

### BERN UNIVERSITY OF APPLIED SCIENCES (BFH)

### PROJECT II

# CHVote prototype in Python

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### Introduction

### 1.1 Electronic voting

Since 2015 it has been possible for Swiss citizens registered in the cantons Geneva and Neuchâtel and living abroad, to vote electronically. However, these systems have not yet met the requirements in terms of security and transparency to be accepted as a secure electronic voting platform on a nationwide scale.

One of the requirements which is particularly difficult to achieve is that the system must protect voter's privacy while at the same time it must be verifiable that only votes have been counted.

A contract was formed between the state of Geneva and the Bern University of Applied Sciences (BFH) to work out a new protocol which does meet the complex requirements set up by the government. Some of the concepts behind this protocol are based on a Norwegian e-voting system. In 2017, the resulting specification document was officially published and the protocol proved to be working correctly by the proof of concept implementation developed by the state of Geneva.

### 1.2 Project task

The task of "Project II" was to implement all the algorithms specified by the "CHVote System Specification" document [1]. In addition, a primitive protocol implementation should be built which combines all the algorithms in order to simulate a small electronic election event. Aside from the programming part, building knowledge about e-voting and specifically the CHVote specification and its algorithms was the main task which enabled us to further work on this topic as part of our bachelor thesis.

<sup>&</sup>lt;sup>1</sup>BFH module BTI7302, see https://www.ti.bfh.ch/fileadmin/modules/BTI7302-en.xml

Another goal of our project was to prove that the CHVote specification can be implemented regardless of the programming language. Therefore, we decided to use a programming language that is different from the one used by the team in Geneva. While the state of Geneva has put a lot of emphasis on the performance of the system, performance is not of great relevance for our project.

### Introduction to CHVote

#### 2.1 Protocol

As pointed out earlier, one of the big challenges the protocol is trying to solve is the verifiability of the voting result while still ensuring the privacy of all voters. Another big problem e-voting systems are facing is the risk of a voting client being infected by malware which manipulates casting of a vote without the voters notice. Both of these issues are addressed by the use of modern cryptography.

### 2.2 The basic idea of the CHVote protocol

Before the actual election, voting sheets are generated and printed for the whole electorate and delivered to the voters by a trusted mailing service. The voting sheets contain several codes, namely:

- voting code
- confirmation code
- finalization code
- one verification code for every candidate

The voting and confirmation code are authentication codes used to authenticate the voter.

The voter first selects candidates by entering their indices. The voting client then forms a ballot containing the voters selection encrypted with the authorities public key and authenticated with the voters personal voting code. Additionally, the ballot contains a query that queries the authorities for the corresponding verification codes of the selected candidates, without the server knowing which candidates the voter has selected. The voter then checks if the returned

verification codes match the codes of the candidates he has chosen on the printed voting sheet. If the selection was somehow manipulated by malware, the returned verification codes would not match the printed ones and the voter would have to abort the vote casting process. This way the integrity of the vote casting can be assured even in the presence of malware. Privacy on the other hand cannot be protected since the malware will learn the plaintext of the voter's selection.

In order to verify that a voter has formed the ballot correctly by choosing exactly the number of candidates he is supposed to choose, the following trick is being used: the verification codes are derived from  $n = \sum_{n=1}^{t} n_j$  random points on t polynomials (one for every election event j) of degree  $k_j - 1$ , that each election authority has chosen randomly prior to the election. By learning exactly  $k = \sum_{n=1}^{t} k_j$  points on these polynomials, the voting client is able to reproduce these polynomials and therefore is able to calculate a particular point with x = 0 on these polynomials. The corresponding y values are incorporated into the second voting credential from which the confirmation code is derived. Only if the voter knows these values (by submitting a valid candidate selection), he will be able to confirm the vote that he casted.

Since there is still a connection between the encrypted ballot and the voter at this point, the encrypted candidate selection is extracted from the ballots before tallying. After that, every authority is shuffling/mixing these encryptions in order to make it impossible to find out which voter has submitted which encrypted ballot. This mixing of the encrypted votes is done by using the homomorphic property of the ElGamal encryption scheme. Re-encryption of the ballots multiplied with the neutral element 1 yields a new ciphertext for the same plaintext.

The public key that is used for encryption has been generated jointly by all authorities. Therefore in order to decrypt the result, all authorities must provide their share of the private key. The measure of multiple authorities participating in the whole e-voting process ensures the security of the whole election even if only one authority can be trusted.

