# Assignment 5: Dependency Theory

## Hien Tu - tun1

### November 16, 2021

#### D1 Reasoning with dependencies

- 1. Assume  $AB \longrightarrow C, A \longrightarrow D$  and  $CD \longrightarrow EF$ 
  - Apply Augmentation on  $AB \longrightarrow C$  with A to derive  $AB \longrightarrow CA$
  - Apply Augmentation on  $A \longrightarrow D$  with C to dervie  $CA \longrightarrow CD$
  - $\bullet$  Apply Transitivity on  $AB\longrightarrow CA$  and  $CA\longrightarrow CD$  to derive  $AB\longrightarrow CD$
  - Apply Transitivity on  $AB \longrightarrow CD$  and  $CD \longrightarrow EF$  to derive  $AB \longrightarrow EF$
  - Apply Reflection on  $F \subseteq EF$  to derive  $EF \longrightarrow F$
  - $\bullet$  Apply Transitivity on  $AB \longrightarrow EF$  and  $EF \longrightarrow F$  to derive  $AB \longrightarrow F$
  - Hence,  $AB \longrightarrow F$
- 2. Assume we have rows  $r_1, r_2 \in I$  of instance I such that  $r_1[XW] = r_2[XW]$ 
  - By  $r_1[XW] = r_2[XW]$ , we have  $r_1[X] = r_2[X]$  and  $r_1[W] = r_2[W]$
  - Using  $X \longrightarrow Y$  and  $r_1[X] = r_2[X]$ , we conclude that  $r_1[Y] = r_2[Y]$
  - By  $r_1[Y] = r_2[Y]$  and  $r_1[W] = r_2[W]$ , we have  $r_1[YW] = r_2[YW]$
  - Using  $YW \longrightarrow Z$  and  $r_1[YW] = r_2[YW]$ , we conclude that  $r_1[Z] = r_2[Z]$

- Hence,  $r_1[Z] = r_2[Z]$  holds.
- - Using  $R[X] \subseteq S[Y]$  and  $r_1[X]$ , there exists a row in instance  $I_2$  of S with  $r_1[X] = r_2[X]$
  - Using  $S[Y] \subseteq T[Z]$  and  $r_2[Y]$ , there exists a row in instance  $I_3$  of T with  $r_2[Y] = r_3[Z]$
  - Thus, for every instance  $I_1$  of R and every row  $r_1 \in R$ , there exists a row in instance  $I_3$  of T such that  $r_1[X] = r_3[Z]$
  - Hence,  $R[X] \subseteq T[Z]$
- 4. Assume  $X \to Y$  and  $XY \longrightarrow Z$ 
  - Apply Complementation on X woheadrightarrow Y to derive X woheadrightarrow Z (with Z all attributes of  $\mathbf R$  not in X and Y)
  - Apply Reflexivity on  $Z \setminus (X \cup Y) \subseteq Z$  to derive  $Z \longrightarrow Z \setminus (X \cup Y)$
  - Apply Transitivity on  $XY \longrightarrow Z$  and  $Z \longrightarrow Z \setminus (X \cup Y)$  to derive  $XY \longrightarrow Z \setminus (X \cup Y)$
  - Since Z is all attributes of **R** not in X and Y,  $Z \cap XY = \emptyset$
  - Apply Coalensence on  $X \to Z$ ,  $XY \longrightarrow Z \setminus (X \cup Y)$ ,  $Z \cap XY = \emptyset$  and  $Z \setminus (X \cup Y) \subseteq Z$ , we conclude that  $X \longrightarrow Z \setminus (X \cup Y)$
  - Hence,  $X \longrightarrow Z \setminus (X \cup Y)$
- 5. Consider the following table of the schema

person(name, number, birthdate, age)

name	number	birthdate	age
Alice	1	2001-01-01	20
Alice	2	2005-09-05	16

Since <u>name</u>, <u>number</u> are the primary keys, they determine all attributes. Thus, "name, number  $\longrightarrow$  birthdate".

We know from the lecture that birthdate determines age since people who have the same birthdate would have the same age, and so "birthdate  $\longrightarrow$  age".

