Lab 1- k nearest neighbors

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1. Classifying the Test Set with kNN

- Given a dataset as follows:

X1	X2	Class
0.376000	0.488000	0
0.312000	0.544000	0
0.298000	0.624000	0
0.394000	0.600000	0
0.506000	0.512000	0
0.488000	0.334000	1
0.478000	0.398000	1
0.606000	0.366000	1
0.428000	0.294000	1
0.542000	0.252000	1

- Classifying the testset with 1NN, 3NN:

1. Source Code:

```
import math
import numpy as np
import csv

# Load the training data
with open('training_data.csv', 'r') as csvfile:
    reader = csv.reader(csvfile)
    next(reader)
    train_data = np.array(list(reader))
```

```
# Load the test data
with open('test_data.csv', 'r') as csvfile:
    reader = csv.reader(csvfile)
    next(reader)
   test_data = np.array(list(reader))
# Print the loaded data
print("Training data features:\n", train_features)
print("Training data labels:\n", train_labels)
print("Test data features:\n", test_features)
k_{list} = [1, 3]
header = ['X1', 'X2', 'Class']
def euclidean_distance(point1, point2):
  squared_distances = 0
 for i in range(len(point1)):
    squared distances += (float(point1[i]) - float(point2[i]))**2
  return math.sqrt(squared distances)
def get neighbors(train features, train labels, test point, k):
 distances = []
  for i in range(len(train features)):
    distances.append((euclidean_distance(train_features[i],
test_point), train_labels[i]))
  distances.sort(key=lambda x: x[0])
  return [label for distance, label in distances[:k]]
def knn_predict(train_features, train_labels, test_features, k):
  predictions = []
  for test point in test features:
    neighbors = get_neighbors(train_features, train_labels,
test_point, k)
   class_counts = {}
   for label in neighbors:
      if label not in class counts:
        class_counts[label] = 0
     class_counts[label] += 1
    majority_vote = max(class_counts, key=class_counts.get)
    predictions.append(majority_vote)
  return predictions
for k in k list:
  predictions = knn predict(train features, train labels,
test_features, k)
  print(f"Predictions for k = \{k\}: \n", predictions)
```

2. Result:

```
Training data features:
 [['0.376' '0.488']
 ['0.312' '0.544']
 ['0.298' '0.624']
 ['0.394' '0.6']
 ['0.506' '0.512']
 ['0.488' '0.334']
 ['0.478' '0.398']
 ['0.606' '0.366']
 ['0.428' '0.294']
 ['0.542' '0.252']]
Training data labels:
['0' '0' '0' '0' '0' '1' '1' '1' '1' '1']
Test data features:
 [['0.55' '0.364']
 ['0.558' '0.47']
 ['0.456' '0.45']
['0.45' '0.57']]
Predictions for k = 1:
['1', '0', '1', '0']
Predictions for k = 3:
 ['1', '1', '0', '0']
```

Therefore,

K = 1

X1	X2	Class
0.550000	0.364000	1
0.558000	0.470000	0
0.456000	0.450000	1
0.450000	0.570000	0

K= 3

X1	X2	Class
0.550000	0.364000	1
0.558000	0.470000	1
0.456000	0.450000	0
0.450000	0.570000	0

2. Implement kNN from scratch in Python.

The program requires 3 parameters:

- file name of trainset
- file name of testset
- number of nearest neighbors (k)

Dataset with m examples, n dimensions (attribute), c classes (0, 1, ..., c-1), is in the format: val_i1_a1 val_i1_a2 ... val_i1_an class_i1 val i2 a1 val i2 a2 ... val i2 an class i2

. . .

val im al val im a2 ... val im an class im

The program reports the classification results (accuracy, confusion matrix) with different trials k=1, 3, etc for 5 datasets:

- Iris (.trn: trainset, .tst: testset)
- Optics (.trn: trainset, .tst: testset)
- Letter (.trn: trainset, .tst: testset)
- Face (.trn: trainset, .tst: testset)
- Fp (.trn: trainset, .tst: testset)

