Trees

Huynh Tuong Nguyen, Tran Tuan Anh, Nguye An Khuong, Le Hong Trang



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Chapter 10 Trees

Discrete Structures for Computing on February 22, 2021

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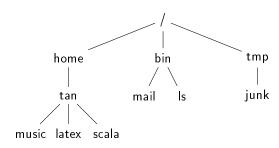
Kruskal's Algorithm

L.O.1	Understanding of logic and discrete structures
	L.O.1.1 – Describe definition of propositional and predicate logic
	L.O.1.2 – Define basic discrete structures: set, mapping, graphs
L.O.2	Represent and model practical problems with discrete structures
	L.O.2.1 – Logically describe some problems arising in Computing
	L.O.2.2 – Use proving methods: direct, contrapositive, induction
	L.O.2.3 – Explain problem modeling using discrete structures
L.O.3	Understanding of basic probability and random variables
	L.O.3.1 – Define basic probability theory
	L.O.3.2 – Explain discrete random variables
L O 4	Compute quantities of discrete structures and probabilities
	L O 4.1 – Operate (compute/ optimize) on discrete structures
	L O 4.2 – Compute probabilities of various events, conditional
	ones, Bayes theorem

Course learning outcomes

Introduction

- Very useful in computer science: search algorithm, game winning strategy, decision making, sorting, . . .
- Other disciplines: chemical compounds, family trees, organizational tree,



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A tree $(c\hat{a}y)$ is a connected undirected graph with no simple circuits. Consequently, a tree must be a simple graph.

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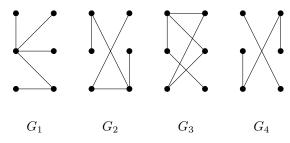
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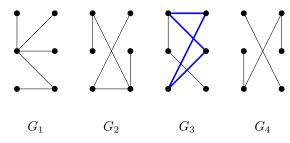
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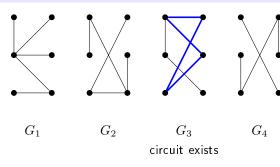
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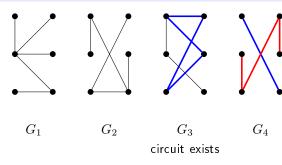
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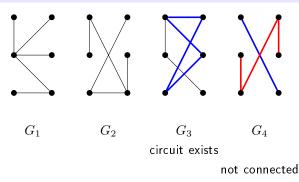
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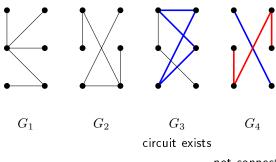
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not connected

Definition

Graphs containing no simple circuits that are not necessarily connected is forest $(r\grave{v}ng)$, in which each connected component is a tree.

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- One vertex has been designated as the root and
- Every edge is directed away from the root



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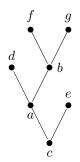
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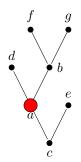
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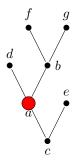
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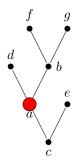
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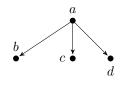
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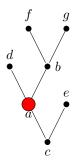
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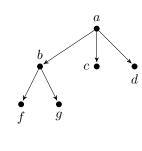
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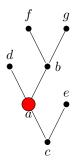
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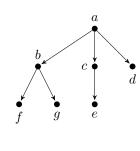
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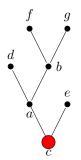
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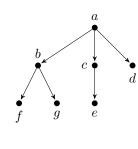
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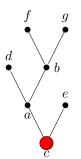
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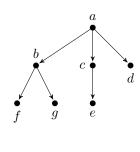
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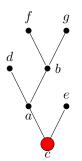
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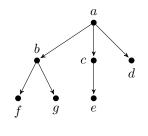
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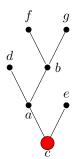
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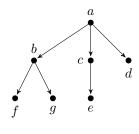
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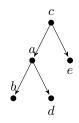
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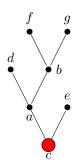
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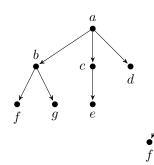
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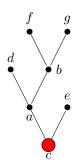
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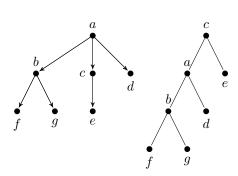
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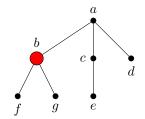
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Terminology

Definition

- parent (cha) of v is the unique u such that there is a directed edge from u to v
- when \underline{u} is the parent of \underline{v} , \underline{v} is called a child (con) of \underline{u}
- vertices with the same parent are called siblings (anh em)
- the ancestors (tổ tiên) of a vertex are the vertices in the path from the root to this vertex (excluding the vertex itself)
- descendants ($con\ ch\acute{a}u$) of a vertex v are those vertices that have v as an ancestor



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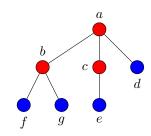
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Terminology

Definition

- a vertex of a tree is called a leaf (Iá) if it has no children
- vertices that have children are called internal vertices (dinh trong)



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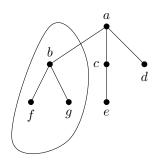
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Terminology

Definition

If a is a vertex in a tree, the subtree ($c\hat{a}y$ con) with a as its root is the subgraph of the tree consisting of a and its descendants and all edges incident to these descendants.



