

28

Managing Construction

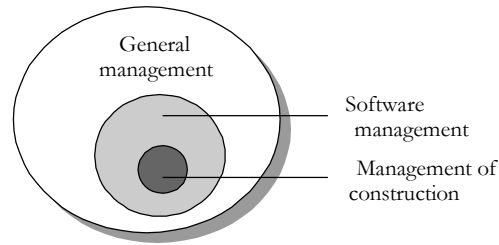
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Related Topics

- Prerequisites to construction: Chapter 3
- Determining the kind of software you're working on: Section 3.2
- Program size: Chapter 27
- Software quality: Chapter 20

MANAGING SOFTWARE DEVELOPMENT HAS BEEN a formidable challenge for the past several decades. As Figure 28-1 suggests, the general topic of software-project management extends beyond the scope of this book, but this chapter discusses a few specific management topics that apply directly to construction. If you're a developer, this section will help you understand the issues that managers need to consider. If you're a manager, this section will help you understand how to management looks to developers as well as how to manage construction effectively. Because the chapter covers a broad collection of topics, several sections also describe where you can go for more information.



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Figure 28-1

This chapter covers the software-management topics related to construction.

If you're interested in software management, be sure to read Section 3.2, "Determine the Kind of Software You're Working On," to understand the difference between traditional sequential approaches to development and modern iterative approaches. Be sure also to read Chapter 20, "The Software-Quality Landscape" and Chapter 27, "How Program Size Affects Construction." Quality goals and the size of the project both significantly affect how a specific software project should be managed.

28.1 Encouraging Good Coding

Since code is the primary output of construction, a key question in managing construction is "How do you encourage good coding practices?" In general, mandating a strict set of technical standards from the management position isn't a good idea. Programmers tend to view managers as being at a lower level of technical evolution, somewhere between single-celled organisms and the woolly mammoths that died out during the Ice Age, and if there are going to be programming standards, programmers need to buy into them.

If someone on a project is going to define standards, have a respected architect define the standards rather than the manager. Software projects operate as much on an "expertise hierarchy" as on an "authority hierarchy." If the architect is regarded as the project's thought leader, the project team will generally follow standards set by the architect.

If you choose this approach, be sure the architect really is respected. Sometimes a project architect is just a senior person who has been around too long and is out of touch with production-coding issues. Programmers will resent that kind of "architect" defining standards that are out of touch with the work they're doing.

Considerations in Setting Standards

Standards are more useful in some organizations than in others. Some developers welcome standards because they reduce arbitrary variance in the project. If your group resists adopting strict standards, consider a few alternatives: flexible guidelines, a collection of suggestions rather than guidelines, or a set of examples that embody the best practices.

Techniques

Here are several techniques for achieving good coding practices that are less heavy-handed than laying down rigid coding standards:

Assign two people to every part of the project

If two people have to work on each line of code, you'll guarantee that at least two people think it works and is readable. The mechanisms for teaming two people can range from pair programming to mentor-trainee pairs to buddy-system reviews.

Review every line of code

A code review typically involves the programmer and at least two reviewers. That means that at least three people read every line of code. Another name for peer review is "peer pressure." In addition to providing a safety net in case the original programmer leaves the project, reviews improve code quality because the programmer knows that the code will be read by others. Even if your shop hasn't created explicit coding standards, reviews provide a subtle way of moving toward a group coding standard—decisions are made by the group during reviews, and, over time, the group will derive its own standards.

Require code sign-offs

In other fields, technical drawings are approved and signed by the managing engineer. The signature means that to the best of the engineer's knowledge, the drawings are technically competent and error-free. Some companies treat code the same way. Before code is considered to be complete, senior technical personnel must sign the code listing.

Route good code examples for review

A big part of good management is communicating your objectives clearly. One way to communicate your objectives is to circulate good code to your programmers or post it for public display. In doing so, you provide a clear example of the quality you're aiming for. Similarly, a coding-standards manual can consist mainly of a set of "best code listings." Identifying certain listings as "best" sets an example for others to follow. Such a manual is easier to update than an English-language standards manual and effortlessly presents subtleties in coding style that are hard to capture point by point in prose descriptions.

90 **CROSS-REFERENCE** A
91 large part of programming is
92 communicating your work to
93 other people. For details, see
94 Section 33.5,
95 “Communication and
96 Cooperation” and Section
97 34.3, “Write Programs for
98 **HARD DATA**
99 Second.”

Emphasize that code listings are public assets

Programmers sometimes feel that the code they’ve written is “their code,” as if it were private property. Although it is the result of their work, code is part of the project and should be freely available to anyone else on the project that needs it. It should be seen by others during reviews and maintenance, even if at no other time.

One of the most successful projects ever reported developed 83,000 lines of code in 11 work-years of effort. Only one error that resulted in system failure was detected in the first 13 months of operation. This accomplishment is even more dramatic when you realize that the project was completed in the late 1960s, without online compilation or interactive debugging. Productivity on the project, 7500 lines of code per work-year in the late 1960s, is still impressive by today’s standards. The chief programmer on the project reported that one key to the project’s success was the identification of all computer runs (erroneous and otherwise) as public rather than private assets (Baker and Mills 1973). This idea has extended into modern contexts including Extreme Programming’s idea of collective ownership (Beck 2000), as well as in other contexts.

Reward good code

Use your organization’s reward system to reinforce good coding practices. Keep these considerations in mind as you develop your reinforcement system:

- The reward should be something that the programmer wants. (Many programmers find “attaboy” rewards distasteful, especially when they come from nontechnical managers.)
- Code that receives an award should be exceptionally good. If you give an award to a programmer everyone else knows does bad work, you look like Charlie Chaplin trying to run a cake factory. It doesn’t matter that the programmer has a cooperative attitude or always comes to work on time. You lose credibility if your reward doesn’t match the technical merits of the situation. If you’re not technically skilled enough to make the good-code judgment, don’t! Don’t make the award at all, or let your team choose the recipient.

One easy standard

If you’re managing a programming project and you have a programming background, an easy and effective technique for eliciting good work is to say “I must be able to read and understand any code written for the project.” That the manager isn’t the hottest technical hotshot can be an advantage in that it may discourage “clever” or tricky code.

The Role of This Book

Most of this book is a discussion of good programming practices. It isn't intended to be used to justify rigid standards, and it's intended even less to be used as a set of rigid standards. Using it in such a way would contradict some of its most important themes. Use this book as a basis for discussion, as a sourcebook of good programming practices, and for identifying practices that could be beneficial in your environment.

28.2 Configuration Management

A software project is dynamic. The code changes; the design changes; the requirements change. What's more, changes in the requirements lead to more changes in the design; changes in the design lead to even more changes in the code and test cases.

What Is Configuration Management?

Configuration management is the practice of identifying project artifacts and handling changes systematically so that a system can maintain its integrity over time. Another name for it is "change control." It includes techniques for evaluating proposed changes, tracking changes, and keeping copies of the system as it existed at various points in time.

