

## Chapter 2 - 8

**EP2.36** There is a bijection  $F : \mathcal{P}(X) \rightarrow \{0, 1\}^X$ .

$F(a)(x) = \begin{cases} 0 & \text{if } x \in A \\ 1 & \text{if } x \notin A \end{cases}$  which is  $1 - 1$  and onto.

**D2.37 Cartesian Product** Let  $F$  be a function with  $\text{dom}(F)$  as a set.  $\prod F = \{f : f \text{ is a function} \wedge \text{dom}(f) = \text{dom}(F) \wedge \forall x \in \text{dom}(F) [f(x) \in F(x)]\}$ . If  $F = \langle A_i : i \in I \rangle$ , then

$\prod F = \prod_{i \in I} A_i = \{f : f \text{ is a function} \wedge \text{dom}(f) = I \wedge \forall i \in I [f(i) \in A_i]\}$

**A2.38 Axiom of Choice** If  $\langle A_i : i \in I \rangle$  is a sequence of sets such that

$\forall i \in I [A_i \neq \emptyset]$ , then  $\prod_{i \in I} A_i \neq \emptyset$

**T5.11 Schröder-Bernstein** If  $A \lesssim B$  and  $B \lesssim A$ , then  $A \approx B$ .

**D5.19** A set is finite if there exists  $n \in \mathbb{N}$  such that  $n \approx A$ .  $A$  is infinite if it is not finite.  $A$  is countable if  $A \lesssim \mathbb{N}$ .  $A$  is uncountable if it is not countable.

**D6.45/13 Partial/Linear/Well Order**

- $\forall x \in X [x \not\prec x]$
- $\forall x, y, z \in X [(x \prec y \wedge y \prec z) \Rightarrow x \prec z]$
- $\forall x, y \in X [x = y \vee x \triangleleft y \vee y \triangleleft x]$  (linear order)
- $\forall A \subseteq X [A \neq \emptyset \Rightarrow \exists a \in A \forall a' \in A [a \leq a']]$  (well order)

**D6.9 Maximal / Minimal Element**  $x \in X$  is maximal if  $\forall y \in X [x \not\prec y]$ .

**D6.11**  $C \subseteq X$  is a chain if  $\forall x, y \in C [x \leq y \text{ or } y \leq x]$  and  $x$  and  $y$  are comparable.  $A \subseteq X$  is an antichain if  $\forall x, y \in A [x \neq y \Rightarrow x$  and  $y$  are incomparable]. A chain is maximal if there is no chain  $C' \subseteq X$  where  $C \subsetneq C'$ .  $\emptyset$  and singletons are chains and antichains.

**L6.12** For a finite partial order, every chain or antichain is contained in a maximal chain or antichain.

**D6.16** For a linear order  $\langle X, < \rangle$   $\text{pred}_{\langle X, < \rangle}(x) = \{x' \in X : x' < x\}$ , or the set of predecessors of  $x$  in  $X$  for the ordering  $<$ . A subset  $A \subseteq X$  is downwards closed if  $\forall a \in A \forall x \in X [x < a \Rightarrow x \in A]$ . The predecessor subset is

downwards closed along with the entire set.

**D6.33/34** If  $\langle X, \triangleleft \rangle$  and  $\langle Y, \prec \rangle$  are linear orders,  $f : X \rightarrow Y$  is an isomorphism between them if  $f$  is  $(1 - 1)$  and onto and  $\forall x, y \in X [x \triangleleft y \Leftrightarrow f(x) \prec f(y)]$ . Two linear orders are isomorphic if  $f$  exists which is an isomorphism.

**D6.35**  $\langle X, < \rangle$  and  $\langle Y, \prec \rangle$  are linear orders.  $f : X \rightarrow Y$  is an embedding if it is  $1 - 1$  and order preserving.  $\langle X, < \rangle \hookrightarrow \langle Y, \prec \rangle$ .

**D6.42** A linear order  $\langle X, \triangleleft \rangle$  has type omega  $\omega$  if  $X$  is infinite and  $\forall x \in X$ ,  $\text{pred}_{\langle X, \triangleleft \rangle}(x)$  is finite.

**L7.6 (AC)** A countable union of countable sets is countable.

**L7.8**  $\mathbb{Q} \approx \mathbb{N}$ .

**F7.12** If  $x, y \in \mathbb{R}$  and  $x < y$ , there is a  $q \in \mathbb{Q}$  with  $x < q < y$ .

**T7.14/15**  $\approx: 2^{\mathbb{N}}, \mathbb{N}^{\mathbb{N}}, \mathcal{P}(\mathbb{N} \times \mathbb{N}), \mathcal{P}(\mathbb{N}), \mathcal{P}(\mathbb{Q}), \mathbb{R}, \mathbb{C}, \mathbb{R}^2, \mathbb{R}^{\mathbb{N}}$ .

