

Possible Organization for Writing a Thesis including a L^AT_EX Framework and
Examples

by

A Graduate Advisor

B.Sc., University of WhoKnowsWhere, 2053

M.Sc., University of AnotherOne, 2054

A Dissertation Submitted in Partial Fulfillment of the
Requirements for the Degree of

DOCTOR OF PHILOSOPHY

in the Department of Whichever

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University of Victoria

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Supervisory Committee

Dr. R. Supervisor Main, Supervisor
(Department of Same As Candidate)

Dr. M. Member One, Departmental Member
(Department of Same As Candidate)

Dr. Member Two, Departmental Member
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Dr. Outside Member, Outside Member
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ABSTRACT

This document is a possible Latex framework for a thesis or dissertation at UVic. It should work in the Windows, Mac and Unix environments. The content is based on the experience of one supervisor and graduate advisor. It explains the organization that can help write a thesis, especially in a scientific environment where the research contains experimental results as well. There is no claim that this is the *best* or *only* way to structure such a document. Yet in the majority of cases it serves extremely well as a sound basis which can be customized according to the requirements of the members of the supervisory committee and the topic of research. Additionally some examples on using L^AT_EX are included as a bonus for beginners.

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ACKNOWLEDGEMENTS

I would like to thank:

my cat, Star Trek, and the weather, for supporting me in the low moments.

Supervisor Main, for mentoring, support, encouragement, and patience.

Grant Organization Name, for funding me with a Scholarship.

I believe I know the only cure, which is to make one's centre of life inside of one's self, not selfishly or excludingly, but with a kind of unassailable serenity-to decorate one's inner house so richly that one is content there, glad to welcome any one who wants to come and stay, but happy all the same in the hours when one is inevitably alone.

Edith Wharton

DEDICATION

Just hoping this is useful!

Chapter 1

Introduction

The term *Trojan Horse* or *Trojan* has become a modern metaphor for a deception where by an unsuspecting victim welcomes a foe into an otherwise safe environment. [10] Though modern civilization rarely has need for large walls we are similarly surrounded. Not by stone and mortar but by the technology we so heavily rely on. These days it is more common to come across a piece of equipment with some form of computer in it than without. They provide us entertainment, education, security, monitor our health, grow our food and more. Our reliance make us susceptible to their compromise. Since the dawn of the computer we have dealt with software threats; we are almost as good as protecting ourselves against them as they are at attacking us. In recent years a new incarnation of danger has emerged; in hardware. In this new arena of attack and defend those who seek to defend are far behind.

1.1 Motivation

In the summer of 2007 an Israeli military action referred to as Operation Orchard commenced. A group of F-15I Ra'am fighter jets from the Israeli Air Force 69th Squadron took off to attack a suspected nuclear reactor in neighboring Syria. In the flight path was a Syrian radar station which boasted 'state-of-the-art' aircraft detection and neutralization technology. The Israeli war planes were able to approach and destroy the installation undetected. Though never proven it is commonly accepted that the detection mechanism was deactivated by a back-door circuit inserted into the radar system. [7] In 2011 over 1300 cases were reported to the Electronic Resellers Association International (ERAI) of modified ICs and the occurrence has been going

up. [1] Integrated Circuits (IC) are a large part of modern life yet it is easy to forget that they drive virtually every piece of technology used today. Ensuring their ICs run our devices as expected is vital in the digital era. Since their discovery there has been concerted effort to detect hardware trojans but the innate complexity of ICs makes it difficult. [4]

1.2 Contributions

1.3 Organization

Chapter 2

Hardware Trojans

2.1 Background

Integrated Circuits (IC) are continuously decreasing in size whilst increasing in complexity. These trends require ever more people and sophisticated means of manufacture which in turn creates security vulnerabilities. Products developed by semiconductor companies generally compromised in one of two ways. First, due to the complexity it is rare for a product to be managed within a single company. Frequently, steps in the production-chain are outsourced. It is within these 'third-party' contributors that products can be maliciously modified. Secondly, for various reasons, employees of trusted contributors have been known to make modifications. [2] These modifications are known as hardware Trojans. ICs are an integral part of every facet of the modern world. Proper application of a Trojan can provide information, control of mechanical systems, surveillance and more to an unauthorized party.

2.2 Topology

The discussion, detection and evaluation of hardware trojans requires a comprehensive means of description. Several hardware trojan taxonomies have been proposed [3, 6, 8, 9]. In [9], trojans were organized based solely on their activation mechanisms. A taxonomy based on the location, activation and action of a trojan was presented in [6], [3]. However, these approaches do not consider the manufacturing process. Another taxonomy was proposed in [8] which employs five categories: insertion, abstraction, activation, effect, and location. While this is more extensive than

