## TREES!

## ABOUT

Trees are a handy structure in Data Structures, and are also a part of Graph Theory.

## TOPICS

1. Intro to Trees

2. Propositions & Theorems

3. Spanning Tree Algorithms

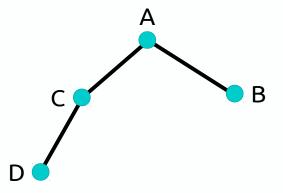
## INTRO TO TREES

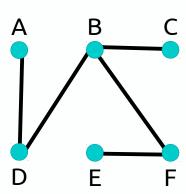
## 1. Intro to Trees

**Connected Graph:** A graph where there exists a walk between any two arbitrarily chosen nodes.

**Simple Graph:** A graph with no loops and no parallel edges.

**Tree:** A connected, simple graph with no cycles.





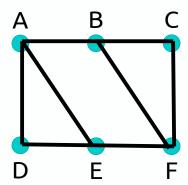
#### **Notes**

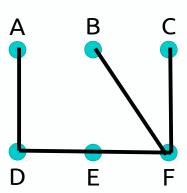
## 1. Intro to Trees

**Connected Graph:** A graph where there exists a walk between any two arbitrarily chosen nodes.

Simple Graph: A graph with no loops and no parallel edges.

**Spanning Tree:** Given some simple, connected graph G, a subgraph T is a spanning tree of G if T is a tree and every node in G is a node in T.

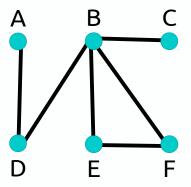


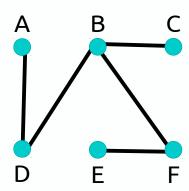


#### **Notes**

# PROPOSITIONS & THEOREMS

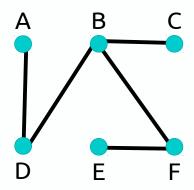
**Proposition 3:** If you have a connected graph with a cycle in it, and you remove an edge from the cycle, then the graph is still connected.





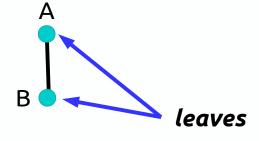
#### **Notes**

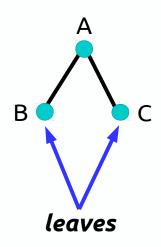
**Proposition 4:** For a connected graph with at least one edge, if there are no cycles in the graph, then the graph has at least one vertex with degree 1.



#### Notes

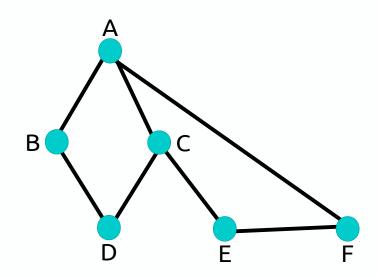
**Proposition 5:** For any tree with at least one edge, the tree has at least two leaves.





#### Notes

**Proposition 6:** If a simple graph has a walk from vertex a to vertex b, then there is also a path from vertex a to vertex b.



#### **Notes**

**Simple Graph:** A graph with <u>no loops</u> and <u>no parallel edges</u>.

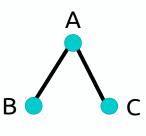
**Walk:** A series of alternating vertices/edges.

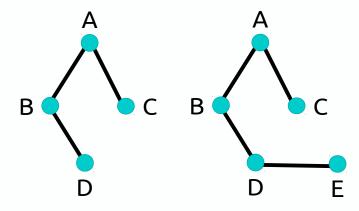
**Trail:** A walk with no repeated edges.

#### Theorem 7:

A tree with n edges has n + 1 vertices.







#### **Notes**

**Simple Graph:** A graph with <u>no loops</u> and <u>no parallel edges</u>.

**Walk:** A series of alternating vertices/edges.

**Trail:** A walk with no repeated edges.

#### Theorem 2:

A connected graph is Eulerian if (and only if) every node has an even degree.

#### Notes

**Simple Graph:** A graph with <u>no loops</u> and <u>no parallel edges</u>.

**Walk:** A series of alternating vertices/edges.

**Trail:** A walk with no repeated edges.

# SPANNING TREE ALGORITHMS

#### (Basic) Spanning Tree Algorithm:

Input: A simple, connected graph  $G_0$ .

Algorithm:

For each i >= 1, as long as there is a <u>cycle</u> in  $G_{i-1}$ ... Choose an edge e in any cycle of  $G_{i-1}$ , and form the subgraph  $G_i$  of  $G_{i-1}$  by deleting e from  $G_{i-1}$ .

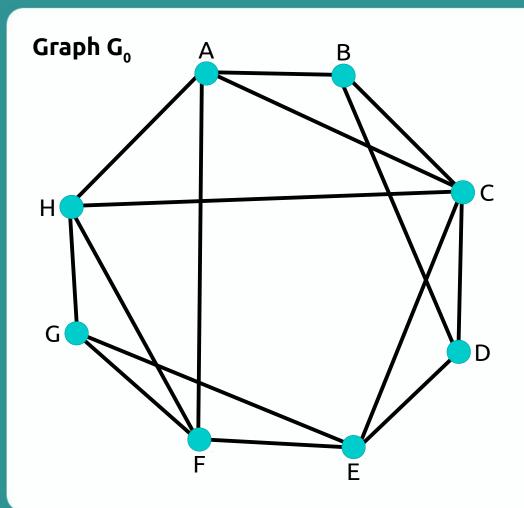
Output: The final result  $G_k$  will be a spanning tree of  $G_n$ .

#### Notes

**Simple Graph:** A graph with <u>no loops</u> and <u>no parallel edges</u>.

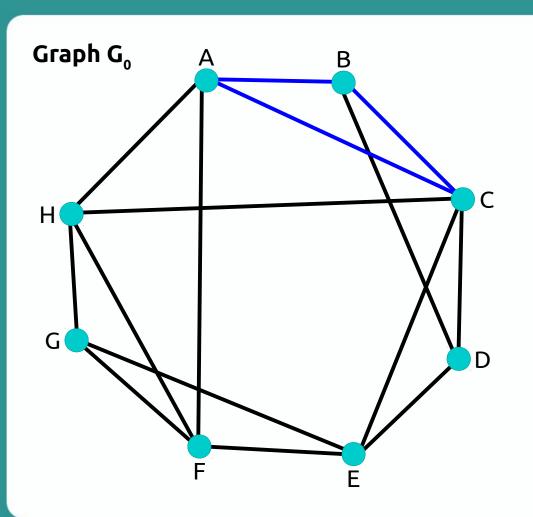
**Walk:** A series of alternating vertices/edges.

**Trail:** A walk with no repeated edges.



First, we begin with some simple, connected graph.

