

# Final Review + Systems Potpourri



Lecture #26



**Database Systems**  
15-445/15-645  
Fall 2017



**Andy Pavlo**  
Computer Science Dept.  
Carnegie Mellon Univ.

# ADMINISTRIVIA

**Project #4:** TODAY @ 11:59pm



# **FINAL EXAM**

**Who:** You

**What:** <http://cmudb.io/f17-final>

**When:** Friday Dec 15th @ 5:30pm

**Where:** GHC 4401

**Why:** Because otherwise Joy and I call  
your family over the break...



# FINAL EXAM

## What to bring:

- CMU ID
- Calculator
- Two pages of handwritten notes (double-sided)

## Optional:

- Spare change of clothes

## What not to bring:

- Your roommate



# COURSE EVALS

Your feedback is strongly needed:

→ <https://cmu.smartevals.com>

Things that we want feedback on:

- Homework Assignments
- Projects
- Reading Materials
- Lectures



# EXTENDED OFFICE HOURS

Andy:

→ Wednesday Dec. 13th @ 12:00pm-1:30pm



# STUFF BEFORE MID-TERM

SQL

Buffer Pool Management

Hash Tables

B+Trees

Storage Models



# PARALLEL EXECUTION

Inter-Query Parallelism

Intra-Query Parallelism

Inter-Operator Parallelism

Intra-Operator Parallelism



# EMBEDDED LOGIC

User-defined Functions  
Stored Procedures

Focus on advantages vs. disadvantages



# TRANSACTIONS

ACID

Conflict Serializability:

- How to check?
- How to ensure?

View Serializability

Recoverable Schedules

Isolation Levels / Anomalies



# TRANSACTIONS

## Two-Phase Locking

- Strict vs. Non-Strict
- Deadlock Detection & Prevention

## Multiple Granularity Locking

- Intention Locks

## B+Tree Latch Crabbing

## Locks vs. Latches



# TRANSACTIONS

Timestamp Ordering Concurrency Control

→ Thomas Write Rule

Optimistic Concurrency Control

→ Read Phase

→ Validation Phase

→ Write Phase

Multi-Version Concurrency Control

→ Version Storage / Ordering

→ Garbage Collection



# CRASH RECOVERY

Buffer Pool Policies:

- STEAL vs. NO-STEAL
- FORCE vs. NO-FORCE

Write-Ahead Logging

Logging Schemes

Checkpoints

ARIES Recovery

- Log Sequence Numbers
- CLRs



# DISTRIBUTED DATABASES

System Architectures

Replication

Partitioning Schemes

Two-Phase Commit



# 2015 – Top 10

MongoDB	32
Google Spanner/F1	22
LinkedIn Espresso	16
Apache Cassandra	16
Facebook Scuba	16
Apache Hbase	14
VoltDB	10
Redis	10
Vertica	5
Cloudera Impala	5

# 2016 – Top 10

MongoDB	33
Google Spanner/F1	22
Apache Cassandra	19
Facebook Scuba	17
Redis	16
Apache Hbase	15
CockroachDB	12
LinkedIn Espresso	11
Cloudera Impala	8
Peloton	7

# 2017 – Top 10

Google Spanner/F1	15
MongoDB	14
CockroachDB	10
Apache Hbase	9
Peloton	8
Facebook Scuba	6
Cloudera Impala	6
Apache Hive	6
Apache Cassandra	5
LinkedIn Espresso	5

## Bigtable: A Distributed Storage System for Structured Data

Fay Chang, Jeffrey Dean, Sanjay Ghemawat, Wilson C. Hsieh, Deborah A. Wallach

Mike Burrows, Tushar Chandra, Andrew Fikes, Robert E. Gruber

{fay,jeff,sanjay,wilson,hser,deborah,wallach,mike,tushar,afikes,robert}@google.com

Google, Inc.

### Abstract

Bigtable is a distributed storage system for managing structured data that can scale to very large size—petabytes of data across thousands of commodity servers. Many projects at Google store data in Bigtable, including web indexing, Google Earth, and Google Finance. These applications place very different demands on Bigtable, both in terms of data size (from URLs to web pages to satellite imagery) and latency requirements (from backend bulk processing to real-time data serving). Despite these varied demands, Bigtable has successfully provided a flexible, high-performance solution for all of these Google products. In this paper we describe the simple data model provided by Bigtable, which gives clients dynamic control over data layout and format, and we describe the design and implementation of Bigtable.

### 1 Introduction

Over the last two and a half years we have designed, implemented, and deployed a distributed storage system for managing structured data at Google called Bigtable. Bigtable is designed to reliably scale to petabytes of data and thousands of machines. Bigtable has achieved several goals: wide applicability, scalability, high performance, and high availability. Bigtable is used by more than sixty Google products and projects, including Google Analytics, Google Finance, Orkut, Personalized Search, Writely, and Google Earth. These products use Bigtable for a variety of demanding workloads, which range from throughput-oriented batch-processing jobs to latency-sensitive serving data to end users. The Bigtable clusters used by these products span a wide range of configurations, from handfuls to thousands of servers, and store up to several hundred terabytes of data.

In many ways, Bigtable resembles a database: it shares many implementation strategies with databases. Parallel databases [14] and main-memory databases [13] have

achieved scalability and high performance, but Bigtable provides a different interface than such systems. Bigtable does not support a full relational data model; instead, it provides clients with a simple data model that supports dynamic control over data layout and format, and allows clients to reason about the locality properties of the data represented in the underlying storage. Data is indexed using row and column names that can be arbitrary strings. Bigtable also treats data as uninterpreted strings, although clients often serialize various forms of structured data directly into these strings. Clients can control the locality of their data through careful choices in their schemas. Finally, Bigtable schema parameters let clients dynamically control whether to serve data out of memory or from disk.

Section 2 describes the data model in more detail, and Section 3 provides an overview of the client API. Section 4 briefly describes the underlying Google infrastructure on which Bigtable depends. Section 5 describes the fundamentals of the Bigtable implementation, and Section 6 describes some of the refinements that we made to improve Bigtable’s performance. Section 7 provides measurements of Bigtable’s performance. We describe several examples of how Bigtable is used at Google in Section 8, and discuss some lessons we learned in designing and supporting Bigtable in Section 9. Finally, Section 10 describes related work, and Section 11 presents our conclusions.

### 2 Data Model

A Bigtable is a sparse, distributed, persistent multi-dimensional sorted map. The map is indexed by a row key, column key, and a timestamp; each value in the map is an uninterpreted array of bytes.

(row:string, column:string, time:int64) → string

To appear in OSDI 2006

1

## Megastore: Providing Scalable, Highly Available Storage for Interactive Services

Jason Baker, Chris Bond, James C. Corbett, JJ Furman, Andrey Khorlin, James Larson,  
Jean-Michel Leon, Yawei Li, Alexander Lloyd, Vadim Yushprakh  
Google, Inc.

{jasonbaker,chrishbond,jcorbett,jfurman,akhorlin,jmlarson,li,yaweli,alloyed,vadim}@google.com

### ABSTRACT

Megastore is a storage system developed to meet the requirements of today’s internet-scale services. Megastore brings the scalability of a NoSQL database with the convenience of a traditional RDBMS in a novel way, and provides both strong consistency guarantees and high availability. We provide fully replicated ACID semantics within fine-grained partitions of data. The system is designed to synchronously replicate each write across a wide area network with reasonable latency and support seamless failover between datacenters. This paper describes Megastore’s semantics and replication algorithm. It also describes our experience supporting the range of Google production services built with Megastore.

### Categories and Subject Descriptors

C.2.4 [Distributed Systems]: Distributed databases; H.2.4 [Database Management]: Systems—concurrency, distributed database

### General Terms

Algorithms, Design, Performance, Reliability

### Keywords

Large databases, Distributed transactions, Bigtable, Paxos

### 1. INTRODUCTION

Interactive online services facing the storage community to meet new demands as database applications migrate to the Internet. Social networking, collaborative documents and social networking have been growing exponentially and are testing the limits of existing infrastructures. Meeting these services’ storage demands is challenging due to a number of factors.

First, the Internet brings a huge audience of potential users, so the applications must be *highly scalable*. A service

can be built rapidly using MySQL [10] as its database, but scaling the service to millions of users requires a complete redesign of its storage infrastructure. Second, services must compete for users. This requires *rapid development* of features and fast time-to-market. Third, the service must be *responsive*; hence, the storage system must have *low latency*. Fourth, the system must provide a *consistent view of the data*—the result of an update should be visible immediately and durably. Seeing edits to a cloud-hosted spreadsheet usually, briefly, is a great user experience. Finally, the system must have *failover* and *replication* to be up 24/7, so the service must be *highly available*. The service must be resilient to many kinds of faults ranging from the failure of individual disk drives, or routers all the way up to large-scale outages affecting entire datacenters.

These requirements are in conflict. Relational databases provide a rich set of features for easily building applications, but they are difficult to scale to hundreds of millions of users. NoSQL databases like Google Bigtable [15], Apache Hadoop, HBase [1], or Facebook’s Cassandra [6] are highly scalable, but their limited API and loose consistency models complicate application development. Replicating data across datacenters while providing low latency is challenging, as is guaranteeing a consistent view of replicated data, especially during faults.

Megastore is a storage system developed to meet the storage requirements of today’s internet-scale services. Megastore is novel in that it brings the scalability of a NoSQL database with the convenience of a traditional RDBMS. It uses synchronous replication to achieve high availability and a consistent view of the data. In brief, it provides fully serializable ACID semantics within partitions, but with low enough latencies to support interactive applications.

We accomplish this by taking a middle ground in the RDBMS vs. NoSQL design space: we provide data consistency guarantees, such as ACID semantics, but only those that can be within-machine or within-datacenter. We do not only with the semantics that our partitioning scheme can support. We contend that the data for most Internet services can be suitably partitioned (e.g., by user) to make this approach feasible, and that a small, but not spartan, set of features can substantially ease the burden of developing cloud applications.

Contrary to conventional wisdom [24, 28], we were able to use Paxos [27] to build a highly available system that pro-

This article is published under a Creative Commons Attribution License (<http://creativecommons.org/licenses/by/3.0/>), which permits distribution and reproduction in its entirety without prior permission from the author(s) or publisher, provided that you attribute the original work to the author(s) and CIDR 2011. 5<sup>th</sup> Biennial Conference on Innovative Data Systems Research (CIDR ’11) January 9–12, 2011, Asilomar, California, USA.

223

2006

2011

# Google Spanner







# GOOGLE SPANNER

Google's geo-replicated DBMS (>2011)

Schematized, semi-relational data model.

Decentralized shared-disk architecture.

Log-structured on-disk storage.

Concurrency Control:

- Strict 2PL + MVCC + Multi-Paxos
- **Externally consistent** global write-transactions with synchronous replication.
- Lock-free read-only transactions.





# PHYSICAL DENORMALIZATION

```
CREATE TABLE users {  
    uid INT NOT NULL,  
    email VARCHAR,  
    PRIMARY KEY (uid)  
};  
CREATE TABLE albums {  
    uid INT NOT NULL,  
    aid INT NOT NULL,  
    name VARCHAR,  
    PRIMARY KEY (uid, aid)  
} INTERLEAVE IN PARENT users  
ON DELETE CASCADE;
```

## Physical Storage

users(1001)
↳albums(1001, 9990)
↳albums(1001, 9991)
users(1002)
↳albums(1002, 6631)
↳albums(1002, 6634)



