# Lexical Analysis

Dr. Nguyen Hua Phung

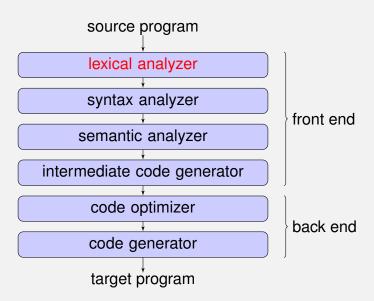
HCMC University of Technology, Viet Nam

08, 2016

#### **Outline**

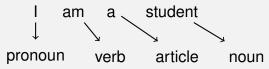
- Introduction
- 2 Roles
- Implementation
- Use ANTLR to generate Lexer

### **Compilation Phases**



## **Lexical Analysis**

- Like a word extractor in ⇒ in ⇒ in
- Like a spell checker I ogog to socholsochol
- Like a classification



# **Lexical Analysis Roles**

- Identify lexemes: substrings of the source program that belong to a grammar unit
- Return tokens: a lexical category of lexemes
- Ignore spaces such as blank, newline, tab
- Record the position of tokens that are used in next phases

## **Example on Lexeme and Token**

r	е	S	u		t	, ,	=	, ,	0	ldsum - value / 100;
---	---	---	---	--	---	-----	---	-----	---	----------------------

Kind of Tokens
IDENT
ASSIGN_OP
IDENT
SUBSTRACT_OP
IDENT
DIV_OP
INT_LIT
SEMICOLON

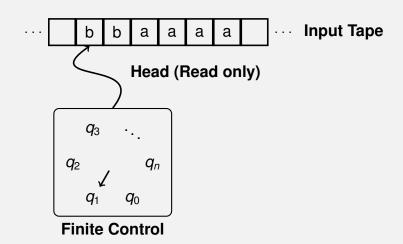
## How to build a lexical analyzer?

- How to build a lexical analysis for English?
  - 65000 words
  - Simply build a dictionary: {(I,pronoun);(We,pronoun);(am,verb);...}
  - Extract, search, compare
- But for a programming language?
  - How many words?
    - Identifiers: abc, cab, Abc, aBc, cAb, ...
    - Integers: 1, 10, 120, 20, 210, ...
    - ...
  - Too many words to build a dictionary, so how?
    - Apply rules for each kind of word (token)

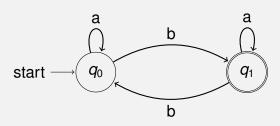
# **Rule Representations**

- Finite Automata
  - Deterministic Finite Automata
  - Nondeterministic Finite Automata
- Regular Expressions

#### **Finite Automata**



### **State Diagram**



Input: abaabb

Current state	Read	New State
$q_0$	а	$q_0$
$q_0$	b	$q_1$
$oldsymbol{q}_1$	а	$q_1$
$oldsymbol{q}_1$	а	$q_1$
$q_1$	b	$q_0$
$q_0$	b	$q_1$

#### **Deterministic Finite Automata**

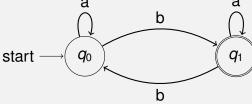
#### **Definition**

Deterministic Finite Automaton(DFA) is a 5-tuple  $M = (K, \Sigma, \delta, s, F)$  where

- K = a finite set of state
- Σ = alphabet
- s ∈ K = the initial state
- F ⊆ K = the set of final states
- $\delta$  = a transition function from K  $\times \Sigma$  to K

$$\begin{array}{ll} \mathsf{M}=(\mathsf{K},\Sigma,\delta,\mathsf{s},\mathsf{F})\\ \mathsf{where}\;\mathsf{K}=\{q_0,\,q_1\} & \Sigma=\{\mathsf{a},\mathsf{b}\} & \mathsf{s}\!=\!q_0 & \mathsf{F}\!=\!\{q_1\}\\ \mathsf{and}\;\delta & \end{array}$$

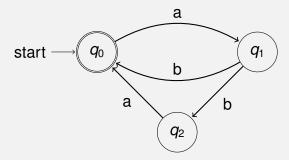
K	Σ	$\delta(K,\Sigma)$			
$q_0$	a	$q_0$			
$   \begin{array}{c}     q_0 \\     q_0 \\     q_1 \\     q_1   \end{array} $	b	$q_1$			
$q_1$	а	<i>q</i> <sub>1</sub> <i>q</i> <sub>0</sub>			
$q_1$	b	$q_0$			
a a b					



