

Staging as a Mechanism for Algorithm Derivation

Nicolas Feltman

October 18, 2013

1 Introduction

[Not done.]

2 λ^{12} Definition

In this section I define λ^{12} , a two-stage language. Throughout this document, I will use $\mathbb{1}$ and $\mathbb{2}$ to refer to the two stages.

2.1 Syntax

A grammar for the terms, types, and contexts of λ^{12} is shown in Figure 1. Although both stages of λ^{12} contain products, sums, and functions, I have chosen to separate the stages syntactically to emphasize that this need not be the case.

You'll notice that there are three separate mechanisms by which one stage can refer to another: **next**, **prev**, and **hold**. Specifically, **next** allows a stage $\mathbb{1}$ term to reference computation that will occur in the future, at stage $\mathbb{2}$, whereas **prev** and **hold** allow a stage $\mathbb{2}$ computation to refer back to stage $\mathbb{1}$. The precise meaning of these constructs will be explored in future sections.

2.2 Static Semantics

[Not done.]

2.3 Dynamic Semantics

The evaluation is given in Figure 3. Were it complete, it would contain a definition of values (which we predict to be unchanged by the staging system), and a big-step semantics relating terms to values. The big-step evaluation should be indexed by the stage at which it completes. That is, we have both $\Downarrow_{\mathbb{1}}$ and $\Downarrow_{\mathbb{2}}$. Also, the bigstep semantics will reflect that speculation occurs down both branches of a case as part of $\Downarrow_{\mathbb{1}}$ reduction.

Figure 1: λ^{12} Syntax

$\langle 1\text{-type} \rangle ::= \text{unit}$ $\quad \langle 1\text{-type} \rangle \times \langle 1\text{-type} \rangle$ $\quad \langle 1\text{-type} \rangle + \langle 1\text{-type} \rangle$ $\quad \langle 1\text{-type} \rangle \rightarrow \langle 1\text{-type} \rangle$ $\quad \bigcirc \langle 2\text{-type} \rangle$	$\langle 2\text{-type} \rangle ::= \text{unit}$ $\quad \langle 2\text{-type} \rangle \times \langle 1\text{-type} \rangle$ $\quad \langle 2\text{-type} \rangle + \langle 2\text{-type} \rangle$ $\quad \langle 2\text{-type} \rangle \rightarrow \langle 2\text{-type} \rangle$
$\langle 1\text{-exp} \rangle ::= \lambda \langle var \rangle : \langle 1\text{-type} \rangle . \langle 1\text{-exp} \rangle$ $\quad \langle var \rangle$ $\quad \langle 1\text{-exp} \rangle \langle 1\text{-exp} \rangle$ $\quad ()$ $\quad (\langle 1\text{-exp} \rangle, \langle 1\text{-exp} \rangle)$ $\quad \pi_1 \langle 1\text{-exp} \rangle \mid \pi_2 \langle 1\text{-exp} \rangle$ $\quad \iota_1 \langle 1\text{-exp} \rangle \mid \iota_2 \langle 1\text{-exp} \rangle$ $\quad \text{case } \langle 1\text{-exp} \rangle \text{ of}$ $\quad \quad \langle var \rangle . \langle 1\text{-exp} \rangle \text{ '}' \langle var \rangle . \langle 1\text{-exp} \rangle$ $\quad \text{next } \langle 2\text{-exp} \rangle$	$\langle 2\text{-exp} \rangle ::= \lambda \langle var \rangle : \langle 2\text{-type} \rangle . \langle 2\text{-exp} \rangle$ $\quad \langle var \rangle$ $\quad \langle 2\text{-exp} \rangle \langle 2\text{-exp} \rangle$ $\quad ()$ $\quad (\langle 2\text{-exp} \rangle, \langle 2\text{-exp} \rangle)$ $\quad \pi_1 \langle 2\text{-exp} \rangle \mid \pi_2 \langle 2\text{-exp} \rangle$ $\quad \iota_1 \langle 2\text{-exp} \rangle \mid \iota_2 \langle 2\text{-exp} \rangle$ $\quad \text{case } \langle 2\text{-exp} \rangle \text{ of}$ $\quad \quad \langle var \rangle . \langle 2\text{-exp} \rangle \text{ '}' \langle var \rangle . \langle 2\text{-exp} \rangle$ $\quad \text{hold } \langle 1\text{-exp} \rangle$ $\quad \text{prev } \langle 1\text{-exp} \rangle$
$\langle 1\text{-val} \rangle ::= ()$ $\quad (\langle 1\text{-val} \rangle, \langle 1\text{-val} \rangle)$ $\quad \iota_1 \langle 1\text{-val} \rangle$ $\quad \iota_2 \langle 1\text{-val} \rangle$ $\quad \lambda \langle var \rangle . \langle 1\text{-exp} \rangle$ $\quad \text{res } \langle res \rangle$	$\langle 2\text{-val} \rangle ::= ()$ $\quad (\langle 2\text{-val} \rangle, \langle 2\text{-val} \rangle)$ $\quad \iota_1 \langle 2\text{-val} \rangle$ $\quad \iota_2 \langle 2\text{-val} \rangle$ $\quad \lambda \langle var \rangle . \langle 2\text{-exp} \rangle$
$\langle cont \rangle ::= \text{empty}$ $\quad \langle cont \rangle, \langle var \rangle : \langle 1\text{-type} \rangle^1$ $\quad \langle cont \rangle, \langle var \rangle : \langle 2\text{-type} \rangle^2$	