If you don't control changes to requirements, you can end up writing code for parts of the system that are eventually eliminated. You can write code that's incompatible with new parts of the system. You might not detect many of the incompatibilities until integration time, which will become finger-pointing time because nobody will really know what's going on.

If changes to code aren't controlled, you might change a routine that someone else is changing at the same time; successfully combining your changes with theirs will be problematic. Uncontrolled code changes can make code seem more tested than it is. The version that's been tested will probably be the old, unchanged version; the modified version might not have been tested. Without good change control, you can make changes to a routine, find new errors, and not be able to back up to the old, working routine.

The problems go on indefinitely. If changes aren't handled systematically, you're taking random steps in the fog rather than moving directly toward a clear destination. Without good change control, rather than developing code you're wasting your time thrashing. Configuration management helps you use your time effectively.

HARD DATA

In spite of the obvious need for configuration management, many programmers have been avoiding it for decades. A survey more than 20 years ago found that over a third of programmers weren't even familiar with the idea (Beck and Perkins 1983), and there's little indication that that has changed. A more recent study by the Software Engineering Institute found that, of organizations using informal software development practices, less than 20% had adequate configuration management (SEI 2003).

Configuration management wasn't invented by programmers. But because programming projects are so volatile, it's especially useful to programmers. Applied to software projects, configuration management is usually called software configuration management, or SCM (commonly pronounced "scum"). SCM focuses on a program's source code, documentation, and test data.

The systemic problem with SCM is overcontrol. The surest way to stop auto accidents is to prevent everyone from driving, and one sure way to prevent software-development problems is to stop all software development. Although that's one way to control changes, it's a terrible way to develop software. You have to plan SCM carefully so that it's an asset rather than an albatross around your neck.

On a small 1-person project, you can probably do well with no SCM beyond planning for informal periodic backups. Nonetheless, configuration management is still useful (and, in fact, I used configuration management in creating this manuscript). On a large 50-person project, you'll probably need a full-blown SCM scheme including fairly formal procedures for backups, change control for requirements and design, and control over documents, source code, content, test cases, and other project artifacts.

If your project is neither very large nor very small, you'll have to settle on a degree of formality somewhere between the two extremes. The following subsections describe some of the options in implementing SCM.

Requirements and Design Changes

During development, you're bound to be bristling with ideas about how to improve the system. If you implement each change as it occurs to you, you'll soon find yourself walking on a software treadmill—for all that the system will be changing, it won't be moving closer to completion. Here are some guidelines for controlling design changes:

Follow a systematic change-control procedure

As Section 3.4 noted, a systematic change-control procedure is a godsend when you have a lot of change requests. By establishing a systematic procedure, you

199 make it clear that changes will be considered in the context of what's best for the
200 project overall.

201 ***Handle change requests in groups***

202 It's tempting to implement easy changes as ideas arise. The problem with
203 handling changes in this way is that good changes can get lost. If you think of a
204 simple change 25 percent of the way through the project and you're on schedule,
205 you'll make the change. If you think of another simple change 50 percent of the
206 way through the project and you're already behind, you won't. When you start to
207 run out of time at the end of the project, it won't matter that the second change is
208 10 times as good as the first—you won't be in a position to make any
209 nonessential changes. Some of the best changes can slip through the cracks
210 merely because you thought of them later rather than sooner.

211 The informal solution to this problem is to write down all ideas and suggestions,
212 no matter how easy they would be to implement, and save them until you have
213 time to work on them. Then, viewing them as a group, choose the ones that will
214 be the most beneficial.

215 ***Estimate the cost of each change***

216 Whenever your customer, your boss, or you are tempted to change the system,
217 estimate the time it would take to make the change, including review of the code
218 for the change and retesting the whole system. Include in your estimate time for
219 dealing with the change's ripple effect through requirements to design to code to
220 test to changes in the user documentation. Let all the interested parties know that
221 software is intricately interwoven and that time estimation is necessary even if
222 the change appears small at first glance.

223 Regardless of how optimistic you feel when the change is first suggested, refrain
224 from giving an off-the-cuff estimate. Hand waving estimates are often mistaken
225 by a factor of 2 or more.

226 **CROSS-REFERENCE** For
227 another angle on handling
228 changes, see "Handling
229 Requirements Changes
230 During Construction" in
231 Section 3.4.

232 ***Be wary of high change volumes***

233 While some degree of change is inevitable, a high volume of change requests is a
234 key warning sign that requirements, architecture, or top-level designs weren't
235 done well enough to support effective construction. Backing up to work on
236 requirements or architecture might seem expensive, but it won't be nearly as
237 expensive as constructing the software more than once or as throwing away code
for features that you really didn't need.

233 ***Establish a change-control board or its equivalent in a way that makes
234 sense for your project***

235 The job of a change-control board is to separate the wheat from the chaff in
236 change requests. Anyone who wants to propose a change submits the change
237 request to the change-control board. The term "change request" refers to any

238 request that would change the software: an idea for a new feature, a change to an
239 existing feature, an “error report” that might or might not be reporting a real
240 error, and so on. The board meets periodically to review proposed changes. It
241 approves, disapproves, or defers each change. Change control boards are
242 considered a best practice for prioritizing and controlling requirements changes,
243 however they are still fairly uncommon in commercial settings (Jones 1998,
244 Jones 2000).

245 ***Watch for bureaucracy, but don’t let the fear of bureaucracy preclude***
246 ***effective change control***

247 Lack of disciplined change control is one of the biggest management problems
248 facing the software industry today. A significant percentage of the projects that
249 are perceived to be late would actually be on time if they accounted for the
250 impact of untracked but agreed-upon changes. Poor change control allows
251 changes to accumulate off the books, which undermines status visibility, long-
252 range predictability, project planning, risk management specifically, and project
253 management generally.

254 Change control tends to drift toward bureaucracy, and so it’s important to look
255 for ways to streamline the change control process. If you’d rather not use
256 traditional change requests, set up a simple “ChangeBoard” email alias and have
257 people email change requests to that email address. Or have people present
258 change proposals interactively at a change board meeting. Or log change
259 requests as defects in your defect tracking software (classified as changes rather
260 than defects).

261 You can implement the Change Control Board itself formally. Or you can define
262 a Product Planning Group or War Council that carries the traditional
263 responsibilities of a change control board. You can identify a single person to be
264 the Change Czar. But whatever you call it, do it!

265 **KEY POINT**

266 I occasionally see projects suffering from ham-handed implementations of
267 change control. But 10 times as often I see projects suffering from no meaningful
268 change control at all. The substance of change control is what’s important, so
don’t let fear of bureaucracy stop you from realizing its many benefits.

269 **Software Code Changes**

270 Another configuration-management issue is controlling source code. If you
271 change the code and a new error surfaces that seems unrelated to the change you
272 made, you’ll probably want to compare the new version of the code to the old in
273 your search for the source of the error. If that doesn’t tell you anything, you
274 might want to look at a version that’s even older. This kind of excursion through

275 history is easy if you have version-control tools that keep track of multiple
276 versions of source code.

