

# ANOSY: Approximated Knowledge Synthesis with Refinement Types for Declassification

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## Abstract

Non-interference is a popular way to enforce confidentiality of sensitive data. However, declassification of sensitive information is often needed in realistic applications but breaks non-interference. We present ANOSY, an approximate knowledge synthesizer for quantitative declassification policies. ANOSY uses refinement types to automatically construct machine checked over- and under-approximations of attacker knowledge for boolean queries on multi-integer secrets. It also provides an AnosyT monad to track the attacker knowledge over multiple declassification queries and checks for violations against user-specified policies in information flow control applications. We implement a prototype of ANOSY and show that it is precise and permissive: up to 14 declassification queries are permitted before a policy violation occurs using the powerset of intervals domain.

**Keywords:** knowledge-based privacy, program verification, program synthesis, refinement types

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## 1 Introduction

Information flow control (IFC) [34] systems protect the confidentiality of sensitive data during program execution. They

do so by enforcing a property called *non-interference* which ensures the absence of leaks of secret information (say, a user location) through public observations (say, information being sent to the network socket).

Real-world programs, however, often need to reveal information about sensitive data. For instance, a location based web application needs to suggest restaurants or friends that are nearby the Secret user location. Such computations, which leak information about the Secret location, would be prevented by IFC systems that enforce non-interference. To support them, IFC systems provide *declassification* statements [35] that can be used to weaken non-interference by allowing the selective disclosure of some Secret information.

Declassification statements, however, are typically part of an application's trusted computing base and developers are responsible for properly declassifying information. In particular, mistakes in declassification statements can easily compromise a system's security because declassified information bypasses standard IFC checks. Implementing declassification statements can be difficult for developers to implement correctly. For example, Cabañas et al. [7] showed that non-Personally Identifiable Information (PII) in an advertising system could be combined to uniquely identify and target an individual. Developers may declassify seemingly non-sensitive non-PII, but accidentally leak sensitive information about a person's identity. Instead of trusting the developer to correctly declassify information, an alternative approach is to enforce *declassification policies* [8] that regulate the use of declassification statements.

In this paper, we present ANOSY, a framework for enforcing *declassification policies* on IFC systems where policies regulate *what* information can be declassified [35] by limiting the amount of information an attacker could learn from the declassification statements. Specifically, declassification policies are expressed as constraints over *knowledge* [2], which semantically characterizes the set of secrets an attacker considers possible given the prior declassification statements. To enforce such policies, we develop (1) a novel encoding of knowledge approximations using Liquid Haskell's [44] refinement types which we use to (2) automatically synthesize correct-by-construction knowledge approximations for

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Haskell queries. We then (3) implement and (4) evaluate a knowledge tracking and policy enforcing declassification function that can easily extend existing IFC monadic systems. Next, we discuss these four contributions in detail.

**Verified knowledge approximations.** We define a novel encoding for knowledge approximations over abstract domains using Liquid Haskell (§ 4). The novelty of our encoding is that approximation data types are indexed by two predicates that respectively capture the properties of elements inside and outside of the domain. Using these indexes, we encode correctness of over- and under-approximations, without using quantification, permitting SMT-decidable verification. With this encoding, we implement and machine check Haskell approximations of two abstract domains: intervals over multi-dimensional spaces (where each dimension is abstracted using an interval) and powersets on these intervals, that increase the precision of our approximations. This verified knowledge encoding is general and can be used, beyond declassification, also as building block for dynamic [14, 41], probabilistic [15, 20, 25, 40], and quantitative policies [3, 19].

**Synthesis of knowledge approximations.** We develop a novel approach for automatically synthesizing correct-by-construction posteriors given any prior knowledge and user-specified boolean query over multi-dimensional integer secret values (§ 5). Our approach combines type-based sketching with SMT-based synthesis and it is implemented as a Haskell compiler plugin, *i.e.*, it operates at compile-time on Haskell programs. Given a user-defined query, ANOSY generates a synthesis template (a so-called sketch) where the values of the abstract domain elements are left as *holes* to be filled later with values, combined with the correctness specification encoded as refinement types. It then reduces the high-level correctness property into integer constraints on bounds of the abstract domain elements and uses an SMT solver to synthesize *optimal* correct-by-construction values. Replacing these values in the sketch, ANOSY synthesizes Haskell executable programs of the approximated knowledge and automatically checks their correctness with Liquid Haskell.

**Enforcing declassification policies.** We implement a policy-based declassification function that can be used by any monadic Haskell IFC framework (§ 2, § 3). In this setting, users write declassification policies as Haskell functions that constrain the (approximated) attacker knowledge, whereas declassification queries are written as regular Haskell functions over secret data. At compile time, ANOSY synthesizes and verifies the knowledge approximations for all declassification queries. At runtime, declassification is called in the AnosyT monad that tracks knowledge over multiple declassification queries and checks, using the synthesized knowledge approximations, whether performing the declassification would lead to violating the user-specified policy. Importantly,

AnosyT is defined as a monad transformer, thus can be staged on top of existing IFC monads like LIO [39] and STORM [21].

**Evaluation.** We evaluated precision and running time of ANOSY using two benchmarks (§ 6). First, we compared with Prob’s [25] benchmark suite to conclude that ANOSY is slower but more precise. Second, to demonstrate ANOSY enables secure declassification of sequential queries, we evaluate how many queries ANOSY allows to declassify before a policy violation. For the interval abstract domain, we found a policy violation was detected after a maximum of 7 queries and after 14 queries for the more precise powerset domain.

## 2 Overview

We start by motivating the need for declassification policies (§ 2.1): repeated downgrades can weaken non-interference until leaking the secret is allowed. Next, we present how the knowledge revealed by queries can be computed (§ 2.2). Finally (§ 2.3), we describe how ANOSY synthesizes correct-by-construction knowledge, by combining refinement types, SMT-based synthesis, and metaprogramming.

### 2.1 Motivation: Bounded Downgrades

**Secure Monads.** IFC systems, *e.g.*, LIO [39] and LWeb [28], define a *secure* monad to ensure that security policies are enforced over sensitive data, like a user’s physical location. For instance here, we define the data type UserLoc to capture the user location as its *x* and *y* coordinates.

```
data UserLoc = UserLoc {x :: Int, y :: Int}
```

A Secure monad will return such a location wrapped in a protected “box” to ensure that only code with sufficient privileges can inspect it. For example, a function that gets the user’s location will return a protected value:

```
getUserLoc :: User → Secure (Protected UserLoc)
```

In the LIO monad, for example, data are protected by a security label data type and the monad ensures, based on the application, that only the intended agents can observe (or unlabel) the user’s exact location.

**Queries.** A *query* is any boolean function over *secret* values. As an example, we consider the user location to be the secret value and the nearby function below checks proximity to this secret value from (*x<sub>org</sub>*, *y<sub>org</sub>*).

```
type S = UserLoc
```

```
nearby :: (Int, Int) → S → Bool
nearby (x_org, y_org) (UserLoc x y)
  = abs (x - x_org) + abs (y - y_org) ≤ 100
  where abs i = if i < 0 then -i else i
```

The nearby query is using Manhattan distance to check if a user is located within 100 units of the input origin location.

**Downgrades.** Even though locations protected by the Secure monad cannot be inspected by unprivileged code, in practice many applications need to allow selective leaks of secret information to unprivileged code. For instance, many web applications need to check location of users to provide useful information, such as restaurant, friend, or dating suggestions that are physically nearby the user.

The `showAdNear` function below shows a restaurant advertisement to the user only if they are nearby. To do so, the function uses `downgrade` (from the Secure monad) to `downgrade` (to public) the result of the nearby check over the protected user location.

```

downgrade  :: Protected S → (S → Bool)
           → Secure Bool

showAd      :: User → Restaurant → Secure ()
showAdNear :: User → Restaurant → Secure ()
showAdNear user res = do
  ul    ← getUserLoc user
  isNear ← downgrade ul (nearby (res_loc res))
  if isNear then showAd user res else return ()

```

Downgrades are a common feature of real-world IFC systems. For example, in LIO downgrades happen with the `unlabelTCB` trusted codebase function, which is exposed to the application developers. At the same time, downgraded information bypasses security checks by design. In the code above, `isNear` is unprotected and can now be leaked to an attacker. Therefore, declassification statements need to be correctly placed to avoid unintended leaks of information that would bypass IFC enforcement.

