

NHAN H. PHAM

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RESEARCH INTERESTS

Stochastic methods for machine learning, deep learning, reinforcement learning, and federated learning.

EDUCATION

Ph.D. in Operations Research

2017–2021 (Expected)

Department of Statistics and Operations Research
University of North Carolina at Chapel Hill · Chapel Hill, NC, USA

Graduate Study in Computer Engineering

2015–2017

Department of Computer Science and Engineering
University of Nevada, Reno · Reno, NV, USA

Bachelor of Engineering (Honor Program) in Computer Engineering

2008–2013

Department of Computer Science and Engineering
Ho Chi Minh City University of Technology · Ho Chi Minh City, Vietnam

RESEARCH EXPERIENCES

Federated Learning with Randomized Douglas-Rachford Splitting Methods

Aug. 2020–Feb. 2021

Graduate Research Assistant, Supervisor: Dr. Quoc Tran-Dinh, Dr. Lam M. Nguyen.

Accepted for the 35th Conference on Neural Information Processing Systems, [eprint](#).

- ◇ Propose two new algorithms, FedDR and asyncFedDR, to solve finite-sum nonconvex problems in federated learning by combining Douglas-Rachford splitting, randomized strategy, and asynchronous update.
- ◇ Achieve best-known communication complexity and handle data heterogeneity.
- ◇ Conduct experiments on federated learning examples using synthetic and real datasets.

Regression Optimization for System-level Production Control

Jun. 2020–Aug. 2020

IBM Research Intern, Supervisor: Dr. Roman Vaculin, Dr. Dzong T. Phan, Dr. Lam M. Nguyen.

Accepted for the 2021 American Control Conference (ACC).

- ◇ Develop prediction-optimization framework to maximize the prediction output over different possible options on control variables, where the relationship in each plant is captured via a regression model.
- ◇ Implement primal-dual algorithms under different type of regression model with or without derivative information.

Stochastic Gauss-Newton Algorithms for Nonconvex Compositional Optimization

Sept. 2019–Feb. 2020

Graduate Research Assistant, Supervisors: Dr. Quoc Tran-Dinh, Dr. Lam M. Nguyen.

Accepted for the 37th International Conference on Machine Learning, [eprint](#).

- ◇ Propose two new Stochastic Gauss-Newton algorithms to solve stochastic nonconvex compositional problems that use both classical stochastic and SARAH estimators for function values and Jacobian estimators.
- ◇ Give first stochastic Gauss-Newton algorithm with global complexity analysis.
- ◇ Conduct numerical experiments on two examples: stochastic nonlinear equations and asset allocation problem.

Regularization Techniques on Deep Learning

Sept. 2019–Dec. 2019

SAMSI Research Fellow, Supervisor: Dr. Quoc Tran-Dinh.

- ◇ Study the principle of different regularization techniques on training Deep Neural Networks (DNNs).
- ◇ Conduct numerical experiments on different DNN models consisting two or more regularizers on both model parameters (e.g., ℓ_2 -norm, max-norm constraint, etc.) and training process (dropout, batch normalization, etc.).

Hybrid Stochastic Policy Gradient Algorithm for Reinforcement Learning

Jul. 2019–Dec. 2019

Graduate Research Assistant, Supervisors: Dr. Quoc Tran-Dinh, Dr. Lam M. Nguyen.

Accepted for the 23rd International Conference on Artificial Intelligence and Statistics (AISTATS 2020), [eprint](#).

- ◇ Propose new biased policy gradient estimator from REINFORCE/GPOMDP and adopted SARAH estimators and use it to derive first algorithm that has convergence guarantee to solve a composite policy optimization problem in reinforcement learning.
- ◇ Prove proposed algorithm achieves best-known convergence rate over existing methods and conduct experiments to verify the advantage using OpenAI gym environments.

Hybrid Optimization Framework for Composite Nonconvex Optimization

Feb. 2019–Aug. 2019

Graduate Research Assistant, Supervisors: Dr. Quoc Tran-Dinh, Dr. Lam M. Nguyen.

Accepted for *Mathematical Programming*, [eprint](#).

- ◇ Introduce a new stochastic gradient estimator that combines SGD and SARAH estimators and use it to develop a new algorithm for composite nonconvex optimization problems which achieves best-known convergence rate.
- ◇ Verify the effectiveness of the proposed algorithm via numerical experiments using Python and Tensorflow.