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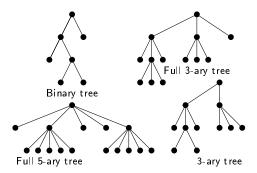
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- m-ary tree ($c\hat{a}y m$ - $ph\hat{a}n$): at most m children on each internal vertex of a rooted tree.
- full m-ary tree (cây m-phân đầy đủ): every internal vertex has exactly m children.
- An m-ary tree with m = 2 is called a binary tree (cây nhị phân).



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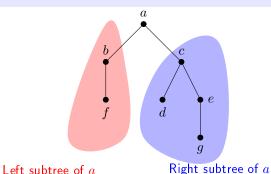
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Ordered Rooted Trees

Definition

 An ordered rooted tree (cây có gốc có thứ tự) is a rooted tree where the children of each internal vertex are ordered (e.g. in order from left to right).



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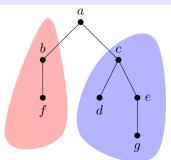
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Ordered Rooted Trees

Definition

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- In an ordered binary tree (cây nhị phân có thứ tự), if an internal vertex has two children, the first child is called the left child (con bên trái) and the second is called the right child (con bên phải).



Left subtree of a

Right subtree of a

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Theorem

A tree with n vertices has n-1 edges.

Theorem

A full m-ary tree

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Spanning Trees

Minimum Spanning Trees

Prim's Algorithm Kruskal's Algorithm

Theorem

A tree with n vertices has n-1 edges.

Theorem

A full m-ary tree

- $\bigcirc n$ vertices has (n-1)/m internal vertices and [(m-1)n+1]/m leaves
- **6** i internal vertices has n=mi+1 vertices and (m-1)i+1 leaves
- \emptyset ℓ leaves has $n=(m\ell-1)/(m-1)$ vertices and $(\ell-1)/(m-1)$ internal vertices

Example (Chain Letter Game)

- Each person who receives the letter is asked to send it on to four other peoples.
- Some peoples do this, but others do not send any letters.
- How many people have seen the letter, including the first person, if no one receives more than one letter and if the chain letter ends after there have been 100 people who read it but did not send it out?
- How many people sent out the letter?

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- How many people sent out the letter?

Solution

- Using 4-ary tree with 100 leaves corresponding to 100 persons who did not send out the letter.
- $\implies n = (ml 1)/(m 1) = (4 \times 100 1)/(4 1) = 133$ vertices and i = n - l = 133 - 100 = 33 internal vertices.

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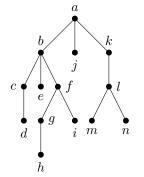
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- The level (múc) of a vertex v in a rooted tree is the length of the unique path from the root to this vertex.
- The level of the root is defined to be zero.
- The height (độ cao) of a rooted tree is the maximum of the levels of vertices (i.e. the length of the longest path from the root to any vertex).



Example

- Level of root a=0, b, j, k=1 and $c, e, f, l=2 \dots$
- Because the largest level of any vertex is
 4, this tree has height
 4.

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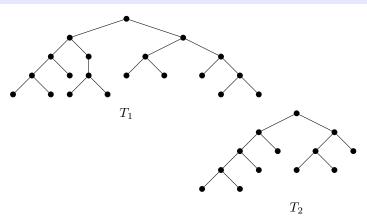
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Balanced m-ary Trees

Definition

A rooted m-ary tree of height h is balanced ($c\hat{a}n \ d\hat{o}i$) if all leaves are at levels h or h-1.



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Theorem

There are at most m^h leaves in an m-ary tree of height h.



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Theorem

There are at most m^h leaves in an m-ary tree of height h.

It can be proved by using mathematical induction on the height.



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Balanced m-ary Tree

Theorem

There are at most m^h leaves in an m-ary tree of height h.

It can be proved by using mathematical induction on the height.

Corollary

- If an m-ary tree of height h has ℓ leaves, then $h \geq \lceil \log_m \ell \rceil$.
- If the m-ary tree is full and balanced, then $h = \lceil \log_m \ell \rceil$.

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Exercise (Chess tournament)

Suppose 1000 people enter a chess tournament. Use a rooted tree model of the tournament to determine how many games must be played to determine a champion. If a player is eliminated after one loss and games are played until only one entrant has not lost. (Assume there are no ties)

Exercise (Isomorphic)

How many different isomers (đồng phân) do the following saturated hydrocarbons have?

