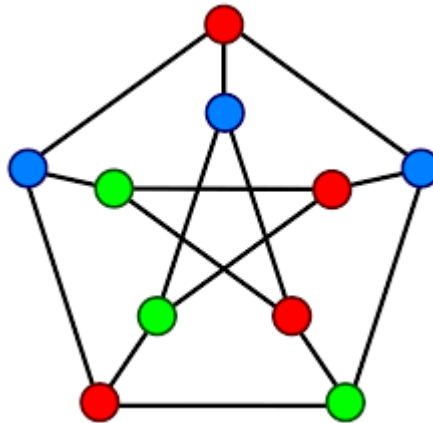


10. Graphs and Trees

10.1 Graphs: Definitions and Basic Properties



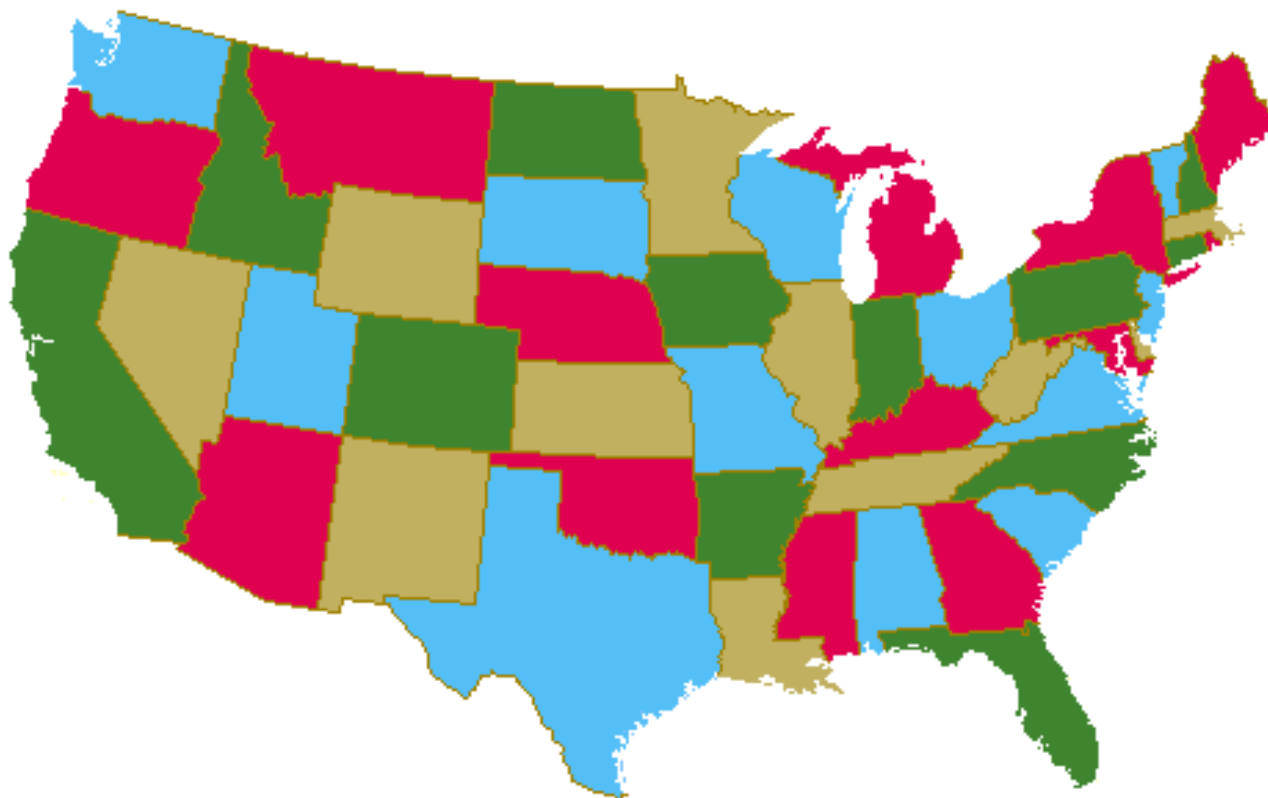
Graphs: Introduction

Shall we add some colours to this map of the United States?



Graphs: Introduction

Shall we add some colours to this map of the United States?

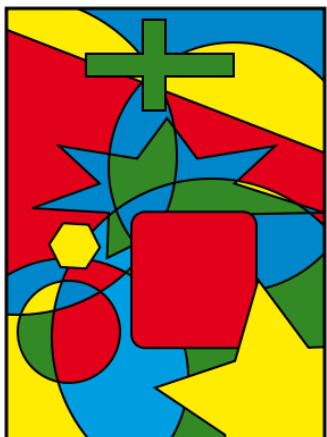


Map Colouring

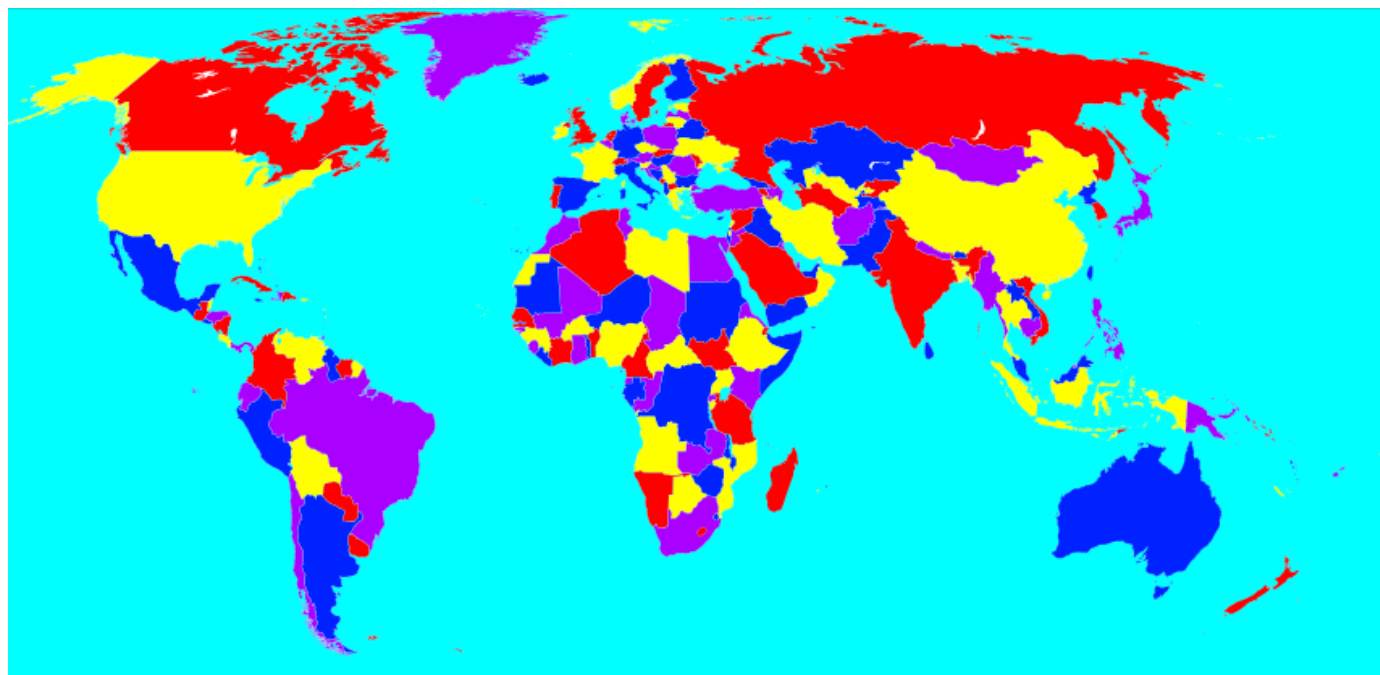
■ Four-Colour Conjecture

- Proposed by Guthrie in 1852, who conjectured that...
- Four colours are sufficient to colour any map in a plane, such that regions that share a common boundary do not share the same colour.
- Many false proofs since then.
- Finally proved by Appel and Haken in 1977, with the help of computer.
- Robertson et al. provided another proof in 1996.

Map Colouring



Example of a 4-coloured map.



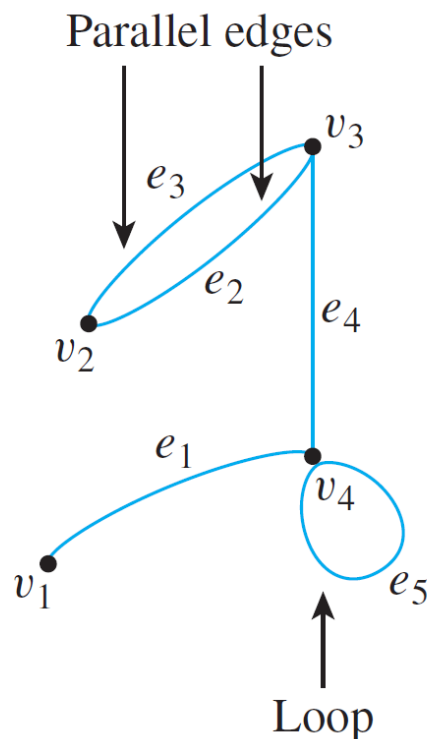
World map with 4 colours.

- But this is a map, not a graph!
- However, we can model it as a graph.
- But what is a graph?

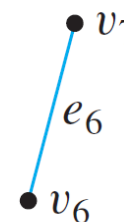
Graphs: Definitions and Basic Properties

- A **graph** G consists of
 - a set of **vertices** $V(G)$, and
 - a set of **edges** $E(G)$.
- Sometimes, we write $G = \{V, E\}$.

An edge connects one vertex to another, or a vertex to itself.



Isolated vertex



Graphs: Definitions and Basic Properties

Definition: Graph

A **graph** G consists of 2 finite sets: a nonempty set $V(G)$ of **vertices** and a set $E(G)$ of **edges**, where each edge is associated with a set consisting of either one or two vertices called its **endpoints**.

An edge is said to **connect** its endpoints; two vertices that are connected by an edge are called **adjacent vertices**; and a vertex that is an endpoint of a loop is said to be **adjacent to itself**.

An edge is said to be **incident on** each of its endpoints, and two edges incident on the same endpoint are called **adjacent edges**.

We write $e = \{v, w\}$ for an edge e incident on vertices v and w .

Graphs: Definitions and Basic Properties

Example: Consider the following graph:

- a. Write the vertex set V and the edge set E , and give the list of edges with their end-points.

$$V = \{v_1, v_2, v_3, v_4, v_5, v_6\}$$

$$E = \{e_1, e_2, e_3, e_4, e_5, e_6, e_7\}$$

$$e_1 = \{v_1, v_2\}$$

$$e_2 = \{v_1, v_3\}$$

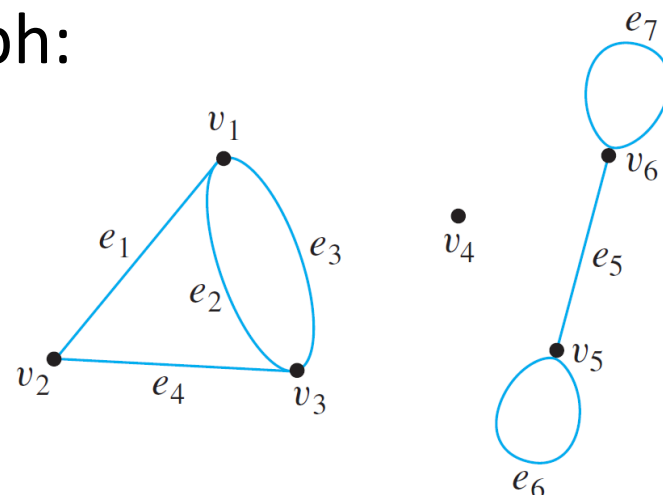
$$e_3 = \{v_1, v_3\}$$

$$e_4 = \{v_2, v_3\}$$

$$e_5 = \{v_5, v_6\}$$

$$e_6 = \{v_5\}$$

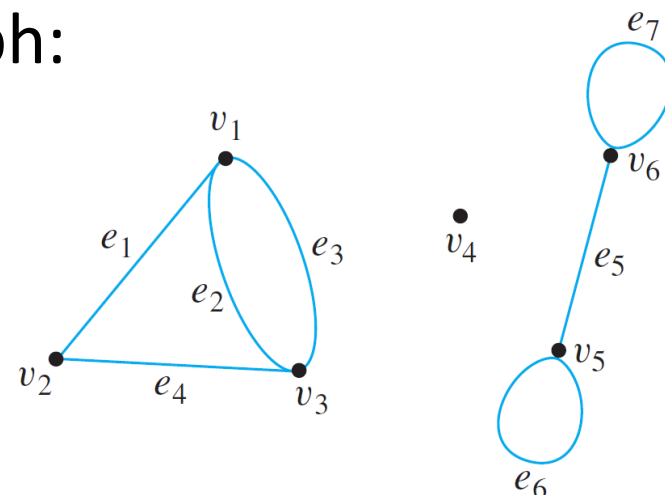
$$e_7 = \{v_6\}$$



Graphs: Definitions and Basic Properties

Example: Consider the following graph:

- b. Find all edges that are incident on v_1 , all vertices that are adjacent to v_1 , all edges that are adjacent to e_1 , all loops, all parallel edges, all vertices that are adjacent to themselves, and all isolated vertices.



Edges incident on v_1 : e_1 , e_2 and e_3 .

Vertices adjacent to v_1 : v_2 and v_3 .

Edges adjacent to e_1 : e_2 , e_3 and e_4 .

Loops: e_6 and e_7 .

e_2 and e_3 are parallel.

v_5 and v_6 are adjacent to themselves.

Isolated vertex: v_4 .

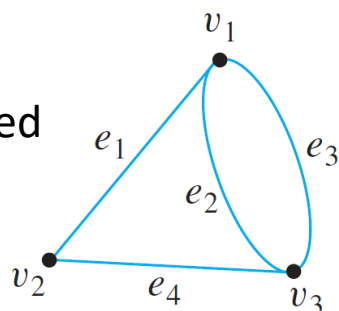
Graphs: Definitions and Basic Properties

Definition: Directed Graph

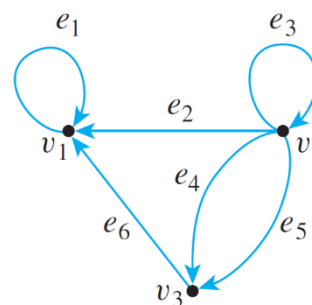
A **directed graph**, or **digraph**, G , consists of 2 finite sets: a nonempty set $V(G)$ of **vertices** and a set $D(G)$ of **directed edges**, where each edge is associated with an ordered pair of vertices called its **endpoints**.

If edge e is associated with the pair (v, w) of vertices, then e is said to be the (**directed**) **edge** from v to w . We write $e = (v, w)$.

Undirected graph



Directed graph



Modelling Graph Problems



Map Colouring Problem

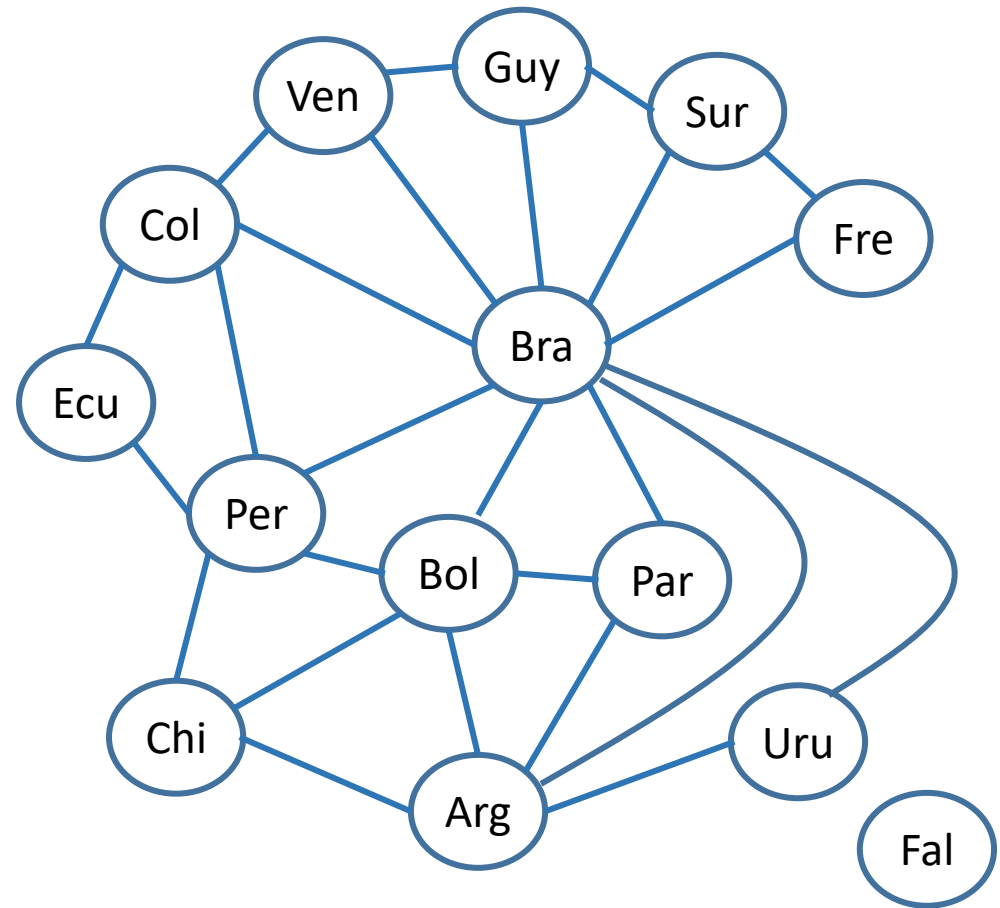
Solve it as a graph problem.

Draw a graph in which the vertices represent the states, with every edge joining two vertices represents the states sharing a common border.

Such two vertices cannot be coloured with the same colour.

A **vertex colouring** of a graph is an assignment of colours to vertices so that no two adjacent vertices have the same colour.