**Declassification knowledge.** To semantically characterize the information declassified by downgrades, we use the notion of *attacker knowledge* [2], *i.e.*, the set of secrets that are consistent with an attacker’s observations, where attackers can observe the results of downgrade. That is, we consider the worst-case scenario where any declassified information is *always* leaked to an attacker. This knowledge can be refined by consecutively running downgrade queries and ultimately can reveal the exact value of the secret. In the example below, a piece of code downgrades two queries asking if the user is located nearby to both  $(200, 200)$  and  $(400, 200)$  to infer if the exact user location is  $(300, 200)$ .

```

secret ← getUserLoc user
kn1    ← downgrade secret (nearby (200,200))
kn2    ← downgrade secret (nearby (400,200))
-- if kn1 ∧ kn2, then secret = (300,200)

```

The *posterior* is the knowledge obtained after executing a query. Consider again the code above. If `nearby (200, 200)` is true, the knowledge after the first downgrade statement is the green region of Figure 1a. Using this information as *prior* knowledge for the second downgrade query, which asks `nearby (400, 200)`, might result in a knowledge containing

only the user location  $(300, 200)$ , *i.e.*, the intersection of the green and red posterior knowledge regions.

**Quantitative Policies.** A *quantitative policy* is a predicate on knowledge which, for instance, ensures that the accumulated knowledge is not specific enough, *i.e.*, the secret cannot be revealed. As an example, `qpolicy` below states that the knowledge should contain at least 100 values.

```
qpolicy dom = size dom > 100
```

This policy will allow declassifying `nearby (200, 200)` and `nearby (300, 200)`, since the intersections of the green and blue regions in Figure 1a contain at least 100 potential locations, but not `nearby (400, 200)` since the resulting knowledge contains exactly one secret.

**Bounded Downgrade.** We define a bounded downgrade operator that allows the computation of queries on secret data, while enforcing quantitative policies. For example, the operator tracks declassification knowledge during an execution and allows downgrading the `nearby (200, 200)` and `nearby (300, 200)` queries, but terminates with an error on the sequence of `nearby (200, 200)` and `nearby (400, 200)`.

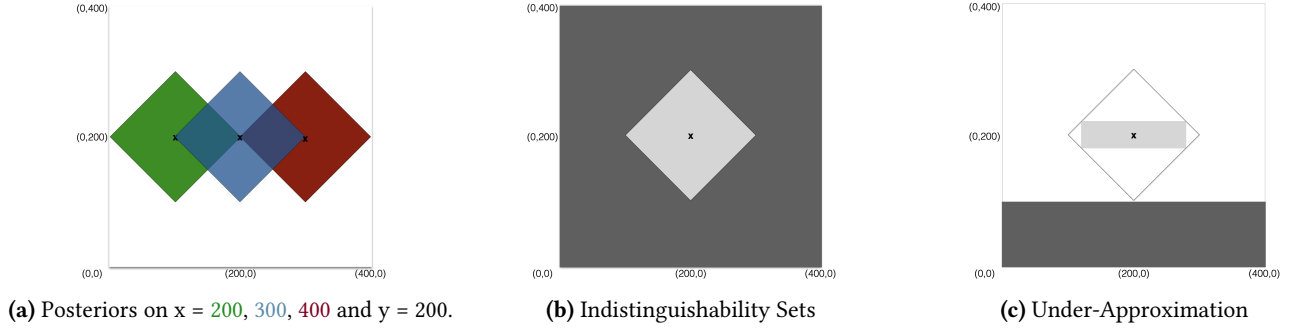
The downgrade operation is the method of the `AnosyT` monad (§ 3) which is defined as a state monad transformer. As a state monad, it preserves the protected secret, the quantitative policy, and the prior declassification knowledge. To downgrade a new query, the monad checks if the posterior knowledge of this query satisfies the policy. If not, it terminates with a policy violation error. Otherwise, it updates the knowledge to the posterior and returns the query result. Since `AnosyT` is also a monad transformer, it can be combined with existing security monads, which provide the underlying IFC enforcement mechanism, to enrich them with extra quantitative guarantees on the inevitable downgrades.

## 2.2 Approximating knowledge from queries

Precisely computing, representing, and checking quantitative policies over a (potentially infinite) knowledge requires reasoning about all points in the input space, which is an uncomputable task in general. So, we use abstract domains (here intervals [11]) to approximate knowledge.

**Indistinguishability sets.** The proximity query `nearby (200, 200)` partitions the space of secret locations into two partitions (for the two possible responses: `True` and `False`), called *indistinguishability sets* (ind. sets), *i.e.*, all secrets in each partition produce the same result for the query. Figure 1b depicts the two ind. sets for our query. The inner diamond—depicted in light gray—is the ind. set for the result `True`, *i.e.*, all its elements respond `True` to the query. In contrast, the outer region—depicted in dark gray—is the ind. set for `False`. Figure 1c depicts the under-approximated (*i.e.*, subset) ind. sets for the query as defined by `under_indset`:

```
data AInt = AInt {lower :: Int, upper :: Int}
```



**Figure 1.** Posteriors, Indistinguishability Sets and their Approximations with respect to nearby query.

```
data  $\mathcal{A} = \mathcal{A} [\mathcal{A}Int]$ 
```

```
under_indset :: ( $\mathcal{A}$ ,  $\mathcal{A}$ )
under_indset = ( $\mathcal{A} [\mathcal{A}Int\ 121\ 279, \mathcal{A}Int\ 179\ 221]$ ,
 $\mathcal{A} [\mathcal{A}Int\ 0\ 400, \mathcal{A}Int\ 0\ 99]$ )
```

The data  $\mathcal{A}Int$  abstracts integers as intervals between a lower and an upper value.  $\mathcal{A}$  is our abstract knowledge data type that is defined as a list of abstract integers, which can be used to abstract data with any number of integer fields. The `under_indset` is a tuple, where the first element corresponds to the True response and the second element to the False response. It says all secrets in  $x \in [121, 279]$  and  $y \in [179, 221]$  evaluate to True for the query and all secrets in  $x \in [0, 400]$  and  $y \in [0, 99]$  evaluate to False.

**Knowledge under-approximation.** We use ind. sets to compute the *posterior* knowledge after the query, *i.e.*, the set of secrets considered possible after observing the query result. To do so, we simply take the intersection  $\cap$  of the prior knowledge with the ind. sets associated with the query [2, 3]. If the intersection happens with the exact ind. sets, then we derive the exact posterior. For our example, we intersect with the under-approximate ind. set to produce an under-approximation of the posterior knowledge *i.e.*, an under-approximation of the information learned when observing the query result.

```
underapprox ::  $\mathcal{A} \rightarrow (\mathcal{A}, \mathcal{A})$ 
underapprox p = (p  $\cap$  trueInd, p  $\cap$  falseInd)
where (trueInd, falseInd) = under_indset
```

The intersection  $\cap$  refers to the set-theoretic intersection of two domains. We formally define these operations in § 4.

### 2.3 Verification and Correct-by-Construction Synthesis of Knowledge

Our goal is to generate a knowledge approximation for each downgrade query, which as shown by our `nearby` example is a strenuous and error prone process. To automate this process ANOSY uses refinement types, metaprogramming, and SMT-based synthesis to automatically generate correct-by-construction knowledge approximations of queries in four

steps. First, for each query ANOSY generates a refinement type specification that denotes knowledge approximation. Next, it uses metaprogramming to generate a partial program, called a sketch, *i.e.*, a function definition with holes (to be eventually substituted with terms) that computes the knowledge. Then, it uses an SMT solver to fill in the integer value holes in the sketch. Finally, it delegates to Liquid Haskell’s refinement type checker to verify that our synthesized knowledge indeed satisfies its specification.

Here, we explain a simplified version of these steps for our `nearby (200, 200)` example query.

**Step I: Refinement Type Specifications.** ANOSY uses abstract refinement types to index abstract domains with a predicate that all its elements should satisfy (§ 4). For example,  $\mathcal{A} \langle \{ \lambda l \rightarrow 0 < l \} \rangle$  denotes the abstract domain whose elements are positive values. Using this abstraction, ANOSY specifies the ind. set and knowledge approximations:

```
under_indset :: ( $\mathcal{A} \langle \{ \lambda l \rightarrow \text{nearby } l \} \rangle$ ,
 $\mathcal{A} \langle \{ \lambda l \rightarrow \neg \text{nearby } l \} \rangle$ )
```

```
underapprox :: p:  $\mathcal{A}$ 
 $\rightarrow (\mathcal{A} \langle \{ \lambda x \rightarrow \text{nearby } x \wedge (x \in p) \} \rangle$ ,
 $\mathcal{A} \langle \{ \lambda x \rightarrow \neg \text{nearby } x \wedge (x \in p) \} \rangle$ )
```

`under_indset` returns a tuple of abstract domains. The first abstract domain can only contain elements that satisfy the query and the second that falsify it. The function `underapprox` computes the posterior given some prior knowledge  $p$ . The posterior is further refined to contain only elements that originally existed in the prior knowledge.