- \bullet C_3H_8
- C_5H_{12}
- \bullet C_6H_{14}

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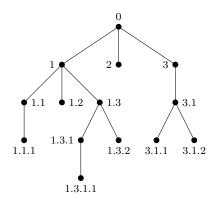
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Exercise

- How many vertices and how many leaves does a complete m-ary tree of height h have?
- Show that a full m-ary balanced tree ($c\hat{a}y \ m$ -phân hoàn hảo) of height h has more than m^{h-1} leaves.
- How many edges are there in a forest of t trees containing a total of n vertices?

Labeling Ordered Rooted Trees

- Ordered rooted trees are often used to store information
- Need a procedure for visiting each vertex of an ordered rooted tree to access data
- Ordering and labeling the vertices is important to traverse them in any procedure
- Universal address system (hệ địa chỉ phổ dụng)
 0 < 1 < 1.1 < 1.1.1 < 1.2 < 1.3 < ... < 2 < 3 < 3.1 < ...



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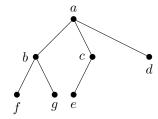
procedure preorder(T: ordered rooted tree)

 $r:=\mathsf{root}\;\mathsf{of}\;T$

print r

for each child c of r from left to right

T(c) :=subtree with c as its root preorder(T(c))



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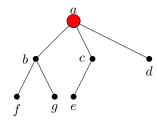
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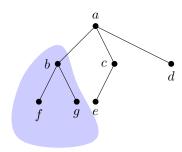
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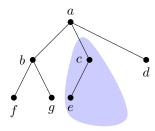
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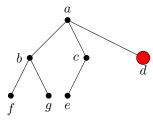
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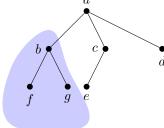
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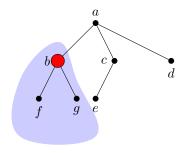
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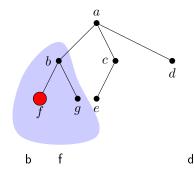
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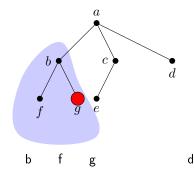
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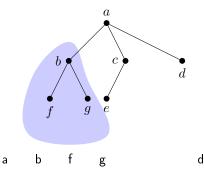
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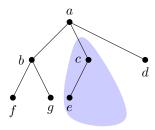
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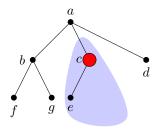
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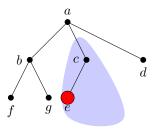
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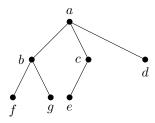
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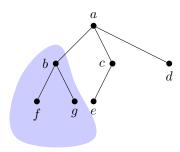
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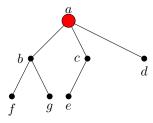
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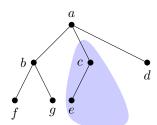
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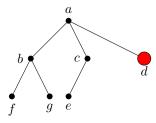
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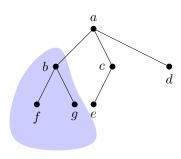
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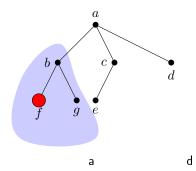
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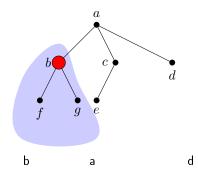
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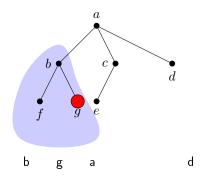
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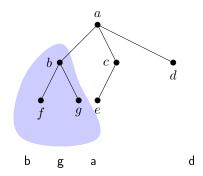
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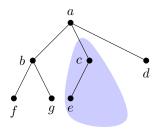
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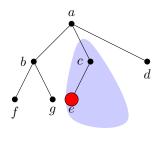
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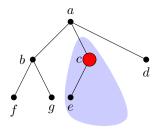
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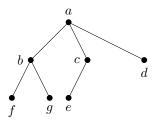
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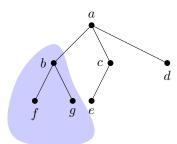
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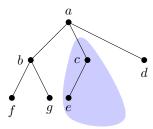
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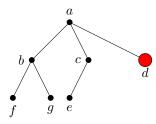
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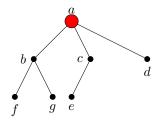
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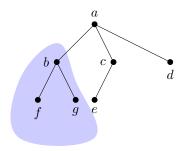
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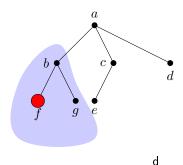
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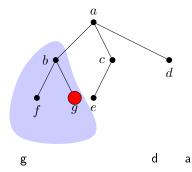
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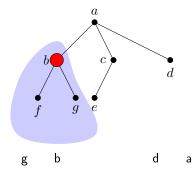
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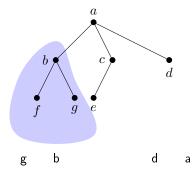
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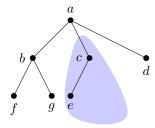
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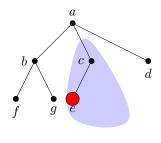
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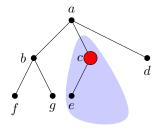
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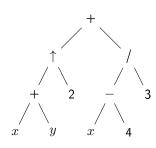
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Infix, Prefix and Postfix Notations

