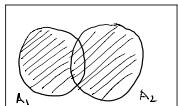


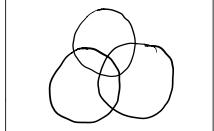
How to count  $|A_1 \cup A_2 \cup \dots \cup A_n|$  if the sets are not disjoint?

$n=2$



$$|A_1 \cup A_2| = |A_1| + |A_2| - |A_1 \cap A_2|$$

$n=3$



$$|A_1 \cup A_2 \cup A_3| = |A_1| + |A_2| + |A_3| - |A_1 \cap A_2| - |A_1 \cap A_3| - |A_2 \cap A_3| + |A_1 \cap A_2 \cap A_3|$$

example

40 students

- 10 in ANTH, 22 in BIOL, 16 in COMP
- 6 in A and B, 8 in B and C, 4 in A and C, 2 in all

How many students are not enrolled in any of the 3 courses?

How many students are in at least one?

$$\begin{aligned} |A \cup B \cup C| &= |A| + |B| + |C| - (|A \cap B| + |A \cap C| + |B \cap C|) + |A \cap B \cap C| \\ &= (10+22+16+2) - (6+8+4) = 32 \therefore \text{There are } 40-32=8 \text{ students in none of the courses} \end{aligned}$$

### PRINCIPLE OF INCLUSION-EXCLUSION

(PIE) If  $A_1, A_2, \dots, A_n$  are finite sets, then

$$\begin{aligned} |A_1 \cup \dots \cup A_n| &= \sum_{\substack{i \in [n] \\ i \neq j}} (-1)^{|A_i|} |\bigcap_{i \in [n]} A_i| \\ &\quad + (|A_1| + |A_2| + \dots) - (\underbrace{(|A_1 \cap A_2| + |A_1 \cap A_3| + \dots)}_{\text{All pairs}}) + (\underbrace{(|A_1 \cap A_2 \cap A_3| + \dots)}_{\text{All triples}}) \end{aligned}$$

PROOF: Must show every  $x \in A_1 \cup \dots \cup A_n$  is counted exactly once on the RHS. Say  $x$  appears in  $k$  of the sets  $A_1, \dots, A_n$ . How many times is  $x$  counted in collections of one set?  $\binom{k}{1}$

• intersections of 2 sets:  $\binom{k}{2}$

• intersections of 3 sets:  $\binom{k}{3}$

So  $x$  is counted  $\binom{k}{1} - \binom{k}{2} + \binom{k}{3} - \binom{k}{4} + \dots + (-1)^{k-1} \binom{k}{k}$

### Derangements

$n$  people put their phones in a box. If every person takes a phone out, how likely is it that no one gets their phone back?

The number of permutations of  $[n]$  (or bijections from  $[n] \rightarrow [n]$ ) where no element is mapped to itself is denoted  $D_n$ ; they are all called **derangements**.

Let  $A_i = \text{set of permutations which map } i \text{ to itself}$

$$\begin{aligned} D_n &= |\overline{A}_1 \cap \overline{A}_2 \cap \dots \cap \overline{A}_n| \\ &= |\overline{A}_1 \cap \overline{A}_2 \cap \dots \cap \overline{A}_n| \\ &= n! - |A_1 \cup A_2 \cup \dots \cup A_n| \end{aligned}$$

$$\begin{aligned} |\mathcal{S}| &= (|A_1| + |A_2| + \dots) - (|A_1 \cap A_2| + |A_1 \cap A_3| + \dots) + (|A_1 \cap A_2 \cap A_3|) = n(n-1)! - \binom{n}{2}(n-2)! + \dots + (-1)^{n-1} \binom{n}{n}(n-n)! \\ &= n! \left( 1 - \frac{1}{2!} + \frac{1}{3!} - \frac{1}{4!} + \dots + (-1)^{n-1} \frac{1}{n!} \right) \end{aligned}$$

$$D_n = n! - n! \left( 1 - \frac{1}{2!} + \frac{1}{3!} - \dots + \frac{(-1)^{n-1}}{n!} \right) = n! \sum_{k=0}^n \frac{(-1)^k}{k!}$$

So how likely is it?

$$P(\text{a permutation is a derangement}) = \frac{D_n}{\# \text{ perms.}} = \frac{D_n}{n!} = \sum_{k=0}^n \frac{(-1)^k}{k!} \Rightarrow e^{-1} = \sum_{k=0}^{\infty} \frac{(-1)^k}{k!}$$

Recall: Taylor polynomial  $e^x = \sum_{k=0}^{\infty} \frac{x^k}{k!} \quad \forall x \in \mathbb{R}$

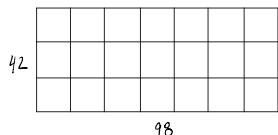
So for large  $n$ ,  $P \approx e^{-1} \approx 0.36788$

$$D_n \approx \frac{n!}{e} \text{ as } n \rightarrow \infty$$

### PIDGEON HOLE PRINCIPLE (PHP)

If you distribute  $>n$  objects to  $n$  boxes, some box has more than 1 object.

