#### Administrivia

- Review 1
  - Specific instructions to structure review in lecture 1 slides 26/27
- Office hours moving to Thursday 10—12
- MUD: Send me your top 1—3 questions on this lecture
- Think about use cases and directions for your project!

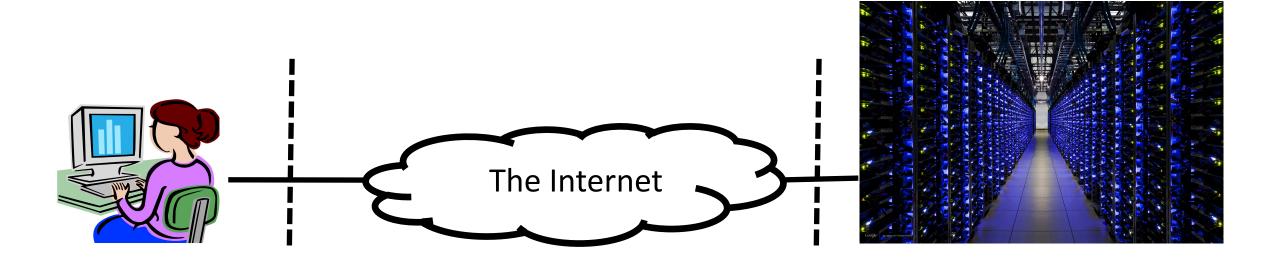
# Congestion Control

Lecture 4, Computer Networks (198:552)



#### Edge vs. core division of labor

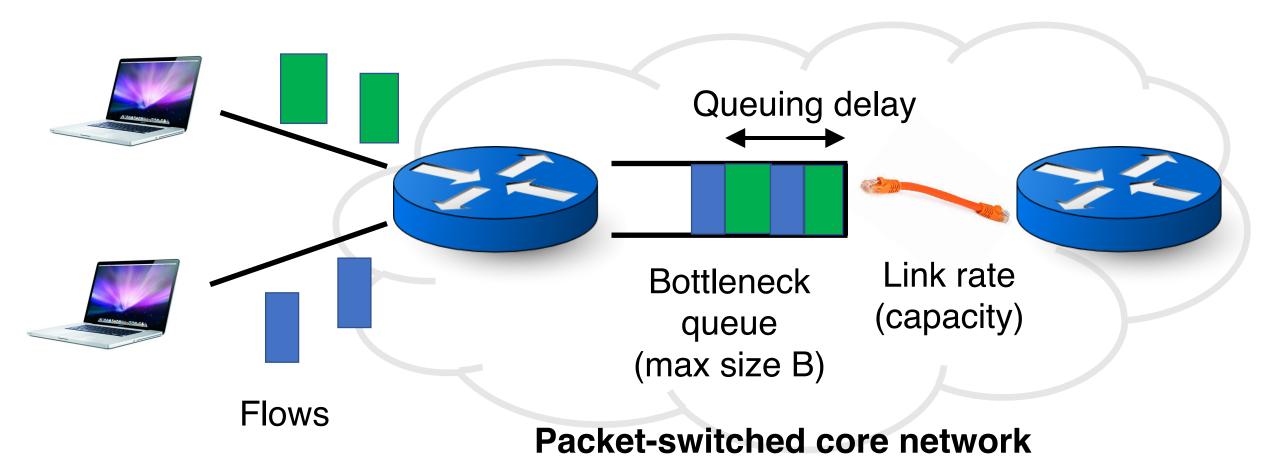
- Core: best-effort packet delivery
- Edge: end-to-end guarantees to applications
  - Transport should figure out how to use the core effectively



#### The role of the endpoint

- Network discovery and bootstrapping
  - How does the endpoint join the network?
  - How does the endpoint get an address?
- Interface to networked applications
  - What interface to higher-level applications?
  - How does the host realize that abstraction?
- Distributed resource sharing
  - What roles does the host play in network resource allocation decisions?