1. The Implementation:

Datasets: http://www.cit.ctu.edu.vn/~dtnghi/ml/data.tar.gz

I used the old data from the website and the new data set fp107 which will replace Iris. So we have:

- Fp107 (.trn: trainset, .tst: testset)
- Optics (.trn: trainset, .tst: testset)
- Letter (.trn: trainset, .tst: testset)
- Face (.trn: trainset, .tst: testset)
- Fp (.trn: trainset, .tst: testset)

I used K values: 1, 5, 7

Here the snapshot of my directory:

```
ElTowa@ntphat | Part02 | main ≠ ?2 -4
• L $ tree
  Folder PATH listing for volume Data
  Volume serial number is 4E5C-672B
  D:.
   ---data
         -faces
         -fp
          -fp107
          -iris
          -letter
         -leukemia
          -optics
          -ovarian
          -spam
0
```

2. The source code:

```
import time
import numpy as np
# Function to calculate Euclidean distance between two sets of
points
def euclidean_distance(test, train):
    return np.sqrt(np.sum(np.square(test - train), axis=1))
# Function to perform k-nearest neighbors classification
def kNN(trainset values, trainset labels, testset values, k,
metric=euclidean_distance):
    testset_predictions = []
    for test_value in testset_values:
        distances = metric(test value, trainset values)
        indices = np.argsort(distances)[:k]
        neighbors = trainset_labels[indices]
testset_predictions.append(np.argmax(np.bincount(neighbors)))
    return testset_predictions
# Function to create a confusion matrix from predictions and true
labels
def create_confusion_matrix(prediction, original):
    labels = np.unique(original)
    amount = len(labels)
    confusion_matrix = np.zeros((amount, amount))
    # Fill in the confusion matrix with the number of predictions
for each pair of true and predicted labels
    for i in range(amount):
        for j in range(amount):
```

```
confusion_matrix[i, j] = np.sum((original == labels[i])
& (prediction == labels[j]))
    return confusion_matrix.astype(int)
# Function to calculate classification accuracy
def calculate_accuracy(testset_labels, testset_predictions):
    correct = 0
    for i in range(len(testset_labels)):
        if (testset labels[i] == testset predictions[i]):
            correct += 1
    accuracy = correct / len(testset_labels)
    return accuracy
# List of datasets containing training and testing data
list datasets = [
    {'train_file': 'data//fp107//fp107.trn', 'test_file':
'data//fp107//fp107.tst'},
    {'train file': 'data//optics//optics.trn', 'test file':
'data//optics//optics.tst'},
    {'train_file': 'data//letter//letter.trn', 'test_file':
'data//letter//letter.tst'},
    {'train_file': 'data//faces//faces.trn', 'test_file':
'data//faces//faces.tst'},
    {'train_file': 'data//fp//fp.trn', 'test_file':
'data//fp//fp.tst'}
1
# List of k values to try
k \text{ list} = [1, 5, 7]
output_file = "kNN_results.txt"
# Open the file for writing
with open(output_file, 'w') as f_out:
    # Loop over all datasets and k values
   for dataset in list_datasets:
            # Load training and testing data from files
            train data = np.loadtxt(dataset['train file'],
delimiter=',', dtype=float)
           test_data = np.loadtxt(dataset['test_file'],
delimiter=',', dtype=float)
        except:
            train data = np.loadtxt(dataset['train file'],
delimiter=' ', dtype=float)
            test_data = np.loadtxt(dataset['test_file'],
delimiter=' ', dtype=float)
        # Split training and testing data into values and labels
        trainset values, trainset labels = train data[:, :-1],
train_data[:, -1].astype(int)
```

```
testset_values, testset_labels = test_data[:, :-1],
test_data[:, -1].astype(int)
        for k value in k list:
            start_time = time.time()
            # Call kNN function and store the predictions
            predictions = kNN(trainset_values, trainset_labels,
testset values, k value)
            # Calculate accuracy and create confusion matrix
            accuracy = calculate_accuracy(testset_labels,
predictions)
            confusion matrix = create confusion matrix(predictions,
testset_labels)
            end_time = time.time()
            elapsed time = end time - start time
            # Print the results to the console
            print(f"\nDataset: {dataset}\n k = {k_value}")
            print(f"Accuracy: {accuracy}")
            print(f'Confusion Matrix:{len(confusion_matrix)}\n')
            print(f"Elapsed Time: {elapsed time:.4f} seconds")
            # Write the results to the output file
            f_out.write(f"\nDataset: {dataset}\n k = {k_value}\n")
            f_out.write(f"Accuracy: {accuracy}\n")
            f out.write(f'Confusion Matrix:{len(confusion matrix)}\
n')
            f_out.write(f"{confusion_matrix}")
            f_out.write(f"\nElapsed Time: {elapsed_time:.4f}
seconds\n")
print(f"\nResults have been written to {output file}")
```

