Chapter 4: Threads & Concurrency

Chapter 4: Threads

- Overview
- Multicore Programming
- Multithreading Models
- Thread Libraries
- Implicit Threading
- Threading Issues
- Operating System Examples

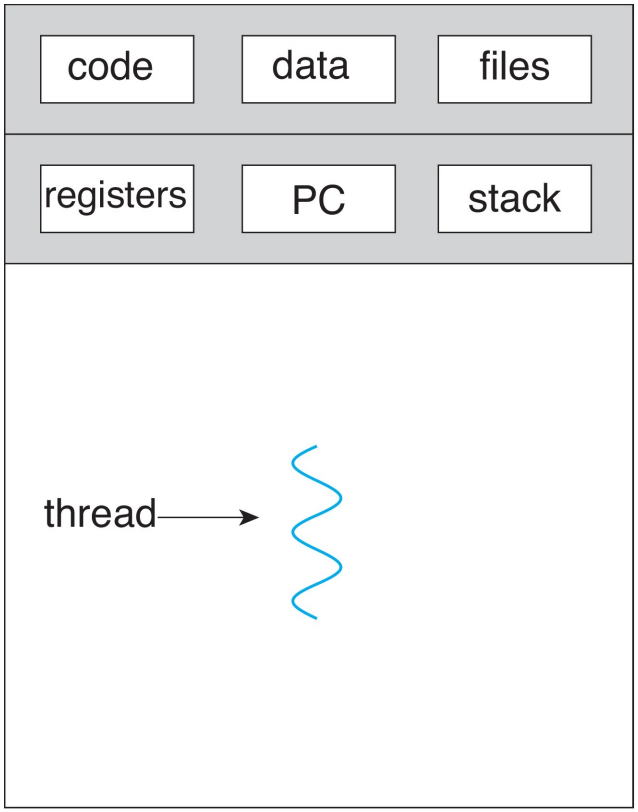
Objectives

- Identify the *basic components of a thread*, and *contrast threads and processes*
- Describe the *benefits* and *challenges* of designing *multithreaded applications*
- Illustrate *different approaches to implicit threading* including thread pools, fork-join, and Grand Central Dispatch
- Describe how the Windows and Linux operating systems represent threads
- Design multithreaded applications using the Pthreads, Java, and Windows threading APIs

