# 503 HW 4

Naomi Giertych

Due: 3/20/2018

For this report, I chose to work with the standardized spam dataset. I standardized each column of the dataset to have mean 0 and unit variance. The standardized dataset, I believe, loses the least amount of information between all of the variables so would lead to a more generalizable result to test data.

The structure of the report is as follows: (1) investigate how sensitive SVM training and test errors are to choice of kernels and the cost and gamma tuning parameters, (2) investigate how sensitive neural network training and test errors are for the number of hidden layers and number of nodes, (3) investigate how sensitive training and test errors are for the size of a decision tree, (4) investigate how training and test errors change with respect to class-unbalanced data, and (5) investigate how ameliorating class-unbalanced data using bootstrap changes the training and test errors. Each of my investigations are concluded with a cross-validation of the parameters. Please note that I report the final set of training and test errors for the final models at the end of the report.

## 1. Applying SVM

The slack variable is related to the cost. It controls the amount of influence each support vector has on the margin. If the cost is high, then the margin is sensitive to points near the boundary that could be misclassified and my boundary could be really wiggly. If the cost is low, then the margin is more tolerant of points near the boundary that could be misclassified. In other words, it controls the trade-off between a smooth (more linear or planer) decision boundary and one that classifies training data correctly. Generally, I would expect a large cost to be very good at classifying the training data but not generalize well to the test dataset.

The gamma variable controls the amount of weight points near the boundary carry compared to points away from the boundary. If the gamma variable is large, points near the boundary carry a lot of weight and can greatly influence the details of the boundary, pulling it closer to them. So, like a large cost variable, we end up with a wiggly boundary that might not be generalizable to the test dataset. However, if the gamma variable is small, the boundary considers the "majority" of points on each side and adjusts accordingly. Therefore, we might perform about the same on the training and test datasets assuming that the training is representative of the test and the errors would be lower than with a low gamma.

Below I examine the choice of the slack variable (using the cost variable in R) and the gamma variable for each of the possible kernals (linear, radial [Gaussian], polynomial, and sigmoid). I chose to examine a cost of  $e^{-1}$ , 1 (default), and  $e^2$  and a gamma of 0.001, 0.0178 (default (set to  $\frac{1}{p}$  where p is the dimension of the data)), and 1. (poly2 and poly3 are polynomials with 2 and 3 degrees respectively.)

Keeping the gamma variable fixed, we can see that a larger cost has smaller test errors for all of the possible kernals

Keeping the cost variable fixed, we can see that the effect of changing the gamma variable as a less distinct result. The default gamma has the smallest test error for radial kernel, but a large gamma has smaller test errors for the polynomial kernel. (Gamma does not play a role in the linear kernel).

## [1] "Standardized Dataset Default Cost and Gamma"

|        | training  | test      |
|--------|-----------|-----------|
| linear | 0.0648843 | 0.0717080 |
| radial | 0.0515161 | 0.0632334 |
| poly2  | 0.1258559 | 0.1499348 |

|       | training  | test      |
|-------|-----------|-----------|
| poly3 | 0.2050864 | 0.2118644 |

## ## [1] "Standardized Dataset Small Cost and Default Gamma"

|        | training  | test      |
|--------|-----------|-----------|
| linear | 0.0671666 | 0.0697523 |
| radial | 0.0593414 | 0.0769231 |
| poly2  | 0.1953049 | 0.2033898 |
| poly3  | 0.2520378 | 0.2646675 |

### ## [1] "Standardized Dataset Large Cost and Default Gamma"

|        | training  | test      |
|--------|-----------|-----------|
| linear | 0.0619498 | 0.0684485 |
| radial | 0.0286925 | 0.0514993 |
| poly2  | 0.0508640 | 0.0801825 |
| poly3  | 0.0974894 | 0.1323338 |

### ## [1] "Standardized Dataset Default Cost and Small Gamma"

|        | training  | test      |
|--------|-----------|-----------|
| linear | 0.0648843 | 0.0717080 |
| radial | 0.0844473 | 0.0873533 |
| poly2  | 0.3909358 | 0.3970013 |
| poly3  | 0.3955005 | 0.4022164 |

### ## [1] "Standardized Dataset Default Cost and Large Gamma"

|        | training  | test      |
|--------|-----------|-----------|
| linear | 0.0648843 | 0.0717080 |
| radial | 0.0048908 | 0.1962190 |
| poly2  | 0.0048908 | 0.0801825 |
| poly3  | 0.0022824 | 0.0782269 |

Below I use a 10-fold cross-validation to select the tuning parameters for the standardized train dataset. Based on these results, it appears that a Gaussian kernel with a cost of  $e^2$  and gamma of  $\frac{1}{56}$  performs the best.

```
## [[1]]
##
## Parameter tuning of 'svm':
##
## - sampling method: 10-fold cross validation
##
## - best parameters:
## gamma cost
## 0.01785714 7.389056
##
```

```
## - best performance: 0.05639863
##
  - Detailed performance results:
##
           gamma
                      cost
                                error dispersion
## 1 0.00100000 0.3678794 0.12324945 0.01466684
## 2 0.01785714 0.3678794 0.07335803 0.01635116
     1.00000000 0.3678794 0.24388452 0.02023170
     0.00100000 1.0000000 0.08705265 0.01464918
     0.01785714 1.0000000 0.06454940 0.01420165
     1.00000000 1.0000000 0.12944051 0.01126891
     0.00100000 2.7182818 0.07792681 0.01371024
     0.01785714 2.7182818 0.05933661 0.01282543
     1.00000000 2.7182818 0.12781291 0.01293034
## 10 0.00100000 7.3890561 0.07107471 0.01195401
## 11 0.01785714 7.3890561 0.05639863 0.01724982
## 12 1.00000000 7.3890561 0.12748611 0.01316324
##
##
##
  [[2]]
##
## Call:
## best.svm(x = class ~ ., data = train_set, gamma = def_gammas,
       cost = def_costs, tuneconrol = tune.control(cross = 10))
##
##
##
##
  Parameters:
##
      SVM-Type:
                 C-classification
##
   SVM-Kernel:
                 radial
                 7.389056
##
          cost:
##
                 0.01785714
         gamma:
##
##
  Number of Support Vectors: 753
##
    (403 350)
##
##
##
## Number of Classes:
##
## Levels:
  0 1
##
```

### 2. Applying neural networks

Below I examine the effect of the number of nodes and the number of layers on the training error of the dataset. I examined 1 layer with 5 and 10 nodes and 2 layers with 5 and 10 nodes each. Below is a table of the training error results.

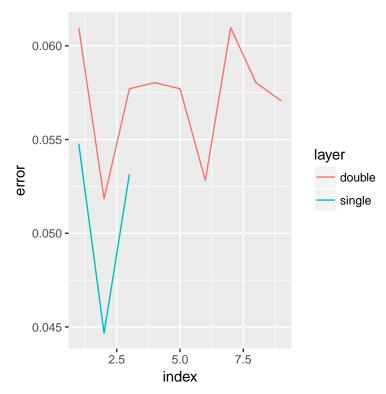
|          | 1 layer      | 2 layers     |
|----------|--------------|--------------|
| 5 nodes  | 0.0107597000 | 0.0228236061 |
| 10 nodes | 0.0071731334 | 0.0146723182 |

Based on this table, it appears that the neural network performs significantly better with 1 layer and many

nodes as opposed to either 5 nodes or 10 nodes in each layer of a two layer neural network. This suggests that are data are linearly separable and that a few variables are good at classifying email into spam and not spam.