277 **KEY POINT**

278 **Version-control software**
279 Good version-control software works so easily that you barely notice you're
280 using it. It's especially helpful on team projects. One style of version control
281 locks source files so that only one person can modify a file at a time. Typically,
282 when you need to work on source code in a particular file, you check the file out
283 of version control. If someone else has already checked it out, you're notified
284 that you can't check it out. When you can check the file out, you work on it just
285 as you would without version control until you're ready to check it in. Another
286 style allows multiple people to work on files simultaneously, and handles the
287 issue of merging changes when the code is checked in. In either case, when you
288 check the file in, version control asks why you changed it, and you type in a
reason.

289 For this modest investment of effort, you get several big benefits:

- 290 • You don't step on anyone's toes by working on a file while someone else is
291 working on it (or at least you'll know about it if you do).
- 292 • You can easily update your copies of all the project's files to the current
293 versions, usually by issuing a single command.
- 294 • You can backtrack to any version of any file that was ever checked into
295 version control.
- 296 • You can get a list of the changes made to any version of any file.
- 297 • You don't have to worry about personal backups because the version-control
298 copy is a safety net.

299 Version control is indispensable on team projects. It's so effective that the
300 applications division of Microsoft has found source-code version control to be a
301 "major competitive advantage" (Moore 1992).

302 **Tool Versions**

303 For some kinds of projects, it may be necessary to be able to reconstruct the
304 exact environment used to create each specific version of the software—
305 including compilers, linkers, code libraries, and so on. In that case, you will want
306 to put all of those tools into version control, too.

307 **Machine Configurations**

308 Many companies (including my company) have experienced good results from
309 creating standardized development machine configurations. A disk image is

created of a standard developer workstation, including all the common developer tools, office applications, and so on. That image is loaded onto each developer’s machine. Having standardized configurations helps to avoid a raft of problems associated with slightly different configuration settings, different versions of tools used, and so on. A standardized disk image also greatly streamlines setting up new machines compared to having to install each piece of software individually.

Backup Plan

A backup plan isn’t a dramatic new concept; it’s the idea of backing up your work periodically. If you were writing a book by hand, you wouldn’t leave the pages in a pile on your porch. If you did, they might get rained on or blown away, or your neighbor’s dog might borrow them for a little bedtime reading. You’d put them somewhere safe. Software is less tangible, so it’s easier to forget that you have something of enormous value on one machine.

Many things can happen to computerized data. A disk can fail. You or someone else can delete key files accidentally. An angry employee can sabotage your machine. You could lose a machine to theft, flood, or fire.

Take steps to safeguard your work. Your backup plan should include making backups on a periodic basis and periodic transfer of backups to off-site storage, and it should encompass all the important materials on your project—documents, graphics, and notes—in addition to source code.

One often-overlooked aspect of devising a backup plan is a test of your backup procedure. Try doing a restore at some point to make sure that the backup contains everything you need and that the recovery works.

When you finish a project, make a project archive. Save a copy of everything: source code, compilers, tools, requirements, design, documentation—everything you need to re-create the product. Keep it all in a safe place.

CHECKLIST: Configuration Management

General

- ☐ Is your software-configuration-management plan designed to help programmers and minimize overhead?
- ☐ Does your SCM approach avoid overcontrolling the project?
- ☐ Do you group change requests, either through informal means such as a list of pending changes or through a more systematic approach such as a change-control board?

- 345 ☐ Do you systematically estimate the effect of each proposed change?
- 346 ☐ Do you view major changes as a warning that requirements development
- 347 isn't yet complete?

348 **Tools**

- 349 ☐ Do you use version-control software to facilitate configuration management?
- 350 ☐ Do you use version-control software to reduce coordination problems of
- 351 working in teams?

352 **Backup**

- 353 ☐ Do you back up all project materials periodically?
- 354 ☐ Are project backups transferred to off-site storage periodically?
- 355 ☐ Are all materials backed up, including source code, documents, graphics,
- 356 and important notes?
- 357 ☐ Have you tested the backup-recovery procedure?
- 358

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Additional Resources on Configuration Management

Because this book is about construction, this section has focused on change control from a construction point of view. But changes affect projects at all levels, and a comprehensive change-control strategy needs to do the same.

Hass, Anne Mette Jonassen, *Configuration Management Principles and Practices*, Boston, Mass.: Addison Wesley, 2003. This book provides the big-picture view of software configuration management and practical details on how to incorporate it into your software development process. It focuses on managing and controlling configuration items.

Berczuk, Stephen P. and Brad Appleton, *Software Configuration Management Patterns: Effective Teamwork, Practical Integration*, Boston, Mass.: Addison Wesley, 2003. Like Hass's book, this book provides a CM overview and practical. It complements Hass' book by focusing on branching strategies that allow teams of developers to isolate and coordinate their work.

SPMN. *Little Book of Configuration Management*. Arlington, VA; Software Program Managers Network, 1998. This pamphlet is an introduction to configuration management activities and defines critical success factors. It is available as a free download from the SPMN website at www.spmn.com/products_guidebooks.html.

379 Bays, Michael, *Software Release Methodology*, Englewood Cliffs, N.J.: Prentice
380 Hall, 1999. This book discusses software configuration management with an
381 emphasis on releasing software into production.

382 Bersoff, Edward H., and Alan M. Davis. "Impacts of Life Cycle Models on
383 Software Configuration Management." *Communications of the ACM* 34, no. 8
384 (August 1991): 104–118. This article describes how SCM is affected by newer
385 approaches to software development, especially prototyping approaches. The
386 article is especially applicable in environments that are using agile development
387 practices.

388 28.3 Estimating a Construction Schedule

389 HARD DATA

390 Managing a software project is one of the formidable challenges of the twenty-
391 first century, and estimating the size of a project and the effort required to
392 complete it is one of the most challenging aspects of software-project
393 management. The average large software project is one year late and 100 percent
394 over budget (Standish Group 1994, Jones 1997, Johnson 1999). This has as
395 much to do with poor size and effort estimates as with poor development efforts.
396 This section outlines the issues involved in estimating software projects and
indicates where to look for more information.

397 Estimation Approaches

398 **FURTHER READING** For
399 further reading on schedule-
estimation techniques, see
400 Chapter 8 of *Rapid*
Development (McConnell
401 1996) and *Software Cost*
402 *Estimation with Cocomo II*
(Boehm et al 2000).
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- You can estimate the size of a project and the effort required to complete it in any of several ways:
- Use scheduling software.
 - Use an algorithmic approach, such as Cocomo II, Barry Boehm's estimation model (Boehm et al 2000).
 - Have outside estimation experts estimate the project.
 - Have a walkthrough meeting for estimates.
 - Estimate pieces of the project, and then add the pieces together.
 - Have people estimate their own pieces, and then add the pieces together.
 - Estimate the time needed for the whole project, and then divide up the time among the pieces.
 - Refer to experience on previous projects.
 - Keep previous estimates and see how accurate they were. Use them to adjust new estimates.