• Infix (trung tố): $((x+y) \uparrow 2) + ((x-4)/3)$

• Prefix (tiền tố): + ↑ + x y 2 / - x 4 3

• Postfix ($h\hat{q}u t\hat{o}$): $x y + 2 \uparrow x 4 - 3 / +$





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Find the ordered rooted tree representing

$$(\neg(p \land q) \lor (\neg q \land r)) \to (\neg p \lor \neg r)$$

Then use this rooted tree to find the prefix, postfix and infix forms of this expression

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$$(\neg(p \land q) \lor (\neg q \land r)) \to (\neg p \lor \neg r)$$

Then use this rooted tree to find the prefix, postfix and infix forms of this expression

Solution

- Constructing the rooted tree from the bottom up
- Preorder traversal creates prefix notation
 → ∨¬ ∧ p q ∧¬q r ∨¬p¬r
- Postorder traversal creates postfix notation $p \ q \land \neg \lor q \neg r \land p \neg r \neg \lor \rightarrow$
- Inorder traversal creates infix notation (with parentheses) $p \ q \neg \lor q \neg \land r \to p \neg \lor r \neg$



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Exercise

Find postorder traversal of a binary tree with inorder D B H E I A F C J G K and preorder A B D E H I C F G J K.

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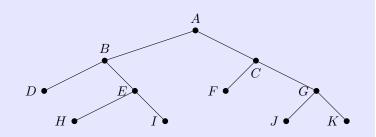
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Find postorder traversal of a binary tree with inorder D B H E I A FCJGK and preorder ABDEHICFGJK.

Solution



Post order: DHIEBFJKGCA.

Exercise

Exercise

Find in-order traversal of a binary tree with pre-order A D E B J C F H I G and post-order E J B D H I F G C A.

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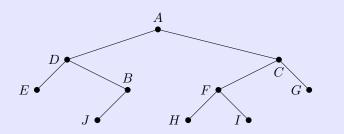
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Exercise

Find in-order traversal of a binary tree with pre-order A D E B J C FHIG and post-order EJBDHIFGCA.

Solution



In-order: EDJBAHFICG.

Exercise

How many different trees are there with the in-order of K E B J C A H G I D F and father-child relations respecting to the alphabet order.

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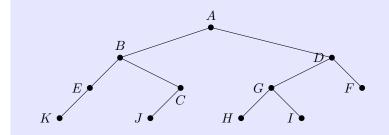
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Exercise

How many different trees are there with the in-order of K E B J C A H G I D F and father-child relations respecting to the alphabet order.

Solution



Pre-order: E D J B A H F I C G.

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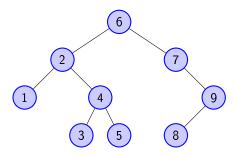
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Definition

Binary search tree (cây tìm kiếm nhị phân - BST) is a binary tree in which the assigned key of a vertex is:

- larger than the keys of all vertices in its left subtree, and
- smaller than the keys of all vertices in its right subtree.



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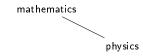
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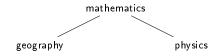
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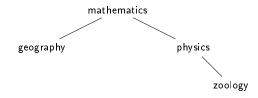
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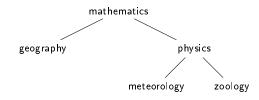
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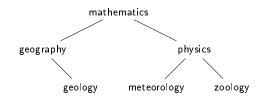
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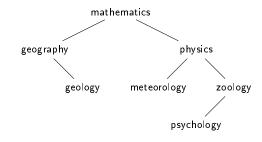
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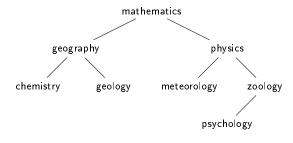
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Complexity in searching

 $O(\log(n))$ vs. O(n) in linear list

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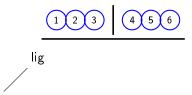
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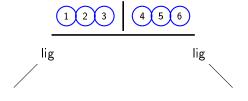
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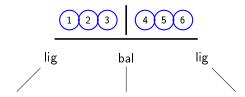
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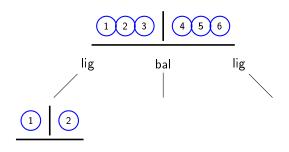
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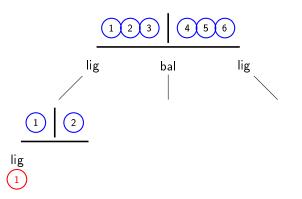
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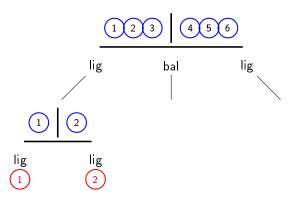
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There are seven coins, all with the same weight, and a counterfeit coin that weighs less than the others. How many weighings are necessary using a balance scale to determine which of the eight coins is the counterfeit one? Give an algorithm for finding this counterfeit coin.