Next, I use cross-validation to select the tuning parameters for each of the training datasets. For a single layer neural network, I examined 5, 10, and 15 nodes, and for a double layer neural network, I examined all possible combinations of (5, 10, 15) and (5, 10, 15) as shown in the table below. Var1 corresponds to the number of nodes in the first layer and Var2 corresponds to the number of nodes in the second layer. The graph plots the 1 and 2 layer training errors; the index corresponds to the row in the table (so 1 layer should only have up to an index of 3). Based on this graph, I should pick a 1 layer neural network with 15 nodes (if I only wanted to use 1 layer) or a 2 layer neural network with 10 nodes in the first layer and 5 nodes in the second layer.

| Var1 | Var2 |
|------|------|
| 5    | 5    |
| 10   | 5    |
| 15   | 5    |
| 5    | 10   |
| 10   | 10   |
| 15   | 10   |
| 5    | 15   |
| 10   | 15   |
| 15   | 15   |
|      |      |



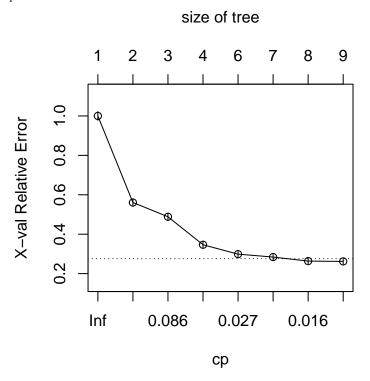
## 3. Applying decision trees

To adjust the size of the tree, I used the complexity parameter (cp) in rpart. This allows R to not attempt any split that does not decrease the lack of fit by a factor of the cp. I chose to investigate cp equal to 0, 0.01 (the default), and 0.05. The small (0) cp and the default perform exactly the same on the test data but the

small cp performs better on the training data. The large cp performs significantly worse on the training and the test data. This suggests that a small number of variables are needed to correctly classify email into spam and not spam.

|                        | train error  | test error   |
|------------------------|--------------|--------------|
| default                | 0.0932507336 | 0.1043024772 |
| $\operatorname{small}$ | 0.0498858820 | 0.1043024772 |
| large                  | 0.1402021519 | 0.1427640156 |

I use cross-validation to determine the size of the tree to use as my final model. According to R documentation, a good choice of cp for pruning is the leftmost value for which the mean lies below the dashed line (which is drawn 1 standard error above the minimum of the curve). Examining the graph below, I should use a tree with 8 splits or with a cp of 0.016.



#### 4. Class-Imbalance data

Next, I explore how imbalanced data could affect the final model choosen and the corresponding training and test errors. Similar to above, I focused on the standardized dataset. I similated unbalenced data by randomly selecting the spam email from the original dataset (training or test); I then standardized the dataset. For SVM, neural networks, and the decision trees, I use cross-validation to determine what my model would be for each of the 3:7, 2:8, and 1:9 training spam.

Below I perform cross validation for svm. For 3:7 unbalanced datasets, the best model was a Gaussian kernel with a cost of  $e^1$  and a gamma of  $\frac{1}{56}$ . For the 2:8 and 1:9 unbalanced datasets, the best model was a Gaussian kernel with a cost of  $e^2$  and a gamma of  $\frac{1}{56}$ .

## [1] "SVM Standardized 3:7 Ratio Data CV Results"

## [[1]]

##

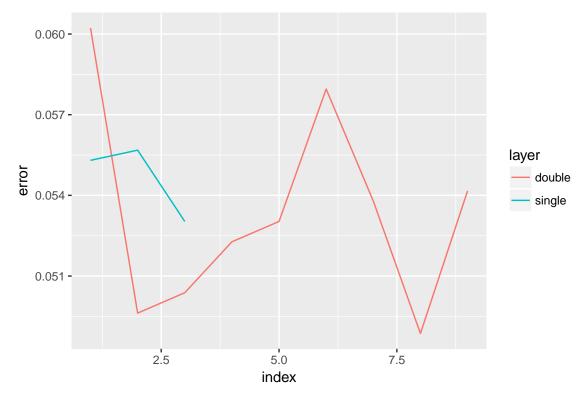
```
## Parameter tuning of 'svm':
##
## - sampling method: 10-fold cross validation
##
## - best parameters:
##
           gamma
                         cost
## 0.01785714286 2.718281828
##
## - best performance: 0.05416666667
##
## - Detailed performance results:
##
              gamma
                            cost
                                         error
## 1 0.00100000000 0.3678794412 0.12954545455 0.01470295619
## 2 0.01785714286 0.3678794412 0.07234848485 0.01621381249
## 3 1.0000000000 0.3678794412 0.25037878788 0.01771730561
## 4 0.00100000000 1.0000000000 0.09734848485 0.01777121234
## 5 0.01785714286 1.0000000000 0.06477272727 0.01305460306
## 6 1.0000000000 1.000000000 0.13712121212 0.01321843670
## 7 0.00100000000 2.7182818285 0.07500000000 0.01614977470
## 8 0.01785714286 2.7182818285 0.05416666667 0.01198497725
## 9 1.0000000000 2.7182818285 0.1333333333 0.01247382731
## 10 0.00100000000 7.3890560989 0.06969696970 0.01676965426
## 11 0.01785714286 7.3890560989 0.05492424242 0.01362817749
## 12 1.0000000000 7.3890560989 0.1333333333 0.01247382731
##
##
## [[2]]
##
## Call:
## best.svm(x = class ~ ., data = train_set, gamma = def_gammas,
##
       cost = def_costs, tuneconrol = tune.control(cross = 10))
##
##
## Parameters:
##
     SVM-Type: C-classification
   SVM-Kernel: radial
##
##
        cost: 2.718281828
##
        gamma: 0.01785714286
##
## Number of Support Vectors: 692
##
   (299 393)
##
##
## Number of Classes: 2
##
## Levels:
## 0 1
## [1] "SVM Standardized 2:8 Ratio Data CV Results"
## [[1]]
##
## Parameter tuning of 'svm':
##
```

```
## - sampling method: 10-fold cross validation
##
## - best parameters:
##
            gamma
                         cost
## 0.01785714286 7.389056099
##
## - best performance: 0.0480351545
##
## - Detailed performance results:
##
              gamma
                            cost
                                         error
                                                  dispersion
## 1 0.00100000000 0.3678794412 0.12245857591 0.02821005167
## 2 0.01785714286 0.3678794412 0.07139871623 0.01805616618
## 3 1.0000000000 0.3678794412 0.17437863860 0.02665181302
## 4 0.00100000000 1.0000000000 0.08957120466 0.02414832040
## 5 0.01785714286 1.0000000000 0.05928310196 0.01514679196
## 6 1.0000000000 1.000000000 0.12203873712 0.02593033131
## 7 0.00100000000 2.7182818285 0.07355948649 0.01656941732
## 8 0.01785714286 2.7182818285 0.05106359158 0.01336291364
## 9 1.0000000000 2.7182818285 0.11814823108 0.02619548532
## 10 0.00100000000 7.3890560989 0.06014330497 0.01686612689
## 11 0.01785714286 7.3890560989 0.04803515450 0.01547879105
## 12 1.00000000000 7.3890560989 0.11858113151 0.02580771021
##
##
## [[2]]
## Call:
## best.svm(x = class ~ ., data = train_set, gamma = def_gammas,
       cost = def_costs, tuneconrol = tune.control(cross = 10))
##
##
##
##
  Parameters:
##
     SVM-Type: C-classification
   SVM-Kernel: radial
##
##
         cost:
                7.389056099
         gamma: 0.01785714286
##
##
## Number of Support Vectors: 549
##
   (213 336)
##
##
##
## Number of Classes: 2
##
## Levels:
## 0 1
## [1] "SVM Standardized 1:9 Ratio Data CV Results"
## [[1]]
##
## Parameter tuning of 'svm':
## - sampling method: 10-fold cross validation
##
```

