## Assignment 5: Dependency Theory

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## D1 Reasoning with dependencies

- 1. Assume  $AB \longrightarrow C, A \longrightarrow D$  and  $CD \longrightarrow EF$ 
  - Apply Augmentation on  $AB \longrightarrow C$  with A to derive  $AB \longrightarrow CA$
  - Apply Augmentation on  $A \longrightarrow D$  with C to derive  $CA \longrightarrow CD$
  - $\bullet$  Apply Transitivity on  $AB\longrightarrow CA$  and  $CA\longrightarrow CD$  to derive  $AB\longrightarrow CD$
  - Apply Transitivity on  $AB \longrightarrow CD$  and  $CD \longrightarrow EF$  to derive  $AB \longrightarrow EF$
  - Apply Reflection on  $F \subseteq EF$  to derive  $EF \longrightarrow F$
  - $\bullet$  Apply Transitivity on  $AB \longrightarrow EF$  and  $EF \longrightarrow F$  to derive  $AB \longrightarrow F$
  - Hence,  $AB \longrightarrow F$
- 2. Assume we have rows  $r_1, r_2 \in I$  of instance I such that  $r_1[XW] = r_2[XW]$ 
  - By  $r_1[XW] = r_2[XW]$ , we have  $r_1[X] = r_2[X]$  and  $r_1[W] = r_2[W]$
  - Using  $X \longrightarrow Y$  and  $r_1[X] = r_2[X]$ , we conclude that  $r_1[Y] = r_2[Y]$
  - By  $r_1[Y] = r_2[Y]$  and  $r_1[W] = r_2[W]$ , we have  $r_1[YW] = r_2[YW]$
  - Using  $YW \longrightarrow Z$  and  $r_1[YW] = r_2[YW]$ , we conclude that  $r_1[Z] = r_2[Z]$

- Hence,  $r_1[Z] = r_2[Z]$  holds.
- 3. Assume we have  $r_1[X]$  for every instance  $I_1$  of R and every row  $r_1 \in I_1$ 
  - Using  $R[X] \subseteq S[Y]$  and  $r_1[X]$ , there exists a row in instance  $I_2$  of S with  $r_1[X] = r_2[X]$
  - Using  $S[Y] \subseteq T[Z]$  and  $r_2[Y]$ , there exists a row in instance  $I_3$  of T with  $r_2[Y] = r_3[Z]$
  - Thus, for every instance  $I_1$  of R and every row  $r_1 \in R$ , there exists a row in instance  $I_3$  of T such that  $r_1[X] = r_3[Z]$
  - Hence,  $R[X] \subseteq T[Z]$
- 4. Assume  $X \to Y$  and  $XY \longrightarrow Z$ 
  - Apply Complementation on X woheadrightarrow Y to derive X woheadrightarrow Z (with Z all attributes of  $\mathbf R$  not in X and Y)
  - Apply Reflexivity on  $Z \setminus (X \cup Y) \subseteq Z$  to derive  $Z \longrightarrow Z \setminus (X \cup Y)$
  - Apply Transitivity on  $XY \longrightarrow Z$  and  $Z \longrightarrow Z \setminus (X \cup Y)$  to derive  $XY \longrightarrow Z \setminus (X \cup Y)$
  - Since Z is all attributes of **R** not in X and Y,  $Z \cap XY = \emptyset$
  - Apply Coalescence on  $X \twoheadrightarrow Z, XY \longrightarrow Z \setminus (X \cup Y), Z \cap XY = \emptyset$  and  $Z \setminus (X \cup Y) \subseteq Z$ , we conclude that  $X \longrightarrow Z \setminus (X \cup Y)$
  - Hence,  $X \longrightarrow Z \setminus (X \cup Y)$
- 5. Consider the following table of the schema

person(name, number, birthdate, age)

| name  | number | birthdate  | age |
|-------|--------|------------|-----|
| Alice | 1      | 2001-01-01 | 20  |
| Alice | 2      | 2005-09-05 | 16  |

Since <u>name</u>, <u>number</u> are the primary keys, they determine all attributes. Thus, "name, number  $\longrightarrow$  birthdate".

We know from the lecture that birthdate determines age since people who have the same birthdate would have the same age, and so "birthdate  $\longrightarrow$  age".

Since {birthdate}  $\subseteq$  {name, birthdate}, by applying Reflexivity, we get "name, birthdate  $\longrightarrow$  birthdate".

Applying Transitivity on "name, birthdate  $\longrightarrow$  birthdate" and "birthdate  $\longrightarrow$  age", we derive "name, birthdate  $\longrightarrow$  age"

Hence, we have "name, number  $\longrightarrow$  birthdate" and "name, birthdate  $\longrightarrow$  age".