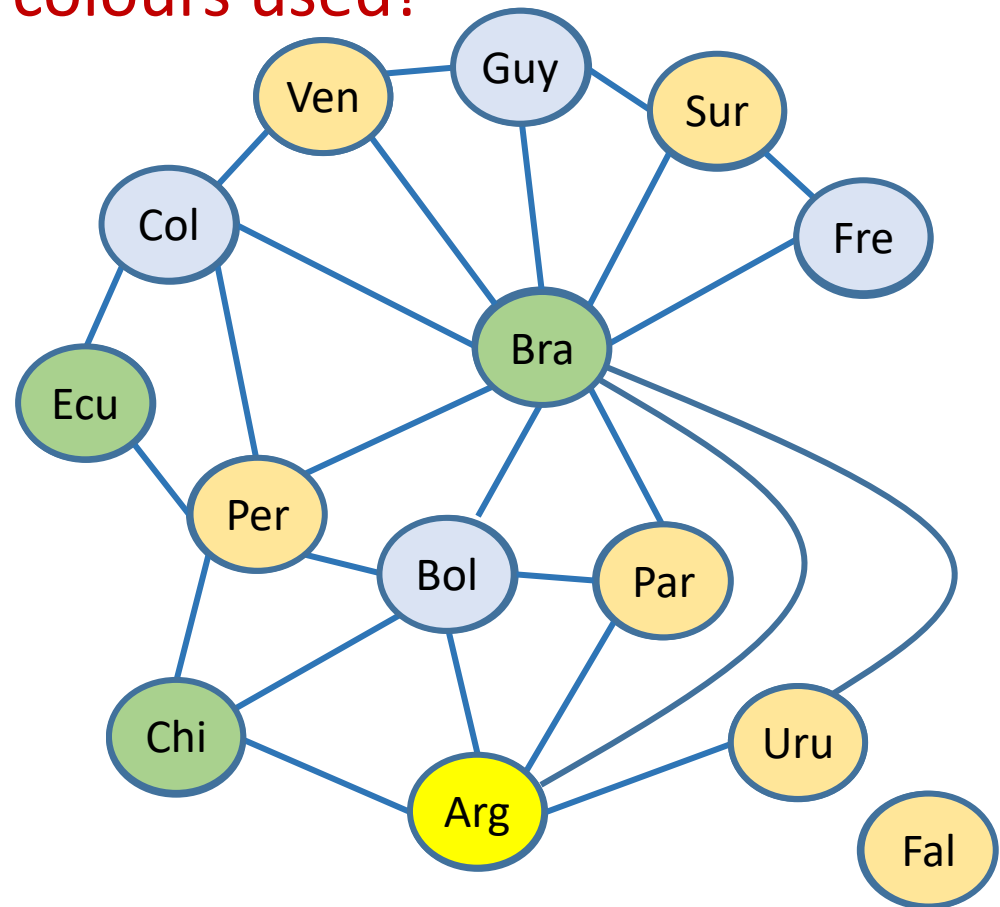
Modelling Graph Problems



Modelling Graph Problems

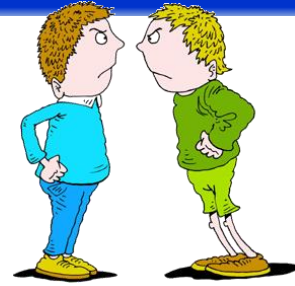


4 colours used!



Wedding Planner

You are your best friend's wedding planner and you need to plan the seating arrangement for his 16 guests attending his wedding dinner. However, some of the guests cannot get along with some others.



- A doesn't get along with F , G or H .
- B doesn't get along with C , D or H .
- C doesn't get along with B , D , E , G or H .
- D doesn't get along with B , C or E .
- E doesn't get along with C , D , F , or G .
- F doesn't get along with A , E or G .
- G doesn't get along with A , C , E or F .
- H doesn't get along with A , B or C .

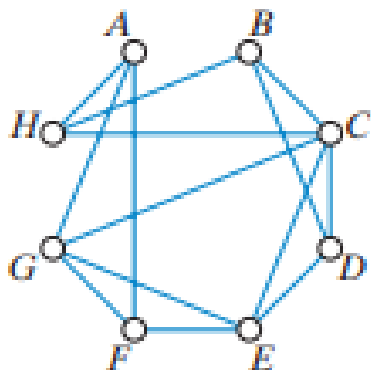
You don't want to put guests who cannot get along with each other at the same table!

How many tables do you need?

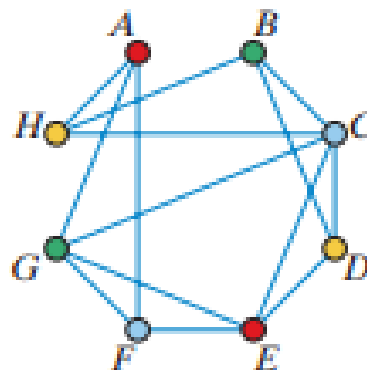
Wedding Planner

Graph with vertices representing the guests, and an edge is drawn between two guests who don't get along.

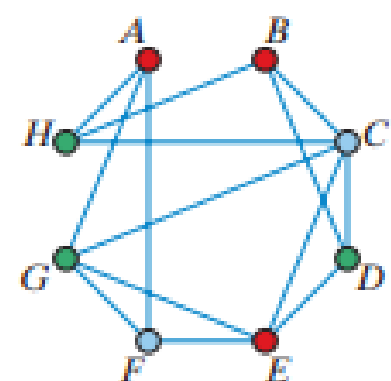
- A doesn't get along with F, G or H.
- B doesn't get along with C, D or H.
- C doesn't get along with B, D, E, G or H.
- D doesn't get along with B, C or E.
- E doesn't get along with C, D, F, or G.
- F doesn't get along with A, E or G.
- G doesn't get along with A, C, E or F.
- H doesn't get along with A, B or C.



(a)



(b)



(c)

Vertex colouring problem.
4 colours (4 tables)?

3 colours
(3 tables)!

Simple Graphs

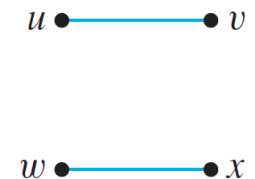
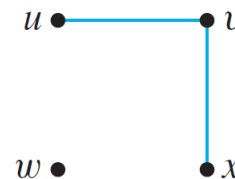
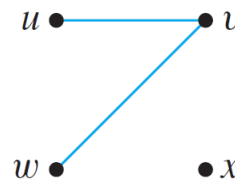
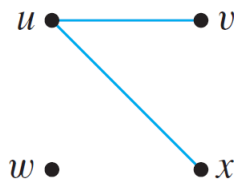
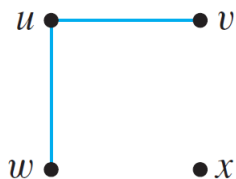
Definition: Simple Graph

A **simple graph** is an undirected graph that does not have any loops or parallel edges.

Example: Draw all simple graphs with the 4 vertices $\{u, v, w, x\}$ and two edges, one of which is $\{u, v\}$.

There are at most $\binom{4}{2} = 6$ edges in a simple graph with 4 vertices. One edge is given. Hence we need to pick another from the remaining 5.

Draw the rest.

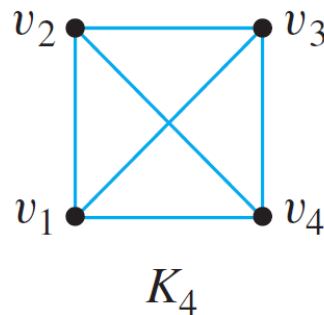
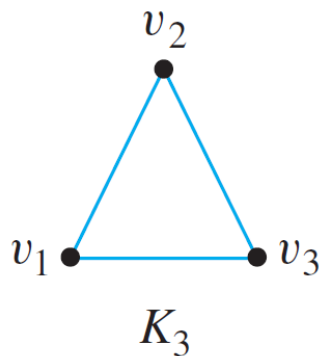
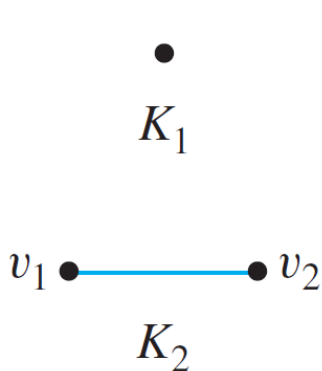


Complete Graphs

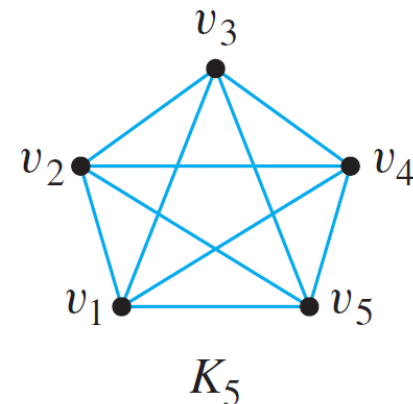
Definition: Complete Graph

A **complete graph** on n vertices, $n > 0$, denoted K_n , is a simple graph with n vertices and exactly one edge connecting each pair of distinct vertices .

Example: The complete graphs K_1 , K_2 , K_3 and K_4 .



Draw K_5 .



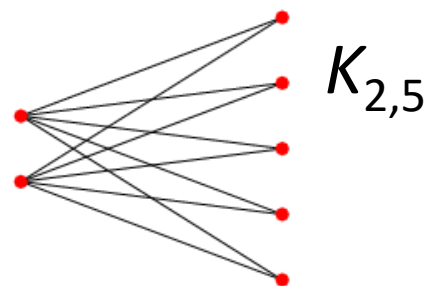
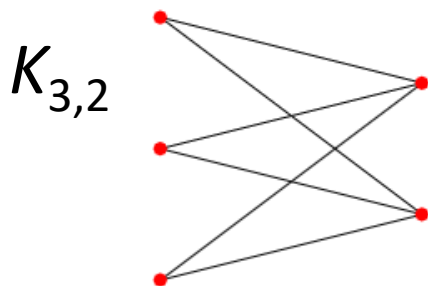
Complete Bipartite Graphs

Definition: Complete Bipartite Graph

A **complete bipartite graph** on (m, n) vertices, where $m, n > 0$, denoted $K_{m,n}$, is a simple graph with distinct vertices v_1, v_2, \dots, v_m , and w_1, w_2, \dots, w_n that satisfies the following properties:

For all $i, k = 1, 2, \dots, m$ and for all $j, l = 1, 2, \dots, n$,

1. There is an edge from each vertex v_i to each vertex w_j .
2. There is no edge from any vertex v_i to any other vertex v_k .
3. There is no edge from any vertex w_j to any other vertex w_l .

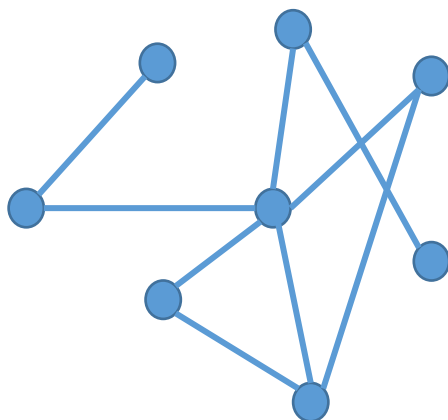


Subgraph of a Graph

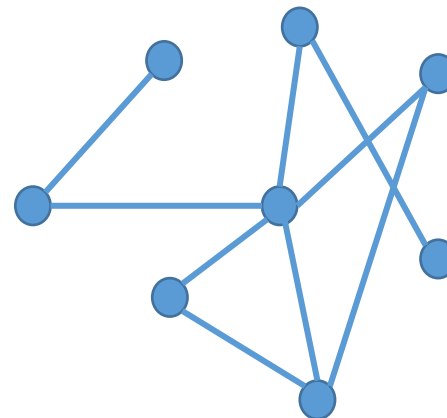
Definition: Subgraph of a Graph

A graph H is said to be a **subgraph** of graph G if, and only if, every vertex in H is also a vertex in G , every edge in H is also an edge in G , and every edge in H has the same endpoints as it has in G .

A graph G



A subgraph of G



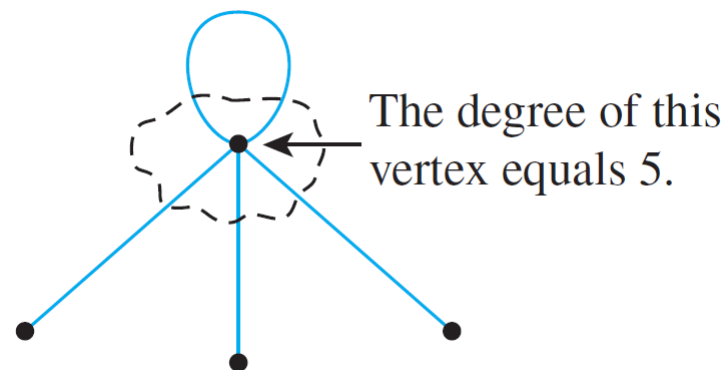
Degree of a Vertex and Total Degree of a Graph

Definition: Degree of a Vertex and Total Degree of a Graph

Let G be a graph and v a vertex of G . The **degree** of v , denoted **$\deg(v)$** , equals the number of edges that are incident on v , with an edge that is a loop counted twice.

The **total degree of G** is the sum of the degrees of all the vertices of G .

The degree of a vertex can be obtained from the drawing of a graph by counting how many end segments of edges are incident on the vertex.



The Concept of Degree

Degree of a Vertex and Total Degree of a Graph

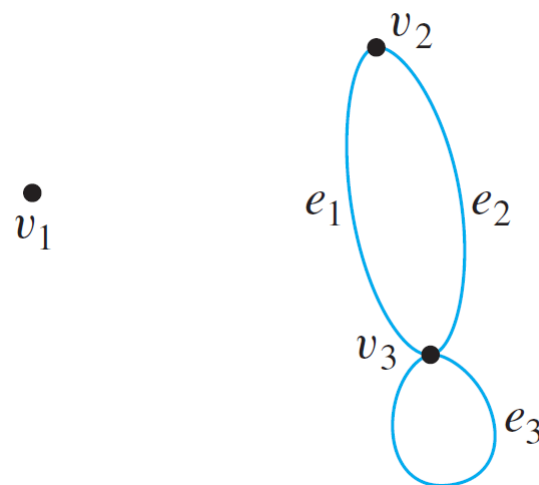
Example: Find the degree of each vertex of the graph G shown below. Then find the total degree of G .

$$\deg(v_1) = 0$$

$$\deg(v_2) = 2$$

$$\deg(v_3) = 4$$

$$\text{Total degree of } G = 6$$



The Concept of Degree

Theorem 10.1.1 The Handshake Theorem

If G is any graph, then the sum of the degrees of all the vertices of G equals twice the number of edges of G . Specifically, if the vertices of G are v_1, v_2, \dots, v_n , where $n \geq 0$, then

$$\begin{aligned}\text{The total degree of } G &= \deg(v_1) + \deg(v_2) + \dots + \deg(v_n) \\ &= 2 \times (\text{the number of edges of } G).\end{aligned}$$

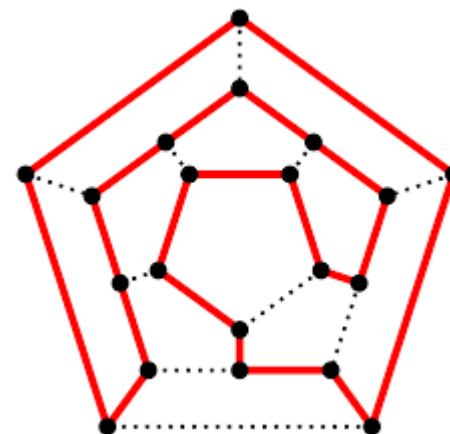
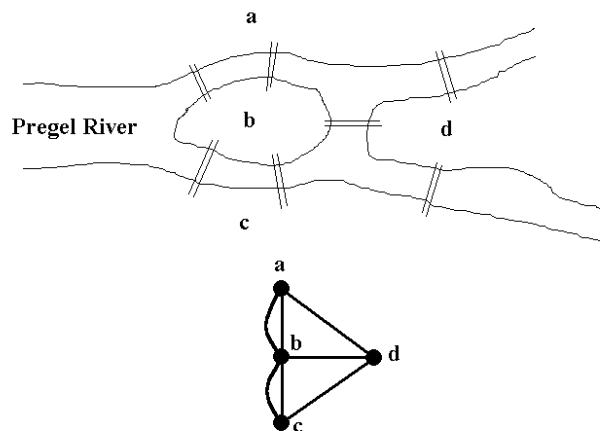
Corollary 10.1.2

The total degree of a graph is even.

Proposition 10.1.3

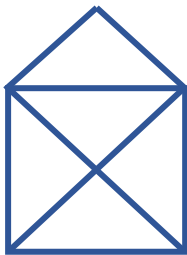
In any graph there are an even number of vertices of odd degree.

10.2 Trails, Paths, and Circuits

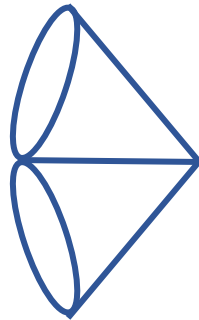


Let's Have Some Fun

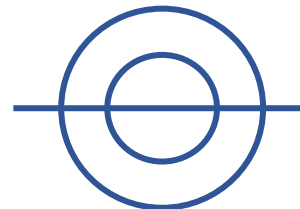
Can you draw the following figures without lifting up your pencil?



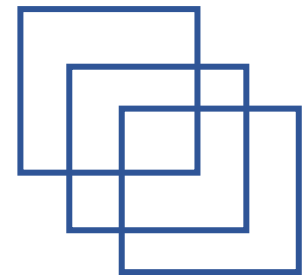
(1)



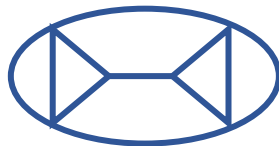
(2)



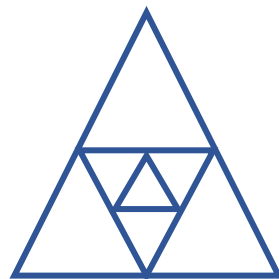
(3)



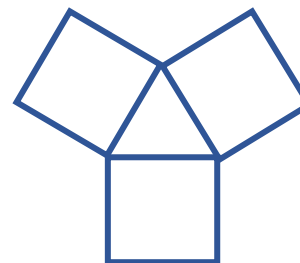
(4)



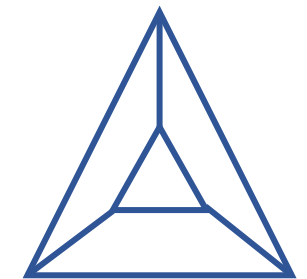
(5)



(6)



(7)



(8)

Königsberg bridges

The subject of graph theory began in the year 1736 when the great mathematician **Leonhard Euler** published a paper giving the solution to the following puzzle:

The town of Königsberg in Prussia (now Kaliningrad in Russia) was built at a point where two branches of the Pregel River came together. It consisted of an island and some land along the river banks.