**C7.24** If  $B \approx C$ , then  $A^B \approx A^C$ .

**C7.25** If  $A \lesssim D$ ,  $B \lesssim C$ , and  $B \neq \emptyset$ , then  $A^B \lesssim D^C$ .

**L7.27** Suppose  $A$ ,  $B$ , and  $C$  are sets with  $B \cap C = \emptyset$ .  $A^B \times A^C \approx A^{B \cup C}$ .

**C7.28**  $\mathbb{N}^{\mathbb{N}} \times \mathbb{N}^{\mathbb{N}} \approx \mathbb{N}^{\mathbb{N}}$  using  $A \cup B = \mathbb{N}$ ,  $A \cap B = \emptyset$ .

**L7.31/32** Let  $A, B, C$  be sets.  $A^{(B \times C)} \approx (A^B)^C$ .  $(\mathbb{N}^{\mathbb{N}})^{\mathbb{N}} \approx \mathbb{N}^{\mathbb{N}}$ .

**E7.37**  $\mathbb{R}^{\mathbb{R}} \approx 2^{\mathbb{R}}$ .

**E7.39** There are only  $\mathfrak{c}$  many increasing functions.

**D8.8** Let  $\langle X, < \rangle$  be a partial order and  $A \subseteq X$ .  $x \in X$  is an upper bound of  $A$  if  $\forall a \in A [a \leq x]$ .  $x$  is a lower bound if  $\forall a \in A [x \leq a]$ . Let  $U$  be the set of upper bounds of  $A$  and  $L$  be the set of lower bounds of  $A$ . If there exists  $u \in U$  such that  $\forall x \in U [u \leq x]$ , then  $u$  is the supremum of  $A$  in  $X$  or  $\text{sup}_X(A)$  or minimal upper bound. For  $L$  and  $[x \leq l]$ , it is called the infimum or  $\text{inf}_X(A)$  or greatest lower bound. There can only be at most one supremum or infimum.

**D8.13** A linear order  $\langle X, < \rangle$  is dense if

$\forall x, y \in X \exists z \in X [x < y \Rightarrow x < z < y]$ .

**D8.14** A linear order is without endpoints if it has neither a maximal nor minimal element. A countable dense linear order with no endpoints is universal for all countable linear orders; every countable linear order embeds into such an order.

**T8.15 (Cantor, AC)** Suppose  $\langle X, < \rangle$  is a non-empty dense linear order without endpoints. Let  $\langle Y, \prec \rangle$  be any countable linear order. Then  $\langle Y, \prec \rangle \hookrightarrow \langle X, < \rangle$ .

**T8.16 (Cantor)** Let  $\langle X, < \rangle$  and  $\langle Y, \prec \rangle$  be non-empty countable dense linear orders without endpoints. Then they are isomorphic.

## 9. Well-Ordered Sets

**F9.1** If  $\langle X, < \rangle$  is a linear order of type  $\omega$ , then it is a well-order.

**L9.2** Suppose  $A$  and  $B$  are downwards closed subset of  $X$  where  $\langle X, < \rangle$  is a well-order. If  $\langle A, < \rangle \cong \langle B, < \rangle$ , then  $A = B$ .

**C9.3** Suppose  $\langle X, < \rangle$  is a well-order, and  $x < x' \in X$ . Then

$\langle \text{pred}_{\langle X, < \rangle}(x'), < \rangle \not\cong \langle \text{pred}_{\langle X, < \rangle}(x), < \rangle$ .

**C9.4** Suppose  $\langle X, < \rangle$  is a well-order. Then for any  $x \in X$ ,

$\langle \text{pred}_{\langle X, < \rangle}(x), < \rangle \not\cong \langle X, < \rangle$ .

**L9.5** If  $\langle X, < \rangle$  and  $\langle Y, \prec \rangle$  are isomorphic well-orders, then the isomorphism between them is unique.

**T9.6** Suppose  $\langle X, < \rangle$  and  $\langle Y, \prec \rangle$  are well-orders. Then exactly one of the following holds:

- $\langle X, < \rangle \cong \langle Y, \prec \rangle$
- $\exists x \in X [\langle \text{pred}_{\langle X, < \rangle}(x), < \rangle \cong \langle Y, \prec \rangle]$
- $\exists y \in Y [\langle X, < \rangle \cong \langle \text{pred}_{\langle Y, \prec \rangle}(y), \prec \rangle]$

**E9.11** Define the product of  $X$  and  $Y$  to be  $Z = Y \times X$ . The dictionary order  $\triangleleft$  on  $Z$  is a well-order.

**E9.13** Given well-orders  $\langle X, <_X \rangle \cong \langle A, <_A \rangle$ ,  $\langle Y, <_Y \rangle \cong \langle B, <_B \rangle$ , then the product and sum of  $\langle X, <_X \rangle$ ,  $\langle Y, <_Y \rangle \cong \langle A, <_A \rangle$ ,  $\langle B, <_B \rangle$ .