- Input: A simple, connected graph G<sub>0</sub>.
- For each i >= 1, as long as there is a <u>cycle</u> in G<sub>i-1</sub>...
  - Choose an edge e in any cycle of G<sub>i-1</sub>, and form the subgraph G<sub>i</sub> of G<sub>i-1</sub> by deleting e from G<sub>i-1</sub>.
- Output: The final result G<sub>k</sub>
   will be a spanning tree of G<sub>0</sub>.

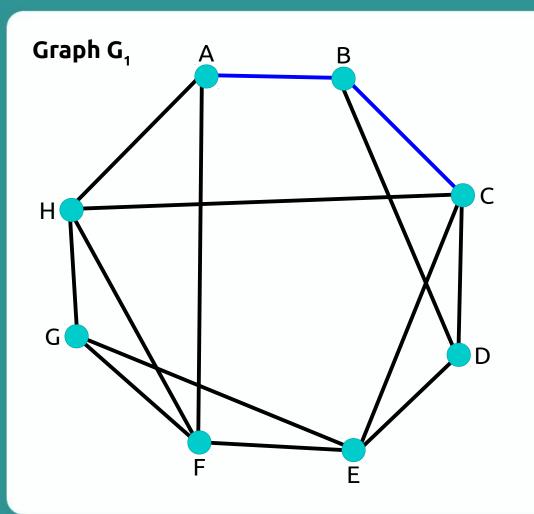


First, we begin with some simple, connected graph.

Cycles, exist, so we will start the for loop.

Choose any arbitrary cycle in the graph.

- Input: A simple, connected graph  $G_0$ .
- For each i >= 1, as long as there is a <u>cycle</u> in G<sub>i.1</sub>...
  - Choose an edge e in any cycle of G<sub>i-1</sub>, and form the subgraph G<sub>i</sub> of G<sub>i-1</sub> by deleting e from G<sub>i-1</sub>.
- Output: The final result G<sub>k</sub>
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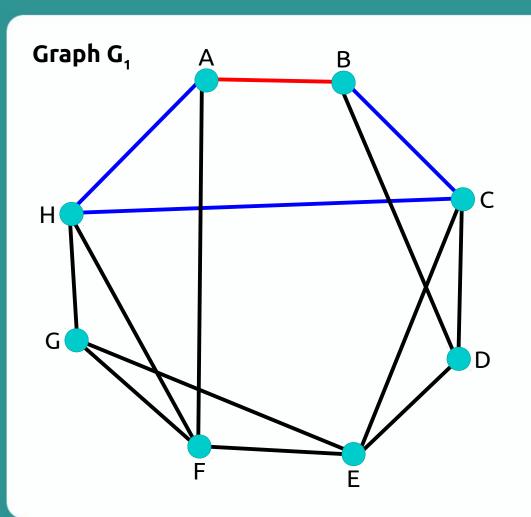


First, we begin with some simple, connected graph.

Choose any edge from this cycle and remove it.

This takes us from the initial state,  $G_{0,}$  to the next state,  $G_1$ .

- Input: A simple, connected graph  $G_0$ .
- For each i >= 1, as long as there is a <u>cycle</u> in G<sub>i.1</sub>...
  - Choose an edge e in any cycle of G<sub>i-1</sub>, and form the subgraph G<sub>i</sub> of G<sub>i-1</sub> by deleting e from G<sub>i-1</sub>.
- Output: The final result G<sub>k</sub> will be a spanning tree of G<sub>0</sub>.

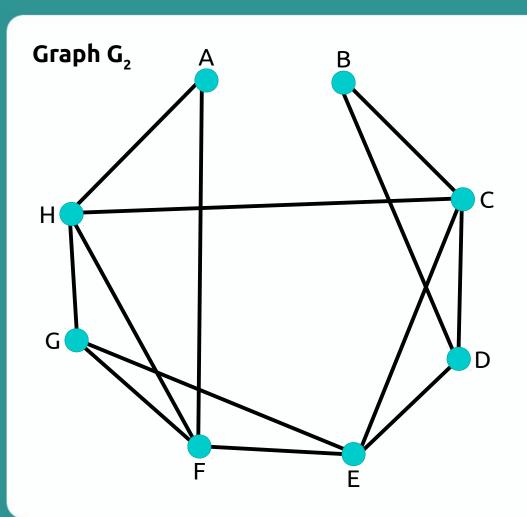


We still have cycles, so we continue.

Identify a cycle...

Remove an edge...

- Input: A simple, connected graph G<sub>o</sub>.
- For each i >= 1, as long as there is a <u>cycle</u> in G<sub>i.1</sub>...
  - Choose an edge e in any cycle of G<sub>i-1</sub>, and form the subgraph G<sub>i</sub> of G<sub>i-1</sub> by deleting e from G<sub>i-1</sub>.
- Output: The final result G<sub>k</sub> will be a spanning tree of G<sub>0</sub>.

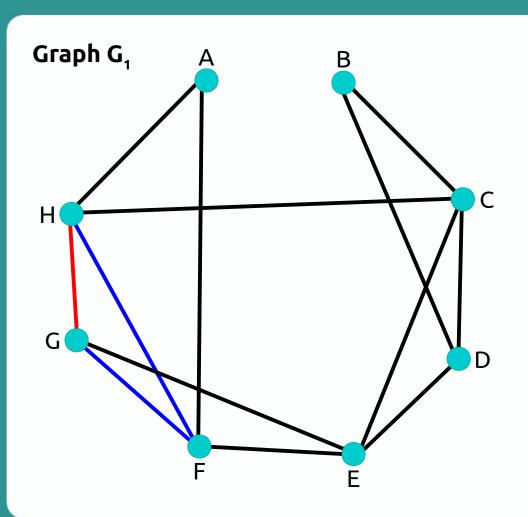


We still have cycles, so we continue.

Identify a cycle...

Remove an edge...

- Input: A simple, connected graph  $G_0$ .
- For each  $i \ge 1$ , as long as there is a <u>cycle</u> in  $G_{i-1}$ ...
  - Choose an edge e in any cycle of G<sub>i-1</sub>, and form the subgraph G<sub>i</sub> of G<sub>i-1</sub> by deleting e from G<sub>i-1</sub>.
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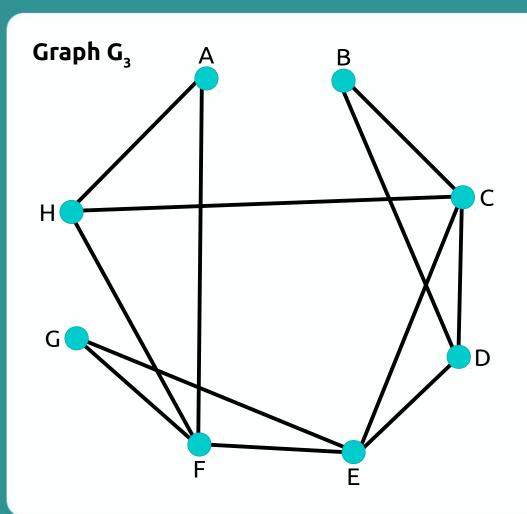