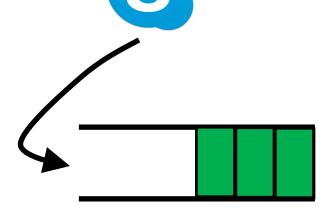
#### Network model



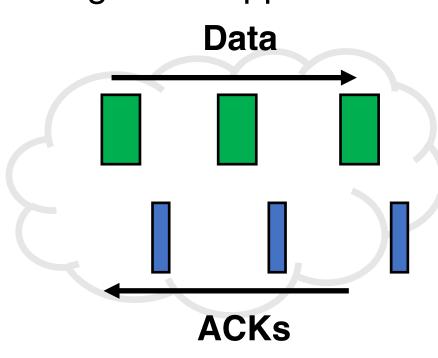
#### TCP: Reliable & ordered transport

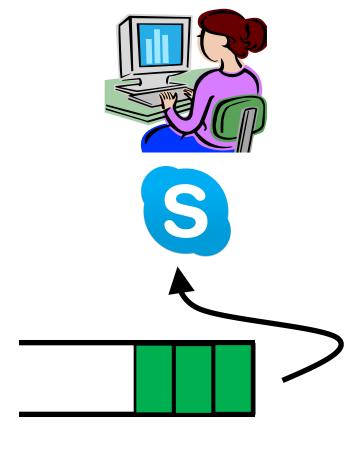


Reliable delivery using ACKs
Data ordered using sequence numbers
Receiver assembles data in order
before sending to the application



Transmit buffer





Receive buffer

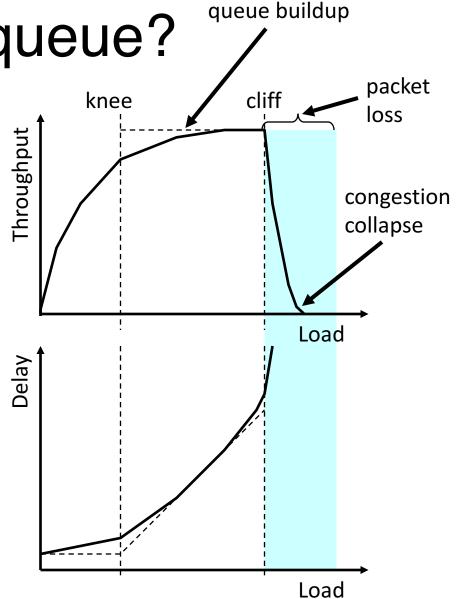
#### But what about performance?



- Throughput: the width of the pipe (MBytes/sec)
- Delay: the length of the pipe (milliseconds)
- Packet drop: leaks from the pipe (percentage of packets)

What happens at a queue?

- Knee point after which
  - Throughput increases very slowly
  - Delay increases fast
- Cliff point after which
  - Throughput starts to decrease very fast to zero
  - Delay approaches infinity
- For an M/M/1 queue
  - Delay = 1/(1 utilization)



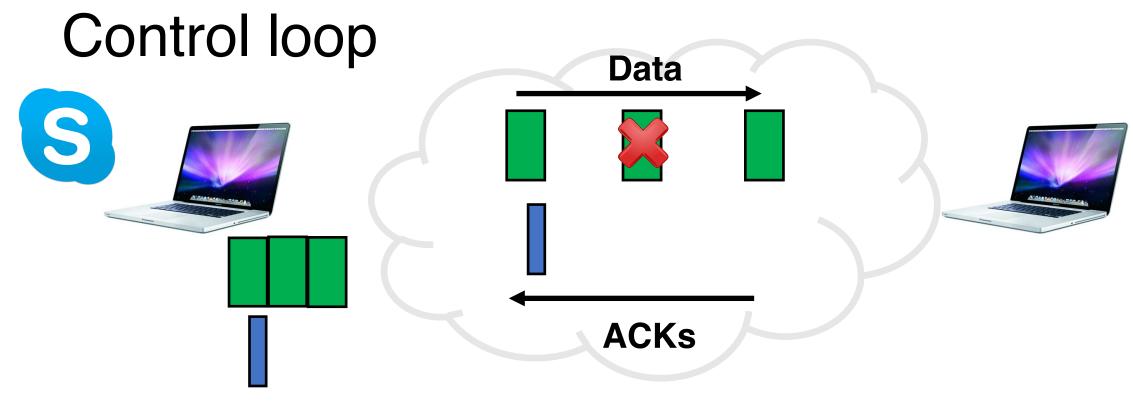
Persistent

#### Flow ctrl vs. Cong ctrl vs. Cong avoidance

- Flow control:
  - Receiver tells sender how much it can accept in its buffer
- Congestion avoidance:
  - Trying to stay to the left of the knee
- Congestion control:
  - Trying to stay to the left of the cliff
- Final window == min (receiver window, congestion window)

### How should an endpoint transmit data

... and not overwhelm queues?



- Congestion window: transmitted yet unACKed data
- How should an endpoint adapt its congestion window
  - ... based on congestion signals provided by the network?