However, from the table, we can see that "name  $\longrightarrow$  age" does not hold.

Let X = name, W = number, Y = birthdate, Z = age, we have shown that the inference rule from the question is not sound.

- 6. Let  $Y = \{y_1, y_2, \dots, y_n\}$  where each  $y_i$  is "atomic" attribute (i.e., not a set of attributes)
  - Let  $X = \{x_1, x_2, \dots, x_m\}$  where each  $x_i$  is "atomic" attribute (i.e., not a set of attributes)
  - Since  $\mathfrak{S} \models X \longrightarrow Y$ , by Decomposition, we know  $\mathfrak{S} \models X \longrightarrow y_i$  for all  $y_i \in Y \ (1 \le i \le n)$
  - Furthermore, since closure is complete, we know  $y_i \in X^+$  for all  $y_i \in Y$ . Thus,  $Y \subseteq X^+$
  - So, we can write  $X^+ = XYZ_1Z_2...Z_k$  where  $Z_1Z_2...Z_k$  are sets of attributes different from each other and different from X and Y. They are added during the process of the closure algorithm.

Note that  $Z_i$  may contain some attributes in X and/or Y but not all.  $Z_i$  could also be strict subset of X or Y.

- Another way of writing  $X^+$  is  $X^+ = X \cup Y \cup Z_1 \cup Z_2 \cup \cdots \cup Z_k$
- If there is no  $Z_i \in X^+$ , that is  $X^+ = X \cup Y$ , then it means that  $X \longrightarrow Y \in \mathfrak{S}$ . Thus, it is obviously that we can derive  $X \longrightarrow Y$  from  $\mathfrak{S}$

- 7. The attribute closure of set of attributes C:
  - Initially,  $closure = \{C\}$
  - From  $C \longrightarrow A$ , since  $C \subseteq closure$  and  $A \not\subseteq closure$ ,  $closure = \{C, A\}$
  - From  $AC \longrightarrow E$ , since  $AC \subseteq closure$  and  $E \not\subseteq closure$ ,  $closure = \{C, A, E\}$
  - From  $E \longrightarrow B$ , since  $E \subseteq closure$  and  $B \not\subseteq closure$ ,  $closure = \{C, A, E, B\}$
  - From  $AB \longrightarrow D$ , since  $AB \subseteq closure$  and  $D \not\subseteq closure$ ,  $closure = \{C, A, E, B, D\}$
  - From  $BC \longrightarrow D$ , since  $BC \subseteq closure$  and  $D \subseteq closure$ , we don't need to add D into closure more (as D is already in closure)
  - From  $D \longrightarrow A$ , since  $D \subseteq closure$  and  $A \subseteq closure$ , we don't need to add A into closure more (as A is already in closure)
  - Therefore,  $C^+ = \{A, B, C, D, E\}$

The attribute closure of set of attributes (EA):

- Initially,  $closure = \{E, A\}$
- From  $E \longrightarrow B$ , since  $E \subseteq closure$  and  $B \not\subseteq closure$ ,  $closure = \{E, A, B\}$
- From  $AB \longrightarrow D$ , since  $AB \subseteq closure$  and  $D \not\subseteq closure$ ,  $closure = \{E, A, B, D\}$
- From  $AC \longrightarrow E$ , since  $AC \not\subseteq closure$ , we don't need to add E into closure
- From  $BC \longrightarrow D$ , since  $BC \not\subseteq closure$ , we don't need to add D into closure
- From  $C \longrightarrow A$ , since  $C \not\subseteq closure$ , we don't need to add A into closure
- From  $D \longrightarrow A$ , since  $D \subseteq closure$  and  $A \subseteq closure$ , we don't need to add A into closure more (as A is already in closure)

- Therefore,  $(EA)^+ = \{A, B, D, E\}$
- 8. Compute  $X^+$  for every  $X \subseteq \{A, B, C, D, E\}$ 
  - $A^+ = \{A\}$  since there is no dependency that would satisfy the closure algorithm

So we have  $A \longrightarrow A$ 

•  $B^+ = \{B\}$  since there is no dependency that would satisfy the closure algorithm

So we have  $B \longrightarrow B$ 

- $C^+ = \{A, B, C, D, E\}$  from question 7 So we have  $C \longrightarrow X$  for all  $X \subseteq \{A, B, C, D, E\}$
- $D^+ = \{A, D\}$  since there is only  $D \longrightarrow A$  that would satisfy the closure algorithm

