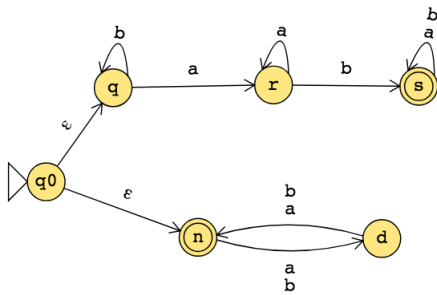


Week3 monday

The state diagram of an NFA over $\{a, b\}$ is below. The formal definition of this NFA is:



The language recognized by this NFA is:

Suppose A_1, A_2 are languages over an alphabet Σ . **Claim:** if there is a NFA N_1 such that $L(N_1) = A_1$ and NFA N_2 such that $L(N_2) = A_2$, then there is another NFA, let's call it N , such that $L(N) = A_1 \cup A_2$.

Proof idea: Use nondeterminism to choose which of N_1, N_2 to run.

Formal construction: Let $N_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$ and $N_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$ and assume $Q_1 \cap Q_2 = \emptyset$ and that $q_0 \notin Q_1 \cup Q_2$. Construct $N = (Q, \Sigma, \delta, q_0, F_1 \cup F_2)$ where

- $Q =$
- $\delta : Q \times \Sigma_\epsilon \rightarrow \mathcal{P}(Q)$ is defined by, for $q \in Q$ and $a \in \Sigma_\epsilon$:

Proof of correctness would prove that $L(N) = A_1 \cup A_2$ by considering an arbitrary string accepted by N , tracing an accepting computation of N on it, and using that trace to prove the string is in at least one of A_1, A_2 ; then, taking an arbitrary string in $A_1 \cup A_2$ and proving that it is accepted by N . Details left for extra practice.

Over the alphabet $\{a, b\}$, the language L described by the regular expression $\Sigma^* a \Sigma^* b$

includes the strings _____ and excludes the strings _____

The state diagram of a NFA recognizing L is:

Suppose A_1, A_2 are languages over an alphabet Σ . **Claim:** if there is a NFA N_1 such that $L(N_1) = A_1$ and NFA N_2 such that $L(N_2) = A_2$, then there is another NFA, let's call it N , such that $L(N) = A_1 \circ A_2$.

Proof idea: Allow computation to move between N_1 and N_2 “spontaneously” when reach an accepting state of N_1 , guessing that we’ve reached the point where the two parts of the string in the set-wise concatenation are glued together.

Formal construction: Let $N_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$ and $N_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$ and assume $Q_1 \cap Q_2 = \emptyset$. Construct $N = (Q, \Sigma, \delta, q_0, F)$ where

- $Q =$
- $q_0 =$
- $F =$
- $\delta : Q \times \Sigma_\varepsilon \rightarrow \mathcal{P}(Q)$ is defined by, for $q \in Q$ and $a \in \Sigma_\varepsilon$:

$$\delta((q, a)) = \begin{cases} \delta_1((q, a)) & \text{if } q \in Q_1 \text{ and } q \notin F_1 \\ \delta_1((q, a)) & \text{if } q \in F_1 \text{ and } a \in \Sigma \\ \delta_1((q, a)) \cup \{q_2\} & \text{if } q \in F_1 \text{ and } a = \varepsilon \\ \delta_2((q, a)) & \text{if } q \in Q_2 \end{cases}$$

Proof of correctness would prove that $L(N) = A_1 \circ A_2$ by considering an arbitrary string accepted by N , tracing an accepting computation of N on it, and using that trace to prove the string can be written as the result of concatenating two strings, the first in A_1 and the second in A_2 ; then, taking an arbitrary string in $A_1 \circ A_2$ and proving that it is accepted by N . Details left for extra practice.

Suppose A is a language over an alphabet Σ . **Claim:** if there is a NFA N such that $L(N) = A$, then there is another NFA, let's call it N' , such that $L(N') = A^*$.

Proof idea: Add a fresh start state, which is an accept state. Add spontaneous moves from each (old) accept state to the old start state.