## **Project**

### 3.1 Language choice

As both team members are working in different fields of employment and are experienced in different programming languages, there wasn't an obvious choice as in what programming language we would implement this project. Also, as Java has already been used by the team in Geneva, Java was out of question. Different programming languages have been taken into consideration and in the end Python seemed like a rather suitable language due to the following reasons:

- simple syntax and commonly known language features
- mature language and standard libraries
- python's syntax enables programs to be written in a compact and readable style
- native support for large integers (BigInts) and bindings for the GMP<sup>1</sup> library
- supports a lot of platforms
- many popular web development frameworks are implementated in Python

Throughout the project not all of the reason above turned out to be true or ideal. The drawbacks that we have experienced during the implementation of this project will be discussed at the end of this document.

<sup>&</sup>lt;sup>1</sup>GMP is a free library for arbitrary precision arithmetic, operating on signed integers, rational numbers, and floating-point numbers, see https://gmplib.org/.

#### 3.2 Project method

The first step was to get a basic understanding of the CHVote protocol by reading the specification document and refreshing our knowledge about the cryptographic primitives used in the protocol. We decided to follow the "learning by doing" approach: while implementing an algorithm, we tried to understand how it works and how it interacts with other algorithms.

Before starting with the implementation, we created a timetable in order to track the project's progress. The idea of the timetable was to keep track of each individual algorithm and its implementation status, as well as appropriate unit tests and the review status of each algorithm. We made sure that all algorithms were reviewed by a different person than the one who implemented it. This way we were able to eliminate a lot of careless mistakes.

The following table is an excerpt from the timetable that we used, displaying the first algorithms that we implemented in an early stage of the project.

Project schedule for "Projekt 2" (BTI7302) -	chV	ote	Э																		
Supervisor: Rolf Haenni																					
Students: Kevin Häni, Yannick Denzer	_																				
									enda										Review		
Project tasks		08	09	10	11	12	13 1	4 1	5 16	17	7 18	19	20	21	22	23	24	Progress (%)	Häni	Denzer	Comments / issues
Einarbeit																					
Spezifikation lesen und verstehen	soll ist				_				+	+								- 60			
										_		_	-	_							l
Implementation: Type Conversion and Hash Algorithms																					
Algorithm 4.1: MarkByteArray(B, m, m_max)	soll ist				I	Ŧ	Ŧ	Ŧ	F	F		F	F					100	ok	ok	Previously known as "MergeByteArrays
Algorithm 4.1: Tests	soll					1	1											100	ok		
Algorithm 4.2: SetBit(B, i, b)	soll					1												100	ok	ok	Previously known as "MergeBytes"
7 ugonum 1.2. Gotba(C, 1, 5)	ist					+	4	-	+	+	+	₩									
Algorithm 4.2: Tests	soll ist						+	+										- 80	ok	ok	
Algorithm 4.3: ToByteArray(x)	soll ist				$\neg$		4	-		F	F							100	ok	ok	
Algorithm 4.3: Tests	soll				7	1	1		ļ	F								70			
	ist soll				$\dashv$	+	+	+	+	+	+	$\vdash$									
Algorithm 4.4: ToByteArray(x, n)	ist					+	+	+		+								100	ok	ok	
Algorithm 4.4: Tests	soll ist				4		1	1		F								50	ok	ok	
Algorithm 4.5: ToInteger(B)	soll						#	1	t	t								100	ok	ok	
	ist soll				$\dashv$	+	+	+	+	+	+	$\vdash$									
Algorithm 4.5: Tests	ist						1	1	$\pm$									50			
Algorithm 4.6: ToString(x, k, A)	soll ist				+	+	+	+	+	+								100	ok	ok	
Algorithm 4.6: Tests	soll				1	1	1	1				L						100	ok		
Algorithm 4.7: ToInteger(S, A)	soll					_	1	1		t	Ł	L	L					100	ok	ok	
Algorithm 4.7. Tolliteger(5, A)	ist				$\Box$	I	T	T										100	UK	UK UK	
Algorithm 4.7: Tests	soll ist						+	+	+	+	+	+	$\vdash$					100	ok	ok	
Algorithm 4.8: ToString(B, A)	soll				7	1	#	1	I			L						100			

## Implementation Details

### 4.1 Project structure

We decided to put every algorithm of the specification in its own file together with related unit tests. The files are structured according to the actors of the protocol, for example:

- Common: contains common cryptopgraphic algorithms and the security parameters used by multiple algorithms
- Election Authority: contains all the algorithms used by the election authority
- PrintingAuthority: contains all the algorithms used by the printing authority
- VotingClient: contains all the algorithms used by the voting client
- Election Administration: contains all the algorithms used by the election administrator
- Utils: contains helper classes and miscellaneous utility functions
- **Protocol**: contains the protocol implementation
- profiles: contains JSON files that are used to define election parameters