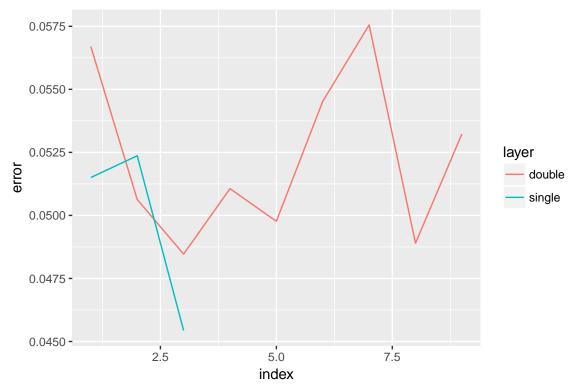
```
## - best parameters:
##
            gamma
                         cost
   0.01785714286 7.389056099
##
##
##
  - best performance: 0.03647170258
##
##
  - Detailed performance results:
##
              gamma
                                          error
## 1
     0.00100000000 0.3678794412 0.07879232773 0.018841757794
     0.01785714286 0.3678794412 0.05836372247 0.015012538567
     1.0000000000 0.3678794412 0.09872839214 0.020567471917
     0.00100000000 1.0000000000 0.06566185176 0.015410123986
     0.01785714286 1.0000000000 0.04134264741 0.011955743935
     1.0000000000 1.000000000 0.07829741890 0.017002297003
     0.00100000000\ 2.7182818285\ 0.05399479043\ 0.015973421735
     0.01785714286 2.7182818285 0.03647407057 0.008324139821
      1.0000000000 2.7182818285 0.07538006157 0.017735393430
## 10 0.00100000000 7.3890560989 0.04086905044 0.012425408401
## 11 0.01785714286 7.3890560989 0.03647170258 0.010025915967
  12 1.0000000000 7.3890560989 0.07538006157 0.017735393430
##
##
## [[2]]
##
## Call:
##
  best.svm(x = class ~ ., data = train_set, gamma = def_gammas,
##
       cost = def_costs, tuneconrol = tune.control(cross = 10))
##
##
##
  Parameters:
##
      SVM-Type:
                 C-classification
##
   SVM-Kernel:
                 radial
##
                 7.389056099
          cost:
                 0.01785714286
##
         gamma:
##
## Number of Support Vectors:
                               381
##
    (129 252)
##
##
##
## Number of Classes:
##
## Levels:
##
  0 1
```

Below I perform cross-validation for the neural network. Based on the graphs, I should chose a neural network with 2 layers and 15 nodes in first layer and 5 nodes in the second layer for the 3:7 ratios, a neural network with 1 layers and 10 nodes for the 2:8 ratio, and a neural network with 15 nodes in the first and 10 nodes in the second for the 1:9 ratio.

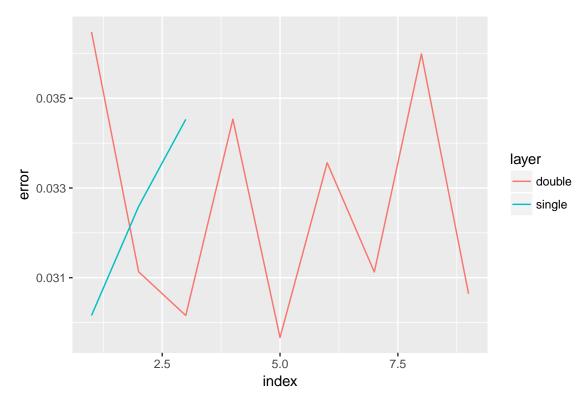
## [1] "Neural Network Standardized 3:7 Ratio Data CV Results"



## [1] "Neural Network Standardized 2:8 Ratio Data CV Results"

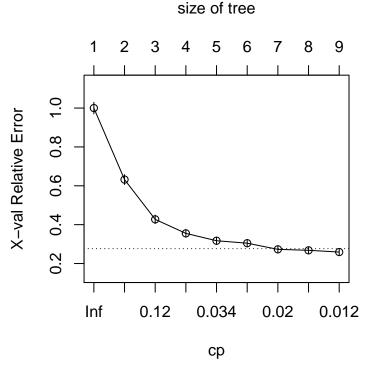


## [1] "Neural Network Standardized 1:9 Ratio Data CV Results"

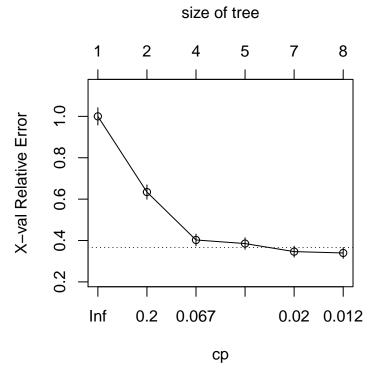


Finally, I perform cross-validation to determine the size of my tree for each of the unbalanced datasets. Based on the graphs, I should should have a tree with 7 splits (cp of 0.016) for the 3.7 ratio, a tree with 7 splits (cp of 0.018), and a tree with 8 splits (cp of 0.019) for the 1.9 ratio.

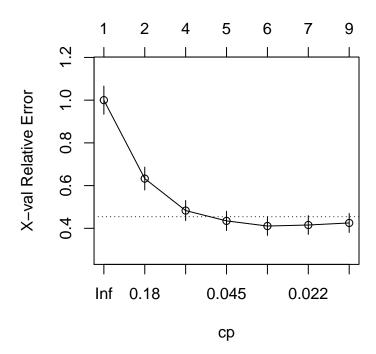
## [1] "Decision Tree Standardized 3:7 Ratio Data CV Results"



## [1] "Decision Tree Standardized 2:8 Ratio Data CV Results"



## [1] "Decision Tree Standardized 1:9 Ratio Data CV Results"
Size of tree



Below is a table of the training and test data errors for svm using the final models determined above. As we can see the training and test errors are the smallest for the dataset with a 1:9 ratio. This sort of makes sense however sense the largest amount of error that could occur in the dataset is 11% (just classifying everything as not spam). It is interesting how close all of the training and test errors regardless of the the ratio of spam and not spam emails in the dataset. This suggests that the training data is a good representation of the test data.

|           | train error  | test error   |
|-----------|--------------|--------------|
| 4:6 ratio | 0.0286925334 | 0.0514993481 |
| 3:7 ratio | 0.0344696970 | 0.0622627183 |
| 2:8 ratio | 0.0181739507 | 0.0460869565 |
| 1:9 ratio | 0.0121595331 | 0.0460333007 |
|           |              |              |

Below is a table of the training and test data errors for neural networks using the final models determined above. Similar to the results of SVM, the training and test errors are the smallest for the dataset with a 1:9 ratio. Unlike SVM, the training errors are orders of magnitude smaller than the test errors. It is interesting that the test errors are about the same as for SVM: slightly larger for the original dataset and the 2:8 ratio, but smaller for the 3:7 and 1:9 unbalanced datasets.

|           | train error  | test error   |
|-----------|--------------|--------------|
| 4:6 ratio | 0.0045647212 | 0.0827900913 |
| 3:7 ratio | 0.0087121212 | 0.0690964313 |
| 2:8 ratio | 0.0086542622 | 0.0434782609 |
| 1:9 ratio | 0.0043774319 | 0.0391772772 |

Below is a table of the training and test data errors for a decision tree using the final models determined above. Similar to the results of SVM, the training and test errors are the smallest for the dataset with a 1:9 ratio. Like SVM, the training errors are orders of magnitude smaller than the test errors. However, the training and test errors are much larger for the decision trees as compared to SVM and neural networks.

|           | train error  | test error   |
|-----------|--------------|--------------|
| 4:6 ratio | 0.0984675579 | 0.1173402868 |
| 3:7 ratio | 0.0750000000 | 0.1047835991 |
| 2:8 ratio | 0.0597144093 | 0.0634782609 |
| 1:9 ratio | 0.0296692607 | 0.0597453477 |

Finally, I "fix" the unbalanced data by bootstrap sampling from the spam data in the unbalanced standardized dataset until the data was 50-50 split for spam and non-spam data. For instance, for the 3.7 ratio, I sampled with replacement from the 3/10ths of data that was labelled as spam until I reached the same number of observations as the non-spam data.