Since {birthdate}  $\subseteq$  {name, birthdate}, by applying Reflexivity, we get "name, birthdate  $\longrightarrow$  birthdate".

Applying Transitivity on "name, birthdate  $\longrightarrow$  birthdate" and "birthdate  $\longrightarrow$  age", we derive "name, birthdate  $\longrightarrow$  age"

Hence, we have "name, number  $\longrightarrow$  birthdate" and "name, birthdate  $\longrightarrow$  age".

However, from the table, we can see that "name  $\longrightarrow$  age" does not hold.

Let X = name, W = number, Y = birthdate, Z = age, we have shown that the inference rule from the question is not sound.

#### 6. TODO: Do Question 6

- 7. The attribute closure of set of attributes C:
  - Initially,  $closure = \{C\}$
  - From  $C \longrightarrow A$ , since  $C \subseteq closure$  and  $A \not\subseteq closure$ ,  $closure = \{C, A\}$
  - From  $AC \longrightarrow E$ , since  $AC \subseteq closure$  and  $E \not\subseteq closure$ ,  $closure = \{C, A, E\}$
  - From  $E \longrightarrow B$ , since  $E \subseteq closure$  and  $B \not\subseteq closure$ ,  $closure = \{C, A, E, B\}$
  - From  $AB \longrightarrow D$ , since  $AB \subseteq closure$  and  $D \not\subseteq closure$ ,  $closure = \{C, A, E, B, D\}$
  - From  $BC \longrightarrow D$ , since  $BC \subseteq closure$  and  $D \subseteq closure$ , we don't need to add D into closure more (as D is already in closure)
  - From  $D \longrightarrow A$ , since  $D \subseteq closure$  and  $A \subseteq closure$ , we don't need to add A into closure more (as A is already in closure)
  - Therefore,  $C^+ = \{A, B, C, D, E\}$

The attribute closure of set of attributes (EA):

- Initially,  $closure = \{E, A\}$
- From  $E \longrightarrow B$ , since  $E \subseteq closure$  and  $B \not\subseteq closure$ ,  $closure = \{E, A, B\}$
- From  $AB \longrightarrow D$ , since  $AB \subseteq closure$  and  $D \not\subseteq closure$ ,  $closure = \{E, A, B, D\}$
- From  $AC \longrightarrow E$ , since  $AC \nsubseteq closure$ , we don't need to add E into closure
- From  $BC \longrightarrow D$ , since  $BC \not\subseteq closure$ , we don't need to add D into closure
- From  $C \longrightarrow A$ , since  $C \not\subseteq closure$ , we don't need to add A into closure
- From  $D \longrightarrow A$ , since  $D \subseteq closure$  and  $A \subseteq closure$ , we don't need to add A into closure more (as A is already in closure)
- Therefore,  $(EA)^+ = \{A, B, D, E\}$

# 8. Compute $X^+$ for every $X\subseteq \{A,B,C,D,E\}$

ullet  $A^+=\{A\}$  since there is no dependency that would satisfy the closure algorithm

So we have  $A \longrightarrow A$ 

•  $B^+ = \{B\}$  since there is no dependency that would satisfy the closure algorithm

So we have  $B \longrightarrow B$ 

- $C^+ = \{A, B, C, D, E\}$  from question 7 So we have  $C \longrightarrow X$  for all  $X \subseteq \{A, B, C, D, E\}$
- $D^+ = \{A, D\}$  since there is only  $D \longrightarrow A$  that would satisfy the closure algorithm

So we have  $D \longrightarrow X$  for all  $X \subseteq \{A, D\}$ 

•  $E^+ = \{B, E\}$  since there is only  $E \longrightarrow B$  that would satisfy the closure algorithm