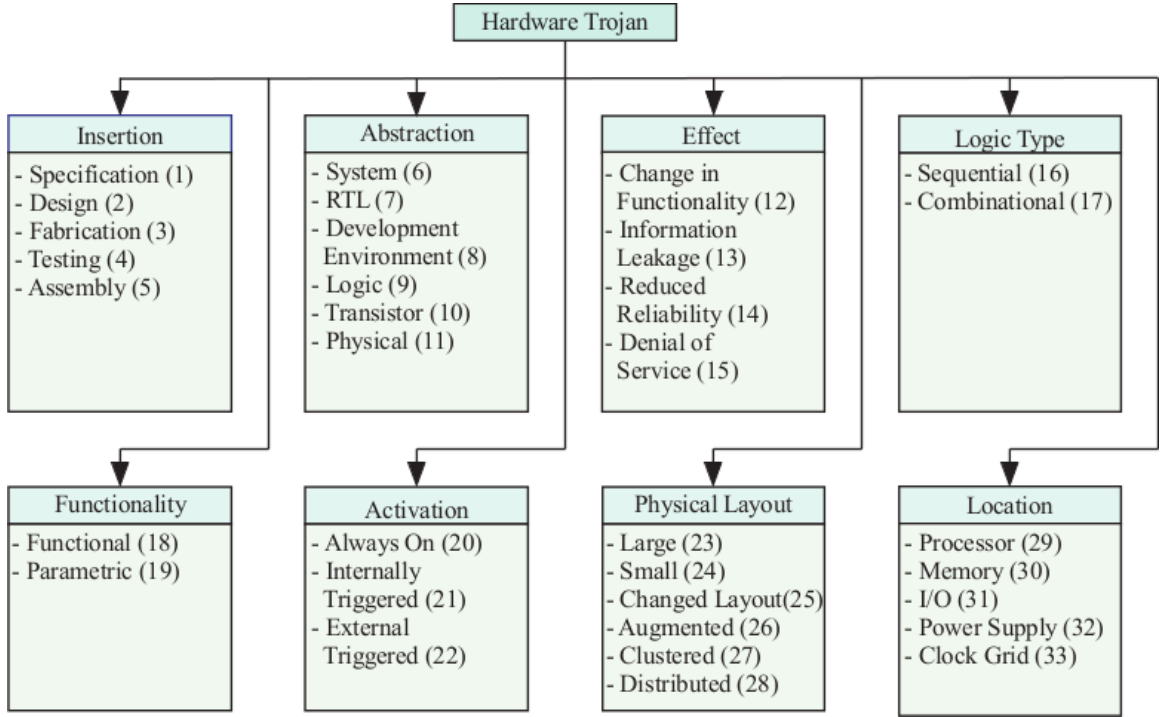


Figure 2.1: The thirty-three attributes of the hardware trojan taxonomy in [5].

previous approaches, it fails to account for the physical characteristics of a trojan. An additional taxonomy was proposed in [5] which considers all attributes a hardware trojan may possess. This taxonomy is the most comprehensive and was selected as the means of description for this work. It is comprised of thirty-three attributes organized into eight categories as shown in Fig. 2.1. These categories can be arranged into the following four levels as indicated in Fig. 2.2.

1. The **insertion** (chip life-cycle) level/category comprises the attributes pertaining to the IC production stages.
2. The **abstraction** level/category corresponds to where in the IC abstraction the trojan is introduced.
3. The **properties** level comprises the behavior and physical characteristics of the trojan.
4. The **location** level/category corresponds to the location of the trojan in the IC.

The properties level consists of the following categories.

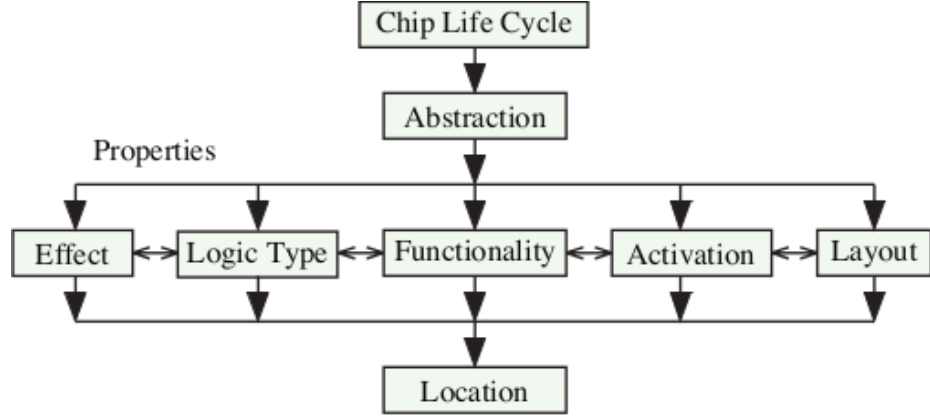


Figure 2.2: The hardware trojan levels [5].

- The **effect** describes the disruption or effect a trojan has on the system.
- The **logic type** is the circuit logic that triggers the trojan, either combinational or sequential.
- The **functionality** differentiates between trojans which are functional or parametric.
- The **activation** differentiates between trojans which are always on or triggered.
- The **layout** is based on the physical characteristics of the trojan.

The relationships between the trojan attributes shown in Fig. 2.1 can be described using a matrix \mathbf{R} [5]. Entry $r(i, j)$ in \mathbf{R} indicates whether or not attribute i can lead to attribute j . For example, $r(2, 3) = 1$ indicates that design (attribute 2) can lead to fabrication (attribute 3). This implies that if an IC can be compromised during the design phase (attribute 2), it may influence the fabrication phase (attribute 3).

The matrix \mathbf{R} is divided into sub matrices as follows

$$\mathbf{R} = \begin{bmatrix} \mathbf{R}_1 & \mathbf{R}_{12} & 0 & 0 \\ 0 & \mathbf{R}_2 & \mathbf{R}_{23} & 0 \\ 0 & 0 & \mathbf{R}_3 & \mathbf{R}_{34} \\ 0 & 0 & 0 & \mathbf{R}_4 \end{bmatrix}$$