## 10. Ordinals

**WO** =  $\{\langle X, < \rangle : X \text{ is a set} \wedge < \text{ is a well-ordering of } X\}$

### 10.1 Basic Properties of Ordinals

**D10.1** A set  $x$  is transitive if every element of  $x$  is a subset of  $x$ , or  $\forall y [y \in x \Rightarrow y \subseteq x]$ .

**D10.2 Ordinals** A set  $\alpha$  is an ordinal if it is transitive and well-ordered by  $\in$ . Let  $\epsilon_\alpha = \{\langle \beta, \gamma \rangle \in \alpha \times \alpha : \beta \in \gamma\}$ .  $\alpha$  is an ordinal if  $\alpha$  is transitive and  $\langle \alpha, \epsilon_\alpha \rangle$  is a well-order. The subscript of  $\epsilon_\alpha$  is often omitted.

**F10.3**  $\mathbb{N}$  is an ordinal. Every  $n \in \mathbb{N}$  is also an ordinal.

**T10.4** Let  $x$  be an ordinal. The following hold:

- $\forall y \in x [y \text{ is an ordinal} \wedge y = \text{pred}_{\langle x, \in \rangle}(y)]$
- if  $y$  is any ordinal and  $\langle x, \in \rangle \cong \langle y, \in \rangle$  then  $x = y$
- if  $y$  is any ordinal, then exactly one of the following things hold:

- $x \in y, x = y, y \in x$
- if  $y, z$  are ordinals and  $x \in y$  and  $y \in z$ , then  $x \in z$
- if  $\mathbf{C}$  is a non-empty class of ordinals, then  $\exists y \in \mathbf{C} \forall z \in \mathbf{C} [y \in z \vee y = z]$

**D10.5** **ORD** =  $\{\alpha : \alpha \text{ is an ordinal}\}$  is the class of all ordinals.

**T10.6** **Burali-Forti** **ORD** is not a set.

**L10.7** Every transitive set of ordinals is an ordinal.

**T10.8** Let  $\langle X, < \rangle$  be a well-ordered set. Then there exists a unique  $\alpha$  such that  $\langle X, < \rangle \cong \langle \alpha, \epsilon_\alpha \rangle$ .

**D10.11** If  $\langle X, < \rangle$  is any well-ordered set, then  $\text{otp}(\langle X, < \rangle)$ , or the order type of  $\langle X, < \rangle$  is the unique ordinal  $\alpha$  such that  $\langle X, < \rangle$  is isomorphic to  $\langle \alpha, \epsilon_\alpha \rangle$ .

**L10.13** For ordinals  $\alpha, \beta$ ,  $\alpha \leq \beta$  iff  $\alpha \subseteq \beta$ .

**L10.14** If  $A$  is a non-empty set of ordinals, then  $\min(A) = \bigcap A$ . If  $A$  is any set of ordinals, then  $\text{sup}_{\text{ORD}}(A) = \bigcup A$ .

**L10.15** For any  $\alpha$ ,  $S(\alpha)$  is an ordinal,  $\alpha < S(\alpha)$ , and

$\forall \beta [\beta < S(\alpha) \Leftrightarrow \beta \leq \alpha]$ .

**D10.16**  $\alpha$  is a successor ordinal if  $\exists \beta [\alpha = S(\beta)]$ .  $\alpha$  is a limit ordinal if  $\alpha \neq 0$  and  $\alpha$  is not a successor ordinal.

**L10.17** An ordinal  $\alpha$  is a natural number iff  $\forall \beta \leq \alpha [\beta = 0 \vee \beta \text{ is a successor ordinal}]$ .

**CV10.18**  $\omega = \mathbb{N}$ .

## Induction and Recursion on the Ordinals

**T10.19** Let  $P(\alpha)$  be some property. If  $\forall \alpha \in \text{ORD} [\forall \beta < \alpha [P(\beta)] \Rightarrow P(\alpha)]$  then  $\forall \alpha \in \text{ORD} [P(\alpha)]$ .

**D10.20** Let **FOD** =  $\{\sigma : \sigma \text{ is a function} \wedge \exists \alpha \in \text{ORD} [\text{dom}(\sigma) = \alpha]\}$  denote the class of all functions whose domain is some ordinal. An ordinal extender is a function **E** : **FOD**  $\rightarrow$  **V**. When you plug in a function with domain  $\alpha$  into an ordinal extender, the output tells you what the value of the function at  $\alpha$  ought to be.

**T10.21** Suppose **E** : **FOD**  $\rightarrow$  **V** is any extender. Then there exists a unique function **F** : **ORD**  $\rightarrow$  **V** satisfying the condition that  $\forall \alpha \in \text{ORD} [\mathbf{F}(\alpha) = \mathbf{E}(\mathbf{F} \upharpoonright \alpha)]$ . The function generated is a proper class and not a set. **F**  $\upharpoonright \alpha$  is a function with  $\text{dom}(\mathbf{F} \upharpoonright \alpha) = \alpha$  because  $\alpha \subseteq \text{ORD}$ .

