

# Field Transformation HMC

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## Versions

- April 28, 2020, version 0
- April 28, 2020, version 1

## 1 Introduction

Following the arguments of “Trivializing maps” [1], to evaluate,

$$\langle O \rangle = 1/Z \int dx O(x) e^{-S(x)}, \quad (1)$$

we perform a change of variable,

$$x = F(y) \quad (2)$$

with a vector functions  $F$ , we have

$$\langle O \rangle = \frac{1}{Z} \int dy |\det[J(y)]| O(F(y)) e^{-S(F(y))}, \quad (3)$$

where the Jacobian matrix,

$$J(y) = \frac{\partial F(y)}{\partial y}. \quad (4)$$

$F$  has to satisfy,

- Injective (1 to 1), from the new integration domain to the old.
- Continuously differentiable (or differentiable and have continuous inverse).

Rewrite the integral as,

$$\langle O \rangle = \frac{1}{Z} \int d\mathcal{Y} O(F(\mathcal{Y})) e^{-S(F(\mathcal{Y})) + \ln|\det[J(\mathcal{Y})]|}. \quad (5)$$

F is a trivializing map, when

$$S(F(\mathcal{Y})) - \ln|\det[J(\mathcal{Y})]| = \text{constant} \quad (6)$$

and our expectation value simplifies to

$$\langle O \rangle = \frac{1}{Z} \int d\mathcal{Y} O(F(\mathcal{Y})). \quad (7)$$

In terms of HMC, we add the conjugate momenta,  $\pi$ , and use the equations of motion derived from the Hamiltonian,

$$\mathcal{H}(\mathcal{Y}, \pi) = \frac{1}{2} \pi^2 + S(F(\mathcal{Y})) - \ln|\det[J(\mathcal{Y})]|, \quad (8)$$

as

$$\frac{d}{dt} \pi = -\frac{\partial}{\partial \mathcal{Y}} \mathcal{H} = -J(\mathcal{Y}) S'(F(\mathcal{Y})) + \text{tr} \left[ J^{-1} \frac{d}{d\mathcal{Y}} J \right], \quad (9)$$

$$\frac{d}{dt} \mathcal{Y} = \frac{\partial}{\partial \pi} \mathcal{H} = \pi. \quad (10)$$

This is separable and can use the usual explicit, symplectic and symmetric discrete integrators.

Consider a change of variable in  $\pi$ ,

$$\pi = J(\mathcal{Y}) p = J(F^{-1}(x)) p \quad (11)$$

we get a new Hamiltonian,

$$H(x, p) = \frac{1}{2} p^\dagger M p + S(x) - \ln|\det[J]| \quad (12)$$

where the positive definite M,

$$M(x) = J^\dagger(F^{-1}(x)) J(F^{-1}(x)) \quad (13)$$

is the kernel of the kinetic term considered Duane et al [2, 3].

## 2 Test in one dimensional model

We consider a one dimensional spin chain from complete fixing of the temporal links of a two dimensional gauge theory with action,

$$S(U) = - \sum_x \beta \operatorname{Re} \operatorname{tr}[U_x^\dagger U_{x+1}]. \quad (14)$$

With  $U(1)$  gauge group, we use phase angle,  $\theta \in [-\pi, \pi)$ , and

$$U = e^{i\theta}, \quad (15)$$

$$S(U) = - \sum_x \beta \cos[\theta_{x+1} - \theta_x], \quad (16)$$

which is a one dimensional  $O(2)$  model. With open boundary conditions, we can perform a change of variable for a chain of  $N$  spins,

$$\varphi_x = \theta_{x+1} - \theta_x, \quad (17)$$

$$Z = \int d\theta \prod_x e^{\beta \cos[\theta_{x+1} - \theta_x]} \quad (18)$$

$$= \int d\varphi \prod_x e^{\beta \cos[\varphi_x]} \quad (19)$$

$$= \prod_x \int_{-\pi}^{\pi} d\varphi e^{\beta \cos \varphi}, \quad (20)$$

where all the spins decouple. This gives us the expectation value of the plaquette, exactly the same as for the 2D  $U(1)$  gauge theory in the limit,

$$\langle P \rangle = \frac{I_1(\beta)}{I_0(\beta)}, \quad (21)$$

where  $I$  is the modified Bessel function of the first kind,

$$I_n(z) = \frac{1}{2\pi i} \oint e^{(t+1/t)z/2} t^{-n-1} dt \quad (22)$$

$$= \frac{1}{\pi} \int_0^\pi e^{z \cos \theta} \cos(n\theta) d\theta \quad \text{for } n \in \mathbb{Z}. \quad (23)$$

We can define the topological charge the same as in the 2D  $U(1)$  model,

$$Q = \frac{1}{2\pi} \sum_x \operatorname{Arg}[\theta_{x+1} - \theta_x]. \quad (24)$$