#### Congestion signals

- Explicit network signals
  - Send packet back to source (e.g., ICMP source quench)
  - Set bit in header (e.g., ECN)
  - Send direct feedback (e.g., sending rate)
  - Unless on every router, still need end-to-end signal
- Implicit network signals
  - Loss (e.g. TCP New Reno, SACK)
  - Delay (e.g. TCP Vegas)
  - Easily deployable
  - Robustness?
    - Wireless?

#### Exercise

- Buffer size B at the bottleneck queue
- Link capacity C
- Propagation delay T
- What's the congestion window for a flow at the knee? Cliff?
- Is there a network buffer size that's always sufficient?
- Is there a receiver buffer size that's always sufficient?

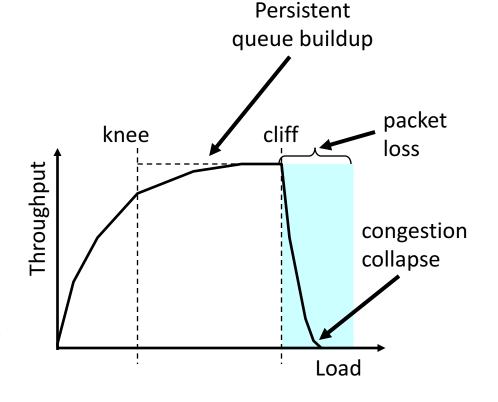
# Congestion Avoidance and Control

**ACM SIGCOMM '88** 

Van Jacobson and Michael Karels

#### One possible set of goals

- Equilibrium: stay close to the "cliff"
- At equilibrium:
  - Don't send a new packet
  - ... until current one leaves the network



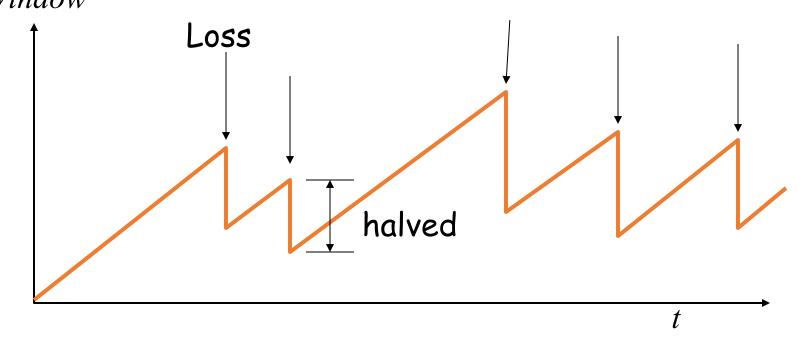
- Return to equilibrium if you go "over" the cliff
  - Try to approach the cliff if below it
- Share bandwidth 'fairly' across flows

#### TCP New Reno: Mechanisms

- Packet conservation
- Reacting to loss: multiplicative decrease
- Probing for more throughput: additive increase
- Getting started: slow start
- A better retransmission timeout
- Fast retransmit
- Fast recovery

#### TCP congestion control

- Additive increase, multiplicative decrease (AIMD)
  - On packet loss, divide congestion window in half
  - On success for last window, increase window linearly *Window*



Mechanisms not shown: slow start, fast retransmit, recovery, timeout loss, etc.

#### Discussion of Jacobson's paper

- Is loss always congestive?
  - Wireless networks
  - Errors and corruption?
- Is loss the best congestion signal?
  - How about delays?
  - Explicit congestion notifications from the network?
- Is packet conservation always a good thing?
  - Differences in flow propagation delays?
  - Detect incipient congestion?
- Why AIMD?

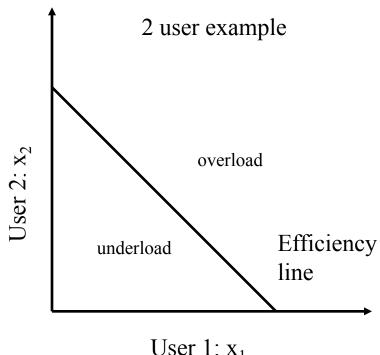
# Why AIMD?