So we have  $E \longrightarrow X$  for all  $X \subseteq \{B, E\}$ 

- $(AB)^+ = \{A, B, D\}$  since there is only  $AB \longrightarrow D$  that would satisfy the closure algorithm So we have  $AB \longrightarrow X$  for all  $X \subseteq \{A, B, D\}$
- $(AC)^+ = \{A, B, C, D, E\}$  since AC includes C and we can reach others from only CSo we have  $AC \longrightarrow X$  for all  $X \subseteq \{A, B, C, D, E\}$
- $(AD)^+ = \{A, D\}$  since there is no dependency that would satisfy the closure algorithm So we have  $AD \longrightarrow X$  for all  $X \subseteq \{A, D\}$
- $(AE)^+ = \{A, B, E\}$  since there is only  $E \longrightarrow B$  that would satisfy the closure algorithm So we have  $AE \longrightarrow X$  for all  $X \subseteq \{A, B, E\}$
- $(BC)^+ = \{A, B, C, D, E\}$  since BC includes C and we can reach others from only CSo we have  $BC \longrightarrow X$  for all  $X \subseteq \{A, B, C, D, E\}$
- $(BD)^+ = \{A, B, D\}$  since there is only  $D \longrightarrow A$  that would satisfy the closure algorithm So we have  $BD \longrightarrow X$  for all  $X \subseteq \{A, B, D\}$
- $(BE)^+ = \{B, E\}$  since there is no dependency that would satisfy the closure algorithm So we have  $BE \longrightarrow X$  for all  $X \subseteq \{B, E\}$
- $(CD)^+ = \{A, B, C, D, E\}$  since CD includes C and we can reach others from only CSo we have  $CD \longrightarrow X$  for all  $X \subseteq \{A, B, C, D, E\}$
- $(CE)^+ = \{A, B, C, D, E\}$  since CE includes C and we can reach others from only CSo we have  $CE \longrightarrow X$  for all  $X \subseteq \{A, B, C, D, E\}$
- $(DE)^+ = \{A, B, D, E\}$  there are  $D \longrightarrow A$ ,  $E \longrightarrow B$  satisfy the closure algorithm So we have  $DE \longrightarrow X$  for all  $X \subseteq \{A, B, D, E\}$
- $(ABC)^+ = \{A, B, C, D, E\}$  since ABC includes CSo we have  $ABC \longrightarrow X$  for all  $X \subseteq \{A, B, C, D, E\}$
- $(ABD)^+ = \{A, B, D\}$  since there is no dependency that would satisfy the closure algorithm So we have  $ABD \longrightarrow X$  for all  $X \subseteq \{A, B, D\}$

- $(ABE)^+ = \{A, B, D, E\}$  since there is only  $AB \longrightarrow D$  that would satisfy the closure algorithm So we have  $ABE \longrightarrow X$  for all  $X \subseteq \{A, B, D, E\}$
- $(ACD)^+ = \{A, B, C, D, E\}$  since ACD includes CSo we have  $ACD \longrightarrow X$  for all  $X \subseteq \{A, B, C, D, E\}$
- $(ACE)^+ = \{A, B, C, D, E\}$  since ACE includes CSo we have  $ACE \longrightarrow X$  for all  $X \subseteq \{A, B, C, D, E\}$
- $(ADE)^+ = \{A, B, D, E\}$  since there is only  $E \longrightarrow B$  that would satisfy the closure algorithm So we have  $ADE \longrightarrow X$  for all  $X \subseteq \{A, B, D, E\}$
- $(BCD)^+ = \{A, B, C, D, E\}$  since BCD includes CSo we have  $BCD \longrightarrow X$  for all  $X \subseteq \{A, B, C, D, E\}$
- $(BCE)^+ = \{A, B, C, D, E\}$  since BCE includes CSo we have  $BCE \longrightarrow X$  for all  $X \subseteq \{A, B, C, D, E\}$
- $(BDE)^+ = \{A, B, D, E\}$  since there is only  $D \longrightarrow A$  that would satisfy the closure algorithm So we have  $BDE \longrightarrow X$  for all  $X \subseteq \{A, B, D, E\}$
- $(CDE)^+ = \{A, B, C, D, E\}$  since CDE includes CSo we have  $CDE \longrightarrow X$  for all  $X \subseteq \{A, B, C, D, E\}$
- $(ABCD)^+ = \{A, B, C, D, E\}$  since ABCD includes CSo we have  $ABCD \longrightarrow X$  for all  $X \subseteq \{A, B, C, D, E\}$
- $(ABCE)^+ = \{A, B, C, D, E\}$  since ABCE includes CSo we have  $ABCE \longrightarrow X$  for all  $X \subseteq \{A, B, C, D, E\}$
- $(ABDE)^+ = \{A, B, D, E\}$  since there is no dependency that would satisfy the closure algorithm So we have  $ABDE \longrightarrow X$  for all  $X \subseteq \{A, B, C, D, E\}$
- $(ACDE)^+ = \{A, B, C, D, E\}$  since ACDE includes CSo we have  $ACDE \longrightarrow X$  for all  $X \subseteq \{A, B, C, D, E\}$
- $(BCDE)^+ = \{A, B, C, D, E\}$  since BCDE includes CSo we have  $BCDE \longrightarrow X$  for all  $X \subseteq \{A, B, C, D, E\}$
- $(ABCDE)^+ = \{A, B, C, D, E\}$  since ABCDE includes CSo we have  $ABCDE \longrightarrow X$  for all  $X \subseteq \{A, B, C, D, E\}$
- Any combination that contains C is the superkey