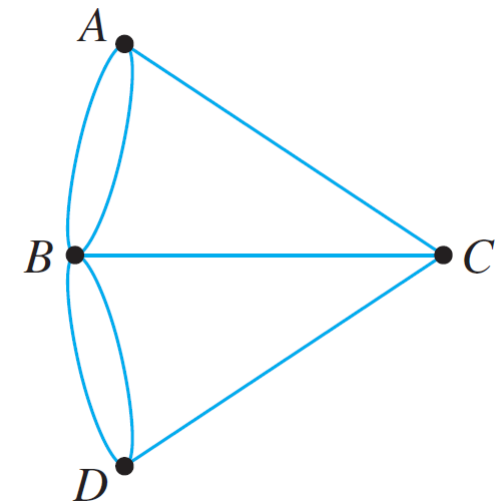
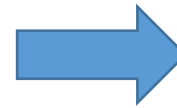
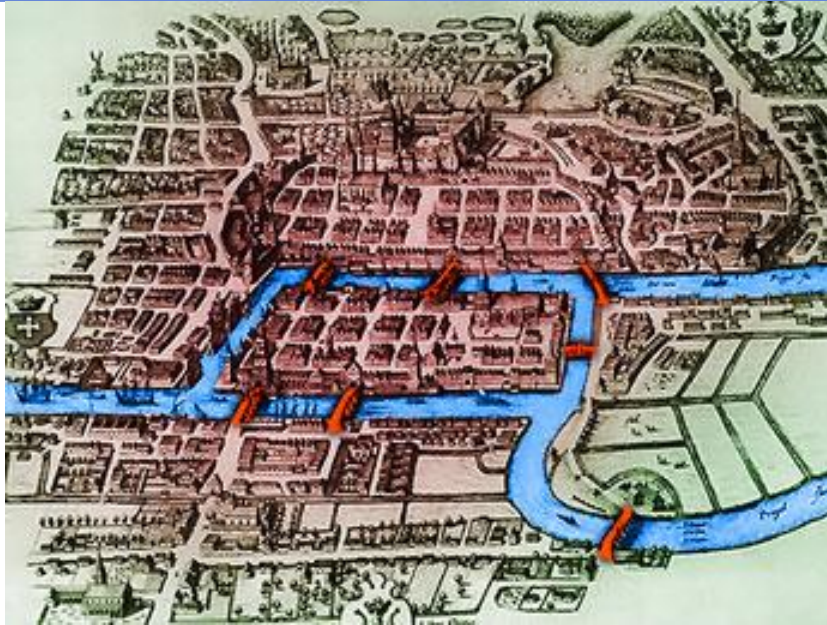
These were connected by 7 bridges.

Königsberg bridges



Question: Is it possible to take a walk around town, starting and ending at the same location and crossing each of the 7 bridges **exactly once**?

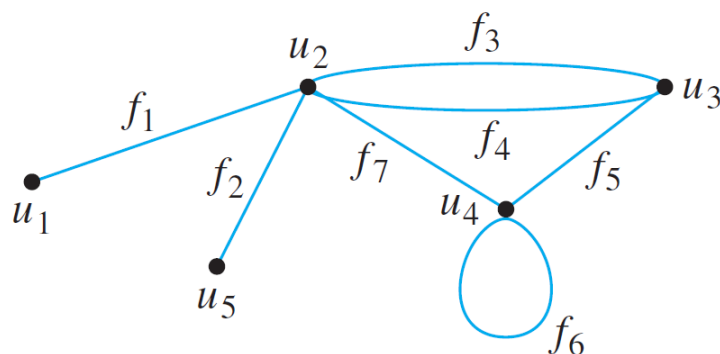
Königsberg bridges



In terms of this graph, the question is:
Is it possible to find a route through the graph that starts and ends at some vertex, one of A , B , C , or D , and traverses each edge exactly once?

Definitions

Travel in a graph is accomplished by moving from one vertex to another along a sequence of adjacent edges. In the graph below, for instance, you can go from u_1 to u_4 by taking f_1 to u_2 and then f_7 to u_4 . This is represented by writing $u_1 f_1 u_2 f_7 u_4$.



Or, you could take a roundabout route:

$u_1 f_1 u_2 f_3 u_3 f_4 u_2 f_3 u_3 f_5 u_4 f_6 u_4 f_7 u_2 f_3 u_3 f_5 u_4$.

Walk, Trail, Path, Closed Walk, Circuit, Simple Circuit

Definitions

Let G be a graph, and let v and w be vertices of G .

A **walk from v to w** is a finite alternating sequence of adjacent vertices and edges of G . Thus a walk has the form

$$v_0 e_1 v_1 e_2 \dots v_{n-1} e_n v_n,$$

where the v 's represent vertices, the e 's represent edges, $v_0=v$, $v_n=w$, and for all $i \in \{1, 2, \dots, n\}$, v_{i-1} and v_i are the endpoints of e_i .

The **trivial walk** from v to v consists of the single vertex v .

A **trail from v to w** is a walk from v to w that does not contain a repeated edge.

A **path from v to w** is a trail that does not contain a repeated vertex.

Walk, Trail, Path, Closed Walk, Circuit, Simple Circuit

Definitions

A **closed walk** is a walk that starts and ends at the same vertex.

A **circuit** (or **cycle**) is a closed walk that contains at least one edge and does not contain a repeated edge.

A **simple circuit** (or **simple cycle**) is a circuit that does not have any other repeated vertex except the first and last.

Definitions

Walk, Trail, Path, Closed Walk, Circuit, Simple Circuit

	Repeated Edge?	Repeated Vertex?	Starts and Ends at Same Point?	Must Contain at Least One Edge?
Walk	allowed	allowed	allowed	no
Trail	no	allowed	allowed	no
Path	no	no	no	no
Closed walk	allowed	allowed	yes	no
Circuit	no	allowed	yes	yes
Simple circuit	no	first and last only	yes	yes

Often a walk can be specified unambiguously by giving either a sequence of edges or a sequence of vertices.

Definitions

Walk, Trail, Path, Closed Walk, Circuit, Simple Circuit

In this graph, determine which of the following walks are trails, paths, circuits, or simple circuits.

a. $v_1 e_1 v_2 e_3 v_3 e_4 v_3 e_5 v_4$ Trail; not a path.

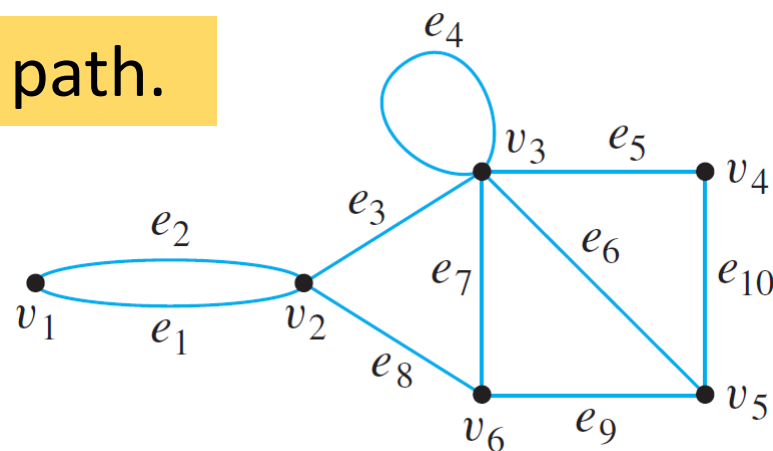
b. $e_1 e_3 e_5 e_5 e_6$ Walk; not a trail.

c. $v_2 v_3 v_4 v_5 v_3 v_6 v_2$ Circuit; not a simple circuit.

d. $v_2 v_3 v_4 v_5 v_6 v_2$ Simple circuit.

e. $v_1 e_1 v_2 e_1 v_1$ Closed walk; not a circuit.

f. v_1 Closed walk; not a circuit.



Notes

Because most of the major developments in graph theory have happened relatively recently and in a variety of different contexts, the terms used in the subject have not been standardized.

Susanna Epp's book	Others
Graph	Multigraph
Simple graph	Graph
Vertex	Node
Edge	Arc
Trail	Path
Path	Simple path
Simple circuit	Cycle

The terminology in this book is among the most common, but if you consult other sources, be sure to check their definitions.

Connectedness

A graph is **connected** if it is possible to travel from any vertex to any other vertex along a sequence of adjacent edges of the graph.

Definition: Connectedness

Two vertices v and w of a graph G are **connected** if, and only if, there is a walk from v to w .

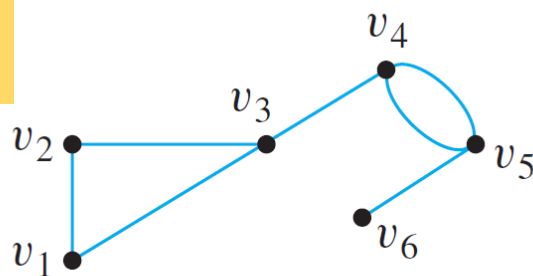
The graph G is connected if, and only if, given *any* two vertices v and w in G , there is a walk from v to w . Symbolically,

G is connected iff \forall vertices $v, w \in V(G)$, \exists a walk from v to w .

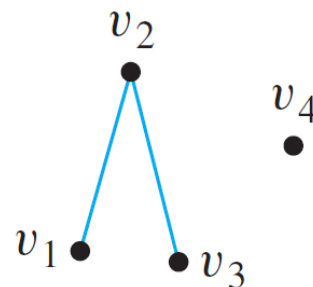
Connectedness

Example: Which of the following graphs are connected?

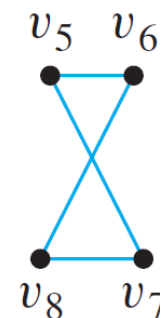
Yes



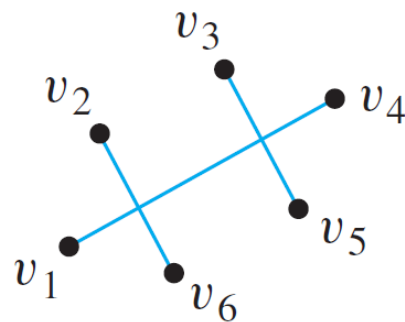
(a)



(b)



No



No

(c)

Some useful facts relating circuits and connectedness are collected in the following lemma.

Lemma 10.2.1

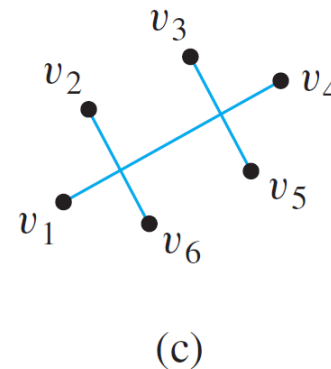
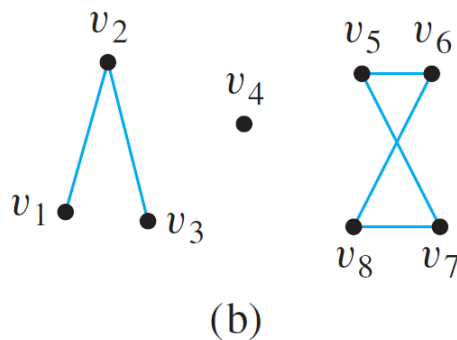
Let G be a graph.

- a. If G is connected, then any two distinct vertices of G can be connected by a path.
- b. If vertices v and w are part of a circuit in G and one edge is removed from the circuit, then there still exists a trail from v to w in G .
- c. If G is connected and G contains a circuit, then an edge of the circuit can be removed without disconnecting G .

Connected Component

The graphs in (b) and (c) are both made up of three pieces, each of which is itself a connected graph.

A *connected component* of a graph is a connected subgraph of largest possible size.



Definition: Connected Component

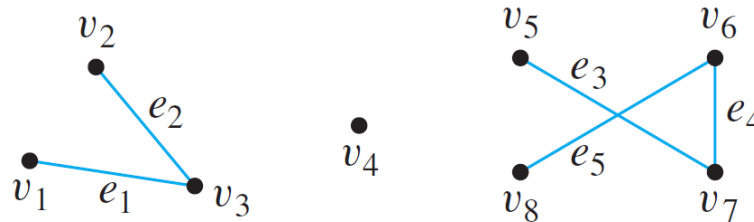
A graph H is a **connected component** of a graph G if, and only if,

1. The graph H is a subgraph of G ;
2. The graph H is connected; and
3. No connected subgraph of G has H as a subgraph and contains vertices or edges that are not in H .

The fact is that any graph is a kind of union of its connected components.

Connected Component

Find all connected components of the following graph G .



G has 3 connected components H_1 , H_2 and H_3 with vertex sets V_1 , V_2 and V_3 and edge sets E_1 , E_2 and E_3 , where

$$V_1 = \{v_1, v_2, v_3\}, \quad E_1 = \{e_1, e_2\}$$

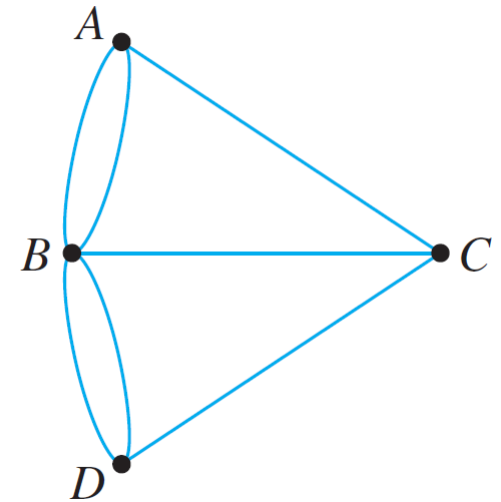
$$V_2 = \{v_4\}, \quad E_2 = \emptyset$$

$$V_3 = \{v_5, v_6, v_7, v_8\}, \quad E_3 = \{e_3, e_4, e_5\}$$

Euler Circuits

Now, let's go back to the puzzle of the Königsberg bridges.

Is it possible to find a route through the graph that starts and ends at some vertex, one of A , B , C , or D , and traverses each edge exactly once?



Definition: Euler Circuit

Let G be a graph. An **Euler circuit** for G is a circuit that contains every vertex and every edge of G .

That is, an Euler circuit for G is a sequence of adjacent vertices and edges in G that has at least one edge, starts and ends at the same vertex, uses every vertex of G at least once, and uses every edge of G exactly once.

Definition: Eulerian Graph

An **Eulerian graph** is a graph that contains an Euler circuit.

Theorem 10.2.2

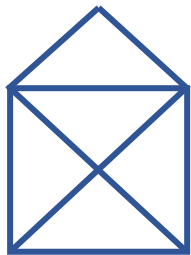
If a graph has an Euler circuit, then every vertex of the graph has positive even degree.

Contrapositive Version of Theorem 10.2.2

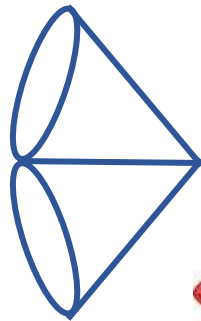
If some vertex of a graph has odd degree, then the graph does not have an Euler circuit.

Euler Circuits

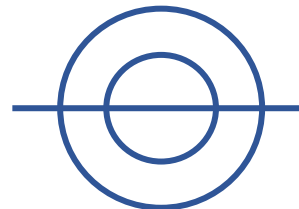
Does each of the following graphs have an Euler circuit?



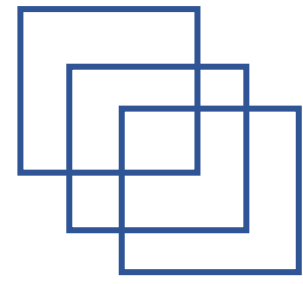
(1)



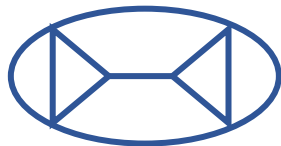
(2)



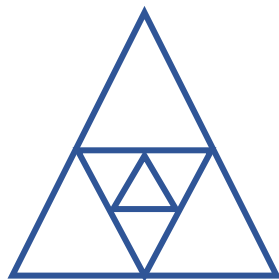
(3)



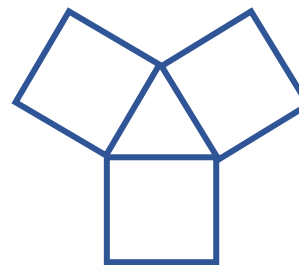
(4)



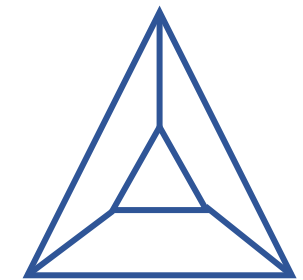
(5)



(6)



(7)



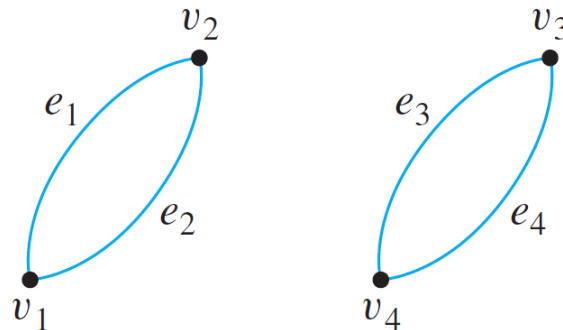
(8)



Is the converse of Theorem 10.2.2 true?

If every vertex of a graph has even degree, then the graph has an Euler circuit.

Not true!



Theorem 10.2.3

If a graph G is connected and the degree of every vertex of G is a positive even integer, then G has an Euler circuit.

The proof of Theorem 10.2.3 is constructive: It contains an algorithm to find an Euler circuit for any connected graph in which every vertex has even degree.

Theorem 10.2.4

A graph G has an Euler circuit if, and only if, G is connected and every vertex of G has positive even degree.

A corollary to Theorem 10.2.4 gives a criterion for determining when it is possible to find a walk from one vertex of a graph to another, passing through every vertex of the graph at least once and every edge of the graph exactly once.

Definition: Euler Trail

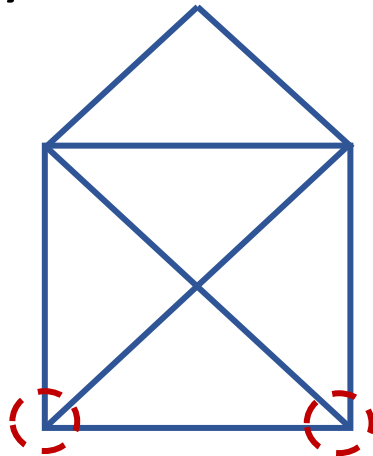
Let G be a graph, and let v and w be two distinct vertices of G . An **Euler trail/path from v to w** is a sequence of adjacent edges and vertices that starts at v , ends at w , passes through every vertex of G at least once, and traverses every edge of G exactly once.

Corollary 10.2.5

Let G be a graph, and let v and w be two distinct vertices of G . There is an Euler trail from v to w if, and only if, G is connected, v and w have odd degree, and all other vertices of G have positive even degree.

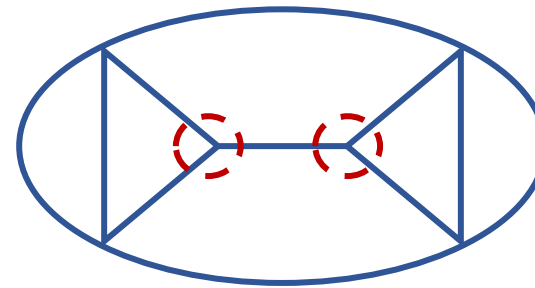
Euler Circuits

The following graphs do not have an Euler circuit.
Do they have an Euler trail?