Formal construction: Let $N = (Q, \Sigma, \delta, q_1, F)$ and assume $q_0 \notin Q$. Construct $N' = (Q', \Sigma, \delta', q_0, F')$ where

- $Q' = Q \cup \{q_0\}$
- $F' = F \cup \{q_0\}$
- $\delta' : Q' \times \Sigma_\varepsilon \rightarrow \mathcal{P}(Q')$ is defined by, for $q \in Q'$ and $a \in \Sigma_\varepsilon$:

$$\delta'((q, a)) = \begin{cases} \delta((q, a)) & \text{if } q \in Q \text{ and } q \notin F \\ \delta((q, a)) & \text{if } q \in F \text{ and } a \in \Sigma \\ \delta((q, a)) \cup \{q_1\} & \text{if } q \in F \text{ and } a = \varepsilon \\ \{q_1\} & \text{if } q = q_0 \text{ and } a = \varepsilon \\ \emptyset & \text{if } q = q_0 \text{ and } a \in \Sigma \end{cases}$$

Proof of correctness would prove that $L(N') = A^$ by considering an arbitrary string accepted by N' , tracing an accepting computation of N' on it, and using that trace to prove the string can be written as the result of concatenating some number of strings, each of which is in A ; then, taking an arbitrary string in A^* and proving that it is accepted by N' . Details left for extra practice.*

Application: A state diagram for a NFA over $\Sigma = \{a, b\}$ that recognizes $L((\Sigma^*b)^*)$:

True or False: The state diagram of any DFA is also the state diagram of a NFA.

True or False: The state diagram of any NFA is also the state diagram of a DFA.

True or False: The formal definition $(Q, \Sigma, \delta, q_0, F)$ of any DFA is also the formal definition of a NFA.

True or False: The formal definition $(Q, \Sigma, \delta, q_0, F)$ of any NFA is also the formal definition of a DFA.

Week3 wednesday

Consider the state diagram of an NFA over $\{a, b\}$:



The language recognized by this NFA is

The state diagram of a DFA recognizing this same language is:

Suppose A is a language over an alphabet Σ . **Claim:** if there is a NFA N such that $L(N) = A$ then there is a DFA M such that $L(M) = A$.

Proof idea: States in M are “macro-states” – collections of states from N – that represent the set of possible states a computation of N might be in.

Formal construction: Let $N = (Q, \Sigma, \delta, q_0, F)$. Define

$$M = (\mathcal{P}(Q), \Sigma, \delta', q', \{X \subseteq Q \mid X \cap F \neq \emptyset\})$$

where $q' = \{q \in Q \mid q = q_0 \text{ or is accessible from } q_0 \text{ by spontaneous moves in } N\}$ and

$\delta'((X, x)) = \{q \in Q \mid q \in \delta(r, x) \text{ for some } r \in X \text{ or is accessible from such an } r \text{ by spontaneous moves in } N\}$

Consider the state diagram of an NFA over $\{0, 1\}$. Use the “macro-state” construction to find an equivalent DFA.



Prune this diagram to get an equivalent DFA with only the “macro-states” reachable from the start state.

Suppose A is a language over an alphabet Σ . **Claim:** if there is a regular expression R such that $L(R) = A$, then there is a NFA, let's call it N , such that $L(N) = A$.

Structural induction: Regular expression is built from basis regular expressions using inductive steps (union, concatenation, Kleene star symbols). Use constructions to mirror these in NFAs.

Application: A state diagram for a NFA over $\{a, b\}$ that recognizes $L(a^*(ab)^*)$:

Suppose A is a language over an alphabet Σ . **Claim:** if there is a DFA M such that $L(M) = A$, then there is a regular expression, let's call it R , such that $L(R) = A$.

Proof idea: Trace all possible paths from start state to accept state. Express labels of these paths as regular expressions, and union them all.

1. Add new start state with ε arrow to old start state.
2. Add new accept state with ε arrow from old accept states. Make old accept states non-accept.
3. Remove one (of the old) states at a time: modify regular expressions on arrows that went through removed state to restore language recognized by machine.

Application: Find a regular expression describing the language recognized by the DFA with state diagram



Conclusion: For each language L ,

There is a DFA that recognizes L $\exists M$ (M is a DFA and $L(M) = A$)
if and only if

There is a NFA that recognizes L $\exists N$ (N is a NFA and $L(N) = A$)
if and only if

There is a regular expression that describes L $\exists R$ (R is a regular expression and $L(R) = A$)

A language is called **regular** when any (hence all) of the above three conditions are met.

Week3 friday

Theorem: For an alphabet Σ , For each language L over Σ ,

L is recognized by some DFA
iff
 L is recognized by some NFA
iff
 L is described by some regular expression

If (any, hence all) these conditions apply, L is called **regular**.

Prove or Disprove: There is some alphabet Σ for which there is some language recognized by an NFA but not by any DFA.