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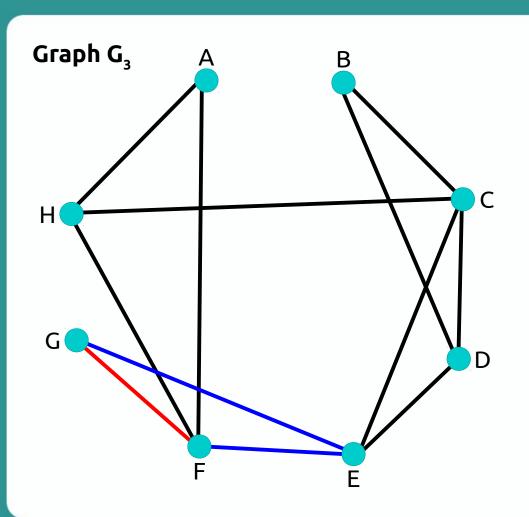


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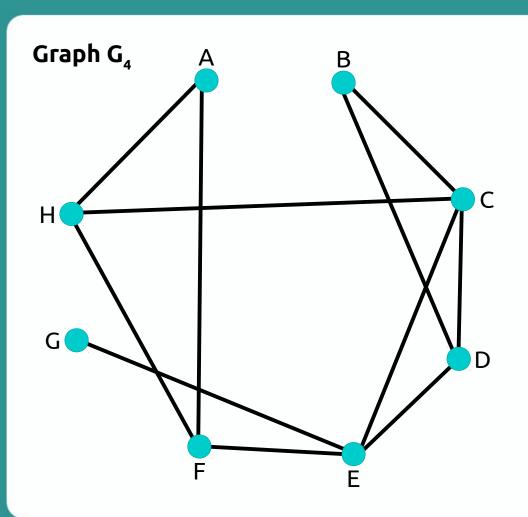


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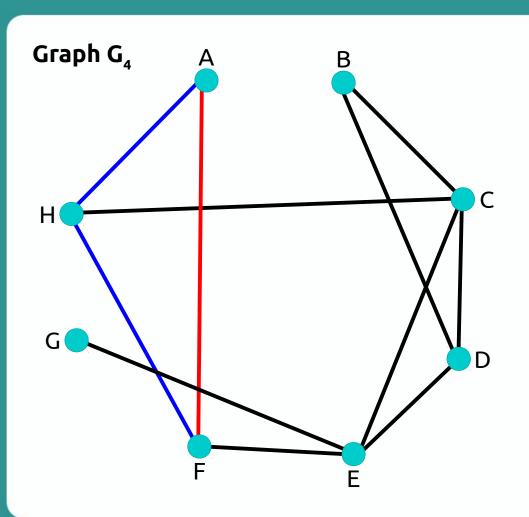


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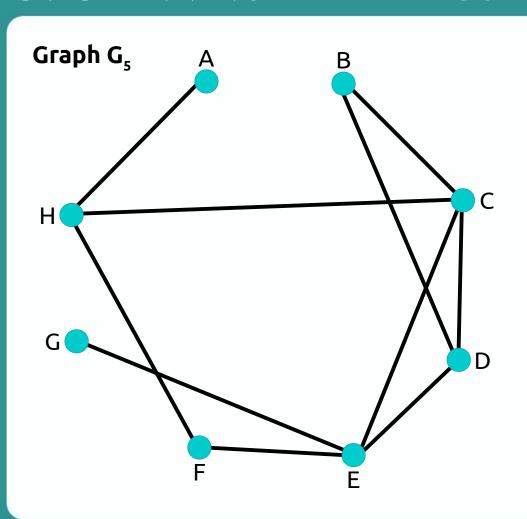


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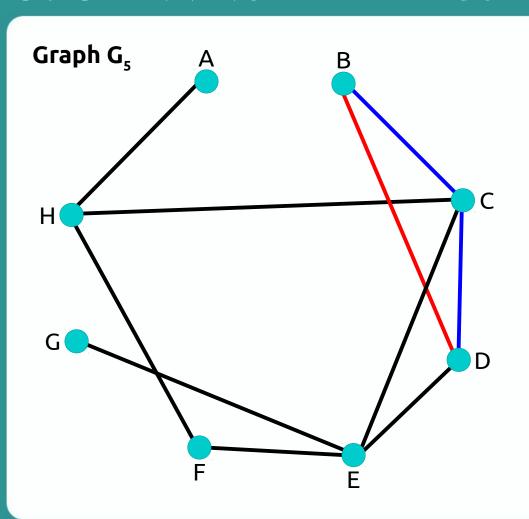


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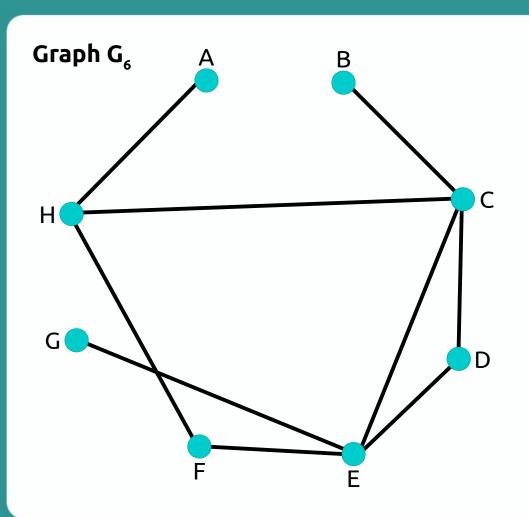


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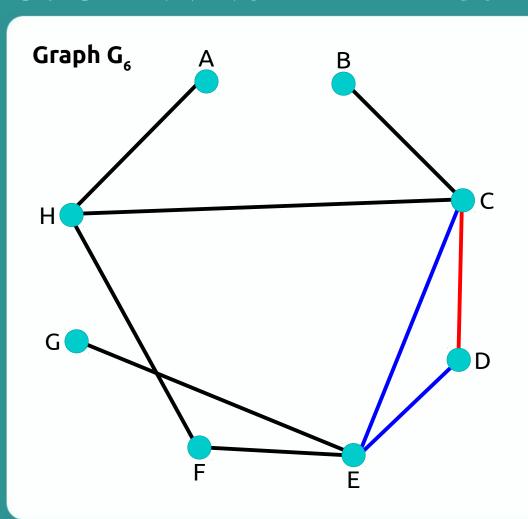


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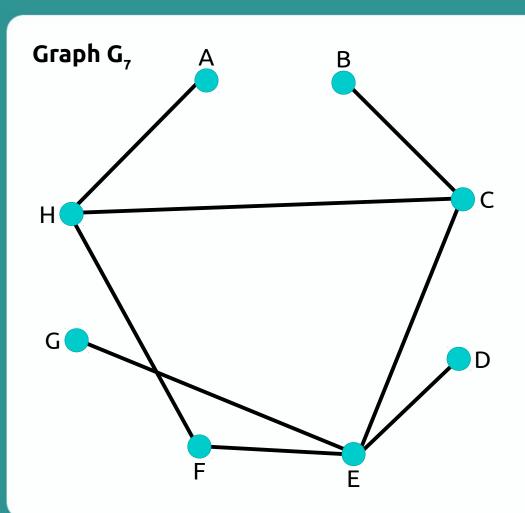


We still have cycles, so we continue.