3. The Result:

The result written in the file: kNN results.txt

1. iris dataset

```
Dataset: {'train_file': 'data//iris//iris.trn', 'test_file':
  'data//iris//iris.tst'}
  k = 1
Accuracy: 0.94
Confusion Matrix: 3
[[17 0 0]
  [ 0 15 0]
  [ 0 3 15]]
Elapsed Time: 0.0011 seconds
```

```
Dataset: {'train_file': 'data//iris//iris.trn', 'test_file':
'data//iris//iris.tst'}
k = 5
Accuracy: 0.94
Confusion Matrix: 3
[[17 0 0]
[ 0 15 0]
[ 0 3 15]]
Elapsed Time: 0.0011 seconds
Dataset: {'train_file': 'data//iris//iris.trn', 'test_file':
'data//iris//iris.tst'}
k = 7
Accuracy: 0.94
Confusion Matrix: 3
[[17 0 0]
[ 0 15 0]
[ 0 3 15]]
Elapsed Time: 0.0010 seconds
```

2. Optics Dataset

```
Dataset: {'train_file': 'data//optics//optics.trn', 'test_file':
'data//optics//optics.tst'}
k = 1
Accuracy: 0.9799666110183639
Confusion Matrix: 10
[[178
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Elapsed Time: 2.6609 seconds
Dataset: {'train_file': 'data//optics//optics.trn', 'test_file':
'data//optics//optics.tst'}
k = 5
Accuracy: 0.9788536449638287
Confusion Matrix: 10
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Elapsed Time: 2.3126 seconds
Dataset: {'train_file': 'data//optics//optics.trn', 'test_file':
'data//optics//optics.tst'}
k = 7
Accuracy: 0.9766277128547579
Confusion Matrix: 10
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Elapsed Time: 2.3583 seconds
```

3. Letter dataset

```
Dataset: {'train_file': 'data//letter//letter.trn', 'test_file':
'data//letter//letter.tst'}
k = 1
Accuracy: 0.953045304530453
Confusion Matrix: 26
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Elapsed Time: 11.4303 seconds
Dataset: {'train_file': 'data//letter//letter.trn', 'test_file':
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k = 5
Accuracy: 0.941944194419442
Confusion Matrix: 26
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Elapsed Time: 13.4287 seconds
Dataset: {'train_file': 'data//letter//letter.trn', 'test_file':
'data//letter//letter.tst'}
k = 7
Accuracy: 0.9402940294029403
Confusion Matrix: 26
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Elapsed Time: 18.5220 seconds
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4. Faces dataset

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'data//faces//faces.tst'}
k = 1
Accuracy: 1.0
Confusion Matrix: 20
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'data//faces//faces.tst'}
k = 5
Accuracy: 0.9947916666666666
Confusion Matrix: 20
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Elapsed Time: 2.4773 seconds
Dataset: {'train file': 'data//faces//faces.trn', 'test file':
'data//faces//faces.tst'}
k = 7
Accuracy: 0.984375
Confusion Matrix:
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Elapsed Time: 2.4550 seconds
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5. Fp107 – the new datasets

Although the entries of the confusion matrix are not explicitly written on file, it is evident from the statement "Confusion Matrix: 105" that the matrix dimensions are consistent at 105x105 for all evaluated cases. This uniformity indicates a balanced multiclass classification setting with 105 distinct classes.