# PHYSICAL DENORMALIZATION

```
CREATE TABLE users {  
    uid INT NOT NULL,  
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CREATE TABLE albums {  
    uid INT NOT NULL,  
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Physical Storage

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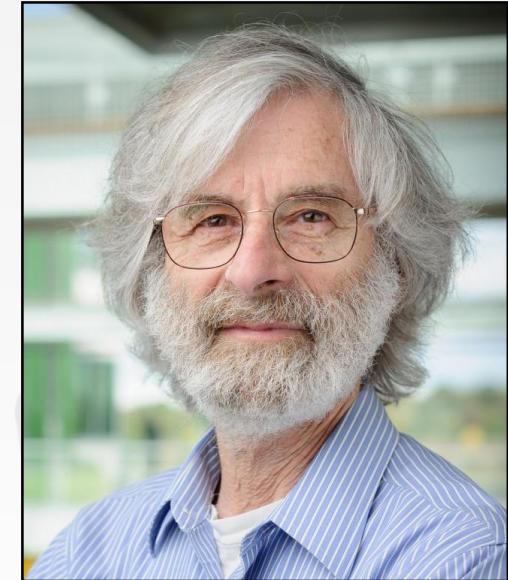


# PAXOS

Consensus protocol where a coordinator proposes an outcome (e.g., commit or abort) and then the participants vote on whether that outcome should succeed.

Does not block if a majority of participants are available and has provably minimal message delays in the best case.

→ First correct protocol that was provably resilient in the face asynchronous networks



Lamport



# PAXOS

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## The Part-Time Parliament

LESLIE LAMPORT  
Digital Equipment Corporation

Recent archaeological discoveries on the island of Paxos reveal that the parliament functioned despite the periodic proactivity of its part-time legislators. The legislators maintained consistent copies of the parliamentary record, despite their frequent forays from the chamber and the forgetfulness of their messengers. The Paxos parliament's protocol provides a new way of implementing this kind of system.

**Categories and Subject Descriptors:** C.4 [Computer Communications Networks]: Distributed Systems—Network operating systems; D.4.5 [Operating Systems]: Reliability—Fault-tolerance; J.1 [Administrative Data Processing]: Government

**General Terms:** Design, Reliability

**Additional Key Words and Phrases:** State machines, three-phase commit, voting

This submission was recently discovered behind a filing cabinet in the TOCS editorial office. It sat there for many years, the editor-in-chief felt that it was worth publishing. Because the author is currently doing field work in the Greek islands and cannot be reached, I was asked to prepare it for publication.