Identify a cycle...

Remove an edge...

- Input: A simple, connected graph  $G_0$ .
- For each i >= 1, as long as there is a <u>cycle</u> in G<sub>i-1</sub>...
  - Choose an edge e in any cycle of G<sub>i-1</sub>, and form the subgraph G<sub>i</sub> of G<sub>i-1</sub> by deleting e from G<sub>i-1</sub>.
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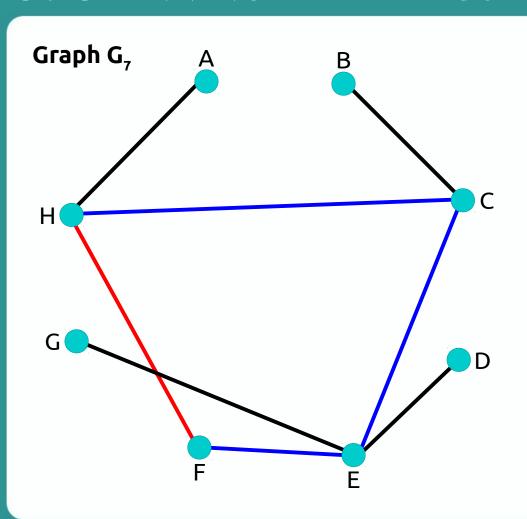


We still have cycles, so we continue.

Identify a cycle...

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- Input: A simple, connected graph G<sub>o</sub>.
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  - Choose an edge e in any cycle of G<sub>i-1</sub>, and form the subgraph G<sub>i</sub> of G<sub>i-1</sub> by deleting e from G<sub>i-1</sub>.
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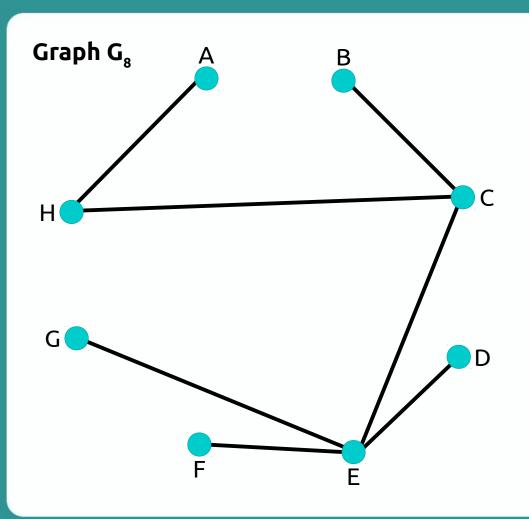


We still have cycles, so we continue.

Identify a cycle...

Remove an edge...

- Input: A simple, connected graph G<sub>0</sub>.
- For each i >= 1, as long as there is a <u>cycle</u> in G<sub>i-1</sub>...
  - Choose an edge e in any cycle of G<sub>i-1</sub>, and form the subgraph G<sub>i</sub> of G<sub>i-1</sub> by deleting e from G<sub>i-1</sub>.
- Output: The final result G<sub>k</sub>
   will be a spanning tree of G<sub>0</sub>.



Now we have no more cycles, and we are done.

This is one of many possible spanning trees of the original graph,  $G_0$ .

- Input: A simple, connected graph  $G_0$ .
- For each i >= 1, as long as there is a <u>cycle</u> in G<sub>i.1</sub>...
  - Choose an edge e in any cycle of G<sub>i-1</sub>, and form the subgraph G<sub>i</sub> of G<sub>i-1</sub> by deleting e from G<sub>i-1</sub>.
- Output: The final result G<sub>k</sub>
   will be a spanning tree of G<sub>0</sub>.

#### Prim's Spanning Tree Algorithm:

Input: A simple, connected graph G with n + 1 nodes.

Initialize: Let  $v_o$  be any node in G, and let  $T_o = \{v_o\}$  be a tree with one node and no edges.

For each k from  $\{1, 2, ..., n\}$ :

- Let  $E_k = \{e \text{ an edge in } G : e \text{ has one endpoint in } T_{k-1} \text{ and the other endpoint not in } T_{k-1} \}$ .
- Let  $e_k$  be the edge in  $E_k$  with the smallest weight. (In case of a tie, choose any edge of the smallest weight.)
- Let  $T_k$  be the tree obtained by adding edge  $e_k$  (along with its node not already in  $T_{k-1}$ ) to  $T_{k-1}$ .

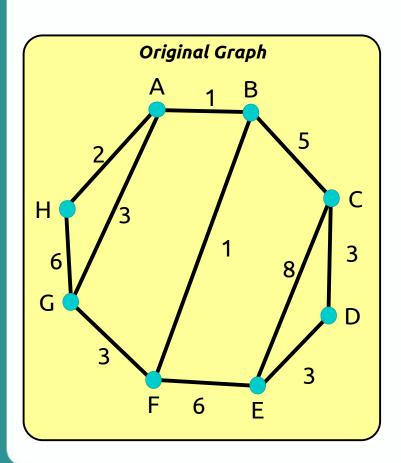
Output: The final result  $T_a$  is the tree returned by the algorithm.

#### Notes

**Simple Graph:** A graph with <u>no loops</u> and <u>no parallel edges</u>.

**Walk:** A series of alternating vertices/edges.

**Trail:** A walk with no repeated edges.



First, we begin with some simple, connected weighted graph.

We are going to build out a tree using the algorithm, and taking one edge at a time.

#### **Notes**

#### Prim's Spanning Tree Algorithm:

Input: A simple, connected graph G with n + 1 nodes.

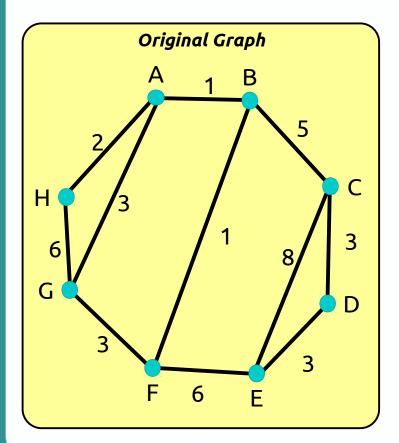
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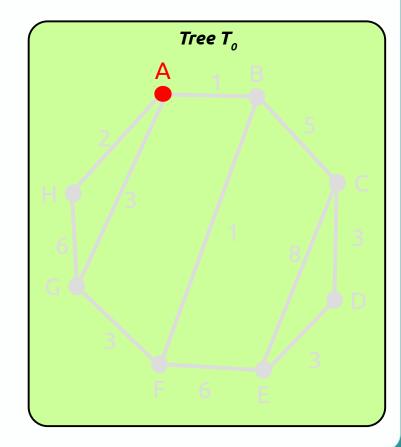
For each k from  $\{1, 2, ..., n\}$ :

- Let E<sub>k</sub> = {e an edge in G: e has one endpoint in T<sub>k-1</sub> and the other endpoint not in T<sub>k-1</sub>}.
- Let  $e_k$  be the edge in  $E_k$  with the smallest weight. (In case of a tie, choose any edge of the smallest weight.)
- Let  $T_k$  be the tree obtained by adding edge  $e_k$  (along with its node not already in  $T_{k-1}$ ) to  $T_{k-1}$ .