**EP10.24** Define  $V_0 = \emptyset$ . Fix  $\alpha \in \text{ORD}$  and suppose  $V_\beta$  is given for all  $\beta < \alpha$ . If  $\alpha = S(\beta)$  for some  $\beta$  let  $V_\alpha = \mathcal{P}(V_\beta)$ . If  $\alpha$  is a limit ordinal, then  $V_\alpha = \bigcup \{V_\beta : \beta < \alpha\}$ . Define **E** : **FOD**  $\rightarrow$  **V** as follows. Fix  $\sigma \in \text{FOD}$ . Let  $\alpha = \text{dom}(\sigma) \in \text{ORD}$ . If  $\alpha = 0$ , **E**( $\sigma$ ) =  $\emptyset$ . If  $\alpha$  is a successor ordinal,  $\exists! \beta, S(\beta) = \alpha$ . Then  $\beta \in \alpha$ , so  $\sigma(\beta)$  is defined and in **V**. Let **E**( $\sigma$ ) =  $\mathcal{P}(\sigma(\beta))$ . If  $\alpha$  is a limit ordinal, then let **E**( $\sigma$ ) =  $\bigcup \text{ran}(\sigma)$ .

**E10.26** Call **C** trans-finitely inductive if:

- $0 \in \mathbf{C}$
- $\forall x \in \mathbf{C} [S(x) \in \mathbf{C}]$
- for any set  $X \subseteq \mathbf{C}$ ,  $\bigcup X \in \mathbf{C}$

**ORD** is the smallest trans-finitely inductive class.

**E10.27** Let  $\alpha$  be any ordinal. If  $X \subseteq \alpha$ , then  $\text{otp}(\langle X, \in \rangle) \leq \alpha$ .

**E10.28** Let  $\alpha$  be any ordinal.  $\alpha$  is a limit ordinal iff  $\bigcup \alpha = \alpha$ .

**E10.29** For EP10.24, for each  $\alpha \in \text{ORD}$ ,  $V_\alpha$  is transitive and  $\bigcup_{\alpha \in \text{ORD}} V_\alpha$  is transitive,  $\alpha \subseteq V_\alpha$  and  $\alpha \notin V_\alpha$ .

## 11. Ordinal Arithmetic

### 11.1 Addition and Multiplication

**D11.1** Let  $\langle X, <_X \rangle$  and  $\langle Y, <_Y \rangle$  be well-orders. Define  $X \oplus Y = (\{0\} \times X) \cup (\{1\} \times Y)$ . Define  $<_{X \oplus Y}$  to be:

- $\forall x, x' \in X [\langle 0, x \rangle <_{X \oplus Y} \langle 0, x' \rangle \Leftrightarrow x <_X x']$
- $\forall y, y' \in Y [\langle 1, y \rangle <_{X \oplus Y} \langle 1, y' \rangle \Leftrightarrow y <_Y y']$
- $\forall x \in X \forall y \in Y [\langle 0, x \rangle <_{X \oplus Y} \langle 1, y \rangle]$

Then it is a well-order.

**D11.2** Suppose  $\alpha$  and  $\beta$  are ordinals. Define  $\alpha + \beta$  to be the order-type of the well-order  $\langle \alpha \oplus \beta, <_{\alpha \oplus \beta} \rangle$ , where  $<_\alpha = \epsilon_\alpha$  and  $<_\beta = \epsilon_\beta$ .

**L11.4** Let  $\langle X, <_X \rangle$ ,  $\langle Y, <_Y \rangle$ ,  $\langle Z, <_Z \rangle$  be well-orders. Suppose  $A, B \subseteq Z$ .

Assume  $A \cup B = Z$  and  $\forall a \in A \forall b \in B [a <_Z b]$ . Then if

$\langle A, <_Z \rangle \cong \langle X, <_X \rangle$  and  $\langle B, <_Z \rangle \cong \langle Y, <_Y \rangle$ , then

$\langle Z, <_Z \rangle \cong \langle X \oplus Y, <_{X \oplus Y} \rangle$ .