The author appears to be an archaeologist with only a passing interest in computer science. This is unfortunate, even though the obscure ancient Paxos civilization he describes is far more interesting than our own. His logic and system is a good model for how to implement a distributed computer system in an asynchronous environment. Indeed, some of the refinements the Paxos made to their protocol appear to be unknown in the systems literature.

The author does give a brief discussion of the Paxos Parliament's relevance to distributed computing in Section 4. Computer scientists will probably want to read that section first. Even before that, they might want to read the explanation of the algorithm for computer scientists by Lamport [1996]. The algorithm is also described more formally by De Prisco et al. [1997]. I have added a further comment on the relation between the ancient protocols and more recent work at the end of Section 4.

Keith Mazzella  
University of California, San Diego

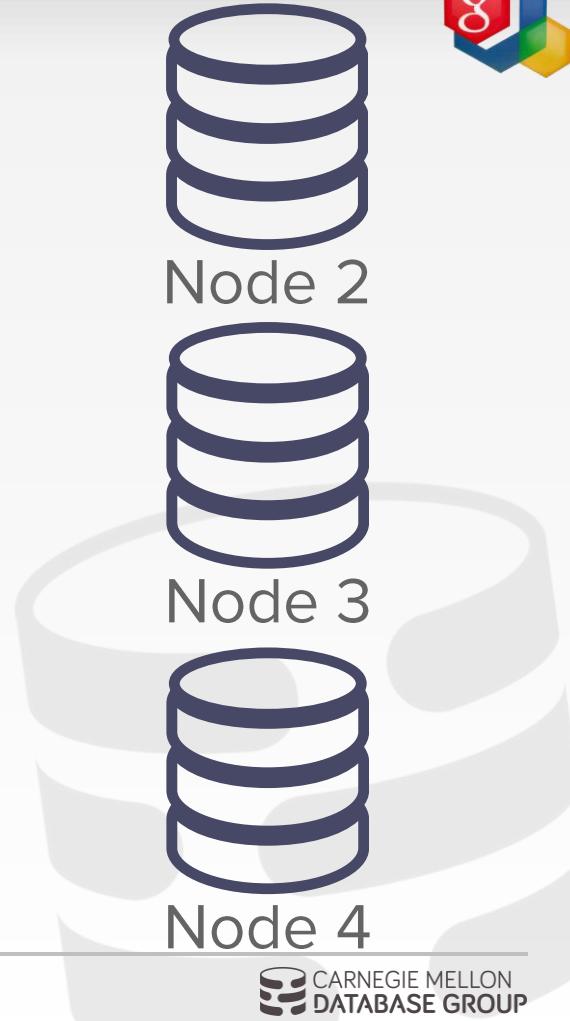
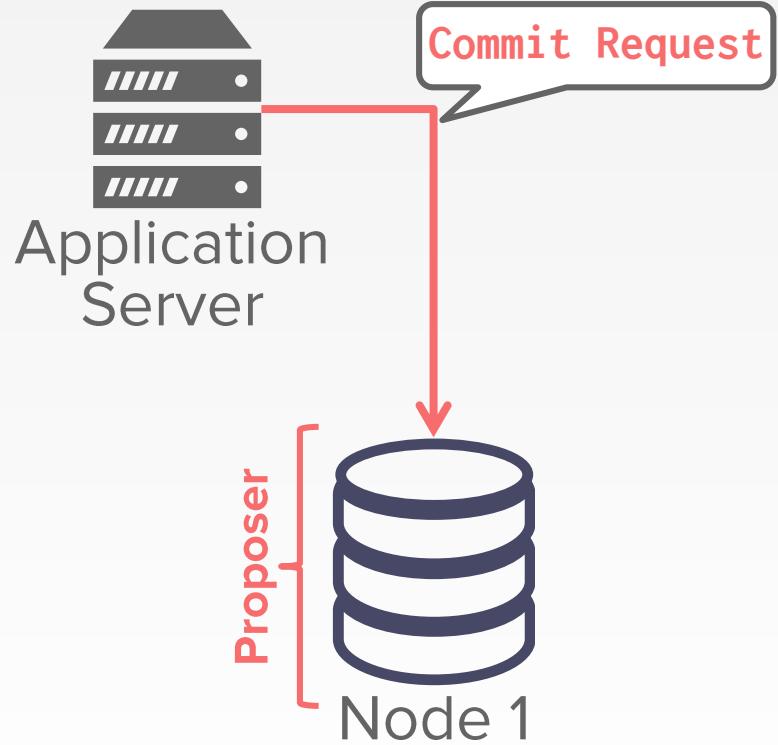
Authors' address: Systems Research Center, Digital Equipment Corporation, 130 Lytton Avenue, Palo Alto, CA 94301.

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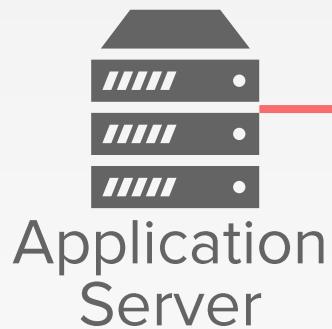


# PAXOS





# PAXOS



Proposer

Node 1

Commit Request

Propose

Node 4

Node 3

Node 2

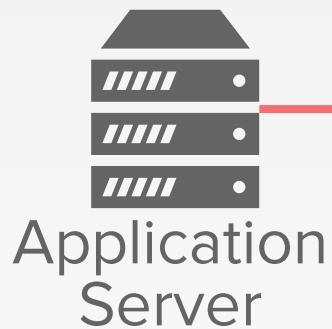
Acceptor

Acceptor

Acceptor



# PAXOS



Proposer

Node 1

Commit Request

Propose

Node 4

Node 3

Node 2

Acceptor

Acceptor

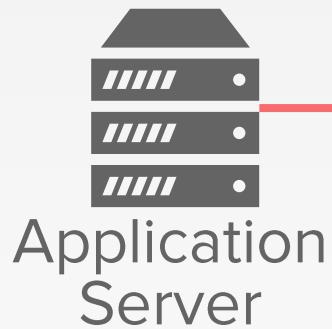
Acceptor



CARNEGIE MELLON  
DATABASE GROUP



# PAXOS



Application  
Server

Proposer

Node 1

Commit Request

Propose

Agree

Node 2

Node 3

Node 4

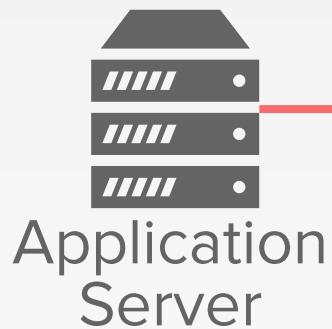
Acceptor

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Acceptor



# PAXOS



Proposer

Node 1

Commit Request

Propose

Commit

Agree

Node 2

Node 3

Node 4

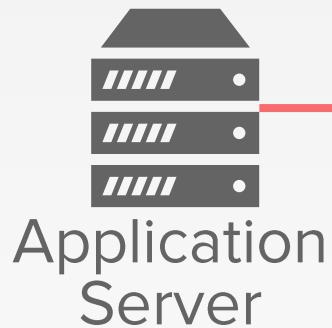
Acceptor

Acceptor

Acceptor



# PAXOS



Application  
Server

Proposer

Node 1

Commit Request

Propose

Commit

Agree

Accept

Node 2

Node 3

Node 4

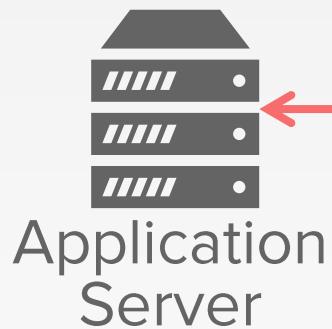
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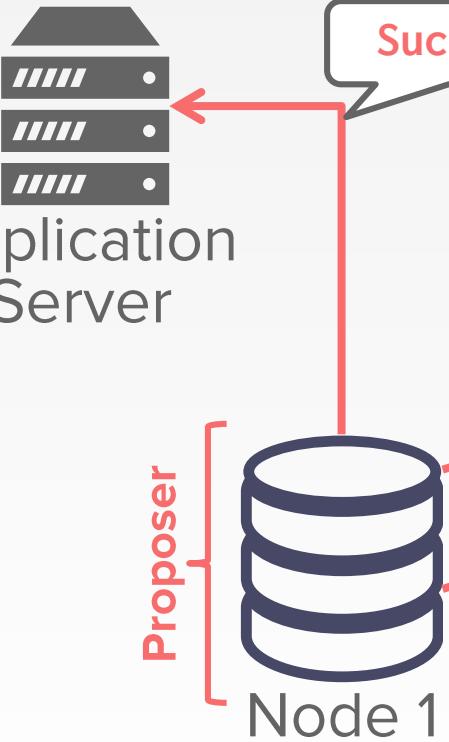


# PAXOS



Application  
Server

Proposer



Node 1

Success!



Node 2

Acceptor