412 Pointers to more information on these approaches are given in the “Additional
413 Resources” subsection at the end of this section. Here’s a good approach to
414 estimating a project:

415 **FURTHER READING** This
416 approach is adapted from
417 *Software Engineering*
418 *Economics* (Boehm 1981).
419

Establish objectives

What are you estimating? Why do you need an estimate? How accurate does the estimate need to be to meet your objectives? What degree of certainty needs to be associated with the estimate? Would an optimistic or a pessimistic estimate produce substantially different results?

420 ***Allow time for the estimate, and plan it***

Rushed estimates are inaccurate estimates. If you’re estimating a large project, treat estimation as a miniproject and take the time to miniplan the estimate so that you can do it well.

424 **CROSS-REFERENCE** For
425 more information on software
426 requirements, see Section 3.4,
427 “Requirements Prerequisite.”
428
429

Spell out software requirements

Just as an architect can’t estimate how much a “pretty big” house will cost, you can’t reliably estimate a “pretty big” software project. It’s unreasonable for anyone to expect you to be able to estimate the amount of work required to build something when “something” has not yet been defined. Define requirements or plan a preliminary exploration phase before making an estimate.

430 ***Estimate at a low level of detail***

Depending on the objectives you identified, base the estimate on a detailed examination of project activities. In general, the more detailed your examination is, the more accurate your estimate will be. The Law of Large Numbers says that the error of sums is greater than the sum of errors. In other words, a 10 percent error on one big piece is 10 percent high or 10 percent low. On 50 small pieces, 10 percent errors are both high and low and tend to cancel each other out.

437 **CROSS-REFERENCE** It’s
438 hard to find an area of
439 software development in
440 which iteration is not a
valuable technique. This is
441 one case in which iteration is
442 useful. For a summary of
443 iterative techniques, see
444 Section 34.8, “Iterate,
445 Repeatedly, Again and
Again.”

Use several different estimation techniques, and compare the results

The list of estimation approaches at the beginning of the section identified several techniques. They won’t all produce the same results, so try several of them. Study the different results from the different approaches.

Children learn early that if they ask each parent individually for a third bowl of ice cream, they have a better chance of getting at least one “yes” than if they ask only one parent. Sometimes the parents wise up and give the same answer; sometimes they don’t. See what different answers you can get from different estimation techniques.

446 No approach is best in all circumstances, and the differences among them can be
447 illuminating. For example, on the first edition of this book, my original eyeball
448 estimate for the length of the book was 250-300 pages. When I finally did an in-
449 depth estimate, the estimate came out to 873 pages. “That can’t be right,” I

thought. So I estimated it using a completely different technique. The second estimate came out to 828 pages. Considering that these estimates were within about 5 percent of each other, I concluded that the book was going to be much closer to 850 pages than to 250 pages, and I was able to adjust my writing plans accordingly.

Re-estimate periodically

Factors on a software project change after the initial estimate, so plan to update your estimates periodically. As Figure 28-2 illustrates, the accuracy of your estimates should improve as you move toward completing the project. From time to time, compare your actual results to your estimated results, and use that evaluation to refine estimates for the remainder of the project.

Error! Objects cannot be created from editing field codes.

F28xx02

Figure 28-2

Estimates created early in a project are inherently inaccurate. As the project progresses, estimates can become more accurate. Re-estimate periodically throughout a project. Use what you learn during each activity to improve your estimate for the next activity. As the project progresses, the accuracy of your estimates should improve.

Estimating the Amount of Construction

The extent to which construction will be a major influence on a project's schedule depends in part on the proportion of the project that will be devoted to construction—understood as detailed design, coding, debugging, and unit testing. As this chart from Chapter 27 shows, the proportion varies by project size.

Error! Objects cannot be created from editing field codes.

F28xx03

Figure 28-3

Until your company has project-history data of its own, the proportion of time devoted to each activity shown in the chart is a good place to start estimates for your projects.

The best answer to the question of how much construction a project will call for is that the proportion will vary from project to project and organization to organization. Keep records of your organization's experience on projects and use them to estimate the time future projects will take.

CROSS-REFERENCE for details on the amount of coding for projects of various sizes, see "Activity Proportions and Size" in Section 21.2.

485

Influences on Schedule

486 **CROSS-REFERENCE** The

487 effect of a program’s size on

488 productivity and quality isn’t

489 always intuitively apparent.

See Chapter 27, “How

Program Size Affects

490 Construction,” for an

explanation of how size

affects construction.

The largest influence on a software project’s schedule is the size of the program to be produced. But many other factors also influence a software-development schedule. Studies of commercial programs have quantified some of the factors, and they’re shown in Table 28-1.

Table 28-1. Factors That Influence Software-Project Effort

Factor	Potential Positive Influence	Potential Negative Influence
Co-located vs. multi-site development	-14%	22%
Database size	-10%	28%
Documentation match to project needs	-19%	23%
Flexibility allowed in interpreting requirements	-9%	10%
How actively risks are addressed	-12%	14%
Language and tools experience	-16%	20%
Personnel continuity (turnover)	-19%	29%
Platform volatility	-13%	30%
Process maturity	-13%	15%
Product complexity	-27%	74%
Programmer capability	-24%	34%
Reliability required	-18%	26%
Requirements analyst capability	-29%	42%
Reuse requirements	-5%	24%
State-of-the-art application	-11%	12%
Storage constraint (how much of available storage will be consumed)	0%	46%
Team cohesion	-10%	11%
Team’s experience in the applications area	-19%	22%
Team’s experience on the technology platform	-15%	19%
Time constraint (of the application itself)	0%	63%
Use of software tools	-22%	17%

Source: Software Cost Estimation with Cocomo II (*Boehm et al 2000*).

491

492 Here are some of the less easily quantified factors that can influence a software-
493 development schedule. These factors are drawn from Barry Boehm's *Software*
494 *Cost Estimation with Cocomo II* (2000) and Capers Jones's *Estimating Software*
495 *Costs* (1998).

- 496 • Requirements developer experience and capability
- 497 • Programmer experience and capability
- 498 • Team motivation
- 499 • Management quality
- 500 • Amount of code reused
- 501 • Personnel turnover
- 502 • Requirements volatility
- 503 • Quality of relationship with customer
- 504 • User participation in requirements
- 505 • Customer experience with the type of application
- 506 • Extent to which programmers participate in requirements development
- 507 • Classified security environment for computer, programs, and data
- 508 • Amount of documentation
- 509 • Project objectives (schedule vs. quality vs. usability vs. the many other
510 possible objectives)

511 Each of these factors can be significant, so consider them along with the factors
512 shown in Table 28-1 (which includes some of these factors).

513 Estimation vs. Control

514 *The important question*
515 *is, do you want*
516 *prediction, or do you*
517 *want control?*
518 *—Tom Gilb*
519

Estimation is an important part of planning to complete a software project on time. Once you have a delivery date and a product specification, the main problem is how to control the expenditure of human and technical resources for an on-time delivery of the product. In that sense, the accuracy of the initial estimate is much less important than your subsequent success at controlling resources to meet the schedule.