**L11.5** For any  $\alpha, \beta, \gamma$ :

- $\alpha + (\beta + \gamma) = (\alpha + \beta) + \gamma$
- $\alpha + 0 = \alpha$
- $\alpha + 1 = S(\alpha)$
- $\alpha + S(\beta) = S(\alpha + \beta)$
- if  $\beta$  is a limit ordinal, then  $\alpha + \beta = \text{sup}\{\alpha + \xi : \xi < \beta\}$

**R11.6** (2), (3), (5) can be used to give an inductive definition of  $+$ . For a fixed  $\alpha$ , we can define  $\dot{+}$  which is equivalent to  $+$  on **ORD** by:

- $\alpha \dot{+} 0 = \alpha$
- $\alpha \dot{+} S(\beta) = S(\alpha \dot{+} \beta)$
- if  $\beta$  is a limit ordinal, then  $\alpha \dot{+} \beta = \text{sup}\{\alpha \dot{+} \xi : \xi < \beta\}$



**D11.7** Let  $\alpha$  and  $\beta$  be ordinals. Let  $<_{\alpha \cdot \beta}$  be the dictionary order on  $\beta \times \alpha$ . That is, for  $\langle \zeta, \xi \rangle, \langle \zeta', \xi' \rangle \in \beta \times \alpha$ ,  $\langle \zeta, \xi \rangle <_{\alpha \cdot \beta} \langle \zeta', \xi' \rangle$  iff either  $\zeta < \zeta'$  or  $\zeta = \zeta'$  and  $\xi < \xi'$ . Then it is a well-order and  $\alpha \cdot \beta = otp(\langle \beta \times \alpha, <_{\alpha \cdot \beta} \rangle)$  which is  $\beta$  copies of  $\alpha$ .

**L11.8** Suppose  $\alpha, \beta, \gamma$  are ordinals. Suppose  $A \subseteq \gamma$  and  $\langle A, \in \rangle \cong \langle \beta, \in \rangle$ . Then  $\langle A \times \alpha, <_{\alpha \cdot \gamma} \rangle \cong \langle \beta \times \alpha, <_{\alpha \cdot \beta} \rangle$ .

**L11.9** For any  $\alpha, \beta, \gamma$ :

- $\alpha \cdot (\beta \cdot \gamma) = (\alpha \cdot \beta) \cdot \gamma$
- $\alpha \cdot 0 = 0$
- $\alpha \cdot 1 = \alpha$
- $\alpha \cdot S(\beta) = \alpha \cdot \beta + \alpha$
- if  $\beta$  is a limit ordinal,  $\alpha \cdot \beta = \sup\{a \cdot \xi : \xi < \beta\}$
- $\alpha \cdot (\beta + \gamma) = \alpha \cdot \beta + \alpha \cdot \gamma$

Also,  $\cdot$  is not commutative on **ORD** since  $2 \cdot \omega \neq \omega \cdot 2$ . (6) fails for multiplication on the right since  $(1 + 1) \cdot \omega = \omega \neq 1 \cdot \omega + 1 \cdot \omega$ .

**Exponentiation**

**D11.10** For a fixed  $\alpha$ , define  $\alpha^\beta$  by recursion on  $\beta$  using the following clauses:

- if  $\alpha = 0$ , then  $\alpha^0 = 0$ ; if  $\alpha > 0$ , then  $\alpha^0 = 1$
- $\alpha^{\beta+1} = \alpha^\beta \cdot \alpha$

if  $\beta$  is a limit ordinal, then  $\alpha^\beta = \sup\{\alpha^\xi : \xi < \beta\}$

**E11.11** Define the extender  $\mathbf{E}_\alpha^+ : \mathbf{FOD} \rightarrow \mathbf{V}$  as follows. For any  $\sigma \in \mathbf{FOD}$ ,

$$\mathbf{E}_\alpha^+(\sigma) = \begin{cases} \alpha & \text{if } dom(\sigma) = 0 \\ S(\sigma(\beta)) & \text{if } dom(\sigma) = S(\beta) \\ \bigcup ran(\sigma) & \text{if } dom(\sigma) \text{ is a limit ordinal} \end{cases}$$

For other operations need to check the case where  $\sigma(\beta) \notin \mathbf{ORD}$ .

**E11.12** For any ordinal  $\alpha > 0$ ,  $\alpha \cdot \omega > \alpha$ .

**E11.13**  $\alpha < \beta \Rightarrow \gamma + \alpha < \gamma + \beta \wedge \alpha + \gamma \leq \beta + \gamma$  but not  $<$  on the second clause.

**E11.14** If  $\alpha \geq \omega$  is an ordinal, then  $1 + \alpha = \alpha$ .

**E11.15** If  $\gamma > 0$ , then  $\alpha < \beta \Rightarrow \gamma \cdot \alpha < \gamma \cdot \beta \wedge \alpha \cdot \gamma \leq \beta \cdot \gamma$  but not  $<$  on the second clause.

**E11.16** Let  $0 < \alpha \leq \beta$  be ordinals. There exist unique  $\delta, \xi$  such that  $\xi < \alpha$  and  $\alpha \cdot \delta + \xi = \beta$ .

**E11.17**  $\alpha^{(\beta+\gamma)} = \alpha^\beta \cdot \alpha^\gamma$  for ordinals  $\alpha > 0$ .

**E11.18** Define  $\alpha_0 = \omega$  and  $\forall n \in \omega, a_{n+1} = \omega^{\alpha_n}$ . Let  $\epsilon_0 = \sup\{\alpha_n : n \in \omega\}$ . Then  $\omega^{\epsilon_0} = \epsilon_0$ .