### 4.2 Public parameters

There exist two types of public parameters:

The security relevant parameters, e.g.:

• The order of the prime groups: p, p,  $\hat{p}$ 

- The length of the voting, confirmation, return and finalization codes
- The number of authorities: s

#### and public election parameters, e.g.:

- The size of the electorate:  $N_E$
- $\bullet$  The number of candidates: n
- The list of candidate descriptions: c

The security parameters are typically used within the algorithms and remain unchanged for a longer time period, whereas the public election parameters are only used by the protocol implementations and change with every election.

The object SecurityParams holds all security relevant parameters and is injected as an additional function argument to all algorithms. Several different SecurityParams objects are created initially, which contain all the parameters according to the recommendations in the CHVote specification document ("level 0" for testing purposes and "level 1" through "level 3" for actual use of the protocol). This approach allows us to use different levels of security during development of the algorithms and protocols. For simple unit testing we used "level 0" in order to inject the security parameters recommended for testing puposes. For actual test runs of the project the security parameters from "level 2" were used.

The public election parameters are defined in a JSON file and simply read as an object which is directly accessed by the protocol. If an algorithm needs to know certain election parameters (like the size of the electorate  $N_E$ ), these values are typically derived from vectors that they have access to, so they do not require specific knowledge of these parameters.

The following is a exmaple for the contents of a JSON file containing all the parameters:

```
{
1
      "autoGenerateVoters" : true,
2
     "numberOfVotersToGenerate" : 50,
3
     "voters" : [
4
        {
5
          "name" : "Voter1",
6
          "selection" : "1,5"
        },
        {
          "name" : "Voter2",
10
          "selection" : "0,5"
11
        },
12
13
          "name": "Voter3",
14
          "selection": "0,5"
15
        }
16
```

```
],
17
    "t" : 2,
    "n" : [5, 3],
19
    "c" : ["Hillary Clinton", "Donald Trump", "Vladimir Putin", "Marine Le Pen",
20
     "k" : [1, 1],
21
    "E" : [[1, 1], [1, 1], [1, 1]],
22
    "securityLevel" : 2,
23
    "deterministicRandomGeneration" : false
  }
25
```

#### 4.3 Coding style

The following source code sample shows a typical implemation of an algorithm (in this exmaple, algorithm 7.18 according to the CHVote specification).

```
import unittest
   import os, sys
   from gmpy2 import mpz
   import gmpy2
5
   sys.path.append(os.path.dirname(os.path.dirname(os.path.abspath(__file__))))
6
   from Utils.Utils
                                          import AssertMpz, AssertList, AssertClass,

→ AssertString

   from Crypto.SecurityParams
                                          import SecurityParams, secparams_10
                                          import ToInteger
   from Utils.ToInteger
10
   from VotingClient.GetSelectedPrimes import GetSelectedPrimes
11
   from VotingClient.GenQuery
                                          import GenQuery
12
   from VotingClient.GenBallotProof
                                          import GenBallotProof
13
   from UnitTestParams
                                          import unittestparams
14
                                          import Ballot
   from Types
15
   from Utils.StringToInteger
                                          import StringToInteger
16
   def GenBallot(X_bold, s, pk, secparams):
18
19
       Algorithm 7.18: Generates a ballot based on the selection s and the voting
20
    \hookrightarrow code X. The
       ballot includes an OT query a and a proof pi. The algorithm also returns
21
    \rightarrow the random
       values used to generate the OT query. These random values are required in
    \rightarrow Alg. 7.27
       to derive the transferred messages from the OT response, which itself is
23
       generated by Alg. 7.25.
```

```
24
        Args:
25
            X_bold (str):
                                                     Voting Code X \in A_X^l_X
26
                                                     Selection s = (s_1, \ldots, s_k), 1
            s (list of int):
27
        <= s_1 < ... < s_k
            pk (mpz):
                                                     ElGamal key pk \in G_p \setminus \{1\}
28
            secparams (SecurityParams):
                                                     Collection of public security
29
        parameters
30
        Returns:
31
                                                     alpha = (r, Ballot) = (r, (x_hat,
            tuple:
32
        a, b, pi)
        11 11 11
33
34
        AssertMpz(pk)
35
        AssertList(s)
36
        AssertClass(secparams, SecurityParams)
37
38
        x = mpz(StringToInteger(X_bold, secparams.A_X))
39
        x_hat = gmpy2.powmod(secparams.g_hat, x, secparams.p_hat)
40
41
                                                                            \# q = (q_1, q_2)
        q_bold = GetSelectedPrimes(s, secparams)
42
        \rightarrow ..., q_k
        m = mpz(1)
43
44
        for i in range(len(q_bold)):
45
            m = m * q_bold[i]
46
47
        if m >= secparams.p:
            return None
49
50
        (a_bold, r_bold) = GenQuery(q_bold, pk, secparams)
51
        a = mpz(1)
52
        r = mpz(0)
53
54
        for i in range(len(a_bold)):
55
            a = (a * a\_bold[i]) % secparams.p
56
            r = (r + r\_bold[i]) \% secparams.q
57
58
        b = gmpy2.powmod(secparams.g,r, secparams.p)
59
        pi = GenBallotProof(x,m,r,x_hat,a,b,pk, secparams)
60
        alpha = Ballot(x_hat,a_bold,b,pi)
        return (alpha, r_bold)
63
64
   class GenBallotTest(unittest.TestCase):
65
```

```
def testGenBallot(self):
66
            selection = [1,4]
                                      # select candidates with indices 1,4
            (ballot, r) = GenBallot(unittestparams.X, selection,
68
            → unittestparams.pk, secparams_10)
           print(ballot)
69
           print(r)
70
71
   if __name__ == '__main__':
72
       unittest.main()
73
```

