

# NOTES ON SIMPLICIAL HOMOTOPY THEORY

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ABSTRACT. Some notes on simplicial homotopy theory

## 1. Introduction

## 2. Constructive simplicial homotopy theory

- Basics on **SSet** and notation.
- We use  $\Delta[n]$ ,  $\partial\Delta[n]$  and  $\Lambda^k[n]$  and following Joyal.
- Recall the existence of a weak model structure on **SSet**:
  - Fibrations = Kan fibrations
  - Cofibrations = Levelwise complemented monomorphisms such that degeneracies are decidable on the complement of the image.
  - Cofibrant objects are simplicial sets in which degeneracies are decidable.
- It would be good to have an explicit definition of the cofibrant replacement functor.
- Recall the pushout product property.

**Proposition 2.1.** *Cofibrations are closed under pullbacks.*

**Proof.** To be added. □

**Lemma 2.2.** *For  $0 \leq k \leq n$ , the horn inclusion  $i: \Lambda^k[n] \rightarrow \Delta[n]$  is a retract of the pushout product*

$$i \hat{\times} \delta^k: (\Lambda^k[n] \times \Delta[1]) \cup (\Delta[n] \times k) \rightarrow \Delta[n] \times \Delta[1].$$

**Proposition 2.3.** *A map is a Kan fibration if and only if it has the right lifting property with respect to the pushout products  $i \hat{\times} \delta^k$ .*

### 3. Dependent products

We say that a semi-model structure on a category  $\mathcal{E}$  has the *restricted Frobenius property* if for every fibration  $f: B \rightarrow A$  with  $A$  cofibrant, the pullback functor

$$f^*: \mathcal{E}_{/A} \rightarrow \mathcal{E}_{/B}$$

preserves trivial cofibrations. When the semi-model structure is cofibrantly generated, it is sufficient [TO CHECK] that the pullback functor sends generating trivial cofibrations to trivial cofibrations. Note that, by adjointness, it follows that the pushforward functor

$$f_*: \mathcal{E}_{/B} \rightarrow \mathcal{E}_{/A}$$

preserves fibrations. Note, however, that the result of applying  $f_*$  may not be a cofibration.

**Lemma 3.1.**

- (i)  $\mathcal{J} \subset \text{Cof} \cap \mathcal{S}$ .
- (ii)  $\text{Cof} \cap \mathcal{S} \subseteq \text{TrivCof}$ .

**Lemma 3.2.** *For  $k \in \{0, 1\}$ , the pullback of a strong  $k$ -oriented homotopy equivalence with cofibrant codomain along a fibration is a strong  $k$ -oriented homotopy equivalence.*

**Theorem 3.3.** *The semi-model structure for Kan complexes on  $\mathbf{SSet}$  has the restricted Frobenius condition.*

**Proof.** It suffices to show that, for a pullback diagram of the form

$$\begin{array}{ccc} Y & \longrightarrow & \Lambda^k[n] \\ j \downarrow & & \downarrow i \\ X & \xrightarrow{f} & \Delta[n] \end{array}$$

if  $f$  is a Kan fibration then  $j$  is a trivial cofibration. First, since  $i$  is a trivial cofibration, it is also a cofibration and so its pullback is again a cofibration. Secondly, since  $i$  is trivial cofibration with cofibrant codomain, its pullback is a strong homotopy equivalence by Lemma 3.2. But now  $j$  is both a cofibration and a strong homotopy equivalence and hence it is a trivial cofibration by Lemma 3.2.  $\square$

The plan to interpret  $\Pi$ -types is as follows. Suppose you have fibrations  $q: \Gamma.A.B \rightarrow \Gamma.A$  and  $p: \Gamma.A \rightarrow \Gamma$ , with all objects both fibrant and cofibrant. We begin by applying

$$p_*: \mathbf{SSet}_{/\Gamma.A.B} \rightarrow \mathbf{SSet}_{/\Gamma.A}$$

to  $q$ , so as to obtain  $p_*(q): \Gamma.(\Pi x: A)B(x) \rightarrow \Gamma$ , which is a fibration by Theorem 3.3. The domain of this map is fibrant but not necessarily cofibrant, so we take a cofibrant replacement [OF WHAT? OF THE OBJECT IN  $\mathcal{E}_{/\Gamma}$  OR OF THE MAP?] and obtain a map that we denote as

$$\Gamma.(\widetilde{\Pi}x: A)B(x) \rightarrow \Gamma.$$

This will satisfy the  $\beta$ -rule but not the  $\eta$ -rule for  $\Pi$ -types.