	A	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	
1	0	1	0	0	0	1	0	0	0	0	0																								
2	0	0	1	0	0	0	1	0	0	0	0																								
3	0	0	0	1	0	0	0	0	0	0	0	1																							
4	0	0	0	0	1	1	0	0	1	0	0																								
5	0	0	0	0	0	1	0	0	0	0	0																								
6						0	1	0	0	0	0		1	1	0	1	0	0	1	1	1	0	0	0	0	0	0	0	0						
7						0	0	1	0	0	0		1	0	0	1	1	1	1	0	1	1	1	1	1	1	0	0	0						
8						0	0	0	1	0	0		1	0	0	1	1	1	1	0	1	1	1	1	1	1	1	1	1						
9						0	0	0	0	1	0		1	0	0	1	1	1	1	0	1	1	1	1	0	0	0	0	0						
10						0	0	0	0	0	1		1	0	1	0	0	1	1	1	0	0	0	0	1	0	1	1	0						
11						0	0	0	0	0	0		1	1	1	0	0	0	1	1	1	0	0	1	1	1	1	1	1						
12													0	0	0	0	1	1	1	0	1	1	1	1	1	1	1	1	1	1	1	1	1	1	
13													0	0	0	0	0	1	0	1	0	1	0	1	0	1	0	1	1	1	1	1	1	1	
14													0	0	0	0	0	0	0	1	1	0	0	0	1	0	1	1	1	1	1	1	1	1	
15													0	0	0	0	1	1	1	0	1	1	1	1	1	1	1	1	1	1	1	1	0	1	1
16													1	0	0	1	0	0	1	0	0	1	1	1	0	1	1	1	1	1	1	1	1	1	1
17													1	1	0	1	0	0	1	0	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
18													1	0	0	1	1	1	0	0	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
19													0	1	0	0	0	0	0	0	1	0	0	0	0	1	0	1	0	1	0	0	0	1	1
20													1	1	1	1	0	1	1	1	0	0	0	1	1	1	1	1	1	1	1	1	1	1	1
21													1	0	0	1	1	1	1	0	0	0	0	1	1	0	1	1	1	1	1	1	1	1	1
22													1	1	0	1	1	1	1	0	0	0	0	0	0	1	0	1	1	0	1	1	1	1	1
23													1	0	0	1	1	1	1	0	1	1	0	0	0	0	1	1	1	1	1	1	1	0	0
24													1	1	1	1	0	1	1	1	1	1	1	0	0	0	1	1	0	1	1	1	1	1	1
25													1	0	0	1	1	1	1	0	1	0	0	1	0	0	1	1	0	1	0	1	1	1	1
26													1	1	1	1	1	1	1	1	1	1	1	1	1	1	0	1	1	1	1	1	1	1	1
27													1	1	1	1	1	1	1	0	1	1	1	1	1	1	1	0	1	1	1	1	1	1	1
28													1	1	1	1	1	1	1	1	1	1	0	1	0	0	1	0	0	1	1	1	1	1	1
29																																			
30																																			
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32																																			
33																																			

where \mathbf{R}_1 , \mathbf{R}_2 , \mathbf{R}_3 and \mathbf{R}_4 indicate the attribute relationships within a category. For example, \mathbf{R}_1 is given by

$$\mathbf{R}_1 = \left[\begin{array}{c|ccccc} A & 1 & 2 & 3 & 4 & 5 \\ \hline 1 & 0 & 1 & 0 & 0 & 0 \\ 2 & 0 & 0 & 1 & 0 & 0 \\ 3 & 0 & 0 & 0 & 1 & 0 \\ 4 & 0 & 0 & 0 & 0 & 1 \\ 5 & 0 & 0 & 0 & 0 & 0 \end{array} \right]$$

Submatrix \mathbf{R}_{12} relates the attributes of the insertion category to the attributes of the abstraction category. An example of this submatrix is

$$\mathbf{R}_{12} = \left[\begin{array}{c|cccccc} A & 6 & 7 & 8 & 9 & 10 & 11 \\ \hline 1 & 1 & 0 & 0 & 0 & 0 & 0 \\ 2 & 0 & 1 & 0 & 0 & 0 & 0 \\ 3 & 0 & 0 & 0 & 0 & 0 & 1 \\ 4 & 1 & 0 & 0 & 1 & 0 & 0 \\ 5 & 1 & 0 & 0 & 0 & 0 & 0 \end{array} \right]$$

2.3 Field Programmable Gate-Arrays (FPGA)

An IC belongs to one of two categories. An Application Specific Integrated Circuit (ASIC) or a Field Programmable Gate-Array (FPGA). An ASIC is manufactured once and is immutable; its hardware is permanently printed in silicon. FPGAs are re-configurable. They are comprised of an array of Programmable Logic Devices (PLD). A PLD is a component whose functionality is dependent on a set of configuration options. Each PLD in an FPGA device receives a series of configuration instructions in the form of a binary message. A single FPGA can contain hundreds, or even thousands of PLDs, each of which can be one of hundreds of possible different types. The messages from all PLDs comprise the configuration Bitstream for the whole device. FPGA developers create processor designs using a programming language; the design is then downloaded or "configured" onto the device via the Bitstream. The design process is simpler and modifications can be made after manufacture. Because of this, FPGAs are becoming the IC of choice in larger scale productions however these same features increase their vulnerability.

Chapter 3

Automated Trojan Detection

3.1 Methodology

Figure 3.1 provides a visual representation of the basic concept assumed for the purposes of this work. All stages of production of an FPGA implementation are done "in-house" with the exception of the fabrication process. It is assumed that any trojan discovered is inserted in the fabrication phase; all other stages are trusted. The method of automated trojan detection described in this work would take place in the 'testing' phase of the life-cycle.