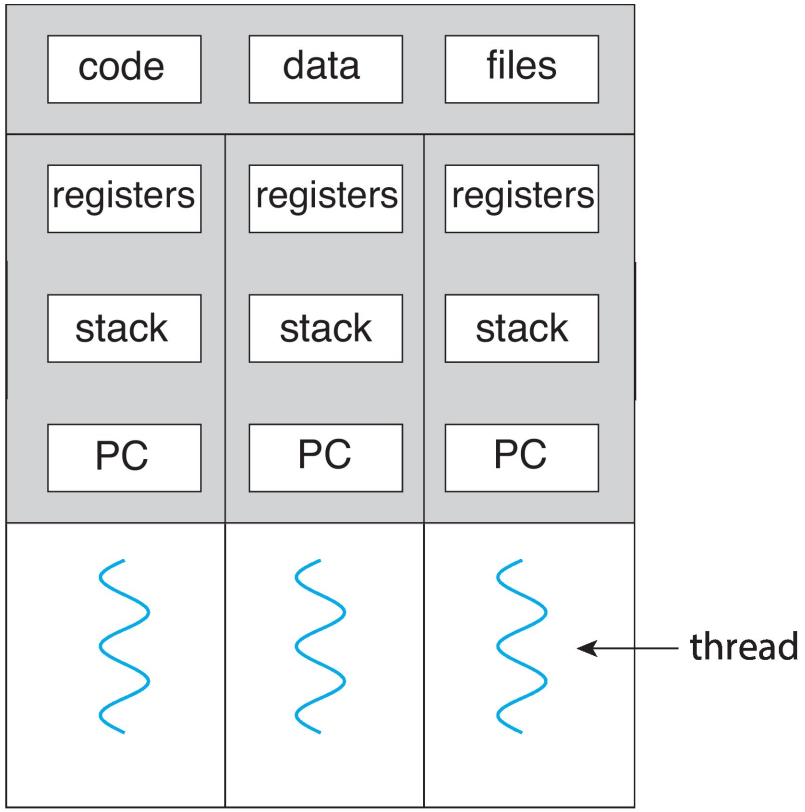
Motivation

- Most modern applications are *multithreaded*
- *Threads run within application*
- Multiple tasks with the application can be implemented by separate threads
  - Update display
  - Fetch data
  - Spell checking
  - Answer a network request
- Process creation is heavy-weight while *thread creation is light-weight*
- Can simplify code, increase efficiency
- Kernels are generally multithreaded