#### 4. The universe

Recall that we work in a constructive set theory with two universes  $u_1$  and  $u_2$  and that we refer to elements of  $u_1$  as small sets. We then define a simplicial set  $X$  to be *small*

**Definition 4.1.**

- (i) We say that a simplicial set  $X$  is *small* if  $X_n$  is a small set for every  $[n] \in \Delta$ .
- (ii) We say that a map  $f: Y \rightarrow X$  in **SSet** is *small* if for every  $x: \Delta[n] \rightarrow X$  the simplicial set  $Y_x$  fitting in the pullback square

$$\begin{array}{ccc} Y_x & \longrightarrow & Y \\ \downarrow & \lrcorner & \downarrow f \\ \Delta[n] & \xrightarrow{x} & X \end{array}$$

is small.

By the results in [29] for arbitrary presheaf categories, small maps in **SSet** admit a weak classifier, i.e. a small map  $\rho: \bar{V} \rightarrow V$  such that for every small map  $f: Y \rightarrow X$  there exists a pullback diagram of the form

$$\begin{array}{ccc} Y & \longrightarrow & \bar{V} \\ \downarrow f & \lrcorner & \downarrow \rho \\ X & \longrightarrow & V \end{array}$$

Letting  $X = \Delta[n]$  in this diagram suggests to define  $V_n$  as the set of all small maps with codomain  $\Delta[n]$ . In this way, however, one does not obtain a presheaf since the transition functions will satisfy the functorial laws only up to isomorphism rather than equality. To remedy this, the  $n$ -simplices of  $V$  are defined instead to be the functors  $F: (\Delta/[n])^{\text{op}} \rightarrow \mathbf{Set}$  such that the corresponding map of simplicial sets  $\text{El}(F) \rightarrow \Delta[n]$  is small. MORE TO BE ADDED.

Following [15, 39], we consider the pullback

$$\begin{array}{ccc} \bar{U} & \longrightarrow & \bar{V} \\ \downarrow \pi & \lrcorner & \downarrow \rho \\ U & \longrightarrow & V \end{array}$$

where  $U \subseteq V$  is defined by letting

$$U_n = \{F \in V_n \mid \text{El}(F) \rightarrow \Delta[n] \text{ is a small Kan fibration} \}$$

**Proposition 4.2.**

- (i)  $\pi: \bar{U} \rightarrow U$  is a small Kan fibration.
- (ii)  $\pi: \bar{U} \rightarrow U$  classifies small Kan fibrations, i.e. for every small Kan fibration  $f: Y \rightarrow X$  there exists a pullback diagram of the form

$$\begin{array}{ccc} Y & \longrightarrow & \bar{U} \\ \downarrow f & \lrcorner & \downarrow \pi \\ X & \longrightarrow & U \end{array}$$

- (iii) The simplicial set  $\bar{U}$  is cofibrant.