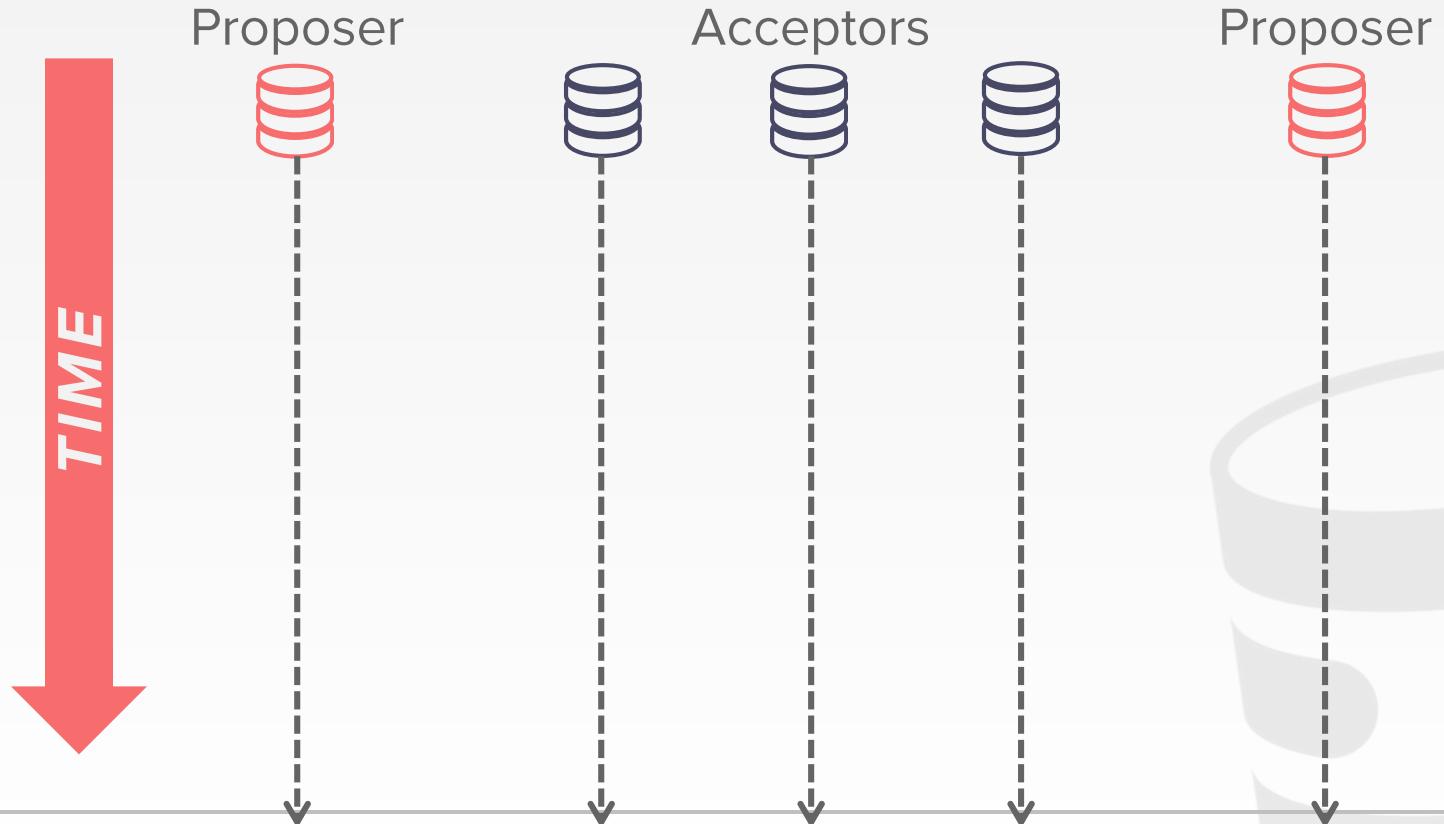
Node 3

Acceptor

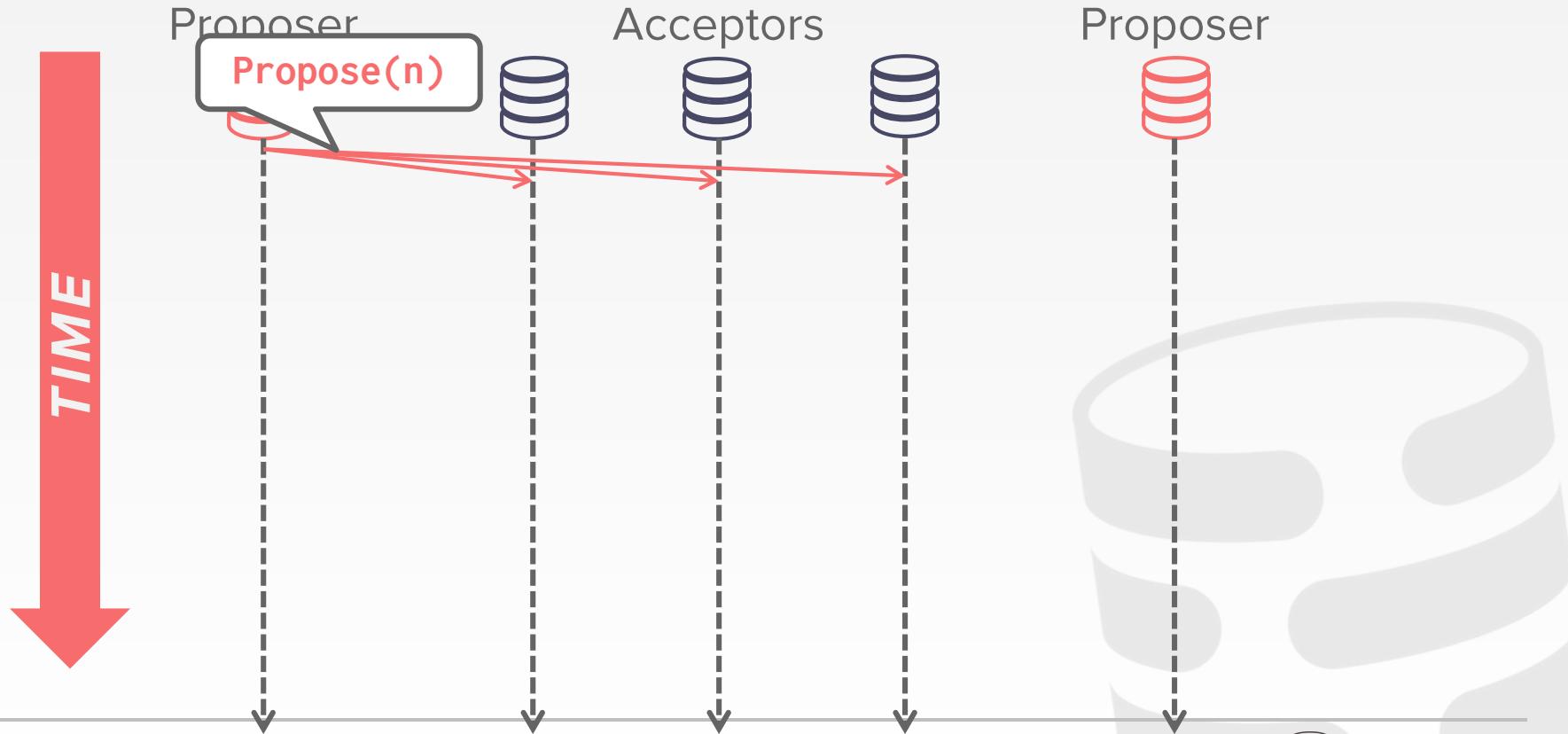


Node 4

# PAXOS

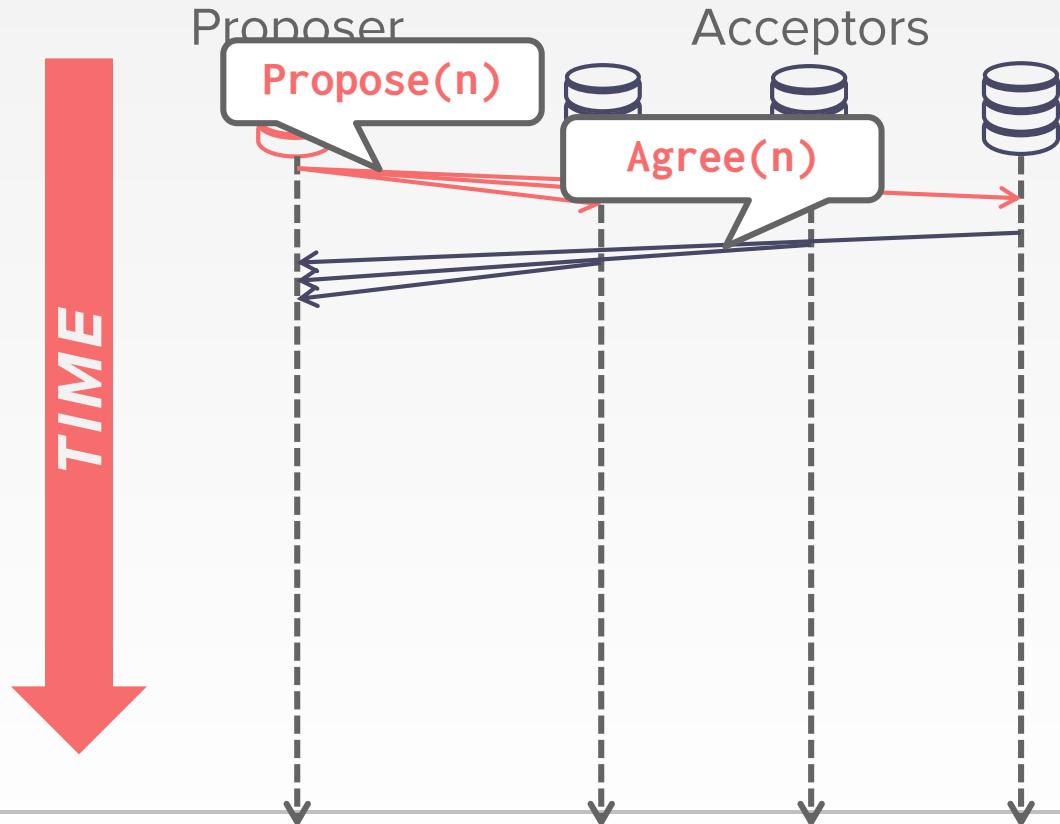


# PAXOS





# PAXOS

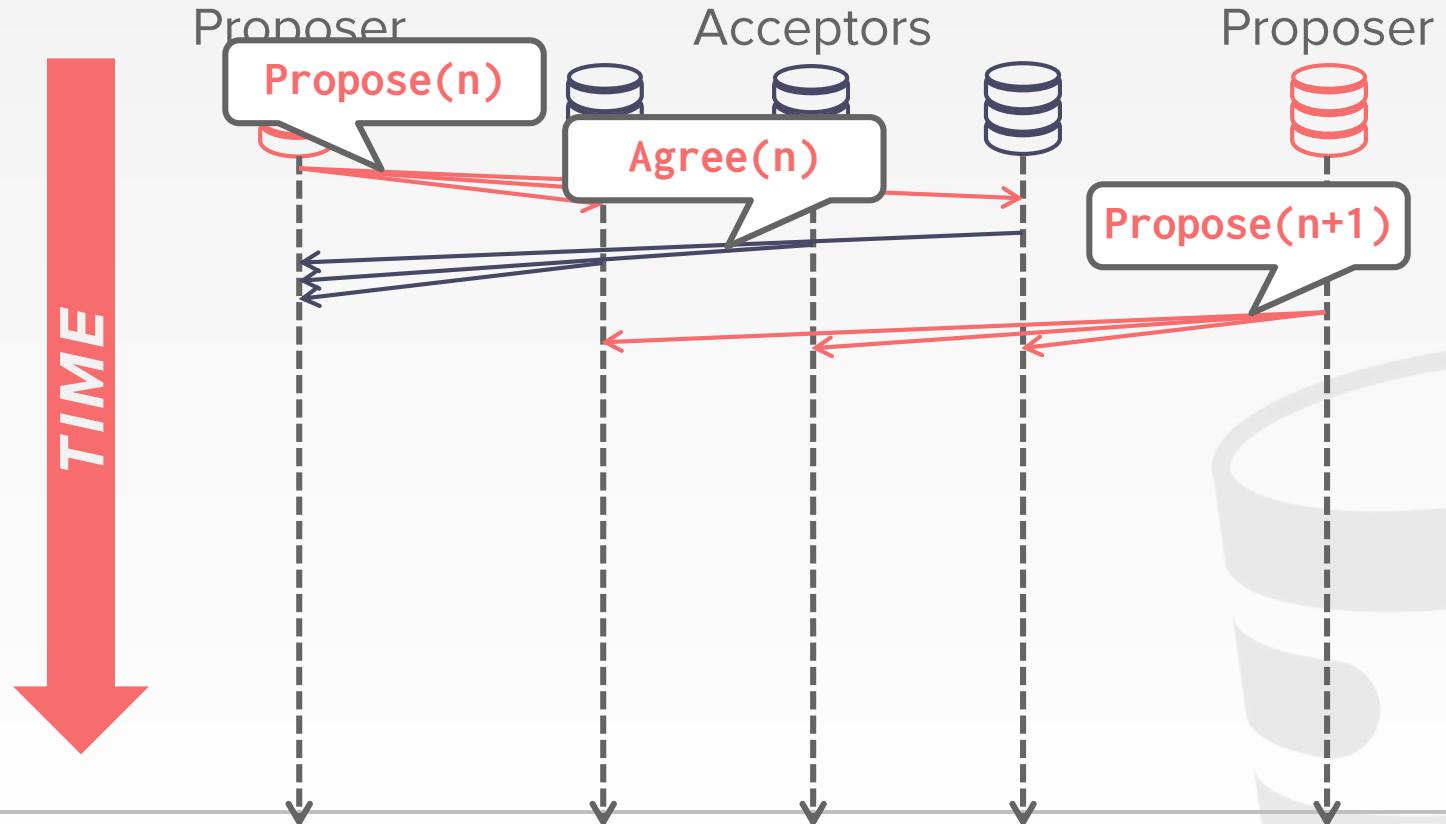


Proposer



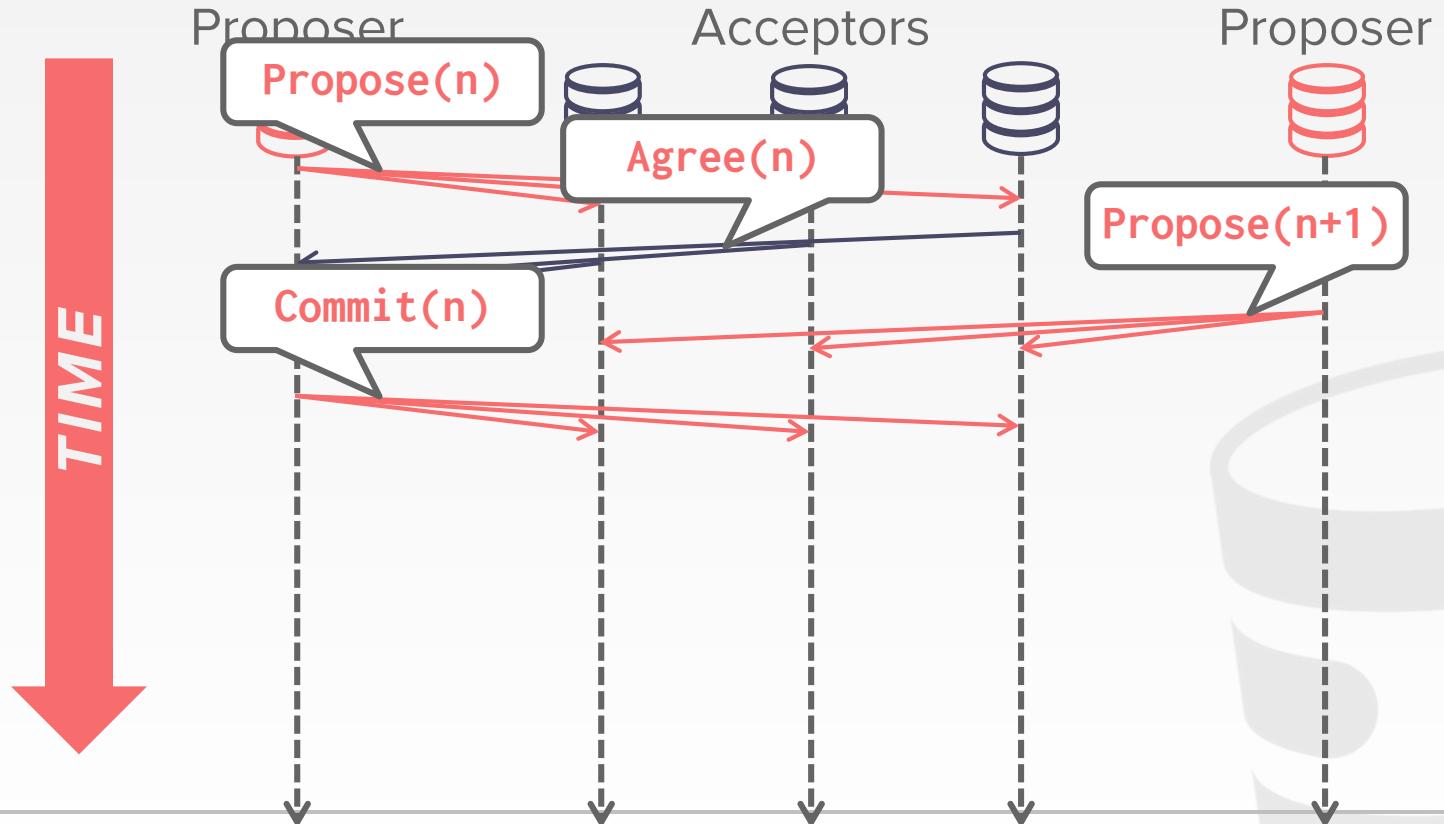


# PAXOS



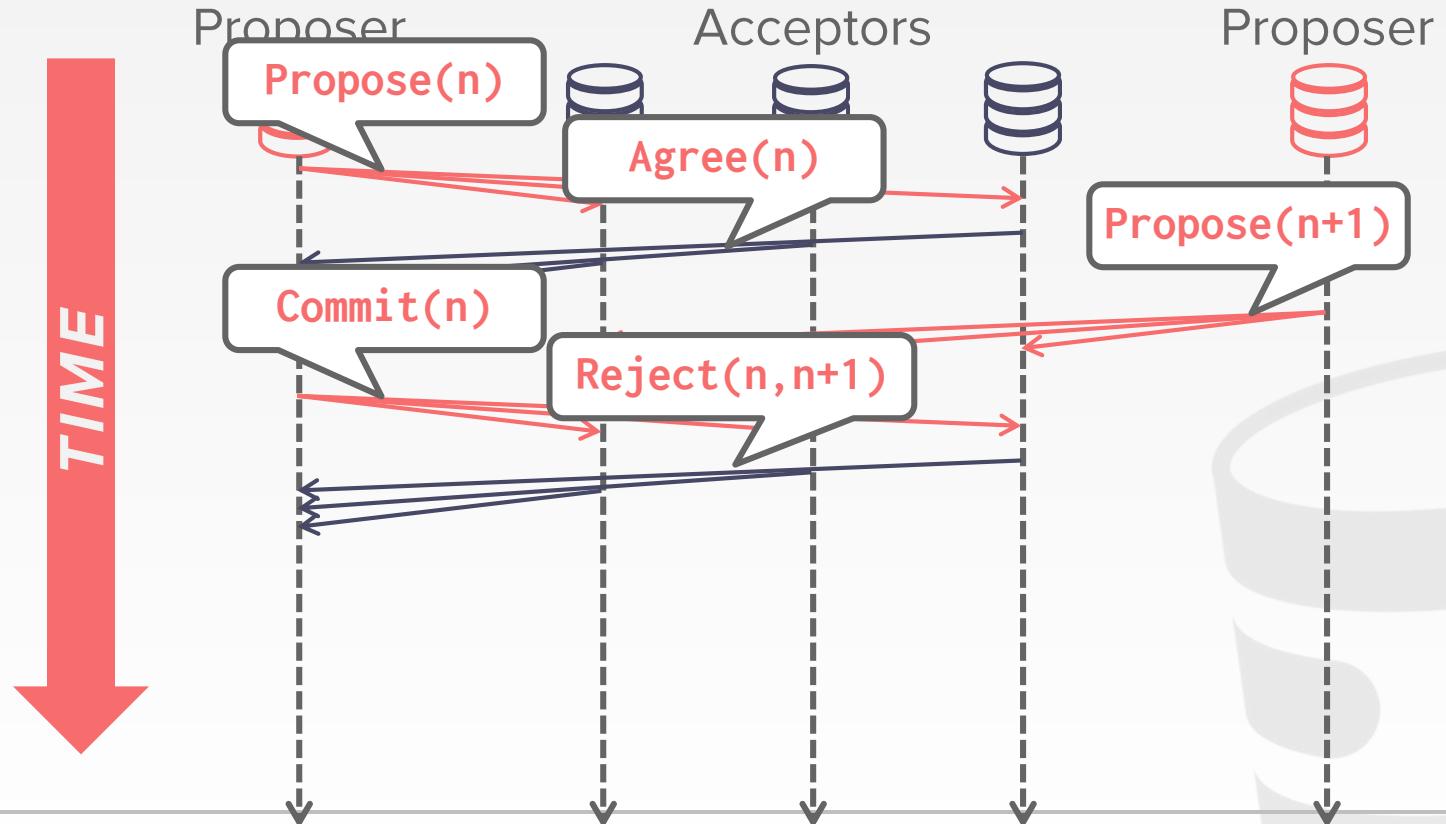


# PAXOS

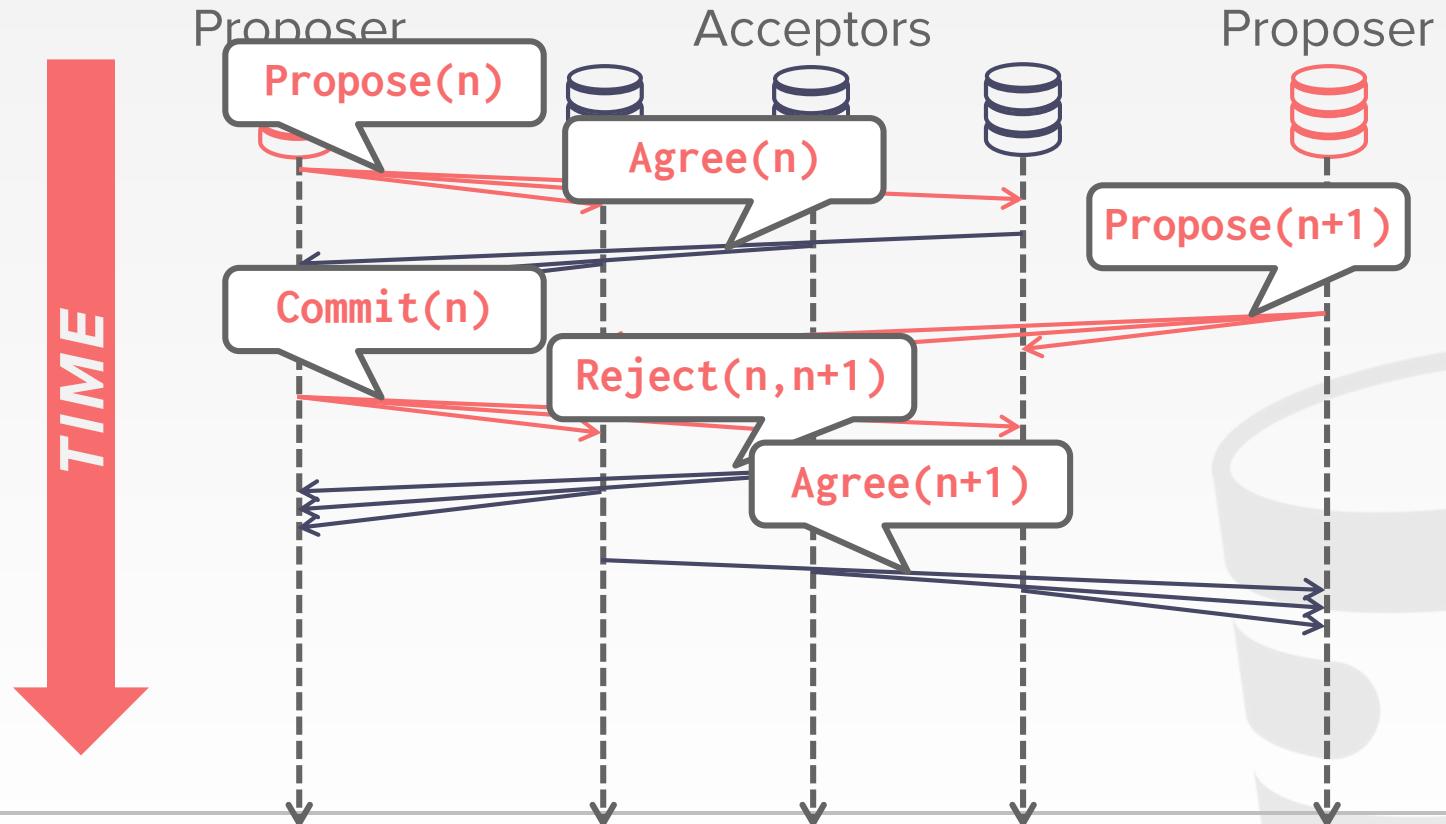




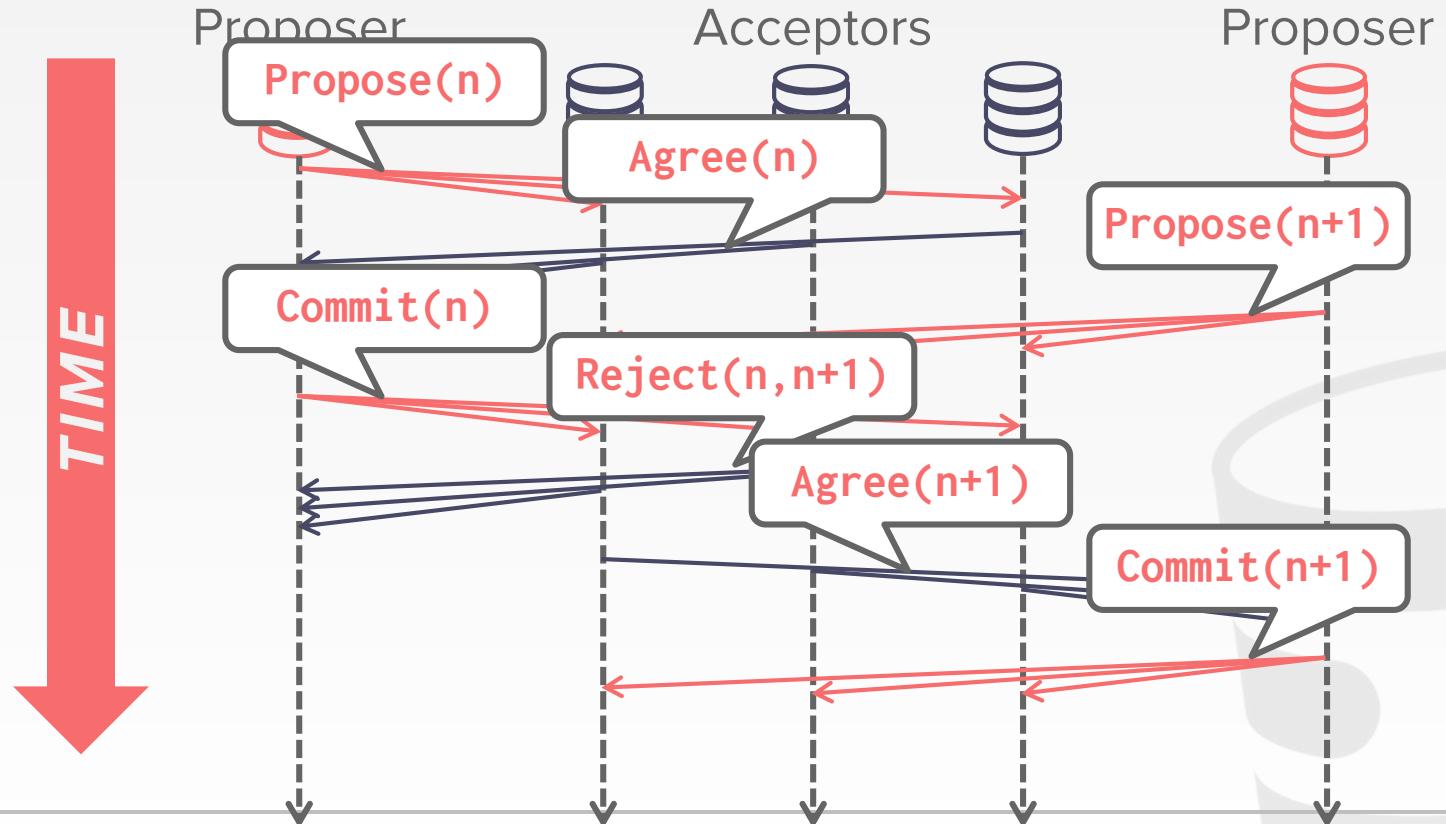
# PAXOS



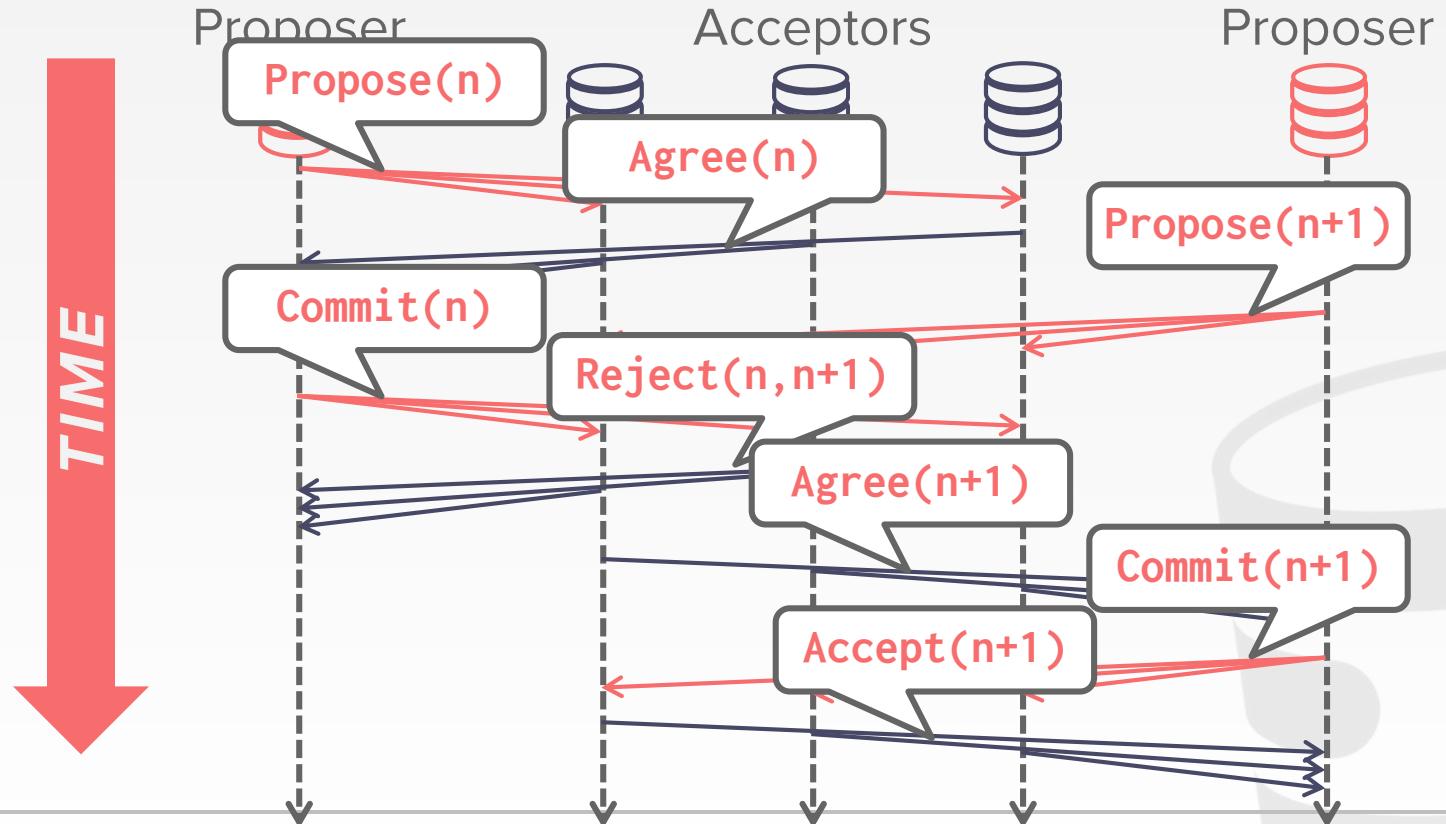
# PAXOS



# PAXOS



# PAXOS





# MULTI-PAXOS

If the system elects a single leader that is in charge of proposing changes for some period of time, then it can skip the **PREPARE** phase.

→ Fall back to full Paxos whenever there is a failure.

The system has to periodically renew who the leader is.





# CONCURRENCY CONTROL

MVCC + Strict 2PL with Wound-Wait  
Deadlock Prevention

Ensures ordering through globally unique timestamps generated from atomic clocks and GPS devices.

Database is broken up into tablets:  
→ Use Paxos to elect leader in tablet group.  
→ Use 2PC for txns that span tablets.



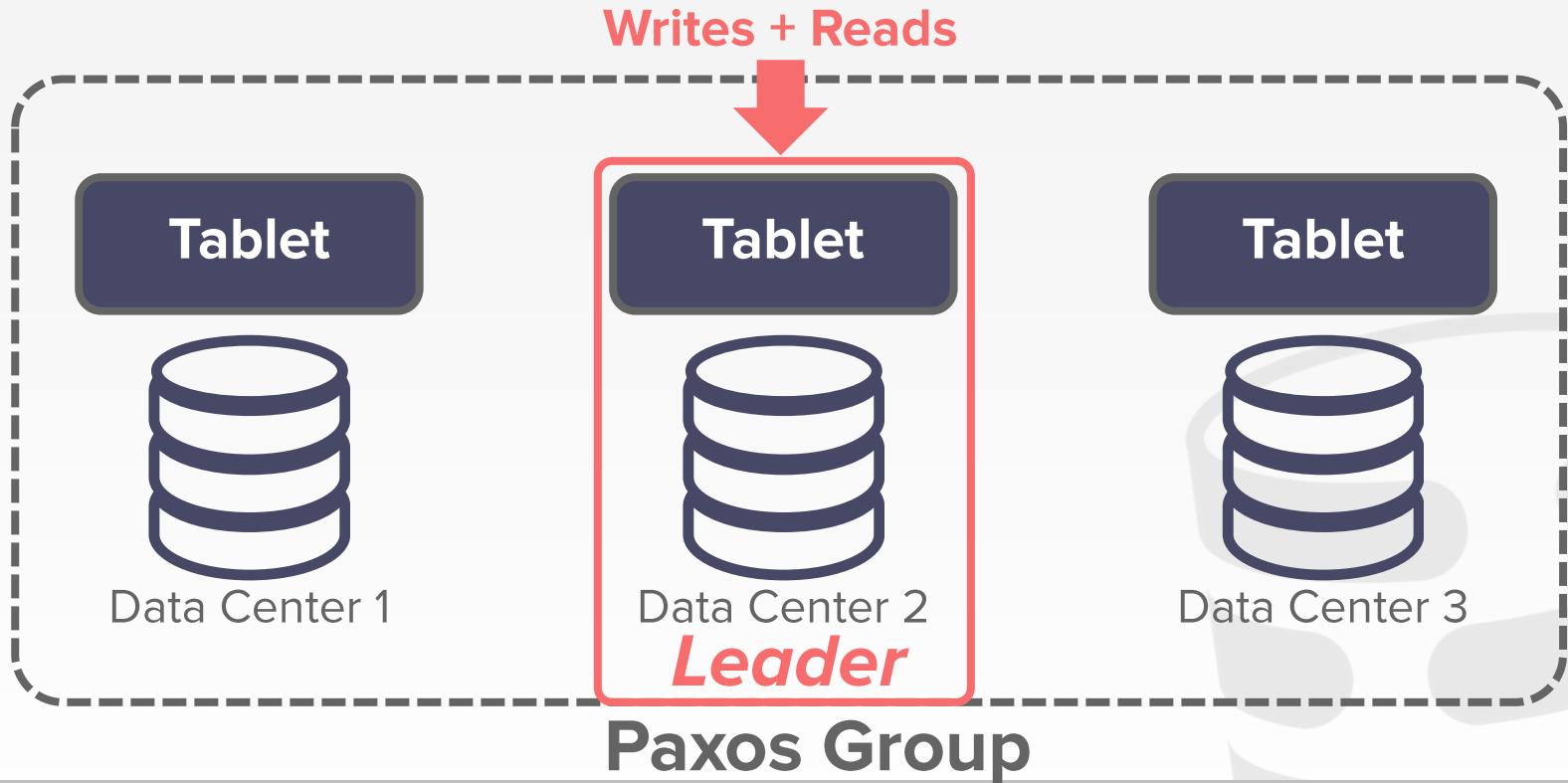


# SPANNER TABLETS

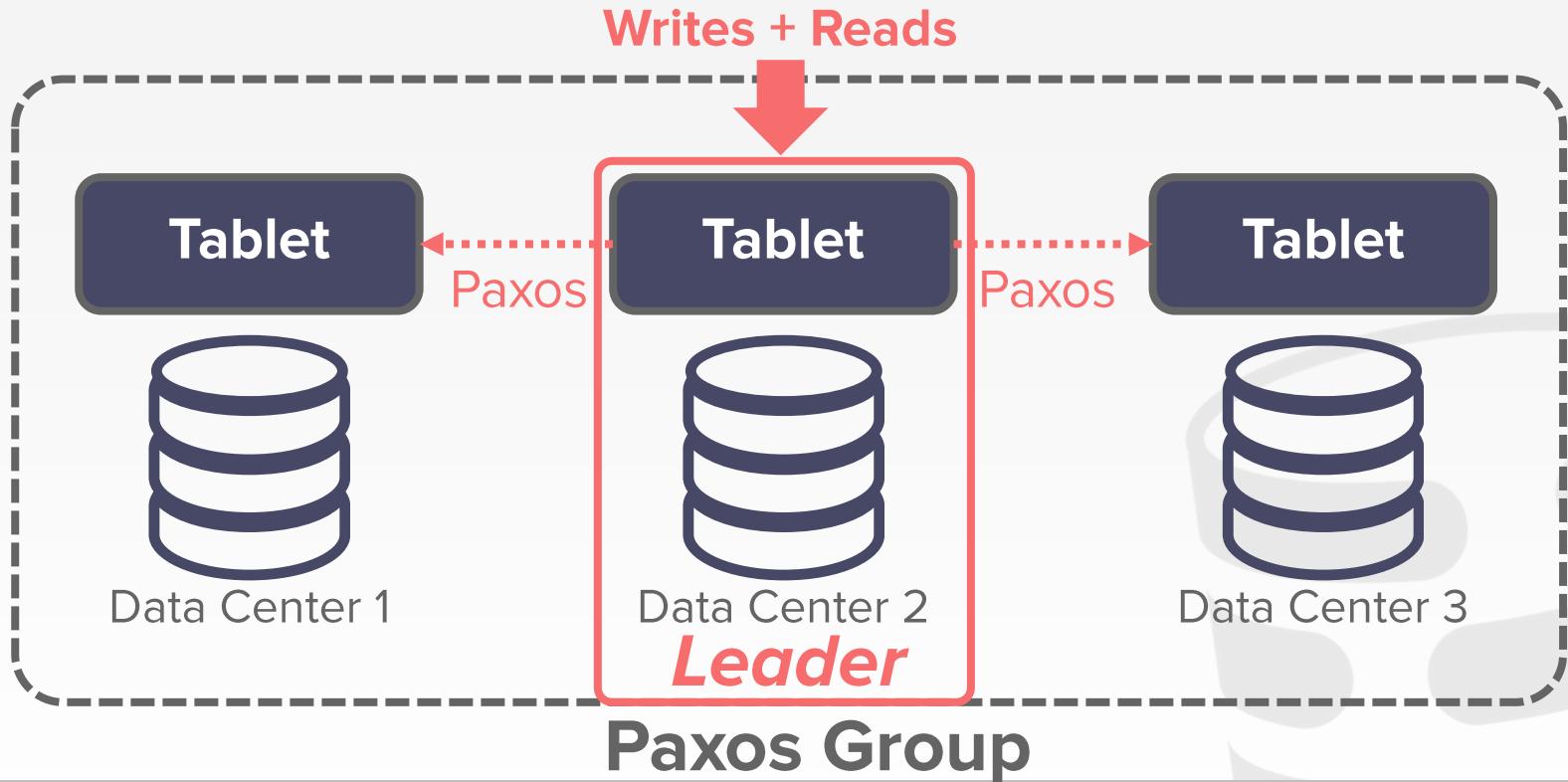




# SPANNER TABLETS

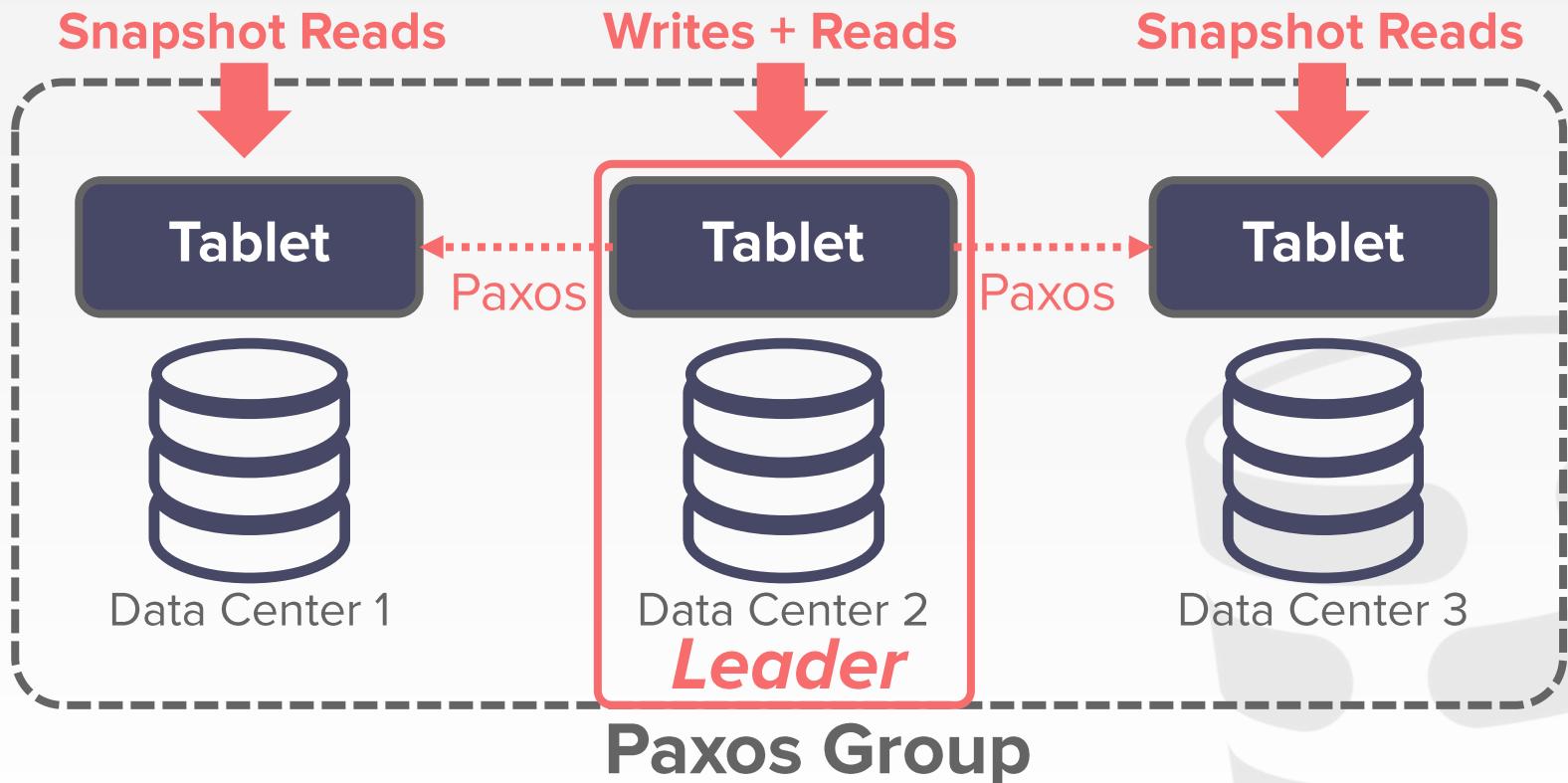


# SPANNER TABLETS



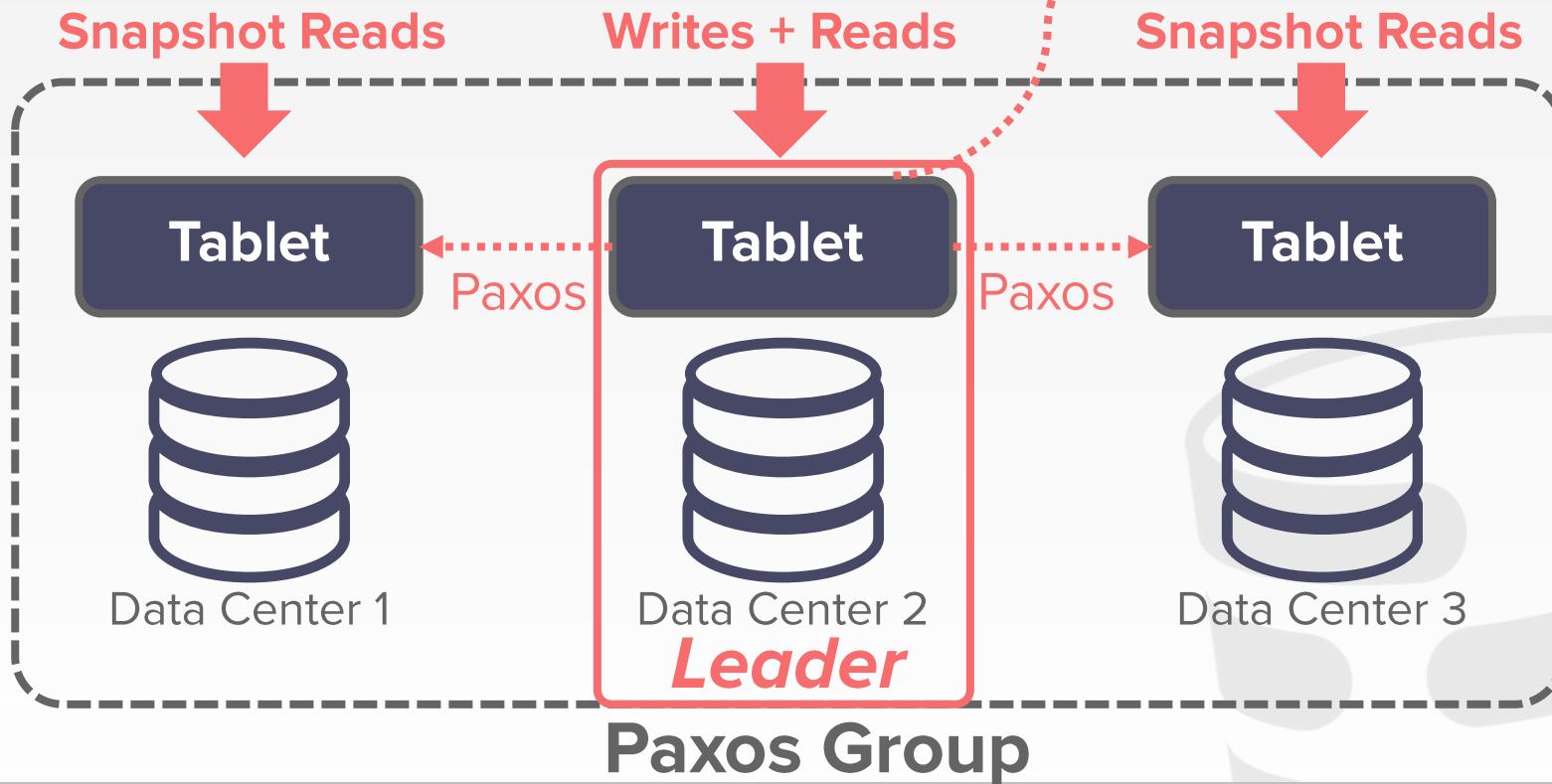


# SPANNER TABLETS





# SPANNER TABLETS



# TRANSACTION ORDERING

Spanner orders transactions based on physical "wall-clock" time.

- This is necessary to guarantee linearizability.
- If  $T_1$  finishes before  $T_2$ , then  $T_2$  should see the result of  $T_1$ .

Each Paxos group decides in what order transactions should be committed according to the timestamps.

- If  $T_1$  commits at  $time_1$ , and  $T_2$  starts at  $time_2$  where  $time_1 < time_2$ , then  $T_1$ 's timestamp should be less than  $T_2$ 's.



# SPANNER TRUETIME

The DBMS maintains a global wall-clock time across all data centers with bounded uncertainty.

Timestamps are intervals, not single values



# SPANNER TRUETIME

The DBMS maintains a global wall-clock time across all data centers with bounded uncertainty.

Timestamps are intervals, not single values