Single and Multithreaded Processes

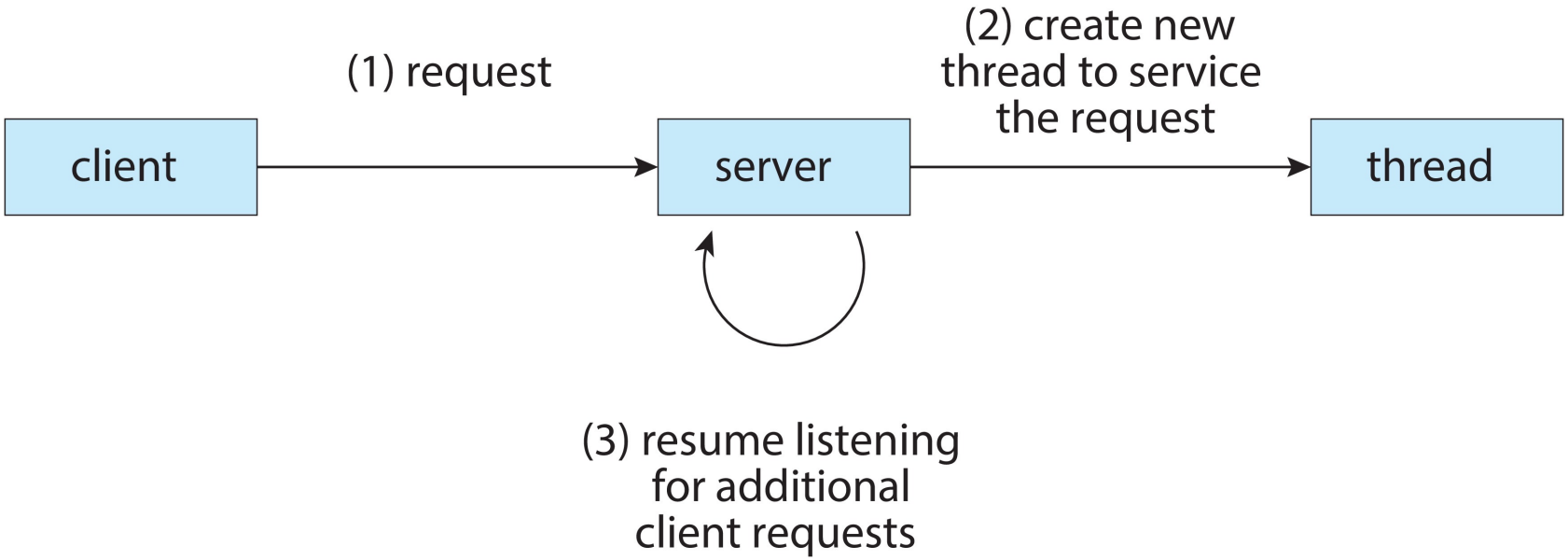


single-threaded process



multithreaded process

Multithreaded Server Architecture



Benefits

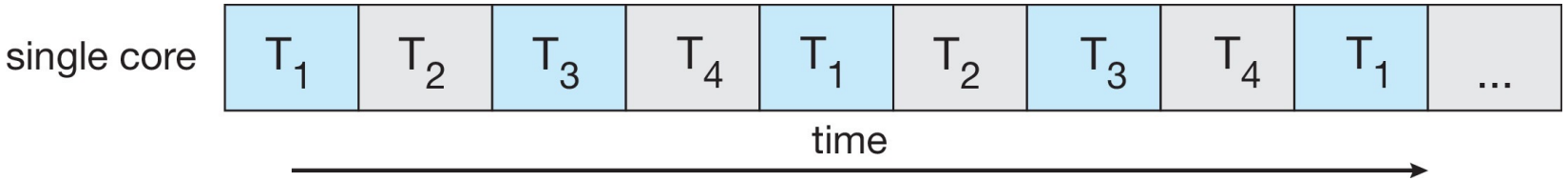
- *Responsiveness* – may allow continued execution if part of process is blocked, especially important for user interfaces
- *Resource Sharing* – threads share resources of process, easier than shared memory or message passing (IPC)
- *Economy* – cheaper than process creation, thread switching lower overhead than context switching
- *Scalability* – process can take advantage of multicore architectures

Multicore Programming

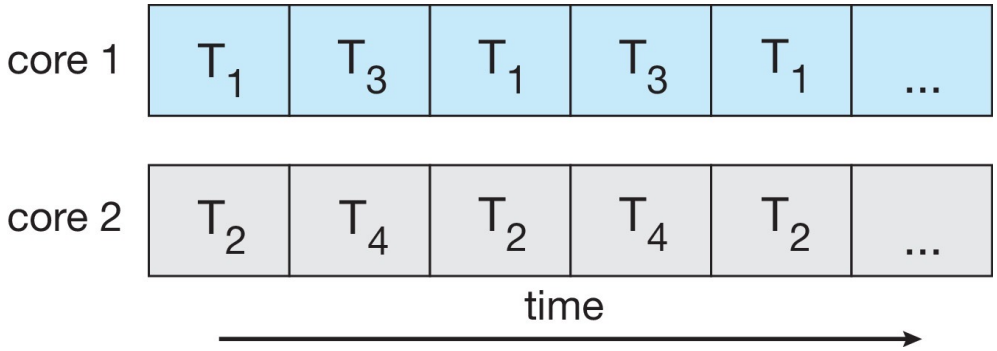
- *Multicore* or *multiprocessor systems* putting pressure on programmers, challenges include:
  - Dividing activities
  - Balance
  - Data splitting
  - Data dependency
  - Testing and debugging
- *Parallelism* implies a system can perform more than one task simultaneously
- *Concurrency* supports more than one task making progress
  - Single processor / core, scheduler providing concurrency