**Proof.** We prove the three claims separately.

- (i) Should follow by locality.
- (ii) Should be immediate.
- (iii) See handwritten notes. Key step is the constructive version of the Eilenberg-Zilber lemma.  $\square$

However, the simplicial set  $\mathbf{U}$  does not appear to be cofibrant and hence it does not seem possible to show that  $\pi: \overline{\mathbf{U}} \rightarrow \mathbf{U}$  is a weak classifier for small Kan fibrations with cofibrant codomain. In order to remedy this, we consider the cofibrant replacement  $\mathbf{U}_c$  of  $\mathbf{U}$ , which comes equipped with a trivial fibration  $p: \mathbf{U}_c \rightarrow \mathbf{U}$ , and the pullback

$$\begin{array}{ccc} \overline{\mathbf{U}}_c & \longrightarrow & \overline{\mathbf{U}} \\ \pi_c \downarrow & \lrcorner & \downarrow \pi \\ \mathbf{U}_c & \xrightarrow{p} & \mathbf{U} \end{array}$$

We can now prove that  $\pi_c: \overline{\mathbf{U}}_c \rightarrow \mathbf{U}_c$  has the desired properties.

**Proposition 4.3.**

- (i)  $\pi_c: \overline{\mathbf{U}}_c \rightarrow \mathbf{U}_c$  is a small Kan fibration with fibrant codomain.
- (ii) The map  $\pi_c: \overline{\mathbf{U}}_c \rightarrow \mathbf{U}_c$  classifies small Kan fibrations with cofibrant domain, i.e. for every small Kan fibration  $f: Y \rightarrow X$  with  $X$  cofibrant there exists a pullback diagram of the form

$$\begin{array}{ccc} Y & \longrightarrow & \overline{\mathbf{U}}_c \\ f \downarrow & & \downarrow \pi_c \\ X & \longrightarrow & \mathbf{U}_c \end{array}$$

- (iii) The simplicial set  $\overline{\mathbf{U}}_c$  is cofibrant.

**Proof.** Part (i) follows from part (i) of Proposition 4.2. For part (ii), let  $f: Y \rightarrow X$  be a small Kan fibration with  $X$  cofibrant. Since  $f$  is a small Kan fibration, we know from Proposition 4.2 that there is a pullback diagram of the form

$$\begin{array}{ccc} Y & \longrightarrow & \overline{\mathbf{U}} \\ f \downarrow & \lrcorner & \downarrow \pi \\ X & \longrightarrow & \mathbf{U} \end{array}$$

Since  $X$  is cofibrant, we have the lifting diagram

$$\begin{array}{ccc} 0 & \longrightarrow & \mathbf{U}_c \\ \downarrow & \nearrow & \downarrow p \\ X & \longrightarrow & \mathbf{U} \end{array}$$

which shows that the map  $X \rightarrow \mathbf{U}$  factors via  $\mathbf{U}_c$ . We then obtain the diagram

$$\begin{array}{ccccc} Y & \longrightarrow & \overline{\mathbf{U}}_c & \longrightarrow & \overline{\mathbf{U}} \\ f \downarrow & & \downarrow \pi_c & \lrcorner & \downarrow \pi \\ X & \longrightarrow & \mathbf{U}_c & \xrightarrow{p} & \mathbf{U} \end{array}$$

Here, the right-hand side square and the rectangle are pullbacks and therefore the left-hand side square is also a pullback, as required. Part (iii) follows from the fact that both  $\mathcal{U}_c$  and  $\bar{\mathcal{U}}$  are cofibrant, the latter being part (iii) of Proposition 4.2.  $\square$

Note that we have not shown yet that  $\mathcal{U}_c$  fibrant. This will be done in Section 6, as a consequence of the equivalence extension property for fibrations, which we establish in Section 5.

## 5. The equivalence extension property

- Here we follow Kapulkin and Lumsdaine.

## 6. Fibrancy and univalence of the universe

- Fibrancy should follow directly from equivalence extension property, without using ‘composition vs filling’ but rather retract property for horns (see notes).
- Once we have established fibrancy of  $U_c$ , then one can prove univalence by showing that  $t: \mathbf{Weq}(U_c) \rightarrow U_c$  is a trivial fibration.
- Question: do we need to know that  $\mathbf{Weq}(U_c)$  is a cofibrant object to get univalence?



## 7. Semantics

- This should be essentially straightforward, following Kapulkin and Lumsdaine, but we may need to modify the notion of a  $\Pi$ -structure to accommodate the cofibrant replacements that we take for  $\Pi$ .

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