# SPANNER TRUETIME

Each data center has GPS and atomic clocks

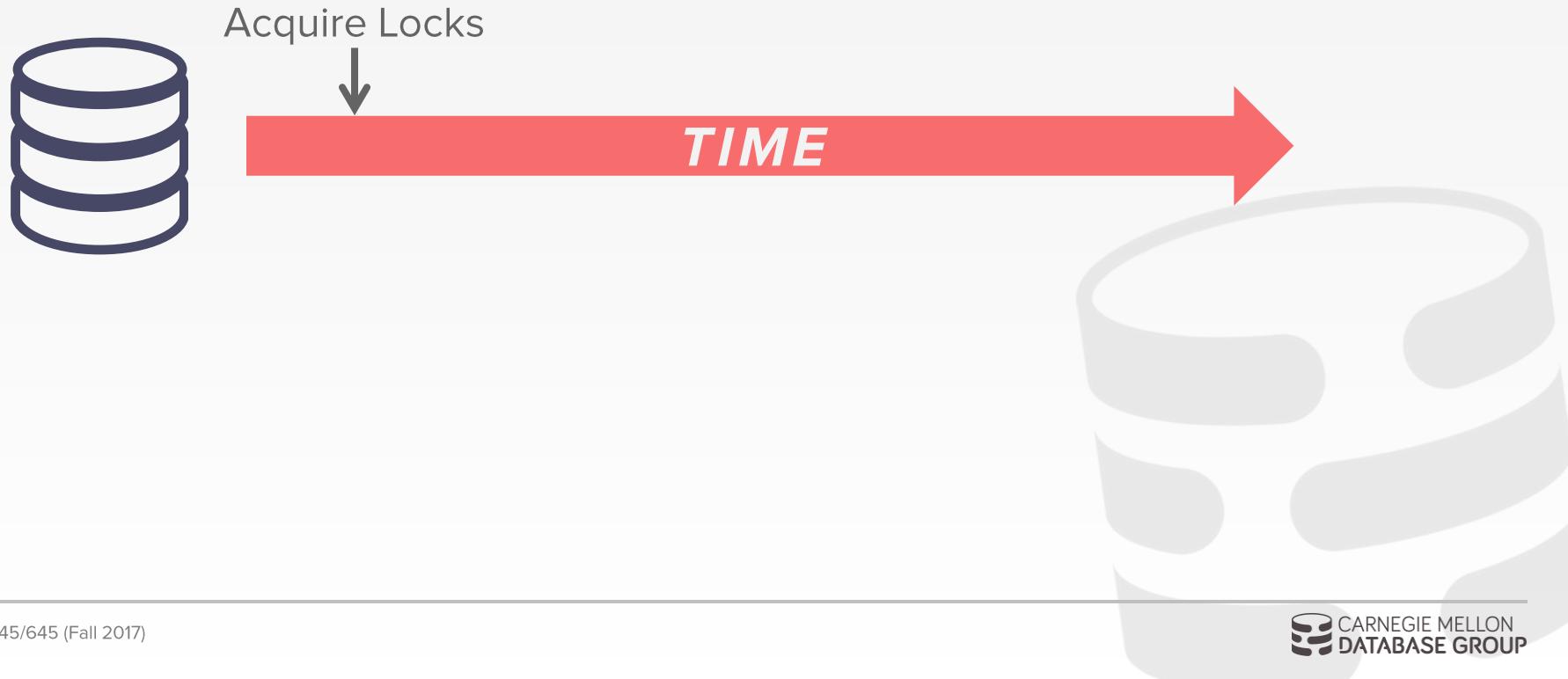
- These two provide fine-grained clock synchronization down to a few milliseconds.
- Every 30 seconds, there's maximum 7 ms difference.

Multiple sync daemons per data center

- GPS and atomic clocks can fail in various conditions.
- Sync daemons talk to each other within a data center as well as across data centers.



# SPANNER TRUETIME



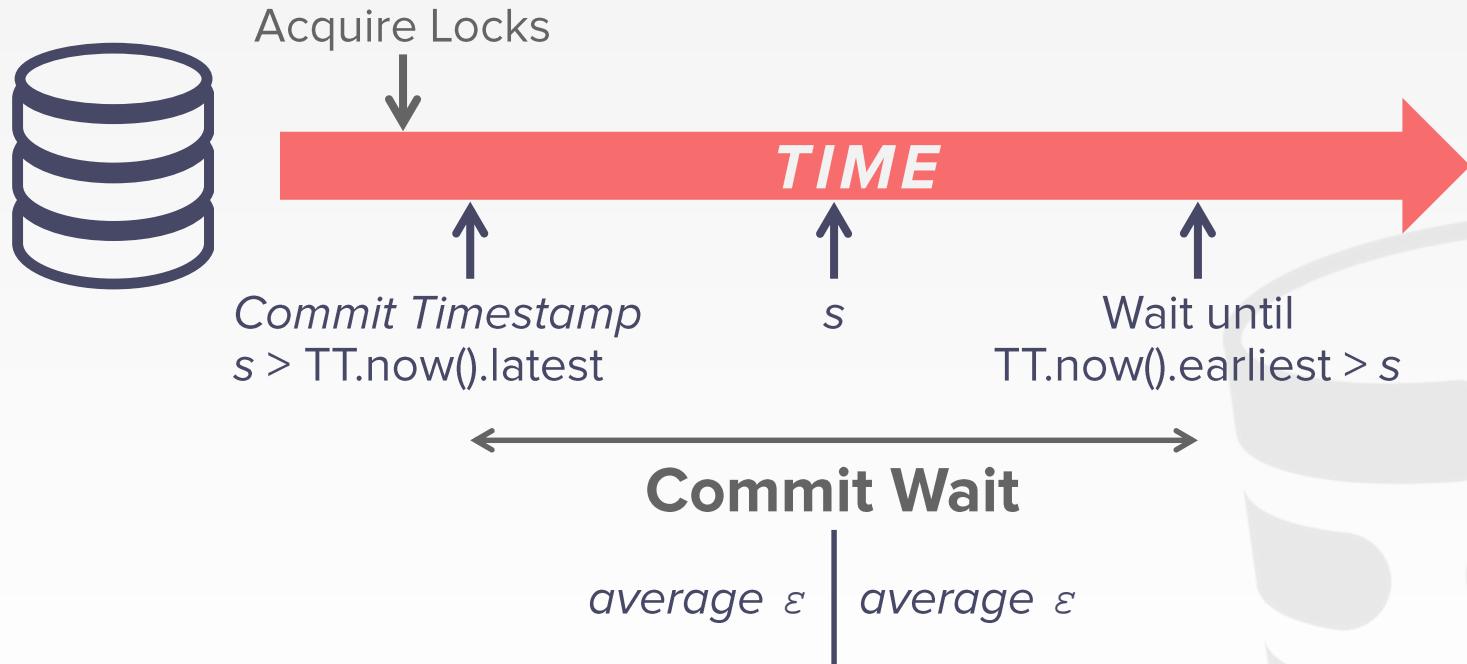
# SPANNER TRUETIME



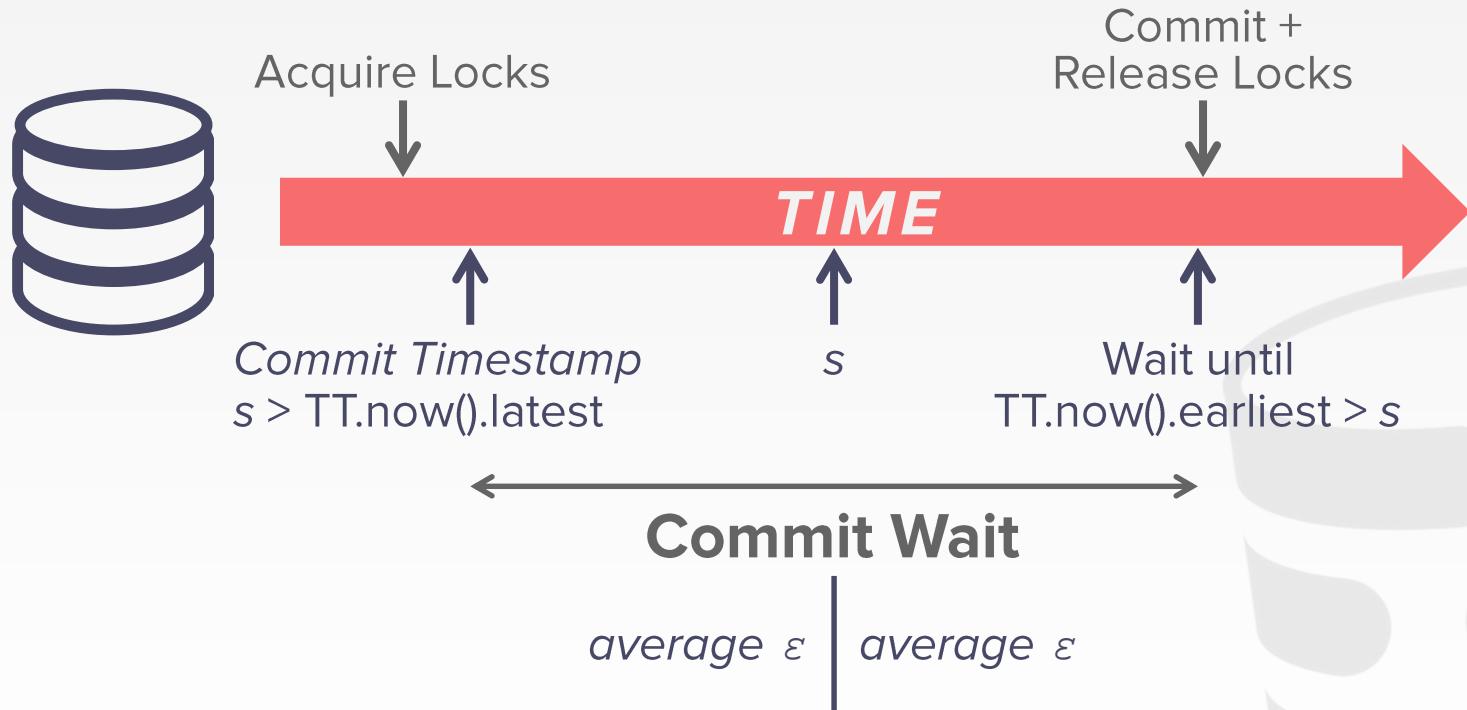
# SPANNER TRUETIME



# SPANNER TRUETIME



# SPANNER TRUETIME



# GOOGLE F1 (2013)

## OCC engine built on top of Spanner.

- In the read phase, F1 returns the last modified timestamp with each row. No locks.
- The timestamp for a row is stored in a hidden lock column. The client library returns these timestamps to the F1 server.
- If the timestamps differ from the current timestamps at the time of commit the transaction is aborted.

### F1: A Distributed SQL Database That Scales

Jeff Shute  
Chad Whipkey  
David Menestrina

Radek Vingralek  
Eric Rollins  
Stephan Ellner  
Traian Stancescu

Bart Samwel  
Mircea Oancea  
John Cieslewicz  
Himani Apile

Ben Handy  
Ian Rae\*

Google, Inc.  
\*University of Wisconsin-Madison

consistent and correct data.

Designing applications to cope with concurrency anomalies in their data is very error-prone, time-consuming, and ultimately not worth the performance gains.

**4. Usability:** The system must provide full SQL query support, including foreign keys, transactions, and a SQL database. Features like indexes and ad-hoc query are not just nice to have, but absolute requirements for our business.

Recent publications have suggested that these design goals are mutually exclusive [5, 11, 25]. A key contribution of this paper is to show how we achieved all of these goals in F1's design, and where we made trade-offs and sacrifices. The name F1 comes from genetics, where a *F1 hybrid* is the first generation of offspring resulting from the mating of distinctly different parental types. The F1 database system is indeed such a hybrid, combining the best aspects of traditional relational databases and scalable NoSQL systems like Bigtable [6].