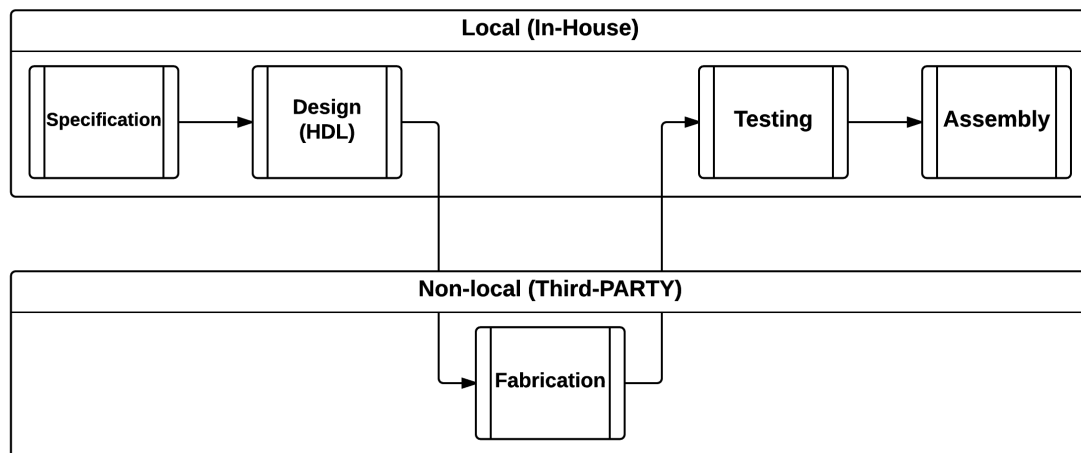


Figure 3.1: FPGA Life-Cycle

Figure 3.2 shows an overview of the trojan detection scheme. FPGA designs are written in a Hardware Description Language (HDL). *Xilinx* provides a series of User-

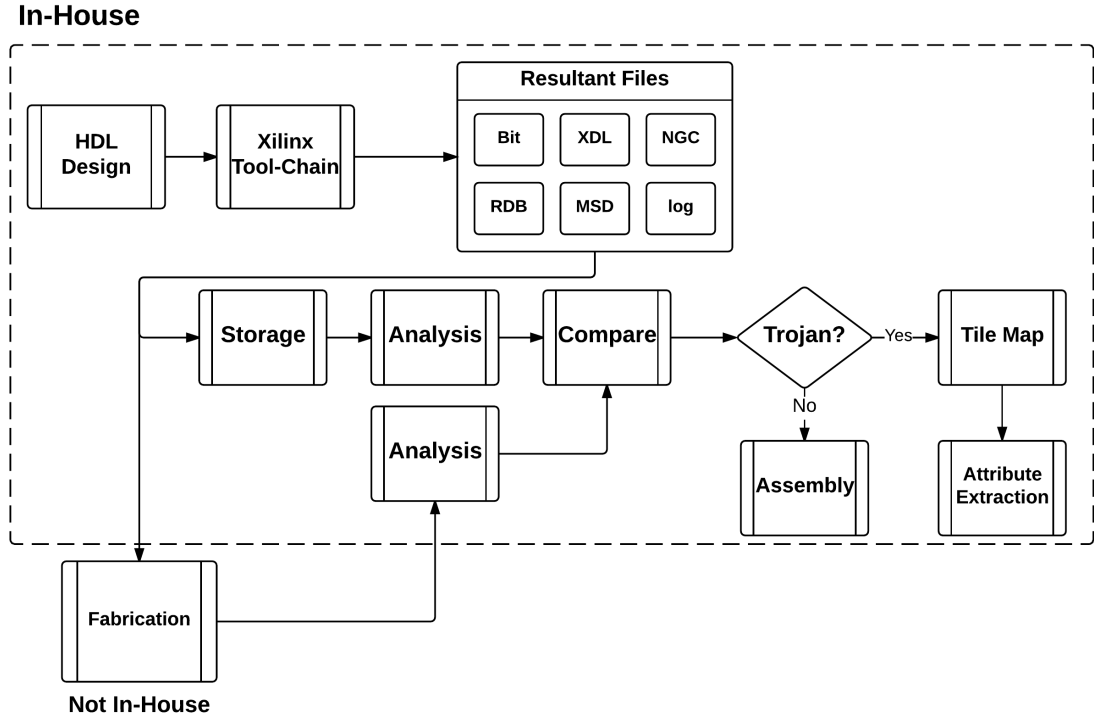


Figure 3.2: Methodology Overview

Interface (UI) or command line tools to process the design known as the 'tool-chain'. The tool chain generates a series of files that are used for a variety of purposes. The Bit file is a binary representation of the design to be implemented. It is referred to as the Bitstream or Configuration Bitstream and is the final form which is loaded into the device. This Bit file is also one of the primary files sent to the fabrication house where it will be implemented onto the batch of devices ordered. The resultant files are kept in secure storage while a copy is sent to be fabricated; these 'clean' copies are referred to as Golden. Though it is known that fabrication houses will often attempt to make optimizations on designs this methodology requires that no such efforts are made. When the completed batch of fabricated chips are returned the Bitstream is extracted via the method described in section 3.3. That which is extracted is referred to as the Target Bitstream. The Golden and Target Bitstreams are analyzed in conjunction to detect differences, described in section 3.4. Any discovered differences are then attributed to the corresponding component in the architecture, described in section 3.5. Finally, descriptive attributes presented in section 2.2 are returned to the

user, described in section 3.6.

3.2 FPGA Architecture and Configuration

A *Xilinx* Field Programmable Gate-Array (FPGA) is comprised of a matrix of blocks referred to as the 'gate-array' and is shown in Figure 3.3. A device can contain anywhere from a couple hundred to a few thousand blocks; they are arranged into columns by type. A block will consist of one or multiple tiles depending on the type. A tile is a component specific to a particular function such as Input-Output (IO), design logic, memory...etc but their detailed functionality can be configured by the user. An FPGA may have over one-hundred different types of tiles however each column is comprised entirely by a single block type. Columns are separated by clock regions as shown by the dashed lines in Figure 3.3. Each region is an independent array of blocks that uses a dedicated clock resource; this minimizes clock skew from causing undesired timing delays. Though each tile has a designated purposes (ex.