**Cardinals and Cardinal Arithmetic**

**D12.1** A set  $X$  is said to be well-orderable if there exists a relation  $< \subseteq X \times X$  such that  $\langle X, < \rangle$  is a well-order.

**D12.2** Let  $X$  be a well-orderable set. Define the cardinality of  $X$ ,  $|X|$ , to be the minimal element of  $\{\alpha \in \mathbf{ORD} : \alpha \approx X\}$ .  $|\alpha|$  is defined for every  $\alpha \in \mathbf{ORD}$ , and  $|\alpha| \leq \alpha$ .

**D12.3**  $\alpha$  is a cardinal if  $|\alpha| = \alpha$ .

**F12.4** If  $n \in \omega$ , then  $n$  is a cardinal.  $\omega$  is a cardinal.

**L12.5** If  $|\alpha| \leq \beta \leq \alpha$ , then  $|\beta| = |\alpha|$ .

**L12.6** A set is finite iff  $|X| < \omega$ . A set is countable iff  $|X| \leq \omega$ .

**D12.7** Let  $\kappa$  and  $\lambda$  be cardinals. These are well-orderable:

- $\kappa \boxplus \lambda = |(\{0\} \times \kappa) \cup (\{1\} \times \lambda)|$
- $\kappa \boxtimes \lambda = |\kappa \times \lambda|$

**L12.8** Every infinite cardinal is a limit ordinal.

**T12.9** If  $\kappa$  is an infinite cardinal, then  $\kappa \boxtimes \kappa = \kappa$ .

**C12.10** Let  $\kappa$  and  $\lambda$  be infinite cardinals. Then  $\kappa \boxplus \lambda = \kappa \boxtimes \lambda = \max\{\kappa, \lambda\}$ .

**T12.11** For every set  $X$  there is a cardinal  $\alpha$  such that there is no 1-1 function  $f : \alpha \rightarrow X$ .

**D12.16** For each  $\alpha \in \mathbf{ORD}$ ,  $\alpha^+$  is the least cardinal strictly greater than  $\alpha$ .

**L12.17** Suppose  $\mathbf{F} : \mathbf{ORD} \rightarrow \mathbf{ORD}$  is a function such that  $\forall \alpha, \beta \in \mathbf{ORD} [\alpha < \beta \Rightarrow \mathbf{F}(\alpha) < \mathbf{F}(\beta)]$ . Then  $\forall \beta \in \mathbf{ORD} [\beta \leq \mathbf{F}(\beta)]$ .

**D12.18** Define a sequence  $\langle \omega_\alpha : \alpha \in \mathbf{ORD} \rangle$  by induction using the following clauses:

- $\omega_0 = \omega$
- $\omega_{S(\alpha)} = \omega_\alpha^+$
- if  $\alpha$  is a limit ordinal, then  $\omega_\alpha = \sup\{\omega_\xi : \xi < \alpha\}$

**R12.19**  $\omega_\alpha$  is sometimes deonted as  $\aleph_\alpha$ .

**L12.20**  $\alpha < \beta \Rightarrow \aleph_\alpha < \aleph_\beta$  and every infinite cardinal is equal to  $\aleph_\alpha$  for some  $\alpha \in \mathbf{ORD}$ .

**12.1 Choice and Cardinality**

**D12.21** Let  $X$  be any set.  $F$  is a choice function on  $X$  if  $F$  is a function,  $dom(F) = X \setminus \{0\}$ , and  $\forall a \in X \setminus \{0\} [F(a) \in a]$ .

**T12.22** Zermelo TFAE for a set  $X$ :

- $X$  is well-orderable
- there exists a choice function on  $\mathcal{P}(X)$

**T12.26** AC TFAE:

- the Cartesian product of non-empty sets is non-empty
- for every set  $X$  there exists a choice function on  $X$
- every set is well-orderable
- for any two sets  $X$  and  $Y$ , either  $X \lesssim Y$  or  $Y \lesssim X$
- for every set  $X$  there is an ordinal  $\alpha$  and a 1-1 function  $f : X \rightarrow \alpha$
- for every set  $X$  there is a cardinal  $\kappa$  such that  $X \approx \kappa$

**Cardinal Exponentiation and König's Theorem**

**D12.28 (AC)** Let  $\kappa$  and  $\lambda$  be cardinals. Define  $\kappa^\lambda = |\{f : f \text{ is a function} \wedge dom(f) = \lambda \wedge ran(f) \subseteq \kappa\}|$ .