Output: The final result  $T_n$  is the tree returned by the algorithm.

We can start with any node, so I will start with node A. So  $T_0 = \{v_A\}$  to start with.





#### **Notes**

#### Prim's Spanning Tree Algorithm:

Input: A simple, connected graph G with n + 1 nodes.

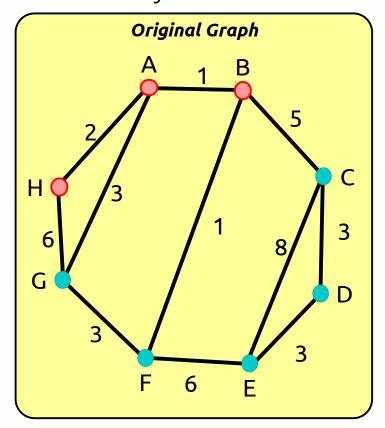
Initialize: Let  $v_o$  be any node in G, and let  $T_o = \{v_o\}$  be a tree with one node and no edges.

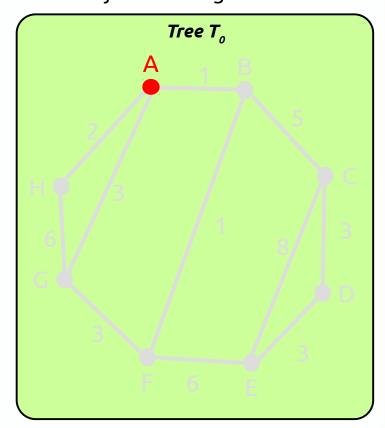
For each *k* from {1, 2, ..., n}:

- Let  $E_k = \{e \text{ an edge in } G : e \text{ has one endpoint in } T_{k-1} \text{ and the other endpoint not in } T_{k-1} \}$ .
- Let  $e_k$  be the edge in  $E_k$  with the smallest weight. (In case of a tie, choose any edge of the smallest weight.)
- Let  $T_k$  be the tree obtained by adding edge  $e_k$  (along with its node not already in  $T_{k-1}$ ) to  $T_{k-1}$ .

Output: The final result  $T_n$  is the tree returned by the algorithm.

Next, we build  $E_1$ , the list of edges in the graph that are connected to any nodes we currently have in the tree. For now, this means just the neighbors of A.





#### **Notes**

#### Prim's Spanning Tree Algorithm:

Input: A simple, connected graph G with n + 1 nodes.

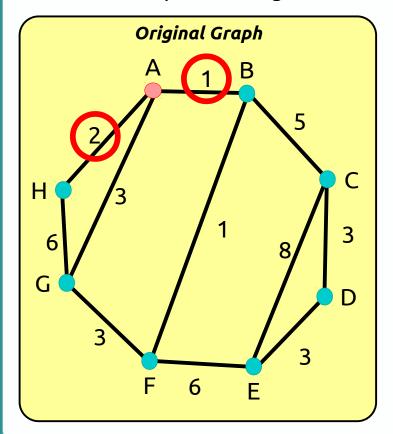
Initialize: Let  $v_o$  be any node in G, and let  $T_o = \{v_o\}$  be a tree with one node and no edges.

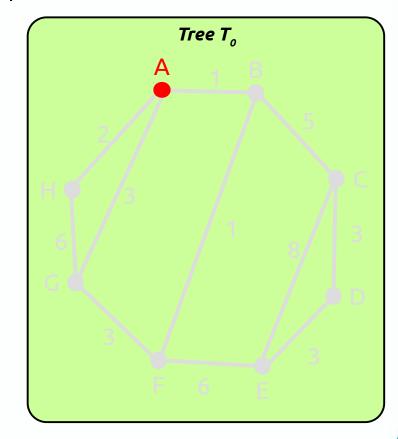
For each *k* from {1, 2, ..., n}:

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- Let e<sub>k</sub> be the edge in E<sub>k</sub> with the smallest weight. (In case of a tie, choose any edge of the smallest weight.)
- Let T<sub>k</sub> be the tree obtained by adding edge e<sub>k</sub> (along with its node not already in T<sub>k,1</sub>) to T<sub>k,1</sub>.

Output: The final result  $T_n$  is the tree returned by the algorithm.

The connected edges have weights 2 and 1, so we choose the smallest one and put that edge – and its endpoint B – into our tree.





### Notes

## Prim's Spanning Tree Algorithm:

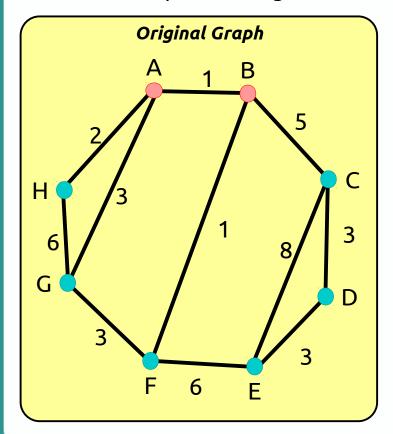
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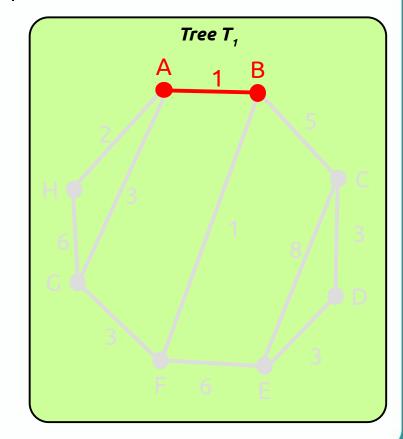
Initialize: Let  $v_o$  be any node in G, and let  $T_o = \{v_o\}$  be a tree with one node and no edges.

For each *k* from {1, 2, ..., n}:

- Let E<sub>k</sub> = {e an edge in G: e has one endpoint in T<sub>k-1</sub> and the other endpoint not in T<sub>k-1</sub>}.
- Let e<sub>k</sub> be the edge in E<sub>k</sub> with the smallest weight. (In case of a tie, choose any edge of the smallest weight.)
- Let  $T_k$  be the tree obtained by adding edge  $e_k$  (along with its node not already in  $T_{k,l}$ ) to  $T_{k,l}$ .