Some thoughts from Chiu and Jain's '89 paper,

[CJ89]: "An Analysis of the Increase and Decrease Algorithms for Congestion Avoidance in Computer Networks"

#### Efficient allocation

- Too slow?
  - Underutilize the network
- Too fast?
  - High delays, lose packets
- Every endpoint is doing it
  - May all under/over shoot
  - Large oscillations
- Optimal:
  - $\Sigma x_i = X_{goal}$ , e.g., link capacity
- Efficiency = 1 distance from efficiency line



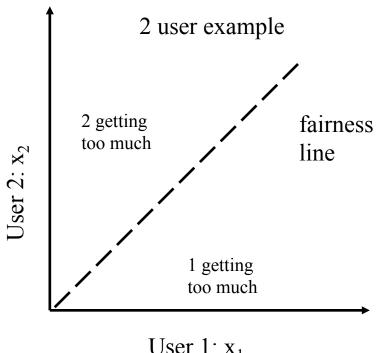
User 1:  $x_1$ 

#### Fair allocation

- Max-min fairness
  - Flows which share the same bottleneck get the same amount of bandwidth

$$F(x) = \frac{\left(\sum x_i\right)^2}{n\left(\sum x_i^2\right)}$$

- Assumes no knowledge of priorities
- Fairness = 1 distance from fairness line



User 1: x<sub>1</sub>

#### Linear window adaptation rules

$$x_{i}(t+1) = \begin{cases} a_{I} + b_{I}x_{i}(t) & increase \\ a_{D} + b_{D}x_{i}(t) & decrease \end{cases}$$

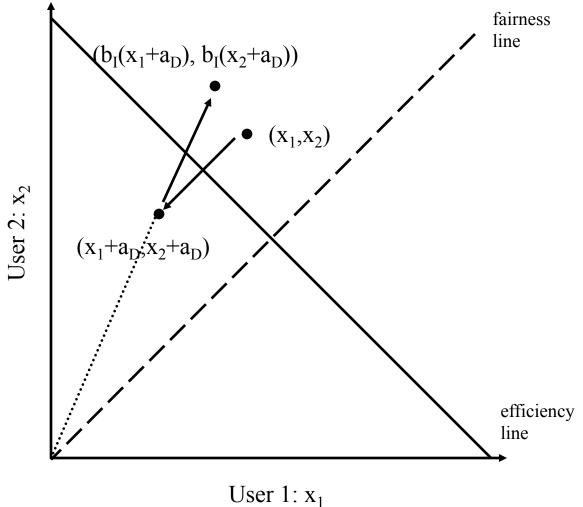
- x<sub>i</sub>(t): window or rate of the i<sup>th</sup> user at time t
- a<sub>I</sub>, a<sub>D</sub>, b<sub>I</sub>, b<sub>D</sub>: constant increase/decrease coefficients
- All users receive same network feedback
  - Binary feedback: sense congestion or available capacity
- All users increase or decrease simultaneously