- C is the (candidate) key
- 9. Starting with  $\{AB \longrightarrow D, AC \longrightarrow E, BC \longrightarrow D, C \longrightarrow A, D \longrightarrow A, E \longrightarrow B\}$ 
  - From  $C \longrightarrow A$ , we can use Augmentation with C to derive  $C \longrightarrow AC$ . We also have  $AC \longrightarrow E$ . Then, by Transitivity, we conclude  $C \longrightarrow E$ . Thus, we can add  $C \longrightarrow E$  to our set.  $\{AB \longrightarrow D, AC \longrightarrow E, BC \longrightarrow D, C \longrightarrow A, D \longrightarrow A, E \longrightarrow B, C \longrightarrow E\}$
  - From  $C \longrightarrow E$ , we can use Augmentation with A to derive  $AC \longrightarrow AE$ . Then, we can use Decomposition to get  $AC \longrightarrow A$  and  $AC \longrightarrow E$ .  $AC \longrightarrow A$  is trivial since  $A \subseteq AC$ . This means that, from  $C \longrightarrow E$ , we can get  $AC \longrightarrow E$ . So, we can get rid of  $AC \longrightarrow E$ .

$$\{AB \longrightarrow D, BC \longrightarrow D, C \longrightarrow A, D \longrightarrow A, E \longrightarrow B, C \longrightarrow E\}$$

- From  $C \longrightarrow E$  and  $E \longrightarrow B$ , by Transitivity, we get  $C \longrightarrow B$ . Then, we get apply Augmentation on  $C \longrightarrow B$  with C to derive  $C \longrightarrow BC$ . We also have  $BC \longrightarrow D$ . So, by Transitivity, we derive  $C \longrightarrow D$ . Thus, we can add  $C \longrightarrow D$  to our set.  $\{AB \longrightarrow D, BC \longrightarrow D, C \longrightarrow A, D \longrightarrow A, E \longrightarrow B, C \longrightarrow E, C \longrightarrow D\}$
- From  $C \longrightarrow D$ , we can apply Augmentation with B to get  $BC \longrightarrow BD$ . Then, we can use Decomposition to get  $BC \longrightarrow B$  and  $BC \longrightarrow D$ .  $BC \longrightarrow B$  is trivial since  $B \subseteq BC$ . This means that from  $C \longrightarrow D$ , we can get  $BC \longrightarrow D$ . So, we can get rid of  $BC \longrightarrow D$ .

$$\{AB \longrightarrow D, C \longrightarrow A, D \longrightarrow A, E \longrightarrow B, C \longrightarrow E, C \longrightarrow D\}$$

• By Transitivity on  $C \longrightarrow D$  and  $D \longrightarrow A$ , we can derive  $C \longrightarrow A$ . Thus, we can get rid of  $C \longrightarrow A$ .

$$\{AB \longrightarrow D, D \longrightarrow A, E \longrightarrow B, C \longrightarrow E, C \longrightarrow D\}$$