Figure 2: λ^{12} Static Semantics

$$\begin{array}{c}
\frac{x : A^\sigma \in \Gamma}{\Gamma \vdash^\sigma x : A} \text{hyp} \quad \frac{\Gamma, x : A^\sigma \vdash e : B}{\Gamma \vdash^\sigma (\lambda x : A. e) : A \rightarrow B} \rightarrow I \quad \frac{\Gamma \vdash^\sigma e_1 : A \rightarrow B \quad \Gamma \vdash^\sigma e_2 : A}{\Gamma \vdash^\sigma e_1 e_2 : B} \rightarrow E \\
\\
\frac{\cdot}{\Gamma \vdash^\sigma () : \text{unit}} \text{unit} \quad \frac{\Gamma \vdash^\sigma e_1 : A \quad \Gamma \vdash^\sigma e_2 : B}{\Gamma \vdash^\sigma (e_1, e_2) : A \times B} \times I \quad \frac{\Gamma \vdash^\sigma e : A \times B}{\Gamma \vdash^\sigma \pi_1 e : A} \times E_1 \quad \frac{\Gamma \vdash^\sigma e : A \times B}{\Gamma \vdash^\sigma \pi_2 e : B} \times E_2 \\
\\
\frac{\Gamma \vdash^\sigma e : A}{\Gamma \vdash^\sigma \iota_1 e : A + B} +I_1 \quad \frac{\Gamma \vdash^\sigma e : B}{\Gamma \vdash^\sigma \iota_2 e : A + B} +I_2 \\
\\
\frac{\Gamma \vdash^\sigma e_1 : A + B \quad \Gamma, x_2 : A^\sigma \vdash e_2 : C \quad \Gamma, x_3 : B^\sigma \vdash e_3 : C}{\Gamma \vdash^\sigma \text{case } e_1 \text{ of } x_2.e_2 \mid x_3.e_3 : C} +E \\
\\
\frac{\Gamma \vdash^2 e : A}{\Gamma \vdash^1 \text{next } e : \bigcirc A} \bigcirc I \quad \frac{\Gamma \vdash^1 e : \bigcirc A}{\Gamma \vdash^2 \text{prev } e : A} \bigcirc E \quad \frac{\Gamma \vdash^1 e : A \quad A \nearrow A'}{\Gamma \vdash^1 \text{hold } e : \bigcirc A'} \text{hold} \\
\\
\frac{\cdot}{\text{unit} \nearrow \text{unit}} \text{unit} \nearrow \quad \frac{A \nearrow A' \quad B \nearrow B'}{A \times B \nearrow A' \times B'} \times \nearrow \quad \frac{A \nearrow A' \quad B \nearrow B'}{A + B \nearrow A' + B'} + \nearrow
\end{array}$$

