

# **CS 6212 DESIGN AND ANALYSIS OF ALGORITHMS**

## **LECTURE: DYNAMIC PROGRAMMING – PART II**

Instructor: Abdou Youssef

# WHAT YOU LEARNED LAST LECTURE A/B DP

Last lecture, you learned:

- How Dynamic Programming (DP) works, including its major design steps
- The *principle of optimality*, and how to state in a given problem
- How to prove the principle of optimality (by contradiction)
- How to apply DP to solve the Matrix Chain Problem, especially the derivation of a recurrence relation, and computing it in a table
- How to use the optimal splits recorded in the table to construct the actual optimal solution

# OBJECTIVES OF THIS LECTURE

By the end of this lecture, you will be able to:

- Apply Dynamic Programming (DP) more firmly
- Derive a well-known DP algorithm for the all-powerful *all-pairs shortest-paths problem*
- Formulate an optimal binary search tree approach to a practical problem of searching for items of different access-frequencies
- Develop a DP algorithm for the *Optimal Binary Search Tree problem*
- Conduct time complexity analysis on DP algorithms

# OUTLINE

- Second application of DP: the all-pairs shortest-paths problem
- Formulation of searching for items of different access-frequencies, as an optimal-BST problem
- Third application of DP: the OBST problem

# THE ALL-PAIRS SHORTEST PATH PROBLEM

Problem statement:

- **Input:** A weighted graph  $G$ , represented by its weight matrix  $W[1:n, 1:n]$ , where  $n = \text{number of nodes in } G$ .
  - $W[i, i] = 0 \ \forall i$ ,
  - $W[i, j] = \infty$  if there is no real edge between node  $i$  and node  $j$ ;
  - Otherwise,  $W[i, j]$  is a real number.
- **Output:** A distance matrix  $A[1:n, 1:n]$ , where  $A[i, j]$  is the distance from node  $i$  to node  $j$
- **Task:** Develop a DP algorithm for solving this problem

# APPLYING DP TO THE APSP PROBLEM

## -- (1) NOTATION (1/2) --

- **Definition:** A  $k$ -special path from node  $i$  to node  $j$  is a path from  $i$  to  $j$  where the label of every intermediary node is  $\leq k$

- 2-special paths from 1 to 7

- 1, 2, 7: Y/N?

Yes

- 1, 7: Y/N?

Yes

- 1, 2, 3, 5, 7: Y/N?

NO. Why?

- 2-special path from 3 to 7:

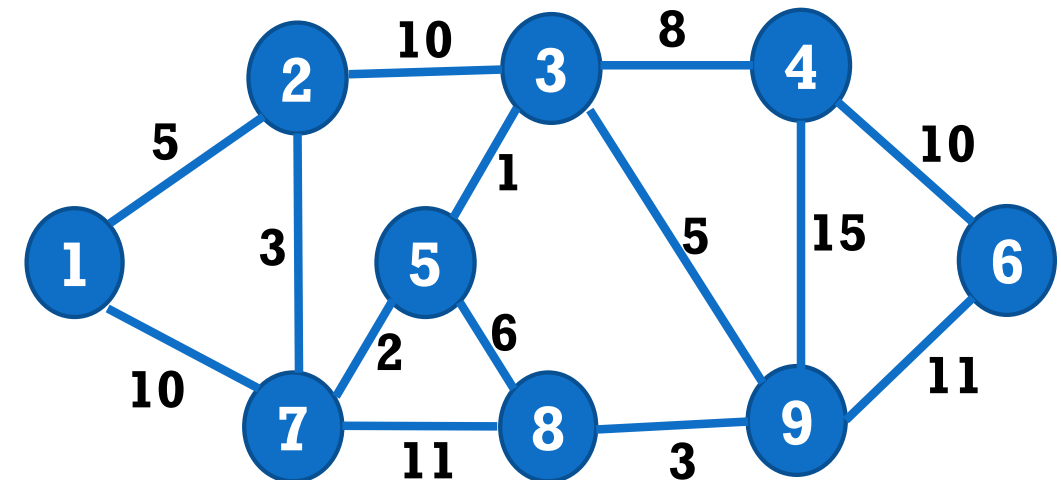
- 3, 7: Y/N?

Yes, of length= $\infty$

- 3, 2, 7: Y/N?

Yes, of length=13

- 5-special paths from 3 to 7: [3, 7]; [3, 2, 7]; [3, 5, 7]; [3, 2, 1, 7]



# APPLYING DP TO THE APSP PROBLEM

## -- (1) NOTATION (2/2) --

- For all nodes  $i$  and  $j$ , and all positive integers  $k = 1, 2, \dots, n$ , let
  - $A^{(k)}[i, j]$  = length of the shortest  $k$ -special path from node  $i$  to node  $j$
  - Initial values:  $A^{(0)}[i, j] = ??$ 
    - Note that any 0-special path from node  $i$  to node  $j$  is the single edge  $(i, j)$  because when  $k=0$ , no intermediate node can have a label  $\leq 0$  since all the labels are  $\geq 1$
    - Therefore,  $A^{(0)}[i, j] = W[i, j]$
  - For what values of  $k$  is  $A^{(k)}[i, j]$  the distance from  $i$  to  $j$ ?
    - $k = n$ . Why?