The connected edges have weights 2 and 1, so we choose the smallest one and put that edge – and its endpoint B – into our tree.





### Notes

## Prim's Spanning Tree Algorithm:

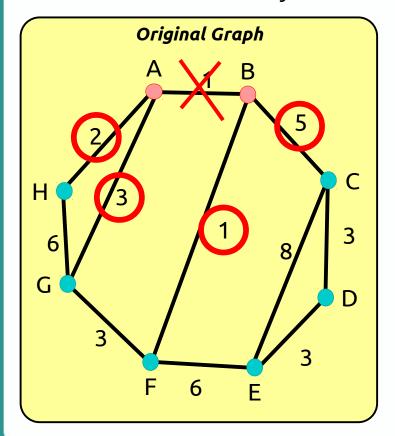
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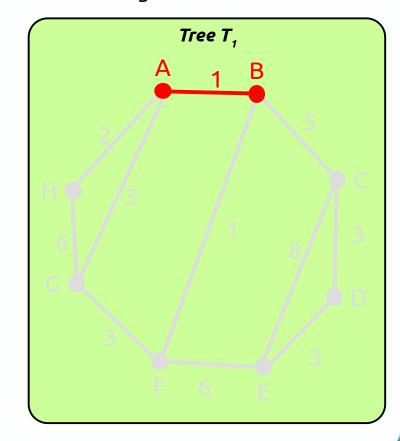
Initialize: Let  $v_o$  be any node in G, and let  $T_o = \{v_o\}$  be a tree with one node and no edges.

For each *k* from {1, 2, ..., n}:

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- Let T<sub>k</sub> be the tree obtained by adding edge e<sub>k</sub> (along with its node not already in T<sub>k-1</sub>) to T<sub>k-1</sub>.

Next, we look at all edges connected to A and B in the original graph, and identify which has the smallest weight.





### Notes

## Prim's Spanning Tree Algorithm:

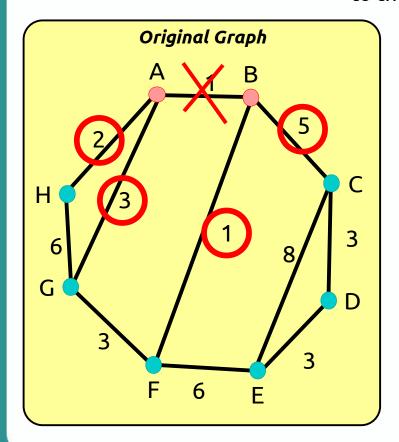
Input: A simple, connected graph G with n + 1 nodes.

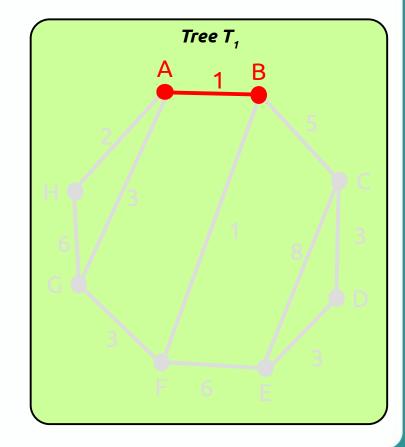
Initialize: Let  $v_o$  be any node in G, and let  $T_o = \{v_o\}$  be a tree with one node and no edges.

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The next smallest edge is the connection from B to F, so we add that edge and F to the tree.





### Notes

## Prim's Spanning Tree Algorithm:

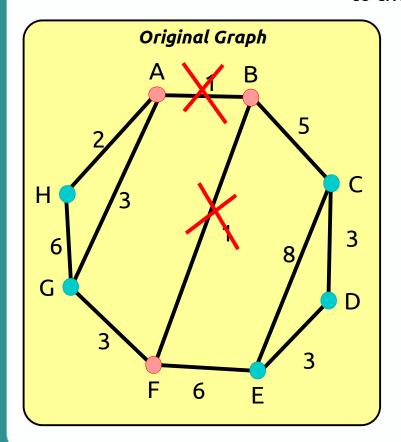
Input: A simple, connected graph G with n + 1 nodes.

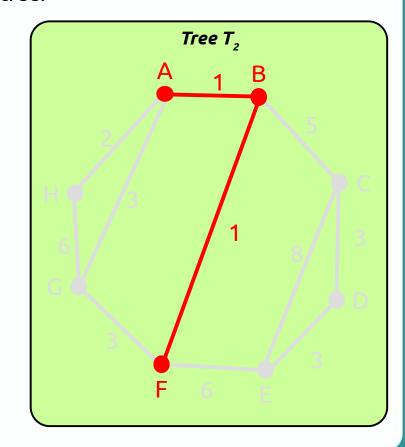
Initialize: Let  $v_o$  be any node in G, and let  $T_o = \{v_o\}$  be a tree with one node and no edges.

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The next smallest edge is the connection from B to F, so we add that edge and F to the tree.





### Notes

## Prim's Spanning Tree Algorithm:

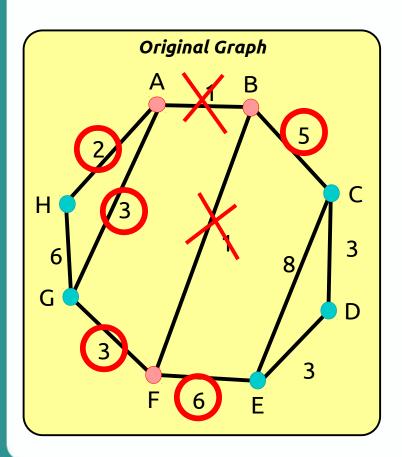
Input: A simple, connected graph G with n + 1 nodes.

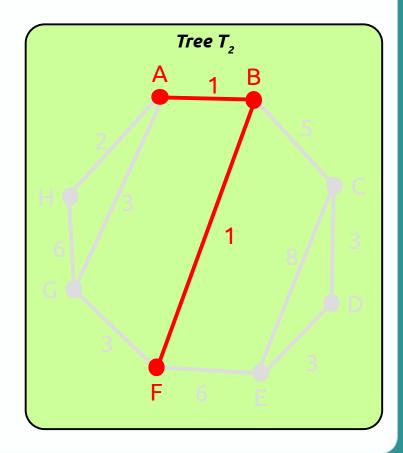
Initialize: Let  $v_o$  be any node in G, and let  $T_o = \{v_o\}$  be a tree with one node and no edges.

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And we continue...





