li> </ul>
Postcondition	<ul style="list-style-type: none"> <li>• The next election authority receives a "Check ballot task"</li> <li>• If this election authority was the last one, and the ballot was valid, the voter now has the status "Confirmation Phase"</li> </ul>
Main path (M)	<ol style="list-style-type: none"> <li>1. The user visits the "Election Authority"-view and select one of the available election authorities that has new "Check ballot task"</li> <li>2. The system displays the query, the ballot proof and the voting credential of the voter</li> <li>3. The user click on "Check validity"</li> <li>4. The system displays the result of the validity check</li> <li>5. The user clicks on "Respond"</li> </ol>

### 6.2.5 Week 3

During our second meeting we discussed the further elaborated the goals, the structure of our project and talked about the audience.

- In essence, the application should allow to demonstrate a chVote election from the view of every party participating in the election process.
- The application should be a real-time webapp that updates the views automatically as soon as something changes and without having to reload the page

### 6.2.6 Week 4

In the fourth week we continued describing the goals and further worked on the system architecture. We also made some first experiences with the envisaged frameworks and technologies (VueJS, socket.io, Flask, MongoDB).

Table 6.7: Use Case «Checking a confirmation»

Use Case	Checking a confirmation
Primary Actor	Election Authority
Description	The election authority can verify the validity of a confirmation and respond to the voters query
Precondition	<ul style="list-style-type: none"> <li>• The election has the status "Election Phase"</li> <li>• The currently selected election authority has a new "Check ballot task"</li> </ul>
Postcondition	<ul style="list-style-type: none"> <li>• The next election authority receives a "Check confirmation task"</li> <li>• If this election authority was the last one, and the confirmation was valid, the voter now has the status "Finalization Phase"</li> </ul>
Main path (M)	<ol style="list-style-type: none"> <li>1. The user visits the "Election Authority"-view and select one of the available election authorities that has new "Check confirmation task"</li> <li>2. The system displays information about the confirmation</li> <li>3. The user click on "Check validity"</li> <li>4. The system displays the result of the validity check</li> <li>5. The user clicks on "Finalize"</li> </ol>

### 6.2.7 Reflexion

- working with socket.io and VueJS has been very intuitive and looked very promising and suitable for our project
- We were not yet sure whether or not mongoDB is the right technology for our needs.

During prototyping, we observed that our first architecture approach of doing everything over websockets, turned out to be a bad decision.

### 6.2.8 Week 5

In the fifth week we started with the real implementation.

Table 6.8: Use Case «Mixing»

Use Case	Mixing
Primary Actor	Election Authority
Description	Every election authority can perform the mixing on the extracted list of encryptions
Precondition	<ul style="list-style-type: none"> <li>• The election has the status "Mixing"</li> <li>• The previous election authority has already performed the mixing</li> </ul>
Postcondition	<ul style="list-style-type: none"> <li>• The next election authority is able to mix</li> </ul>
Main path (M)	<ol style="list-style-type: none"> <li>1. The user visits the "Election Authority"-view and select one of the available election authorities that hasn't mixed before</li> <li>2. The system displays the list of encryptions of the previous election authority (or the first one in case the first election authority is selected)</li> <li>3. The user clicks on "Mix"</li> <li>4. The new, mixed list of encryptions is added to the known data of this election authority</li> </ol>

### 6.2.9 Reflexion

- working with socket.io and VueJS has been very intuitive and looked very promising and suitable for our project
- We were not yet sure whether or not mongoDB is the right technology for our needs.

During prototyping, we observed that our first architecture approach of doing everything over websockets, turned out to be a bad decision.

Table 6.9: Use Case «Decryption»

Use Case	Decryption
Primary Actor	Election Authority
Description	Every election authority can perform the (partial) decryption
Precondition	<ul style="list-style-type: none"> <li>• The election has the status "«Decryption»"</li> <li>• The previous election authority has already performed the decryption</li> </ul>
Postcondition	<ul style="list-style-type: none"> <li>• The next election authority is able to decrypt</li> </ul>
Main path (M)	<ol style="list-style-type: none"> <li>1. The user visits the "«Election Authority»"-view and select one of the available election authorities that hasn't decrypted before</li> <li>2. The system displays the list of encryptions</li> <li>3. The user clicks on "«Decrypt»"</li> <li>4. The list of partial decryptions is added to the known data of this election authority</li> </ol>

Table 6.10: Use Case «Tallying»

Use Case	Tallying
Primary Actor	Election Administrator
Description	The election administrator can perform the tallying and view the final result
Precondition	The election has the status "«Tallying»"
Postcondition	The has the status "«Finished»"
Main path (M)	<ol style="list-style-type: none"> <li>1. The user visits the "«Election Administrator»"-view</li> <li>2. The user clicks on "«Tally»"</li> <li>3. The final result is added to the known data of the election administrator</li> </ol>