All algorithms contain a short description, which was taken as-is from the specification document, as well as a comment (Google-style documentation string), which can be used to automatically generate code documentation. The algorithm itself is implemented as close to the specification as possible, using the same variable names and (as far as the language supports it) similar control structures:

- The suffix \_bold for emphasized (bold) variables, e.g. p\_bold for p
- The suffix \_hat for variables with a hat, e.g. a\_hat for  $\hat{a}$
- The suffix \_prime for variables with a prime, e.g. a\_prime for a'
- etc.

Each file also contains unit test relevant to the specific algorithm (if unit testing was considered useful for the particular algorithm).

The following example shows the similarities between the algorithm pseudo code and the actual implmentation in Python:

```
Algorithm: GenBallot(X, \mathbf{s}, pk)
                                                                            x = mpz(StringToInteger(X_bold, secparams.A_X))
                                                                            x_hat = gmpy2.powmod(secparams.g_hat, x, secparams.p_hat)
Input: Voting code X \in A_X^{\ell_X}
                                                                            q_bold = GetSelectedPrimes(s, secparams)
           Selection \mathbf{s} = (s_1, \dots, s_k), 1 \leq s_1 < \dots < s_k
           Encryption key pk \in \mathbb{G}_q \setminus \{1\}
                                                                            m = mpz(1)
                                                                            for i in range(len(q_bold)):
x \leftarrow \mathsf{ToInteger}(X)
                                                                                 m = m * q\_bold[i]
\hat{x} \leftarrow \hat{g}^x \mod \hat{p}
\mathbf{q} \leftarrow \mathsf{GetSelectedPrimes}(\mathbf{s})
                                                                            if m >= secparams.p:
m \leftarrow \prod_{i=1}^k q_i
                                                                                 return None
if m \geqslant p then
                                                                            (a_bold, r_bold) = GenQuery(q_bold, pk, secparams)
 \parallel return \perp
                                                                           r = mpz(0)
(\mathbf{a}, \mathbf{r}) \leftarrow \mathsf{GenQuery}(\mathbf{q}, pk)
a \leftarrow \prod_{i=1}^k a_i \bmod p
                                                                            for i in range(len(a_bold)):
r \leftarrow \sum_{i=1}^{k} r_i \bmod q
                                                                                 a = (a * a\_bold[i]) % secparams.p
                                                                                 r = (r + r\_bold[i]) \% secparams.q
b \leftarrow g^r \bmod p
\pi \leftarrow \mathsf{GenBallotProof}(x, m, r, \hat{x}, a, b, pk)
                                                                            b = gmpy2.powmod(secparams.g,r, secparams.p)
\alpha \leftarrow (\hat{x}, \mathbf{a}, b, \pi)
                                                                            pi = GenBallotProof(x,m,r,x_hat,a,b,pk, secparams)
                                                                            alpha = Ballot(x_hat,a_bold,b,pi)
return (\alpha, \mathbf{r})
                                                                            return (alpha, r_bold)
```

#### 4.3.1 Return types

In most cases, when an algorithm returns more than a scalar datatype, tuples are used. Tuples allow to return multiple values from a function:

```
def foo():
    return (1, 2)

def main():
    a, b = foo()
```

This way a lot of the source code looked very similar to the pseudo code in the CHVote specification. For more complex data types or return values that are used more often, named tuples were used. The data type "namedtuple" is like a lightweight class and allows access to named properties.

```
Ballot = namedtuple("Ballot", "x_hat, a_bold, b, pi")

def main():
    Ballot b = getBallot()
    x_hat = b.x_hat
```

By following this approach we can avoid having hundreds of classes only used to pass data structures between the algorithms.