For the field transformation, we use “stout smearing” [4] style update, which is also used in the perturbative treatment in reference [1]. Given the 1D analogy of  $n$  cycle Wilson loops of length  $d$ ,

$$W_{ndx}^{\text{even/odd}}(\theta) = -\frac{\gamma_{nd}^{\text{even/odd}}}{n^2} \cos[n(\theta_{x+d} - \theta_x)], \quad (25)$$

our smearing update from  $\theta$  to  $\theta'$  is

$$\theta'_x = F_{ndx}^{\text{even/odd}}(\theta) = \theta_x + \frac{\partial}{\partial \theta_x} W_{ndy}^{\text{even/odd}}(\theta). \quad (26)$$

In order to have a tractable Jacobian determinant, we update “even” and “odd” sites separately,

$$\text{even: } x \bmod 2d < d, \quad (27)$$

$$\text{odd: } x \bmod 2d \geq d. \quad (28)$$

This defines a series of diffeomorphism with positive definite Jacobian determinant with

$$-0.5 < \gamma < 0.5. \quad (29)$$

We optimize the coefficients,  $\gamma$ , during HMC, by minimize the loss function defined as,

$$\begin{aligned} l(\theta', \pi' | \theta, \pi) = & -\frac{1}{N_{\text{batch}}} \sum_{\text{batch}} \max \{1, e^{H(\theta, \pi) - H(\theta', \pi')}\} \\ & \left( \lambda \frac{1}{N} \sum_x [1 - \cos((\theta'_{x+1} - \theta'_x) - (\theta_{x+1} - \theta_x))] \right. \\ & \left. + \mu \left[ \sum_x \sin(\theta'_{x+1} - \theta'_x) - \sum_x \sin(\theta_{x+1} - \theta_x) \right]^2 \right), \end{aligned} \quad (30)$$

where  $(\theta', \pi')$  and  $(\theta, \pi)$  are respectively the proposed and initial configuration in the MD evolution, and the sum of sin is an approximate of the topological charge.

In the following test, we apply a chain of 48 transformations twice,

$$F_{ndx}^{\text{odd}} \circ F_{ndx}^{\text{even}} \circ \dots, \quad (31)$$

with  $n \in [1, 2, 3, 4]$  and  $d \in [1, 2, 4, 8, 16, 32]$ . There are 96 free parameters,  $\gamma$ , in total. In addition we also train the leapfrog step size. We train these 97 parameters during HMC with changing  $\beta$  from small to large in steps, everytime allow thermolizing after changing  $\beta$  before starting optimization. We train the model on a system with the number of sites,  $N = 64$ , and apply the trained model to a system with the number of sites,  $N = 256$ .

### 3 Discussions and plans

A chain of “stout smearing” works for 1D. Parameters trained for a chain of size 64 works for a chain of size 256.

Plans: Try optimize the trivializing map directly. Test with 2D U(1) model. Try neural network parametrized smearing.

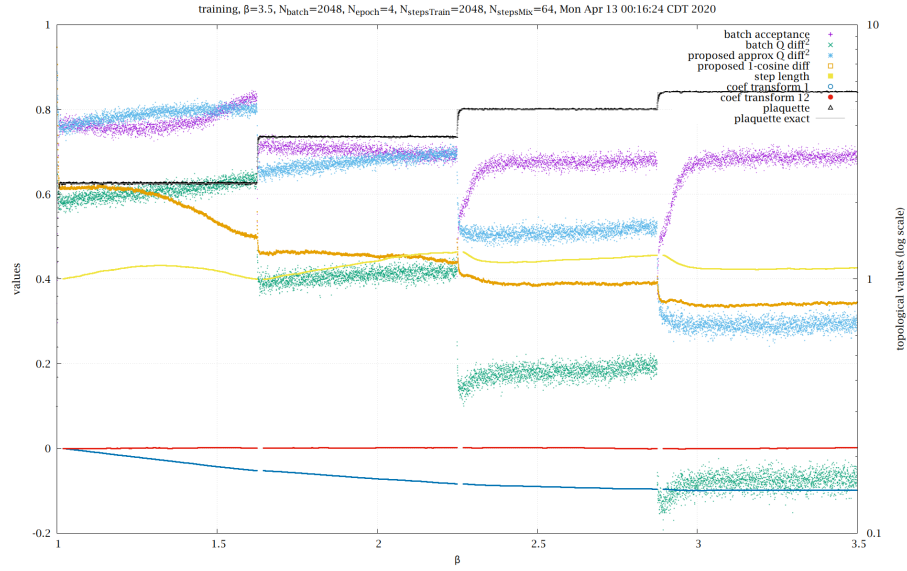


Figure 1: Annealed training steps for  $N = 64$ .