# APPLYING DP TO THE APSP PROBLEM

## -- (2) PRINCIPLE OF OPTIMALITY--

- We already saw last lecture that any sub-path of a shortest path is a shortest path between its end nodes



# APPLYING DP TO THE APSP PROBLEM

## -- (3) RECURRENCE RELATION --

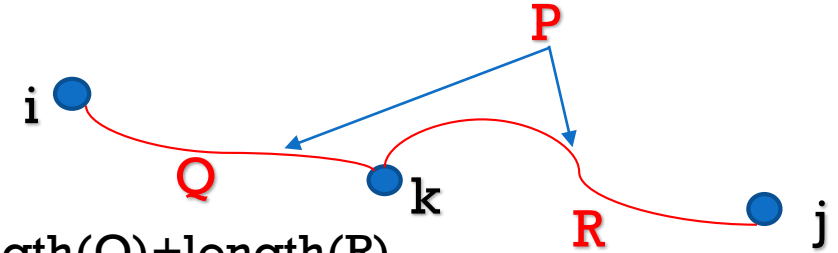
- Divide the  $k$ -special paths from node  $i$  to node  $j$  into two groups:
  - Group A: Those  $k$ -special paths that do not go through node  $k$
  - Group B: Those  $k$ -special paths that go through node  $k$
- $A^{(k)}[i, j] = \min(\text{length of the } k\text{-special paths from node } i \text{ to node } j)$
- $A^{(k)}[i, j] = \min(\min(\text{length of } k\text{-special paths in Group A}), \min(\text{length of } k\text{-special paths in Group B}))$
- Group A: if a  $k$ -special path doesn't go through node  $k$ , then every intermediary node on that path is  $\leq k - 1$ , and thus the path is a  $(k - 1)$ -special path
- Therefore:  $\min(\text{length of } k\text{-special paths in Group A}) = \min(\text{length of } (k - 1)\text{-special paths from node } i \text{ to node } j) = A^{(k-1)}[i, j]$

# APPLYING DP TO THE APSP PROBLEM

## -- (3) RECURRENCE RELATION (CONTINUED)--

- Group B:  $k$ -special paths from node  $i$  to node  $j$  that go through node  $k$
- The shortest  $k$ -special path  $P$  in Group B goes from node  $i$  to node  $k$ , then from node  $k$  to node  $j$ .

- Call the first portion path  $Q$
- Call the second portion path  $R$



- $\min(\text{length of } k\text{-special paths in Group B}) = \text{length}(P) = \text{length}(Q) + \text{length}(R)$
- No intermediary node of  $Q$  can be node  $k$  because otherwise path  $P$  goes through node  $k$  multiple times, and that makes  $P$  having cycles and thus not the shortest in its group, contradicting the choice of  $P$  as the shortest in Group B
- Therefore, every intermediary node of  $Q$  is  $\leq k - 1$ 
  - Thus,  $Q$  is a  $(k - 1)$ -special path from node  $i$  to node  $k$
- $\therefore Q$  must be the shortest  $(k - 1)$ -special path from node  $i$  to node  $k$  (by POO), and can be so proved by contradiction
- $\therefore \text{length}(Q) = A^{(k-1)}[i, k]$
- We can prove similarly that:  $\text{length}(R) = A^{(k-1)}[k, j]$
- $\min(\text{length of } k\text{-special paths in Group B}) = \text{length}(Q) + \text{length}(R) = A^{(k-1)}[i, k] + A^{(k-1)}[k, j]$

# APPLYING DP TO THE APSP PROBLEM

## -- (3) RECURRENCE RELATION (CONTINUED)--

- Recap:

- $\min(\text{length of } k\text{-special paths in Group A}) = A^{(k-1)}[i, j]$
- $\min(\text{length of } k\text{-special paths in Group B}) = A^{(k-1)}[i, k] + A^{(k-1)}[k, j]$
- $A^{(k)}[i, j] = \min(\min(\text{length of } k\text{-special paths in Group A}), \min(\text{length of } k\text{-special paths in Group B}))$   
 $= \min(A^{(k-1)}[i, j], A^{(k-1)}[i, k] + A^{(k-1)}[k, j])$

- So, the recurrence relation is:

- $A^{(k)}[i, j] = \min(A^{(k-1)}[i, j], A^{(k-1)}[i, k] + A^{(k-1)}[k, j]),$   
where the recurrence index is  $k$
- $A^{(0)}[i, j] = W[i, j]$

# THE DP APSP ALGORITHM

-- ALSO KNOWN AS FLOYD-WARSHALL ALGORITHM --

```
Procedure APSP(input:  $W[1:n, 1:n]$ ; output:  $A[1:n, 1:n]$ )  
begin  
  for  $i=1$  to  $n$  do  
    for  $j=1$  to  $n$  do  
       $A^{(0)}[i, j] = W[i, j]$ ;  
    endfor  
  endfor  
  for  $k=1$  to  $n$  do  
    for  $i=1$  to  $n$  do  
      for  $j=1$  to  $n$  do  
         $A^{(k)}[i, j] = \min(A^{(k-1)}[i, j], A^{(k-1)}[i, k] + A^{(k-1)}[k, j])$ ;  
      endfor  
    endfor  
  endfor  
end APSP
```

# THE DP APSP ALGORITHM

## -- SPACE COMPLEXITY --

**Procedure APSP(input:  $W[1:n, 1:n]$ ; output:  $A[1:n, 1:n]$ )**

**begin**

**for**  $i=1$  to  $n$  **do**

**for**  $j=1$  to  $n$  **do**

$A^{(0)}[i, j] = W[i, j];$

**endfor**

**endfor**

**for**  $k=1$  to  $n$  **do**

**for**  $i=1$  to  $n$  **do**

**for**  $j=1$  to  $n$  **do**

$A^{(k)}[i, j] = \min(A^{(k-1)}[i, j], A^{(k-1)}[i, k] + A^{(k-1)}[k, j]);$

**endfor**

**endfor**

**endfor**

**end APSP**

### Space Complexity Analysis:

- $A^{(k)}[i, j]$  must be written as  $A[i, j, k]$ , and so  $A$  must be an array  $A[1:n, 1:n, 1:n]$
- This takes  $O(n^3)$  memory.
- That is too costly!!
- Can we do better?