#### Multiplicative increase, additive decrease

- Does not converge to fairness
  - Not stable at all

- Does not converge to efficiency
  - Stable iff

$$x_{1h} = x_{2h} = \frac{b_I a_D}{1 - b_I}$$

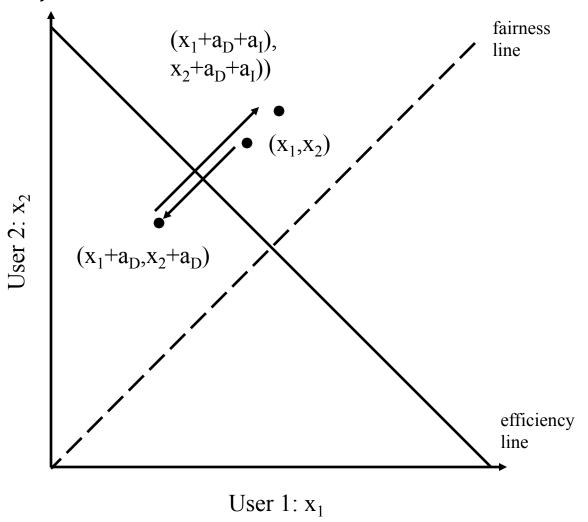


#### Additive increase, additive decrease

- Does not converge to fairness
  - Stable

- Does not converge to efficiency
  - Stable iff

$$a_D = a_I$$

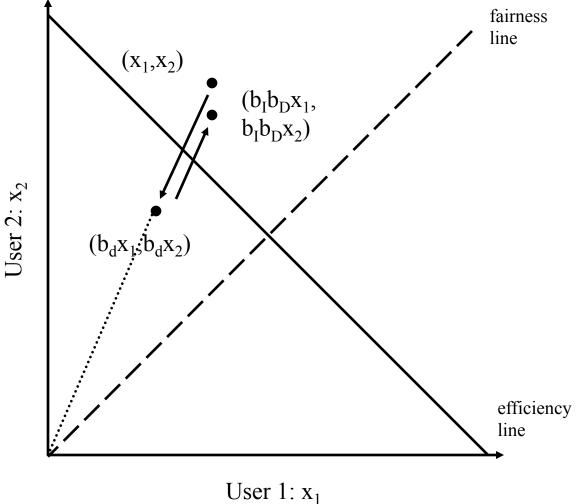


#### Multiplicative increase, mult. decrease

- Does not converge to fairness
  - Stable

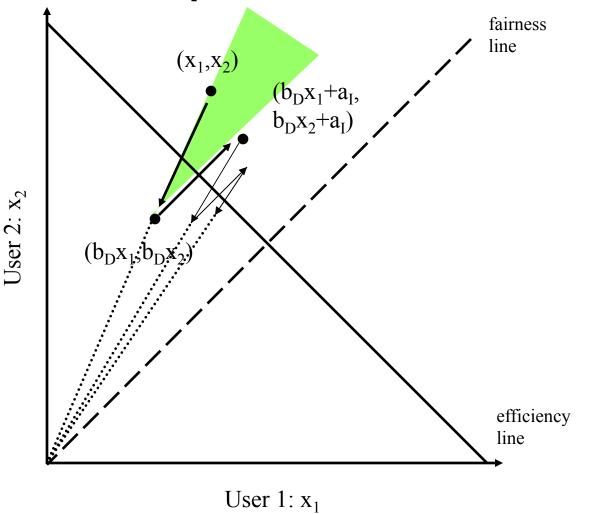
 Converges to efficiency iff

$$b_I \ge 1$$
  
$$0 \le b_D < 1$$



Additive increase, multiplicative decrease

- Converges to fairness
- Converges to efficiency
- Increments smaller as fairness increases
  - Effect on metrics?



#### Significance of AIMD/CJ89

- Characteristics
  - Converges to efficiency, fairness
  - Easily deployable: feedback is binary
  - Fully distributed
  - No need to know the full state of the system (e.g., # users, link rates)
- [CJ89] result that enabled the Internet to grow beyond the '80s
  - A scalable Internet required a fully distributed congestion control
  - Formed the basis of TCP as we know it

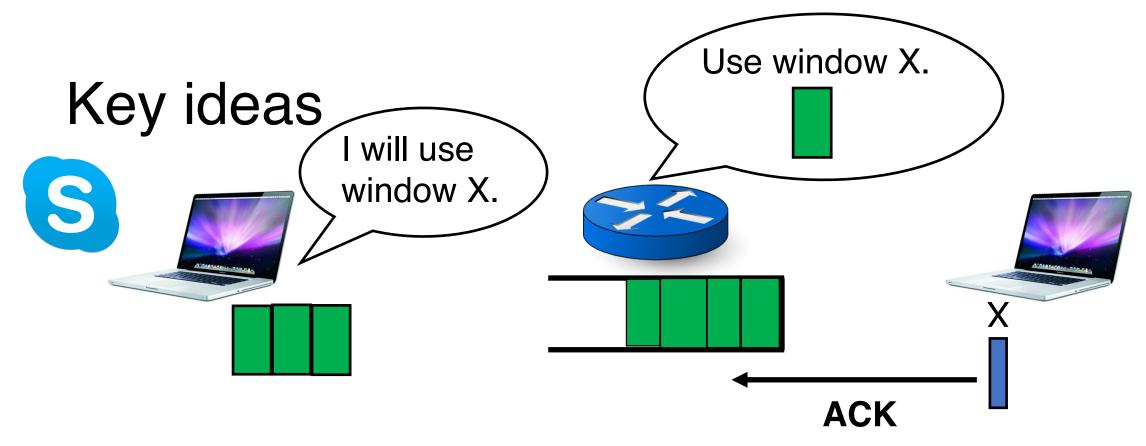
#### Modeling

- Critical to understanding complex systems
  - [CJ89] model relevant even today (since '89!)
  - 10<sup>6</sup> increase of bandwidth, 1000x increase in number of users
- Criteria for good models
  - Realistic
  - Simple
    - Easy to work with
    - Easy for others to understand
  - Realistic, complex model → useless
  - Unrealistic, simple model → may teach something!