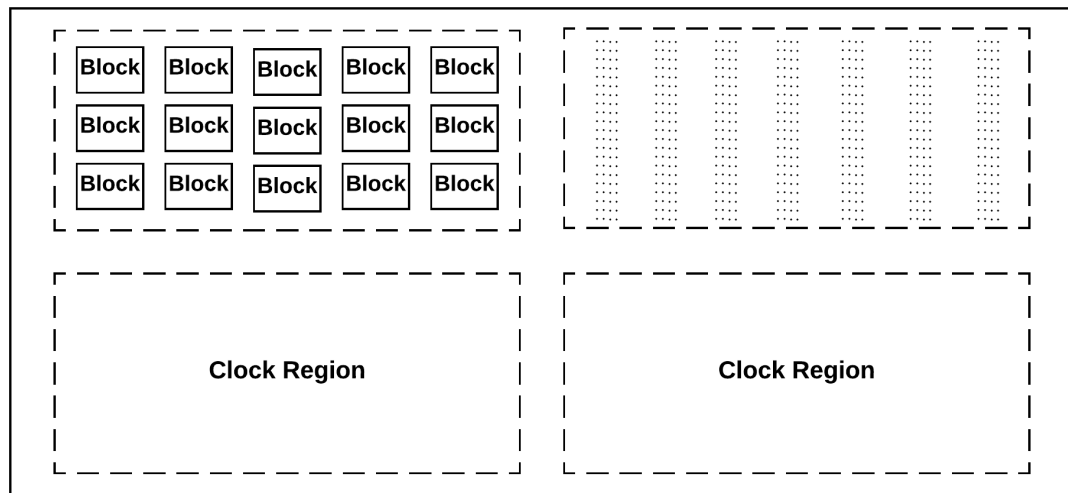


Figure 3.3: Rudimentary Layout of a Virtex Gate-Array

Input-Output (IO), Configurable Logic (CL), memory...etc) their functionality can be configured by the user; this is how designs are implemented on a device. The configuration of each tile is dictated by the Bitstream. To improve performance the contents of the gate-array is referred to as dynamic. A dynamic device is unable to retain the contents of its memory when it loses power. To prevent having to plug

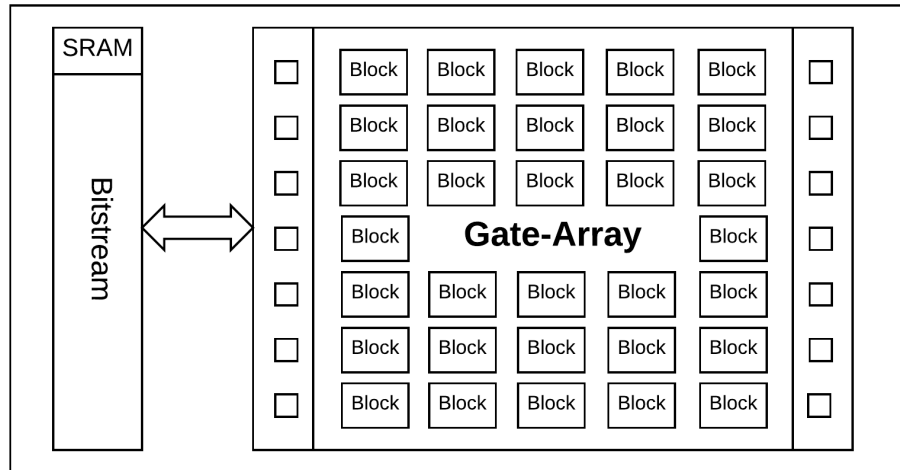


Figure 3.4: FPGA Device Layout

in a device and download the configuration every time it is powered on, an external static memory device (i.e. retains its contents with loss of power) holds the Bitstream. When an FPGA is powered on the Bitstream is loaded from the external memory (often Static RAM (SRAM)) into the gate-array, as can be seen in Figure 3.4.

3.3 Bitstream Extraction

In order to detect any trojans in the Target device the configuration Bitstream will need to be recovered. As mentioned in section 3.2 the Bitstream is stored in a memory unit external to the gate-array. All *Xilinx* devices provide a feature known as Readback. There are two styles of Readback; Readback verify and Readback Capture. The Readback capture method provides a large quantity of debug information which is not needed; Readback verify will be used. Readback verify is the process where the device is put into a 'frozen' state during run-time and all of the configuration bits are returned from the gate-array to the SRAM. The results can then be uploaded to a Personal Computer (PC) for analysis. This process overwrites the original frame data in the SRAM with the values which actually configured the device. By using this method rather than simply reading the SRAM it ensures that what is tested in section 3.4 is actually what configured the device. This minimizes risk of tampered external memory units or configuration mechanics.

3.4 The FPGA Bitstream Analysis

The *Xilinx* Bitstream is a binary file composed of a series of 32-bit words organized into 'frames'. A frame is a string of single bits that span from the top to the bottom of a clock region of a device as seen in the top-right quadrant of Figure 3.3. A frame affects every block in a column and multiple horizontally adjacent frames are required to configure an entire column. Each frame is uniquely identified by a 32-bit address and is the smallest addressable element. The composition of the frame address is fairly consistent across the *Xilinx* catalog however there are small differences between device families. The following is the structure of the Virtex-5 family frame address scheme according to [11]. The make-up of a frame address is shown in Table 3.1. The Block

Table 3.1: Frame Address

Unused								BA			T	Row Address						Major Address								Minor Address					
31	30	29	28	27	26	25	24	23	22	21	20	19	18	17	16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	0
0	0	0	0	0	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	0	0	0	0	0	0	0	0	0

Address (BA) identifies the block type.