**L12.30** Let  $\kappa, \lambda, \theta$  be cardinals. The following hold:

- $(\kappa^\lambda)^\theta = \kappa^{(\lambda \boxtimes \theta)}$
- $(\kappa^\lambda) \boxtimes (\kappa^\theta) = \kappa^{(\lambda \boxplus \theta)}$

**D12.31** Define a squence of cardinals  $\langle \beth_\alpha : \alpha \in \mathbf{ORD} \rangle$  by induction using the following clauses:

- $\beth_0 = \omega$
- $\beth_{S(\alpha)} = 2^{\beth_\alpha}$
- if  $\alpha$  is a limit ordinal, then  $\beth_\alpha = \sup\{\beth_\xi : \xi < \alpha\}$

**D12.32** The Generalised Continuum Hypothesis is the statement that  $\forall \alpha \in \mathbf{ORD} [\beth_\alpha = \aleph_\alpha]$ . The Continuum Hypothesis is the statement that  $\beth_1 = \aleph_1$ . Note  $\beth_1 = 2^{\beth_0} = 2^{\aleph_0}$ , so CH says  $2^{\aleph_0} = \aleph_1$ .

**T12.34** König  $(\aleph_\omega)^{\aleph_0} > \aleph_\omega$ .

**C12.35**  $2^{\aleph_0} \neq \aleph_\omega$ .

**E12.36** Let  $\kappa, \lambda$  be infinite cardinals where  $\lambda \leq \kappa$ . Then

$$\kappa^\lambda = |\{X \subseteq \kappa : |X| = \lambda\}|.$$

**E12.37** Let  $\kappa, \lambda, \theta, \chi$  be cardinals. If  $\kappa \leq \lambda$ , then  $\kappa^\theta \leq \lambda^\theta$ . If  $\kappa \leq \chi, \lambda \leq \theta$  and  $\lambda \neq 0$ , then  $\kappa^\lambda \leq \chi^\theta$ .

**E12.38** Let  $\alpha$  be an ordinal. Let  $W = \{\langle Y, \triangleleft \rangle : Y \subseteq \alpha \wedge \langle Y, \triangleleft \rangle \text{ is a well-order}\}$ .  $\alpha^+ = \{otp(\langle Y, \triangleleft \rangle) : \langle Y, \triangleleft \rangle \in W\}$ .

**E12.39** There is a cardinal  $\kappa = \aleph_\kappa$  and  $\kappa = \beth_\kappa$ .

**E12.40** Suppose  $\mathbf{F} : \mathbf{ORD} \rightarrow \mathbf{ORD}$  and  $\forall \alpha, \beta \in \mathbf{ORD} [\alpha < \beta \Rightarrow \mathbf{F}(\alpha) < \mathbf{F}(\beta)]$  and for any limit ordinal  $\beta$ ,  $\mathbf{F}(\beta) = \sup\{\mathbf{F}(\alpha) : \alpha < \beta\}$ . Then  $\forall \alpha \in \mathbf{ORD} \exists \beta > \alpha [\mathbf{F}(\beta) = \beta]$ .

**E12.41**  $(\aleph_{\omega_1})^{\aleph_1} > \aleph_{\omega_1}$  and  $2^{\aleph_1} \neq \aleph_\omega, \aleph_{\omega_1}$ .

**13. Some applications of AC**

**D13.1** Let  $A$  be any set.  $\mathcal{F} \subseteq \mathcal{P}(A)$  is of finite character iff  $\forall X \subseteq A, X \in \mathcal{F} \iff \forall Y \subseteq X [|Y| < \omega \Rightarrow Y \in \mathcal{F}]$ . All of  $X$ 's finite subsets are in  $\mathcal{F}$ .

**L13.2** Suppose  $\mathcal{F} \subseteq \mathcal{P}(A)$  is of finite character. Then for any  $X \in \mathcal{F}$  and any  $Y \subseteq X, Y \in \mathcal{F}$ .

**T13.3** TFAE:

- AC

- for any set  $A$  and any  $\mathcal{F} \subseteq \mathcal{P}(A)$ , if  $F$  has finite character, then for every  $X \in \mathcal{F}$ , there exists  $Y \in \mathcal{F}$  such that  $X \subseteq Y$  and  $Y$  is maximal in  $\langle \mathcal{F}, \subseteq \rangle$  (Teichmüller-Tukey Lemma)
- every chain in every partial order is contained in a maximal chain (Hausdorff's maximal chain theorem)
- if  $\langle X, < \rangle$  is any partial order where every chain in  $\langle X, < \rangle$  has an upper bound in  $\langle X, < \rangle$ , then  $\langle X, < \rangle$  has a maximal element (Zorn's lemma)