Figure 3: λ^{12} Dynamic Semantics

$$\begin{array}{c}
\frac{\cdot}{\lambda x : \tau. e \Downarrow_\sigma \lambda x. e} \lambda \Downarrow_\sigma \quad \frac{e_1 \Downarrow_\sigma \lambda x. M \quad e_2 \Downarrow_\sigma N}{e_1 e_2 \Downarrow_\sigma [N/x]M} \text{app} \Downarrow_\sigma \\
\\
\frac{\cdot}{() \Downarrow_\sigma ()} \text{unit} \Downarrow_\sigma \quad \frac{e_1 \Downarrow_\sigma v_1 \quad e_2 \Downarrow_\sigma v_2}{(e_1, e_2) \Downarrow_\sigma (v_1, v_2)} (,) \Downarrow_\sigma \\
\\
\frac{e \Downarrow_\sigma (v_1, v_2)}{\pi_1 e \Downarrow_\sigma v_1} \pi_1 \Downarrow_\sigma \quad \frac{e \Downarrow_\sigma (v_1, v_2)}{\pi_2 e \Downarrow_\sigma v_2} \pi_2 \Downarrow_\sigma \quad \frac{e \Downarrow_\sigma v}{\iota_1 e \Downarrow_\sigma \iota_1 v} \iota_1 \Downarrow_\sigma \quad \frac{e \Downarrow_\sigma v}{\iota_2 e \Downarrow_\sigma \iota_2 v} \iota_2 \Downarrow_\sigma \\
\\
\frac{e \Downarrow_1 \iota_1 v \quad [v/x_1]e_1 \Downarrow_1 v'}{\text{case } e \text{ of } x_1.e_1 \mid x_2.e_2 \Downarrow_1 v'} \text{case}_1 \Downarrow_1 \quad \frac{e \Downarrow_2 \iota_1 v \quad [v/x_1]e_1 \Downarrow_2 v' \quad e_2 \Downarrow}{\text{case } e \text{ of } x_1.e_1 \mid x_2.e_2 \Downarrow_2 v'} \text{case}_1 \Downarrow_2 \\
\\
\frac{e \Downarrow_1 \iota_2 v \quad [v/x_2]e_2 \Downarrow_1 v'}{\text{case } e \text{ of } x_1.e_1 \mid x_2.e_2 \Downarrow_1 v'} \text{case}_2 \Downarrow_1 \quad \frac{e \Downarrow_2 \iota_2 v \quad e_1 \Downarrow \quad [v/x_2]e_2 \Downarrow_2 v'}{\text{case } e \text{ of } x_1.e_1 \mid x_2.e_2 \Downarrow_2 v'} \text{case}_2 \Downarrow_2 \\
\\
\frac{e \Downarrow_2 v}{\text{next } e \Downarrow_1 \text{res } v} \quad \frac{e \Downarrow_1 \text{res } v}{\text{prev } e \Downarrow_2 v} \quad \frac{e \Downarrow_1 v \quad v \nearrow v'}{\text{hold } e \Downarrow_1 \text{res } v'} \\
\\
\frac{\cdot}{x \Downarrow} \text{var} \Downarrow \quad \frac{e \Downarrow}{\lambda x : \tau. e \Downarrow} \lambda \Downarrow \quad \frac{e_1 \Downarrow \quad e_2 \Downarrow}{e_1 e_2 \Downarrow} \text{app} \Downarrow \quad \frac{\cdot}{() \Downarrow} \text{unit} \Downarrow \quad \frac{e_1 \Downarrow \quad e_2 \Downarrow}{(e_1, e_2) \Downarrow} \text{app} \Downarrow \quad \frac{e \Downarrow}{\pi_i e \Downarrow} \pi_i \Downarrow \\
\\
\frac{e \Downarrow}{\iota_i e \Downarrow} \iota_i \Downarrow \quad \frac{e_1 \Downarrow \quad e_2 \Downarrow}{\text{case } e \text{ of } x_1.e_1 \mid x_2.e_2 \Downarrow} \text{case} \Downarrow \quad \frac{e \Downarrow_1 \text{res } v}{\text{prev } e \Downarrow}
\end{array}$$