**Step II: Sketch Generation.** Using syntax directed metaprogramming ANOSY defines `underapprox` as in § 2.2 to be the intersection of the ind. set and the prior knowledge. For the definition of the ind. set it relies on the secret type to be translated to generate a sketch with integer value holes. Since `UserLoc` contains two integer fields, the sketch [38] for `under_indset` is the following, where all `l` and `u` are holes:

```
under_indset = ( $\mathcal{A} [\mathcal{A}Int\ l_{t1}\ u_{t1}, \mathcal{A}Int\ l_{t2}\ u_{t2}]$ ,
 $\mathcal{A} [\mathcal{A}Int\ l_{f1}\ u_{f1}, \mathcal{A}Int\ l_{f2}\ u_{f2}]$ )
```



**Step III: SMT-Based Synthesis.** Finally, it combines the refinement type with the program sketch to generate, using an SMT solver, solutions for the integer holes (§ 5). By combining values from the above sketch for `under_indset` with its refinement type, the below constraints are generated:

$$\begin{aligned} \forall x, y. l_{t1} \leq x \leq u_{t1} \wedge l_{t2} \leq y \leq u_{t2} &\implies \text{nearby}(x, y) \\ &\text{(Under-approx, True)} \\ \forall x, y. l_{f1} \leq x \leq u_{f1} \wedge l_{f2} \leq y \leq u_{f2} &\implies \neg \text{nearby}(x, y) \\ &\text{(Under-approx, False)} \end{aligned}$$

The first constraint indicates all points in the domain should satisfy the `nearby` function, whereas the second constraint means the all points inside the domain should not satisfy the `nearby` function. The definition of `nearby (200, 200)` and the `abs` function is mechanically translated to logic as follows:

$$\begin{aligned} \text{query}(x, y) &= \text{abs}(x - 200) + \text{abs}(y - 200) \leq 100 \\ \text{abs}(i) &= \text{if } i < 0 \text{ then } -i \text{ else } i \end{aligned}$$

These constraints have multiple correct solutions, but, for precision, ANOSY prefers the tightest bounds. Specifically, when under-approximating, it aims for the maximal domain that satisfies the above two constraints. ANOSY uses Z3 [5] as the SMT solver of choice because it supports optimization directives for maximizing  $u_1 - l_1$  and  $u_2 - l_2$  together, for both the true and false cases. Finally, it uses the SMT synthesized solutions to fill in the holes and derive complete programs, like the `under_indset` of § 2.2.

**Step IV: Knowledge Verification.** ANOSY uses Liquid-Haskell to verify the synthesized result. To achieve this step, we implemented (§ 4) verified abstract domains for intervals and their powersets that, as shown in our evaluation § 6, greatly increase the precision of the abstractions. These implementations are independent of the synthesis step and can be used to verify manually user-written, knowledge approximations as well.

### 3 Bounded Downgrade

Here we present the bounded downgrade operation, first by an example that showcases how downgrades that violate the quantitative declassification policy are rejected, next by providing its exact implementation, and finally by showing correctness of policy enforcement.

**Bounded Downgrade by Example.** The bounded downgrade function checks, before running a downgrade query using the underlying Secure monad, that the approximation of the revealed knowledge satisfies the quantitative policy. To do so, it preserves a state that maps each secret that has been involved in downgrading operations to its current knowledge. As an example, below we present how the knowledge is updated to prevent the example from § 2.1.

```
secret ← lift (getUserLoc user)
```

```
-- secret = Protected (UserLoc 300 200)
-- secrets = []
r1 ← downgrade secret "nearby (200, 200)"
-- secrets = [(secret, post1 = {121...279, 179...221})], |post1| = 6837
r2 ← downgrade secret "nearby (300, 200)"
-- secrets = [(secret, post2 = {221...279, 179...221})], |post2| = 2537
r3 ← downgrade secret "nearby (400, 200)"
-- secrets = [(secret, post3 = {0, 179 ... 221})], |post3| = 0
-- Policy Violation Error
```

The user location is taken by lifting the `getUserLoc` function of the underlying monad (any computation of the underlying monad can be lifted). Assume that the user is located at `(300, 200)`. Originally, there is no prior knowledge for this secret (and protected) location, i.e., the `secrets` map associating secrets to knowledge approximations is empty. After downgrading the `nearby (200, 200)` query (which as we will explain next, is passed to `downgrade` as a string) we get the posterior `post1` with size 6837. Since this size is greater than 100, the `qpolicy` (defined in § 2.1) is satisfied and the result of the query (here `true`) is returned by the bounded `downgrade`. Similarly, `downgrade` of the `nearby (300, 200)` query refines the posterior to size 2537. But, when downgrading the `nearby (400, 200)` query the posterior size becomes zero, thus our system will refuse to perform the query (and downgrading its result) and return a policy violation error, instead of risking the leak of the secret.

**Definition of Bounded Downgrade.** Figure 2 presents the definition of the bounded downgrade function. It takes as input a protected secret, which should be able to get unprotected by an instance of the `Unprotectable` class, a string that uniquely determines the query to be executed, and returns a boolean value in the `AnosyT` state monad transformer [23]. As discussed in § 2.1, we used a transformer to stage our `downgrade` on top of an existing secure monad.

The state of `Anosy AState` contains the quantitative policy, the map `secrets` of secret values to their current knowledge, and the map `queries` that maps strings that represent queries to query information `QInfo` that, in turn, contain both the query itself and an under-approximation function (like the synthesized `underapprox`) that given the prior knowledge approximates the posterior, after the query is executed. Even though tracking of multiple secrets is permitted, we require all the secrets and abstractions to have the same type; this limitation can be lifted using heterogeneous collections [18].

Having access to this state, `downgrade` will throw an error if it cannot find the query information of the string input, since it has no way to generate the posterior knowledge<sup>1</sup>. Then, it will compute the posterior and throw an error if it violates the quantitative policy. Otherwise, it will update the posterior of the secret and return the result of the query.

<sup>1</sup>On-the-fly synthesis albeit possible would be very expensive.

```

type AnosyT a s m = StateT (AState a s) m

data AState a s = AState {
  policy   :: a → Bool,
  secrets  :: Map s a,
  queries  :: Map String (QInfo a s)}

data QInfo a s = QInfo {
  query   :: s → Bool,
  approx  :: p → a
    → (a <{\x → query x ∧ (x ∈ p)}>,
       a <{\x → ¬ query x ∧ (x ∈ p)}>)}

class Unprotectable p where
  unprotect :: p t → t

downgrade :: (Monad m, Unprotectable protected,
              AbstractDomain a s) -- Defined in § 4.1
  ⇒ protected s
  → String -- (s → Bool)
  → AnosyT a s m Bool

downgrade secret' qName = do
  st ← get
  let qinfo = lookup qName (queries st)
  if isJust qinfo then do
    let secret = unprotect secret'
    let prior = fromMaybe T
      $ lookup secret (secrets st)
    let (QInfo query approx) = fromJust qinfo
    let (postT, postF) = approx prior
    if policy st postT ∧ policy st postF then do
      let response = query secret
      let posterior = if response then postT
        else postF
      modify $ \st → st {secrets =
        insert secret posterior (secrets st)}
      return $ response
    else throwError "Policy Violation"
  else throwError ("Can't downgrade " ++ qName)

```

**Figure 2.** Implementation of bounded downgrade.

Note that detection of violations of the quantitative policy is independent of the actual secret value.

**Correctness: Policy Enforcement.** Suppose a secret  $s$  that has been downgraded  $n$  times by the queries  $query_1, \dots, query_n$ . After each downgrade, the knowledge is refined. So, starting from the top knowledge ( $\mathcal{K}_0 \doteq \top$ ), after  $n$  queries, the knowledge evolves as follows:  $\mathcal{K}_0 \subseteq \mathcal{K}_1 \subseteq \dots \subseteq \mathcal{K}_i \subseteq \dots \subseteq \mathcal{K}_n$ , where  $\mathcal{K}_i = \mathcal{K}_{i-1} \cap \{x \mid query_i x = query_i s\}$ .

We can show that for each  $i$ -th downgrade of the secret  $s$ , there exists a posterior  $\mathcal{P}_i$  so that  $(s, \mathcal{P}_i)$  is in the secrets

map and also  $\mathcal{P}_i$  is an under-approximation of the knowledge  $\mathcal{K}_i$ , that is  $\mathcal{P}_i \subseteq \mathcal{K}_i$ . The proof goes by induction on  $i$ , assuming that the attacker and the downgrade implementation start from the same  $\top$  knowledge, and the inductive step relies on the specification of the approx function and the way downgrades modifies secrets, *i.e.*, using postT or postF depending on the response of the query.