F1 is built on top of Spanner [7], which provides extremely scalable data storage, synchronous replication, and strong consistency guarantees. F1 also inherits these features from Spanner and adds several more:

- Distributed SQL queries, including joining data from external data sources
- Transactionally consistent secondary indexes
- Asynchronous schema changes including database re-organizations
- Optimistic transactions
- Automatic change history recording and publishing

\*Previously described briefly in [22].

Permission to make digital or hard copies of all or part of this work for personal use or internal group use is granted without fee by the copyright holder for those who make or distribute copies with the permission of the copyright holder and a fee. Articles from this volume were invited to present the results at The 9th International Conference on Very Large Data Bases. August 26–September 2, 2013, Chicago, IL, USA. © 2013 VLDB Endowment 2150-8097/13/09... \$ 10.00.

Our design choices in F1 result in higher latency for typical reads or writes. We have developed techniques to hide that increased latency, and we found that user-facing transactions can be made to perform as well as in our previous MySQL system.

- An F1 Schema makes data clustering explicit, using tables with hierarchical relationships and columns with structured data types. This clustering improves data locality and reduces the number and cost of RPCs required to read remote data.

# GOOGLE CLOUD SPANNER (2017)

# Spanner Database-as-a-Service.

AFAIK, it is based on Spanner SQL not F1.

# Spanner: Becoming a SQL System

David F. Bacon Nathan Bales Nico Bruno Brian F. Cooper Adam Dickinson  
Andrew Fikes Campbell Fraser Andrey Gabov Milind Joshi Eugene Kogan  
Alexander Lloyd Sergey Melnik Rajesh Rao David Shue Christopher Taylor  
Marcel van der Holst Dale Woodford  
Google, Inc.

## ABSTRACT

Spanner is a globally-distributed data management system that