Concurrency vs. Parallelism

- **Concurrent execution on single-core system:**



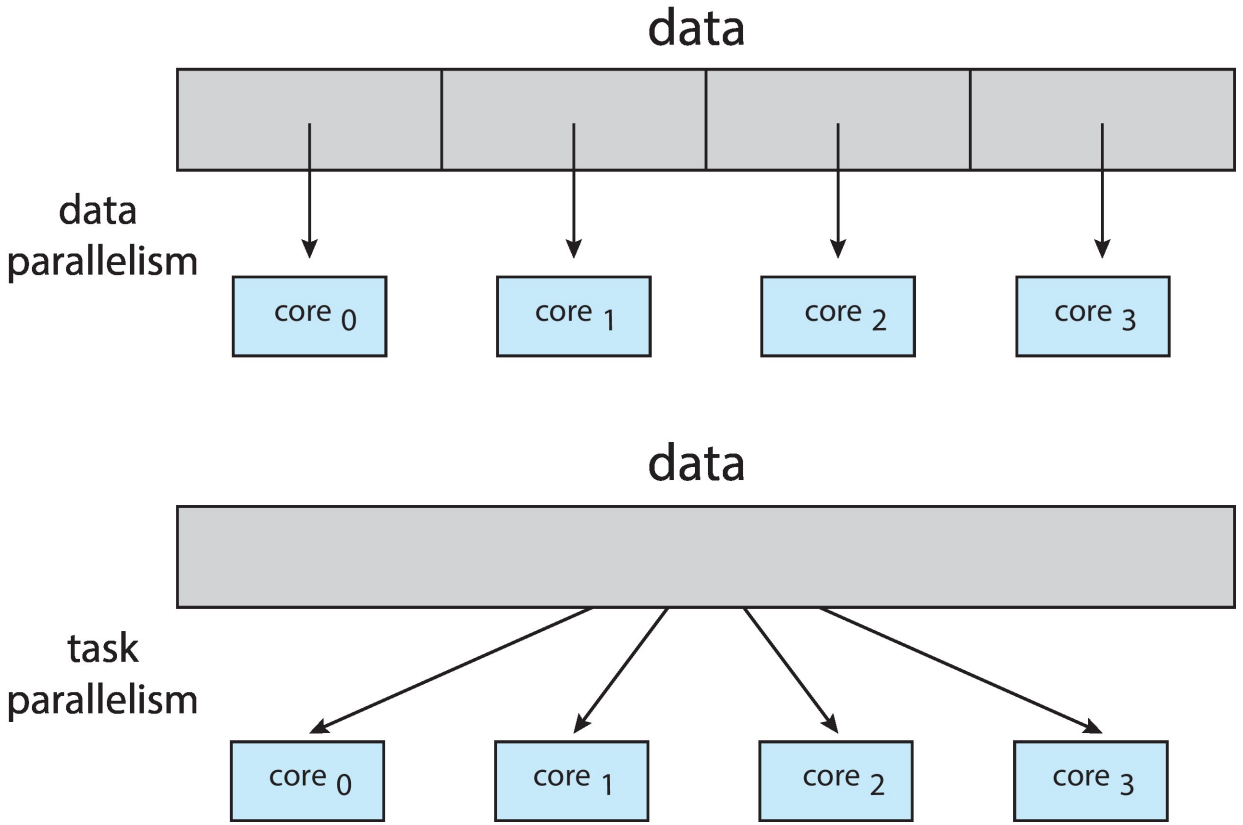
- **Parallelism on a multi-core system:**



Multicore Programming

- Types of parallelism
  - ***Data parallelism*** – distributes subsets of the same data across multiple cores, same operation on each
  - ***Task parallelism*** – distributing threads across cores, each thread performing unique operation