Thus if our quantitative policy enforces a lower bound on the size of the leaked knowledge, (*e.g.*,  $qpolicy\ dom = size\ dom > k$ ) it is correctly enforced by downgrade: since  $\mathcal{P}_i \subseteq \mathcal{K}_i$ , then  $qpolicy\ \mathcal{P}_i$  implies  $qpolicy\ \mathcal{K}_i$  at each stage of the execution. Note that for correctness of policy enforcement, the policy should be an increasing function in the size of the input for underapproximations. The exact definition of such a policy domain specific language is left as a future work. Further, even though our implementation can trace knowledge overapproximations, we have not yet studied applications or policy enforcement for this case. Last but not least, it is important that the policy is checked irrespective of the query result, *i.e.*, on both postT and postF, to prevent potential leaks due to the security decision.

**Security Guarantees.** ANOSY enforces declassification policies that limit the amount of information an attacker can learn from declassification statements. For this, ANOSY directly checks that downgrades are bounded (§3) and it relies on the underlying security monad to ensure that the adversary's knowledge remains constant, *i.e.*, there are no leaks, between two downgrades. As a result, the underlying security monad needs to enforce termination-sensitive non-interference. Alternatively, one can use a monad enforcing termination-insensitive non-interference, such as LIO [39], and additionally prove termination, *e.g.*, using Liquid Haskell's termination checker.

## 4 Refinement Types Encoding

We saw that our bounded downgrade function is correct, if each query is coupled with a function approx that correctly computes the underapproximation of posterior knowledge. Here, we show how refinement types can specify correctness of approx, in a way that permits decidable refinement type checking. First (§ 4.1), we define the interface of abstract domains as a refined type class that in § 4.2 we use to specify the abstractions of ind. sets and knowledge. Next, we present two concrete instances of our abstract domains: intervals (§ 4.3) and powersets of intervals (§ 4.4).

### 4.1 Abstract Domains

Figure 3 shows the `AbstractDomain a s` refined type class interface stating that  $a$  can abstract, *i.e.*, represent a set of values of  $s$ . For example, an instance `instance AbstractDomain AI UserLoc` states that the data type `AI` (that we will define in § 4.3) abstracts `UserLoc` (of § 2.1). The interface contains

```

class AbstractDomain a s where
  ⊤    :: a <{\_ → True , \_ → False}>
  ⊥    :: a <{\_ → False, \_ → True }>
  ∈    :: s → a → Bool
  ⊆    :: a → a → Bool
  ∩    :: d1:a <p1, n1> → d2:a <p2, n2>
        → {d3:a <p1∧p2, n1∨n2> | d1 ⊆ d3 ∧ d2 ⊆ d3}
  size :: a → {i:Int | 0 ≤ i}
  -- class laws
  sizeLaw  :: d1:a → {d2:a | d1 ⊆ d2}
             → {size d1 ≤ size d2}
  subsetLaw :: c:s → d1:a → {d2:a | d1 ⊆ d2}
             → {c ∈ d1 ⇒ c ∈ d2}

```

Figure 3. Abstract Domain Type Class

method definitions and class laws, and when required the abstract domain is indexed by abstract refinements.

**Class Methods.** The class contains six, standard, set-theoretic methods. Top ( $\top$ ) and bottom ( $\perp$ ), respectively represent the full and empty domains. Member  $c \in d$  tests if the concrete value  $c$  is included in the abstract domain  $d$ . Subset  $d_1 \subseteq d_2$  tests if the abstract domain  $d_1$  is fully included in the abstract domain  $d_2$ . Intersect  $d_1 \cap d_2$  computes an abstract domain that includes all the concrete values that are included in both its input domains. Finally, `size d` computes the number of concrete values represented by an abstract domain.

**Class Laws.** We use refinement types to specify two class laws that should be satisfied by the  $\subseteq$  and `size` methods. `sizeLaw` states that if  $d_1$  is a subset of  $d_2$ , then the size of  $d_1$  should be less than or equal to the size of  $d_2$ . `subsetLaw` states that if  $d_1$  is a subset of  $d_2$ , then any concrete value in  $d_1$  is also in  $d_2$ . These methods have no computational meaning (i.e., they return unit) but should be instantiated by proof terms that satisfy the denoted laws. Even though we could have expressed more set-theoretic properties as laws, these two were the ones required to verify our applications.

**Abstract Indexes.** In the types of top, bottom, and intersection, the type  $a$  is indexed by two predicates  $p$  and  $n$  (both of type  $s \rightarrow \text{Bool}$ ). The positive predicate  $p$  describes properties of concrete values that are members of the abstract domain. Dually, the negative predicate  $n$  describes properties of the values that do not belong to the abstract domain. Intuitively, the meaning of these predicates is the following:

$$a \langle p, n \rangle \sim \{d:a \mid \forall x. x \in d \Rightarrow p \ x \wedge \forall x. x \notin d \Rightarrow n \ x\}$$

Yet, the right-hand side definition is using quantifiers which lead to undecidable verification. Instead, we used abstract refinements [43] and the left-hand side encoding, to ensure decidable verification.

The specification of the full domain  $\top$  states that the positive predicate is `True`, i.e., all elements belong to the domain,

```

query :: s → Bool

under_indset :: (a <{\x → query x, true}>,
                a <{\x → ¬ query x, true}>)
over_indset  :: (a <{true, \x → ¬ query x}>,
                a <{true, \x → query x}>)

underapprox :: p:a →
  (a <{\x → query x ∧ (x ∈ p), true}>,
   a <{\x → ¬ query x ∧ (x ∈ p), true}>)
underapprox p = (dT ∩ p, dF ∩ p)
  where (dT, dF) = over_indset

overapprox  :: p:a →
  (a <{true, \x → ¬ query x ∨ (x ∉ p)}>,
   a <{true, \x → query x ∨ (x ∉ p)}>)
overapprox p = (dT ∩ p, dF ∩ p)
  where (dT, dF) = over_indset

```

Figure 4. Specifications of Approximations for concrete  $a$  and  $s$  that instantiate `AbstractDomain`.

and the negative `False`, i.e., no elements are outside of the domain. Similarly, the empty domain  $\perp$  has a `False` positive predicate, i.e., no elements are in the domain, and `True` negative predicate, i.e., all elements can be outside the domain. Finally, the type signature for intersect  $d_1 \cap d_2$  returns a domain  $d_3$  whose positive predicate indicates it includes elements included in  $d_1$  and  $d_2$  i.e.,  $p_1 \wedge p_2$ . The negative predicate indicates points excluded from  $d_3$  are points excluded from either  $d_1$  or  $d_2$ , i.e.,  $n_1 \vee n_2$ . The refinement on  $d_3$  ensure that  $d_3$  is a subset  $\subseteq$  of both  $d_1$  and  $d_2$ . For abstract types in which these two predicates are omitted, the  $\backslash\_ \rightarrow \text{True}$  predicate is assumed, which we will from now on abbreviate as `true` and imposes no verification constraints.

## 4.2 Approximations of ind. sets and knowledge

In Figure 4, we use the positive and negative abstract indexes to encode the specifications of over- and under-approximations for ind. sets and knowledge. We assume concrete types for  $a$  and  $s$  with an `instance AbstractDomain a s` and a query on the secret. (In the previous sections for simplicity, we omitted the negative predicates and overapproximations.)

**Approximations of ind. sets.** A query's ind. sets is a tuple whose first element is an abstract domain that represents secrets that satisfying the query and the second element is an abstract domain that represents secrets that falsify the query.

The specification of the ind. sets `under_indset` says the first domain only includes secrets for which the query is `True` and the second domain only includes secrets for which the query is `False` (the positive predicates). The negative predicates do not impose any constraints on the elements that do not belong to the domain. This means the domains

can exclude any number of secrets, as long as the secrets that are included are correct, *i.e.*, it is an under-approximation.

Dually, the over-approximation `over_indset` sets the negative predicate to exclude all points for which the query evaluates to `False` for the domain corresponding to the `True` response and the second domain (corresponding to the `False` response) excludes all points for which the query evaluates to `False`. The positive predicates are just `true`. The domains can include any number of secrets as long as they are not leaving out any secrets that are correct, *i.e.*, it is an over-approximation.