ProxSARAH: A Framework for Stochastic Composite Nonconvex Optimization

Aug. 2018–Feb. 2019

Graduate Research Assistant, Supervisors: Dr. Quoc Tran-Dinh, Dr. Lam M. Nguyen.

Accepted for *Journal of Machine Learning Research (JMLR)*, [eprint](#).

- ◇ Develop new stochastic algorithm for composite nonconvex optimization problems which utilizes existing SARAH estimator and achieves the best-known convergence rate.
- ◇ Conduct numerical experiments to illustrate advantage of proposed algorithms on three examples: Non-negative PCA, classification with 3 nonconvex losses, and neural network training using Python and Tensorflow.

Autonomous Robots for Bridge Inspection

Aug. 2015–Feb. 2017

Graduate Research Assistant, Supervisor: Dr. Hung M. La.

In *Proceedings of the 54th Annual Allerton Conference on Communication, Control, and Computing*, [eprint](#).

In *Proceedings of the 2017 IEEE International Conference on Robotics and Automation (ICRA)*, [eprint](#).

The 33rd International Symposium on Automation and Robotics in Construction and Mining (ISARC), [eprint](#).

- ◇ Propose four-wheeled robot for steel bridge inspection with permanent magnets embedded inside each wheel equipped with different type of sensors: visual camera, 3D sensor, IMU for localization and mapping purposes.
- ◇ Build controller unit with minicomputer (Intel NUC) running Robot Operating System communicating with low-level controller (Arduino-based) for sensory data collection, implement sensor fusion and mapping algorithms.

PREPRINTS

1. T. T. Doan, L. M. Nguyen, **N. H. Pham**, and J. Romberg. Convergence Rates of Accelerated Markov Gradient Descent with Applications in Reinforcement Learning. *arXiv:2002.02873*, 2020.
2. T. T. Doan, L. M. Nguyen, **N. H. Pham**, and J. Romberg. Finite-Time Analysis of Stochastic Gradient Descent under Markov Randomness. *arXiv:2003.10973*, 2020.

PUBLICATIONS

1. Q. Tran-Dinh, **N. H. Pham**, D. T. Phan, and L. M. Nguyen. FedDR – Randomized Douglas-Rachford Splitting Algorithms for Nonconvex Federated Composite Optimization. *The 35th Conference on Neural Information Processing Systems*, 2021.
2. D. T. Phan, L. M. Nguyen, P. Murali, **N. H. Pham**, H. Liu, and J. R. Kalagnanam. Regression Optimization for System-level Production Control. *American Control Conference (ACC)*, 2021.
3. Q. Tran-Dinh, **N. H. Pham**, D. T. Phan, and L. M. Nguyen. A Hybrid Stochastic Optimization Framework for Composite Nonconvex Optimization. *Mathematical Programming*, 2021.
4. Q. Tran-Dinh, **N. H. Pham**, and L. M. Nguyen. Stochastic Gauss-Newton Algorithms for Nonconvex Compositional Optimization. *Proceedings of the 37th International Conference on Machine Learning*, PMLR 119:9572-9582, 2020.
5. **N. H. Pham**, L. M. Nguyen, D. T. Phan, and Q. Tran-Dinh. ProxSARAH: An Efficient Algorithmic Framework for Stochastic Composite Nonconvex Optimization. *Journal of Machine Learning Research*, 2020.
6. **N. H. Pham**, L. M. Nguyen, D. T. Phan, P. H. Nguyen, M. van Dijk, and Q. Tran-Dinh. A Hybrid Stochastic Policy Gradient Algorithm for Reinforcement Learning. *The 23rd International Conference on Artificial Intelligence and Statistics (AISTATS)*, 2020, Palermo, Italy.
7. H. M. La, T. H. Dinh, **N. H. Pham**, Q. P. Ha, and A. Q. Pham. Automated Robotic Monitoring and Inspection of Steel Structures and Bridges. *Robotica*, Cambridge University Press, 1-21, 2018.
8. T. D. Le, S. Gibb, **N. H. Pham**, H. M. La, L. Falk, and T. Berendsen. Autonomous Robotic System using Non-Destructive Evaluation methods for Bridge Deck Inspection. In *Proceedings of the 2017 IEEE International Conference on Robotics and Automation (ICRA)*, May 29-June 3, 2017, Singapore.
9. **N. H. Pham** and H. M. La. Design and Implementation of an Autonomous Robot for Steel Bridge Inspection. In *Proceedings of the 54th Annual Allerton Conference on Communication, Control, and Computing*, pages 1-8, Sept. 27-30, 2016, Urbana-Champaign, Illinois, USA.