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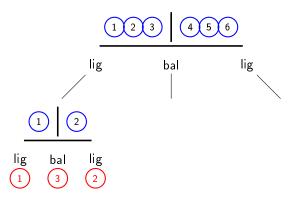
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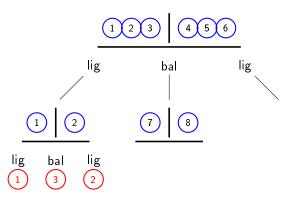
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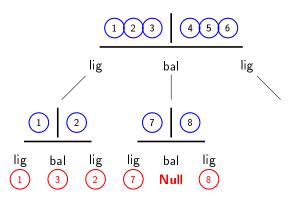
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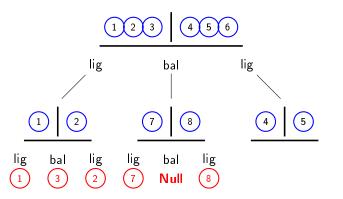
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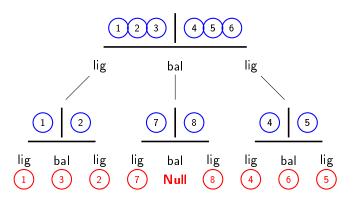
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We also know p(+|TB) = 0.999 and $p(-|\overline{TB}) = 0.99$.

What is p(TB|+) and $p(\overline{TB}|-)$?

Start! •

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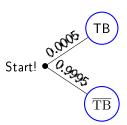
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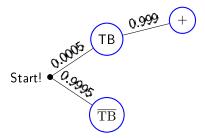
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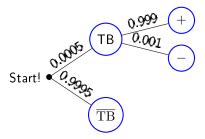
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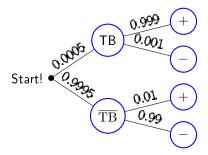
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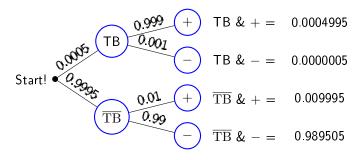
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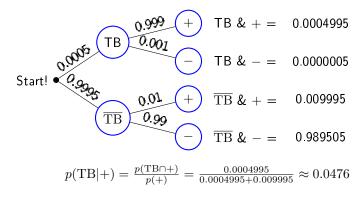
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Problem

Definition

A spanning tree (cây khung) in a graph G is a subgraph of G
that is a tree which contains all vertices of G.

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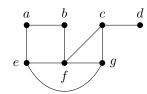
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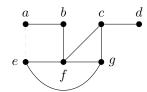
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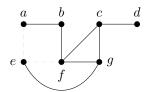
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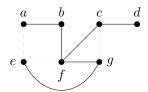
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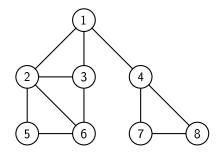
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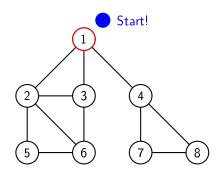
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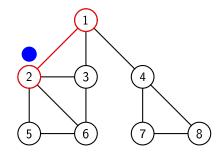
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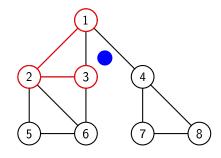
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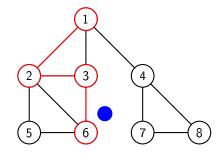
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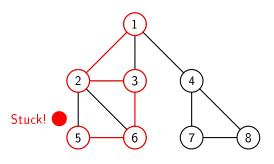
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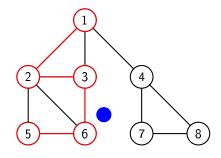
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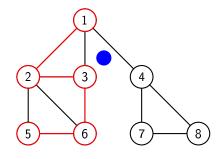
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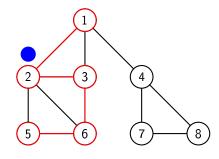
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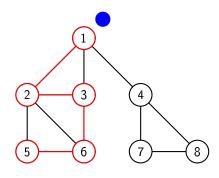
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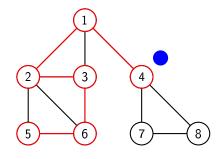
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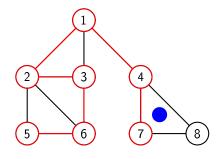
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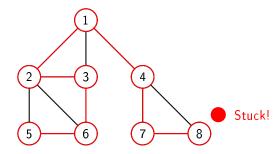
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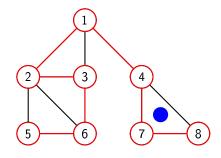
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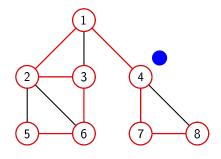
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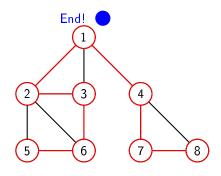
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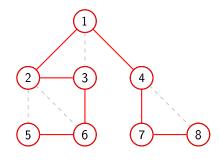
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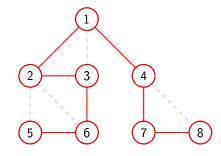
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Depth-First Search (Tìm kiếm ưu tiên chiều sâu)