**Approximations of knowledge.** By combining the prior knowledge of the attacker with the `ind.` set for the query, we derive an approximation of the attacker’s knowledge after they observe the query. Figure 4 shows the specifications for the knowledge under-approximation `underapprox` and the over-approximation `overapprox`. `underapprox` is similar to the type of `under_indset`, except the positive predicate is strengthened to express that all the elements of the domain should also belong to the prior knowledge `p`. Similarly, `overapprox` specifies that the elements that do not belong in the posterior knowledge, should neither be in the prior nor the `ind.` set. Each approximation is implemented by a pair-wise intersection with the respective `ind.` sets and can be verified thanks to the precise type we gave to `intersection`.

**Precision.** The refinement types ensure our definitions are correct, but they do not reason about the precision of the abstract domains. For example, the bottom and top domains are vacuously correct solutions for under- and over-approximations, respectively. But, these domains are of little use as `ind.` sets, since they ignore all the query information. It is unclear if precision can be encoded using refinement types. Instead, we empirically evaluate precision in § 6.

### 4.3 The Interval Abstract Domain

Next we define  $\mathcal{A}_I$ , the interval abstract domain that can abstract any secret type  $\mathcal{S}$ , constructed as a product of integers (like the `UserLoc` of § 2) or types that can be encoded to integers (*e.g.*, booleans or enums).  $\mathcal{A}_I$  is defined as follows:

```
-- S = Int × Int × ...
data AInt = AInt {lower :: Int, upper :: Int}
type Proof p x = {v:S<p> | v = x }

data AI <p::S → Bool, n::S → Bool>
= AI { dom :: [AInt]
      , pos :: x:{S | x ∈ dom } → Proof p x
      , neg :: x:{S | x ∉ dom } → Proof n x }
| TI { pos :: x:S → Proof p x }
| LI { neg :: x:S → Proof n x }
```

$\mathcal{A}_I$  has three constructors.  $\top_I$  and  $\perp_I$  respectively denote the complete and empty domains.  $\mathcal{A}_I$  represents the domain of any  $n$ -dimensional intervals, where  $n$  is the length of `dom`.

An interval  $\mathcal{A}Int$  represents integers between lower and upper. For a secret  $s = s_1 \times s_2 \times \dots \times s_n$ , an  $\mathcal{A}_I$  represents each  $s_i$  by the  $i$ th element of its `dom` ( $s_i \in (\text{dom}!i)$ ) in the  $n$  dimensional space. For example, `domEx = [(AInt 188 212), (AInt 112 288)]` is the rectangle of  $x \in [188, 212]$  and  $y \in [112, 288]$  in the two dimensional space of `UserLoc`.

**Proof Terms.** The `pos` and `neg` components in the  $\mathcal{A}_I$  definition are proof terms that give meaning to the positive `p` and negative `n` abstract refinements. The complete domain  $\top_I$  contains the proof field `pos` that states that every secret  $s$  should satisfy the positive predicate `p` (*i.e.*,  $x: S \rightarrow \text{Proof } p \ x$ ) and the empty domain contains only the proof `neg` for the negative predicate `n`. Due to syntactic restrictions that abstract refinements can only be attached to a type for SMT-decidable verification [43], the proof terms are encoded as functions that return the secret, while providing evidence that the respective predicates are inhabited by possible secrets. In  $\mathcal{A}_I$  this is encoded by setting preconditions to the proof terms: the type of the `pos` field states that each  $s$  that belongs to `dom` should satisfy `p`, while the `neg` field states that each  $x$  that does not belong to `dom` should satisfy `n`.

When an  $\mathcal{A}_I$  is constructed via its data constructors, the proof terms should be instantiated by explicit proof functions. For example, below we show that the `domEx` (described above) only represents elements that are nearby `(200, 200)`.

```
example :: AI <\s → nearby (200,200) s, true>
example = AI domEx exPos (\x → x)

exPos :: s:{UserLoc | s ∈ domEx }
      → {o:UserLoc | nearby (200,200) s ∧ o = s}
exPos (UserLoc x y) = UserLoc x y
```

The proof term `exPos` is an identity function refined to satisfy the `pos` specification. Once the type signature of `exPos` is explicitly written, Liquid Haskell is able to automatically verify it. Automatic verification worked for all non-recursive queries, but for more sophisticated properties (*e.g.*, in the definition of the `intersection` function) we used Liquid Haskell’s theorem proving facilities [42] to establish the proof terms. Importantly, when  $\mathcal{A}_I$  is used opaquely (like in `approx` in Figure 4), the proof terms are automatically verified.

**AbstractDomain Instance.** We implemented the methods of the `AbstractDomain` class for the  $\mathcal{A}_I$  data type as interval arithmetic functions lifted to  $n$ -dimensions. `∈` checks if any secret is between lower and upper for every dimension. `⊆` checks if the intervals representing the first argument is included in the intervals representing the second argument. `∩` computes a new list of intervals to represent the abstract domain, that includes only the common concrete values of the arguments. `Size` just computes the number of secrets in the domain, which can be interpreted as the domain’s volume. Our implementation consists of 360 lines of (Liquid) Haskell code, the vast majority of which constitutes explicit



proof terms for pos and neg fields and the class law methods. By design,  $\mathcal{A}_I$  uses a list to abstract secrets that are sums of any number of elements, thus this class instance can be reused by an ANOSY user to abstract various secret types.

#### 4.4 The Powersets of Intervals Abstract Domain

To address the internal imprecision of the interval abstract domains, we follow the technique of [4, 31] and define the powerset abstract domain  $\mathcal{A}_{\mathbb{P}}$ , i.e., a set of interval domains. Similar to intervals, the powerset  $\mathcal{A}_{\mathbb{P}}$  is also parameterized with the positive and negative predicates:

```
data  $\mathcal{A}_{\mathbb{P}}$  <p::S → Bool, n::S → Bool> =  $\mathcal{A}_{\mathbb{P}}$  {
  domi :: [ $\mathcal{A}_I$ ] , domo :: [ $\mathcal{A}_I$ ]
  , pos :: x::S | x ∈ domi ∧ x ∉ domo → Proof p x
  , neg :: x::S | x ∉ domi ∨ x ∈ domo → Proof n x }
```

$\mathcal{A}_{\mathbb{P}}$  contains four fields. dom<sub>i</sub> is the set (represented as a list) of intervals that are contained *in* the powerset. dom<sub>o</sub> is the set of intervals that are *excluded* from the powerset. This representation backed by two lists gives flexibility to define powersets by writing regions that should be included and excluded, without sacrificing generality or correctness (as guaranteed by our proofs). Moreover, this encoding of the powerset makes our synthesis algorithm simpler (§ 5). The proof terms provide the boolean predicates that give semantics to the secrets contained in the powerset, similar to the interval abstract domain (§ 4.3). We do not need a separate top  $\top$  and bottom  $\perp$  for  $\mathcal{A}_{\mathbb{P}}$  as they can be represented using  $\top_I$  or  $\perp_I$  in the pos list.

**AbstractDomain Instance.** We implemented the methods of the AbstractDomain class for the powerset abstraction in 171 lines of code. A concrete value belongs to ( $\in$ ) the powerset  $\mathcal{A}_{\mathbb{P}}$  if it belongs to any individual interval of the dom<sub>i</sub> list but not to any individual interval of the dom<sub>o</sub> list. The subset  $d_1 \subseteq d_2$  operation checks if each individual interval in the inclusion list dom<sub>i</sub> of  $d_1$  is a subset of at least one interval in the inclusion list dom<sub>i</sub> of  $d_2$  and also that none of the individual intervals in the exclusion list dom<sub>o</sub> of  $d_1$  is a subset of any interval in dom<sub>o</sub> of  $d_2$ . This operation returns True if the first powerset is a subset of the second, but if it returns False it may or may not be powerset. We have not found this to be limiting in practice, as this criteria is sufficient for verification. We plan to improve the accuracy via better algorithms in future work. Intersection  $d_1 \cap d_2$  produces a new powerset, whose inclusion list is made of pairwise intersecting intervals from dom<sub>i</sub> of  $d_1$  and dom<sub>i</sub> of  $d_2$  and the exclusion interval list is simply the union of all intervals in the individual exclusion lists dom<sub>o</sub> of  $d_1$  and dom<sub>o</sub> of  $d_2$ . Size is the sum of the size of all intervals in the inclusion list minus the size of all intervals in the exclusion list.

## 5 Synthesis of Optimal Domains

We use synthesis in ANOSY to automatically generate ind. sets that satisfy the correctness types of Figure 4 for each downgrade query. Our synthesis technique proceeds in three steps: first, ANOSY extracts the sketch of the posterior computation (§ 5.2). Second, it translates this to SMT constraints with relevant optimization directives to synthesize the abstract domains (§ 5.3). Finally, the SMT synthesis is iterated to allow synthesis of powersets of any size (§ 5.4). To efficiently perform these synthesis steps using SMT, we used a very restrictive form of the query language (§ 5.1).