Data and Task Parallelism



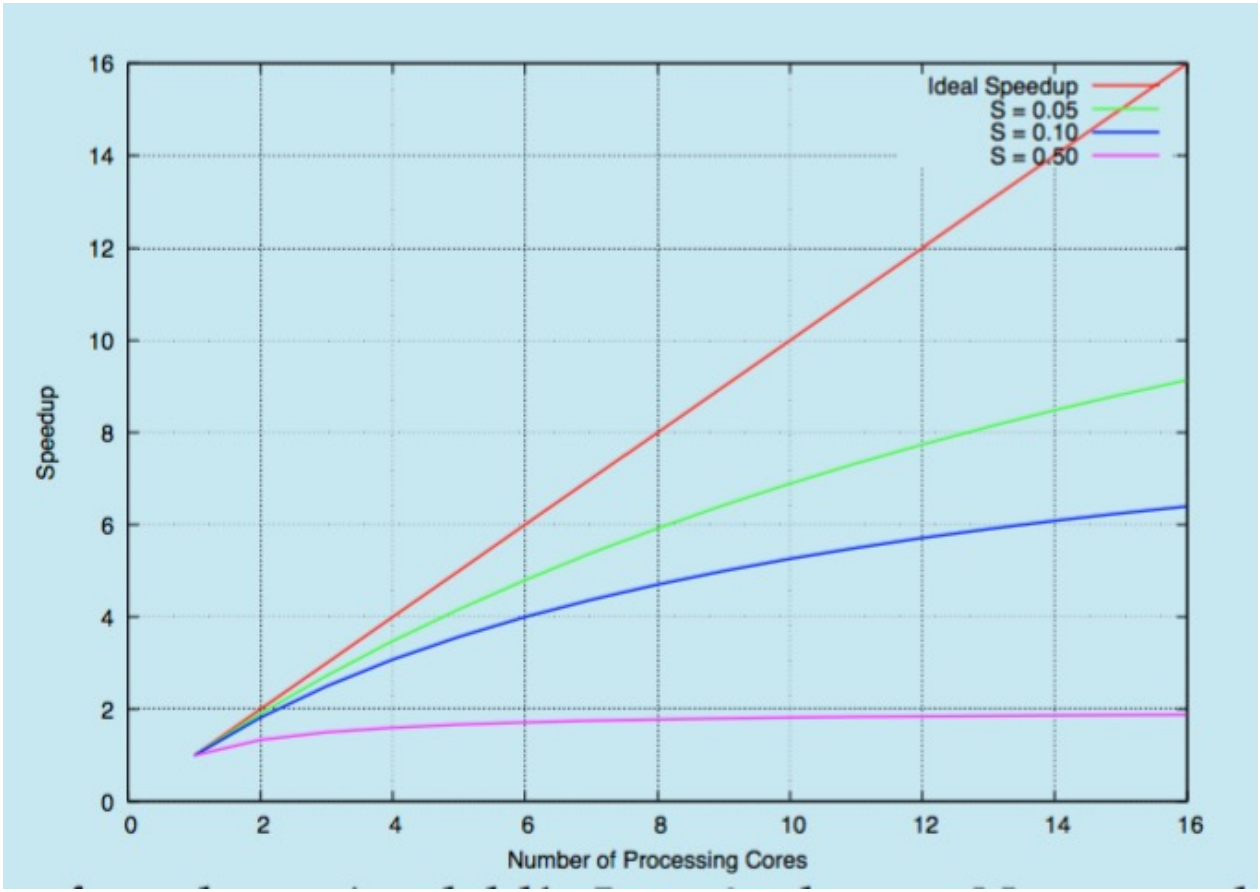
Amdahl's Law

- Identifies *performance gains* from adding additional cores to an application that has both serial and parallel components
  - **S** is serial portion
  - **N** processing cores

$$speedup \leq \frac{1}{S + \frac{(1-S)}{N}}$$

  - That is, if application is 75% parallel / 25% serial, moving from 1 to 2 cores results in speedup of 1.6 times
  - As **N** approaches infinity, speedup approaches **1/S**
- Serial portion of an application has disproportionate effect on performance gained by adding additional cores
- But does the law take into account *contemporary multicore systems*?