520 What to do If You're Behind

521 HARD DATA

Most software projects fall behind. Surveys of estimated vs. actual schedules have shown that estimates tend to have an optimism factor of 20 to 30 percent (van Genuchten 1991).

524 When you're behind, increasing the amount of time usually isn't an option. If it
525 is, do it. Otherwise, you can try one or more of these solutions:

526 ***Hope that you'll catch up***

527 **HARD DATA**
528 Hopeful optimism is a common response to a project's falling behind schedule.
529 The rationalization typically goes like this: "Requirements took a little longer
530 than we expected, but now they're solid, so we're bound to save time later. We'll
531 make up the shortfall during coding and testing." This is hardly ever the case.
532 One survey of over 300 software projects concluded that delays and overruns
533 generally increase toward the end of a project (van Genuchten 1991). Projects
don't make up lost time later; they fall further behind.

534 ***Expand the team***

535 According to Fred Brooks's law, adding people to a late software project makes
536 it later (Brooks 1995). It's like adding gas to a fire. Brooks's explanation is
537 convincing: New people need time to familiarize themselves with a project
538 before they can become productive. Their training takes up the time of the
539 people who have already been trained. And merely increasing the number of
540 people increases the complexity and amount of project communication. Brooks
541 points out that the fact that one woman can have a baby in nine months does not
542 imply that nine women can have a baby in one month.

543 Undoubtedly the warning in Brooks's law should be heeded more often than it is.
544 It's tempting to throw people at a project and hope that they'll bring it in on
545 time. Managers need to understand that developing software isn't like riveting
546 sheet metal: More workers working doesn't necessarily mean more work will get
547 done.

548 The simple statement that adding programmers to a late project makes it later,
549 however, masks the fact that under some circumstances it's possible to add
550 people to a late project and speed it up. As Brooks points out in the analysis of
551 his law, adding people to software projects in which the tasks can't be divided
552 and performed independently doesn't help. But if a project's tasks are
553 partitionable, you can divide them further and assign them to different people,
554 even to people who are added late in the project. Other researchers have formally
555 identified circumstances under which you can add people to a late project
556 without making it later (Abdel-Hamid 1989, McConnell 1999).

557 ***Reduce the scope of the project***

558 **FURTHER READING** For an
559 argument in favor of building
560 only the most-needed
561 features, see Chapter 14,
"Feature-Set Control," in
Rapid Development
(McConnell 1996).
The powerful technique of reducing the scope of the project is often overlooked.
If you eliminate a feature, you eliminate the design, coding, debugging, testing,
and documentation of that feature. You eliminate that feature's interface to other
features.

562 When you plan the product initially, partition the product's capabilities into
563 "must haves," "nice to haves," and "optionals." If you fall behind, prioritize the
564 "optionals" and "nice to haves" and drop the ones that are the least important.

565 Short of dropping a feature altogether, you can provide a cheaper version of the
566 same functionality. You might provide a version that's on time but that hasn't
567 been tuned for performance. You might provide a version in which the least
568 important functionality is implemented crudely. You might decide to back off on
569 a speed requirement because it's much easier to provide a slow version. You
570 might back off on a space requirement because it's easier to provide a memory-
571 intensive version.

572 Re-estimate development time for the least important features. What
573 functionality can you provide in two hours, two days, or two weeks? What do
574 you gain by building the two-week version rather than the two-day version, or
575 the two-day version rather than the two-hour version?

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576 Additional Resources on Software Estimation

577 Boehm, Barry, et al, 2000. *Software Cost Estimation with Cocomo II*, Boston,
578 Mass.: Addison Wesley, 2000. This book describes the ins and outs of the
579 Cocomo II estimating model, which is undoubtedly the most popular model in
580 use today.

581 Boehm, Barry W. *Software Engineering Economics*. Englewood Cliffs, N.J.:
582 Prentice Hall, 1981. This older book contains an exhaustive treatment of
583 software-project estimation considered more generally than in Boehm's newer
584 book.

585 Humphrey, Watts S. *A Discipline for Software Engineering*. Reading, Mass:
586 Addison Wesley, 1995. Chapter TBD of this book describes Humphrey's Probe
587 method, which is a technique for estimating work at the individual developer
588 level.

589 Conte, S. D., H. E. Dunsmore, and V. Y. Shen. *Software Engineering Metrics*
590 *and Models*. Menlo Park, Calif.: Benjamin/Cummings, 1986. Chapter 6 contains
591 a good survey of estimation techniques including a history of estimation,
592 statistical models, theoretically based models, and composite models. The book
593 also demonstrates the use of each estimation technique on a database of projects
594 and compares the estimates to the projects' actual lengths.

595 Gilb, Tom. *Principles of Software Engineering Management*. Wokingham,
596 England: Addison-Wesley, 1988. The title of Chapter 16, "Ten Principles for
597 Estimating Software Attributes," is somewhat tongue-in-cheek. Gilb argues

598 against project estimation and in favor of project control. Pointing out that
599 people don't really want to predict accurately but do want to control final results,
600 Gilb lays out 10 principles you can use to steer a project to meet a calendar
601 deadline, a cost goal, or another project objective.

602

28.4 Measurement

603 Software projects can be measured in numerous ways. Here are two solid
604 reasons to measure your process:

605

KEY POINT

For any project attribute, it's possible to measure that attribute in a way that's superior to not measuring it at all

606 The measurement may not be perfectly precise; it may be difficult to make; it
607 may need to be refined over time; but measurement will give you a handle on
608 your software-development process that you don't have without it (Gilb 2004).
609

610 If data is to be used in a scientific experiment, it must be quantified. Can you
611 imagine an FDA scientist recommending a ban on a new food product because a
612 group of white rats "just seemed to get sicker" than another group? That's
613 absurd. You'd demand a quantified reason, like "Rats that ate the new food
614 product were sick 3.7 more days per month than rats that didn't." to evaluate
615 software-development methods, you must measure them. Statements like "This
616 new method seems more productive" aren't good enough.

617 *To argue against measurement is to argue that it's better not to know*
618 *what's really happening on your project*

619 When you measure an aspect of a project, you know something about it that you
620 didn't know before. You can see whether the aspect gets bigger or smaller or
621 stays the same. The measurement gives you a window into at least that aspect of
622 your project. The window might be small and cloudy until you refine your
623 measurements, but it will be better than no window at all. To argue against all
624 measurements because some are inconclusive is to argue against windows
625 because some happen to be cloudy.