So we have  $D \longrightarrow X$  for all  $X \subseteq \{A, D\}$ 

•  $E^+ = \{B, E\}$  since there is only  $E \longrightarrow B$  that would satisfy the closure algorithm

So we have  $E \longrightarrow X$  for all  $X \subseteq \{B, E\}$ 

•  $(AB)^+ = \{A,B,D\}$  since there is only  $AB \longrightarrow D$  that would satisfy the closure algorithm

So we have  $AB \longrightarrow X$  for all  $X \subseteq \{A, B, D\}$ 

•  $(AC)^+ = \{A, B, C, D, E\}$  since AC includes C and we can reach others from only C

So we have  $AC \longrightarrow X$  for all  $X \subseteq \{A, B, C, D, E\}$ 

•  $(AD)^+ = \{A, D\}$  since there is no dependency that would satisfy the closure algorithm

So we have  $AD \longrightarrow X$  for all  $X \subseteq \{A, D\}$ 

•  $(AE)^+ = \{A, B, E\}$  since there is only  $E \longrightarrow B$  that would satisfy the closure algorithm

So we have  $\overrightarrow{AE} \longrightarrow X$  for all  $X \subseteq \{A, B, E\}$ 

•  $(BC)^+ = \{A, B, C, D, E\}$  since BC includes C and we can reach others from only C

So we have  $BC \longrightarrow X$  for all  $X \subseteq \{A, B, C, D, E\}$ 

- $(BD)^+ = \{A, B, D\}$  since there is only  $D \longrightarrow A$  that would satisfy the closure algorithm So we have  $BD \longrightarrow X$  for all  $X \subseteq \{A, B, D\}$
- $(BE)^+ = \{B, E\}$  since there is no dependency that would satisfy the closure algorithm So we have  $BE \longrightarrow X$  for all  $X \subseteq \{B, E\}$
- $(CD)^+ = \{A, B, C, D, E\}$  since CD includes C and we can reach others from only CSo we have  $CD \longrightarrow X$  for all  $X \subseteq \{A, B, C, D, E\}$
- $(CE)^+ = \{A, B, C, D, E\}$  since CE includes C and we can reach others from only CSo we have  $CE \longrightarrow X$  for all  $X \subseteq \{A, B, C, D, E\}$
- $(DE)^+ = \{A, B, D, E\}$  there are  $D \longrightarrow A, E \longrightarrow B$  satisfy the closure algorithm So we have  $DE \longrightarrow X$  for all  $X \subseteq \{A, B, D, E\}$
- $(ABC)^+ = \{A, B, C, D, E\}$  since ABC includes CSo we have  $ABC \longrightarrow X$  for all  $X \subseteq \{A, B, C, D, E\}$
- $(ABD)^+ = \{A, B, D\}$  since there is no dependency that would satisfy the closure algorithm So we have  $ABD \longrightarrow X$  for all  $X \subseteq \{A, B, D\}$
- $(ABE)^+ = \{A, B, D, E\}$  since there is only  $AB \longrightarrow D$  that would satisfy the closure algorithm So we have  $ABE \longrightarrow X$  for all  $X \subseteq \{A, B, D, E\}$
- $(ACD)^+ = \{A, B, C, D, E\}$  since ACD includes CSo we have  $ACD \longrightarrow X$  for all  $X \subseteq \{A, B, C, D, E\}$
- $(ACE)^+ = \{A, B, C, D, E\}$  since ACE includes CSo we have  $ACE \longrightarrow X$  for all  $X \subseteq \{A, B, C, D, E\}$
- $(ADE)^+ = \{A, B, D, E\}$  since there is only  $E \longrightarrow B$  that would satisfy the closure algorithm So we have  $ADE \longrightarrow X$  for all  $X \subseteq \{A, B, D, E\}$
- $(BCD)^+ = \{A, B, C, D, E\}$  since BCD includes CSo we have  $BCD \longrightarrow X$  for all  $X \subseteq \{A, B, C, D, E\}$
- $(BCE)^+ = \{A, B, C, D, E\}$  since BCE includes CSo we have  $BCE \longrightarrow X$  for all  $X \subseteq \{A, B, C, D, E\}$