Prove or Disprove: There is some alphabet Σ for which there is some finite language not described by any regular expression over Σ .

Prove or Disprove: If a language is recognized by an NFA then the complement of this language is not recognized by any DFA.

Set	Cardinality
$\{0, 1\}$	
$\{0, 1\}^*$	
$\mathcal{P}(\{0, 1\})$	
The set of all languages over $\{0, 1\}$	
The set of all regular expressions over $\{0, 1\}$	
The set of all regular languages over $\{0, 1\}$	

Pumping Lemma (Sipser Theorem 1.70): If A is a regular language, then there is a number p (a *pumping length*) where, if s is any string in A of length at least p , then s may be divided into three pieces, $s = xyz$ such that

- $|y| > 0$
- for each $i \geq 0$, $xy^iz \in A$
- $|xy| \leq p$.

True or False: A pumping length for $A = \{0, 1\}^*$ is $p = 5$.

True or False: A pumping length for $A = \{1, 01, 001, 0001, 00001\}$ is $p = 4$.

True or False: A pumping length for $A = \{0^j1 \mid j \geq 0\}$ is $p = 3$.

True or False: For any language A , if p is a pumping length for A and $p' > p$, then p' is also a pumping length for A .

Week2 monday

Review: Formal definition of DFA: $M = (Q, \Sigma, \delta, q_0, F)$

- Finite set of states Q
- Alphabet Σ
- Transition function δ
- Start state q_0
- Accept (final) states F

In the state diagram of M , how many outgoing arrows are there from each state?

$M = (\{q, r, s\}, \{a, b\}, \delta, q, \{s\})$ where δ is (rows labelled by states and columns labelled by symbols):

δ	a	b
q	r	q
r	r	s
s	s	s

The state diagram for M is

Give two examples of strings that are accepted by M and two examples of strings that are rejected by M :

Add “labels” for states in the state diagram, e.g. “have not seen any of desired pattern yet” or “sink state”.

We can use the analysis of the roles of the states in the state diagram to describe the language recognized by the DFA.

$L(M) =$

A regular expression describing $L(M)$ is

Let the alphabet be $\Sigma_1 = \{0, 1\}$.

A state diagram for a DFA that recognizes $\{w \mid w \text{ contains at most two 1's}\}$ is

A state diagram for a DFA that recognizes $\{w \mid w \text{ contains more than two 1's}\}$ is

Extra example: A state diagram for DFA recognizing

$$\{w \mid w \text{ is a string over } \{0,1\} \text{ whose length is not a multiple of } 3\}$$

Let n be an arbitrary positive integer. What is a formal definition for a DFA recognizing

$$\{w \mid w \text{ is a string over } \{0,1\} \text{ whose length is not a multiple of } n\}?$$

Week2 wednesday

Suppose A is a language over an alphabet Σ . By definition, this means A is a subset of Σ^* . **Claim:** if there is a DFA M such that $L(M) = A$ then there is another DFA, let's call it M' , such that $L(M') = \overline{A}$, the complement of A , defined as $\{w \in \Sigma^* \mid w \notin A\}$.

Proof idea:

Proof:

A useful (optional) bit of terminology: the **iterated transition function** of a DFA $M = (Q, \Sigma, \delta, q_0, F)$ is defined recursively by

$$\delta^*(q, w) = \begin{cases} q & \text{if } q \in Q, w = \varepsilon \\ \delta(q, a) & \text{if } q \in Q, w = a \in \Sigma \\ \delta(\delta^*(q, u), a) & \text{if } q \in Q, w = ua \text{ where } u \in \Sigma^* \text{ and } a \in \Sigma \end{cases}$$

Using this terminology, M accepts a string w over Σ if and only if $\delta^*(q_0, w) \in F$.

Fix $\Sigma = \{a, b\}$. A state diagram for a DFA that recognizes $\{w \mid w \text{ has } ab \text{ as a substring and is of even length}\}$:

Suppose A_1, A_2 are languages over an alphabet Σ . **Claim:** if there is a DFA M_1 such that $L(M_1) = A_1$ and DFA M_2 such that $L(M_2) = A_2$, then there is another DFA, let's call it M , such that $L(M) = A_1 \cap A_2$.

Proof idea:

Formal construction:

Application: When $A_1 = \{w \mid w \text{ has } ab \text{ as a substring}\}$ and $A_2 = \{w \mid w \text{ is of even length}\}$.