Next, I perform cross-validation for SVM to determine the best model for each of the rebalanced datasets. Based on the results, I should use a Gaussian kernel with a cost of  $e^2$  and a gamma of 0.001 for the rebalanced 3:7 ratio data and for the rebalanced 2:8 ratio data, and a Gaussian kernel with a cost of  $e^1$  and a gamma of 0.001 for the rebalanced 1:9 ratio data.

```
## [1] "SVM Standardized 3:7 Ratio Rebalanced Data CV Results"
## [[1]]
##
## Parameter tuning of 'svm':
##
## - sampling method: 10-fold cross validation
##
## - best parameters:
## gamma cost
## 0.001 1
##
```

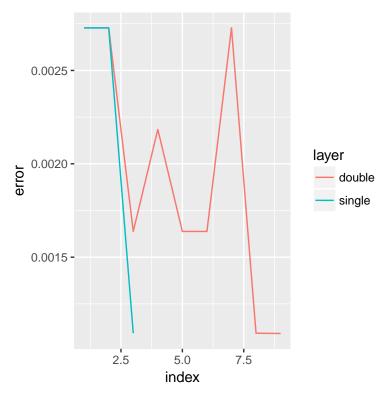
```
## - best performance: 0.005458541221
##
## - Detailed performance results:
##
                                                    dispersion
              gamma
                           cost
                                          error
## 1 0.00100000000 0.3678794412 0.012007008791 0.006198050882
## 2 0.01785714286 0.3678794412 0.011472440010 0.009091421767
## 3 1.00000000000 0.3678794412 0.092248752673 0.018998622182
## 4 0.00100000000 1.0000000000 0.005458541221 0.005151977193
## 5 0.01785714286 1.0000000000 0.008740199572 0.006415834333
## 6 1.0000000000 1.000000000 0.093885127109 0.018526619532
## 7 0.00100000000 2.7182818285 0.006004989309 0.006014300862
## 8 0.01785714286 2.7182818285 0.008740199572 0.006415834333
## 9 1.0000000000 2.7182818285 0.092792230934 0.018733552804
## 10 0.00100000000 7.3890560989 0.006551437396 0.007195336752
## 11 0.01785714286 7.3890560989 0.008193751485 0.006441359154
## 12 1.0000000000 7.3890560989 0.092792230934 0.018733552804
##
##
## [[2]]
##
## Call:
## best.svm(x = class ~ ., data = train_set, gamma = def_gammas,
       cost = def_costs, tuneconrol = tune.control(cross = 10))
##
##
##
## Parameters:
##
     SVM-Type: C-classification
   SVM-Kernel: radial
##
##
         cost: 1
##
        gamma: 0.001
##
## Number of Support Vectors: 459
##
##
   (232 227)
##
##
## Number of Classes: 2
##
## Levels:
## [1] "SVM Standardized 2:8 Ratio Rebalanced Data CV Results"
## [[1]]
##
## Parameter tuning of 'svm':
## - sampling method: 10-fold cross validation
##
## - best parameters:
##
   gamma
## 0.001 7.389056099
## - best performance: 0.006007959135
##
```

```
## - Detailed performance results:
##
              gamma
                            cost
                                                    dispersion
                                          error
## 1 0.00100000000 0.3678794412 0.013640413400 0.010364236595
## 2 0.01785714286 0.3678794412 0.009289617486 0.008562957576
## 3 1.0000000000 0.3678794412 0.056750415776 0.016030184001
## 4 0.00100000000 1.0000000000 0.010367664528 0.009082345433
## 5 0.01785714286 1.0000000000 0.006557377049 0.007194323373
## 6 1.0000000000 1.000000000 0.052928248990 0.014475282514
     0.00100000000 2.7182818285 0.007100855310 0.007309453847
## 8 0.01785714286 2.7182818285 0.006554407223 0.006717879995
## 9 1.0000000000 2.7182818285 0.052928248990 0.014475282514
## 10 0.00100000000 7.3890560989 0.006007959135 0.005434377781
## 11 0.01785714286 7.3890560989 0.006554407223 0.006717879995
## 12 1.0000000000 7.3890560989 0.052928248990 0.014475282514
##
##
## [[2]]
##
## Call:
## best.svm(x = class ~ ., data = train_set, gamma = def_gammas,
##
       cost = def_costs, tuneconrol = tune.control(cross = 10))
##
##
## Parameters:
##
     SVM-Type: C-classification
   SVM-Kernel: radial
##
          cost:
                7.389056099
         gamma: 0.001
##
##
## Number of Support Vectors: 155
##
##
   (8174)
##
##
## Number of Classes: 2
## Levels:
## 0 1
## [1] "SVM Standardized 1:9 Ratio Rebalanced Data CV Results"
## [[1]]
##
## Parameter tuning of 'svm':
##
## - sampling method: 10-fold cross validation
##
## - best parameters:
##
            gamma cost
##
   0.01785714286
##
## - best performance: 0.001092896175
## - Detailed performance results:
##
              gamma
                                          error
                                                    dispersion
                            cost
```

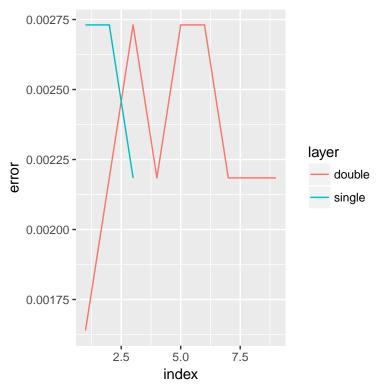
```
0.00100000000 0.3678794412 0.008728320266 0.005846569561
     0.01785714286 0.3678794412 0.002729270611 0.002876917452
     1.0000000000 0.3678794412 0.027833214540 0.014410442585
     0.00100000000 1.0000000000 0.007091945830 0.004479568796
     0.01785714286 1.0000000000 0.001092896175 0.002304027439
     1.0000000000 1.000000000 0.002729270611 0.003861648881
     0.00100000000 2.7182818285 0.003816227132 0.004487163446
     0.01785714286 2.7182818285 0.001092896175 0.002304027439
      1.0000000000 2.7182818285 0.002729270611 0.003861648881
## 10 0.00100000000 7.3890560989 0.004362675220 0.004299597111
## 11 0.01785714286 7.3890560989 0.001092896175 0.002304027439
## 12 1.0000000000 7.3890560989 0.002729270611 0.003861648881
##
## [[2]]
##
## Call:
  best.svm(x = class ~ ., data = train_set, gamma = def_gammas,
##
       cost = def_costs, tuneconrol = tune.control(cross = 10))
##
##
##
  Parameters:
                C-classification
##
      SVM-Type:
##
   SVM-Kernel:
                 radial
##
          cost:
                1
##
         gamma: 0.01785714286
##
##
  Number of Support Vectors: 186
##
##
    (88 98)
##
##
##
  Number of Classes: 2
##
## Levels:
   0 1
```

Next, I perform cross-validation for SVM to determine the best model for each of the rebalanced datasets. Based on the results, I should use a 2 layer neural network with 10 nodes in each layer for the 3:7 rebalanced data, a 2 layer neural network with 5 nodes in both for the 2:8 rebalanced data, and 1 layer with 5 nodes for the 1:9 rebalanced dataset.

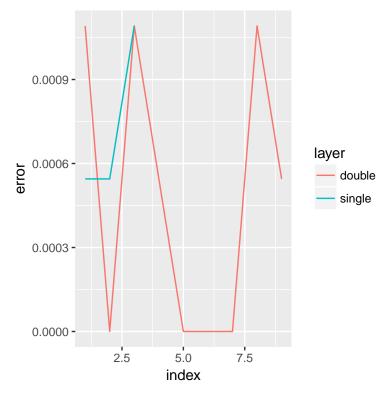
## [1] "Neural Network Standardized 3:7 Ratio Rebalanced Data CV Results"



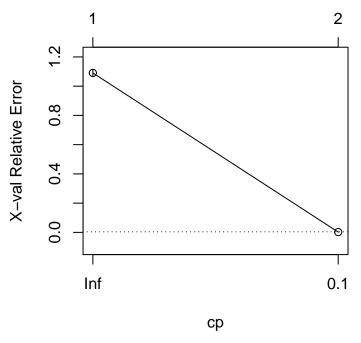
## [1] "Neural Network Standardized 2:8 Ratio Rebalanced Data CV Results"



## [1] "Neural Network Standardized 1:9 Ratio Rebalanced Data CV Results"

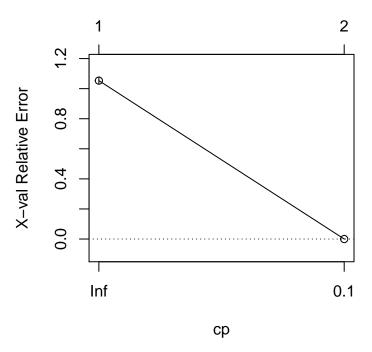


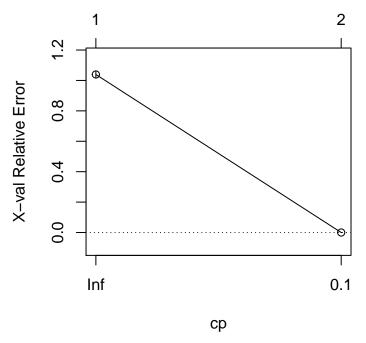
Next, I perform cross-validation for decision trees to determine the best model for each of the rebalanced datasets. Based on the results, I should use a decision tree with 2 splits and a cp of 0.1.