backs hundreds of mission-critical services at Google. Spanner is built on ideas from both the systems and database communities. The Spanner system is a distributed system that provides

The first paper presented at OSDI was “Bigtable: A distributed system for structured data” by Google researchers such as Eric Fossum, Michael Isard, and others. The paper describes a fault-tolerant, consistent replication, and wide-area consistency system. This paper highlights the design of Bigtable and its performance.

## 1. INTRODUCTION

Google's Spanner [1] started out as a key-value store offering multi-region consistency, transparent failover between regions, and eventually consistent access to a replicated database system. In that time it has added a strongly typed schema system and a SQL query processor, among other features. Spanner's original design was based on a single-threaded first version of our query system used high-level APIs almost like an external application, and its design did not leverage many of the features of the query system. As we have developed the system, the desire to make it behave in particular ways in a traditional database has forced the system to evolve. In particular,

- The architecture of the distributed storage stack has driven fundamental changes in our query compilation and execution, and
  - The demands of the query processor have driven fundamental changes in the way we store and manage data.

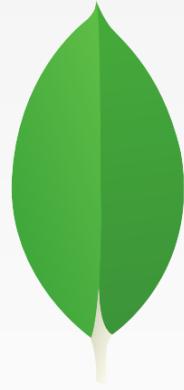
These changes have allowed us to preserve the massive scalability of Spanner, while offering customers a powerful platform for database applications. We have previously described the distributed

architecture and data and concurrency model of Spanner [5]. In

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SIGMOD '17, May 14-19, 2017, Chicago, IL, USA

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# mongoDB

# MONGODB

## Document Data Model

- Think JSON, XML, Python dicts
- Not Microsoft Word documents

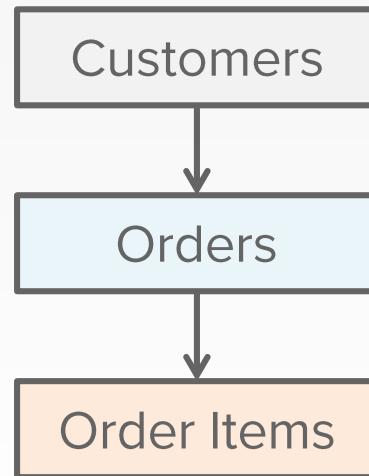
## Different terminology:

- Document → Tuple
- Collection → Table/Relation



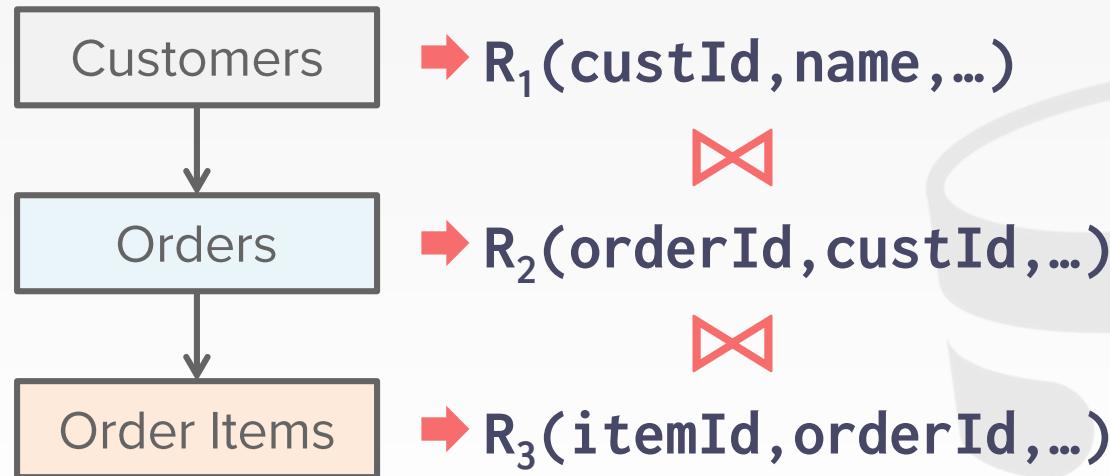
# BCNF EXAMPLE

A customer has orders and each order has order items.