Suppose A_1, A_2 are languages over an alphabet Σ . **Claim:** if there is a DFA M_1 such that $L(M_1) = A_1$ and DFA M_2 such that $L(M_2) = A_2$, then there is another DFA, let's call it M , such that $L(M) = A_1 \cup A_2$.
Sipser Theorem 1.25, page 45

Proof idea:

Formal construction:

Application: A state diagram for a DFA that recognizes $\{w \mid w \text{ has } ab \text{ as a substring or is of even length}\}$:

Week2 friday

Nondeterministic finite automaton $M = (Q, \Sigma, \delta, q_0, F)$	
Finite set of states Q	Can be labelled by any collection of distinct names. Default: q_0, q_1, \dots
Alphabet Σ	Each input to the automaton is a string over Σ .
Arrow labels Σ_ε	$\Sigma_\varepsilon = \Sigma \cup \{\varepsilon\}$.
Transition function δ	Arrows in the state diagram are labelled either by symbols from Σ or by ε $\delta : Q \times \Sigma_\varepsilon \rightarrow \mathcal{P}(Q)$ gives the set of possible next states for a transition from the current state upon reading a symbol or spontaneously moving.
Start state q_0	Element of Q . Each computation of the machine starts at the start state.
Accept (final) states F	$F \subseteq Q$.
M accepts the input string	if and only if there is a computation of M on the input string that processes the whole string and ends in an accept state.
Page 53	

The formal definition of the NFA over $\{0, 1\}$ given by this state diagram is:



The language over $\{0, 1\}$ recognized by this NFA is:

Change the transition function to get a different NFA which accepts the empty string.

The state diagram of an NFA over $\{a, b\}$ is below. The formal definition of this NFA is:



The language recognized by this NFA is:

Week1 monday

We will use vocabulary that should be familiar from your discrete math and introduction to proofs classes. Some of the notation conventions may be a bit different: we will use the notation from this class' textbook¹.

Write out in words the meaning of the symbols below:

$$\{a, b, c\}$$

$$|\{a, b, a\}| = 2$$

$$|aba| = 3$$

$$(a, 3, 2, b, b)$$

Term	Typical symbol	Meaning
Alphabet	Σ, Γ	A non-empty finite set
Symbol over Σ	σ, b, x	An element of the alphabet Σ
String over Σ	u, v, w	A finite list of symbols from Σ
The set of all strings over Σ	Σ^*	The collection of all possible strings formed from symbols from Σ
(Some) language over Σ	L	(Some) set of strings over Σ
Empty string	ε	The string of length 0
Empty set	\emptyset	The empty language
The power set of a set X	$\mathcal{P}(X)$	The set of all subsets of X
Natural numbers	\mathcal{N}	The set of positive integers
Finite set		The empty set or a set whose distinct elements can be counted by a natural number
Infinite set		A set that is not finite.
<i>Pages 3, 4, 6, 13, 14, 53</i>		

¹Page references are to the 3rd edition (International) of Sipser's Introduction to the Theory of Computation, available through various sources for under \$30. You may be able to opt in to purchase a digital copy through Canvas. Copies of the book are also available for those who can't access the book to borrow from the course instructor, while supplies last (minnes@eng.ucsd.edu)

Term	Notation	Meaning
Reverse of a string w	$w^{\mathcal{R}}$	write w in the opposite order, if $w = w_1 \cdots w_n$ then $w^{\mathcal{R}} = w_n \cdots w_1$. Note: $\varepsilon^{\mathcal{R}} = \varepsilon$
Concatenating strings x and y	xy	take $x = x_1 \cdots x_m$, $y = y_1 \cdots y_n$ and form $xy = x_1 \cdots x_m y_1 \cdots y_n$
String z is a substring of string w		there are strings u, v such that $w = uzv$
String x is a prefix of string y		there is a string z such that $y = xz$
String x is a proper prefix of string y		x is a prefix of y and $x \neq y$
Shortlex order, also known as string order over alphabet Σ		Order strings over Σ first by length and then according to the dictionary order, assuming symbols in Σ have an ordering.

Pages 13, 14

Circle the correct choice:

A **string** over an alphabet Σ is an element of Σ^* OR a subset of Σ^* .

A **language** over an alphabet Σ is an element of Σ^* OR a subset of Σ^* .