- BA 0: Logic type.
- BA 1: Block RAM (BRAM).
- BA 2: BRAM Interconnect.
- BA 3: BRAM non-configuration frame.

The logic block contains the columns which provides the primary configuration for the device (CLBs, IOBs... etc). The BRAM columns initialize the memory for the device while the BRAM Interconnect columns configure how the logic of the design interacts with the BRAM.

In the case of the Virtex-5 family each clock region is composed of twenty blocks in a column separated by a horizontal clock bus as shown in Figure 3.5. Each row of blocks is given a row value in it's address that increments away from the clock bus starting at 0. The frame address includes a Top indicator bit in position 20 that indicates whether the specified row is above or below the horizontal clock bus. The major address specifies the block within the row. These addresses are numbered from left to right and begin at 0. The minor address indicates the frame number within a column. Table 3.2 provides the number of frames per column type. As described in section 3.2 a block may contain multiple tiles. In a CLB column a block consists

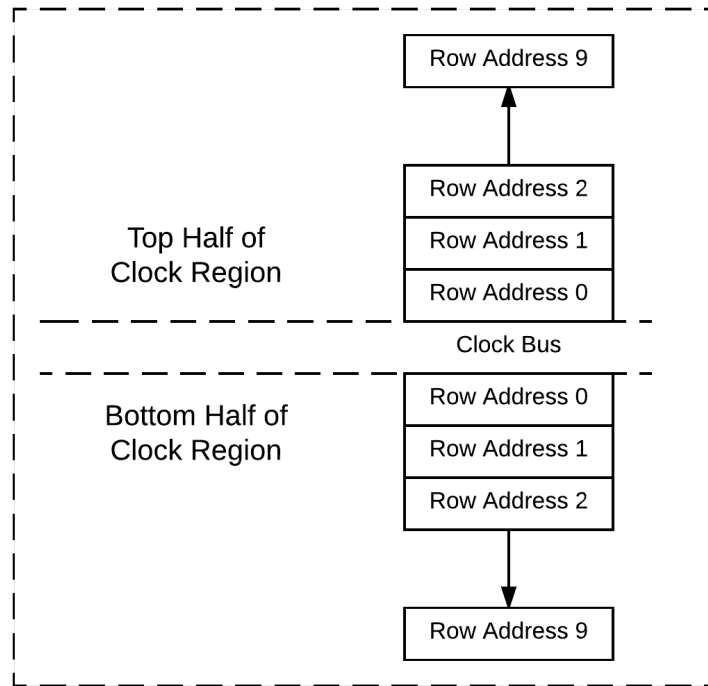


Figure 3.5: Row Order of Virtex-5 Clock Region

Table 3.2: Number of Frames (minor addresses) per Column

Block	Number Of Frames
CLB	36
DSP	28
BRAM	30
IOB	54
Clock	4

of an interconnect tile, also known as a Switching Matrix (SM) and a CLB. Frames are numbered from left to right, starting with 0. For each block, except in a clock column, frames numbered 0 to 25 access the Interconnect for that column. For all blocks, except the CLB and the clock column, frames numbered 26 and 27 access the Interface for that column. All other frames are specific to that block. [11] To further understand how frames configure tiles a mapping must be made between each frame and the corresponding tile. This is described in section 3.5.

3.5 Tile Mapping

The process of tile mapping aims to attribute each word in a configuration frame to the component of the device it configures. In the case of Virtex-5 devices a frame is composed of 41 words that can be thought of as a vertical stack that aligns with a column. As described in section 3.4 a row consists of a stack of basic blocks; there are 20 CLB blocks per column, 40 IOBs, 4 BRAM...etc. As can be seen in Figure 3.6 the central word in a frame configures the horizontally running clock bus. The remaining words are used to configure the blocks in the column. The purpose of the central word in the column is known to be mapped to the clock bus. For the purposes of the following computations it is considered removed from the frame. From this, equation 3.1 can be deduced which is used to compute the number of 32-bit words that span each block.

$$n = (W - C) + B \quad (3.1)$$

where:

n = Number of Words per Block

W = Number of 32-bit words per frame

C = Number of clock words per frame

B = Number of blocks per column

As shown in Figure 3.6 words are addressed from the 'top' of a device down. Equation 3.2 can be used to map a particular word in a frame to a tile on the device.

$$i = B - \left\lfloor \frac{w}{n} \right\rfloor \quad (3.2)$$

where:

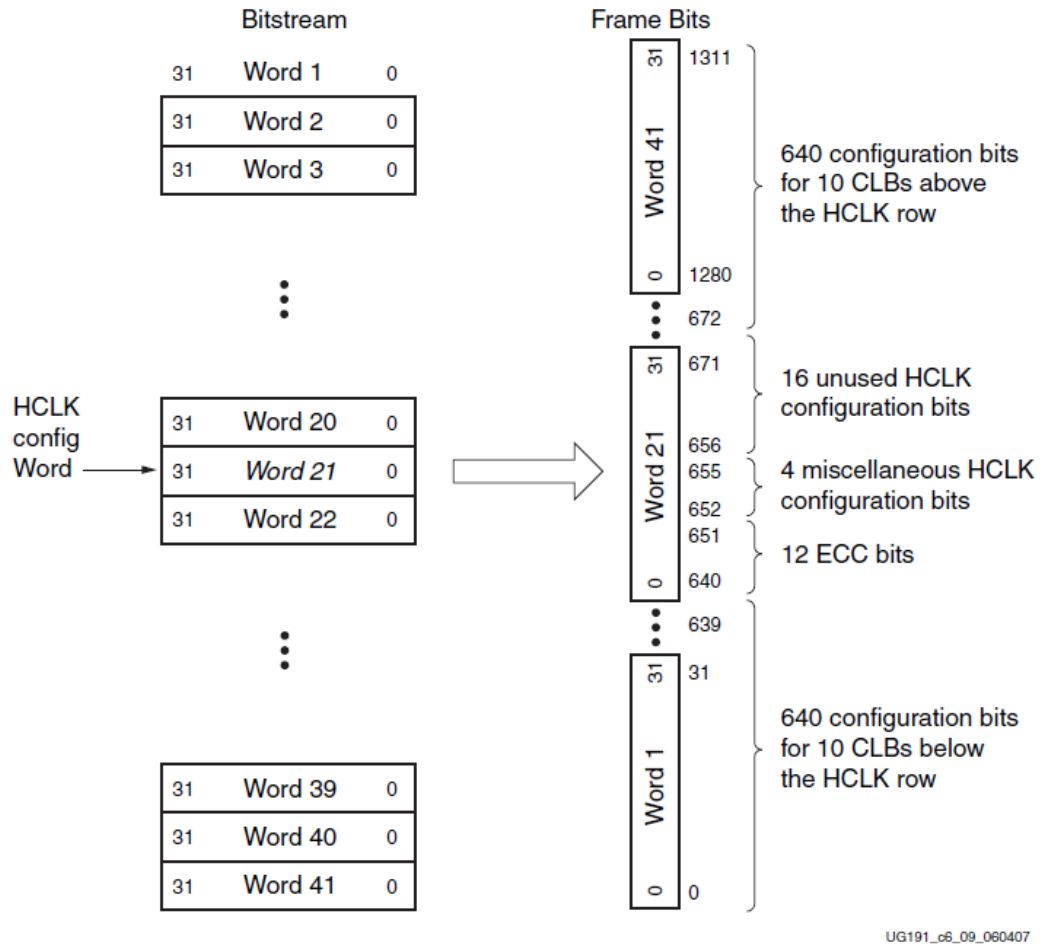


Figure 3.6: Configuration Words in the Bitstream [11]

i = Word Number in frame
 B = Number of blocks per column
 w = Word number
 n = Number of Words per Block

With equations 3.1 and 3.2 it is now possible attribute any modifications in the Bitstream to its corresponding component. The Golden and Target Bitstreams undergo a comparison process. Any frames that contain words that have been modified are stored for analysis. The address of a modified frame is used to determine the row and column as described in section 3.4.

3.5.1 Column-SubColumn Mapping

3.5.2 SubColumn-Frame Mapping

3.5.3 Tile-Word Mapping

3.6 Determining Trojan Attributes

The purpose of attributes recap.

3.6.1 Direct Extraction Methods

Observed Location Attributes

The presence of attributes in the *Location* category are directly observable from the results of the tile mapping method described in section 3.5. *Xilinx* tiles conform to purpose-specific groups or block types which were discussed in section 3.4. These block types contain sub-types that perform actions which pertain to the *Location*, category.

1. The **Processor** attribute pertains to the core functionality of the design logic. It can be awarded for presence of a modified CLB tile or Interconnect tile.
2. The **Memory** attribute can be awarded for the presence of modified BRAM components.
3. The **IO** attribute can be awarded for presence of modified IOB tiles.
4. The **Power Supply** attribute can be awarded for the presence of modified interface or configuration tiles.
5. The **Clock Grid** attribute can be awarded for modified clock tiles.

Scatter Score Method

The gate-array configuration of components in *Xilinx* FPGAs allows for an analytical method of determining attributes in the *Physical Layout* category. The "Scatter Score" method uses the grid coordinates of components to derive a numerical score rating for the size, position, and augmentation of configured tiles. Tiles are assigned

global coordinates that represent their horizontal and vertical positions within the gate array denoted x and y respectively.

The golden chip is first analyzed. The set of all tiles which are configured in the golden design is found and a series of numerical descriptors are computed.

$$n = \sum_{x=0}^X \sum_{y=0}^Y T_{xy} \quad (3.3)$$

where:

n = Number of all configured tiles

X = The column width of the gate-array

Y = The row height of the gate-array

T = A configured tile

$$a_x = \frac{1}{n} \sum_{x=0}^n T_x \quad (3.4)$$

$$a_y = \frac{1}{n} \sum_{y=0}^n T_y \quad (3.5)$$

$$\sigma_x = \sqrt{\frac{1}{n} \sum_{x=0}^X (x_i - a_x)^2} \quad (3.6)$$

$$\sigma_y = \sqrt{\frac{1}{n} \sum_{y=0}^Y (y_i - a_y)^2} \quad (3.7)$$

where:

a_x = The average x coordinate of configured tiles

a_y = The average y coordinate of configured tiles

T_x = The x coordinate of a configured tile

T_y = The y coordinate of a configured tile

σ_x = The standard deviation of the x coordinate of configured tiles

σ_y = The standard deviation of the y coordinate of configured tiles

Equations 3.4 and 3.5 are used to create a rating known as the Position Median in Equation 3.8. The Position Median value provides a simple descriptor for where in the gate array the design is centralized. The Scatter Score in Equation 3.9 describes how spread out or, *clustered* the design is.

$$M_{xy} = (a_x, a_y) \quad (3.8) \quad S_{xy} = (\sigma_x, \sigma_y) \quad (3.9)$$

where:

M_{xy} = The Position Median

S_{xy} = The Scatter Score

The results of the tile mapping method described in section 3.6 are used to generate the set of all tiles reconfigured by the trojan. The set of reconfigured tiles can be said to contain three subsets: the subset of tiles activated by the trojan, those deactivated and those modified. The results of the golden design analysis, the subsets, and the

numeric descriptors can be used to discern which of the *Physical Location* attributes the trojan possesses. The *Physical Location* category contains six attributes. These six can be considered three pairs; a trojan exhibits one attribute from each pair.