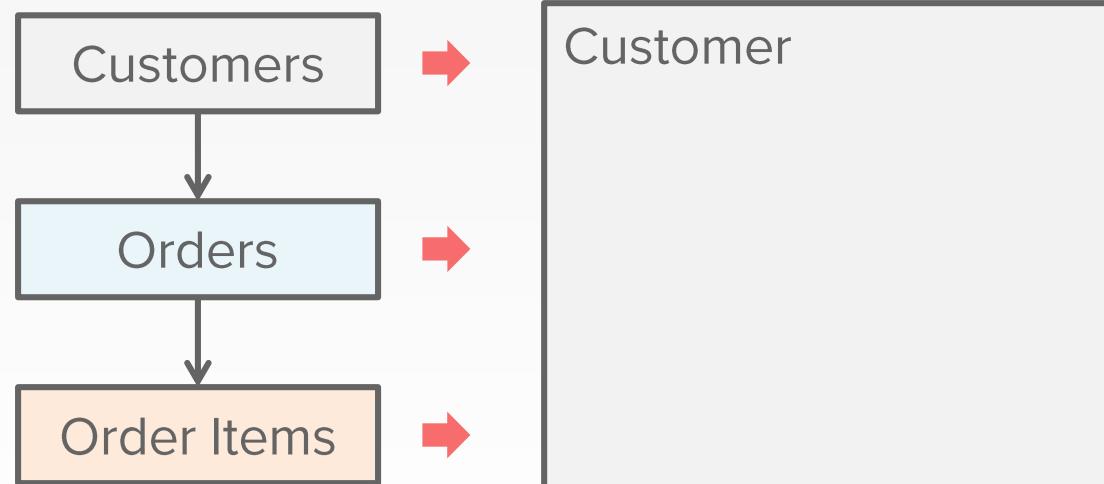
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A customer has orders and each order has order items.



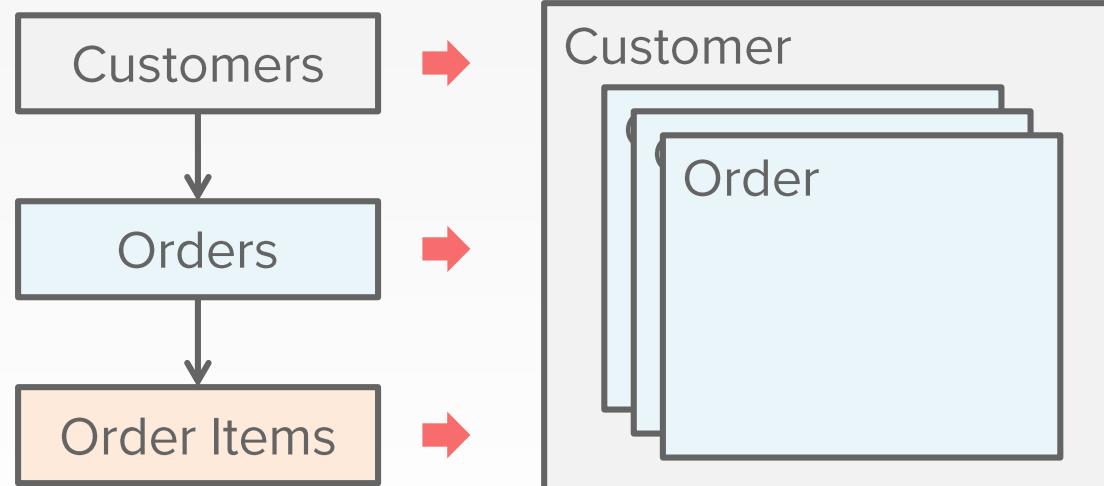
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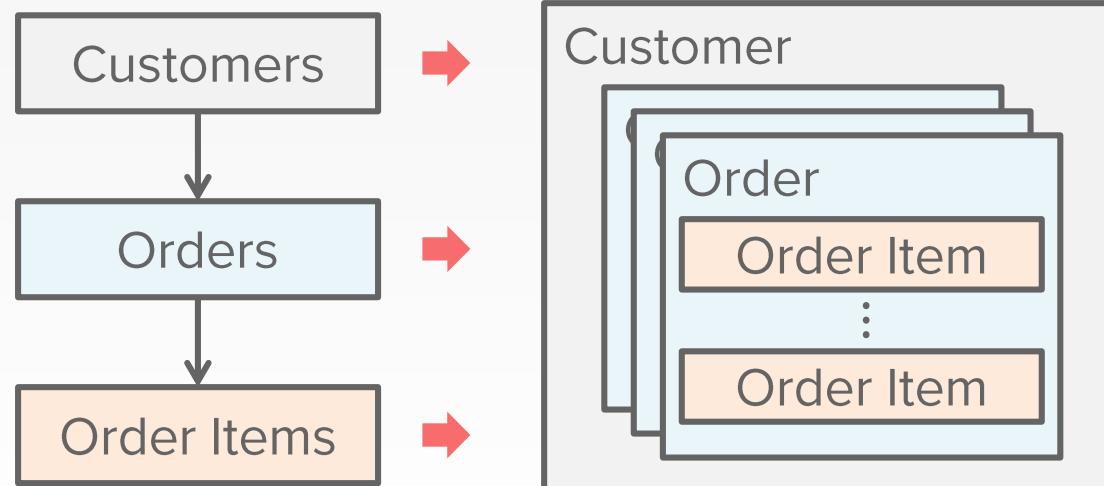
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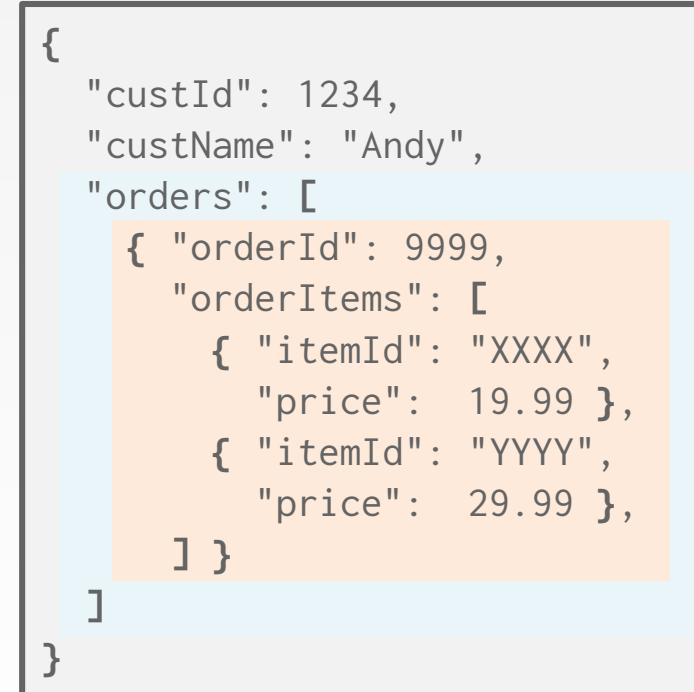
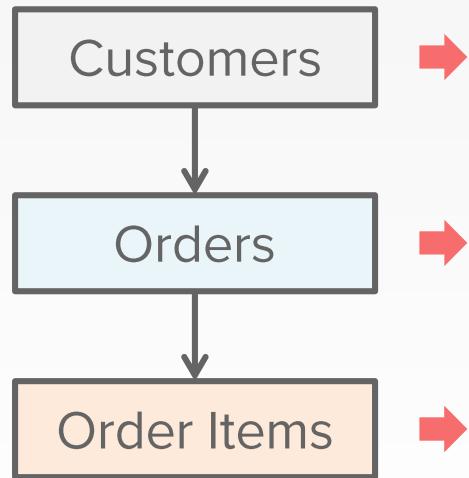
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# QUERY EXECUTION

JSON-only query API

Single-document atomicity.

→ **OLD**: No server-side joins. Had to "pre-join" collections by embedding related documents inside of each other.

→ **NEW**: Server-side joins (only left-outer equi)

No cost-based query planner / optimizer.



# Distributed Architecture

Heterogeneous distributed components.

- Shared nothing architecture
- Centralized query router.

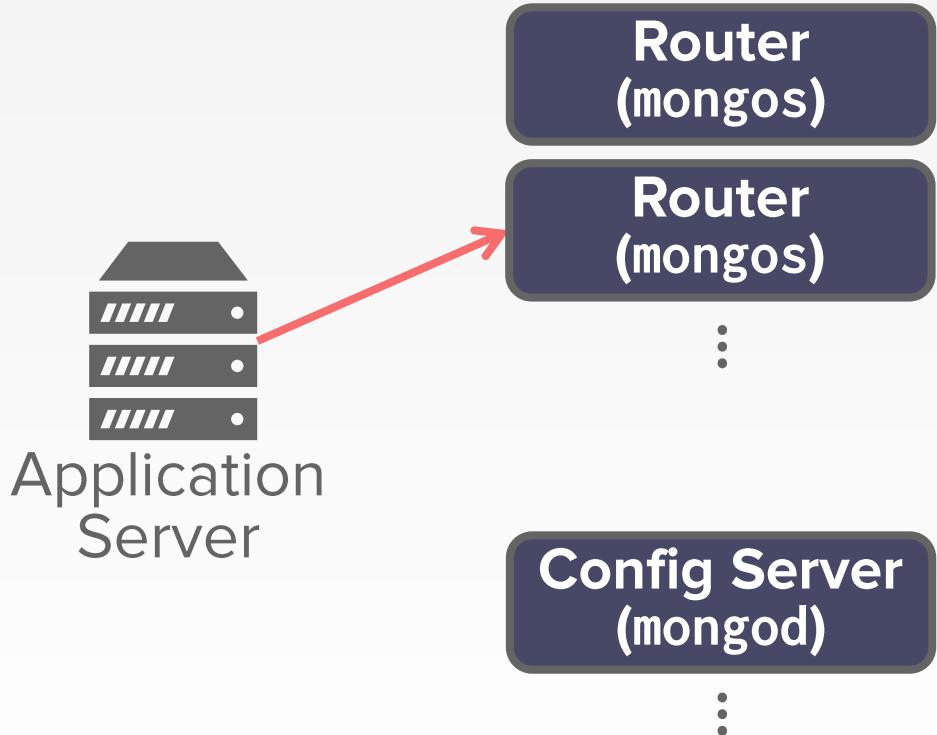
Master-slave replication.

Auto-sharding:

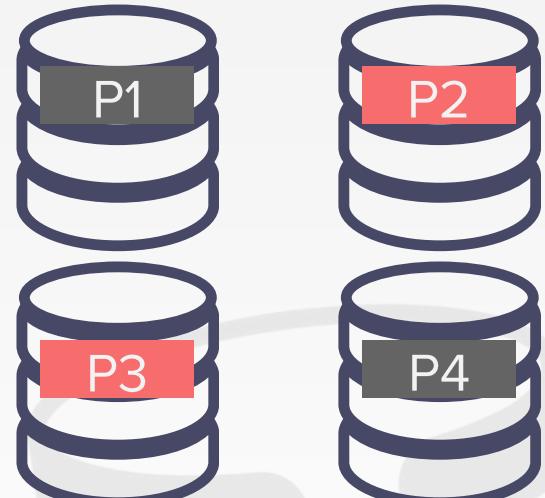
- Define 'partitioning' attributes for each collection (hash or range).
- When a shard gets too big, the DBMS automatically splits the shard and rebalances.



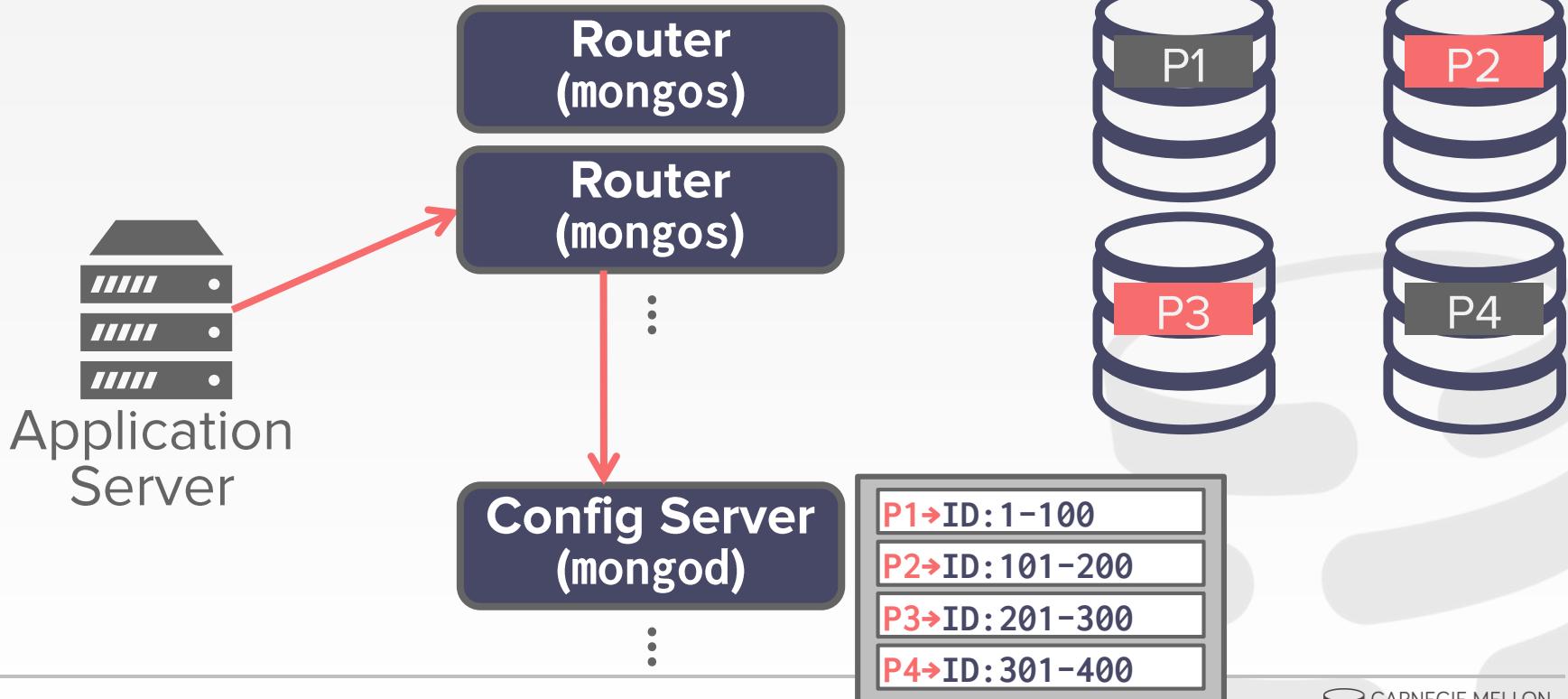
# MONGODB CLUSTER ARCHITECTURE



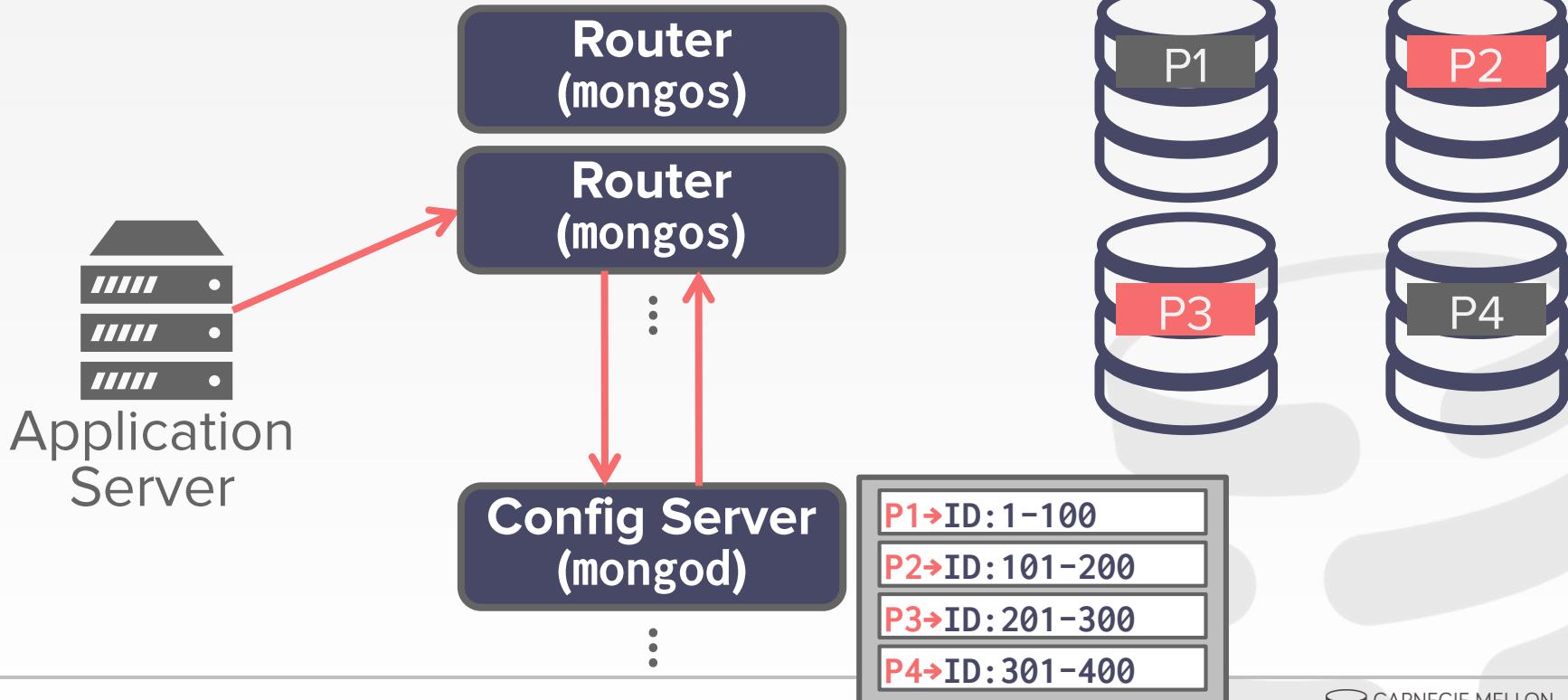
Shards (mongod)



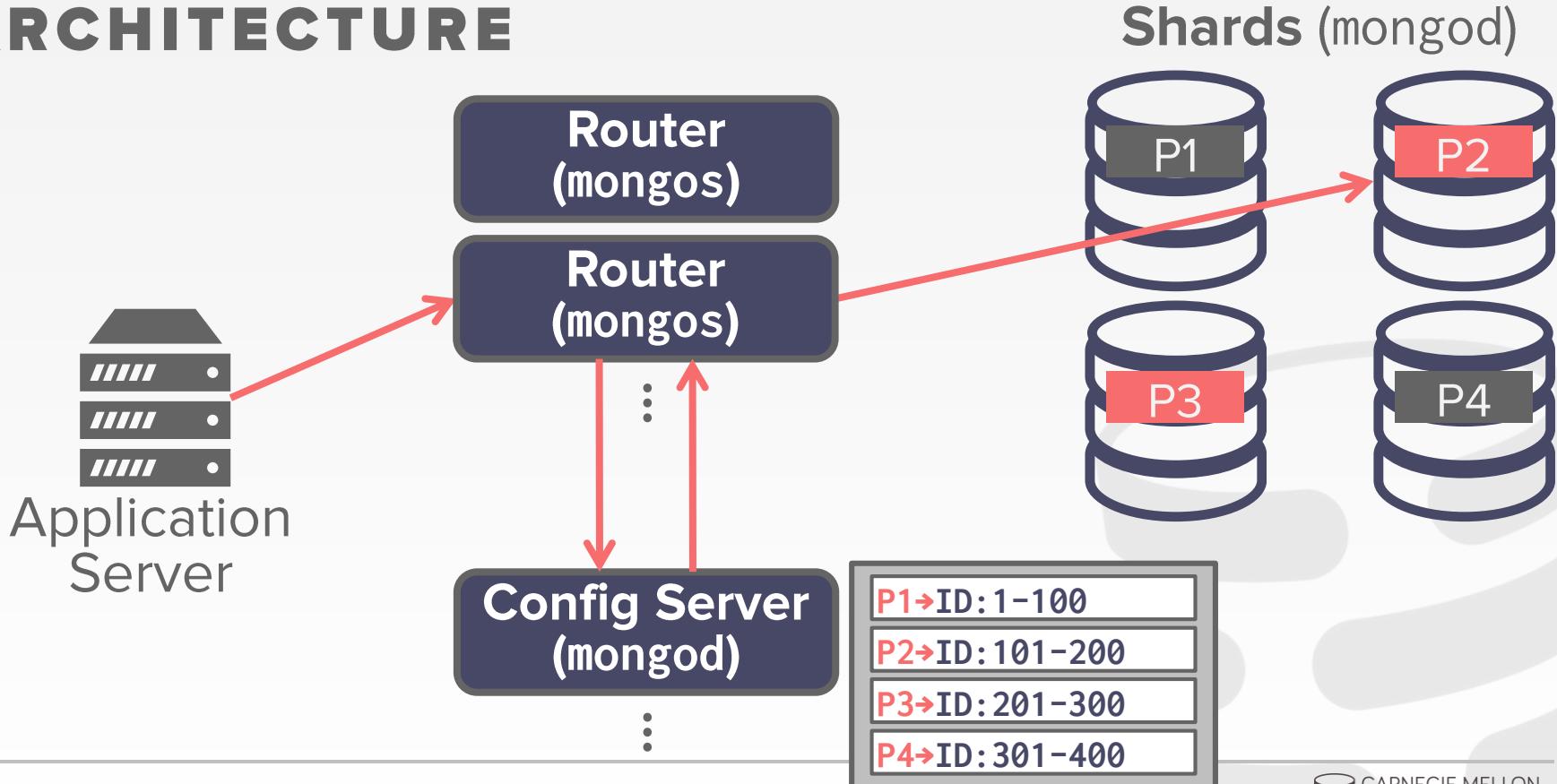
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# STORAGE ARCHITECTURE

Originally used **mmap** storage manager

- No buffer pool.
- Let the OS decide when to flush pages.
- Single lock per database.

Version 3 (2015) now supports pluggable storage managers.

- **WiredTiger** from BerkeleyDB alumni.  
<http://cmudb.io/lectures2015-wiredtiger>
- **RocksDB** from Facebook (“MongoRocks”)  
<http://cmudb.io/lectures2015-rocksdb>



# ANDY'S CONCLUDING REMARKS

Databases are awesome.

- They cover all facets of computer science.
- We have barely scratched the surface...

Going forth, you should now have a good understanding how these systems work.

This will allow you to make informed decisions throughout your career.

- Both MySQL and Postgres are getting really good...
- Avoid premature optimizations.