# THE DP APSP ALGORITHM

## -- REDUCED SPACE COMPLEXITY (1) --

- Note that once the matrix  $A^{(k)}$  has been computed, there is no need for matrix  $A^{(k-1)}$
- Therefore, we don't need to keep the superscript
- By dropping it, the algorithm remains correct, and we save on space
- The algorithm becomes as presented next

# THE DP APSP ALGORITHM

## -- REDUCED SPACE COMPLEXITY (2) --

**Procedure APSP(input:  $W[1:n, 1:n]$ ; output:  $A[1:n, 1:n]$ )**

**begin**

**for**  $i=1$  to  $n$  **do**

**for**  $j=1$  to  $n$  **do**

$A[i, j] = W[i, j];$

**endfor**

**endfor**

**for**  $k=1$  to  $n$  **do**

**for**  $i=1$  to  $n$  **do**

**for**  $j=1$  to  $n$  **do**

$A[i, j] = \min(A[i, j], A[i, k] + A[k, j]);$

**endfor**

**endfor**

**endfor**

**end APSP**

**if**  $(A[i, k] + A[k, j] < A[i, j])$  **then**  
     $A[i, j] = A[i, k] + A[k, j];$

$A[i, j] = \min(A[i, j], A[i, k] + A[k, j]);$

# THE DP APSP ALGORITHM

## -- TIME & SPACE COMPLEXITY --

**Procedure APSP(input:  $W[1:n, 1:n]$ ; output:  $A[1:n, 1:n]$ )**

**begin**

```
for i=1 to n do
  for j=1 to n do
     $A[i, j] = W[i, j]$ ;
  endfor
endfor
```

Time:  $O(n^2)$

```
for k=1 to n do
  for i=1 to n do
    for j=1 to n do
       $A[i, j] = \min(A[i, j], A[i, k] + A[k, j])$ ;
    endfor
  endfor
endfor
```

Time:  $O(n^3)$

**end APSP**

- Overall Time:  $O(n^3)$
- Overall Space:  $O(n^2)$   
because we only  
need array  $A[1:n, 1:n]$



# THE DP APSP ALGORITHM

## -- EXERCISES--

- **Exercise 1:** Show that dropping the superscript  $k$  does not affect the correctness of the algorithm
- **Exercise 2:** Modify the ASAP algorithm so it computes the actual shortest paths, rather than just the distances
- **Exercise 3:**
  - a) Could we have used the greedy single-source shortest-paths algorithm to compute the all-pairs shortest paths?
  - b) If so, how?
  - c) What would be the time complexity of that new algorithm?

# 3<sup>RD</sup> APPLICATION OF DYNAMIC PROGRAMMING

## -- OPTIMAL BINARY SEARCH TREES --

Problem Statement:

- **Input:**

- A sorted array  $a_1 < a_2 < \dots < a_n$
- Access probabilities  $p_1, p_2, \dots, p_n$ , where  $p_i = \Pr[a_i \text{ is searched for}]$
- Probabilities  $q_0, q_1, q_2, \dots, q_n$  of accessing missing elements, where  $q_0 = \Pr[\text{searching for } X, X < a_1]$ ,  $q_i = \Pr[\text{searching for } X, a_i < X < a_{i+1}]$ , and  $q_n = \Pr[\text{searching for } X, X > a_n]$

- **Output:** A binary search tree (BST) for  $a_1, a_2, \dots, a_n$  such that the search time is minimized (depending on the probability of access). Assume that no insert or delete operations will be performed.
- **Task:** Develop a Dynamic Programming algorithm for this problem

# OPTIMAL BINARY SEARCH TREES

## -- AN EXAMPLE --

- An example to get a better sense
  - Suppose the  $a_1, a_2, \dots, a_n$  are phone numbers in a telephone directory
  - Some numbers belong to celebrities => are searched for more often
  - Some numbers belong to less well-known people, and so they're searched for less frequently
  - The phone company needs a **data structure for the phone numbers** so that
    - “hot” numbers are found fast (e.g., located close to the top of the BST),
    - while the less-frequently accessed numbers can take longer to find (i.e., can be placed in the middle or bottom of the BST)
  - The directory is fairly stable: few inserts/deletes, but many searches
  - Several data structures can be considered, by BSTs are good candidates
  - Question: Why can't we put all the numbers near the top so all are fast to find?

# OPTIMAL BINARY SEARCH TREES

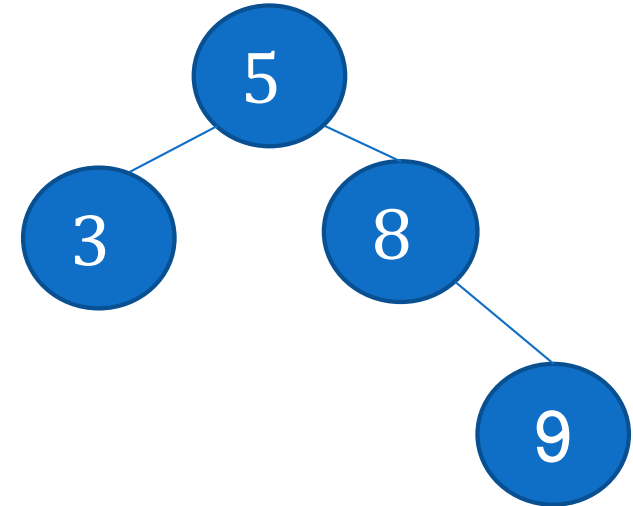
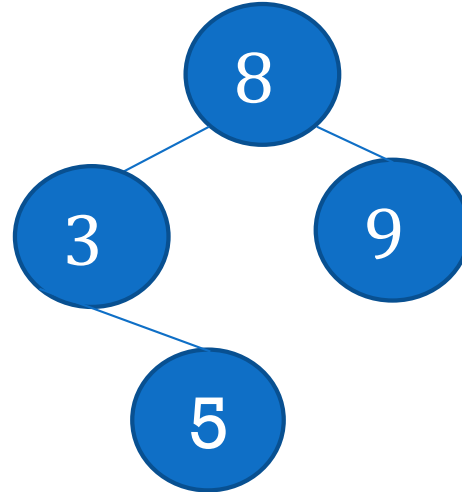
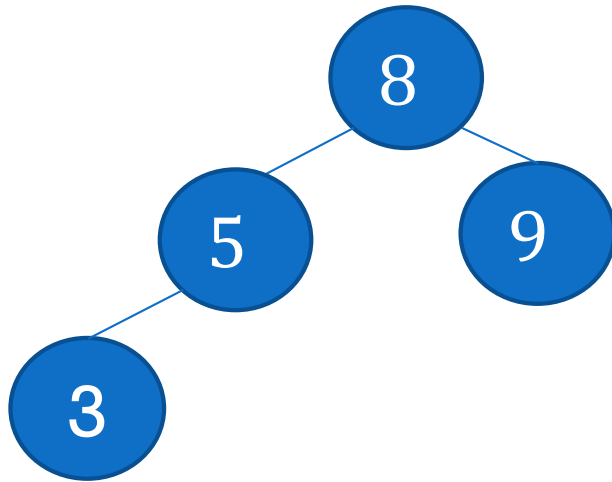
## -- EXAMPLE OF HOW TO GET THE PROBABILITIES --