Property

- Go deeper as you can
- Backtrack (quay lui) to possible branch when you are stuck.
- ullet O(e) or $O(n^2)$

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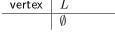
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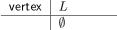
Algorithm

procedure DFS (G) $T := \text{tree consisting only vertex } v_1$ $visit(v_1)$

procedure visit(v): vertex of G) /* recursive */ **for** each vertex w adjacent to v and not in Tadd w and edge $\{v,w\}$ to T visit(w)

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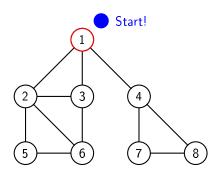
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vertex	L
	Ø
1	

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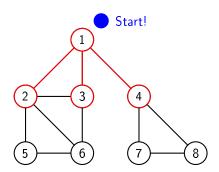
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vertex	$\mid L$
	Ø
1	2, 3, 4

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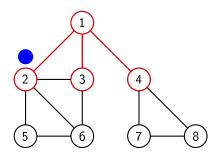
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vertex	L
	Ø
1	2, 3, 4
2	3, 4
'	l

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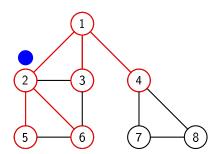
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vertex	$\mid L$
	Ø
1	2, 3, 4
2	3, 4, 5, 6
'	l

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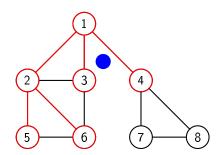
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vertex	L
	Ø
1	2, 3, 4
2	3, 4, 5, 6
3	4, 5, 6

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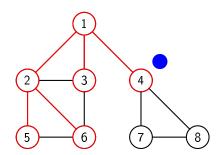
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vertex	L
	Ø
1	2, 3, 4
2	3, 4, 5, 6
3	4, 5, 6
4	5, 6
'	1

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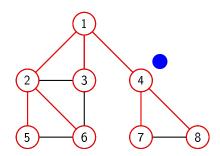
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vertex	$\mid L$
	Ø
1	2, 3, 4
2	3, 4, 5, 6
3	4, 5, 6
4	5, 6, 7, 8

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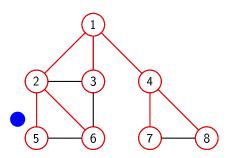
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L
Ø
2, 3, 4
3, 4, 5, 6
4, 5, 6
5, 6, 7, 8
6, 7, 8

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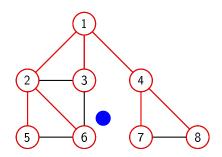
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vertex	$\mid L$
	Ø
1	2, 3, 4
2	3, 4, 5, 6
3	4, 5, 6
4	5, 6, 7, 8
5	6, 7, 8
6	7, 8
	'

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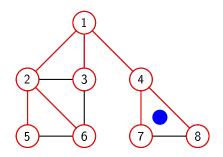
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vertex	L
	Ø
1	2, 3, 4
2	3, 4, 5, 6
3	4, 5, 6
4	5, 6, 7, 8
5	6, 7, 8
6	7, 8
7	8

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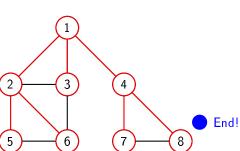
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Ve	ertex	$\mid L$
		Ø
	1	2, 3, 4
	2	3, 4, 5, 6
	3	4, 5, 6
	4	5, 6, 7, 8
	5	6, 7, 8
	6	7, 8
l	7	8
	8	Ø

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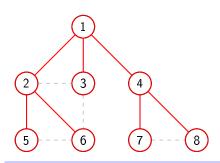
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vertex	$\mid L \mid$
	Ø
1	2, 3, 4
2	3, 4, 5, 6
3	4, 5, 6
4	5, 6, 7, 8
5	6, 7, 8
6	7, 8
7	8
8	Ø
'	