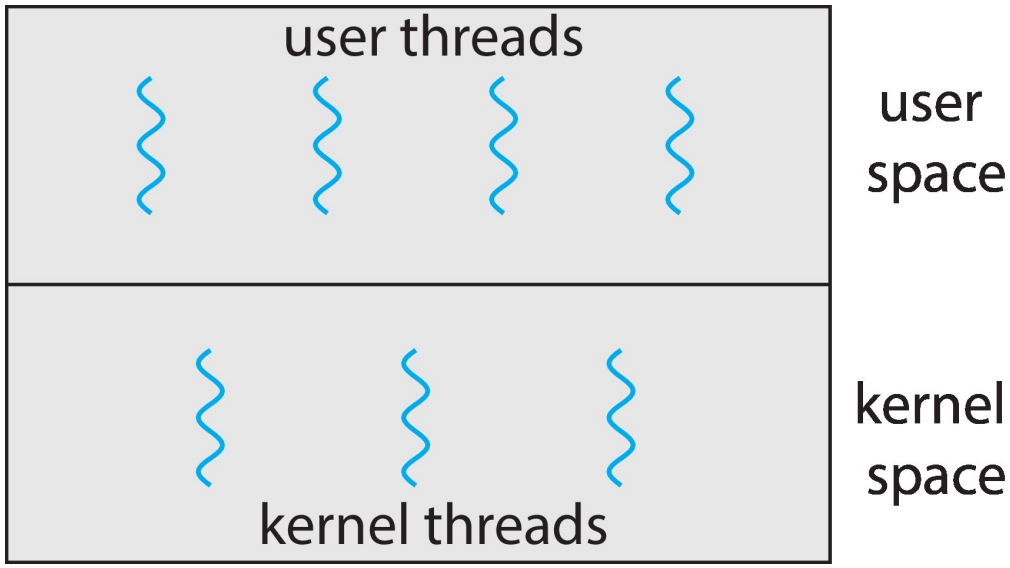
Amdahl's Law


Ideal Speedup
S = 0.05
S = 0.10
S = 0.50
Speedup
Number of Processing Cores
0
2
4
6
8
10
12
14
16

User Threads and Kernel Threads

- *User threads* - management done by user-level threads library
- Three primary thread libraries:
  - POSIX Pthreads
  - Windows threads
  - Java threads
- *Kernel threads* - supported by the Kernel
- Examples – virtually all general purpose operating systems, including:
  - Windows, Linux, Mac OS X
  - iOS, Android

User and Kernel Threads



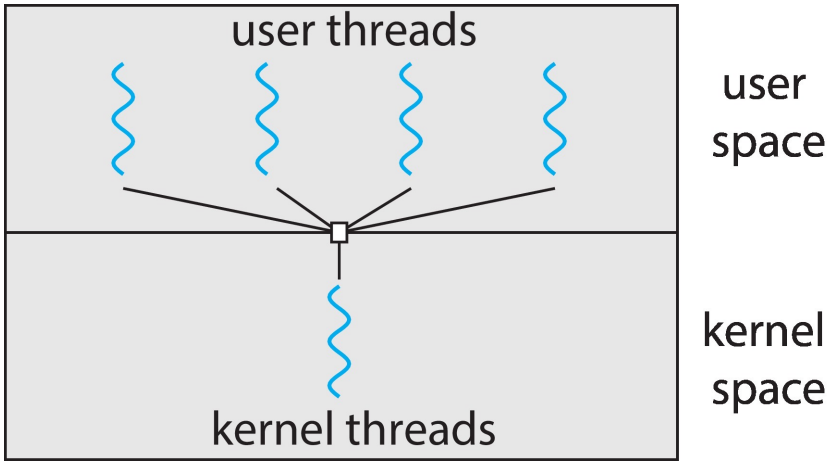
PROCESS	THREAD
A process is an instance of a program that is being executed or processed.	Thread is a segment of a process or a lightweight process that is managed by the scheduler independently.
Processes are independent of each other and hence don't share a memory or other resources.	Threads are interdependent and share memory.
Each process is treated as a new process by the operating system.	The operating system takes all the user-level threads as a single process.
If one process gets blocked by the operating system, then the other process can continue the execution.	If any user-level thread gets blocked, all of its peer threads also get blocked because OS takes all of them as a single process.
Context switching between two processes takes much time as they are heavy compared to thread.	Context switching between the threads is fast because they are very lightweight.
The data segment and code segment of each process are independent of the other.	Threads share data segment and code segment with their peer threads; hence are the same for other threads also.
The operating system takes more time to terminate a process.	Threads can be terminated in very little time.
New process creation is more time taking as each new process takes all the resources.	A thread needs less time for creation.