## [1] "Decision Tree Standardized 2:8 Ratio Rebalanced Data CV Results"







Below is a table of the training and test data errors for SVM using the final models for the rebalanced data determined above. The training error is 0 and my test error is signficantly smaller than the test errors of SVM for the original and unbalanced data above.

|           | train error | test error   |
|-----------|-------------|--------------|
| 3:7 ratio | 0           | 0.0027292576 |

|           | train error | test error   |
|-----------|-------------|--------------|
| 2:8 ratio | 0           | 0.0021834061 |
| 1:9 ratio | 0           | 0.0403930131 |

Below is a table of the training and test data errors for neural networks using the final models for the rebalanced data discussed above. The training error is 0 and my test error is signficantly smaller than the test errors of neural networks for the original and unbalanced data above (with the exception of the 2:8 rebalanced dataset). Similar to the unbalanced data, the test errors are lower for the neural networks than for SVM.

|           | train error | test error   |
|-----------|-------------|--------------|
| 3:7 ratio | 0           | 0.0005458515 |
| 2:8 ratio | 0           | 0.0032751092 |
| 1:9 ratio | 0           | 0.0152838428 |

Below is a table of the training and test data errors for a decision tree using the final models for the rebalanced data discussed above. These errors are much higher than the decision tree errors for the unbalanced data and for any of the errors for the unbalanced or rebalanced datasets.

|           | train error  | test error   |
|-----------|--------------|--------------|
| 3:7 ratio | 0.6174242424 | 0.6074411541 |
| 2:8 ratio | 0.7412375595 | 0.7426086957 |
| 1:9 ratio | 0.7923151751 | 0.7845249755 |