### **Notes**

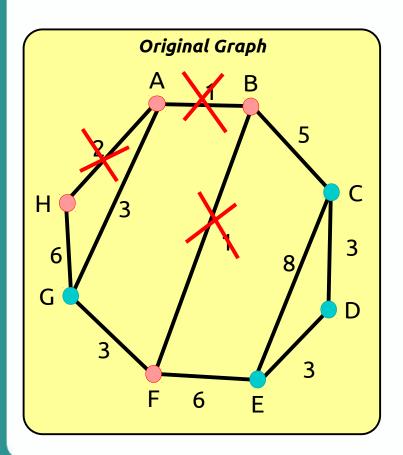
#### **Prim's Spanning Tree** Algorithm:

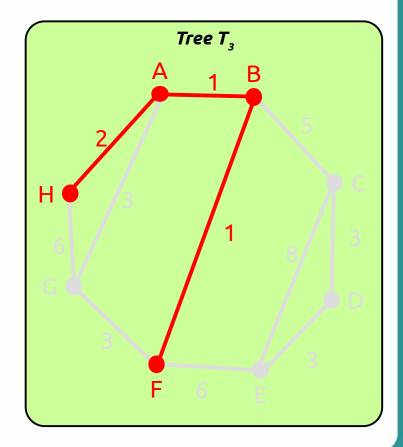
Input: A simple, connected graph G with n + 1 nodes.

Initialize: Let  $v_o$  be any node in G, and let  $T_0 = \{v_i\}$  be a tree with one node and no edges.

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   Let *E<sub>k</sub>* = {*e* an edge in *G*: *e* has one endpoint in  $T_{k-1}$  and the other endpoint not in  $T_{k-1}$ .
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And we continue...





### **Notes**

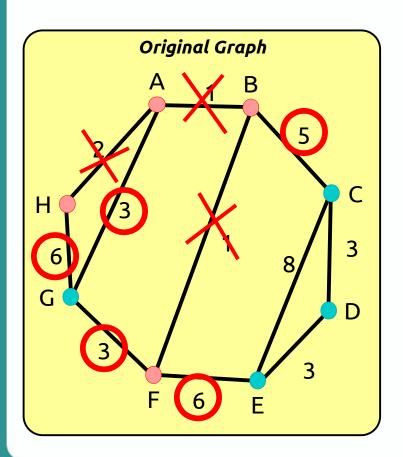
#### **Prim's Spanning Tree** Algorithm:

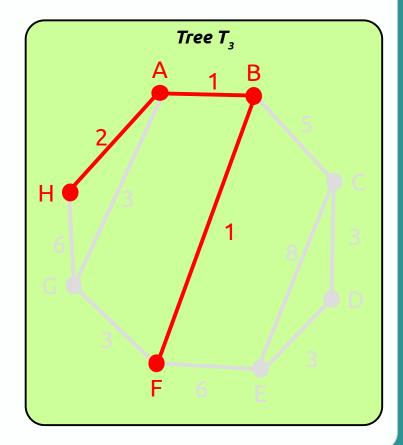
Input: A simple, connected graph G with n + 1 nodes.

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We can choose either of the 3 edges, since they have the same weight.





### **Notes**

## Prim's Spanning Tree Algorithm:

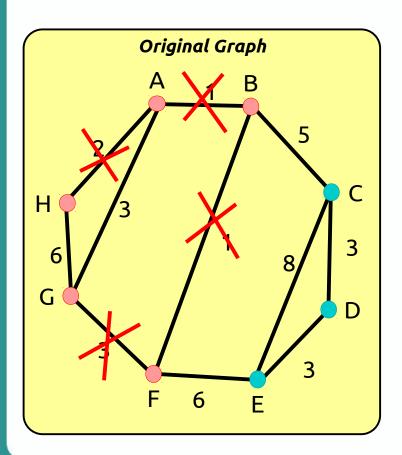
Input: A simple, connected graph G with n + 1 nodes.

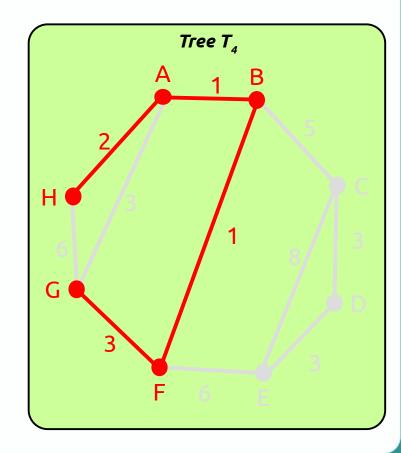
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And we continue...





### **Notes**

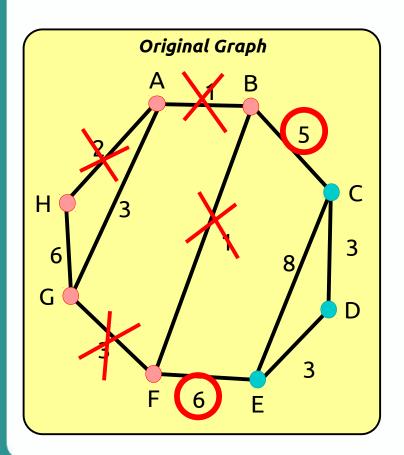
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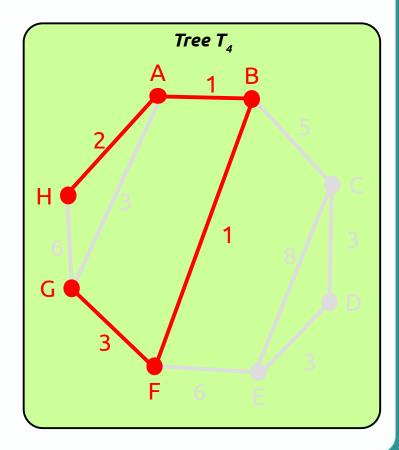
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We don't check any edges that are connecting nodes already in the tree.





### **Notes**

## Prim's Spanning Tree Algorithm:

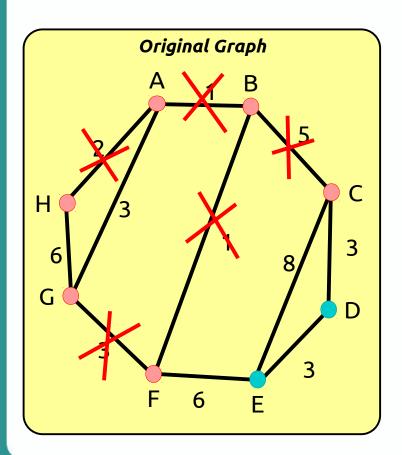
Input: A simple, connected graph G with n + 1 nodes.

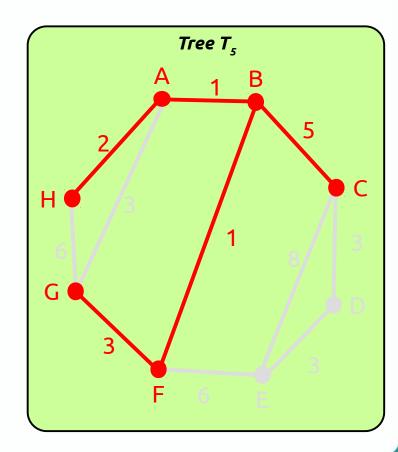
Initialize: Let  $v_o$  be any node in G, and let  $T_o = \{v_o\}$  be a tree with one node and no edges.