### 5.1 The query language

The queries analyzed by ANOSY are Haskell functions that take one input, of the secret type, and return a boolean: query :: s → Bool (as per Figure 4).

For algorithm and efficient synthesis and verification, all the queries we tried are restricted to linear arithmetic, booleans, and data types that have a direct, syntactic translation to SMT functions restricted to decidable logic fragments. Concretely, the queries can call other functions that belong to the same fragment, but recursive definitions of queries are rejected by ANOSY.

**Supporting other query classes.** The query language can be easily extended to support non-boolean queries with finitely many outputs. This can be done by computing one ind. set per possible output. Further, our secrets currently and for simplicity are restricted to integer products, but they can be easily extended to other domains with decidable decision procedures (e.g., datatypes). Extensions to undecidable secret types (e.g., floating points, strings) has unclear implications and is deferred to future work.

### 5.2 Synthesis Sketch

We use syntax-directed synthesis by starting with a sketch [38], i.e., a partial program, for the ind. sets based on their type specifications in Figure 4. For example, the sketch for the under-approximate ind. sets would be:

```
under_indset = ( $\square$ :: $\mathcal{A}$  <\x → query x, true>,
   $\square$ :: $\mathcal{A}$  <\x → ¬ query x, true>)
```

Following the structure of the type we simply introduce *typed* holes of the form  $\square :: \tau$  for each abstract domain, which for this case is (refined)  $\mathcal{A}$ .

### 5.3 SYNTH: SMT-based Synthesis of Intervals

We define the procedure SYNTH that given a typed hole of an abstract domain, the number of fields in the secret  $n$ , and the kind of approximation (over or under), it returns a solution, i.e., an abstract domain that satisfies the hole's type. As an example, consider the below solution to first typed hole of under\_indset. All  $l$  and  $u$  are symbolic integers.

```
 $\square :: \mathcal{A}_I$  <\x → query x, \_ → True>
```

$\square = \mathcal{A}_I \text{ dom pos neg}$   
 $\text{dom} = [\mathcal{A}\text{Int } l_1 \ u_1, \dots, \mathcal{A}\text{Int } l_n \ u_n]$

The above solution is using the  $\mathcal{A}_I$  applied to the domain list  $\text{dom}$  and the  $\text{pos}$  and  $\text{neg}$  proof terms. The proof terms for our (non recursive) queries follow concrete patterns (as the example of § 4.3) and are generated from syntactic templates. The  $\text{dom}$  is a list of ranges  $\mathcal{A}\text{Int}$  that contains symbolic integers as lower ( $l_i$ ) and upper ( $u_i$ ) bounds, while the length  $n$  of the list is the number of fields of the secret data type.

To find concrete values for the symbolic integers  $l_i$  and  $u_i$ ,  $\text{SYNTH}$  mechanically generates SMT implications based on the type indexes. Since the positive index states that all elements  $x$  on the domain should satisfy query  $x$  and the negative index states that all elements outside of the domain should satisfy  $\text{True}$ , the following SMT constraint is mechanically generated:

$$\forall x. (x \in \text{dom} \Rightarrow \text{query } x) \wedge (x \notin \text{dom} \Rightarrow \text{True})$$

Such constraints (see § 2.3 for a concrete example) are sent to the SMT, by a direct, syntactic translation of the Haskell instance method  $\in$  and the query definitions into Z3 functions (§ 5.1).

Solving such constraints gives us a value for  $\text{dom}$  if a solution exists. In practice, however, such solutions are often just a point, *i.e.*, the abstract domain contains only one secret. Although this is a correct solution, it is not precise. To increase precision we add optimization directives to constraints depending on the type of our approximation. That is, for  $i \in \{1 \dots n\}$  we add  $\text{maximize } u_i - l_i$  or  $\text{minimize } u_i - l_i$  for under-approximations and over-approximations respectively. These optimization constraints are handed to an SMT solver that supports optimization directives [5] and the produced model is an intended solution for  $\text{dom}$ . We used the Pareto optimizer of Z3 [5], such that no single optimization objective dominates the solution. For example, if two domains of sizes  $400 \times 1$  and  $20 \times 20$  are valid solutions, ANOSY will prefer the latter.

#### 5.4 ITERSYNTH: Iterative Synthesis of PowerSets

PowerSet abstract domains (§ 4.4) are synthesized by Algorithm 1 that iteratively increments the powersets with individual intervals to avoid scalability problems faced by Z3 when optimizing multiple intervals at once.

The algorithm takes as arguments the number of intervals  $k$  to be included in the powerset, the number of fields in the secret  $n$ , the refinement type of the powerset domain  $\tau$ , and the kind of approximation  $\text{apx}$  (under or over). It first runs  $\text{SYNTH}$  (§ 5.3) to generate the first interval, with the top level type properly propagated to the hole. If this is for an under-approximation, more such intervals can be added to the powerset to boost the precision. Conversely, if the first synthesized interval is an over-approximation, then more intervals can be eliminated from the powerset to return a

---

#### Algorithm 1 Iterative Synthesis of Powersets

---

```

1: procedure ITERSYNTH( $k, n, \tau, \text{apx}$ )
2:    $\text{dom\_i} \leftarrow [\text{SYNTH } (\mathcal{A}_{\mathbb{P}} \square) [] \_ ]::\tau \ n \ \text{apx}$ 
3:    $\text{dom\_o} \leftarrow []$ 
4:   for  $i = 2$  to  $k$  do
5:     if  $\text{apx} == \text{under}$  then
6:        $\text{dom\_t} \leftarrow \text{SYNTH } (\mathcal{A}_{\mathbb{P}} (\text{dom\_i} ++ \square) \text{dom\_o } \_ )::\tau \ n \ \text{apx}$ 
7:        $\text{dom\_i} \leftarrow \text{dom\_i} ++ [\text{dom\_t}]$ 
8:     else
9:        $\text{dom\_t} \leftarrow \text{SYNTH } (\mathcal{A}_{\mathbb{P}} \text{dom\_i} (\text{dom\_o} ++ \square) \_ )::\tau \ n \ \text{apx}$ 
10:       $\text{dom\_o} \leftarrow \text{dom\_o} ++ [\text{dom\_t}]$ 
11:     end if
12:   end for
13:   return  $(\mathcal{A}_{\mathbb{P}} \text{dom\_i} \text{dom\_o } \_ )$ 
14: end procedure

```

---

more precise over-approximation. At each iteration, the algorithm creates a new placeholder interval  $\square$  and  $\text{SYNTH}$  solves it, incrementally building up the inclusion list  $\text{dom\_i}$ , or the exclusion list  $\text{dom\_o}$ . Finally, the powerset is returned after  $k$  iterations. This is ANOSY's general synthesis algorithm since for  $k = 1$  the returned powerset has a single interval.

As a final step, the returned powerset is lifted to the Haskell source and substituted in the sketch in § 5.2, which as a sanity check is validated by Liquid Haskell.

**Discussion.** Traditional abstract interpretation based techniques will refine the domains, as the query is evaluated with small step semantics, leading to imprecision at each step. In contrast, ANOSY is more precise (as we show in § 6), because the final abstract domain is synthesized in the final step after accumulating constraints. However, Z3 does not give precise solutions when there are too many maximize/minimize directives (more than 6 in our experience) and it does not handle non-linear objectives well. We leave exploration of better optimization algorithms to future work.

## 6 Evaluation

We empirically evaluated ANOSY's performance using two case studies. In the first one (§ 6.1), we analyze efficiency and precision of ANOSY when verifying and synthesizing ind. sets using a set of micro-benchmarks from prior work. In the second one (§ 6.2), we use the ANOSY monad to construct an application that performs multiple queries (similar to those of § 2) while enforcing a security policy on the attacker's knowledge. With this case study, we evaluate how losses of precision introduced by ANOSY's abstract domains affect the ability of answering multiple queries.

**Experimental setup.** ANOSY is a GHC plugin built against GHC 8.10.1. All refinement types were verified with Liquid-Haskell 0.8.10. Z3 4.8.10 was used to synthesize the bounds of the abstract domains. All experiments were performed on a Macbook Pro 2017 with 2.3 GHz Intel Core i5 and 8GB RAM.

**Table 1.** Number of fields in the secret, and size of the precise ind. sets  $x/y$  for our benchmarks, where  $x$  and  $y$  denotes the number of secrets that evaluate to True and False, respectively.