Overall, I would say that rebalancing the data using bootstrap for the underrepresented class helps improved the classification performance for SVM and neural network but not for decision trees.

```
library(knitr)
knitr::opts_chunk$set(echo = FALSE, warning = FALSE, fig.align = "center", fig.height = 4, fig.width = -
setwd("~/Documents/UMich/Classes/2018 Spring/STATS 503/Homework/HW4/")
library(knitr)
library(rpart)
library(e1071)
library(neuralnet) # multiple layers
library(nnet) # only one layer
library(ggplot2)
set.seed(2987554)
set.seed(2987554)
# read in the training and test data
#############################
# excel can be magical sometimes
col_names <- c('word_freq_make',</pre>
                                   'word_freq_address',
                                                        'word_freq_all',
                                                                             'word_freq_3d',
              'word_freq_our', 'word_freq_over', 'word_freq_remove', 'word_freq_internet',
              'word_freq_order', 'word_freq_mail', 'word_freq_receive',
                                                                             'word freq will',
              'word_freq_people', 'word_freq_report', 'word_freq_addresses',
              'word_freq_free', 'word_freq_business', 'word_freq_email', 'word_freq_you',
              'word_freq_credit', 'word_freq_your', 'word_freq_font', 'word_freq_000',
```

```
'word_freq_money', 'word_freq_hp', 'word_freq_hpl', 'word_freq_george',
             'word_freq_650', 'word_freq_lab', 'word_freq_labs', 'word_freq_telnet',
             'word_freq_857', 'word_freq_data',
                                             'word_freq_415',
                                                                'word freq 85',
             'word_freq_technology', 'word_freq_1999', 'word_freq_parts', 'word_freq_pm',
             'word_freq_direct', 'word_freq_cs', 'word_freq_meeting','word_freq_original',
             'word_freq_project', 'word_freq_re', 'word_freq_edu',
                                                                'word_freq_table',
             'word_freq_conference', 'char_freq_;', 'char_freq_(', 'char_freq_[',
             'char_freq_!', 'char_freq_$', 'char_freq_#', 'capital_run_length_average',
             'capital_run_length_longest', 'capital_run_length_total', 'class')
train_spam <- read.table("spam-train.txt", sep = ",", col.names = col_names)</pre>
train spam$class <- as.factor(train spam$class)</pre>
test_spam <- read.table("spam-test.txt", sep = ",", col.names = col_names)</pre>
test_spam$class <- as.factor(test_spam$class)</pre>
# create separate datasets
##############################
# training dataset
 stand_train_spam <- as.data.frame(scale(train_spam[,-58]))</pre>
 # add class variable
   stand_train_spam$class <- train_spam$class</pre>
# train datasets
 stand test spam <- as.data.frame(scale(test spam[,-58]))</pre>
   # add class variable
   stand_test_spam$class <- test_spam$class</pre>
set.seed(2987554)
# apply SVM to each type of training and train dataset
svm_fun <- function(train_set, test_set, def_cost, def_gamma, scale_tog){</pre>
 # linear kernal
 svmlinear <- svm(class ~ ., data=train_set,</pre>
                kernel="linear", cost=def_cost, scale = scale_tog)
 # what is cost referring to? is this the amount of slack? or is that epsilon? #what is gamma
 ### Summary of results
 summary(svmlinear)
 ### Prediction on train data set
 svmlinear_train_error <- 1-sum(diag(table(train_set$class,</pre>
                       predict(symlinear,train set))))/
                       nrow(train_set)
 ### Prediction on test data set
```

```
svmlinear_test_error <- 1-sum(diag(table(test_set$class,</pre>
                      predict(svmlinear,test_set))))/
                      nrow(test_set)
linear <- c(svmlinear_train_error, svmlinear_test_error)</pre>
# radial kernel: exponential minus gamma
svmradial = svm(class ~ ., data=train_set,
                   kernel="radial", cost=def_cost, gamma = def_gamma, scale = scale_tog)
### Prediction on train data set
svmradial_train_error <- 1-sum(diag(table(train_set$class,</pre>
                     predict(svmradial,train_set))))/
                     nrow(train_set)
### Prediction on test data set
svmradial_test_error <- 1-sum(diag(table(test_set$class,</pre>
                      predict(svmradial,test_set))))/
                     nrow(test_set)
radial <- c(symradial_train_error, symradial_test_error)</pre>
# polunomial kernel
poly <- c()
for (i in 2:3) {
 svmpoly = svm(class ~ ., data=train_set,
                 kernel="polynomial", cost=def_cost, degree = i, gamma = def_gamma, scale = scale_t
 ### Summary of results
 summary(svmpoly)
 ### Prediction on train data set
 svmpoly_train_error <- 1-sum(diag(table(train_set$class,</pre>
                       predict(svmpoly,train_set))))/
                       nrow(train set)
 ### Prediction on test data set
 svmpoly_test_error <- 1-sum(diag(table(test_set$class,</pre>
                       predict(svmpoly,test_set))))/
                       nrow(test_set)
 poly_t <- c(svmpoly_train_error, svmpoly_test_error)</pre>
 poly <- rbind(poly, poly_t)</pre>
rownames(poly) <- c("poly2", "poly3")</pre>
# combine all of the errors
```

```
errors <- rbind(linear, radial, poly)</pre>
 colnames(errors) <- c("training", "test")</pre>
 return(kable(errors))
}
# Standardized Dataset
# default mode
   default_cost = 1
   default_gamma = 1/56
   print("Standardized Dataset Default Cost and Gamma")
   scale_toggle = TRUE
   svm_fun(stand_train_spam, stand_test_spam, default_cost, default_gamma, scale_toggle)
 # change cost variable to be small
   cost = exp(-1)
   print("Standardized Dataset Small Cost and Default Gamma")
   svm_fun(stand_train_spam, stand_test_spam, cost, default_gamma, scale_toggle)
 # change cost variable to be large
   cost = exp(2)
   print("Standardized Dataset Large Cost and Default Gamma")
   svm_fun(stand_train_spam, stand_test_spam, cost, default_gamma,scale_toggle)
 # change gamma variable to be small
   gamma <- 0.001
   print("Standardized Dataset Default Cost and Small Gamma")
   svm_fun(stand_train_spam, stand_test_spam, default_cost, gamma, scale_toggle)
 # change gamma variable to be large
   gamma <- 1
   print("Standardized Dataset Default Cost and Large Gamma")
   svm_fun(stand_train_spam, stand_test_spam, default_cost, gamma, scale_toggle)
set.seed(2987554)
# built in tuning function
# this is the same as CV
svm_cv_fun <- function(train_set, def_costs, def_gammas) {</pre>
 tune.out = tune.svm(class ~ ., data = train_set, cost = def_costs, gamma = def_gammas,
                   tuneconrol = tune.control(cross = 10))
 tune.out_sum <- summary(tune.out)</pre>
 bestmod =tune.out$best.model # get's best tuning parameter
 bestmod_sum <- summary(bestmod)</pre>
 return(list(tune.out_sum, bestmod_sum))
}
# define potential costs and gammas
```

```
costs = exp(-1:2)
gammas = c(0.001, 1/56, 1)
svm_cv_fun(stand_train_spam, costs, gammas)
set.seed(2987554)
#Create formula for neural networks
# c1 and c2 are dummy variables that classify the emails into non-spam and spam respectively
spam_formula <- formula(paste("c1 + c2", paste(colnames(train_spam)[-58], collapse = " + "), sep = " ~</pre>
#Create separate dummy variables for each class
nn_dataset_fun <- function(dataset) {</pre>
  spam_classind <- as.data.frame(class.ind(dataset$class))</pre>
  #Rename columns to reflect formula
  colnames(spam_classind) <- c("c1", "c2")</pre>
 nn_train_spam <- cbind(dataset, spam_classind)</pre>
 return(nn_train_spam)
}
# training datasets
 nn_stand_train_spam <- nn_dataset_fun(stand_train_spam)</pre>
# test datasets
 nn_stand_test_spam <- nn_dataset_fun(stand_test_spam)</pre>
set.seed(2987554)
# train neural network function
# function to compute the error
pred = function(nn, dat) {
 yhat = compute(nn, dat)$net.result
 yhat = apply(yhat, 1, which.max)
 yhat <- (yhat == 2)*1
 return(yhat)
nn_fun <- function(nn_set) {</pre>
  # Train neural network using 5 neurons in 1 layer
 neuralnet_train15 <- neuralnet(spam_formula, nn_set, hidden = 5, linear.output = F)</pre>
  # Train neural network using 10 neurons in 1 layer
  neuralnet_train110 <- neuralnet(spam_formula, nn_set, hidden = 10, linear.output = F)</pre>
  # Train neural network using 5 neurons in 2 layers
  neuralnet_train25 <- neuralnet(spam_formula, nn_set, hidden = c(5,5), linear.output = F)</pre>
  # Train neural network using 10 neurons in 2 layers
  neuralnet_train210 <- neuralnet(spam_formula, nn_set, hidden = c(10,10), linear.output = F)
```

```
# Calculate errors
  # 1 layer, 5 nodes
    nn_error_pred15 <- pred(neuralnet_train15, nn_set[, -c(58,59,60)])</pre>
    error15 <- mean(nn error pred15 != nn set$class)
  # 1 layer, 10 nodes
    nn_error_pred110 <- pred(neuralnet_train110, nn_set[, -c(58,59,60)])</pre>