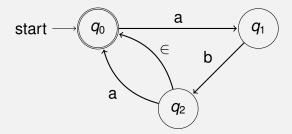
#### Nondeterministic Finite Automata

- Permit several possible "next states" for a given combination of current state and input symbol
- Accept the empty string ∈ in state diagram
- Help simplifying the description of automata
- Every NFA is equivalent to a DFA

Language L =  $({ab} \cup {aba})^*$ 



Language  $L = (\{ab\} \cup \{aba\})^*$ 



# Regular Expression (regex)

- Describe regular sets of strings
- Symbols other than ( ) | \* stand for themselves
- Use ∈ for an empty string
- Concatenation  $\alpha$   $\beta$  = First part matches  $\alpha$ , second part  $\beta$
- Union  $\alpha \mid \beta$  = Match  $\alpha$  or  $\beta$
- Kleene star  $\alpha^*$  = 0 or more matches of  $\alpha$
- Use ( ) for grouping

RE		Language
0	=>	{ 0 }
01	=>	{ 01 }
0   1	=>	{0,1}
0(0 1)	=>	{00,01}
(0 1)(0 1)	=>	{00,01,10,11}
0*	=>	$\{\in,0,00,000,0000,\}$
(0 1)*	=>	$\{\in,0,1,00,01,10,11,000,001,\}$

# (i|I)(f|F)

Keyword if of language Pascal

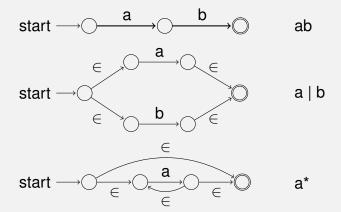
- if
- IF
- If
- iF

# E(0|1|2|3|4|5|6|7|8|9)\*

An E followed by a (possibly empty) sequence of digits

- E123
- E9
- E

# **Regular Expression and Finite Automata**



#### **Convenience Notation**

- $\alpha$ + = one or more (i.e.  $\alpha\alpha*$ )
- $\alpha$ ? = 0 or 1 (i.e.  $(\alpha | \in)$ )
- [xyz]= x|y|z
- [x-y]= all characters from x to y, e.g. [0-9] = all ASCII digits
- [^x-y]= all characters other than [x-y]
- matches any character

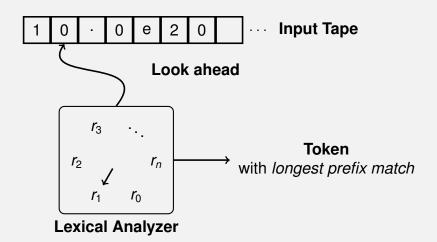
```
\begin{array}{lll} (0|1|2|3|4) & => & [0-4] \\ (a|g|h|m) & => & [aghm] \\ (0|1|2|3|4|5|6|7|8|9)(0|1|2|3|4|5|6|7|8|9)^* & => & [0-9]+ \\ (E|e)(+|-|\in)(0|1|2|3|4|5|6|7|8|9)+ & => & [Ee][+-]?[0-9]+ \end{array}
```

# ANTLR [1]

- ANother Tool for Language Recognition
- Terence Parr, Professor of CS at the Uni. San Francisco
- powerful parser/lexer generator

```
/**
 * Filename: Hello.g4
 */
lexer grammar Hello:
// match any digits
INT: [0-9]+:
// Hexadecimal number
HEX: 0[Xx][0-9A-Fa-f]+:
// match lower-case identifiers
ID : [a-z]+ ;
// skip spaces, tabs, newlines
WS: [ \t \r \n] + -> skip ;
```

#### **Lexical Analyzer**



## **Summary**

- A lexical analyzer is a pattern matcher that isolates small-scale parts of a program
- Lexical rules are represented by Regular expressions or Finite Automata.
- How to write a lexical analyzer (lexer) in ANTLR

#### References I

[1] ANTLR, http:antlr.org, 19 08 2016.