Extra examples for practice:

With $\Sigma_1 = \{0, 1\}$ and $\Sigma_2 = \{a, b, c, d, e, f, g, h, i, j, k, l, m, n, o, p, q, r, s, t, u, v, w, x, y, z\}$ and $\Gamma = \{0, 1, x, y, z\}$

An example of a string of length 3 over Σ_1 is _____

An example of a string of length 1 over Σ_2 is _____

The number of distinct strings of length 2 over Γ is _____

An example of a language over Σ_1 of size 1 is _____

An example of an infinite language over Σ_1 is _____

An example of a finite language over Γ is _____

True or False: $\varepsilon \in \Sigma_1$

True or False: ε is a string over Σ_1

True or False: ε is a language over Σ_1

True or False: ε is a prefix of some string over Σ_1

True or False: There is a string over Σ_1 that is a proper prefix of ε

The first five strings over Σ_1 in string order, using the ordering $0 < 1$:

The first five strings over Σ_2 in string order, using the usual alphabetical ordering for single letters:

Week1 wednesday

Our motivation in studying sets of strings is that they can be used to encode problems. To calibrate how difficult a problem is to solve, we describe how complicated the set of strings that encodes it is. How do we define sets of strings?

How would you describe the language that has no elements at all?

How would you describe the language that has all strings over $\{0, 1\}$ as its elements?

Definition 1.52: A **regular expression** over alphabet Σ is a syntactic expression that can describe a language over Σ . The collection of all regular expressions is defined recursively:

Basis steps of recursive definition

a is a regular expression, for $a \in \Sigma$

ε is a regular expression

\emptyset is a regular expression

Recursive steps of recursive definition

$(R_1 \cup R_2)$ is a regular expression when R_1, R_2 are regular expressions

$(R_1 \circ R_2)$ is a regular expression when R_1, R_2 are regular expressions

(R_1^*) is a regular expression when R_1 is a regular expression

The *semantics* (or meaning) of the syntactic regular expression is the **language described by the regular expression**. The function that assigns a language to a regular expression over Σ is defined recursively, using familiar set operations:

Basis steps of recursive definition

The language described by a , for $a \in \Sigma$, is $\{a\}$ and we write $L(a) = \{a\}$

The language described by ε is $\{\varepsilon\}$ and we write $L(\varepsilon) = \{\varepsilon\}$

The language described by \emptyset is $\{\}$ and we write $L(\emptyset) = \emptyset$.

Recursive steps of recursive definition

When R_1, R_2 are regular expressions, the language described by the regular expression $(R_1 \cup R_2)$ is the union of the languages described by R_1 and R_2 , and we write

$$L((R_1 \cup R_2)) = L(R_1) \cup L(R_2) = \{w \mid w \in L(R_1) \vee w \in L(R_2)\}$$

When R_1, R_2 are regular expressions, the language described by the regular expression $(R_1 \circ R_2)$ is the concatenation of the languages described by R_1 and R_2 , and we write

$$L((R_1 \circ R_2)) = L(R_1) \circ L(R_2) = \{uv \mid u \in L(R_1) \wedge v \in L(R_2)\}$$

When R_1 is a regular expression, the language described by the regular expression (R_1^*) is the **Kleene star** of the language described by R_1 and we write

$$L((R_1^*)) = (L(R_1))^* = \{w_1 \cdots w_k \mid k \geq 0 \text{ and each } w_i \in L(R_1)\}$$

For the following examples assume the alphabet is $\Sigma_1 = \{0, 1\}$:

The language described by the regular expression 0 is $L(0) = \{0\}$

The language described by the regular expression 1 is $L(1) = \{1\}$

The language described by the regular expression ε is $L(\varepsilon) = \{\varepsilon\}$

The language described by the regular expression \emptyset is $L(\emptyset) = \emptyset$

The language described by the regular expression $((0 \cup 1) \cup 1)$ is $L((0 \cup 1) \cup 1) =$

The language described by the regular expression 1^+ is $L(1^+) =$

The language described by the regular expression Σ_1^*1 is $L(\Sigma_1^*1) =$

The language described by the regular expression $(\Sigma_1\Sigma_1\Sigma_1\Sigma_1\Sigma_1)^*$ is $L((\Sigma_1\Sigma_1\Sigma_1\Sigma_1\Sigma_1)^*) =$

A regular expression that describes the language $\{00, 01, 10, 11\}$ is

A regular expression that describes the language $\{0^n1 \mid n \text{ is even}\}$ is

Shorthand and conventions

Assuming Σ is the alphabet, we use the following conventions	
Σ	regular expression describing language consisting of all strings of length 1 over Σ
$*$ then \circ then \cup	precedence order, unless parentheses are used to change it
R_1R_2	shorthand for $R_1 \circ R_2$ (concatenation symbol is implicit)
R^+	shorthand for $R^* \circ R$
R^k	shorthand for R concatenated with itself k times, where k is a natural number
Pages 63 - 65	

Caution: many programming languages that support regular expressions build in functionality that is more powerful than the “pure” definition of regular expressions given here.