(1)

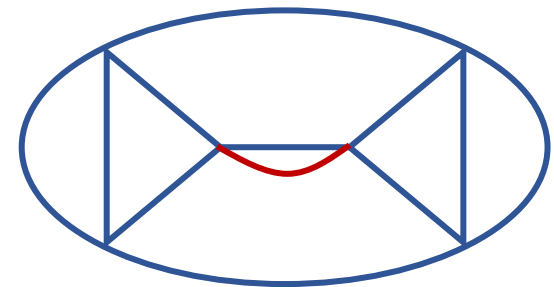
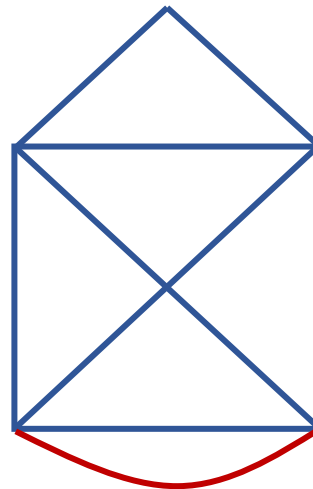
Yes



(5)

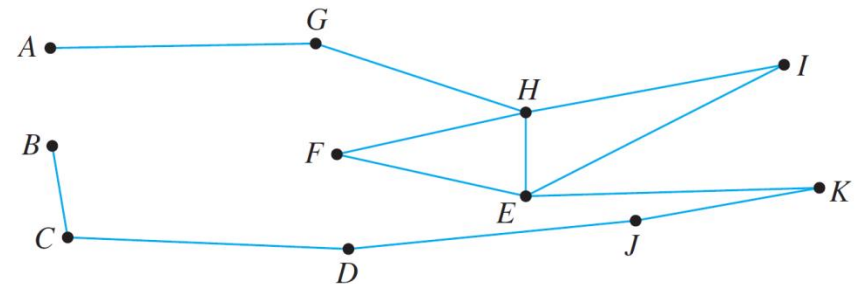
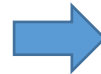
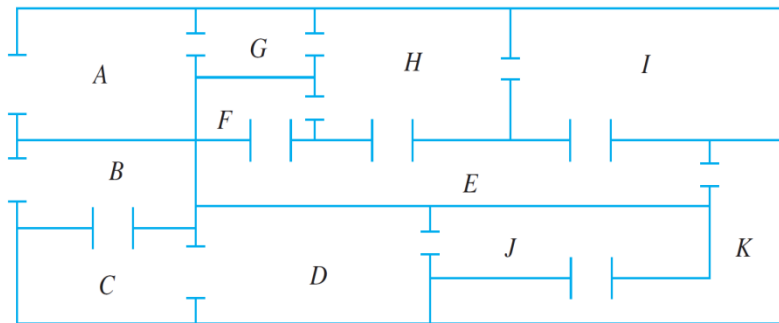
Yes

Adding an edge between
the two vertices with odd
degree will give us an
Euler circuit.



Euler Circuits

The floor plan shown below is for a house that is open for public viewing. Is it possible to find a trail that starts in room A , ends in room B , and passes through every interior doorway of the house exactly once? If so, find such a trail.



Each vertex of this graph has even degree except for A and B , each of which has degree 1.

Hence by Corollary 10.2.5, there is an Euler path from A to B . One such trail is $AGHFEIHEKJDCB$.

Hamiltonian Circuits

Recall Theorem 10.2.4:

Theorem 10.2.4

A graph G has an Euler circuit if, and only if, G is connected and every vertex of G has positive even degree.

A related question:

Given a graph G , is it possible to find a circuit for G in which all the *vertices* of G (except the first and the last) appear exactly once?

In 1859 the Irish mathematician Sir William Rowan Hamilton introduced a puzzle in the shape of a dodecahedron (DOH-dek-a-HEE-dron). (Figure 10.2.6 contains a drawing of a dodecahedron, which is a solid figure with 12 identical pentagonal faces.)

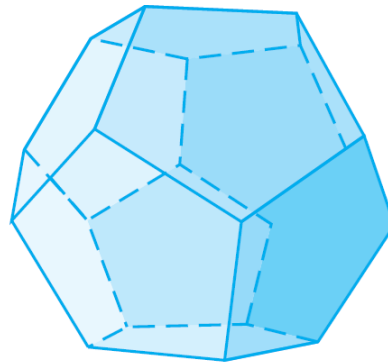


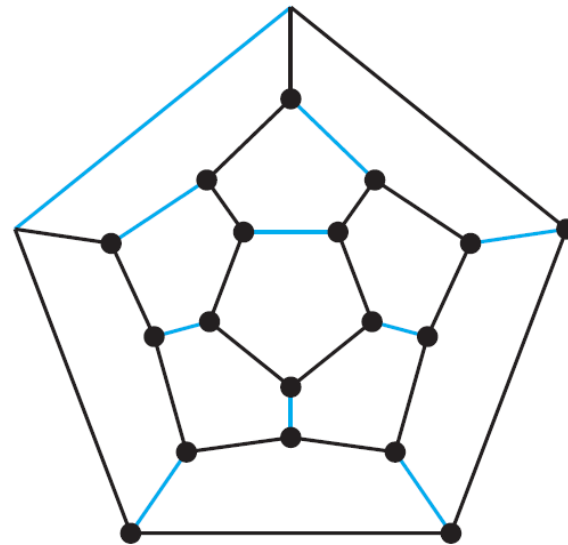
Figure 10.2.6 Dodecahedron

Hamiltonian Circuits

Each vertex was labeled with the name of a city — London, Paris, Hong Kong, New York, and so on.

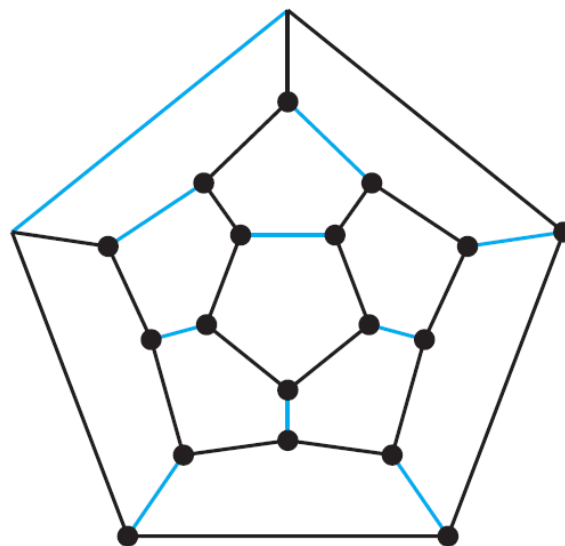
The problem Hamilton posed was to **start at one city and tour the world by visiting each other city exactly once and returning to the starting city.**

One way to solve the puzzle is to imagine the surface of the dodecahedron stretched out and laid flat in the plane, as follows:



Hamiltonian Circuits

The circuit denoted with black lines is one solution. Note that although every city is visited, many edges are omitted from the circuit. (More difficult versions of the puzzle required that certain cities be visited in a certain order.)



Definition: Hamiltonian Circuit

Given a graph G , a **Hamiltonian circuit** for G is a simple circuit that includes every vertex of G .

That is, a Hamiltonian circuit for G is a sequence of adjacent vertices and distinct edges in which every vertex of G appears exactly once, except for the first and the last, which are the same.

Definition: Hamiltonian Graph

A **Hamiltonian graph** (also called **Hamilton graph**) is a graph that contains a Hamiltonian circuit.

Hamiltonian Circuits

Note that although an Euler circuit for a graph G must include every vertex of G , it may visit some vertices more than once and hence may not be a Hamiltonian circuit.

On the other hand, a Hamiltonian circuit for G does not need to include all the edges of G and hence may not be an Euler circuit.

Despite the analogous-sounding definitions of Euler and Hamiltonian circuits, the mathematics of the two are very different.

Theorem 10.2.4 gives a simple criterion for determining whether a given graph has an Euler circuit.

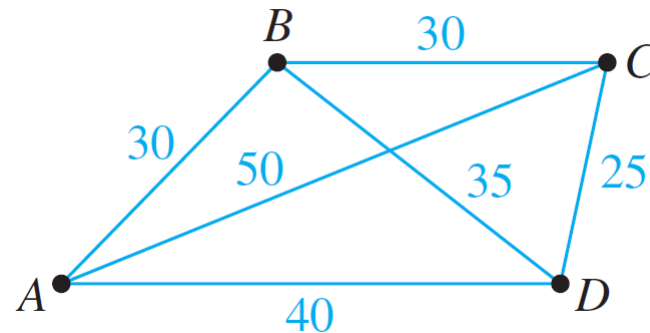
Unfortunately, there is no analogous criterion for determining whether a given graph has a Hamiltonian circuit, nor is there even an efficient algorithm for finding such a circuit.

Theorem 10.2.4

A graph G has an Euler circuit if, and only if, G is connected and every vertex of G has positive even degree.

Travelling Salesman Problem

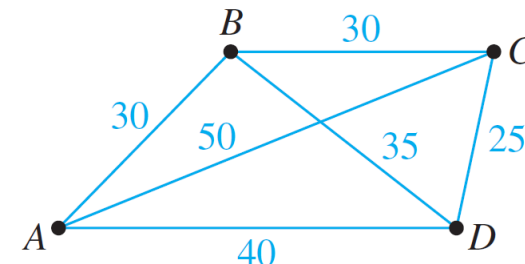
Imagine that the drawing below is a map showing four cities and the distances in kilometers between them.



Suppose that a salesman must travel to each city exactly once, starting and ending in city A. Which route from city to city will minimize the total distance that must be travelled?

Travelling Salesman Problem

This problem can be solved by writing all possible Hamiltonian circuits starting and ending at A and calculating the total distance travelled for each.



Route	Total Distance (In Kilometers)	
<i>ABCD A</i>	$30 + 30 + 25 + 40 = 125$	
<i>ABDCA</i>	$30 + 35 + 25 + 50 = 140$	
<i>ACBDA</i>	$50 + 30 + 35 + 40 = 155$	
<i>ACDBA</i>	140	[<i>ABDCA</i> backwards]
<i>ADBCA</i>	155	[<i>ACBDA</i> backwards]
<i>ADCBA</i>	125	[<i>ABCD A</i> backwards]

Thus either route *ABCD A* or *ADCBA* gives a minimum total distance of 125 km.

Travelling Salesman Problem

The general travelling salesman problem involves finding a Hamiltonian circuit to minimize the total distance travelled for an arbitrary graph with n vertices in which each edge is marked with a distance.

One way to solve the general problem is to use the previous method: Write down all Hamiltonian circuits starting and ending at a particular vertex, compute the total distance for each, and pick one for which this total is minimal.

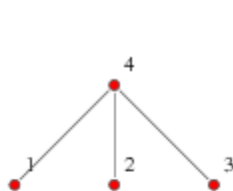
However, this is impractical for even medium-sized values of n . For $n = 30$ vertices, there would be $(29!)/2 \approx 4.42 \times 10^{30}$ Hamiltonian circuits starting and ending at a particular vertex to check. If each circuit could be found and its total distance computed in just one nanosecond, it would take approximately 1.4×10^{14} years to compute!

Travelling Salesman Problem

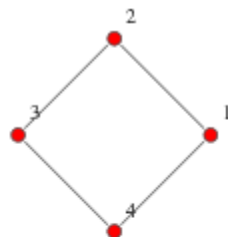
At present, there is no known algorithm for solving the general travelling salesman problem that is more efficient.

However, there are efficient algorithms that find “pretty good” solutions — that is, circuits that, while not necessarily having the least possible total distances, have smaller total distances than most other Hamiltonian circuits.

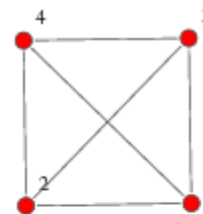
10.3 Matrix Representations of Graphs



$$\begin{pmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 0 \end{pmatrix}$$



$$\begin{pmatrix} 0 & 1 & 0 & 1 \\ 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \\ 1 & 0 & 1 & 0 \end{pmatrix}$$



$$\begin{pmatrix} 0 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 \\ 1 & 1 & 0 & 1 \\ 1 & 1 & 1 & 0 \end{pmatrix}$$

Matrices

Definition: Matrix

An $m \times n$ (read “ m by n ”) **matrix** \mathbf{A} over a set S is a rectangular array of elements of S arranged into m rows and n columns.

$$\mathbf{A} = \begin{bmatrix} a_{11} & a_{12} & \dots & a_{1j} & \dots & a_{1n} \\ a_{21} & a_{22} & \dots & a_{2j} & \dots & a_{2n} \\ \vdots & \vdots & & \vdots & & \vdots \\ a_{i1} & a_{i2} & \dots & a_{ij} & \dots & a_{in} \\ \vdots & \vdots & & \vdots & & \vdots \\ a_{m1} & a_{m2} & \dots & a_{mj} & \dots & a_{mn} \end{bmatrix}$$

← i th row of \mathbf{A}

↑
 j th column of \mathbf{A}

We write $\mathbf{A} = (a_{ij})$.

Matrices

If **A** and **B** are matrices, then **A** = **B** if, and only if, **A** and **B** have the same size and the corresponding entries of **A** and **B** are all equal; that is,

$$a_{ij} = b_{ij} \text{ for all } i = 1, 2, \dots, m \text{ and } j = 1, 2, \dots, n.$$

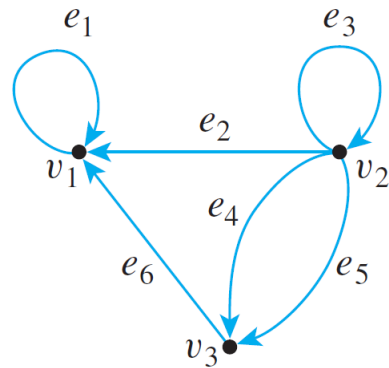
A matrix for which the numbers of rows and columns are equal is called a **square matrix**.

If **A** is a square matrix of size $n \times n$, then the **main diagonal** of **A** consists of all the entries $a_{11}, a_{22}, \dots, a_{nn}$.

$$\begin{bmatrix} a_{11} & a_{12} & \dots & a_{1i} & \dots & a_{1n} \\ a_{21} & a_{22} & \dots & a_{2i} & \dots & a_{2n} \\ \vdots & \vdots & & \vdots & & \vdots \\ a_{i1} & a_{i2} & \dots & a_{ii} & \dots & a_{in} \\ \vdots & \vdots & & \vdots & & \vdots \\ a_{n1} & a_{n2} & \dots & a_{ni} & \dots & a_{nn} \end{bmatrix}$$

← main diagonal of **A**

Matrices and Directed Graphs



Directed Graph G

(a)

$$\mathbf{A} = \begin{matrix} & \begin{matrix} v_1 & v_2 & v_3 \end{matrix} \\ \begin{matrix} v_1 \\ v_2 \\ v_3 \end{matrix} & \begin{bmatrix} 1 & 0 & 0 \\ 1 & 1 & 2 \\ 1 & 0 & 0 \end{bmatrix} \end{matrix}$$

Adjacency Matrix

(b)

Figure 10.3.1 A Directed Graph and Its Adjacency Matrix

This graph G is represented by the matrix $\mathbf{A} = (a_{ij})$ for which a_{ij} = number of arrows from v_i to v_j for all $i = 1, 2, 3$ and $j = 1, 2, 3$.

\mathbf{A} is called the **adjacency matrix** of G .

Another common representation of a graph is the [adjacency list](#), which is covered in algorithms module.

Definition: Adjacency Matrix of a Directed Graph

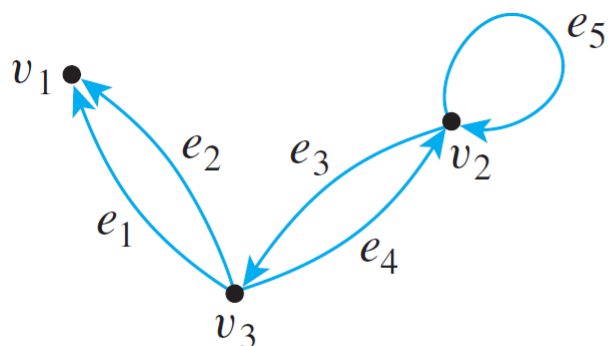
Let G be a directed graph with ordered vertices v_1, v_2, \dots, v_n . The **adjacency matrix of G** is the $n \times n$ matrix $\mathbf{A} = (a_{ij})$ over the set of non-negative integers such that

$$a_{ij} = \text{the number of arrows from } v_i \text{ to } v_j$$

for all $i, j = 1, 2, \dots, n$.

Matrices and Directed Graphs

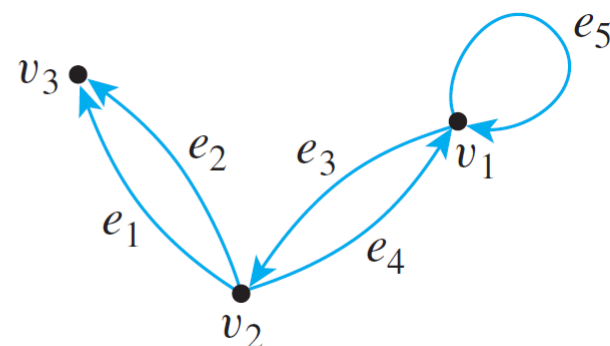
Example: Find the adjacency matrices of the two directed graphs below.



(a)

$$\begin{matrix} & v_1 & v_2 & v_3 \\ \begin{matrix} v_1 \\ v_2 \\ v_3 \end{matrix} & \begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 1 \\ 2 & 1 & 0 \end{bmatrix} \end{matrix}$$

(a)



(b)

$$\begin{matrix} & v_1 & v_2 & v_3 \\ \begin{matrix} v_1 \\ v_2 \\ v_3 \end{matrix} & \begin{bmatrix} 1 & 1 & 0 \\ 1 & 0 & 2 \\ 0 & 0 & 0 \end{bmatrix} \end{matrix}$$

(b)

Matrices and Undirected Graphs

Definition: Adjacency Matrix of an Undirected Graph

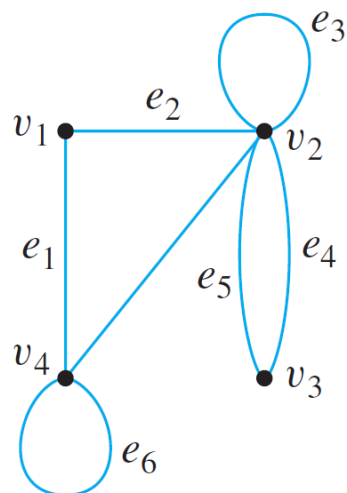
Let G be an undirected graph with ordered vertices v_1, v_2, \dots, v_n . The **adjacency matrix of G** is the $n \times n$ matrix $\mathbf{A} = (a_{ij})$ over the set of non-negative integers such that

a_{ij} = the number of edges connecting v_i and v_j

for all $i, j = 1, 2, \dots, n$.