- The phone company has a log of the number of times each phone number (real or missing) was searched for in recent months/years
- Say that the company received
  - $N$  searched requests during that period
  - $n_i$  of those requests were for number  $a_i$
  - $m_i$  of those requests were for missing numbers that fall between  $a_i$  and  $a_{i+1}$ .
- Then,  $p_i = \frac{n_i}{N}$  and  $q_i = \frac{m_i}{N}$  for all  $i$

# OPTIMAL BINARY SEARCH TREES

-- **MANY SOLUTIONS EXIST** --

- For the same input array  $a_1 < a_2 < \dots < a_n$ , there can be many BSTs
- Example: for array  $3 < 5 < 8 < 9$ , we can have



and many more

- Which is best?

# OPTIMAL BINARY SEARCH TREES

## -- CONCRETIZING THE COST OF A CANDIDATE BST (1) --

- The problem is asking for a best BST, with a vague notion of “best”: minimizing the search time
- But the search time in a single BST varies: it takes  $O(d)$  where  $d$  is the depth (of the search element) in the tree, and that varies from node to node
- For optimization to work, we need to have a single cost value per solution (i.e., per candidate BST)
- And the cost should be smaller for BSTs where the “hot” elements can be found fast (i.e., close to the root)

# OPTIMAL BINARY SEARCH TREES

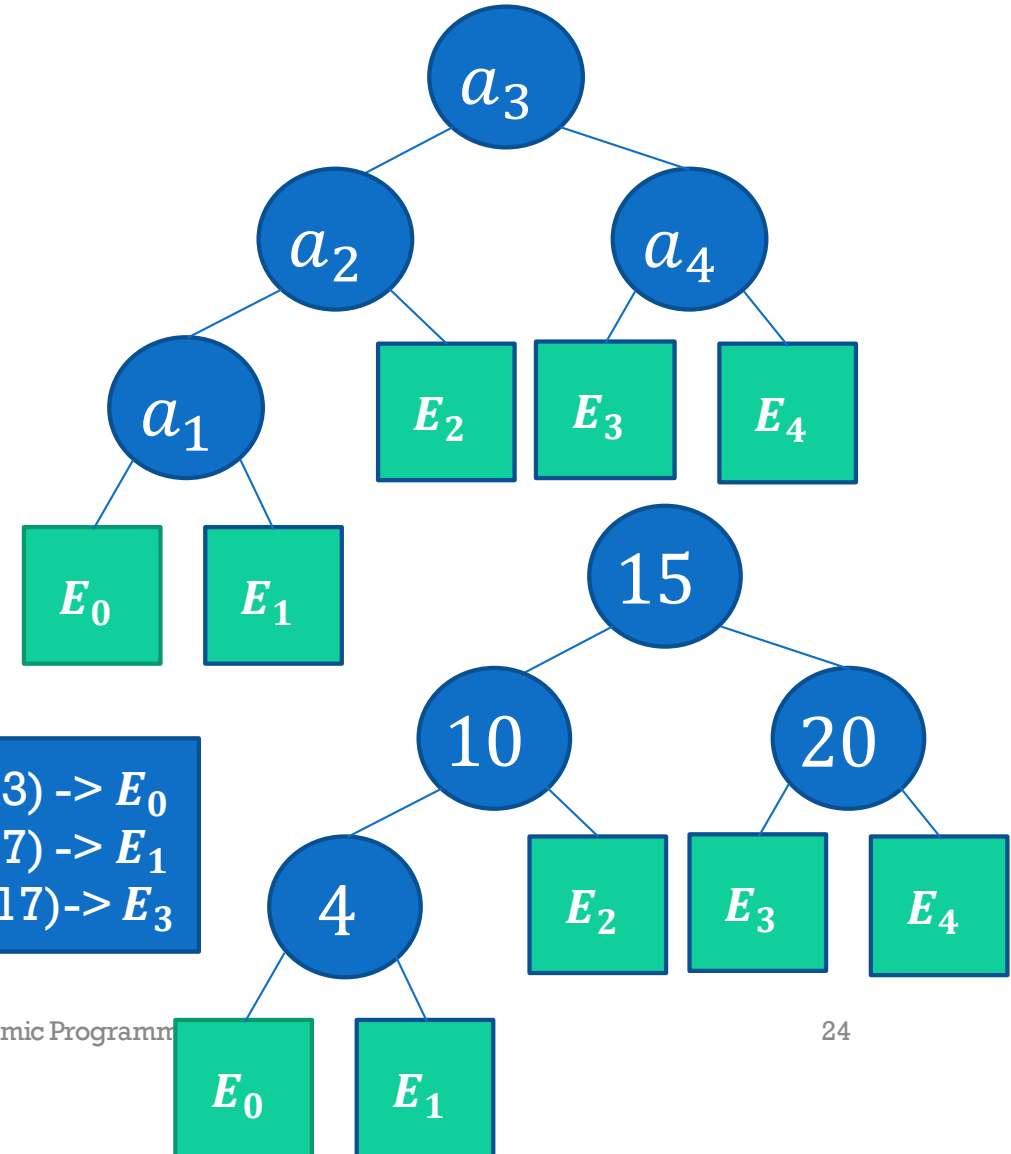
## -- CONCRETIZING THE COST OF A CANDIDATE BST (2) --