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And we continue...





### **Notes**

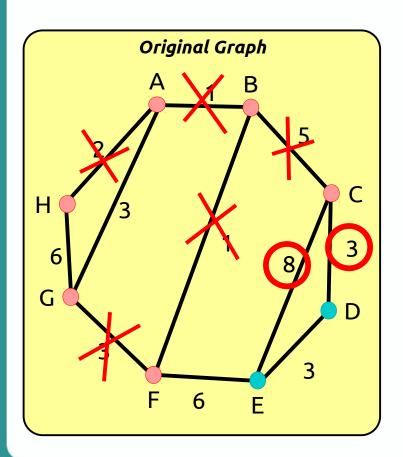
#### **Prim's Spanning Tree** Algorithm:

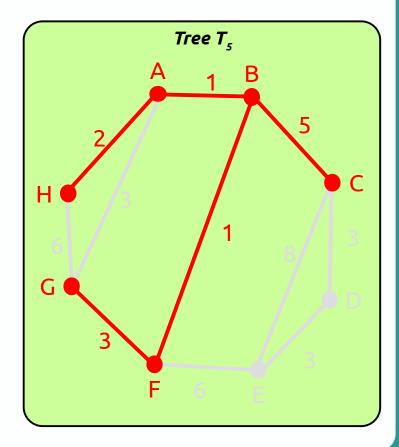
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And we continue...





### **Notes**

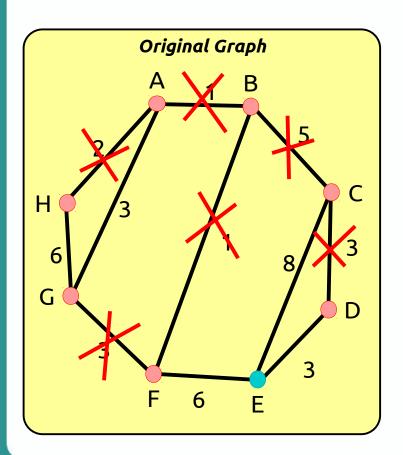
#### **Prim's Spanning Tree** Algorithm:

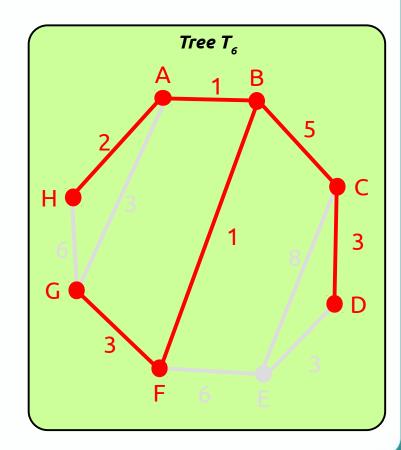
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And we continue...





### **Notes**

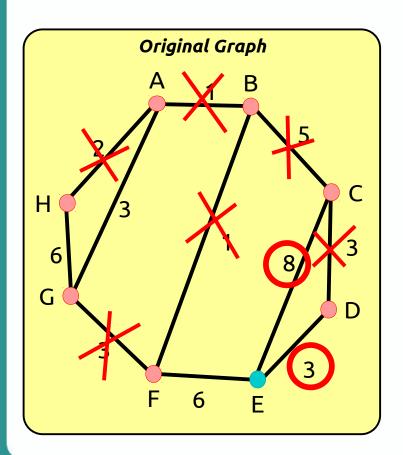
#### **Prim's Spanning Tree** Algorithm:

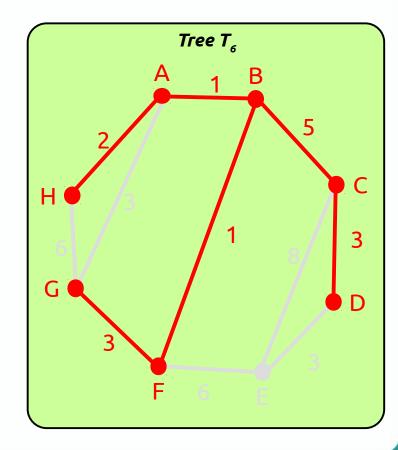
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And we continue...





### **Notes**

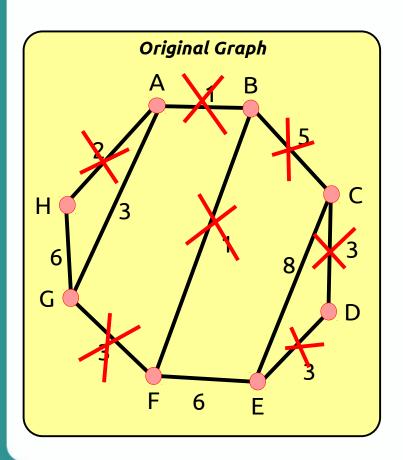
#### **Prim's Spanning Tree** Algorithm:

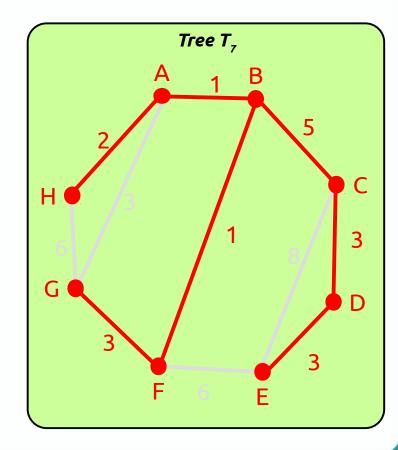
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And now we have covered all the nodes in the graph.





### **Notes**

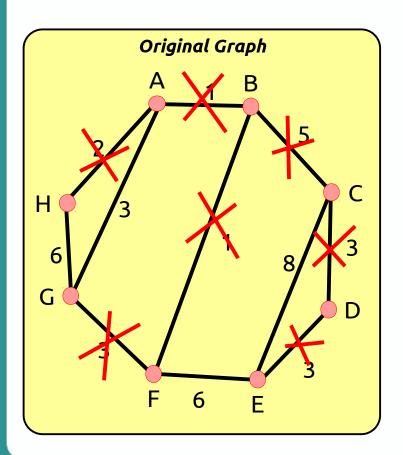
#### **Prim's Spanning Tree** Algorithm:

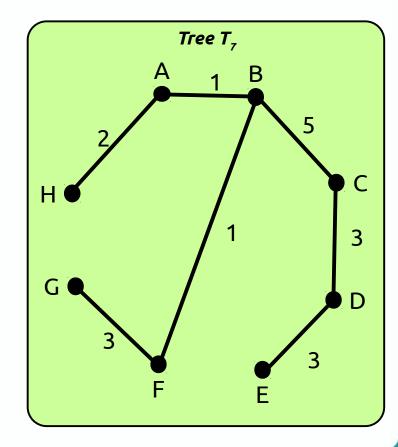
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The result is a minimal spanning tree.





### **Notes**

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# Conclusion

Trees!