Figure 4: Basic Splitting

$$\begin{array}{c}
\frac{\Gamma \vdash^2 e : A \rightsquigarrow^2 [p, l.r]}{\Gamma \vdash^1 \mathbf{next} \ e : \bigcirc A \rightsquigarrow^1 [((\cdot), p), l.r]} \bigcirc \mathbf{I} \rightsquigarrow^1 \quad \frac{\Gamma \vdash^1 e : \bigcirc A \rightsquigarrow^1 [c, l.r]}{\Gamma \vdash^2 \mathbf{prev} \ e : A \rightsquigarrow^2 [\pi_2 \ c, l.r]} \bigcirc \mathbf{E} \rightsquigarrow^2 \\
\\
\frac{\Gamma \vdash^1 e : A \rightsquigarrow^1 [c, \cdot.r] \quad A \nearrow A'}{\Gamma \vdash^1 \mathbf{hold} \ e : \bigcirc A' \rightsquigarrow^1 [((\cdot), \pi_1 c), l.l]} \mathbf{save} \rightsquigarrow^2
\end{array}$$

3 Stage Splitting

The core operation of interest is the process of “stage splitting,” wherein a term is split into its constituent stage 1 and stage 2 parts, each expressed in a simpler language. Specifically, we introduce two judgements. The simpler judgement is

$$\Gamma \vdash^2 e : A \rightsquigarrow^2 [p, x.r]$$

which can be read “under the context Γ , e (which has type A at stage 2) stage-splits into a precomputation p , and a residual r which is open on x ”. The idea is that p contains the parts of e that are stage 1, r contains the parts of e that are stage 2, and the reduced value of p is bound to x when evaluating r . There’s also the slightly more complicated version for stage 1:

$$\Gamma \vdash^1 e : A \rightsquigarrow^1 [c, x.r]$$

which can be read as [...]

3.1 Goals

There are a few theorems that should hold true of stage splitting. Firstly, that good types in lead to good types out. Explicitly: [Took this out for now.]

3.2 Splitting

The full rules for splitting are shown in Figures 4, 5, 6, and 7.

4 Implementation and Examples

[Work in progress.]

Figure 5: Product Splitting

$$\begin{array}{c}
\frac{\cdot}{\Gamma \vdash^1 () : \text{unit} \xrightarrow{1} [(() , ()), \neg ()]} \text{unit} \xrightarrow{1} \quad \frac{\cdot}{\Gamma \vdash^2 () : \text{unit} \xrightarrow{2} [(), \neg ()]} \text{unit} \xrightarrow{2} \\
\\
\frac{\Gamma \vdash^1 e_1 : A \xrightarrow{1} [c_1, l_1.r_1] \quad \Gamma \vdash^1 e_2 : B \xrightarrow{1} [c_2, l_2.r_2]}{\Gamma \vdash^1 (e_1, e_2) : A \times B \xrightarrow{1} [((\pi_1 c, \pi_1 c), (\pi_2 c_1, \pi_2 c_2)), l.(\text{let } l_1 = \pi_1 l \text{ in } r_1, \text{let } l_2 = \pi_2 l \text{ in } r_2)]} \times \text{I} \xrightarrow{1} \\
\\
\frac{\Gamma \vdash^2 e_1 : A \xrightarrow{2} [p_1, l_1.r_1] \quad \Gamma \vdash^2 e_2 : B \xrightarrow{2} [p_2, l_2.r_2]}{\Gamma \vdash^2 (e_1, e_2) : A \times B \xrightarrow{2} [(p_1, p_2), l.(\text{let } l_1 = \pi_1 l \text{ in } r_1, \text{let } l_2 = \pi_2 l \text{ in } r_2)]} \times \text{I} \xrightarrow{2} \\
\\
\frac{\Gamma \vdash^1 e : A \times B \xrightarrow{1} [c, l.r]}{\Gamma \vdash^1 \pi_1 e : A \xrightarrow{1} [(\pi_1(\pi_1 c), \pi_2 c), l.\pi_1 r]} \times \text{E}_1 \xrightarrow{1} \quad \frac{\Gamma \vdash^2 e : A \times B \xrightarrow{2} [p, l.r]}{\Gamma \vdash^2 \pi_1 e : A \xrightarrow{2} [p, l.\pi_1 r]} \times \text{E}_1 \xrightarrow{2} \\
\\
\frac{\Gamma \vdash^1 e : A \times B \xrightarrow{1} [c, l.r]}{\Gamma \vdash^1 \pi_2 e : B \xrightarrow{1} [(\pi_2(\pi_1 c), \pi_2 c), l.\pi_2 r]} \times \text{E}_2 \xrightarrow{1} \quad \frac{\Gamma \vdash^2 e : A \times B \xrightarrow{2} [p, l.r]}{\Gamma \vdash^2 \pi_2 e : B \xrightarrow{2} [p, l.\pi_2 r]} \times \text{E}_2 \xrightarrow{2}
\end{array}$$