626 You can measure virtually any aspect of the software-development process.
627 Table 28-2 lists some measurements that other practitioners have found to be
628 useful:

629 **Table 28-2. Useful Measurements**

Size	Overall Quality
Total lines of code written	Total number of defects
Total comment lines	Number of defects in each class or routine
Total number of classes or routines	

Total data declarations
Total blank lines

Average defects per thousand lines of code

Mean time between failures

Compiler-detected errors

Productivity

Work-hours spent on the project
Work-hours spent on each class or routine
Number of times each class or routine changed
Dollars spent on project
Dollars spent per line of code
Dollars spent per defect

Maintainability

Number of public routines on each class
Number of parameters passed to each routine
Number of private routines and/or variables on each class
Number of local variables used by each routine
Number of routines called by each class or routine
Number of decision points in each routine
Control-flow complexity in each routine
Lines of code in each class or routine
Lines of comments in each class or routine
Number of data declarations in each class or routine
Number of blank lines in each class or routine
Number of *gotos* in each class or routine
Number of input or output statements in each class or routine

Defect Tracking

Severity of each defect
Location of each defect (class or routine)
Origin of each defect (requirements, design, construction, test)
Way in which each defect is corrected
Person responsible for each defect
Number of lines affected by each defect correction
Work hours spent correcting each defect
Average time required to find a defect
Average time required to fix a defect
Number of attempts made to correct each defect
Number of new errors resulting from defect correction

630 You can collect most of these measurements with software tools that are
631 currently available. Discussions throughout the book indicate the reasons that
632 each measurement is useful. At this time, most of the measurements aren't useful
633 for making fine distinctions among programs, classes, and routines (Shepperd
634 and Ince 1989). They're useful mainly for identifying routines that are "outliers";
635 abnormal measurements in a routine are a warning sign that you should re-
636 examine that routine, checking for unusually low quality.

637 Don't start by collecting data on all possible measurements—you'll bury
638 yourself in data so complex that you won't be able to figure out what any of it
639 means. Start with a simple set of measurements such as the number of defects,
640 the number of work-months, the total dollars, and the total lines of code.
641 Standardize the measurements across your projects, and then refine them and add
642 to them as your understanding of what you want to measure improves
643 (Pietrasanta 1990).

644 Make sure you're collecting data for a reason. Set goals; determine the questions
645 you need to ask to meet the goals; and then measure to answer the questions
646 (Basili and Weiss 1984). Be sure that you ask for only as much information as is
647 feasible to obtain and that you keep in mind that data collection will always take
648 a back seat to deadlines (Basili et al 2002).

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649 Additional Resources on Software Measurement

650 Oman, Paul and Shari Lawrence Pfleeger, eds. *Applying Software Metrics*, Los
651 Alamitos, Ca.: IEEE Computer Society Press, 1996. This volume collects more
652 than 25 key papers on software measurement under one cover.

653 Jones, Capers. *Applied Software Measurement: Assuring Productivity and*
654 *Quality, 2d Ed.* New York: McGraw-Hill, 1997. Jones is a leader in software
655 measurement, and his book is an accumulation of knowledge in this area. It
656 provides the definitive theory and practice of current measurement techniques
657 and describes problems with traditional measurements. It lays out a full program
658 for collecting "function-point metrics." Jones has collected and analyzed a huge
659 amount of quality and productivity data, and this book distills the results in one
660 place—including a fascinating chapter on averages for U.S. software
661 development.

662 Grady, Robert B., and Deborah L. Caswell. *Software Metrics: Establishing a*
663 *Company-Wide Program*, Englewood Cliffs, N.J.: Prentice Hall, 1987. Grady
664 and Caswell describe their experience in establishing a software- measurement
665 program at Hewlett-Packard and tell you how to establish a software-
666 measurement program in your organization.

667 Conte, S. D., H. E. Dunsmore, and V. Y. Shen. *Software Engineering Metrics*
668 *and Models*. Menlo Park, Calif.: Benjamin/Cummings, 1986. This book catalogs
669 current knowledge of software measurement circa 1986, including commonly
670 used measurements, experimental techniques, and criteria for evaluating
671 experimental results.

672 Basili, Victor R., et al., 2002. "Lessons learned from 25 years of process
673 improvement: The Rise and Fall of the NASA Software Engineering
674 Laboratory," *Proceedings of the 24th International Conference on Software*
675 *Engineering*, Orlando, Florida, 2002. This paper catalogs lessons learned by one
676 of the world's most sophisticated software development organizations. The
677 lessons focus on measurement topics.

678 CC2E.COM/2892 NASA Software Engineering Laboratory, *Software Measurement Guidebook*,
679 June 1995, NASA-GB-001-94. This guidebook of about 100 pages is probably
680 the best source of practical information on how to setup and run a measurement
681 program. It can be downloaded from NASA's website.

682 CC2E.COM/2899 Gilb, Tom, 2004. *Competitive Engineering*, Boston, Mass.: Addison Wesley,
683 2004. This book presents a measurement-focused approach to defining
684 requirements, evaluating designs, measuring quality, and, in general, managing
685 projects. It can be downloaded from Gilb's website.

686 28.5 Treating Programmers as People

687 KEY POINT

688 The abstractness of the programming activity calls for an offsetting naturalness
689 in the office environment and rich contacts among coworkers. Highly technical
690 companies offer parklike corporate campuses, organic organizational structures,
691 comfortable offices, and other "high-touch" environmental features to balance
692 the intense, sometimes arid intellectuality of the work itself. The most successful
693 technical companies combine elements of high-tech and high-touch (Naisbitt
694 1982). This section describes ways in which programmers are more than organic
reflections of their silicon alter egos.

695 How do Programmers Spend Their Time?

696 Programmers spend their time programming, but they also spend time in
697 meetings, on training, on reading their mail, and on just thinking. A 1964 study
698 at Bell Laboratories found that programmers spent their time this way:

699

Table 28-3. One View of How Programmers Spend Their Time

Activity	Source Code	Business	Personal	Meetings	Training	Mail/Misc. Documents	Technical Manuals	Operating Procedures, Misc.	P
Talk or listen	4%	17%	7%	3%				1%	
Talk with manager		1%							
Telephone		2%	1%						
Read	14%					2%	2%		
Write/record	13%					1%			
Away or out		4%	1%	4%	6%				
Walking	2%	2%	1%			1%			
Miscellaneous	2%	3%	3%			1%		1%	
Totals	35%	29%	13%	7%	6%	5%	2%	2%	

Source: “Research Studies of Programmers and Programming” (Bairdain 1964, reported in Boehm 1981).

This data is based on a time-and-motion study of 70 programmers. The data is old, and the proportions of time spent in the different activities would vary among programmers, but the results are nonetheless illuminating. About 30 percent of a programmer’s time is spent in non-technical activities that don’t directly help the project: walking, personal business, and so on. Programmers in this study spent 6 percent of their time walking; that’s about 2.5 hours a week, about 125 hours a year. That might not seem like much until you realize that programmers spend as much time each year walking as they spend in training, three times as much time as they spend reading technical manuals, and six times as much as they spend talking with their managers. I personally have not seen much change in this pattern today.