#	Name	No. of fields	Size of ind. sets
B1	Birthday	2	259 / 13246
B2	Ship	3	1.01e+06 / 2.43e+07
B3	Photo	3	4 / 884
B4	Pizza	4	1.37e+10 / 2.81e+13
B5	Travel	4	2160 / 6.72e+06

### 6.1 Verification & Synthesis of ind. sets

In this case study, we analyze the ANOSY’s performance with respect to the verification and synthesis of ind. sets.

**Benchmark Programs.** Our benchmarks consist of 5 problems from Mardziel et al. [25], which represent a diverse set of queries (B3 and B4 come from a targeted advertisement case study from Facebook [10]). We selected these benchmarks to illustrate that ANOSY supports similar classes of queries as existing prior work and to compare performance and precision with available tools.

(B1) *Birthday* checks if a user’s birthday, the secret, is within the next 7 days of a fixed day<sup>2</sup>.

(B2) *Ship* calculates if a ship can aid an island based on the island’s location and the ship’s onboard capacity.

(B3) *Photo* checks if a user would be possibly interested in a wedding photography service by checking if they are female, engaged, and in a certain age range.

(B4) *Pizza* checks if a user might be interested in ads of a local pizza parlor, based on their birth year, the level of school attended, their address latitude and longitude (scaled by  $10^6$ ).

(B5) *Travel* tests for a user interest in travels by checking if the user speaks English, has completed a high level of education, lives in one of several countries, and is older than 21.

For each problem, we encode the query as a Haskell function with the appropriate refinement type [45] where the secret domain is represented as a Haskell datatype for which we use the same bounds as [25]. Table 1 reports the number of fields in the secret, and the size of the precise ind. sets for each benchmark as  $x/y$ , where  $x$  denotes the size of the precise ind. set for the True response from query and  $y$  is the size when the query responds False.

**Experiment.** For each benchmark, we use ANOSY to (1) synthesize the under- and over-approximated ind. sets for both results True and False and (2) verify that the synthesized approximations match the refinement types from § 4. We run each benchmark 11 times to collect synthesis and verification times. We use a 10 second timeout for each Z3 call. The goal is to evaluate the precision of the synthesized ind. set and time taken for synthesis and verification to run.

<sup>2</sup>We only use the deterministic version of the *Birthday* problem.

**Intervals.** Figure 5a reports the results of our experiments for both the under- and over-approximated ind. sets using the interval abstract domain. Specifically, the column *Size* reports the number of secrets in the approximated ind. set, the column *Verif. time* reports the time (in seconds) LiquidHaskell takes to verify the posteriors, and the column *Synth. time* reports the time (in seconds) taken for synthesizing the approximate ind. sets. The % *diff.* column lists the difference in size of the approximate ind. sets with the exact ones from Table 1. The lower the % *diff.* column value, the more precise is the synthesized ind. set, i.e., it is closer to the ground truth.

For all our benchmarks, LiquidHaskell quickly verifies the correctness of the posteriors, in less than 4 seconds on average. In some cases, like B1 and B3, ANOSY can synthesize the exact ind. set for the True result using a single interval (for both approximations). For the False set, however, the tool returns an approximated result because the precise ind. set is not representable using intervals.

In 7 out of 10 synthesis problems, ANOSY synthesizes the approximations in less than 5 seconds. The three outliers are the synthesis of under-approximations for B2 and the synthesis of both approximations for B4. B2 uses a relational query that creates a dependency between two secret fields, where the multi-objective maximization employed by Z3 runs longer. B4 uses very large bounds (in the orders of  $10^8$ ) which result in Z3 quickly finding a sub-optimal model but timing-out before finding an optimal solution.

**Powersets of intervals.** Figure 5b reports the results of our experiments using the powersets domain with 3 intervals. A higher number gives more precision for representation of the ind. set at the cost of taking more time for synthesis, due to our iterative synthesis algorithm (§ 5.4).

For under-approximations, ANOSY successfully synthesizes both exact ind. sets for B1 using powersets, even though the False set was not representable using just a single interval. For B2 and B3, the powersets significantly improve precision, i.e., we synthesize larger under-approximations.

This can be seen by comparing the % *diff.* column between Figure 5a and 5b, where the latter reports lower percentage differences from ground truth. In fact, for B3, ANOSY can almost synthesize the entire ind. set for False with powersets of size 3 and it can synthesize the exact ind. set with powersets of size 4 (not shown in Figure 5b). For B4, powersets only marginally improve precision due to SMT optimization timing out. For over-approximations, we observe a similar increase in precision, in particular in B3 and B5 where the synthesized approximations are close to the exact values. B4 slows down drastically because synthesis of each interval takes almost 10 seconds due to SMT timeouts.

**Discussion.** ANOSY synthesizes ind. sets, and a function to compute a posterior for any prior, incurring one-time cost for synthesis but making posterior computation free at runtime. In contrast, prior tools like Prob [25] need to

#	Under-approximation				Over-approximation			
	Size	% diff.	Verif. time	Synth. time	Size	% diff.	Verif. time	Synth. time
B1	259 / 9620	0 / 27	2.78 ± 0.03	1.11 ± 0.01	259 / 13505	0 / 2	2.64 ± 0.03	1.07 ± 0.01
B2	2.21e+05 / 1.01e+07	78 / 58	3.62 ± 0.02	9.26 ± 0.04	2.02e+06 / 2.54e+07	100 / 5	3.17 ± 0.02	4.00 ± 0.12
B3	4 / 664	0 / 25	3.12 ± 0.06	0.90 ± 0.07	4 / 888	0 / 0	2.83 ± 0.03	0.90 ± 0.01
B4	3.53e+04 / 1.35e+05	100 / 100	3.66 ± 0.04	20.92 ± 0.11	9.22e+12 / 2.81e+13	67200 / 0	3.29 ± 0.08	10.87 ± 0.01
B5	360 / 5.04e+06	83 / 25	3.81 ± 0.04	1.38 ± 0.04	35460 / 6.72e+06	1542 / 0	3.47 ± 0.04	0.89 ± 0.01

(a) Interval abstract domain

#	Under-approximation				Over-approximation			
	Size	% diff.	Verif. time	Synth. time	Size	% diff.	Verif. time	Synth. time
B1	259 / 13246	0 / 0	4.51 ± 0.05	1.13 ± 0.02	259 / 13505	0 / 2	4.34 ± 0.03	1.08 ± 0.01
B2	6.78e+05 / 1.62e+07	33 / 33	5.32 ± 0.09	14.34 ± 0.11	1.80e+06 / 2.54e+07	78 / 5	5.17 ± 0.02	4.89 ± 0.09
B3	4 / 880	0 / 0	5.29 ± 0.09	1.07 ± 0.03	4 / 888	0 / 0	4.99 ± 0.03	1.03 ± 0.01
B4	3.88e+05 / 4.00e+05	100 / 100	5.78 ± 0.03	54.89 ± 0.23	9.22e+12 / 2.81e+13	67200 / 0	5.48 ± 0.08	30.57 ± 0.07
B5	720 / 6.70e+06	67 / 0	6.02 ± 0.07	13.26 ± 0.09	6300 / 6.72e+06	192 / 0	5.96 ± 0.04	15.25 ± 0.03

(b) Powerset of intervals with size 3

**Figure 5.** Ind. sets synthesis and verification of posteriors. Column *Size* reports the size of the synthesized ind. sets, where  $x$  is the size of the True set and  $y$  of the False set in  $x/y$ . *% diff* shows the percentage difference of the size from precise ind. set in Table 1 (lower value is better). *Verif. time* and *Synth. time* columns report (in seconds) the median and the semi-interquartile over 11 runs.

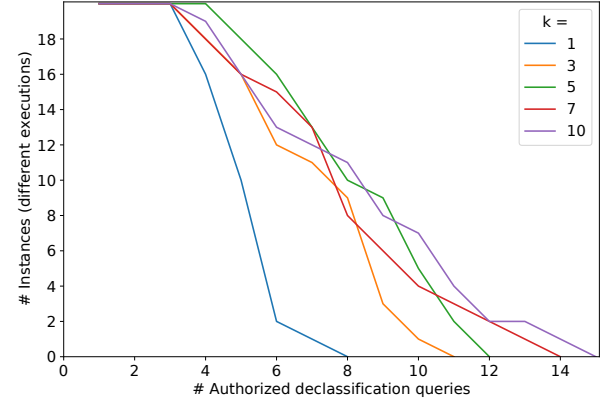
run an expensive static analysis each time when computing the posterior knowledge. While the synthesis takes 54.2x longer on average than running Prob each time, this cost is amortized over multiples runs of the program with ANOSY.