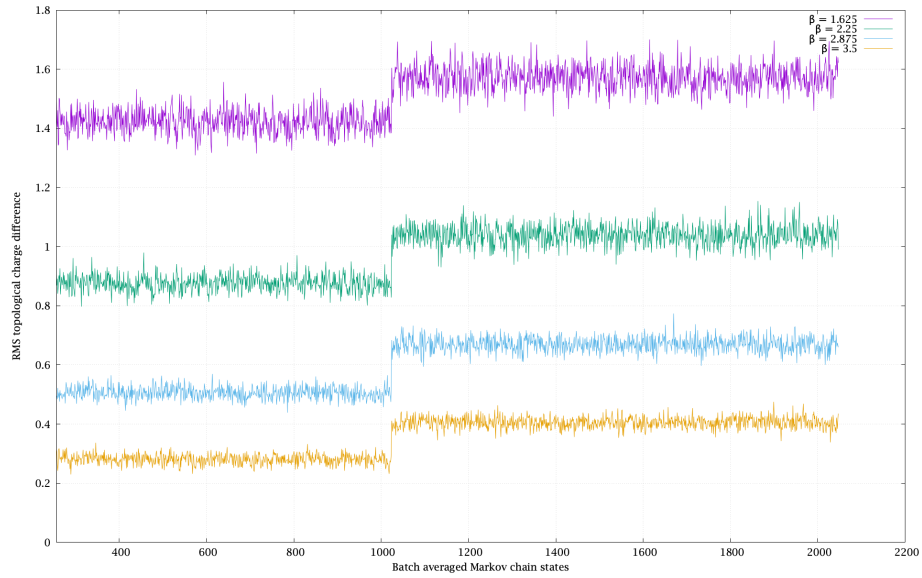


Figure 2: Topological charge change rate at  $N = 64$ , with traditional HMC and field transformation HMC.

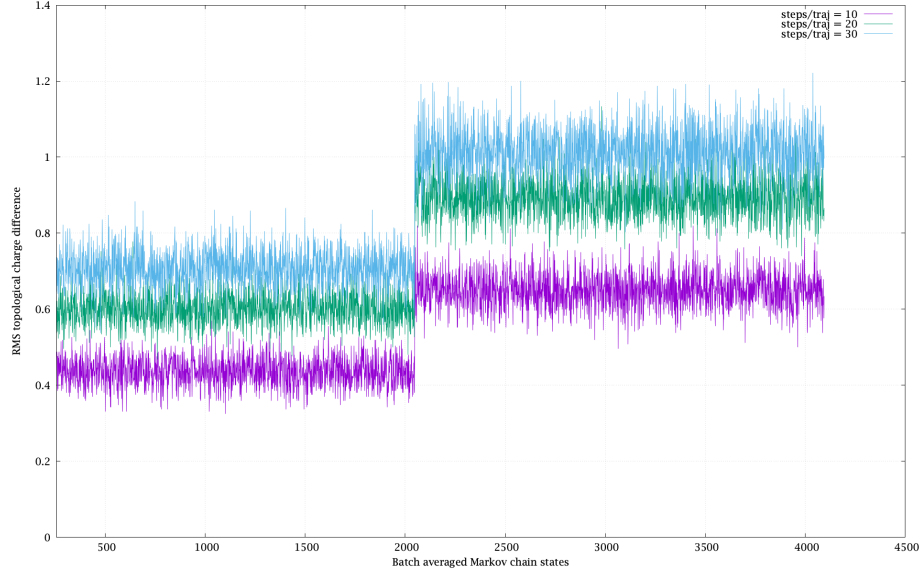


Figure 3: Apply trained parameters from  $N = 64$  to  $N = 256$ , with traditional HMC and field transformation HMC.

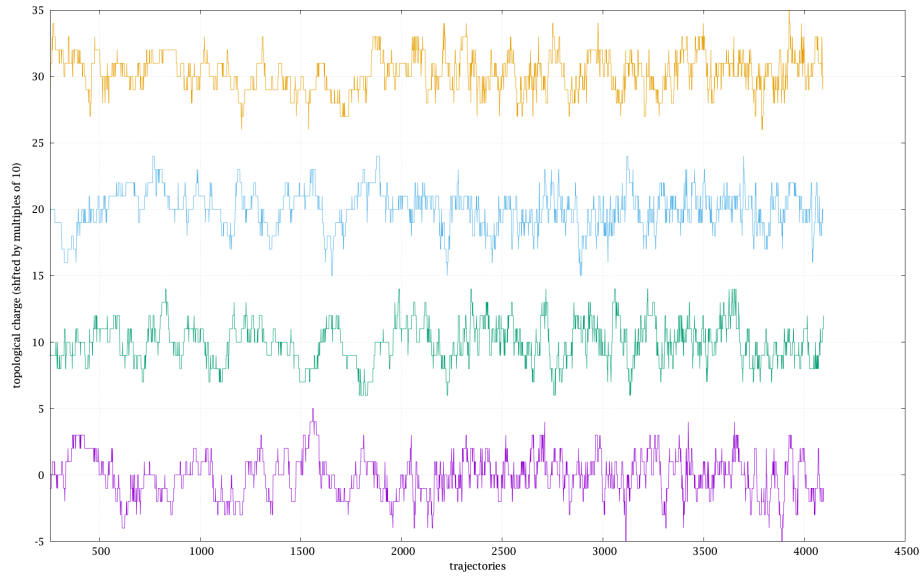


Figure 4: Individual MC evolution after applying trained parameters from  $N = 64$  to  $N = 256$ , with traditional HMC and field transformation HMC.

## References

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