Regular expressions are everywhere (once you start looking for them).

Software tools and languages often have built-in support for regular expressions to describe **patterns** that we want to match (e.g. Excel/ Sheets, grep, Perl, python, Java, Ruby).

Under the hood, the first phase of **compilers** is to transform the strings we write in code to tokens (keywords, operators, identifiers, literals). Compilers use regular expressions to describe the sets of strings that can be used for each token type.

Next time: we’ll start to see how to build machines that decide whether strings match the pattern described by a regular expression.

Extra examples for practice:

Which regular expression(s) below describe a language that includes the string a as an element?

a^*b^*

$a(ba)^*b$

$a^* \cup b^*$

$(aaa)^*$

$(\varepsilon \cup a)b$

Week1 friday

Review: Determine whether each statement below about regular expressions over the alphabet $\{a, b, c\}$ is true or false:

True or False: $a \in L((a \cup b) \cup c)$

True or False: $ab \in L((a \cup b)^*)$

True or False: $ba \in L(a^*b^*)$

True or False: $\varepsilon \in L(a \cup b \cup c)$

True or False: $\varepsilon \in L((a \cup b)^*)$

True or False: $\varepsilon \in L(a^*b^*)$

From the pre-class reading, pages 34-36: A deterministic finite automaton (DFA) is specified by $M = (Q, \Sigma, \delta, q_0, F)$. This 5-tuple is called the **formal definition** of the DFA. The DFA can also be represented by its state diagram: with nodes for the state, labelled edges specifying the transition function, and decorations on nodes denoting the start and accept states.

Finite set of states Q can be labelled by any collection of distinct names. Often we use default state labels q_0, q_1, \dots

The alphabet Σ determines the possible inputs to the automaton. Each input to the automaton is a string over Σ , and the automaton “processes” the input one symbol (or character) at a time.

The transition function δ gives the next state of the DFA based on the current state of the machine and on the next input symbol.

The start state q_0 is an element of Q . Each computation of the machine starts at the start state.

The accept (final) states F form a subset of the states of the DFA, $F \subseteq Q$. These states are used to flag if the machine accepts or rejects an input string.

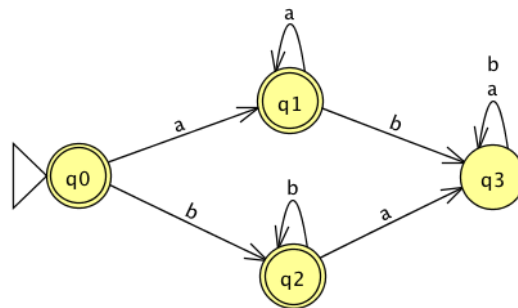
The computation of a machine on an input string is a sequence of states in the machine, starting with the start state, determined by transitions of the machine as it reads successive input symbols.

The DFA M accepts the given input string exactly when the computation of M on the input string ends in an accept state. M rejects the given input string exactly when the computation of M on the input string ends in a nonaccept state, that is, a state that is not in F .

The language of M , $L(M)$, is defined as the set of all strings that are each accepted by the machine M . Each string that is rejected by M is not in $L(M)$. The language of M is also called the language recognized by M .

What is **finite** about all deterministic finite automata? (Select all that apply)

- ☐ The size of the machine (number of states, number of arrows)
- ☐ The number of strings that are accepted by the machine
- ☐ The length of each computation of the machine



The formal definition of this DFA is

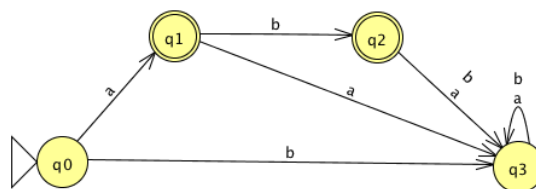
Classify each string $a, aa, ab, ba, bb, \varepsilon$ as accepted by the DFA or rejected by the DFA.

Why are these the only two options?

The language recognized by this DFA is



The language recognized by this DFA is



The language recognized by this DFA is