- Strategy:
  - take the cost of a BST = the average search time in the tree
  - Since not all the nodes are equally important, take a weighted average
  - The weights are the access probabilities
- First attempt at formulating the cost  $C(T)$  of a BST  $T$ :
  - $C(T) = Avg(Search\ time\ in\ T) = \sum_{i=1}^n p_i \times search\_time_T(a_i)$
  - $C(T) = \sum_{i=1}^n p_i (depth_T(a_i) + 1)$
- But that ignores the searches for missing items

# OPTIMAL BINARY SEARCH TREES

## -- CONCRETIZING THE COST OF A CANDIDATE BST (3) --

- Some notation to help with access to missing numbers:
  - For every “missing leaf”, create a new imaginary node, called an *external node* (in green squares)
  - Labels the external nodes  $E_0, E_1, \dots, E_n$  from left to right
  - In an  $n$ -node BST, there are  $n+1$  external nodes
  - If  $a_i < X < a_{i+1}$ ,  $\text{search}(X)$  takes us to external node  $E_i$
  - $\text{Search\_time}(E_i) = \text{depth}_T(E_i)$ , and  $E_i$  is “search for” with a probability  $q_i$





# OPTIMAL BINARY SEARCH TREES

## -- CONCRETIZING THE COST OF A CANDIDATE BST (4) --

- Final formulation of the cost  $C(T)$  of a BST  $T$ :
  - $C(T) = \sum_{s=1}^n p_s \times \text{search\_time}_T(a_s) + \sum_{s=0}^n q_s \times \text{search\_time}_T(E_s)$
  - $C(T) = \sum_{s=1}^n p_s (\text{depth}_T(a_s) + 1) + \sum_{s=0}^n q_s \text{depth}_T(E_s)$

# OBST USING DYNAMIC PROGRAMMING

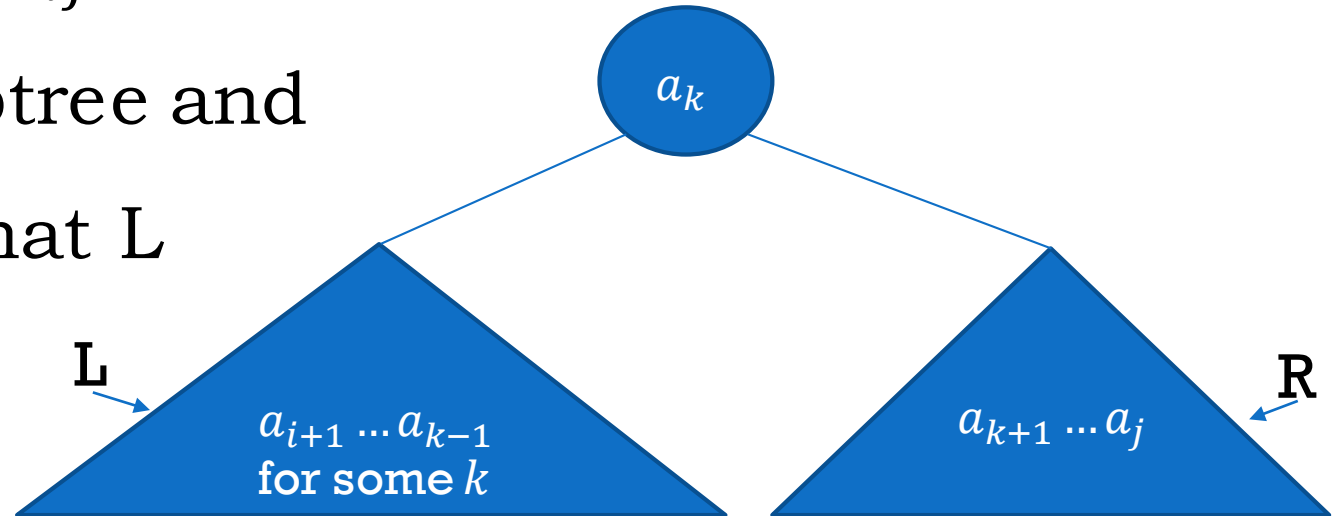
## -- (1) NOTATION --

- Let
  - $T_{ij} \stackrel{\text{def}}{=} \text{OBST}(a_{i+1}, \dots, a_j)$ , that is,  $T_{ij}$  is the min-cost BST for elements  $a_{i+1}, \dots, a_j$
  - $C_{ij} \stackrel{\text{def}}{=} C(T_{ij}) = \sum_{s=i+1}^j p_s(\text{depth}_{T_{ij}}(a_s) + 1) + \sum_{s=i}^j q_s \text{depth}_{T_{ij}}(E_s)$
  - $r_{ij} \stackrel{\text{def}}{=}$  the index of the root of  $T_{ij}$ , i.e.,  $a_{r_{ij}}$  is the root of  $T_{ij}$
- Notice that
  - The final OBST (for the whole array) is  $T_{0n}$
  - $T_{ii}$  is empty for all  $i$
  - $T_{i,i+1}$  is a single-node tree that has element  $a_{i+1}$