    error110 <- mean(nn error pred110 != nn set$class)</pre>
  # 2 layers, 5 nodes
    nn_train_pred25 <- pred(neuralnet_train25, nn_set[, -c(58,59,60)])</pre>
    error25 <- mean(nn_train_pred25 != nn_set$class)</pre>
  # 2 layers, 10 nodes
    nn_train_pred210 <- pred(neuralnet_train210, nn_set[, -c(58,59,60)])</pre>
    error210 <- mean(nn_train_pred210 != nn_set$class)</pre>
  # combine training and test errors to create a table
  nn_error5 <- cbind(error15, error25)</pre>
  nn_error10 <- cbind(error110, error210)</pre>
  nn_error <- rbind(nn_error5, nn_error10)</pre>
  rownames(nn_error) <- c("5 nodes", "10 nodes")</pre>
  colnames(nn_error) <- c("1 layer", "2 layers")</pre>
  return(kable(nn_error))
}
nn_fun(nn_stand_train_spam)
set.seed(2987554)
# Cross validate the hidden number of nodes for any layer neural network.
# What is the error rate (training and test) for the best model according to cross validation?
nn_cv_error_calc <- function(train_df, cv_formula, num_nodes, num_folds = 5) {</pre>
  cv_folds <- split(1:nrow(train_df), 1:num_folds)</pre>
  cv_error <- mean(sapply(cv_folds, function(fold) {</pre>
      cv_nn <- neuralnet(cv_formula, train_df[-fold,], hidden = num_nodes, linear.output = F)</pre>
      mean(train_df$class[fold] != pred(cv_nn, train_df[fold, c(-58, -59, -60)]))}))
  return(cv_error)
}
# make grid for 2 layers
grid_n_l <- expand.grid(seq(5,15,5),seq(5,15,5))
kable(grid_n_1)
nn_cv_error_plot <- function(train_set, nodes_grid) {</pre>
  # one layer
  nodes_unique <- as.data.frame(unique(nodes_grid$Var1))</pre>
  test_cv_error1 <- sapply(1:nrow(nodes_unique), function(i) {nn_cv_error_calc(train_set, spam_formula,
```

```
test_cv_error1 <- as.data.frame(test_cv_error1)</pre>
  colnames(test_cv_error1) <- "error"</pre>
  test_cv_error1$layer <- "single"</pre>
  test_cv_error1$index <- 1:nrow(nodes_unique)</pre>
  # two layers
  test_cv_error2 <- c()</pre>
  test cv error2 <- cbind(test cv error2, sapply(1:nrow(nodes grid),
      function(i) {nn_cv_error_calc(train_set, spam_formula,
        c(as.numeric(nodes_grid[i,1]), as.numeric(nodes_grid[i,2]))))))
  test_cv_error2 <- as.data.frame(test_cv_error2)</pre>
  colnames(test_cv_error2) <- "error"</pre>
  test_cv_error2$layer <- "double"</pre>
  test_cv_error2$index <- 1:nrow(nodes_grid)</pre>
  errors_cv <- rbind(test_cv_error1, test_cv_error2)</pre>
  ggplot(errors_cv) + geom_line(aes(x = index, y = error, colour = layer))
nn_cv_error_plot(nn_stand_train_spam, grid_n_l)
set.seed(2987554)
# Classification tree prediction error for training and test datasets
# do we need to play with the cp parameter?
tree_train_fun <- function(train_set, def_cp){</pre>
  tree_train <- rpart(class~., data=train_set, control = rpart.control(cp = def_cp))</pre>
tree_fun <- function(tree_train, train_set, test_set){</pre>
  train_predict = predict(tree_train ,train_set, type="class")
  train_error <- mean(train_predict != train_set$class)</pre>
  test_predict = predict(tree_train ,test_set, type="class")
  test_error <- mean(test_predict != test_set$class)</pre>
  error <- c(train_error, test_error)</pre>
 return(error)
}
# Cp controls the complexity of the tree. Small cp suggests large trees, large cp suggests small trees
cp_test <- function(cps, train_set, test_set){</pre>
  errors <- c()
  for(i in 1:3){
    stand_tree_fun <- tree_train_fun(train_set, cps[i])</pre>
    errors <- rbind(errors, tree_fun(stand_tree_fun, train_set, test_set))</pre>
  rownames(errors) <- c("default", "small", "large")</pre>
  colnames(errors) <- c("train error", "test error")</pre>
  return(errors)
```

```
cps \leftarrow c(0.01, 0, 0.05)
# standardized dataset
 # play with size of tree
 stand_tree <- cp_test(cps, stand_train_spam, stand_test_spam)</pre>
 kable(stand tree)
set.seed(2987554)
 # perform cross validation and then prune the tree if necessary
 # what does the dashed line mean?
 stand_tree_cv <- tree_train_fun(stand_train_spam, 0.01)</pre>
 plotcp(stand_tree_cv)
set.seed(2987554)
# creating unbalanced training data
# 3:7 ratio
 classes = lapply(levels(train_spam$class), function(x) which(train_spam$class==x))
 train = lapply(classes, function(class) sample(class, .65*length(class), replace = F))
 train3 <- unlist(train[2])</pre>
 class_0 <- subset(train_spam, train_spam$class == 0)</pre>
 train_spam37 <- rbind(train_spam[train3,], class_0)</pre>
 # 2:8 ratio
 classes = lapply(levels(train_spam$class), function(x) which(train_spam$class==x))
 train = lapply(classes, function(class) sample(class, .38*length(class), replace = F))
 train2 <- unlist(train[2])</pre>
 train_spam28 <- rbind(train_spam[train2,], class_0)</pre>
 # 1:9 ratio
 classes = lapply(levels(train_spam$class), function(x) which(train_spam$class==x))
 train = lapply(classes, function(class) sample(class, .17*length(class), replace = F))
 train1 <- unlist(train[2])</pre>
 train_spam19 <- rbind(train_spam[train1,], class_0)</pre>
# processed training datasets of unbalanced data
#########################
# create initial datasets
# 3:7 ratio
 stand_train_spam37 <- as.data.frame(scale(train_spam37[,-58]))</pre>
 # add class variable
   stand_train_spam37$class <- train_spam37$class</pre>
# 2:8 ratio
 stand_train_spam28 <- as.data.frame(scale(train_spam28[,-58]))</pre>
```

```
# add class variable
   stand_train_spam28$class <- train_spam28$class</pre>
# 1:9 ratio
 stand train spam19 <- as.data.frame(scale(train spam19[,-58]))
 # add class variable
   stand_train_spam19$class <- train_spam19$class</pre>
# creating unbalanced test data
# 3:7 ratio
 classes = lapply(levels(test_spam$class), function(x) which(test_spam$class==x))
 test = lapply(classes, function(class) sample(class, .65*length(class), replace = F))
 test3 <- unlist(test[2])</pre>
 class_0 <- subset(test_spam, test_spam$class == 0)</pre>
 test_spam37 <- rbind(test_spam[test3,], class_0)</pre>
 # 2:8 ratio
 classes = lapply(levels(test spam$class), function(x) which(test spam$class==x))
 test = lapply(classes, function(class) sample(class, .38*length(class), replace = F))
 test2 <- unlist(test[2])</pre>
 test_spam28 <- rbind(test_spam[test2,], class_0)</pre>
 # 1:9 ratio
 classes = lapply(levels(test_spam$class), function(x) which(test_spam$class==x))
 test = lapply(classes, function(class) sample(class, .17*length(class), replace = F))
 test1 <- unlist(test[2])</pre>
 test_spam19 <- rbind(test_spam[test1,], class_0)</pre>
# processed test datasets of unbalanced data
# 3:7 ratio
 stand_test_spam37 <- as.data.frame(scale(test_spam37[,-58]))</pre>
 # add class variable
   stand_test_spam37$class <- test_spam37$class</pre>
# 2:8 ratio
 stand_test_spam28 <- as.data.frame(scale(test_spam28[,-58]))</pre>
 # add class variable
   stand_test_spam28$class <- test_spam28$class</pre>
# 1:9 ratio
 stand_test_spam19 <- as.data.frame(scale(test_spam19[,-58]))</pre>
 # add class variable
   stand_test_spam19$class <- test_spam19$class</pre>
set.seed(2987554)
# perform cross validation on all the training datasets from the unbalanced data
```

```
# svm
 # standardized
     print("SVM Standardized 3:7 Ratio Data CV Results")
     svm_cv_fun(stand_train_spam37, costs, gammas)
     print("SVM Standardized 2:8 Ratio Data CV Results")
     svm_cv_fun(stand_train_spam28, costs, gammas)
     print("SVM Standardized 1:9 Ratio Data CV Results")
     svm_cv_fun(stand_train_spam19, costs, gammas)
set.seed(2987554)
# neural nets
 # standardized
     nn_stand_train37 <- nn_dataset_fun(stand_train_spam37)</pre>
     print("Neural Network Standardized 3:7 Ratio Data CV Results")
     nn_cv_error_plot(nn_stand_train37, grid_n_1)
     nn_stand_train28 <- nn_dataset_fun(stand_train_spam28)</pre>
     print("Neural Network Standardized 2:8 Ratio Data CV Results")
     nn_cv_error_plot(nn_stand_train28, grid_n_1)
     nn_stand_train19 <- nn_dataset_fun(stand_train_spam19)</pre>
     print("Neural Network Standardized 1:9 Ratio Data CV Results")
     nn_cv_error_plot(nn_stand_train19, grid_n_l)
set.seed(2987554)
# decision trees
 # perform cross validation and then prune the tree if necessary
 # what does the dashed line mean?
 # standardized
   print(paste("Decision Tree Standardized 3:7 Ratio Data CV Results"))
   stand_tree_cv <- tree_train_fun(stand_train_spam37, 0.01)</pre>
   plotcp(stand tree cv)
   print(paste("Decision Tree Standardized 2:8 Ratio Data CV Results"))
   stand_tree_cv <- tree_train_fun(stand_train_spam28, 0.01)</pre>
   plotcp(stand_tree_cv)
   print(paste("Decision Tree Standardized 1:9 Ratio Data CV Results"))
   stand_tree_cv <- tree_train_fun(stand_train_spam19, 0.01)</pre>
   plotcp(stand_tree_cv)
set.seed(2987554)
# create functions to calculate training and test errors using tuning parameters from CV
colnamesf <- c("train error", "test error")</pre>
```

```