Moreover, ANOSY is more precise than Prob, as demonstrated by difference from ground truth in benchmarks like B3 (Figure 5b). A difference of 0 indicates that ANOSY synthesized an exact ind. set. In contrast Prob’s belief was 0.1429 (i.e., had some uncertainty; 0 is exact) for the same example in same conditions. ANOSY is more precise because it can automatically split regions into intervals (§ 5.4) whose union in the powerset gives a better accuracy. For instance, in Figure 5b, a powerset of size 3 is enough to synthesize the exact ind. set (*% diff.* is 0) for several benchmarks.

In our experience, iterative synthesis (§ 5.4) works better than existing techniques [3, 25] for queries (benchmarks B1, B3, and B5) that contain point-wise comparisons, i.e., the query checks if a secret  $x$  is one of several constant values  $c_1, c_2, \dots$ , or in other words, formulas of the form  $x = c_1 \vee x = c_2 \vee \dots$ . These queries split the indistinguishable sets into a union of disjoint sets, and the SMT solver efficiently identified the best possible solution for the abstract domain. However, benchmarks that do not use point-wise comparisons (like B2) perform equivalent to prior work [25] in our experience.

## 6.2 Secure Advertising System

In this case study, we go back to the advertisement example in § 2 which we implement using ANOSY to restrict the information leaked through downgrade. The goal of this case study



**Figure 6.** The lines show the number of execution instances (Y-axis) that were authorized for the  $i$ -th declassification query (X-axis). Each line corresponds to the under-approximated ind. set of powersets of size  $k$ .

is evaluating how the choice of abstract domains affects the number of declassification queries authorized by ANOSY.

**Application.** We implemented the advertising query system from § 2 in Haskell using the AnosyT monad, with the UserLoc type as the secret. The system executes a sequence of 50 queries (one per restaurant branch): we use the nearby query from § 2 with the origin, denoting in this experiment the location of the restaurant, being a randomly generated point in the  $400 \times 400$  space.

**Security policy and enforcement.** Our program implements the security policy `qpolicy` from § 2, which restricts



the restaurant chain from learning the user location below a set of 100 possible locations. To easily enforce the security policy, we wrapped the advertising query in the downgrade operation of AnosyT as in § 3.

Initially, our system starts with a prior knowledge equivalent to the entire secret domain  $400 \times 400$  (i.e., the attacker does not have any information about the secret). As the system executes queries, the AnosyT monad tracks an under-approximation of the attacker’s posterior knowledge based on the query result and on the prior. If the posterior complies with the policy, then the monad outputs the query result and the system continues with the next query. If a policy violation is detected, the system terminates the execution.

**Experiment.** For each experiment, we generate a new user location randomly, used as the secret, in the  $400 \times 400$  space, and we run through the 50 queries for every restaurant location. For each execution, we measure after how many queries the system stops due to a policy violation. We repeat this experiment 20 times, to get the mean and standard deviation of query count and discuss them below.

**Results.** Figure 6 reports the results of our experiments. The line for each  $k$ , i.e., the number of synthesized intervals in the powerset, depicts the number of experiment instances that are still running (Y-axis) after executing the  $i$ -th query (X-axis). For example, in the  $k = 1$  powerset (equivalent to an interval), the system was able to answer the first 3 queries in all 20 instances without violating the policy, but only 2 instances were able to answer the 6th query.

As the size of powersets increases (from 3 to 10), the system can compute more precise under-approximations and, therefore, securely answer more queries, as can be seen in the figure. Specifically, for powerset of size  $k = 3$ , the system answers a maximum of 10 queries over 20 runs, with only 1 run reaching the 10th query. Similarly, the maximum number of queries answered increases to 14, due to increased precision by using powersets of size 10. Moreover, more than 10 instances answer more than 6 queries if the size of powersets goes above 3. This shows that ANOSY can be used to build a system, that can answer multiple queries sequentially with precision without violating the declassification policy.

Figure 6 shows a tradeoff between number of queries answered and the precision of the powersets. Higher sized powersets ( $k = 7$  or  $10$ ) under-perform in the intermediate declassifications from 5 to 7 (on the X-axis) when compared to  $k = 5$ . The intersection of powersets made of  $k_1$  and  $k_2$  intervals produces a powerset of  $k_1 k_2$  intervals, of which many intervals are small or empty (as individual powersets might have very little overlap). Hence a slightly more imprecise powerset  $k = 5$  declassifies allows more instances of the query to run. However, over a longer sequence of queries a higher sized powerset performs better due to improved precision in tracking knowledge (as can be seen from  $k = 10$  allowing 14 declassifications).

## 7 Related Work

**Information-flow control.** Language-based information-flow control (IFC) [34] provides principled foundations for reasoning about program security. Researchers have proposed many enforcement mechanisms for IFC like type systems [1, 6, 12, 22, 30, 32, 33], static analyses [17], and runtime monitors [14] to verify and enforce security properties like non-interference. The ind. sets and knowledge approximations computed by ANOSY can be used as a building block to enforce both non-interference as well as more complex security policies, as we discuss below.

**Use of knowledge in IFC.** The notion of attacker knowledge has been originally introduced to reason about dynamic IFC policies, where the notion of “public” and “secret” information can vary during the computation [2, 14, 41]. The notion of *belief* consists of a knowledge, i.e., set of possible secret values, equipped with a probability distribution describing how likely each secret is. Existing approaches [15, 20, 25, 40] can enforce security policies involving probabilistic statements over an attacker’s belief, e.g., “an attacker cannot learn that a secret holds with probability higher than 0.7”. We plan to deal with probability distributions in future work. However, ANOSY synthesizes a function that computes the posterior given a prior, eliminating the need to run the full static analysis for each query execution. This enables applications to directly use knowledge based policies without expensive static analysis at runtime. Additionally ANOSY’s posterior knowledge is correct-by-construction and mechanically verified using LiquidHaskell’s refinement types, unlike existing tools [25] which rely on (often complex) pen-and-paper proofs.

Quantitative Information Flow approaches provide quantitative metrics, e.g., Shannon entropy [36], Bayes vulnerability [37], and guessing entropy [26], that summarize the amount of leaked information. For this, several approaches [3, 9, 19] first compute a representation of a program’s indistinguishability equivalence relation, whereas we represent the partition induced by the indistinguishability relation, where each ind. set is one of the relation’s equivalence classes.

There are several approaches for approximating the indistinguishability relation in the literature. Clark et al. [9] provide techniques to approximate the indistinguishability relation for straight line programs. Backes et al. [3] automates the synthesis of such equivalence relations using program verification techniques, and Köpf and Rybalchenko [19] further improve the approach by combining it with sampling-based techniques. Similarly to [3], we automatically synthesize ind. sets from programs. In contrast to [3, 9, 19], the correctness of our ind. sets is also automatically and machine-checked.

**Declassification.** Declassification is used in IFC systems to selectively allow leaks, and several extensions of non-interference account for it [2, 14, 41]; we refer the reader

to [35] for a survey of declassification in IFC. Most systems treat declassification statements as *trusted*. Our work focuses on the *what* dimension of declassification, that is, our policies restrict *what* information can be declassified. In contrast, Chong and Myers [8] enforce declassification policies that target other aspects of declassification, specifically, limiting in which context declassification is allowed and how data can be handled after declassification.

**Program Synthesis.** ANOSY’s synthesis technique follows sketch-based synthesis [38], where traditionally users provide a partial implementation with *holes* and some specifications based on which the synthesizer fills in the holes. Standard types have extensively served as a synthesis template often combined with tests, examples, or user-interaction [13, 16, 24, 27]. Refinement types provide stronger specifications, thus, as demonstrated by SYNQUID [29], do not require further tests or user information. In ANOSY, we use the refinement type synthesis idea of SYNQUID, but also mechanically generate the knowledge specific refinement types.

## 8 Conclusion & Further Applications

We presented ANOSY, a novel technique that uses the abstractions of refinement types to synthesize and statically machine-check correct approximations of knowledge and ind. sets. Using these approximations of knowledge, we defined a bounded downgrade function that can be staged on top of existing IFC systems to enforce declassification policies. We implemented ANOSY and demonstrated it runs across a variety of benchmarks from prior work and can securely answer multiple sequential queries without losing precision. We believe ANOSY represents a promising approach to embedding declassification policies in applications.

Though we only used ANOSY’s precise, explicit representation of knowledge for declassification, such a representation is at the core of many information flow control tasks. Enforcing probabilistic policies requires combining knowledge, computed by ANOSY, with a probability distribution [25]. Moreover, dynamic security policies can be enforced by keeping track of attacker knowledge and comparing it with the current policy [14]. Finally, approximations of classical quantitative information flow measures, such as Shannon entropy [36], can be derived from the user’s knowledge, *i.e.*, by counting the number of concrete elements represented by the knowledge.

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