Matrices and Undirected Graphs

Example: Find the adjacency matrix for the graph G shown below.



$$\mathbf{A} = \begin{matrix} & \begin{matrix} v_1 & v_2 & v_3 & v_4 \end{matrix} \\ \begin{matrix} v_1 \\ v_2 \\ v_3 \\ v_4 \end{matrix} & \begin{bmatrix} 0 & 1 & 0 & 1 \\ 1 & 1 & 2 & 1 \\ 0 & 2 & 0 & 0 \\ 1 & 1 & 0 & 1 \end{bmatrix} \end{matrix}$$

Note that the matrix is **symmetric**.

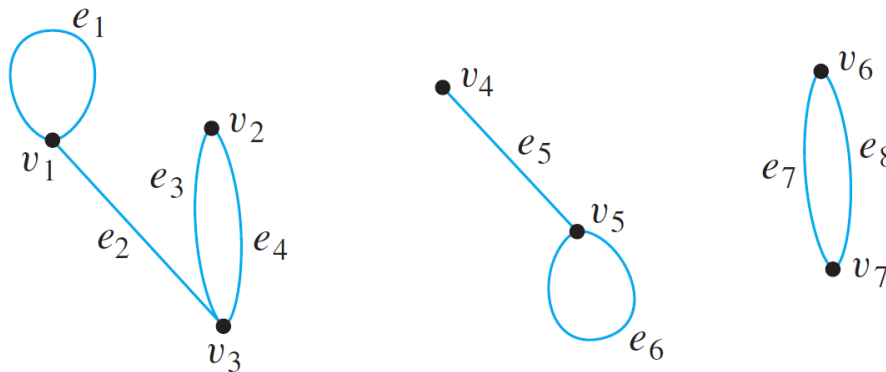
Definition: Symmetric Matrix

An $n \times n$ square matrix $A = (a_{ij})$ is called **symmetric** if, and only if, for all $i, j = 1, 2, \dots, n$,

$$a_{ij} = a_{ji}.$$

Matrices and Connected Components

Consider a graph G , as shown below, that consists of several connected components.



Adjacency matrix of G :

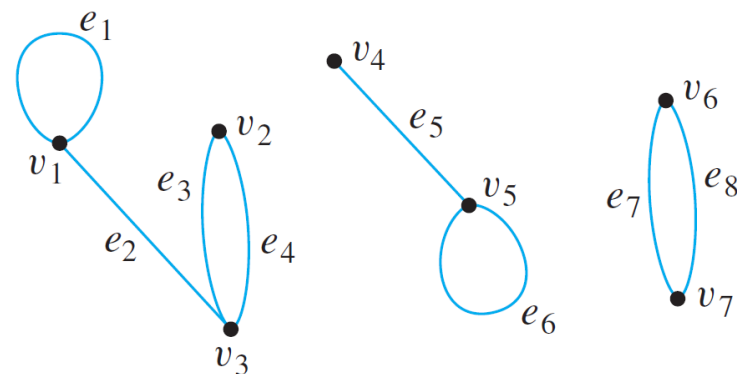
$$\mathbf{A} = \begin{bmatrix} 1 & 0 & 1 & \vdots & 0 & 0 & \vdots & 0 & 0 \\ 0 & 0 & 2 & \vdots & 0 & 0 & \vdots & 0 & 0 \\ 1 & 2 & 0 & \vdots & 0 & 0 & \vdots & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & \vdots & 0 & 1 & \vdots & 0 & 0 \\ 0 & 0 & 0 & \vdots & 1 & 1 & \vdots & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & \vdots & 0 & 0 & \vdots & 0 & 2 \\ 0 & 0 & 0 & \vdots & 0 & 0 & \vdots & 2 & 0 \end{bmatrix}$$

Matrices and Connected Components

As you can see, \mathbf{A} consists of square matrix blocks (of different sizes) down its diagonal and blocks of 0's everywhere else.

The reason is that vertices in each connected component share no edges with vertices in other connected components.

For instance, since v_1 , v_2 , and v_3 share no edges with v_4 , v_5 , v_6 , or v_7 , all entries in the top three rows to the right of the third column are 0 and all entries in the left three columns below the third row are also 0.



$$\mathbf{A} = \begin{bmatrix} 1 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 2 & 0 & 0 & 0 & 0 \\ 1 & 2 & 0 & 0 & 0 & 0 & 0 \\ \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 0 & 0 \\ \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots \\ 0 & 0 & 0 & 0 & 0 & 0 & 2 \\ 0 & 0 & 0 & 0 & 0 & 2 & 0 \end{bmatrix}$$

Matrices and Connected Components

Sometimes matrices whose entries are all 0's are themselves denoted 0. If this convention is followed here, **A** is written as:

$$\mathbf{A} = \left[\begin{array}{ccc|c|c} 1 & 0 & 1 & \text{oval} & \text{oval} \\ 0 & 0 & 2 & & \\ 1 & 2 & 0 & & \\ \hline & & & \begin{array}{cc} 0 & 1 \\ 1 & 1 \end{array} & \text{circle} \\ \hline & & & & \begin{array}{cc} 0 & 2 \\ 2 & 0 \end{array} \end{array} \right]$$

The previous reasoning can be generalized to prove the following theorem:

Theorem 10.3.1

Let G be a graph with connected components G_1, G_1, \dots, G_k . If there are n_i vertices in each connected component G_i and these vertices are numbered consecutively, then the adjacency matrix of G has the form:

$$\begin{bmatrix} A_1 & 0 & 0 & & 0 & 0 \\ 0 & A_2 & 0 & \dots & 0 & 0 \\ 0 & 0 & A_3 & & 0 & 0 \\ & \vdots & & & \vdots & \\ 0 & 0 & 0 & \dots & 0 & A_k \end{bmatrix}$$

where each A_i is $n_i \times n_i$ adjacency matrix of G_i , for all $i = 1, 2, \dots, k$, and the O 's represent matrices whose entries are all 0s.

Counting Walks of Length N

A **walk** in a graph consists of an alternating sequence of vertices and edges.

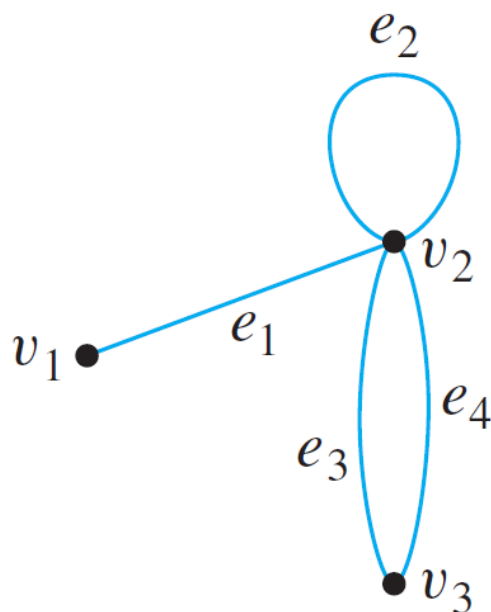
If repeated edges are counted each time they occur, then the number of edges in the sequence is called the **length** of the walk.

For instance, the walk $v_2 e_3 v_3 e_4 v_2 e_2 v_2 e_3 v_3$ has length 4 (counting e_3 twice).

Counting Walks of Length N

Example: Consider the following graph G .

How many distinct walks of length 2 connect v_2 and v_2 ?



One walk of length 2 from v_2 to v_2 via v_1 :
 $v_2 e_1 v_1 e_1 v_2$.

One walk of length 2 from v_2 to v_2 via v_2 :
 $v_2 e_2 v_2 e_2 v_2$.

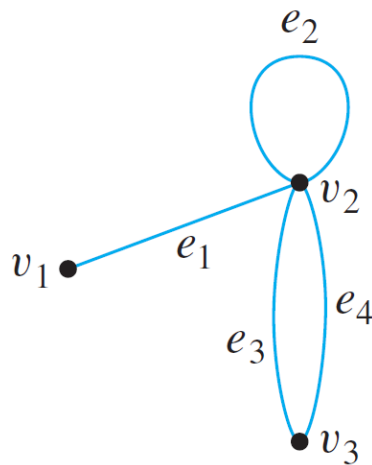
Four walks of length 2 from v_2 to v_2 via v_3 :
 $v_2 e_3 v_3 e_4 v_2$,
 $v_2 e_4 v_3 e_3 v_2$,
 $v_2 e_3 v_3 e_3 v_2$,
 $v_2 e_4 v_3 e_4 v_2$.

Total = 6

Counting Walks of Length N

The general question of finding the number of walks that have a given length and connect two particular vertices of a graph can easily be answered using matrix multiplication.

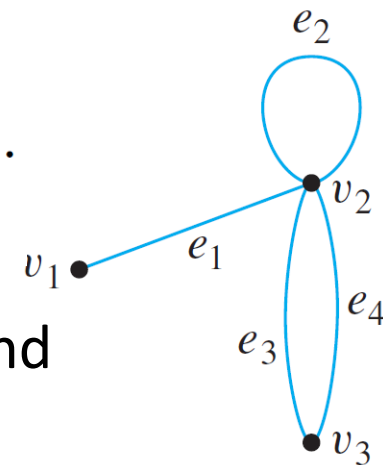
Consider the adjacency matrix \mathbf{A} of the graph G .



$$\mathbf{A} = \begin{matrix} & \begin{matrix} v_1 & v_2 & v_3 \end{matrix} \\ \begin{matrix} v_1 \\ v_2 \\ v_3 \end{matrix} & \begin{bmatrix} 0 & 1 & 0 \\ 1 & 1 & 2 \\ 0 & 2 & 0 \end{bmatrix} \end{matrix}.$$

Counting Walks of Length N

Compute \mathbf{A}^2 :
$$\begin{bmatrix} 0 & 1 & 0 \\ 1 & 1 & 2 \\ 0 & 2 & 0 \end{bmatrix} \begin{bmatrix} 0 & 1 & 0 \\ 1 & 1 & 2 \\ 0 & 2 & 0 \end{bmatrix} = \begin{bmatrix} 1 & 1 & 2 \\ 1 & \mathbf{6} & 2 \\ 2 & 2 & 4 \end{bmatrix}.$$



Note that the entry in the second row and the second column is 6, which equals the number of walks of **length 2** from v_2 to v_2 .

Reason: To compute a_{22} , you multiply the second row of \mathbf{A} times the second column of \mathbf{A} to obtain a sum of three terms:

$$\begin{bmatrix} 1 & 1 & 2 \end{bmatrix} \begin{bmatrix} 1 \\ 1 \\ 2 \end{bmatrix} = 1 \cdot 1 + 1 \cdot 1 + 2 \cdot 2.$$

Counting Walks of Length N

More generally, if \mathbf{A} is the adjacency matrix of a graph G , the ij -th entry of \mathbf{A}^2 equals the **number of walks of length 2** connecting the i -th vertex to the j -th vertex of G .

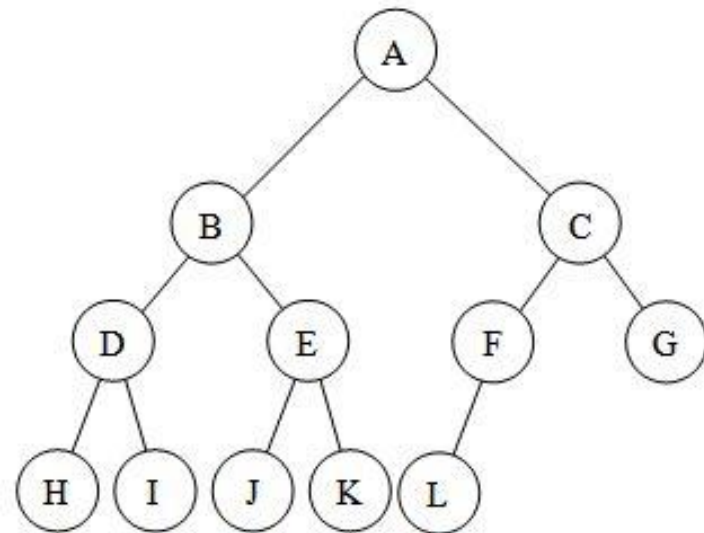
Even more generally, if n is any positive integer, the ij -th entry of \mathbf{A}^n equals the **number of walks of length n** connecting the i -th and the j -th vertices of G .

Theorem 10.3.2

If G is a graph with vertices v_1, v_2, \dots, v_m and \mathbf{A} is the adjacency matrix of G , then for each positive integer n and for all integers $i, j = 1, 2, \dots, m$,
the ij -th entry of \mathbf{A}^n = the number of walks of length n from v_i to v_j .

12. Graphs and Trees 2

10.5 Trees



Definition

Definition: Tree

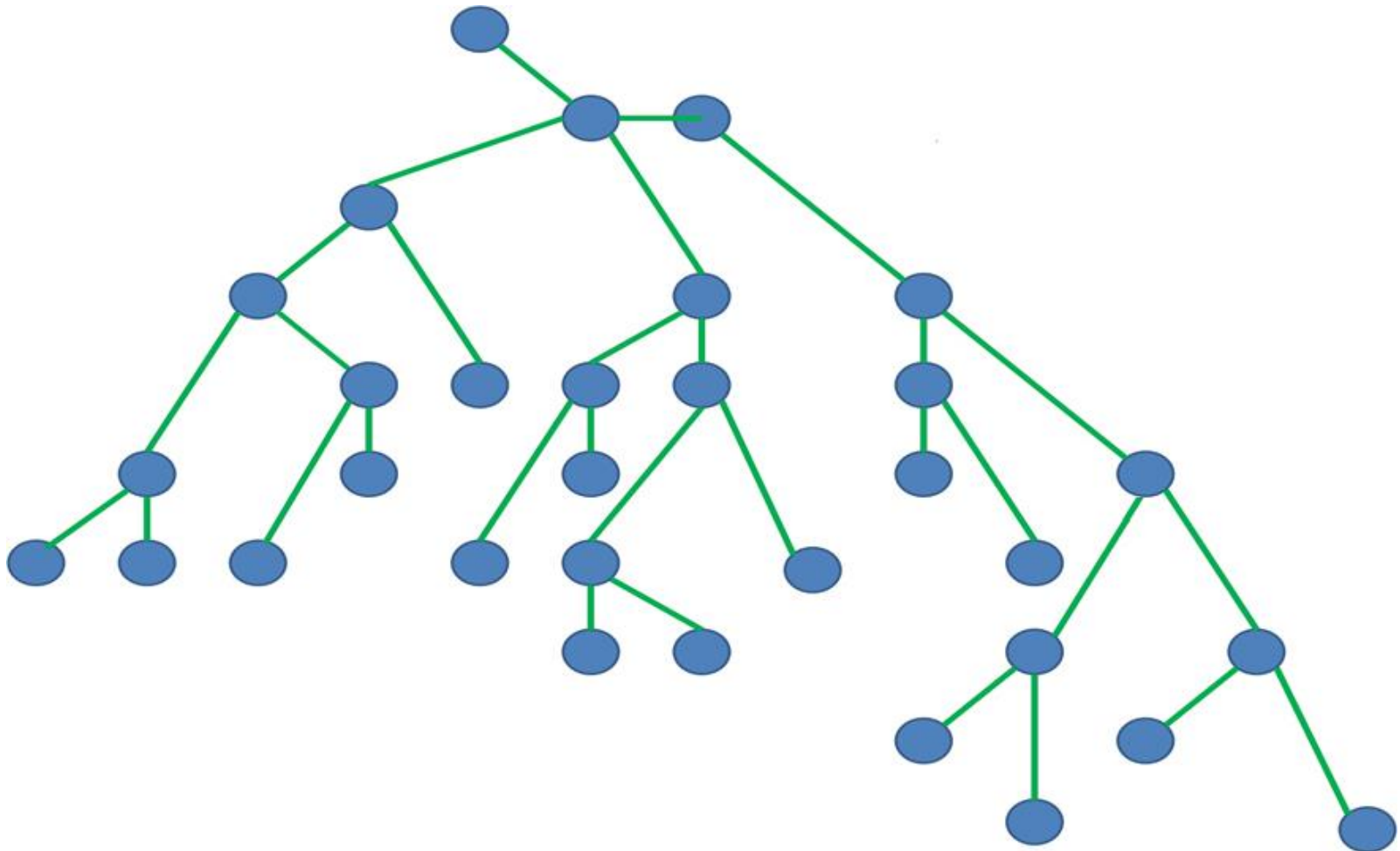
A **graph** is said to be **circuit-free** if, and only if, it has no circuits.

A graph is called a **tree** if, and only if, it is circuit-free and connected.

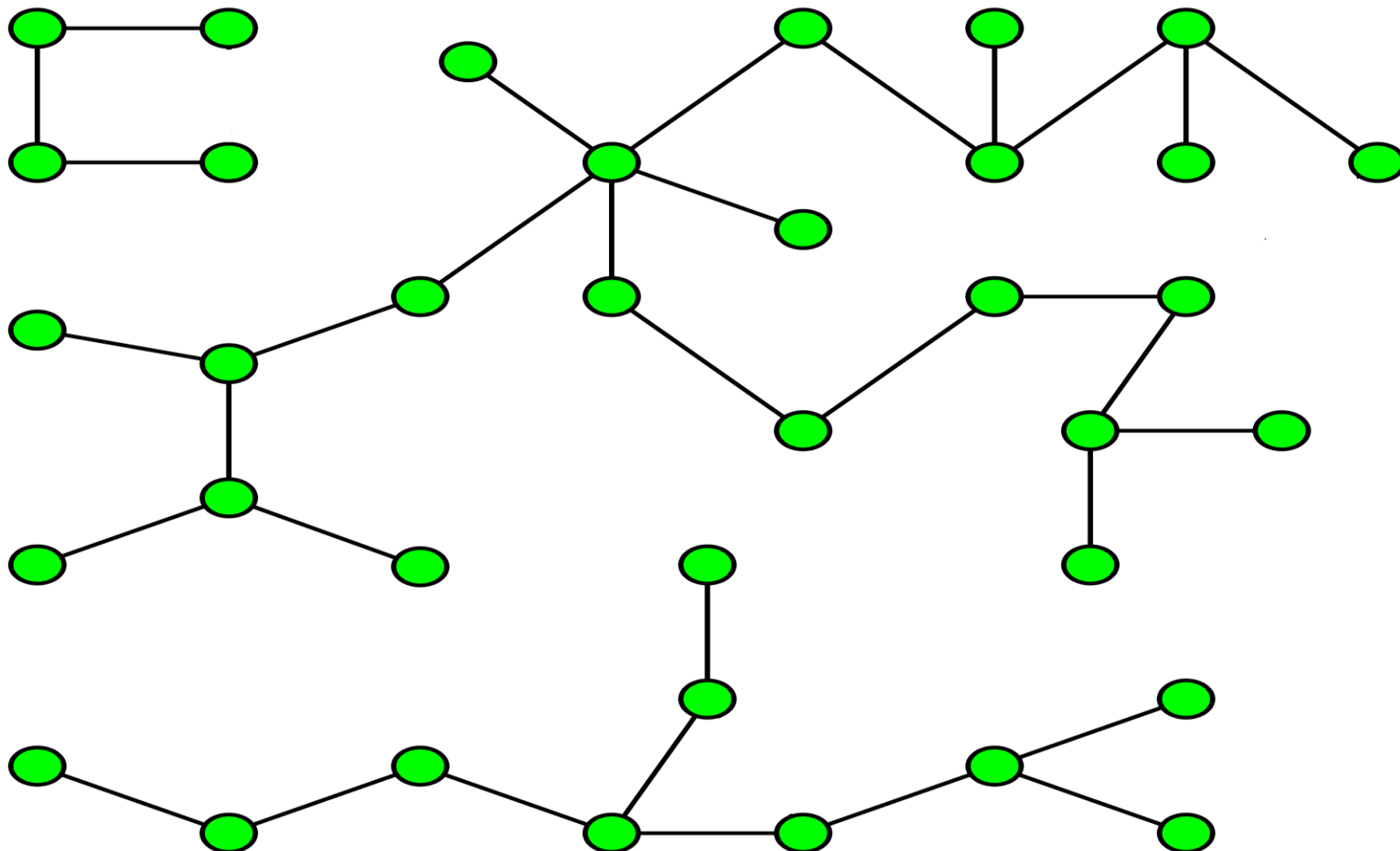
A **trivial tree** is a graph that consists of a single vertex.