rownamesf <- c("4:6 ratio", "3:7 ratio", "2:8 ratio", "1:9 ratio")</pre>
# function to calculate sum errors
# function to calculate sum training and test errors
svm error calc <- function(train set, test set, def kernal, def cost, def gamma){</pre>
 svm_cv = svm(class ~ ., data=train_set,
                  kernel=def_kernal, cost=def_cost, gamma = def_gamma)
 ### Prediction on train data set
 svm_cv_train_error <- 1-sum(diag(table(train_set$class,</pre>
                        predict(svm_cv,train_set))))/
                        nrow(train_set)
 ### Prediction on test data set
 svm_cv_test_error <- 1-sum(diag(table(test_set$class,</pre>
                        predict(svm_cv,test_set))))/
                        nrow(test set)
 return(c(svm_cv_train_error, svm_cv_test_error))
}
# function to calculate nn errors
# final training and test errors using CV terms neural network function
nn_error_fun <- function(train_set, test_set, nodes) {</pre>
 # function to compute the error
   pred = function(nn, dat) {
     yhat = compute(nn, dat)$net.result
     yhat = apply(yhat, 1, which.max)
     yhat <- (yhat == 2)*1
     return(yhat)
   }
 neuralnet_train_cv <- neuralnet(spam_formula, train_set, hidden = nodes, linear.output = F)</pre>
 # Calculate training error
   nn_train_pred_cv <- pred(neuralnet_train_cv, train_set[, -c(58,59,60)])</pre>
   error_train <- mean(nn_train_pred_cv != train_set$class)</pre>
 # Calculate test error
   nn_test_pred_cv <- pred(neuralnet_train_cv, test_set[, -c(58,59,60)])</pre>
   error_test <- mean(nn_test_pred_cv != test_set$class)</pre>
 error_cv <- cbind(error_train, error_test)</pre>
 return(error_cv)
```

```
}
set.seed(2987554)
# getting final set of training and test errors, unfixed ratios data
# sum
  stand_svm46_errors <- svm_error_calc(stand_train_spam, stand_test_spam,
                          "radial", exp(2), default_gamma)
  stand_svm37_errors <- svm_error_calc(stand_train_spam37, stand_test_spam37,</pre>
                          "radial", exp(1), default_gamma)
  stand_svm28_errors <- svm_error_calc(stand_train_spam28, stand_test_spam28,
                          "radial", exp(2), default_gamma)
  stand_svm19_errors <- svm_error_calc(stand_train_spam19, stand_test_spam19,
                          "radial", exp(2), default gamma)
  stand_svm_errors <- rbind(stand_svm46_errors, stand_svm37_errors,</pre>
                            stand svm28 errors, stand svm19 errors)
  colnames(stand_svm_errors) <- colnamesf</pre>
  rownames(stand_svm_errors) <- rownamesf</pre>
  kable(stand_svm_errors)
  stand_nn46_errors <- nn_error_fun(nn_stand_train_spam, stand_test_spam, 15)
  stand_nn37_errors <- nn_error_fun(nn_stand_train37, stand_test_spam37, c(15,5))
  stand_nn28_errors <- nn_error_fun(nn_stand_train28, stand_test_spam28, 10)
  stand_nn19_errors <- nn_error_fun(nn_stand_train19, stand_test_spam19, c(15,10))
  stand_nn_errors <- rbind(stand_nn46_errors, stand_nn37_errors, stand_nn28_errors, stand_nn19_errors)
  colnames(stand_nn_errors) <- colnamesf</pre>
  rownames(stand_nn_errors) <- rownamesf</pre>
  kable(stand_nn_errors)
# trees
  tree_train_form <- tree_train_fun(stand_train_spam, 0.016)</pre>
  stand_tree46_errors <- tree_fun(tree_train_form, stand_train_spam, stand_test_spam)
  tree_train_form <- tree_train_fun(stand_train_spam37, 0.016)</pre>
  stand_tree37_errors <- tree_fun(tree_train_form, stand_train_spam37, stand_test_spam37)</pre>
  tree_train_form <- tree_train_fun(stand_train_spam28, 0.018)</pre>
  stand_tree28_errors <- tree_fun(tree_train_form, stand_train_spam28, stand_test_spam28)
  tree_train_form <- tree_train_fun(stand_train_spam19, 0.019)</pre>
  stand_tree19_errors <- tree_fun(tree_train_form, stand_train_spam19, stand_test_spam19)
```

```
stand_tree_errors <- rbind(stand_tree46_errors, stand_tree37_errors,</pre>
                        stand tree28 errors, stand tree19 errors)
 colnames(stand_tree_errors) <- colnamesf</pre>
 rownames(stand_tree_errors) <- rownamesf</pre>
 kable(stand_tree_errors)
set.seed(2987554)
# bootstraps of unbalanced training data
n <- nrow(class_0)</pre>
 # fix ratios
 fix_ratios <- function(ratio_data, class_0){</pre>
   class1 <- subset(ratio_data, ratio_data$class == 1)</pre>
   bootstrap_sample <- lapply(1, function(i) (sample(1:nrow(class1), n, replace = T)))</pre>
   bootstrap_sample <- unlist(bootstrap_sample)</pre>
   class1_boot <- class1[bootstrap_sample,]</pre>
   fixed_data <- rbind(class1_boot, class_0)</pre>
   return(fixed_data)
 }
 # 3:7
 stand_train_spamf37 <- fix_ratios(stand_train_spam37, class_0)</pre>
 stand_train_spamf28 <- fix_ratios(stand_train_spam28, class_0)</pre>
 # 1:9
 stand_train_spamf19 <- fix_ratios(stand_train_spam19, class_0)</pre>
# bootstraps of unbalanced test data
stand_test_spamf37 <- fix_ratios(stand_test_spam37, class_0)</pre>
 stand test spamf28 <- fix ratios(stand test spam28, class 0)
 # 1:9
 stand_test_spamf19 <- fix_ratios(stand_test_spam19, class_0)</pre>
set.seed(2987554)
# perform cross validation on all the training datasets from the rebalanced data
```

```
# sum
  # standardized
      print("SVM Standardized 3:7 Ratio Rebalanced Data CV Results")
      svm_cv_fun(stand_train_spamf37, costs, gammas)
      print("SVM Standardized 2:8 Ratio Rebalanced Data CV Results")
      svm_cv_fun(stand_train_spamf28, costs, gammas)
     print("SVM Standardized 1:9 Ratio Rebalanced Data CV Results")
      svm_cv_fun(stand_train_spamf19, costs, gammas)
set.seed(2987554)
# neural nets
  # standardized
      nn_stand_train_spamf37 <- nn_dataset_fun(stand_train_spamf37)</pre>
      print("Neural Network Standardized 3:7 Ratio Rebalanced Data CV Results")
     nn_cv_error_plot(nn_stand_train_spamf37, grid_n_1)
     nn stand train spamf28 <- nn dataset fun(stand train spamf28)
      print("Neural Network Standardized 2:8 Ratio Rebalanced Data CV Results")
     nn_cv_error_plot(nn_stand_train_spamf28, grid_n_1)
     nn_stand_train_spamf19 <- nn_dataset_fun(stand_train_spamf19)</pre>
      print("Neural Network Standardized 1:9 Ratio Rebalanced Data CV Results")
     nn_cv_error_plot(nn_stand_train_spamf19, grid_n_1)
set.seed(2987554)
# decision trees
  # perform cross validation and then prune the tree if necessary
  # what does the dashed line mean?
  # standardized
   print(paste("Decision Tree Standardized 3:7 Ratio Rebalanced Data CV Results"))
   stand_tree_cv <- tree_train_fun(stand_train_spamf37, 0.01)</pre>
   plotcp(stand_tree_cv)
   print(paste("Decision Tree Standardized 2:8 Ratio Rebalanced Data CV Results"))
   stand_tree_cv <- tree_train_fun(stand_train_spamf28, 0.01)</pre>
   plotcp(stand_tree_cv)
   print(paste("Decision Tree Standardized 1:9 Ratio Rebalanced Data CV Results"))
    stand_tree_cv <- tree_train_fun(stand_train_spamf19, 0.01)</pre>
   plotcp(stand_tree_cv)
colnamesf <- c("train error", "test error")</pre>
rownamesf <- c("3:7 ratio", "2:8 ratio", "1:9 ratio")</pre>
set.seed(2987554)
# getting final set of training and test errors, fixed ratios data
```

```
# sum
  stand svm37 errors <- svm error calc(stand train spamf37, stand test spamf37,
                          "radial", exp(2), default_gamma)
  stand_svm28_errors <- svm_error_calc(stand_train_spamf28, stand_test_spamf28,
                          "radial", exp(2), default gamma)
  stand_svm19_errors <- svm_error_calc(stand_train_spamf19, stand_test_spamf19,</pre>
                          "radial", exp(1), default_gamma)
  stand_svm_errors <- rbind(stand_svm37_errors,</pre>
                            stand_svm28_errors, stand_svm19_errors)
  colnames(stand_svm_errors) <- colnamesf</pre>
  rownames(stand_svm_errors) <- rownamesf</pre>
  kable(stand svm errors)
  stand_nn37_errors <- nn_error_fun(nn_stand_train_spamf37, stand_test_spamf37, c(10,10))
  stand_nn28_errors <- nn_error_fun(nn_stand_train_spamf28, stand_test_spamf28, c(5,5))
  stand_nn19_errors <- nn_error_fun(nn_stand_train_spamf19, stand_test_spamf19, 5)
  stand_nn_errors <- rbind(stand_nn37_errors, stand_nn28_errors, stand_nn19_errors)</pre>
  colnames(stand_nn_errors) <- colnamesf</pre>
  rownames(stand_nn_errors) <- rownamesf</pre>
  kable(stand_nn_errors)
# trees
  tree train form <- tree train fun(stand train spamf37, 0.1)
  stand_tree37_errors <- tree_fun(tree_train_form, stand_train_spam37, stand_test_spam37)
  tree train form <- tree train fun(stand train spamf28, 0.1)
  stand tree28 errors <- tree fun(tree train form, stand train spam28, stand test spam28)
  tree_train_form <- tree_train_fun(stand_train_spamf19, 0.1)</pre>
  stand_tree19_errors <- tree_fun(tree_train_form, stand_train_spam19, stand_test_spam19)
  stand_tree_errors <- rbind(stand_tree37_errors, stand_tree28_errors, stand_tree19_errors)</pre>
  colnames(stand_tree_errors) <- colnamesf</pre>
  rownames(stand_tree_errors) <- rownamesf</pre>
  kable(stand_tree_errors)
```