Variation in Performance and Quality

Talent and effort among individual programmers vary tremendously, as they do in all fields. One study found that in a variety of professions—writing, football, invention, police work, and aircraft piloting—the top 20 percent of the people produced about 50 percent of the output (Augustine 1979). The results of the study are based on an analysis of productivity data such as touchdowns, patents, solved cases, and so on. Since some people make no tangible contribution whatsoever (quarterbacks who make no touchdowns, inventors who own no patents, detectives who don’t close cases, and so on), the data probably understates the actual variation in productivity.

HARD DATA

723
724
725

In programming specifically, many studies have shown order-of-magnitude differences in the quality of the programs written, the sizes of the programs written, and the productivity of programmers.

726

Individual Variation

727 **HARD DATA**

728
729
730
731
732
733
734

The original study that showed huge variations in individual programming productivity was conducted in the late 1960s by Sackman, Erikson, and Grant (1968). They studied professional programmers with an average of 7 years' experience and found that the ratio of initial coding time between the best and worst programmers was about 20 to 1; the ratio of debugging times over 25 to 1; of program size 5 to 1; and of program execution speed about 10 to 1. They found no relationship between a programmer's amount of experience and code quality or productivity.

735 **HARD DATA**

736
737
738
739
740

Although specific ratios such as 25 to 1 aren't particularly meaningful, more general statements such as "There are order-of-magnitude differences among programmers" are meaningful and have been confirmed by many other studies of professional programmers (Curtis 1981, Mills 1983, DeMarco and Lister 1985, Curtis et al. 1986, Card 1987, Boehm and Papaccio 1988, Valett and McGarry 1989, Boehm et al 2000).

741

Team Variation

742
743
744
745

Programming teams also exhibit sizable differences in software quality and productivity. Good programmers tend to cluster, as do bad programmers, an observation that has been confirmed by a study of 166 professional programmers from 18 organizations (Demarco and Lister 1999).

746 **HARD DATA**

747
748
749
750
751
752

In one study of seven identical projects, the efforts expended varied by a factor of 3.4 to 1 and program sizes by a factor of 3 to 1 (Boehm, Gray, and Seewaldt 1984). In spite of the productivity range, the programmers in this study were not a diverse group. They were all professional programmers with several years of experience who were enrolled in a computer-science graduate program. It's reasonable to assume that a study of a less homogeneous group would turn up even greater differences.

753
754
755

An earlier study of programming teams observed a 5-to-1 difference in program size and a 2.6-to-1 variation in the time required for a team to complete the same project (Weinberg and Schulman 1974).

756
757
758
759
760

After reviewing data more than 20 years of data in constructing the Cocomo II estimation model, Barry Boehm and other researchers concluded that developing a program with a team in the 15th percentile of programmers ranked by ability typically requires about 3.5 times as many work-months as developing a program with a team in the 90th percentile (Boehm et al 2000). Boehm and other

researchers have found that 80 percent of the contribution comes from 20 percent of the contributors (Boehm 1987b).

The implication for recruiting and hiring is clear. If you have to pay more to get a top-10-percent programmer rather than a bottom-10-percent programmer, jump at the chance. You'll get an immediate payoff in the quality and productivity of the programmer you hire, and a residual effect in the quality and productivity of the other programmers your organization is able to retain because good programmers tend to cluster.

Religious Issues

Managers of programming projects aren't always aware that certain programming issues are matters of religion. If you're a manager and you try to require compliance with certain programming practices, you're inviting your programmers' ire. Here's a list of religious issues:

- Programming language
- Indentation style
- Placing of braces
- Choice of IDE
- Commenting style
- Efficiency vs. readability trade-offs
- Choice of methodology—for example, scrum vs. extreme programming vs. evolutionary delivery
- Programming utilities
- Naming conventions
- Use of *gotos*
- Use of global variables
- Measurements, especially productivity measures such as lines of code per day

The common denominator among these topics is that a programmer's position on each is a reflection of personal style. If you think you need to control a programmer in any of these religious areas, consider these points:

be aware that you're dealing with a sensitive area

Sound out the programmer on each emotional topic before jumping in with both feet.

794 *Use “suggestions” or “guidelines” with respect to the area*
795 Avoid setting rigid “rules” or “standards.”

796 *Finesse the issues you can by sidestepping explicit mandates*
797 To finesse indentation style or brace placement, require source code to be run
798 through a pretty-printer formatter before it’s declared finished. Let the pretty
799 printer do the formatting. To finesse commenting style, require that all code be
800 reviewed and that unclear code be modified until it’s clear.

801 *Have your programmers develop their own standards*
802 As mentioned elsewhere, the details of a specific standard are often less
803 important than the fact that some standard exists. Don’t set standards for your
804 programmers, but do insist they standardize in the areas that are important to
805 you.

806 Which of the religious topics are important enough to warrant going to the mat?
807 Conformity in minor matters of style in any area probably won’t produce enough
808 benefit to offset the effects of lower morale. If you find indiscriminate use of
809 *gotos* or global variables, unreadable styles, or other practices that affect whole
810 projects, be prepared to put up with some friction in order to improve code
811 quality. If your programmers are conscientious, this is rarely a problem. The
812 biggest battles tend to be over nuances of coding style, and you can stay out of
813 those with no loss to the project.

814 **Physical Environment**

815 Here’s an experiment: Go out to the country. Find a farm. Find a farmer. Ask
816 how much money in equipment the farmer has for each worker. The farmer will
817 look at the barn and see a few tractors, some wagons, a combine for wheat, and a
818 peaviner for peas and will tell you that it’s over \$100,000 per employee.

819 Next go to the city. Find a programming shop. Find a programming manager.
820 Ask how much money in equipment the programming manager has for each
821 worker. The programming manager will look at an office and see a desk, a chair,
822 a few books, and a computer and will tell you that it’s under \$25,000 per
823 employee.

824 Physical environment makes a big difference in productivity. DeMarco and
825 Lister asked 166 programmers from 35 organizations about the quality of their
826 physical environments. Most employees rated their workplaces as not
827 acceptable. In a subsequent programming competition, the programmers who
828 performed in the top 25 percent had bigger, quieter, more private offices and
829 fewer interruptions from people and phone calls. Here’s a summary of the
830 differences in office space between the best and worst performers:

Environmental Factor	Top 25%	Bottom 25%
Dedicated floor space	78 sq. ft.	46 sq. ft.
Acceptably quiet workspace	57% yes	29% yes
Acceptably private workspace	62% yes	19% yes
Ability to silence phone	52% yes	10% yes
Ability to divert calls	76% yes	19% yes
Frequent needless interruptions	38% yes	76% yes
Workspace that makes programmer feel appreciated	57% yes	29% yes

Source: Peopleware (DeMarco and Lister 1999).

HARD DATA

The data shows a strong correlation between productivity and the quality of the workplace. Programmers in the top 25 percent were 2.6 times more productive than programmers in the bottom 25 percent. DeMarco and Lister thought that the better programmers might naturally have better offices because they had been promoted, but further examination revealed that this wasn't the case. Programmers from the same organizations had similar facilities, regardless of differences in their performance.