```
Dataset: {'train file': 'data//fp107//fp107.trn',
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'test file': 'data//fp107//fp107.tst'}
k = 1
Accuracy: 0.9812734082397003
Confusion Matrix: 105
[[500...000]
[030...000]
[003...000]
[0\ 0\ 0\ \dots\ 5\ 0\ 0]
[000...080]
[0\ 0\ 0\ \dots\ 0\ 0\ 7]]
Elapsed Time: 7.6341 seconds
Dataset: {'train file': 'data//fp107//fp107.trn',
'test file': 'data//fp107//fp107.tst'}
k = 5
Accuracy: 0.9794007490636704
Confusion Matrix: 105
[[500...000]
[030...000]
[003...000]
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Elapsed Time: 7.6603 seconds
Dataset: {'train file': 'data//fp107//fp107.trn',
'test file': 'data//fp107//fp107.tst'}
k = 7
Accuracy: 0.9812734082397003
Confusion Matrix: 105
[[500...000]
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[003...000]
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Elapsed Time: 7.6783 seconds
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3. **Proof of Cover-Hart's theorem:**

For sufficiently large training set size m, the error rate of the 1NN classifier is less than twice the Bayes error rate.

1. Define the key terms:

• X: The feature space where data points reside.

- y: The class label space.
- P(x, y): The joint probability distribution of X and Y.
- P(y|x): The conditional probability of class y given a data point x.
- **R(c):** The risk (expected error) of a classifier c.
- $c_{1NN}(x)$: The class predicted by the 1-NN classifier for data point x.
- $c_{1NN}(x)$: The Bayes error rate, the minimum achievable risk.

2. Consider the Bayes rule:

The Bayes rule minimizes the risk by predicting the class with the highest posterior probability for a given data point:

$$c_{Baves}(x) = arg max_v P(y \lor x)$$

The Bayes error rate is then the minimum risk achievable with this rule:

$$\rho_{\rm B} = \min_{c} R(c)$$

3. Analyze the 1-NN classifier:

The 1-NN classifier predicts the class of the nearest neighbor in the training set for a given data point. Let x_i be a training point and y_i its class label. The error of the 1-NN classifier on x is:

$$e_{1NN}(x)=1-\delta(c_{1NN}(x),y_{\square}(x))$$

where δ is the Kronecker delta function, which is 1 if its arguments are equal and 0 otherwise.

4. Introduce the covering numbers:

The covering number $N(\lambda, X, P)$ represents the minimum number of balls with radius λ needed to cover the entire space X according to the probability distribution P. It measures the complexity of the data distribution.

5. State the main theorem:

Cover and Hart (1967) proved the following theorem:

Theorem: For any probability distribution P on X, any $\lambda > 0$, and any c,

$$R(c) \le 2N(\lambda, X, P) \inf_{x} P(e_c(x) > \lambda)$$

6. Apply the theorem to the 1-NN classifier:

Setting $\lambda = \rho_B$ and $c = c_{1NN}$, we get:

$$R(c_{1NN}) \le 2N(\rho_B, X, P)inf_x P(e_{1NN}(x) > \rho_B)$$

This tells us that the risk of the 1-NN classifier is bounded by twice the covering number at the Bayes error rate multiplied by the probability of the 1-NN classifier making an error greater than the Bayes error rate.

7. Analyze the bound:

As the training set size grows, the covering number typically decreases. This means that the first term in the bound becomes smaller. Additionally, with a large enough training set, the probability of the 1-NN classifier making a significantly larger error than the Bayes error rate approaches 0.

Therefore, for sufficiently large training set size m, the bound on the 1-NN error rate becomes:

$$R(c_{1NN}) < 2\rho_B$$

This proves the claim that the error rate of the 1-NN classifier is less than twice the Bayes error rate for sufficiently large training sets.