10. **N. H. Pham**, H. M. La, Q. P. Ha, S. N. Dang, A. H. Vo, and Q. H. Dinh. Visual and 3D Mapping for Steel Bridge Inspection Using a Climbing Robot. *The 33rd International Symposium on Automation and Robotics in Construction and Mining (ISARC)*, pages 1-8, July 18-21, 2016, Auburn, Alabama, USA.
11. T.-D. D. Phan, **N. H. Pham**, K.-N. Le-Huu, and A.-V. D. Dinh. Quadrotor Helicopter: A Practical Design Approach. *IEICE International Conference on Integrated Circuits, Design and Verification*, pp.156-163, 2013, Ho Chi Minh, Vietnam.

INVITED TALKS

- MIT-IBM Guest Seminar** Jul. 2021
Title: *Stochastic Recursive Gradient Algorithms for Stochastic Composite Nonconvex Optimization and Policy Optimization.*
- INFORMS Annual Meeting 2020 Virtual** Nov. 2020
Title: *A Hybrid Stochastic Policy Gradient Algorithm for Reinforcement Learning.*
- INFORMS Annual Meeting 2019 Seattle** Oct. 2019
Title: *ProxSARAH: An Efficient Algorithmic Framework for Stochastic Composite Nonconvex Optimization.*

SKILLS & QUALIFICATIONS

Technical Python, Tensorflow, Keras, Pytorch, Scikit-learn, C/C++, Matlab, Data Structures & Algorithms
Other skills Linux Development Environment, Robotics, Embedded Systems

PROFESSIONAL ACTIVITIES

Reviewer - Peer-reviewed Conferences
NeurIPS (2019-2021), ICML (2020-2021), AISTATS (2021-2022), AAAI (2021), ICLR (2021-2022)

Reviewer - Peer-reviewed Journals
Machine Learning (2021), Journal of Scientific Computing (2021)

INDUSTRY EXPERIENCES

Research Scientist 2022
IBM T. J. Watson Research Center · Yorktown Heights, NY

Summer Machine Learning Intern 2021
Blue River Technology Inc. · Sunnyvale, CA

Summer Research Intern 2020
IBM T. J. Watson Research Center · Yorktown Heights, NY

OTHER EXPERIENCES

Graduate Teaching Assistant 2020-current
STOR 455: Methods of Data Analysis
STOR 155: Introduction to Data Models and Inference
Department of Statistics and Operations Research · University of North Carolina at Chapel Hill

Graduate Teaching Fellow 2019
STOR 113: Decision Models for Business and Economics
Department of Statistics and Operations Research · University of North Carolina at Chapel Hill

Graduate Teaching Assistant 2017–2018
STOR 113: Decision Models for Business and Economics
STOR 155: Introduction to Data Models and Inference
Department of Statistics and Operations Research · University of North Carolina at Chapel Hill

Graduate Teaching Assistant 2015–2017
CPE 301: Embedded Systems Design
CS 302: Data Structures
Department of Computer Science and Engineering · University of Nevada, Reno

Lab Assistant 2013–2015
Renesas SuperH Lab
Department of Computer Science and Engineering · Ho Chi Minh City University of Technology

Organizing Assistant <i>BKIT Car Rally</i> <i>Department of Computer Science and Engineering · Ho Chi Minh City University of Technology</i>	2014
Robot Control Software Developer <i>BK4 aka BKIT Number One Team · Vietnam National Robot Contest</i> <i>Department of Computer Science and Engineering · Ho Chi Minh City University of Technology</i>	2013
Embedded Software Developer <i>ChipFC Team · Texas Instruments National MCU Design Contest—1st Place Winner</i>	2012

HONORS & AWARDS

Graduate Access Grant Regents' Higher Education Opportunity Award <i>University of Nevada, Reno · Reno, NV</i>	2016–2017
International Graduate Student Award Regents' Higher Education Opportunity Award <i>University of Nevada, Reno · Reno, NV</i>	2016–2017
Poster Exhibition—1st Place Winner <i>CSE Graduate Club—Department of Computer Science and Engineering</i> <i>University of Nevada, Reno · Reno, NV</i>	2016
Outstanding Academic Student Scholarship <i>Department of Computer Science and Engineering</i> <i>Ho Chi Minh City University of Technology · Ho Chi Minh City, Vietnam</i>	2008–2013