Large software-intensive organizations have had similar experiences. Xerox, TRW, IBM, and Bell Labs have indicated that they realize significantly improved productivity with a \$10,000 to \$30,000 capital investment per person, sums that were more than recaptured in improved productivity (Boehm 1987a). With "productivity offices," self-reported estimates ranged from 39 to 47 percent improvement in productivity (Boehm et al. 1984).

In summary, bringing your workplace from a bottom-25-percent to a top-25-percent environment is likely to result in at least a 100 percent improvement in productivity.

Additional Resources on Programmers as Human Beings

Weinberg, Gerald M. *The Psychology of Computer Programming, 2d Ed.* New York: Van Nostrand Reinhold, 1998. This is the first book to explicitly identify programmers as human beings, and it's still the best on programming as a human activity. It's crammed with acute observations about the human nature of programmers and its implications.

DeMarco, Tom and Timothy Lister. *Peopleware: Productive Projects and Teams, 2d Ed.* New York: Dorset House, 1999. As the title suggests, this book also deals with the human factor in the programming equation. It's filled with

858 anecdotes about managing people, the office environment, hiring and developing
859 the right people, growing teams, and enjoying work. The authors lean on the
860 anecdotes to support some uncommon viewpoints, and the logic is thin in places,
861 but the people-centered spirit of the book is what's important, and the authors
862 deliver that message without faltering.

863 McCue, Gerald M. "IBM's Santa Teresa Laboratory—Architectural Design for
864 Program Development," *IBM Systems Journal* 17, no. 1 (1978): 4–25. McCue
865 describes the process that IBM used to create its Santa Teresa office complex.
866 IBM studied programmer needs, created architectural guidelines, and designed
867 the facility with programmers in mind. Programmers participated throughout.
868 The result is that in annual opinion surveys each year, the physical facilities at
869 the Santa Teresa facility are rated the highest in the company.

870 McConnell, Steve. *Professional Software Development*, Boston, MA: Addison
871 Wesley, 2004. Chapter 7, "Orphans Preferred," summarizes studies on
872 programmer demographics including personality types, educational
873 backgrounds, and job prospects.

874 Carnegie, Dale. *How to Win Friends and Influence People*, Revised Edition.
875 New York: Pocket Books, 1981. When Dale Carnegie wrote the title for the first
876 edition of this book in 1936, he couldn't have realized the connotation it would
877 carry today. It sounds like a book Machiavelli would have displayed on his shelf.
878 The spirit of the book is diametrically opposed to Machiavellian manipulation,
879 however, and one of Carnegie's key points is the importance of developing a
880 genuine interest in other people. Carnegie has a keen insight into everyday
881 relationships and explains how to work with other people by understanding them
882 better. The book is filled with memorable anecdotes, sometimes two or three to a
883 page. Anyone who works with people should read it at some point, and anyone
884 who manages people should read it *now*.

885 28.6 Managing Your Manager

886 In software development, nontechnical managers are common, as are managers
887 who have technical experience but who are 10 years behind the times.
888 Technically competent, technically current managers are rare. If you work for
889 one, do whatever you can to keep your job. It's an unusual treat.

890 *In a hierarchy every*
891 *employee tends to rise to*
892 *his level of incompetence.*
893 *— The Peter Principle*
894

If your manager is more typical, you're faced with the unenviable task of managing your manager. "Managing your manager" means that you need to tell your manager what to do rather than the other way around. The trick is to do it in a way that allows your manager to continue believing that you are the one being managed. Here are some approaches to dealing with your manager:

- Plant ideas for what you want to do, and then wait for your manager to have a brainstorm (your idea) about doing what you want to do.
- Educate your manager about the right way to do things. This is an ongoing job because managers are often promoted, transferred, or fired.
- Focus on your manager's interests, doing what he or she really wants you to do, and don't distract your manager with unnecessary implementation details. (Think of it as "encapsulation" of your job.)
- Refuse to do what your manager tells you, and insist on doing your job the right way.
- Find another job.

The best long-term solution is to try to educate your manager. That's not always an easy task, but one way you can prepare for it is by reading Dale Carnegie's *How to Win Friends and Influence People*.

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Additional Resources on Software Project Management

Here are a few books that cover issues of general concern in managing software projects.

Gilb, Tom. *Principles of Software Engineering Management*. Wokingham, England: Addison-Wesley, 1988. Gilb has charted his own course for thirty years, and most of the time he's been ahead of the pack whether the pack realizes it or not. This book is a good example. This was one of the first books to discuss evolutionary development practices, risk management, and the use of formal inspections. Gilb is keenly aware of leading-edge approaches; indeed this book published more than 15 years ago contains most of the good practices currently flying under the "Agile" banner. Gilb is incredibly pragmatic and the book is still one of the best software management books.

McConnell, Steve. *Rapid Development*, Redmond, Wa.: Microsoft Press, 1996. This book covers project leadership and project management issues from the perspective of projects that are experiencing significant schedule pressure, which in my experience is most projects.

Brooks, Frederick P., Jr. *The Mythical Man-Month: Essays on Software Engineering, Anniversary Edition (2nd Ed)*, Reading, Mass.: Addison-Wesley, 1995. This book is a hodgepodge of metaphors and folklore related to managing programming projects. It's entertaining, and it will give you many illuminating insights into your own projects. It's based on Brooks's challenges in developing

the OS/360 operating system, which gives me some reservations. It's full of advice along the lines of "We did this and it failed" and "We should have done this because it would have worked." Brooks's observations about techniques that failed are well grounded, but his claims that other techniques would have worked are too speculative. Read the book critically to separate the observations from the speculations. This warning doesn't diminish the book's basic value. It's still cited in computing literature more often than any other book, and even though it was originally published in 1975, it seems fresh today. It's hard to read it without saying "Right on!" every couple of pages.

Relevant Standards

IEEE Std 1058-1998, Standard for Software Project Management Plans.

IEEE Std 12207-1997, Information Technology—Software Life Cycle Processes.

IEEE Std 1045-1992, Standard for Software Productivity Metrics.

IEEE Std 1062-1998, Recommended Practice for Software Acquisition.

IEEE Std 1540-2001, Standard for Software Life Cycle Processes—Risk Management.

IEEE Std 828-1998, Standard for Software Configuration Management Plans

IEEE Std 1490-1998, Guide—Adoption of PMI Standard—A Guide to the Project Management Body of Knowledge.

Key Points

- Good coding practices can be achieved either through enforced standards or through more light-handed approaches.
- Configuration management, when properly applied, makes programmers' jobs easier. This especially includes change control.
- Good software estimation is a significant challenge. Keys to success are using multiple approaches, tightening down your estimates as you work your way into the project, and making use of data to create the estimates.
- Measurement is a key to successful construction management. You can find ways to measure any aspect of a project that are better than not measuring it at all. Accurate measurement is a key to accurate scheduling, to quality control, and to improving your development process.

961
962

- Programmers and managers are people, and they work best when treated as such.