Property

• O(e) or $O(n^2)$

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Prim's Algorithm Kruskal's Algorithm

Algorithm

procedure BFS (G) $T := \text{tree consisting only vertex } v_1$ L := empty list

put v_1 in the list L of unprocessed vertices

 $\mathbf{while}\ L\ \mathsf{is}\ \mathsf{not}\ \mathsf{empty}$

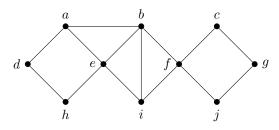
remove the first vertex, v, from L for each neighbor w of v

if w is not in L and not in T then add w to the end of the list L add w and edge $\{v, w\}$ to T

Exercise

Exercise

Find spanning tree in the following graphs.



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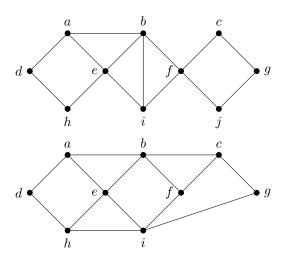
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Exercise

Find spanning tree in the following graphs.



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Definition

 A minimum spanning tree (cây khung nhỏ nhất) in a connected weighted graph is a spanning tree that has the smallest possible sum of weights of its edges.

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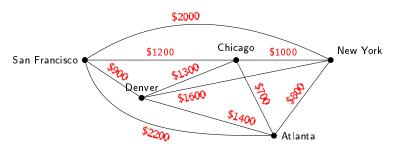
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Definition

• A minimum spanning tree (cây khung nhỏ nhất) in a connected weighted graph is a spanning tree that has the smallest possible sum of weights of its edges.



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Prim's Algorithm (1957)

procedure Prim(G)

 $T:=\mathsf{a}\ \mathsf{minimum}\text{-}\mathsf{weight}\ \mathsf{edge}$

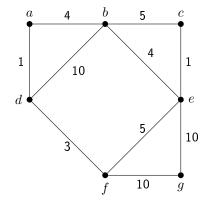
for i := 1 to n - 2

 $e:= \hbox{an edge of minimum weight incident to a vertex in } T$ and not forming a simple circuit in T if added to T

T := T with e added

return T

- Pick a vertex to start from
- Iteratively absorb smallest edge possible



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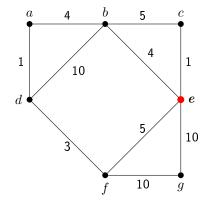
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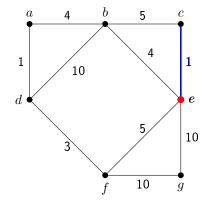
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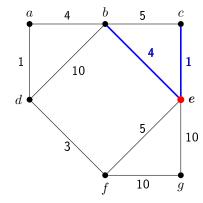
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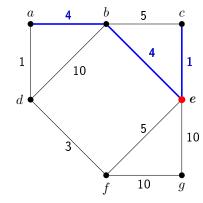
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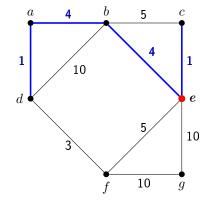
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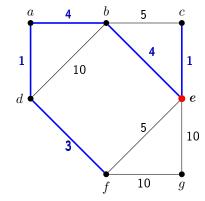
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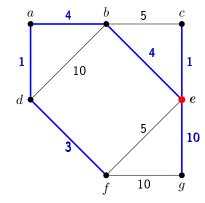
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Kruskal's Algorithm (1958)

procedure Kruskal(G)

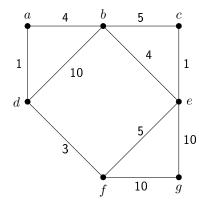
T := empty graph $\mathbf{for} \ i := 1 \ \mathbf{to} \ n - 1$

 $e := \mbox{any edge in } G \mbox{ with smallest weight that does not form a simple circuit when added to } T$

T := T with e added

 ${\bf return}\ T$

• Iteratively add smallest edge possible



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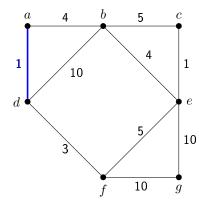
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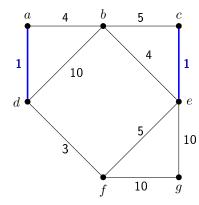
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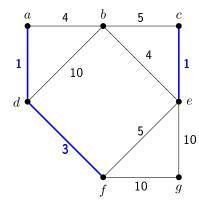
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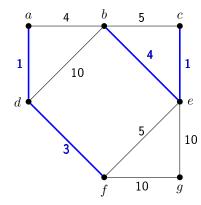
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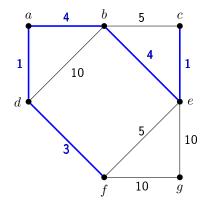
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Kruskal's Algorithm (Lightest-Edge)

• Iteratively add smallest edge possible



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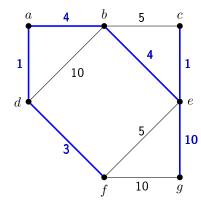
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• Iteratively add smallest edge possible



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By using Prim's and Kruskal's algorithm, determine minimum spanning tree in the following graphs.