#### 4.4 Protocol

Upon completion of the algorithm implementation we have built a protocol layer according to the specification. For that purpose we created a seperate entity for every protocol participant, namely the following ones:

- VotingClient
- ElectionAuthority
- BulletinBoard
- PrintingAuthority

The following example shows the first step of protocol 6.5:

```
def castVote(self, s, autoInput, secparams):
    self.pk = GetPublicKey(self.bulletinBoard.pk_bold,secparams)

X = input('Enter your voting code: ')
    (self.alpha, self.r) = GenBallot(X, s, self.pk, secparams)
```

```
return (self.alpha, self.r)
```

Finally, within a **VoteSimulation**, all these entities are instantiated and perform their protocol steps in order. The following example illustrates the vote casting phase:

```
votingClients = [VotingClient(i, self.voters[i], self.rawSheetData[i],

self.bulletinBoard) for i in range(len(self.voters))]

for votingClient in votingClients:

# Get selection (protocol 6.4)

s = votingClient.candidateSelection(autoInput, self.secparams)

# Generate ballot & send oblivious transfer query (protocol 6.5)

(ballot, r) = votingClient.castVote(s, autoInput, self.secparams)

# Generate oblivious transfer response & check ballot (protocol 6.5)

responses = [(authority.name, authority.runCheckBallot(votingClient.i,

ballot, self.secparams)) for authority in self.authorities]

for res in responses: print("Ballot validity checked by authority %s: %r"

% (res[0], res[1]))
```

### Conclusion

During the first few weeks we felt as if we have been thrown into cold water. Reading and understanding the protocol wasn't easy at first, because we had to get used to the notation and memorize a large amount of variables used by the many algorithms. While some of the cryptographic primitives were taught in previous courses, most of them were new and unknown to us. We focused on getting a good understanding of the protocol on a higher level rather than learning about each and every algorithm in detail, as this was sufficient for implementing and understanding the protocol.

Additionally, programming algorithms isn't something we are doing on a daily basis. Therefore, the first few algorithms took us quite some time to implement. After a few weeks, we could greatly increase our productivity and in the end, we could implement even the larger algorithms in not much more time than the simple ones in the beginning of the project.

From our perspective, the project has been extremely interesting and we are still impressed by the ideas presented and specified in the CHVote specification. From simply implementing the protocol we could learn a lot about the CHVote protocol and E-Voting in general and could improve both our knowledge of more advanced cryptographic topics and get practise in implementing cryptographic algorithms.

#### 5.1 Python drawbacks

During the project we have experienced a few issues with the programming language that we used to implement the specification in, Python. In particular, we have observed the following issues:

- Performance issues due to Python being an interpreted language
- Function overhead: function calls in Python seem to be quite slow
- Strongly dynamic typing vs. static typing: the Python interpreter needs to inspect every

single object during run time (be it an integer or a more complex object)

- The BigInteger library surprisingly isn't as fast as using directly the GMP library
- Larger projects tend to turn out messy
- Little to no standard documentation regarding project structure
- No real standard for unit testing, documentation generation etc.

For detailed information regarding the performance issues that we have experienced see [2] and [3]. Based on the reasons above we would not recommend to use Python for the use in similar or larger project. Python is indeed a very handy language to write quick prototypes and proof of concepts, but issues become more frequent in larger projects.

### 5.2 Next steps

Now that a prototype has been implemented according to the CHVote specification, the next step will be to figure out a specific project idea for our bachelor thesis. Some ideas came up during our final presentation of the project, but the exact assignment will still have to be defined.

# Bibliography

- [1] "CHVote System Specification", by Rolf Haenni, Reto E. Koenig, Philipp Locher and Eric Dubuis, April 11, 2017.
- [2] "Why Python is Slow: Looking Under the Hood", by Jake VanderPlas, see http://jakevdp.github.io/blog/2014/05/09/why-python-is-slow/
- [3] "Python speed: performance tips", from the official Python wiki, see https://wiki.python.org/moin/PythonSpeed/PerformanceTips