A graph is called a **forest** if, and only if, it is circuit-free and not connected.

Tree



Forest



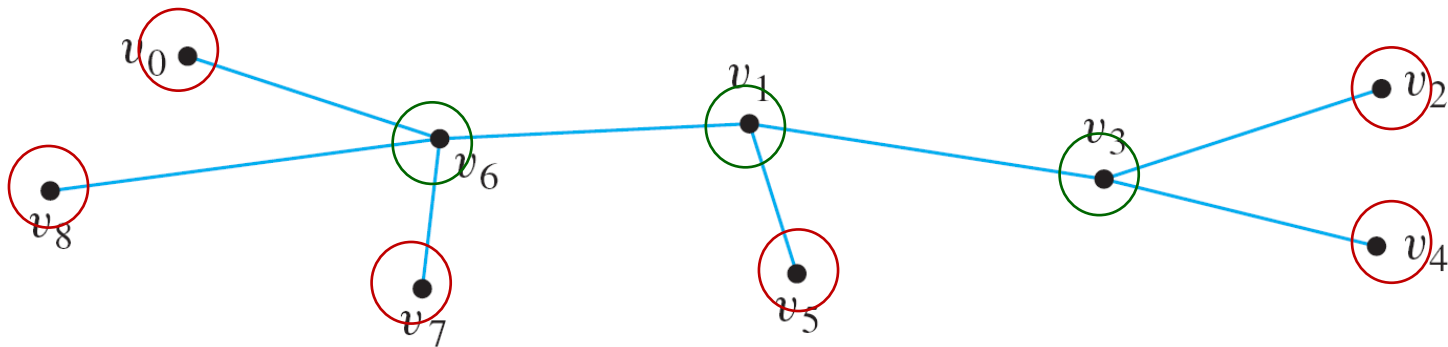
Definitions: Terminal vertex (leaf) and internal vertex

Let T be a tree. If T has only one or two vertices, then each is called a **terminal vertex** (or **leaf**).

If T has at least three vertices, then a vertex of degree 1 in T is called a **terminal vertex** (or **leaf**), and a vertex of degree greater than 1 in T is called an **internal vertex**.

Characterizing Trees

Example: Find all **terminal vertices** and all **internal vertices** in the following tree:



Terminal vertices: v_0, v_2, v_4, v_5, v_7 and v_8 .

Internal vertices: v_6, v_1 and v_3 .

Theorem 10.5.2

Any tree with n vertices ($n > 0$) has $n - 1$ edges.

Lemma 10.5.3

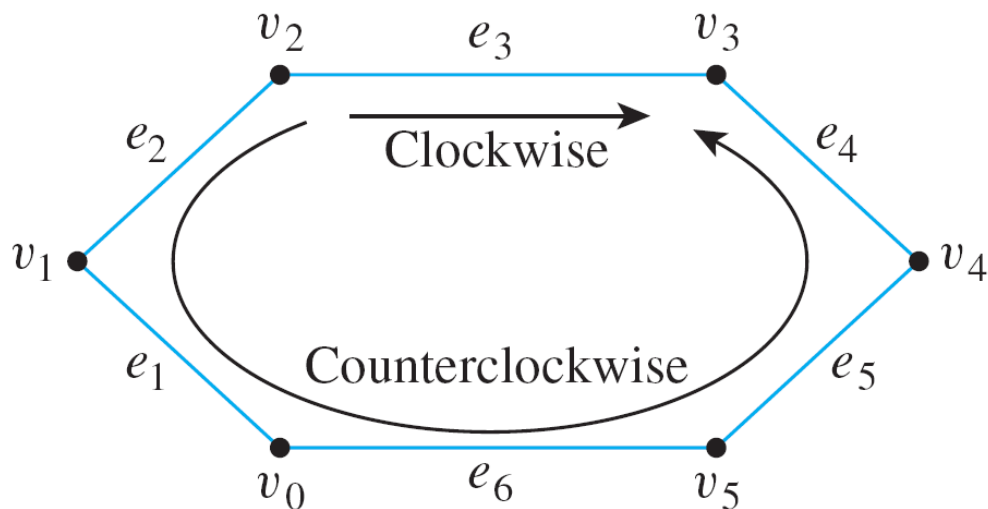
If G is any connected graph, C is any circuit in G , and one of the edges of C is removed from G , then the graph that remains is still connected.

Essentially, the reason why Lemma 10.5.3 is true is that any two vertices in a circuit are connected by two distinct paths.

It is possible to draw the graph so that one of these goes “clockwise” and the other goes “counter-clockwise” around the circuit.

Characterizing Trees

For example, in the circuit shown below:



The clockwise path from v_2 to v_3 is

$v_2 \ e_3 \ v_3$

and the counter-clockwise path from v_2 to v_3 is

$v_2 \ e_2 \ v_1 \ e_1 \ v_0 \ e_6 \ v_5 \ e_5 \ v_4 \ e_4 \ v_3$

10.6 Rooted Trees

A rooted tree is a tree in which one vertex has been distinguished from the others and is designated the *root*.

Definitions: Rooted Tree, Level, Height

A **rooted tree** is a tree in which there is one vertex that is distinguished from the others and is called the **root**.

The **level** of a vertex is the number of edges along the unique path between it and the root.

The **height** of a rooted tree is the maximum level of any vertex of the tree.

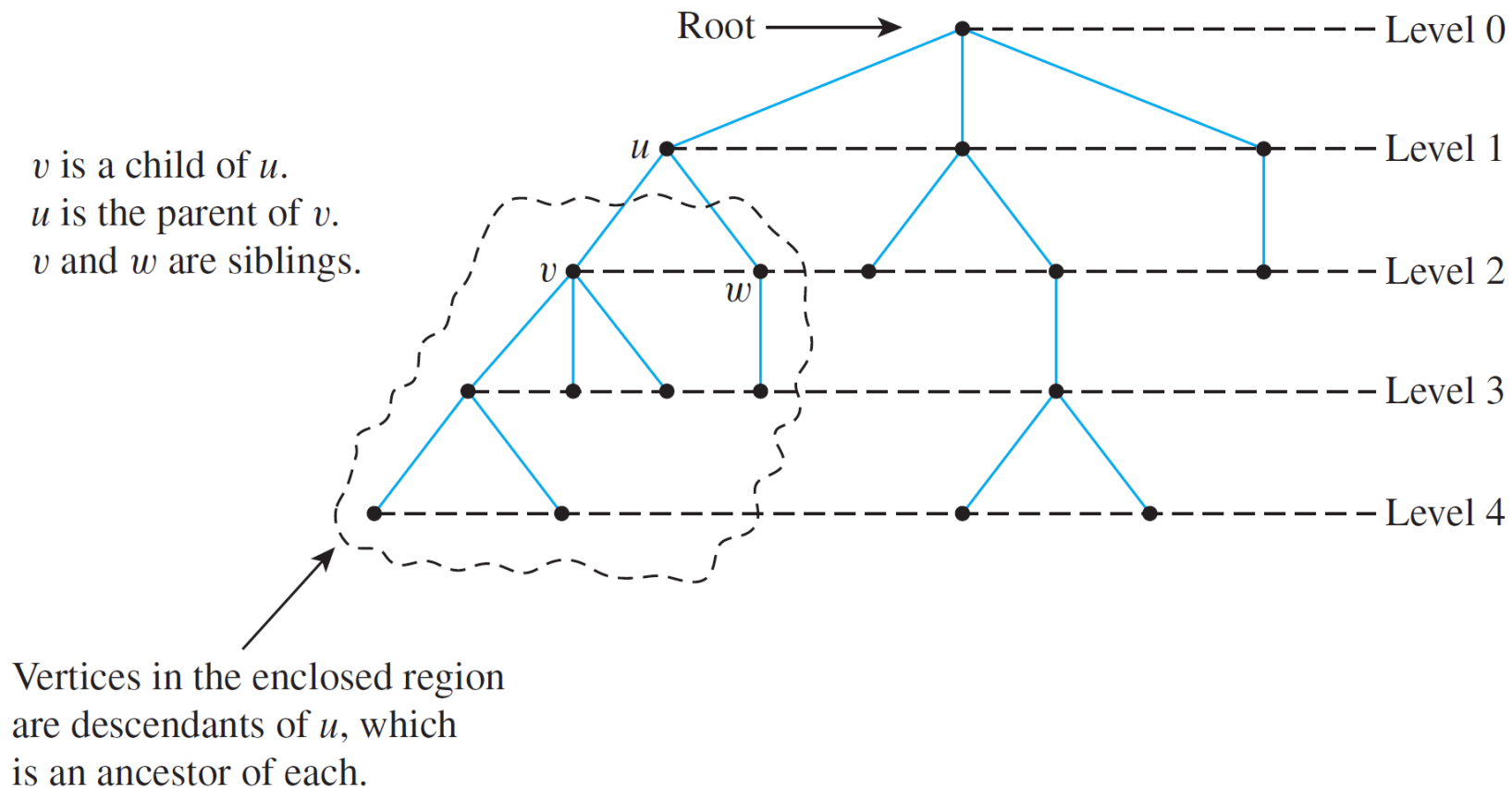
Definitions: Child, Parent, Sibling, Ancestor, Descendant

Given the root or any internal vertex v of a rooted tree, the **children** of v are all those vertices that are adjacent to v and are one level farther away from the root than v .

If w is a child of v , then v is called the **parent** of w , and two distinct vertices that are both children of the same parent are called **siblings**.

Given two distinct vertices v and w , if v lies on the unique path between w and the root, then v is an **ancestor** of w , and w is a **descendant** of v .

Definitions

**Figure 10.6.1** A Rooted Tree

Example

Example: Consider the tree with root v_0 shown below.

a. What is the level of v_5 ? 2

b. What is the level of v_0 ? 0

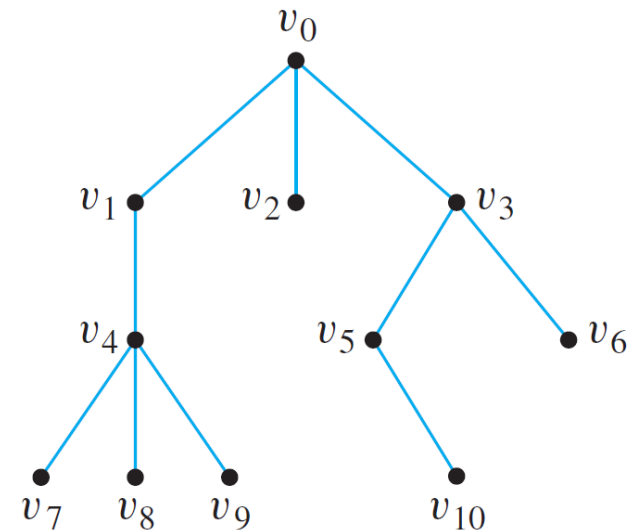
c. What is the height of this rooted tree? 3

d. What are the children of v_3 ? v_5 and v_6

e. What is the parent of v_2 ? v_0

f. What are the siblings of v_8 ? v_7 and v_9

g. What are the descendants of v_3 ? v_5 , v_6 and v_{10}



Binary Trees

Definitions: Binary Tree, Full Binary Tree

A **binary tree** is a rooted tree in which every parent has at most two children. Each child is designated either a **left child** or a **right child** (but not both), and every parent has at most one left child and one right child.

A **full binary tree** is a binary tree in which each parent has exactly two children.

Binary Trees

Definitions: Left Subtree, Right Subtree

Given any parent v in a binary tree T , if v has a left child, then the **left subtree** of v is the binary tree whose root is the left child of v , whose vertices consist of the left child of v and all its descendants, and whose edges consist of all those edges of T that connect the vertices of the left subtree.

The **right subtree** of v is defined analogously.

Binary Trees

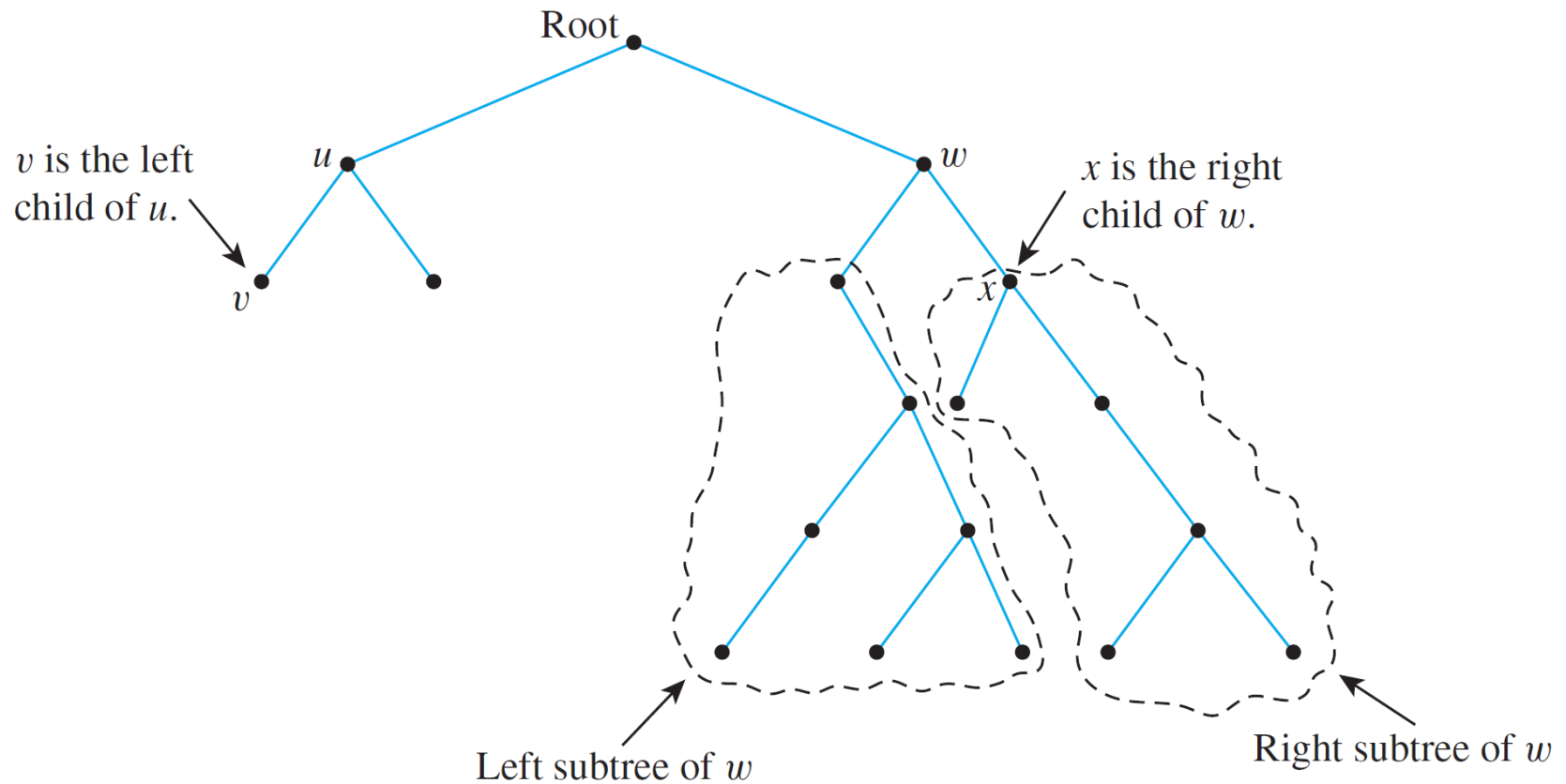
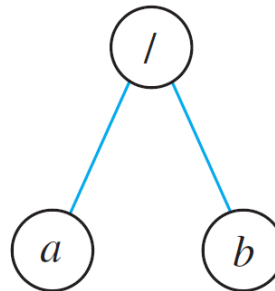


Figure 10.6.2 A Binary Tree

Example – Representation of Algebraic Expressions

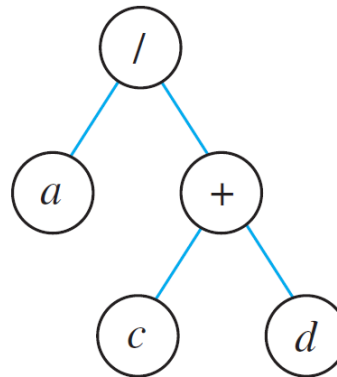
Binary trees are used in many ways in computer science. One use is to represent **algebraic expressions with arbitrary nesting of balanced parentheses**.

For instance, the following (labeled) binary tree represents the expression a/b : The operator is at the root and acts on the left and right children of the root in **left-right order**.



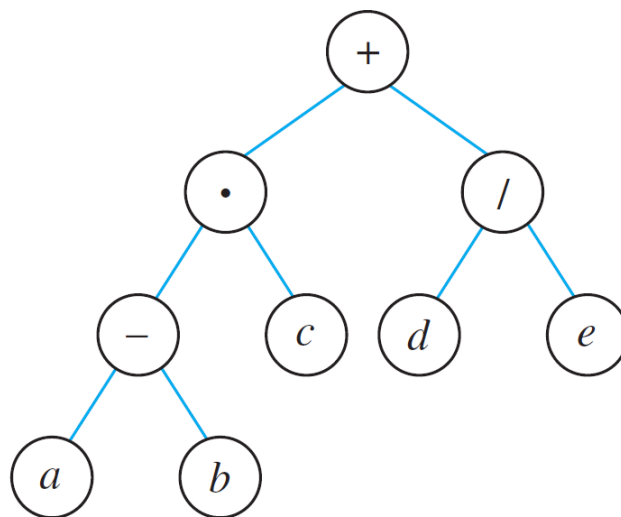
Example – Representation of Algebraic Expressions

More generally, the binary tree shown below represents the expression $a/(c + d)$. In such a representation, the internal vertices are arithmetic operators, the terminal vertices are variables, and the operator at each vertex acts on its left and right subtrees in left-right order.