1. **Large or Small** (attributes 23 or 24): According to [5], small trojans are defined as those that are nearly impossible to detect via power consumption. From this it can be said that 'small' trojans occupy minimal resources. Trojans where the number of reconfigured tiles is less than 5% of the number of tiles in the golden design are considered small. Other wise they are attributed as large.
2. **Changed Layout or Augmented** (attributes 25 or 26): A 'changed layout' trojan is such that only tiles that are configured by the golden design are reconfigured. An augmented trojan is where additional layout is added. The presence of 'activated' or 'deactivated' tiles indicates an augmented trojan.
3. **Clustered or Distributed** (attributes 27 or 28): The trojan is considered to be clustered when the standard deviation of the reconfigured tile positions is less than 15%; distributed otherwise.

Insertion and Abstraction Attributes

3.6.2 Relation Matrix Use

Chapter 4

Software Implementation

4.1 Technologies Used

4.2 Design

4.3 User-Interface (UI)

Chapter 5

Evaluation, Analysis and Comparisons

For a Master's research this chapter represents the critical part where **you** are truly evaluated to determine whether you should be given your degree. Even more so for a PhD. Consider carefully what the University calendar states regarding the expectations for a master's thesis, paraphrased here.

1. *A Masters thesis is an original lengthy essay.* The main implication here is that the essay is original, that is, it is completely newly written by you and does not contain any writings from others unless precisely quoted. Any paraphrased items must be cited.
2. *It must demonstrate that:*
 - students understand research methods;
 - students are capable to employ research methods;
 - students demonstrate command of the subject.
3. *The work may be based on:*
 - original data;
 - original exercise from scholarly literature;
 - data by others.
4. *The work must show that:*

- appropriate research methods have been used;
- appropriate methods of critical analysis supplied.

5. *The work must contain:*

- evidence of some new contribution;
- evidence of a new perspective on existing knowledge.

Only the last point uses the attribute *new* and it refers almost entirely to giving a new perspective and analysis, even if based on data from others. This truly implies that this current chapter on evaluation and analysis of results is the most important and must be written with care. You are demonstrating here that, even if given data and methods from others, your skills of critical judgment and analysis are now at the level that you can give professional evaluations.

Things are slightly different for a PhD. According to the Graduate Calendar: *a doctoral dissertation must embody original work and constitute a significant contribution to knowledge in the candidate's field of study. It should contain evidence of broad knowledge of the relevant literature, and should demonstrate a critical understanding of the works of scholars closely related to the subject of the dissertation. Material embodied in the dissertation should, in the opinion of scholars in the field, merit publication.*

The general form and style of dissertations may differ from department to department, but all dissertations shall be presented in a form which constitutes an integrated submission. The dissertation may include materials already published by the candidate, whether alone or in conjunction with others. Previously published materials must be integrated into the dissertation while at the same time distinguishing the student's own work from the work of other researchers. At the final oral examination, the doctoral candidate is responsible for the entire content of the dissertation. This includes those portions of co-authored papers which comprise part of the dissertation.

The second paragraph makes it clear that one must emphasize what is new and different from others, without arrogance, yet without being too subtle either. The first paragraph implies that for a PhD it is required that one approached an important open problem and gave a new solution altogether, making chapters 3, 4, 5 all part of the body of research being evaluated. In fact at times even the problem may be entirely new, thus including chapter 2 in the examination. This is in contrast to a Master's degree where the minimum requirement is for chapter 5 to be original.

Chapter 6

Conclusions

My first rule for this chapter is to avoid finishing it with a section talking about future work. It may seem logical, yet it also appears to give a list of all items which remain undone! It is not the best way psychologically.

This chapter should contain a mirror of the introduction, where a summary of the *extraordinary* new results and their wonderful attributes should be stated first, followed by an executive summary of how this new solution was arrived at. Consider the practical fact that this chapter will be read quickly at the beginning of a review (thus it needs to provide a strong impact) and then again in depth at the very end, perhaps a few days after the details of the previous 3 chapters have been somehow forgotten. Reinforcement of the positive is the key strategy here, without of course blowing hot air.

One other consideration is that some people like to join the chapter containing the analysis with the only with conclusions. This can indeed work very well in certain topics.

Finally, the conclusions do not appear only in this chapter. This sample mini thesis lacks a feature which I regard as absolutely necessary, namely a short paragraph at the end of each chapter giving a brief summary of what was presented together with a one sentence preview as to what might expect the connection to be with the next chapter(s). You are writing a story, the *story of your wonderful research work*. A story needs a line connecting all its parts and you are responsible for these linkages.

Appendix A

Additional Information

This is a good place to put tables, lots of results, perhaps all the data compiled in the experiments. By avoiding putting all the results inside the chapters themselves, the whole thing may become much more readable and the various tables can be linked to appropriately.

The main purpose of an Appendix however should be to take care of the future readers and researchers. This implies listing all the housekeeping facts needed to continue the research. For example: where is the raw data stored? where is the software used? which version of which operating system or library or experimental equipment was used and where can it be accessed again?

Ask yourself: if you were given this thesis to read with the goal that you will be expanding the research presented here, what would you like to have as housekeeping information and what do you need? Be kind to the future graduate students and to your supervisor who will be the one stuck in the middle trying to find where all the stuff was left!

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