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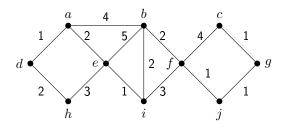
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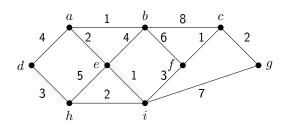
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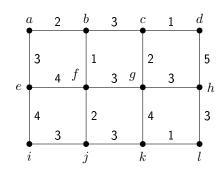
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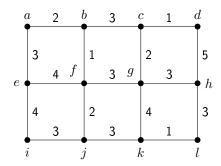
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By using Prim's and Kruskal's algorithm, determine minimum spanning tree in the following graphs. (and maximum spanning tree (cây khung cực đại).





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Given a rooted tree has n verticies. Suppose that degree of every

B n-1

vertex is n-1. Which is the height of the tree?



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Determine the prefix of the following binary ordered rooted tree:

$$(\neg(p \land q) \lor (\neg q \land r)) \to (\neg p \lor \neg r)$$

- $\bigcirc \rightarrow \lor \neg \land p \ q \lor \neg q \ r \lor \neg p \ r$
- $p q \land \neg \lor q \neg r \land p \neg r \lor \rightarrow$

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How many binary tree (3 vertices: A,B,C) which has the preorder-traversal ABC?

- **A** 1
- **3**
- **()** 5
- **)** 7

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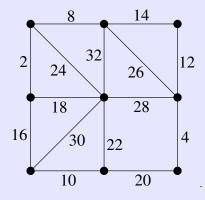
Prim's Algorithm

Kruskal's Algorithm

Find the post-order traversal of a binary tree has the pre-order traversal: HBGFDECIA and in-order traversal: GBFHCEIDA.

- \triangle GFBCIEADH
- **3** BGFDECIAH
- GFBCIEJADH
- **○** GFBHCIEADH

Which is the total weight of the minimum spanning tree of the graph below?



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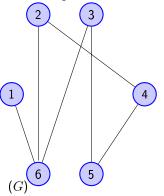
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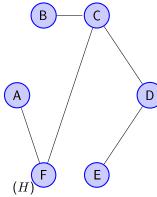
60

100

Revision

Given two graphs G and H below:





Choose the best answer.

- \bigcirc G is a tree.
- $oldsymbol{B}$ G and H are isomorphic.
- \bigcirc If we remove an edge of G, then it will be a tree.
- lacktriangle If we remove an edge of H, then it will be a tree.

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What is the value of each of these prefix expressions?

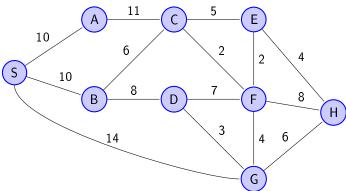
a - * 2 / 8 4 3

$$\bullet$$
 + - * 3 2 + 2 3 / 6 - 4 2

$$\bullet$$
 * + 3 + 3 * 3 + 3 3

Revision

Find the minimum spanning tree of the graph below (using both of Prim and Kruskal algorithm):



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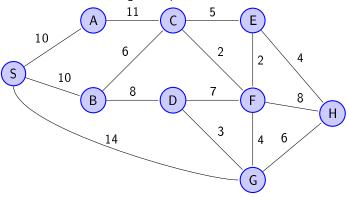
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Revision

Find the minimum spanning tree of the graph below (using both of Prim and Kruskal algorithm):



By using Prim's or Kruskal's algorithm, could we determine a minimum spanning tree in a directed graph?

Explain it.

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A) 2a + 2

 $2a^2 + 3a - 1$

 $4a^2 + 3a + 1$

 $2a^2 + 3a + 1$

Cho trước số tư nhiên a>1, và xét đồ thị đầy đủ K_{2a+3} . Số lượng canh ta phải xóa khỏi đồ thị K_{2a+3} để thu được một cây phủ (cây

khung hay bao trùm, spanning tree) của K_{2a+3} là bao nhiêu?

Minimum Spanning Trees

Prim's Algorithm



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Kruska l's Algorithm

Cho G=(V,E) là một đồ thị đơn và vô hướng bất kỳ, có n đỉnh. Định nghĩa đồ thị bù của G là $G^c=(V,F)$ thỏa hai tính chất: $G\cup G^c=K_n$ và $E\cap F=\emptyset$.

Gọi T là cây bao trùm của đồ thị đầy đủ K_6 . Số lượng cạnh của đồ thị bù T^c là

- A) 5
- **3** 10
- **)** 15
- **)** 20