# OBST USING DYNAMIC PROGRAMMING

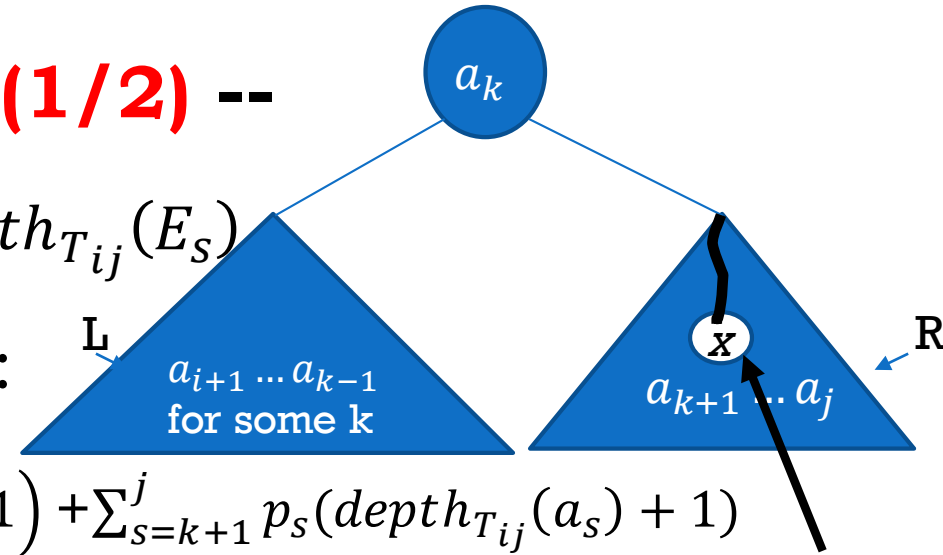
## -- (2) PRINCIPLE OF OPTIMALITY --

- **Statement of POO:** The left subtree of an OBST is an OBST, and the right subtree of an OBST is an OBST.
- **Proof:** Take an OBST  $T_{ij}$  and let L and R be its left subtree and right subtree. Prove that L and R are both OBST for their respective elements.



# OBST

## -- PROOF OF THE POO (1/2) --



- $C(T_{ij}) = \sum_{s=i+1}^j p_s(\text{depth}_{T_{ij}}(a_s) + 1) + \sum_{s=i}^j q_s \text{depth}_{T_{ij}}(E_s)$

- Break each sum along the two subtrees:

- $C(T_{ij}) = \sum_{s=i+1}^{k-1} p_s(\text{depth}_{T_{ij}}(a_s) + 1) + p_k(\text{depth}_{T_{ij}}(a_k) + 1) + \sum_{s=k+1}^j p_s(\text{depth}_{T_{ij}}(a_s) + 1)$

$$+ \sum_{s=i}^{k-1} q_s \text{depth}_{T_{ij}}(E_s) + \sum_{s=k}^j q_s \text{depth}_{T_{ij}}(E_s)$$

$$\text{depth}_{T_{ij}}(x) = \text{depth}_R(x) + 1$$

- $C(T_{ij}) = \underbrace{\sum_{s=i+1}^{k-1} p_s(\text{depth}_L(a_s) + 1) + 1 + p_k + \sum_{s=k+1}^j p_s(\text{depth}_R(a_s) + 1) + 1}_{C(L)} + \underbrace{\sum_{s=k}^j q_s(\text{depth}_R(E_s) + 1)}_{C(R)}$

$C(L)$

$C(R)$

Call this quantity:  $W_{ij}$

- $C(T_{ij}) = C(L) + C(R) + (p_{i+1} + \dots + p_j) + (q_i + \dots + q_j)$

$$C(T_{ij}) = C(L) + C(R) + W_{ij}$$

# OBST

## -- PROOF OF THE POO (2/2) --

- $C(T_{ij}) = C(L) + C(R) + W_{ij}$
- If  $L$  is not optimal, then we can find a better BST tree  $L'$  for the same elements, i.e.,  $C(L') < C(L)$
- Replace  $L$  by  $L'$  in  $T_{ij}$ , resulting a new tree  $T'_{ij}$  where
$$C(T'_{ij}) = C(L') + C(R) + W_{ij} < C(L) + C(R) + W_{ij} = C(T_{ij})$$
- Thus,  $C(T'_{ij}) < C(T_{ij})$ , making  $T'_{ij}$  better than optimal, contradiction.
- $\therefore$  The subtree  $L$  is optimal (and thus deserves the notation  $T_{i,k-1}$ )
- Similarly, the subtree  $R$  is optimal (and thus deserves the notation  $T_{kj}$ )
- Q.E.D. (End of proof of the POO)

# OBST

## -- (3) RECURRENCE RELATION --

- The RR was largely derived during the proof of the POO
- $C(T_{ij}) = C(L) + C(R) + W_{ij}$ , where  $L = T_{i,k-1}$  and  $R = T_{kj}$
- Therefore,  $C(T_{ij}) = C(T_{i,k-1}) + C(T_{kj}) + W_{ij}$
- Leading to:  $C_{ij} = C_{i,k-1} + C_{kj} + W_{ij}$ . But what is  $k$ ?
- It is a split point ( $a_k$  is at the root), and can be:  $i + 1, i + 2, \dots, j$
- We try all possible values for  $k$  and pick the minimum.