**example** 22 soccer players on a field measuring  $42m \times 98m$ . Show there are 2 players no more than 20 m apart.



21 boxes, 22 players

$\Rightarrow$  2 in same square by PHP

$$\Rightarrow d \leq \sqrt{14^2 + 14^2} = \sqrt{196 + 196} < \sqrt{400} = 20$$

**example** Let  $S$  be a set of 9 points in  $\mathbb{R}^3$  each with integer coordinates. Show  $\exists p_i, p_j \in S$  such that the midpoint of  $\overline{p_i p_j}$  has integer coordinates.

$$\begin{aligned} p_i = (x_i, y_i, z_i) \quad &\text{Want } \frac{x_i+x_j}{2}, \frac{y_i+y_j}{2}, \frac{z_i+z_j}{2} \in \mathbb{Z} \Leftrightarrow x_i+x_j, y_i+y_j, z_i+z_j \text{ even} \\ p_j = (x_j, y_j, z_j) \end{aligned}$$

Possible parity combinations:

x	y	z
E	E	E
E	E	O
E	O	E
O	E	E
E	O	O
O	E	O
O	O	E
O	O	O

8 parity combos,

9 points  $\Rightarrow$  2 have the same parity

i.e.  $x_i \equiv x_j \pmod{2}$

$y_i \equiv y_j \pmod{2}$

$z_i \equiv z_j \pmod{2}$

$\Rightarrow x_i+x_j, y_i+y_j, z_i+z_j$  all even

**example** Show that if  $n+1$  numbers are chosen from  $[2n]$  then there are

- (a) 2 which differ by 1
- (b) 2 which sum to  $2n+1$

(a) Boxes:  $\{1, 2\}, \{3, 4\}, \dots, \{2n-1, 2n\}$   $n$  boxes,  $n+1$  choices  $\Rightarrow$  2 integers in same box by PHP  $\Rightarrow$  differ by 1.

(b) Boxes:  $\{1, 2n\}, \{2n, 2n-1\}, \{3, 2n-2\}, \dots$  Each pair sums to  $2n+1$   $\Rightarrow$  2 of the  $n+1$  choices lie in one box by PHP.

Strong version:  $m > n$ ,  $m$  objects,  $n$  boxes  $\Rightarrow$  some box receives  $\lceil \frac{m}{n} \rceil$  objects

**example** 16 students in 18 chairs. Show there are 6 consecutive occupied seats

Split into 3 blocks of 6 chairs:  $\underbrace{\quad}_{1-6} \quad \underbrace{\quad}_{7-12} \quad \underbrace{\quad}_{13-18}$

Distribute 16 people across 3 boxes  $\Rightarrow$  some box gets  $\lceil \frac{16}{3} \rceil = 6$  people

**example** A student has 37 days to prepare for an exam. If she studies no more than 60 hours but at least 1 hour each day. Show there is some set of consecutive days over which she studied exactly 13 hours (whole hours each day)

Let  $s_i = \# \text{ of hours studied on day } i$ .

Let  $a_i = s_1 + s_2 + \dots + s_i$  ( $\# \text{ of hours studied from day 1 to day } i$ )

$1 \leq a_1 < a_2 < a_3 < \dots < a_{37} \leq 60$  and  $14 \leq a_i + 13 < a_{i+1} + 13 < \dots < a_{37} + 13 \leq 73$

Consider the list  $[a_1, a_2, \dots, a_{37}, a_1 + 13, a_2 + 13, \dots, a_{37} + 13]$ . There are 74 integers between 1 and 73  $\Rightarrow$  2 are the same

$\Rightarrow \exists i, j \text{ st } a_i = a_j + 13$

$\Rightarrow a_i - a_j = 13$

$\Rightarrow s_{j+1} + s_{j+2} + \dots + s_i = 13$   $\blacksquare$