- $(BDE)^+ = \{A, B, D, E\}$  since there is only  $D \longrightarrow A$  that would satisfy the closure algorithm So we have  $BDE \longrightarrow X$  for all  $X \subseteq \{A, B, D, E\}$
- $(CDE)^+ = \{A, B, C, D, E\}$  since CDE includes CSo we have  $CDE \longrightarrow X$  for all  $X \subseteq \{A, B, C, D, E\}$
- $(ABCD)^+ = \{A, B, C, D, E\}$  since ABCD includes CSo we have  $ABCD \longrightarrow X$  for all  $X \subseteq \{A, B, C, D, E\}$
- $(ABCE)^+ = \{A, B, C, D, E\}$  since ABCE includes CSo we have  $ABCE \longrightarrow X$  for all  $X \subseteq \{A, B, C, D, E\}$
- $(ABDE)^+ = \{A, B, D, E\}$  since there is no dependency that would satisfy the closure algorithm So we have  $ABDE \longrightarrow X$  for all  $X \subseteq \{A, B, C, D, E\}$
- $(ACDE)^+ = \{A, B, C, D, E\}$  since ACDE includes CSo we have  $ACDE \longrightarrow X$  for all  $X \subseteq \{A, B, C, D, E\}$
- $(BCDE)^+ = \{A, B, C, D, E\}$  since BCDE includes CSo we have  $BCDE \longrightarrow X$  for all  $X \subseteq \{A, B, C, D, E\}$
- $(ABCDE)^+ = \{A, B, C, D, E\}$  since ABCDE includes C So we have  $ABCDE \longrightarrow X$  for all  $X \subseteq \{A, B, C, D, E\}$
- From the closure, we know that any combination that contains C is the superkey, more specifically, AC, BC, C, CD, CE, ABC, ACD, ACE, BCD, BCE, CDE, ABCD, ABCE, ACDE, BCDE, ABCDE are superkeys
- Notice that, from C, we can derive all attributes in  $\mathbf{r}$  C is already minimal, so C is the (candidate) key
- 9. Starting with  $\{AB \longrightarrow D, AC \longrightarrow E, BC \longrightarrow D, C \longrightarrow A, D \longrightarrow A, E \longrightarrow B\}$ 
  - From  $C \longrightarrow A$ , we can use Augmentation with C to derive  $C \longrightarrow AC$ . We also have  $AC \longrightarrow E$ . Then, by Transitivity, we conclude  $C \longrightarrow E$ . Thus, we can add  $C \longrightarrow E$  to our set.  $\{AB \longrightarrow D, AC \longrightarrow E, BC \longrightarrow D, C \longrightarrow A, D \longrightarrow A, E \longrightarrow B, C \longrightarrow E\}$

• From  $C \longrightarrow E$ , we can use Augmentation with A to derive  $AC \longrightarrow AE$ . Then, we can use Decomposition to get  $AC \longrightarrow A$  and  $AC \longrightarrow E$ .  $AC \longrightarrow A$  is trivial since  $A \subseteq AC$ . This means that, from  $C \longrightarrow E$ , we can get  $AC \longrightarrow E$ . So, we can get rid of  $AC \longrightarrow E$ .

 $\{AB \longrightarrow D, BC \longrightarrow D, C \longrightarrow A, D \longrightarrow A, E \longrightarrow B, C \longrightarrow E\}$ 

- From  $C \longrightarrow E$  and  $E \longrightarrow B$ , by Transitivity, we get  $C \longrightarrow B$ . Then, we get apply Augmentation on  $C \longrightarrow B$  with C to derive  $C \longrightarrow BC$ . We also have  $BC \longrightarrow D$ . So, by Transitivity, we derive  $C \longrightarrow D$ . Thus, we can add  $C \longrightarrow D$  to our set.  $\{AB \longrightarrow D, BC \longrightarrow D, C \longrightarrow A, D \longrightarrow A, E \longrightarrow B, C \longrightarrow E, C \longrightarrow D\}$
- From  $C \longrightarrow D$ , we can apply Augmentation with B to get  $BC \longrightarrow BD$ . Then, we can use Decomposition to get  $BC \longrightarrow B$  and  $BC \longrightarrow D$ .  $BC \longrightarrow B$  is trivial since  $B \subseteq BC$ . This means that from  $C \longrightarrow D$ , we can get  $BC \longrightarrow D$ . So, we can get rid of  $BC \longrightarrow D$ .

 $\{AB \longrightarrow D, C \longrightarrow A, D \longrightarrow A, E \longrightarrow B, C \longrightarrow E, C \longrightarrow D\}$ 

- By Transitivity on  $C \longrightarrow D$  and  $D \longrightarrow A$ , we can derive  $C \longrightarrow A$ . Thus, we can get rid of  $C \longrightarrow A$ .  $\{AB \longrightarrow D, D \longrightarrow A, E \longrightarrow B, C \longrightarrow E, C \longrightarrow D\}$
- From here, we cannot "reduce" anymore. Thus, the minimal cover for  $\mathfrak{S}$  is  $\{AB \longrightarrow D, D \longrightarrow A, E \longrightarrow B, C \longrightarrow E, C \longrightarrow D\}$