Figure 6: Function Splitting

$$\begin{array}{c}
\frac{x : A^1 \in \Gamma}{\Gamma \vdash^1 x : A \xrightarrow{1} [(x, ()), \neg x]} \text{hyp} \xrightarrow{1} \quad \frac{x : A^2 \in \Gamma}{\Gamma \vdash^2 x : A \xrightarrow{2} [(), \neg x]} \text{hyp} \xrightarrow{2} \\
\\
\frac{\Gamma, x : A^1 \vdash^1 e : B \xrightarrow{1} [c, l.r]}{\Gamma \vdash^1 (\lambda x:A.e) : A \rightarrow B \xrightarrow{1} \left[\begin{array}{l} (\lambda x:|A|_1.c, ()), \\ \neg(\lambda(x, l):|A|_2 \times \tau.r) \end{array} \right]} \rightarrow \text{I} \xrightarrow{1} \\
\\
\frac{\Gamma, x : A^2 \vdash^2 e : B \xrightarrow{2} [p, l.r]}{\Gamma \vdash^2 (\lambda x:A.e) : A \rightarrow B \xrightarrow{2} [p, l.\lambda x:A.r]} \rightarrow \text{I} \xrightarrow{2} \\
\\
\frac{\Gamma \vdash^1 e_1 : A \rightarrow B \xrightarrow{1} [c_1, l_1.r_1] \quad \Gamma \vdash^1 e_2 : A \xrightarrow{1} [c_2, l_2.r_2]}{\Gamma \vdash^1 e_1 e_2 : B \xrightarrow{1} \left[\begin{array}{l} \text{let } y = (\pi_1 c_1)(\pi_1 c_2) \text{ in } (\pi_1 y, (\pi_2 c_1, \pi_2 c_2, \pi_2 y)), \\ l.(\text{let } x_1 = \pi_1 l \text{ in } r_1)(\text{let } x_2 = \pi_2 l \text{ in } r_2, \pi_3 l) \end{array} \right]} \rightarrow \text{E} \xrightarrow{1} \\
\\
\frac{\Gamma \vdash^2 e_1 : A \rightarrow B \xrightarrow{2} [p_1, l_1.r_1] \quad \Gamma \vdash^2 e_2 : A \xrightarrow{2} [p_2, l_2.r_2]}{\Gamma \vdash^2 e_1 e_2 : B \xrightarrow{2} [(p_1, p_2), l.(\text{let } x_1 = \pi_1 l \text{ in } r_1)(\text{let } x_2 = \pi_2 l \text{ in } r_2)]} \rightarrow \text{E} \xrightarrow{2}
\end{array}$$