Recall that  $C_{ij} = C(T_{ij})$ , and so  
 $C_{i,k-1} = C(T_{i,k-1})$  and  $C_{kj} = C(T_{kj})$

$$C_{ij} = \min_{i+1 \leq k \leq j} (C_{i,k-1} + C_{kj} + W_{ij}); \quad C_{ii} = 0$$

$r_{ij}$  = the  $k$  that gives the minimum

$$W_{ij} = (p_{i+1} + \dots + p_j) + (q_i + \dots + q_j)$$
$$W_{i,j-1} = (p_{i+1} + \dots + p_{j-1}) + (q_i + \dots + q_{j-1})$$
$$W_{ij} = W_{i,j-1} + p_j + q_j; \quad W_{ii} = q_i$$

# OBST

## -- (4) ALGORITHM FOR THE RR --

// Compute the weights  $W_{ij}$ 's first

**Procedure** weights (**In:**  $p[1:n], q[0:n]$ ;  
**Out:**  $W[0:n, 0:n]$ )

**Begin**

**for**  $i = 1$  to  $n$  **do**

$W[i, i] = q(i)$ ;

**endfor**

**for**  $l = 1$  to  $n$  **do** //  $l = j - i = \text{size of } T_{ij}$

**for**  $i = 0$  to  $n - l$  **do**

$j = i + l$ ;

$W[i, j] = W[i, j - 1] + p[j] + q[j]$ ;

**endfor**

**endfor**

**end**

Time:  $O(n^2)$

Reason: the double-for loop, whose body takes  $O(1)$  time, takes  $O(n \times n)O(1) = O(n^2)$

**Proc** OBST(**In:**  $p[1:n], q[0:n], W[0:n, 0:n]$ ;

**Out:**  $C[0:n, 0:n], r[0:n, 0:n]$ )

**begin**

**for**  $i=0$  to  $n$  **do:**  $C[i, i] := 0$ ; **endfor**

**for**  $l=1$  to  $n$  **do**

**for**  $i=0$  to  $n - l$  **do**

$j = i + l$ ;

$C[i, j] := \infty$ ;

$m := i+1$ ; // index of the min

**for**  $k=i+1$  to  $j$  **do** //compute the min

**if**  $C[i, j] > C[i, k-1] + C[k, j]$  **then**

$C[i, j] = C[i, k-1] + C[k, j]$  ;

$m := k$ ;

**endif**

**endfor**

$C[i, j] := C[i, j] + W[i, j]$ ;

$r[i, j] := m$ ;

**endfor**

**endfor**

**end**

Time:

$$\begin{aligned} \sum_{l=1}^n \sum_{i=0}^{n-1} cl &= \\ \sum_{l=1}^n cln &= \\ cn \sum_{l=1}^n l &= \\ cn \left[ \frac{n(n+1)}{2} \right] &= \\ O(n^3) \end{aligned}$$

# OBST

## -- (4) ALGORITHM (CONTINUED) --

```
// Compute the tree  $T_{ij}$ 
Proc create-tree(In:  $r[0:n, 0:n], a[1:n], i, j$ ;
                 Out:  $T$ )
begin
    if ( $i == j$ ) then
         $T = \text{null}$ ;
        return;
    endif
     $T := \text{new}(\text{node});$  // the root of  $T_{ij}$ 
     $k := r[i, j];$ 
     $T \rightarrow \text{data} := a[k];$ 
    if ( $j == i + 1$ )
        return;
    endif
    create-tree( $r, a, i, k - 1; T \rightarrow \text{left}$ );
    create-tree( $r, a, k, j; T \rightarrow \text{right}$ );
end create-tree
```

Time:  $O(n)$   
Proof: By induction

// Overall algorithm

```
Proc final-tree(In:  $a[1:n], p[1:n], q[1:n];$  Out:  $T$ )
begin
    weights( $p[1:n], q[0:n]; W[0:n, 0:n]$ );
    OBST( $p[1:n], q[0:n], W[0:n, 0:n];$ 
         $C[0:n, 0:n], r[0:n, 0:n]$ );
    create-tree( $r[0:n, 0:n], a[1:n], 0, n; T$ );
End
```

Time:  $O(n^2) + O(n^3) + O(n) = O(n^3)$   
Space:  $O(n^2)$  for  $C[ ]$ ,  $W[ ]$ , and  $r[ ]$



# OBST DP ALGORITHM

## -- AN EXAMPLE (1/3) --

• Input:  $n = 4$ ,  $a_1 < a_2 < a_3 < a_4$ ;  $p_1 = \frac{1}{10}, p_2 = \frac{2}{10}, p_3 = \frac{3}{10}, p_4 = \frac{1}{10}$

$$q_0 = 0, q_1 = \frac{1}{10}, q_2 = \frac{1}{20}, q_3 = \frac{1}{20}, q_4 = \frac{1}{10}$$

• Weights:

$W_{ii} = q_i$	$W_{00} = 0$	$W_{11} = \frac{1}{10}$	$W_{22} = \frac{1}{20}$	$W_{33} = \frac{1}{20}$	$W_{44} = \frac{1}{10}$
$W_{ij} = W_{i,j-1} + p_j + q_j$	$W_{01} = \frac{2}{10}$	$W_{12} = \frac{3.5}{10}$	$W_{23} = \frac{4}{10}$	$W_{34} = \frac{2.5}{10}$	
	$W_{02} = \frac{4.5}{10}$	$W_{13} = \frac{7}{10}$	$W_{24} = \frac{6}{10}$		
	$W_{03} = \frac{8}{10}$	$W_{14} = \frac{9}{10}$			
	$W_{04} = \frac{10}{10}$				

# OBST DP ALGORITHM

## -- AN EXAMPLE (2/3) --

- The  $C$ 's and  $r$ 's:

$$C_{ii} = 0$$

$$C_{ij} = \min_{i+1 \leq k \leq j} (C_{i,k-1} + C_{kj} + W_{ij})$$

$r_{ij}$  = the  $k$  that gives the minimum

Table is computed  
one row after  
another, top to  
bottom

$$i = 1$$

$$j = 3$$

Example of computing the  $C$ 's

$C_{13}$  after top 2 rows have been computed:

$$C_{13} = \min_{2 \leq k \leq 3} (C_{1,k-1} + C_{k3} + W_{13}) = \min \left( C_{11} + C_{23} + \frac{7}{10}, C_{12} + C_{33} + \frac{7}{10} \right) = \min \left( 0 + \frac{4}{10} + \frac{7}{10}, \frac{3.5}{10} + 0 + \frac{7}{10} \right)$$

$$C_{13} = \min \left( \frac{11}{10}, \frac{10.5}{10} \right) = \frac{10.5}{10} \text{ corresponding to } k = 3, \text{ thus } r_{13} = 3$$

