

*Textbook references: Within a chapter, each item is numbered consecutively. Figure 1.22 is the twenty-second numbered item in chapter one; it comes right after Example 1.21 and right before Definition 1.23.*

In Computer Science, we operationalize “hardest” as “requires most resources”, where resources might be memory, time, parallelism, randomness, power, etc. To be able to compare “hardness” of problems, we use a consistent description of problems

**Input:** String

**Output:** Yes/ No, where Yes means that the input string matches the pattern or property described by the problem.

So far: we saw that regular expressions are convenient ways of describing patterns in strings. DFA give a model of computation for processing strings and classifying them into Yes (accepted) or No (rejected). We will see that each set of strings is described by a regular expression if and only if there is a DFA that recognizes it. Another way of thinking about it: properties described by regular expressions require exactly the computational power of DFAs.

## Monday

**Review:** Formal definition of DFA:  $M = (Q, \Sigma, \delta, q_0, F)$

- Finite set of states  $Q$
- Alphabet  $\Sigma$
- Transition function  $\delta$
- Start state  $q_0$
- Accept (final) states  $F$

In the state diagram of  $M$ , how many outgoing arrows are there from each state?

$M = (\{q, r, s\}, \{a, b\}, \delta, q, \{s\})$  where  $\delta$  is (rows labelled by states and columns labelled by symbols):

$\delta$	$a$	$b$
$q$	$r$	$q$
$r$	$r$	$s$
$s$	$s$	$s$

The state diagram for  $M$  is

Give two examples of strings that are accepted by  $M$  and two examples of strings that are rejected by  $M$ :

Add “labels” for states in the state diagram, e.g. “have not seen any of desired pattern yet” or “sink state”.

We can use the analysis of the roles of the states in the state diagram to describe the language recognized by the DFA.

$L(M) =$

A regular expression describing  $L(M)$  is

Let the alphabet be  $\Sigma_1 = \{0, 1\}$ .

A state diagram for a DFA that recognizes  $\{w \mid w \text{ contains at most two 1's}\}$  is

A state diagram for a DFA that recognizes  $\{w \mid w \text{ contains more than two 1's}\}$  is

*Extra example:* A state diagram for DFA recognizing

$\{w \mid w \text{ is a string over } \{0, 1\} \text{ whose length is not a multiple of } 3\}$

Let  $n$  be an arbitrary positive integer. What is a formal definition for a DFA recognizing

$\{w \mid w \text{ is a string over } \{0, 1\} \text{ whose length is not a multiple of } n\}$ ?

## **Review: Week 2 Monday**

Please complete the review quiz questions on Gradescope about the languages recognized by DFAs.

Recall: Review quizzes based on class material are assigned each day. These quizzes will help you track and confirm your understanding of the concepts and examples we work in class. Quizzes can be submitted on Gradescope as many times (with no penalty) as you like until the quiz deadline: the three quizzes each week are all due on Friday (with no penalty late submission open until Sunday).

**Pre class reading for next time:** Pages 45-47.

## Wednesday

Suppose  $A$  is a language over an alphabet  $\Sigma$ . By definition, this means  $A$  is a subset of  $\Sigma^*$ . **Claim:** if there is a DFA  $M$  such that  $L(M) = A$  then there is another DFA, let's call it  $M'$ , such that  $L(M') = \overline{A}$ , the complement of  $A$ , defined as  $\{w \in \Sigma^* \mid w \notin A\}$ .

**Proof idea:**

**Proof:**

A useful (optional) bit of terminology: the **iterated transition function** of a DFA  $M = (Q, \Sigma, \delta, q_0, F)$  is defined recursively by

$$\delta^*(q, w) = \begin{cases} q & \text{if } q \in Q, w = \varepsilon \\ \delta(q, a) & \text{if } q \in Q, w = a \in \Sigma \\ \delta(\delta^*(q, u), a) & \text{if } q \in Q, w = ua \text{ where } u \in \Sigma^* \text{ and } a \in \Sigma \end{cases}$$

Using this terminology,  $M$  accepts a string  $w$  over  $\Sigma$  if and only if  $\delta^*(q_0, w) \in F$ .

Fix  $\Sigma = \{a, b\}$ . A state diagram for a DFA that recognizes  $\{w \mid w \text{ has } ab \text{ as a substring and is of even length}\}$ :

Suppose  $A_1, A_2$  are languages over an alphabet  $\Sigma$ . **Claim:** if there is a DFA  $M_1$  such that  $L(M_1) = A_1$  and DFA  $M_2$  such that  $L(M_2) = A_2$ , then there is another DFA, let's call it  $M$ , such that  $L(M) = A_1 \cap A_2$ .

**Proof idea:**

**Formal construction:**

**Application:** When  $A_1 = \{w \mid w \text{ has } ab \text{ as a substring}\}$  and  $A_2 = \{w \mid w \text{ is of even length}\}$ .

Suppose  $A_1, A_2$  are languages over an alphabet  $\Sigma$ . **Claim:** if there is a DFA  $M_1$  such that  $L(M_1) = A_1$  and DFA  $M_2$  such that  $L(M_2) = A_2$ , then there is another DFA, let's call it  $M$ , such that  $L(M) = A_1 \cup A_2$ .  
*Sipser Theorem 1.25, page 45*

**Proof idea:**

**Formal construction:**

**Application:** A state diagram for a DFA that recognizes  $\{w \mid w \text{ has } ab \text{ as a substring or is of even length}\}$ :

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**Pre class reading for next time:** Introduction to Section 1.2



## Friday

<b>Nondeterministic finite automaton</b> $M = (Q, \Sigma, \delta, q_0, F)$	
Finite set of states $Q$	Can be labelled by any collection of distinct names. Default: $q_0, q_1, \dots$
Alphabet $\Sigma$	Each input to the automaton is a string over $\Sigma$ .
Arrow labels $\Sigma_\epsilon$	$\Sigma_\epsilon = \Sigma \cup \{\epsilon\}$ . Arrows in the state diagram are labelled either by symbols from $\Sigma$ or by $\epsilon$
Transition function $\delta$	$\delta : Q \times \Sigma_\epsilon \rightarrow \mathcal{P}(Q)$ gives the <b>set of possible next states</b> for a transition from the current state upon reading a symbol or spontaneously moving.
Start state $q_0$	Element of $Q$ . Each computation of the machine starts at the start state.
Accept (final) states $F$	$F \subseteq Q$ .
$M$ accepts the input string	if and only if <b>there is</b> a computation of $M$ on the input string that processes the whole string and ends in an accept state.
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The formal definition of the NFA over  $\{0, 1\}$  given by this state diagram is:



The language over  $\{0, 1\}$  recognized by this NFA is:

Change the transition function to get a different NFA which accepts the empty string.

The state diagram of an NFA over  $\{a, b\}$  is below. The formal definition of this NFA is:



The language recognized by this NFA is:

## **Review: Week 2 Friday**

Please complete the review quiz questions on Gradescope about NFA.

**Pre class reading for next time:** Theorem 1.47, Theorem 1.49