Multithreading Models

- Many-to-One
- One-to-One
- Many-to-Many

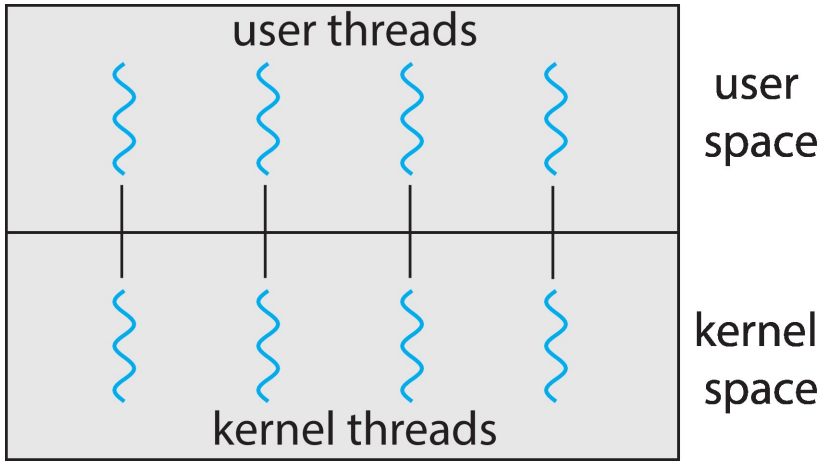
Many-to-One

- *Many user-level threads* mapped to *single kernel thread*
- One thread blocking causes all to block
- Multiple threads may not run in parallel on multicore system because only one may be in kernel at a time
- *Few systems currently use this model*
- Examples:
  - Solaris Green Threads
  - GNU Portable Threads



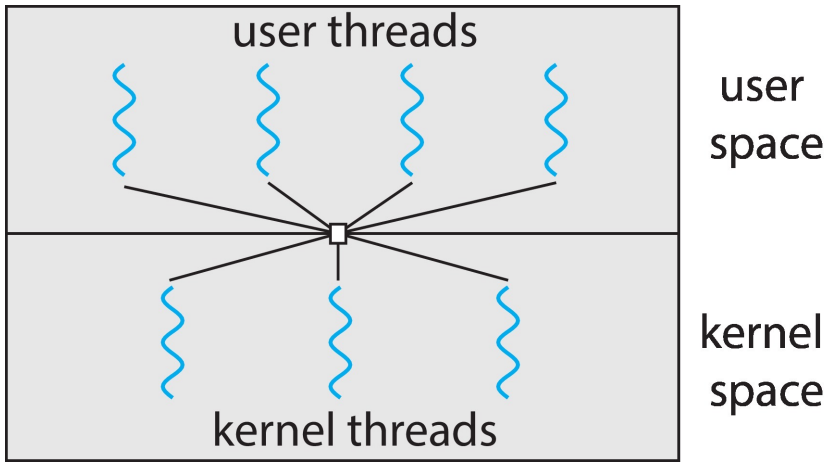
One-to-One

- *Each user-level thread* maps to *one kernel thread*
- Creating a user-level thread creates a kernel thread
- More concurrency than many-to-one
- Number of threads per process sometimes restricted due to overhead
- Examples
  - Windows
  - Linux



Many-to-Many Model

- Allows *many user level threads* to be mapped to *many kernel threads*
- Allows the operating system to create a sufficient number of kernel threads
- Windows with the **`ThreadFiber`** package
- Otherwise *not very common*



Thread Libraries

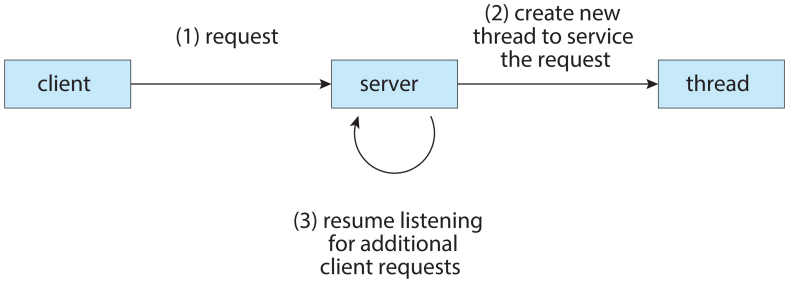
- ***Thread library*** provides programmer with API for creating and managing threads
- Two primary ways of implementing
  - Library entirely *in user space*
  - Kernel-level library *supported by the OS*