# Congestion Control for High Bandwidth-Delay Product Networks

**ACM SIGCOMM '02** 

Dina Katabi, Mark Handley, and Charlie Rohrs



- An explicit control system running in the network
  - Give direct, *multi-bit* feedback on transmission windows/rates to endpoints
- Separate efficiency control from fairness

#### XCP efficiency control loop

$$\phi = \alpha \cdot d \cdot S - \beta \cdot Q$$
Spare bandwidth Persistent queue

- Sender (roughly): cwnd = cwnd +  $\phi$
- Why do you need both terms of this equation?

#### XCP fairness rules

- If  $\phi$  > 0, increase in throughput of all flows must be the same
- If  $\phi$  < 0, decrease in throughput must be proportional to a flow's current throughput
- Bandwidth shuffling: if too close to convergence, perturb by adding a small window to over-utilize the link

#### Discussion of XCP

- What window increase/decrease rules are used by XCP?
  - Is it fair?
- Why couldn't you separate efficiency & fairness in TCP New Reno?
  - Is generating multi-bit feedback harder than binary?
  - What about the end-to-end argument?
- Deployment concerns?
  - Ensuring control loop runs at every bottleneck router?
  - Selfish or rogue endpoints?
- Should you necessarily push queue size to zero?
  - Is it always possible to keep the pipe full?
  - How to accommodate noise in measurements or window sizes?

#### Some questions to think about...

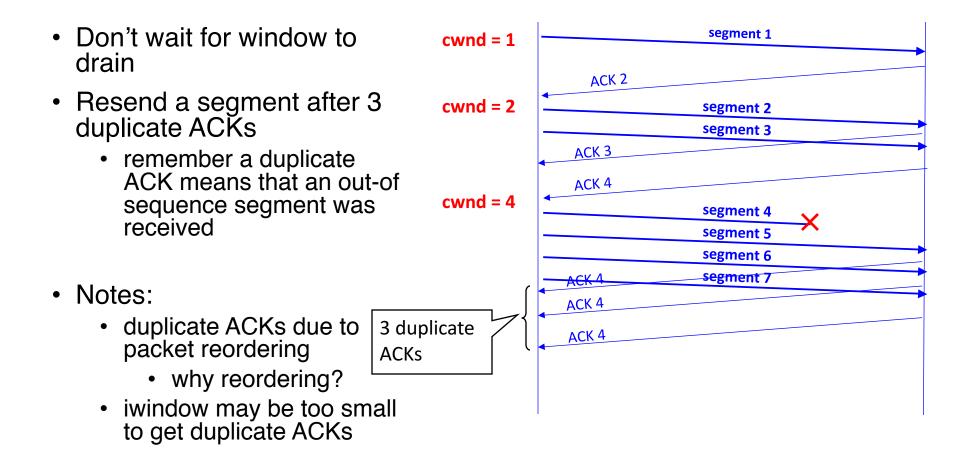
- What should networks provide as feedback for endpoints?
- Should the network operate at the cliff, the knee, or elsewhere?
- What is a good definition of fairness? Take into account:
  - Demands? Usage of multiple resources? App goals?
- What about hosts who cheat to hog resources?
  - How to detect cheating? How to prevent/punish?
- What about wireless networks?
  - Loss caused by interference, not just congestion
  - Difficulty of detecting collisions (due to fading)

# Backup slides

#### Proposition 1 from [Chiu and Jain '89]

- In order to satisfy the requirements of distributed convergence to efficiency and fairness without truncation,
- the linear decrease policy should be multiplicative, and
- the linear increase policy should always have an additive component, and
- optionally it may have a multiplicative component with the coefficient no less than one.

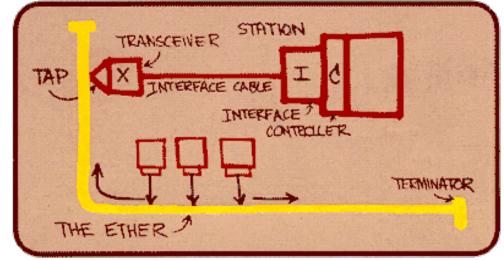
#### Fast Retransmit



#### Fast Recovery

- After a fast-retransmit set cwnd to ssthresh/2
  - i.e., don't reset cwnd to 1
- But when RTO expires still do cwnd = 1
- Fast Retransmit and Fast Recovery → implemented by TCP Reno; most widely used version of TCP today

#### Ethernet back-off mechanism



- Carrier sense: wait for link to be idle
  - If idle, start sending; if not, wait until idle
- Collision detection: listen while transmitting
  - If collision: abort transmission, and send jam signal
- Exponential back-off: wait before retransmitting
  - Wait random time, exponentially larger on each retry