Example – Representation of Algebraic Expressions

Draw a binary tree to represent the expression
 $((a - b) \cdot c) + (d/e).$



Binary Tree Traversal

Tree traversal (also known as **tree search**) is the process of visiting each node in a tree data structure exactly once in a systematic manner.

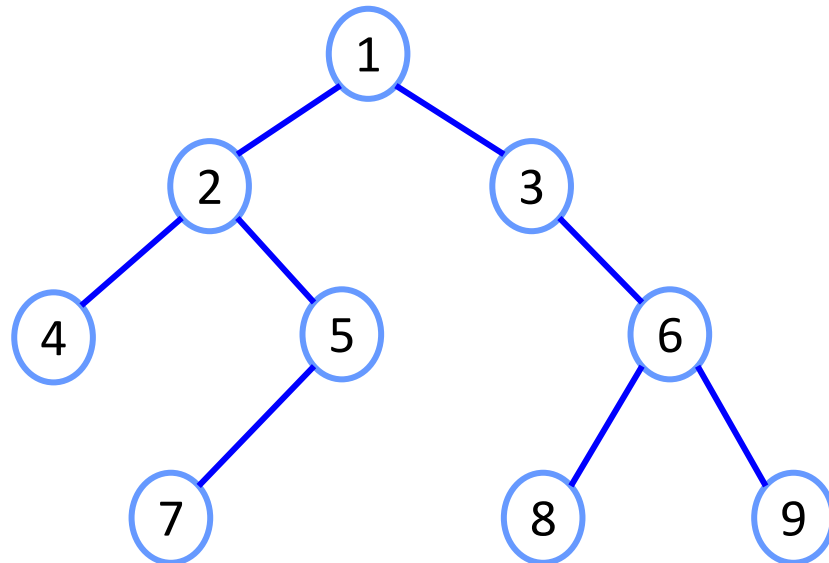
There are two types of traversal: **breadth-first search (BFS)** or **depth-first search (DFS)**.

The following sections describe BFS and DFS on binary trees, but in general they can be applied on any type of trees, or even graphs.

Breadth-First Search

In breadth-first search (by E.F. Moore), it starts at the root and visits its adjacent vertices, and then moves to the next level.

The figure shows the order of the vertices visited.



Depth-First Search

There are three types of depth-first traversal:

- Pre-order

- Print the data of the root (or current vertex)
- Traverse the left subtree by recursively calling the pre-order function
- Traverse the right subtree by recursively calling the pre-order function

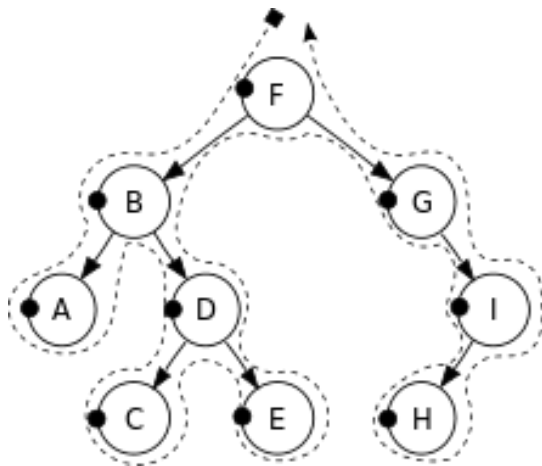
- In-order

- Traverse the left subtree by recursively calling the in-order function
- Print the data of the root (or current vertex)
- Traverse the right subtree by recursively calling the in-order function

- Post-order

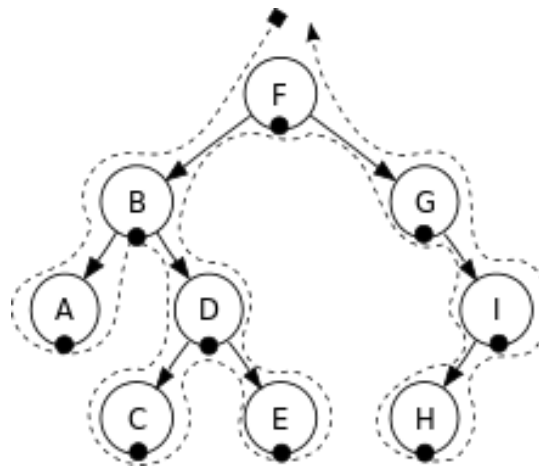
- Traverse the left subtree by recursively calling the post-order function
- Traverse the right subtree by recursively calling the post-order function
- Print the data of the root (or current vertex)

Depth-First Search



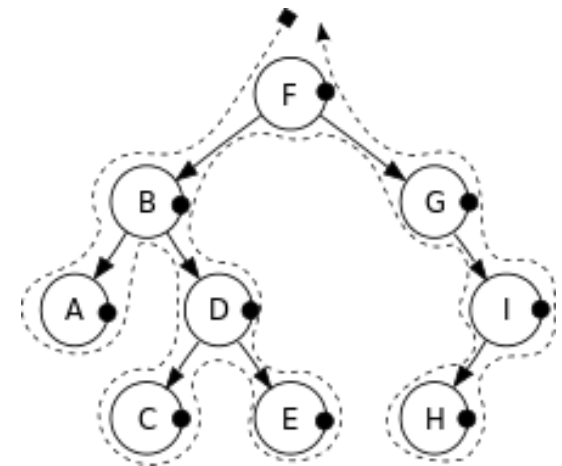
Pre-order:

F, B, A, D, C, E, G, I, H



In-order:

A, B, C, D, E, F, G, H, I



Post-order:

A, C, E, D, B, H, I, G, F

10.7 Spanning Trees and Shortest Paths

Definitions

An East Coast airline company wants to expand service to the Midwest and has received permission from the Federal Aviation Authority to fly any of the routes shown in Figure 10.7.1.

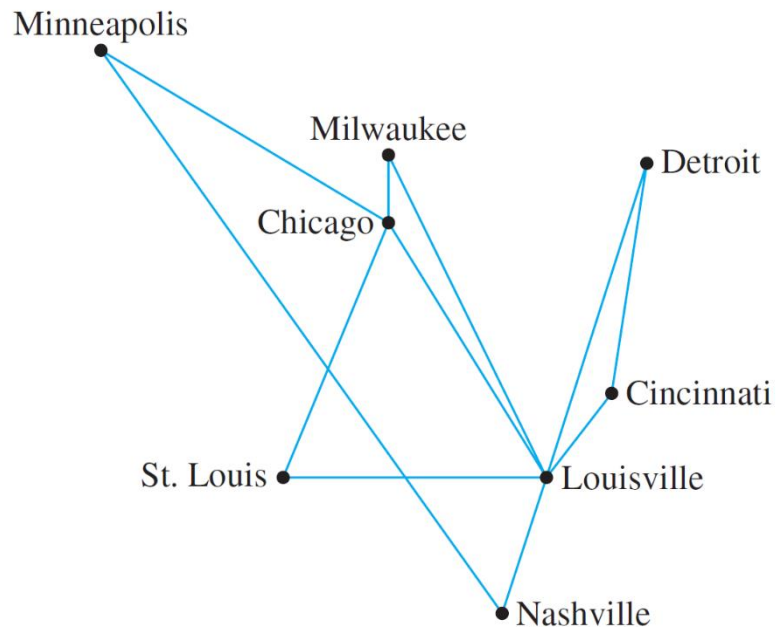


Figure 10.7.1

Definitions

The company wishes to legitimately advertise service to all the cities shown but, for reasons of economy, wants to use the [least possible number of individual routes](#) to connect them. One possible route system is given in Figure 10.7.2, where the chosen routes are in red.

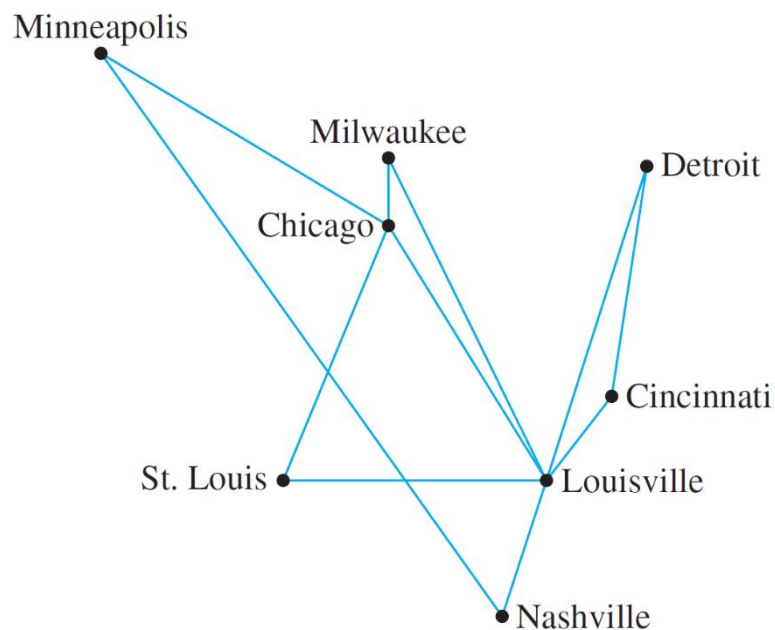


Figure 10.7.1

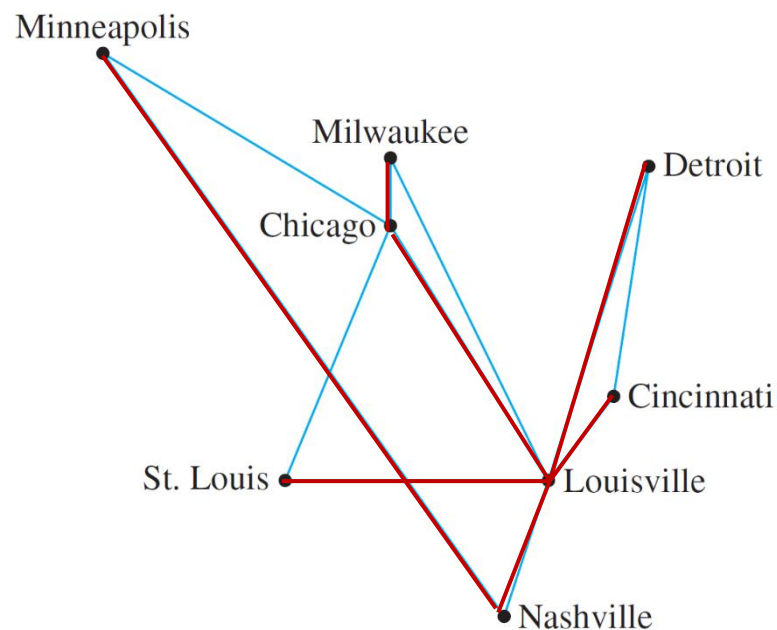


Figure 10.7.2

What you have seen is a **spanning tree**.

Definition: Spanning Tree

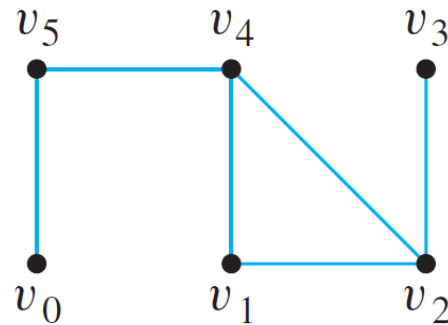
A **spanning tree** for a graph G is a subgraph of G that contains every vertex of G and is a tree.

Proposition 10.7.1

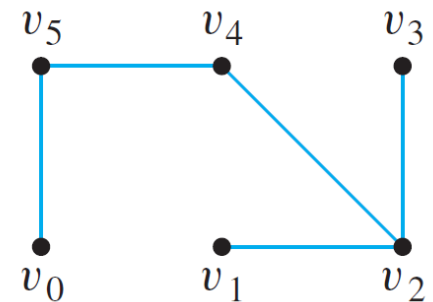
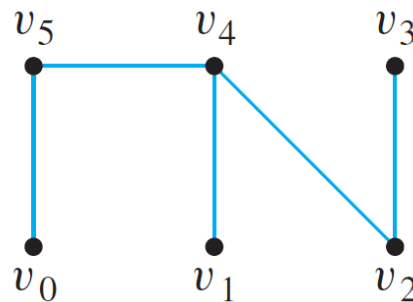
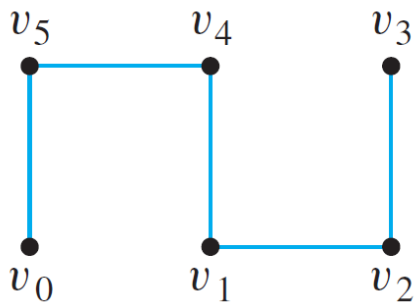
1. Every connected graph has a spanning tree.
2. Any two spanning trees for a graph have the same number of edges.

Definitions

Example: Find all spanning trees for the graph G below.



The graph G has one circuit $v_2v_1v_4v_2$ and removal of any edge of the circuit gives a tree. Hence there are three spanning trees for G .



Minimum Spanning Trees

The graph of the routes allowed by the Federal Aviation Authority shown in Figure 10.7.1 can be annotated by adding the distances (in miles) between each pair of cities.

Now suppose the airline company wants to serve all the cities shown, but with a route system that minimizes the total mileage.

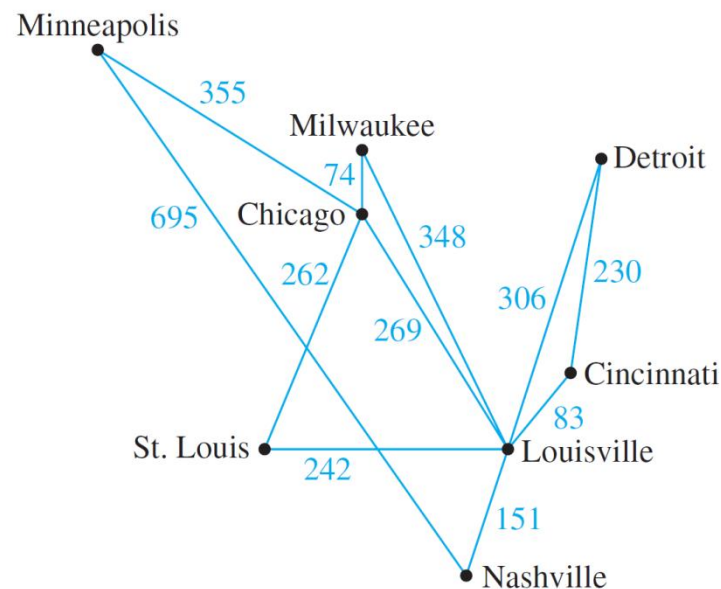


Figure 10.7.3

Minimum Spanning Trees

Definitions: Weighted Graph, Minimum Spanning Tree

A **weighted graph** is a graph for which each edge has an associated positive real number **weight**. The sum of the weights of all the edges is the **total weight** of the graph.

A **minimum spanning tree** for a connected weighted graph is a spanning tree that has the least possible total weight compared to all other spanning trees for the graph.

If G is a weighted graph and e is an edge of G , then $w(e)$ denotes the weight of e and $w(G)$ denotes the total weight of G .

Kruskal's Algorithm (Joseph B. Kruskal, 1956)

In **Kruskal's algorithm**, the edges of a connected weighted graph are examined one by one in order of increasing weight.

At each stage the edge being examined is added to what will become the minimum spanning tree, provided that this addition does not create a circuit.

After $n - 1$ edges have been added (where n is the number of vertices of the graph), these edges, together with the vertices of the graph, form a minimum spanning tree for the graph.

Algorithm 10.7.1 Kruskal

Input: G [a connected weighted graph with n vertices]

Algorithm:

1. Initialize T to have all the vertices of G and no edges.
 2. Let E be the set of all edges of G , and let $m = 0$.
 3. While ($m < n - 1$)
 - 3a. Find an edge e in E of least weight.
 - 3b. Delete e from E .
 - 3c. If addition of e to the edge set of T does not produce a circuit, then add e to the edge set of T and set $m = m + 1$
- End while

Output: T [T is a minimum spanning tree for G]

Kruskal's Algorithm

Example: Describe the action of Kruskal's algorithm on the graph shown in Figure 10.7.4, where $n = 8$.

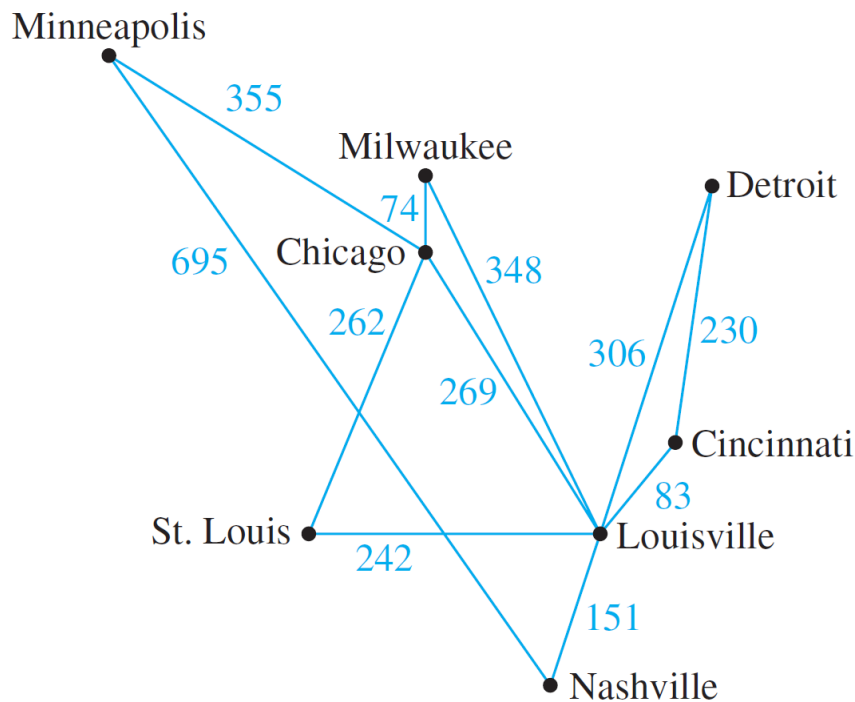


Figure 10.7.4

Kruskal's Algorithm

Using Kruskal's algorithm we can formulate the following table.