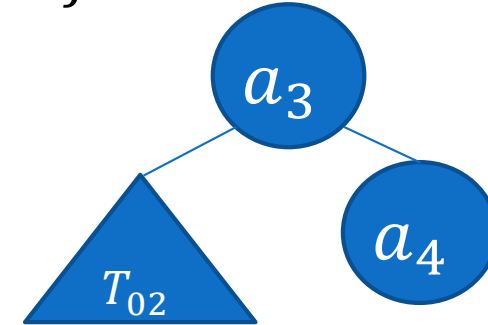
$C_{00} = 0$	$C_{11} = 0$	$C_{22} = 0$	$C_{33} = 0$	$C_{44} = 0$
$C_{01} = \frac{2}{10}$ $r_{01} = 1$	$C_{12} = \frac{3.5}{10}$ $r_{12} = 2$	$C_{23} = \frac{4}{10}$ $r_{23} = 3$	$C_{34} = \frac{2.5}{10}$ $r_{34} = 4$	
$C_{02} = \frac{6.5}{10}$ $r_{02} = 2$	$C_{13} = \frac{10.5}{10}$ $r_{13} = 3$	$C_{24} = \frac{8.5}{10}$ $r_{24} = 3$		
$C_{03} = \frac{14}{10}$ $r_{03} = 2$	$C_{14} = \frac{15}{10}$ $r_{14} = 3$			
$C_{04} = \frac{19}{10}$ $r_{04} = 3$				

# OBST DP ALGORITHM

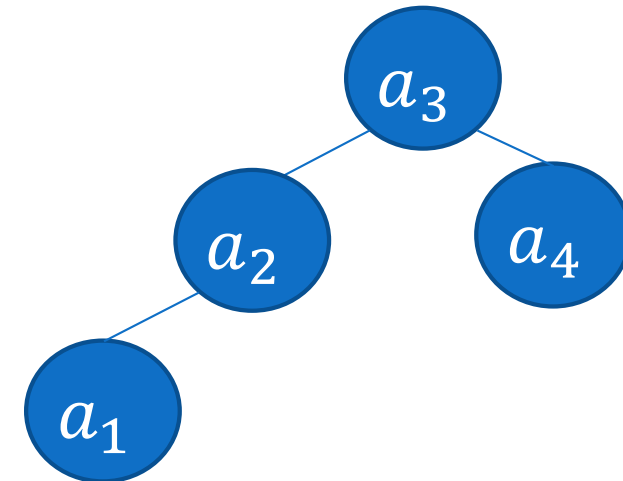
## -- AN EXAMPLE (3/3) --

- Constructing the actual OBST from the  $r_{ij}$ 's in the table:

- $T = T_{04}$  has root  $a_{r_{04}} = a_3$ 
  - $\therefore$  left subtree has  $\{a_1, a_2\}$ , i.e.,  $T_{02}$
  - and right subtree has a the single node  $a_4$



- Let's construct  $T_{02}$ 
  - $T_{02}$  has root  $a_{r_{02}} = a_2$
  - $T_{02}$  has a left subtree  $T_{01}$ : a single node  $a_1$
  - $T_{02}$  has a right subtree  $T_{22}$ , which is empty



- The tree is done

# EXERCISES

- **Exercise 4:** If all the  $q_i$ 's are equal to 0, and all the  $p_i$ 's are all equal (to  $1/n$ ), prove that the cost  $C(T)$  of any binary search tree  $T$  is equal to (the average depth of  $T$ ) + 1, where
$$(\text{the average depth of } T) = \frac{1}{n}(\text{depth}_T(1) + \text{depth}_T(2) + \cdots + \text{depth}_T(n))$$
- **Exercise 5:** Give a greedy algorithm for matrix chain problem, and prove/disprove that your greedy method guarantees or does not guarantee optimality
- **Exercise 6:** Give a greedy algorithm for OBST problem, and prove/disprove that your greedy method guarantees or does not guarantee optimality

# WRAP-UP

- Done with Dynamic Programming
- Let's review the lessons learned
- And point to other applications of DP

# LESSONS LEARNED SO FAR

- DP is an optimization design technique
- It has a litmus test (the principle of optimality): DP applies if OOP holds
- Proof of the POO is almost always by contradiction
- Solving the recurrence relation is non-recursive, bottom up, from smallest subsolutions to the final solution, where the subsolutions are usually recorded by filling a table
- The actual optimal solution is constructed by using the optimal split points recorded in the table
- Time complexity of DP algorithms tend to be cubic (i.e.,  $O(n^3)$ ), so they're slower than greedy algorithms, but still reasonably fast
- DP is more powerful than greedy: in many situations where greedy solutions are not optimal, DP applies and gives optimal solutions
- Still, DP does not always apply: when POO fails, don't bother with DP

# OTHER APPLICATIONS OF DYNAMIC PROGRAMMING

- Hidden Markov Models (used in machine learning, statistics, Natural Language Processing, Bioinformatics, etc.)
- Viterbi algorithm (in communications/networking, e.g., modulation/demodulation)
- Sequence alignment in genetics (Needleman–Wunsch algorithm)
- Longest common subsequence
- DP is used in Reinforcement Learning (click here)
- Scheduling (and time sharing)
- Query optimization in databases
- Robot control
- Flight control
- Many more