(4)  $\implies$  (1): Prove the standard version of AC. Let  $I$  be any set and suppose  $\langle X_i : i \in I \rangle$  is any sequence of non-empty sets. Consider  $A = \{\sigma : \sigma \text{ is a function} \wedge dom(\sigma) \subseteq I \wedge \forall i \in dom(\sigma) [\sigma(i) \in X_i]\}$ . Partially order  $A$  by  $\subseteq$ . Let  $C \subseteq A$  be any chain.  $C$  is a directed collection of functions. So  $\bigcup C = \tau$  is a function and  $dom(\tau) = \bigcup \{dom(\sigma) : \sigma \in C\} \subseteq I$ .  $\tau(i) = \sigma(i) \in X_i$ . Therefore  $\tau \in A$  and  $\forall \sigma \in C [\sigma \subseteq \tau]$ . So  $\tau$  is an upper bound for  $C$ . So every chain has an upper bound and there is a maximal  $\sigma \in A$  by Zorn's lemma. We claim that  $dom(\sigma) = I$ . If not, there exists  $i \in I \setminus dom(\sigma)$ . Since  $X_i \neq \emptyset$ , choose  $x_i \in X_i$ . Put  $\tau = \sigma \cup \{(i, x_i)\}$ . Then  $\tau \in A$  and  $\sigma \subsetneq \tau$ , contradicting maximality of  $\sigma$ .

**Using Zorn's Lemma**  $\langle X, < \rangle$  is a partial order. If every chain in  $\langle X, < \rangle$  has an upper bound in  $\langle X, < \rangle$ , then  $\langle X, < \rangle$  has a maximal element.

- Find a relevant  $\langle X, < \rangle$ , e.g.  $\langle \mathcal{P}(X), \subseteq \rangle$  which might be given.
- Take a chain  $\zeta \subseteq X$ . Show  $\zeta$  has an upper bound in  $\langle X, < \rangle$ . Usually this involves taking unions of things in  $\zeta$ . But you have to check that these unions belong to  $X$ . Also,  $\zeta = \emptyset$  is always a chain.
- By Zorn's lemma  $\exists x \in X$  maximal in  $\langle X, < \rangle$ . Now maximality will imply  $x$  has some special property. Most of the time you check  $x$  has the relevant property, because if it did not it would contradict maximality in  $\langle X, < \rangle$ .

**E13.16** Let  $\langle X, < \rangle$  be a partial order. Every antichain in  $\langle X, < \rangle$  is contained in a maximal antichain. Let  $A \subseteq X$  be an antichain.

- $P = \{B \subseteq X : A \subseteq B \wedge B \text{ is an antichain}\}$ .  $\langle P, \subseteq \rangle$  is a partial order.
- Let  $\zeta \subseteq P$  be a chain in  $\langle P, \subseteq \rangle$ . Case I:  $\zeta = \emptyset$ . Then  $A \in P$  and  $\forall B \in \zeta [B \subseteq A]$ . So  $A$  is an upper bound for  $\zeta \in P$ . Case II:  $\zeta \neq \emptyset$ . Let  $D = \bigcup \zeta$ . For any  $B \in \zeta, B \subseteq D$ . If  $D \in P$ , then  $D$  would be an upper bound of  $\zeta$  as  $\forall B \in \zeta [B \subseteq D]$ . So We want to show  $D \in P$ .  $D \subseteq X$  as  $\forall B \in \zeta [B \subseteq X]$ . As  $\zeta \neq \emptyset, \exists B \in \zeta$  such that  $A \subseteq B \subseteq D$ . So  $A \subseteq D$ . Show  $D$  is an antichain. Suppose  $x, y \in D, x \neq y$ .  $\exists B, B' \in \zeta$  with  $x \in B, y \in B'$ . As  $\zeta$  is a chain, WLOG  $B \subseteq B'$ . So  $x, y \in B'$ . Since  $B'$  is an antichain,  $x \not\leq y, y \not\leq x$ . So  $D$  is an antichain and  $D \in P$ .
- By Zorn's,  $\exists B \in P$  which is maximal in  $\langle P, \subseteq \rangle$ . Now  $A \subseteq B, B$  is an antichain. If  $\exists D, B \subsetneq D$ , then  $D \in P$  as  $A \subseteq B \subseteq D$ , contradicting maximality of  $B$  in  $\langle P, \subseteq \rangle$ .

**23/24 Q4**  $\mathbf{F}(0) = 1, \mathbf{F}(\beta) = \mathbf{F}(\alpha) \cdot \beta$  if  $\beta = \alpha + 1$ ,

$\mathbf{F}(\beta) = \sup\{\mathbf{F}(\alpha) : \alpha < \beta\}$  if  $\beta$  is a limit ordinal. The extender is  $\mathbf{E}(\sigma) = \begin{cases} 1 & \text{if } dom(\sigma) = 0 \\ \sigma(\bigcup dom(\sigma)) \cdot dom(\sigma) & \text{if } dom(\sigma) = S(\beta) \\ \bigcup ran(\sigma) & \text{if } dom(\sigma) \text{ is a limit ordinal} \\ \emptyset & \text{otherwise} \end{cases}$

Swap out  $\beta = dom(\sigma), \mathbf{F}(\alpha) = \sigma(\bigcup dom(\sigma))$  where  $\beta = \alpha + 1, \sup... = \bigcup ran(\sigma)$