	Edge considered	Wt	Action taken
1	→ Chi – Mil	74	added
2	→ Lou – Cin	83	added
3	→ Lou – Nas	151	added
4	→ Cin – Det	230	added
5	→ StL – Lou	242	added
6	→ StL – Chi	262	added
7	→ Chi – Lou	269	not added
8	→ Lou – Det	306	not added
9	→ Lou – Mil	348	not added
10	→ Min – Chi	355	added

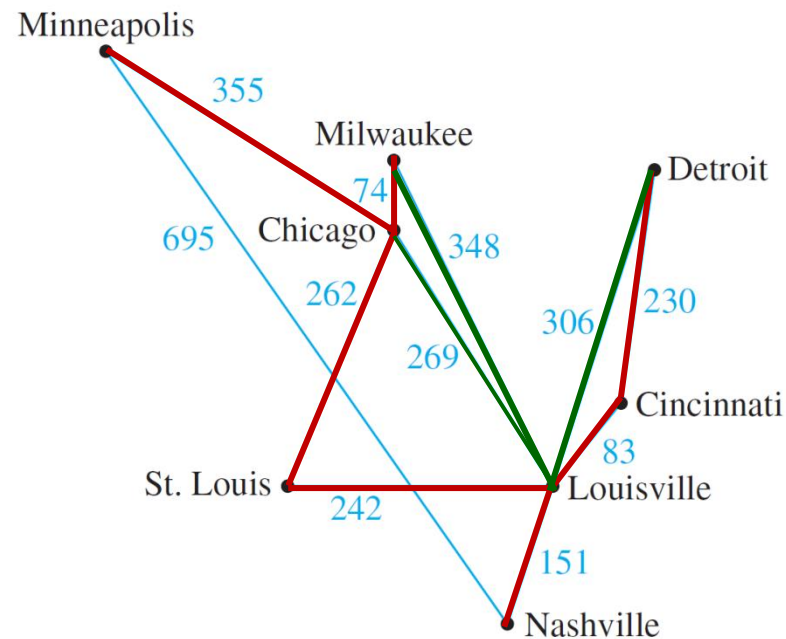
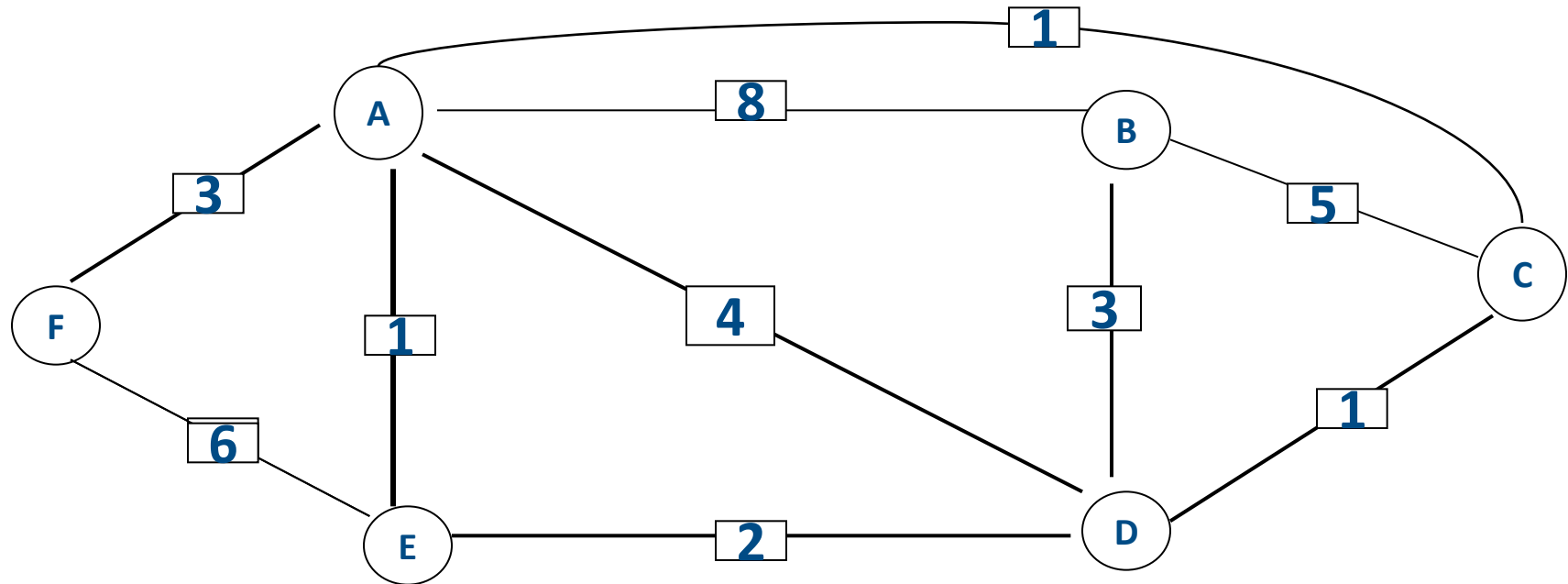


Figure 10.7.4

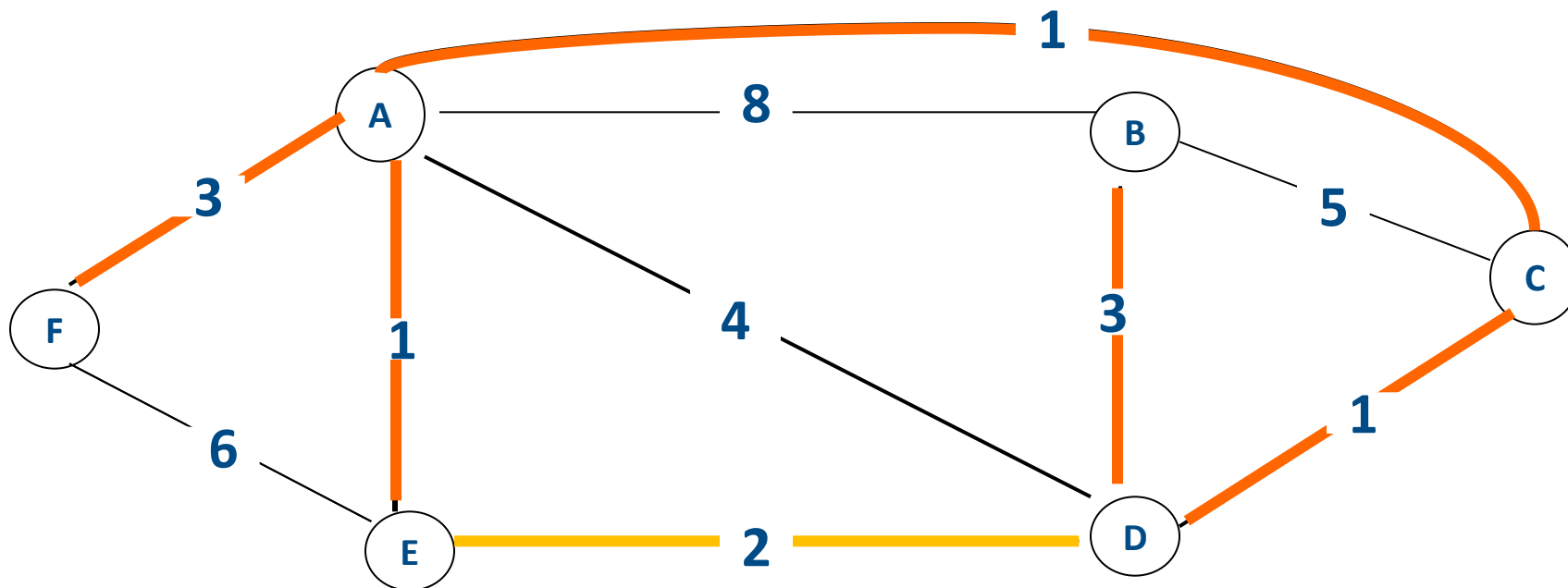
Kruskal's Algorithm

When Kruskal's algorithm is used on a graph in which some edges have the same weight as others, more than one minimum spanning tree can occur as output.

To make the output unique, the edges of the graph can be placed in an array and edges having the same weight can be added in the order they appear in the array.

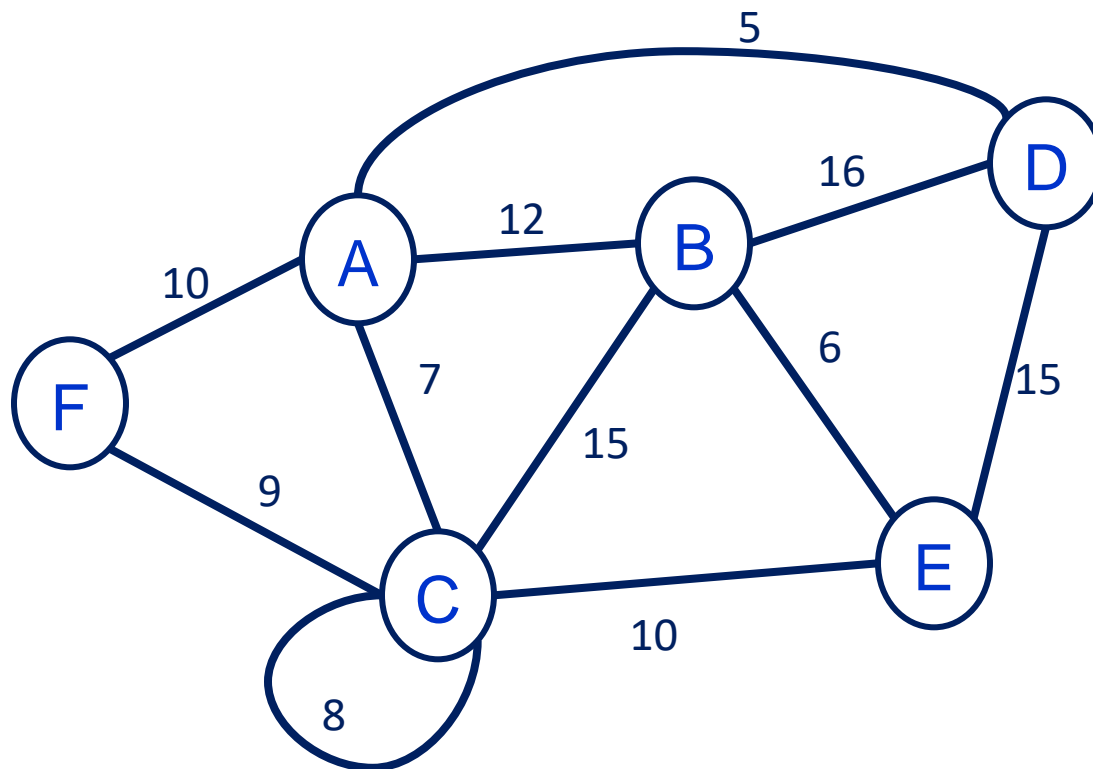


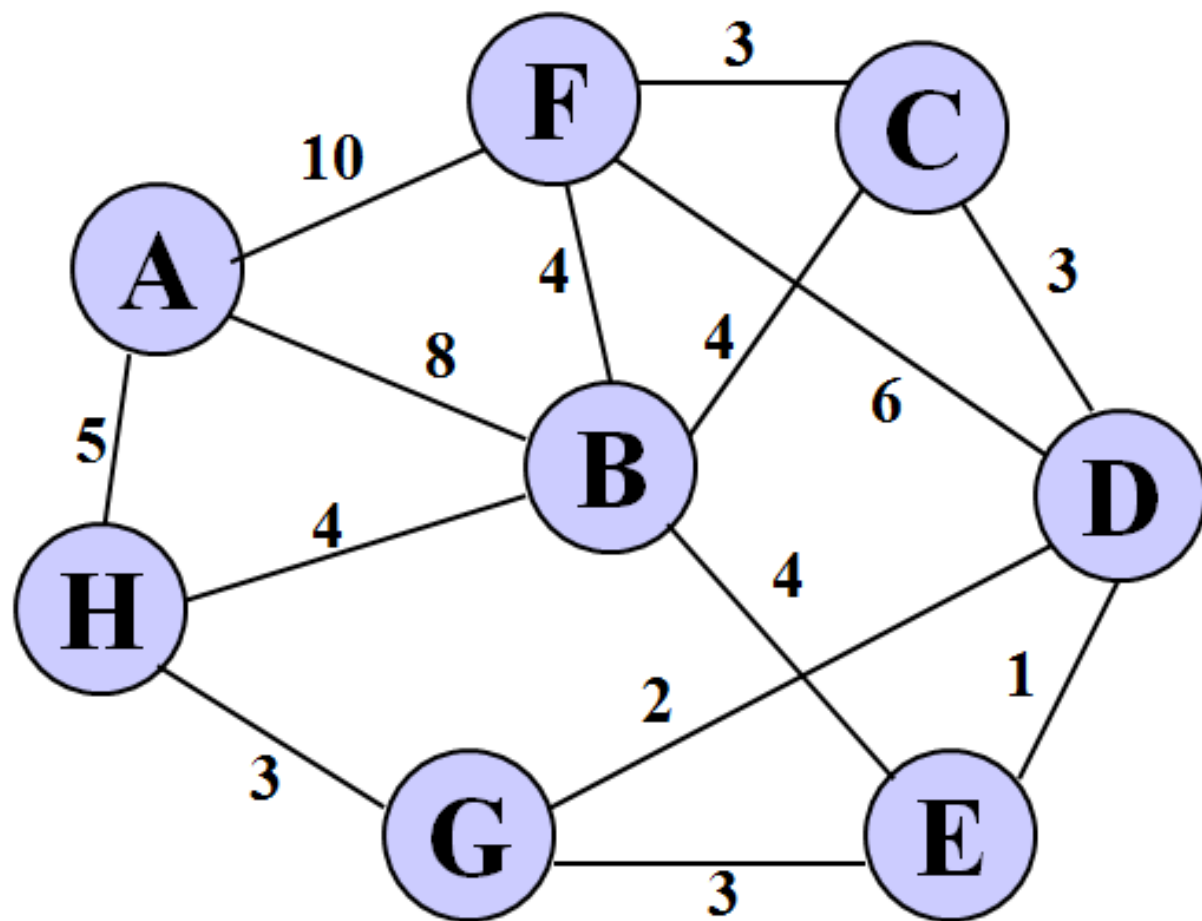
Example



AC	AE	CD	DE	AF	BD	AD	BC	EF	AB
1	1	1	2	3	3	4	5	6	8

$T = \{ AC, AE, CD, AF, BD \}$ is a minimum spanning tree





Prim's Algorithm (Robert C. Prim, 1957)

Prim's algorithm works differently from Kruskal's. It builds a minimum spanning tree T by expanding outward in connected links from some vertex.

One edge and one vertex are added at each stage. The edge added is the one of least weight that connects the vertices already in T with those not in T , and the vertex is the endpoint of this edge that is not already in T .

Algorithm 10.7.2 Prim

Input: G [a connected weighted graph with n vertices]

Algorithm:

1. Pick a vertex v of G and let T be the graph with this vertex only.
2. Let V be the set of all vertices of G except v .
3. For $i = 1$ to $n - 1$
 - 3a. Find an edge e of G such that (1) e connects T to one of the vertices in V , and (2) e has the least weight of all edges connecting T to a vertex in V . Let w be the endpoint of e that is in V .
 - 3b. Add e and w to the edge and vertex sets of T , and delete w from V .

Output: T [T is a minimum spanning tree for G]

Prim's Algorithm

Example: Describe the action of Prim's algorithm on the graph shown in Figure 10.7.6, using the Minneapolis vertex as a starting point.

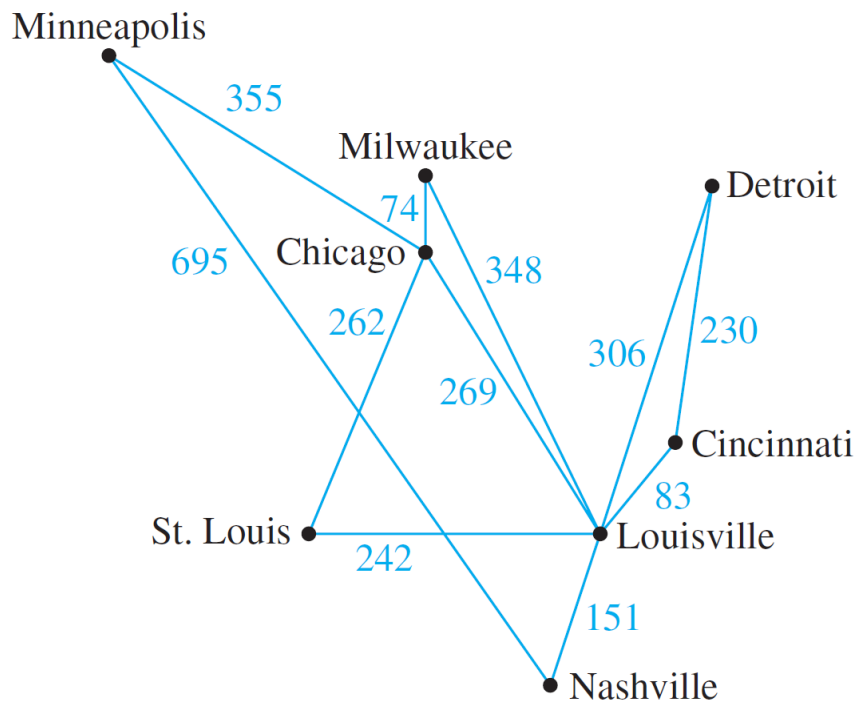


Figure 10.7.6

Prim's Algorithm

Using Prim's algorithm we can formulate the following table.

	Vertex added	Edge added	Weight
0	Minneapolis		
1	Chicago	Min – Chi	355
2	Milwaukee	Chi – Mil	74
3	St. Louis	Chi – StL	262
4	Louisville	StL – Lou	242
5	Cincinnati	Lou – Cin	83
6	Nashville	Lou – Nas	151
7	Detroit	Cin – Det	230

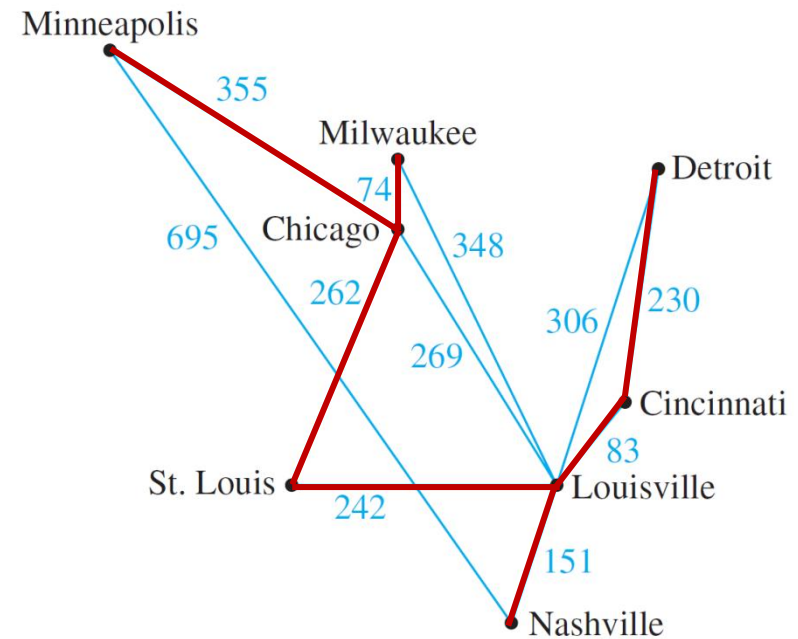


Figure 10.7.6