Figure 7: Sum Splitting

$$\begin{array}{c}
\frac{\Gamma \vdash^1 e : A \xrightarrow{1} [c, l.r]}{\Gamma \vdash^1 \iota_1 e : A + B \xrightarrow{1} [(\iota_1(\pi_1 c), \pi_2 c), l.\iota_1 r]} + I_1 \xrightarrow{1} \quad \frac{\Gamma \vdash^2 e : A \xrightarrow{2} [p, l.r]}{\Gamma \vdash^2 \iota_1 e : A + B \xrightarrow{2} [p, l.\iota_1 r]} + I_1 \xrightarrow{2} \\
\frac{\Gamma \vdash^1 e : A \xrightarrow{1} [c, l.r]}{\Gamma \vdash^1 \iota_2 e : A + B \xrightarrow{1} [(\iota_2(\pi_1 c), \pi_2 c), l.\iota_2 r]} + I_2 \xrightarrow{1} \quad \frac{\Gamma \vdash^2 e : A \xrightarrow{2} [p, l.r]}{\Gamma \vdash^2 \iota_2 e : A + B \xrightarrow{2} [p, l.\iota_2 r]} + I_2 \xrightarrow{2} \\
\frac{\Gamma \vdash^1 e_1 : A + B \xrightarrow{1} [c_2, l_2.r_2] \quad \Gamma, x_2 : A^1 \vdash^1 e_2 : C \xrightarrow{1} [c_2, l_2.r_2] \quad \Gamma, x_3 : B^1 \vdash^1 e_3 : C \xrightarrow{1} [c_3, l_3.r_3]}{\Gamma \vdash^1 \left(\begin{array}{c} \text{case } e_1 \text{ of} \\ x_2.e_2 \\ | x_3.e_3 \end{array} \right) : C \xrightarrow{1} \left[\left(\begin{array}{c} \text{case } \pi_1 c_2 \text{ of} \\ x_2.\text{let } y = c_2 \text{ in } (\pi_1 y, \iota_1(\pi_2 y)) \\ | x_3.\text{let } y = c_3 \text{ in } (\pi_1 y, \iota_2(\pi_2 y)) \end{array} \right), l. \left(\begin{array}{c} \text{case } l \text{ of} \\ l_2.r_2 \\ | l_3.r_3 \end{array} \right) \right]} + E \xrightarrow{1} \\
\frac{\Gamma \vdash^2 e_1 : A + B \xrightarrow{2} [p_1, l_1.r_1] \quad \Gamma, x_2 : A^2 \vdash^2 e_2 : C \xrightarrow{2} [p_2, l_2.r_2] \quad \Gamma, x_3 : B^2 \vdash^2 e_3 : C \xrightarrow{2} [p_3, l_3.r_3]}{\Gamma \vdash^2 \left(\begin{array}{c} \text{case } e_1 \text{ of} \\ x_2.e_2 \\ | x_3.e_3 \end{array} \right) : C \xrightarrow{2} \left[(p_1, (p_2, p_3)), l. \left(\begin{array}{c} \text{case } (\text{let } l_1 = \pi_1 l \text{ in } r_1) \text{ of} \\ x_2.\text{let } l_2 = \pi_1(\pi_2 l) \text{ in } r_2 \\ | x_3.\text{let } l_3 = \pi_2(\pi_2 l) \text{ in } r_3 \end{array} \right) \right]} + E \xrightarrow{2}
\end{array}$$

5 Related Work

5.1 Partial Evaluation

One can immediately see a connection between this work and partial evaluation. Both involve the idea of specializing a piece of code to some of its inputs, leaving a residual that depends only on the remaining inputs. But stage splitting is actually only part of partial evaluation. At its core, stage splitting is “factor out the first stage, reduce it to a value, and express the second stage abstractly over that value,” whereas partial evaluation is “factor out the first stage, reduce it to a value, and specialize the code of the second stage to that value.” Expressed equationally,

$$\begin{array}{ll}
\textbf{Partial Evaluation:} & p(f, a) = f_a \quad \text{s.t. } f_a(b) = f(a, b) \\
\textbf{Stage Splitting:} & s(f) = (f_1, f_2) \quad \text{s.t. } f_2(f_1(a), b) = f(a, b)
\end{array}$$

Immediately, we note that stage splitting has broader application than partial evaluation, since the latter requires that p (specifically, some code-specializing apparatus) and a be available at the same time. If this requirement is satisfied, then we can compare apples to apples by creating a partial evaluator out of a stage splitter:

$$f_a = (\text{let } x = f_1(a) \text{ in } \lambda b.?.f_2(x, b))$$

From this view, we see that partial evaluators are more powerful because they can avoid memory loads, prune unused branches, and even duplicate recursive code for further specialization. These are largely free wins, except for the recursive code generation, which might have large space costs.

5.2 Speculation

I can find nothing in the literature that looks like our speculation. That's probably because speculation is unsafe (especially around side effects), and so it would be a terrible idea in a system without lots of programmer direction.