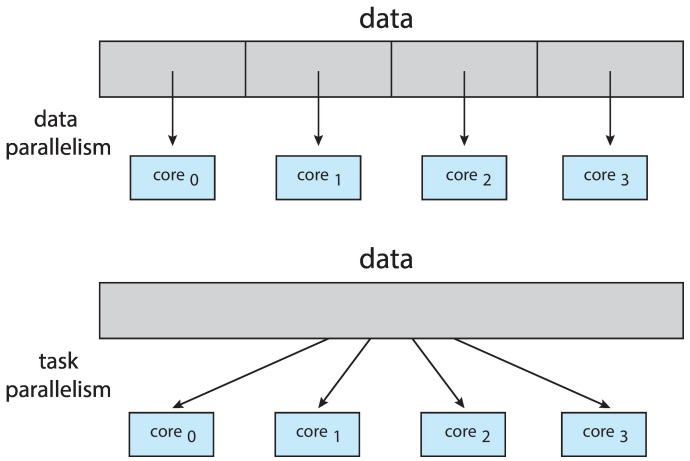
Pthreads

- May be provided either as *user-level* or *kernel-level*
- A **POSIX standard (IEEE 1003.1c) API** for thread creation and synchronization
- Specification, not implementation
- API specifies behavior of the thread library, implementation is up to development of the library
- Common in UNIX operating systems (Linux & Mac OS X)

Thread creation

- Asynchronous threading
  - Parent and child execute concurrently and independently of one another
  - Commonly used for designing responsive user interfaces.
- Synchronous threading
  - Parent must wait for all of its children to terminate before it resumes
  - Involves significant data sharing among threads, parent thread may combine the results calculated by its various children





Synchronous or asynchronous threading?

Thread creation (cont)

- #include<pthread.h>
- pthread_t tid: declares the identifier tid for the thread
- pthread_attr_t attr: represents the attributes for the thread, including stack size and scheduling information
- pthread attr init(&attr): set the default attributes
- pthread_create(): create a separate thread
- pthread_join(): parent thread will wait for child thread to terminate
- pthread_exit(): child thread call to terminate

pthread_create() function

- A pointer to a pthread_t variable, tid
- A pointer to a thread attribute object. If NULL, a thread created with the default thread attributes
- A pointer to the thread function with type void*.
- A thread argument value of type void*

Thread function take a parameter of type void* and have a void* return type.

Pthreads Example

```
#include <pthread.h>
#include <stdio.h>

#include <stdlib.h>

int sum; /* this data is shared by the thread(s) */
void *runner(void *param); /* threads call this function */

int main(int argc, char *argv[])
{
   pthread_t tid; /* the thread identifier */
   pthread_attr_t attr; /* set of thread attributes */

   /* set the default attributes of the thread */
   pthread_attr_init(&attr);
   /* create the thread */
   pthread_create(&tid, &attr, runner, argv[1]);
   /* wait for the thread to exit */
   pthread_join(tid,NULL);

   printf("sum = %d\n",sum);
}
```

Pthreads Example (cont)

```
/* The thread will execute in this function */
void *runner(void *param)
{
   int i, upper = atoi(param);
   sum = 0;

   for (i = 1; i <= upper; i++)
      sum += i;

   pthread_exit(0);
}
```

Pthreads Code for Joining 10 Threads

```
#define NUM_THREADS 10

/* an array of threads to be joined upon */
pthread_t workers[NUM_THREADS];

for (int i = 0; i < NUM_THREADS; i++)
   pthread_join(workers[i], NULL);
```

Passing Data to threads

- Can't pass a lot of data directly via the argument
- Define structure for each thread function, representing parameters needed.

```
struct char_print_parms
{
   /* The character to print.*/
   char character;
   /* The number of times to print it. */
   int count;
};
```

```
void* char_print (void* parameters)
{
```

```
pthread_t thread1_id;
struct char_print_parms thread1_args;
pthread_create (&thread1_id, NULL, &char_print,
&thread1_args);
```

Implicit Threading

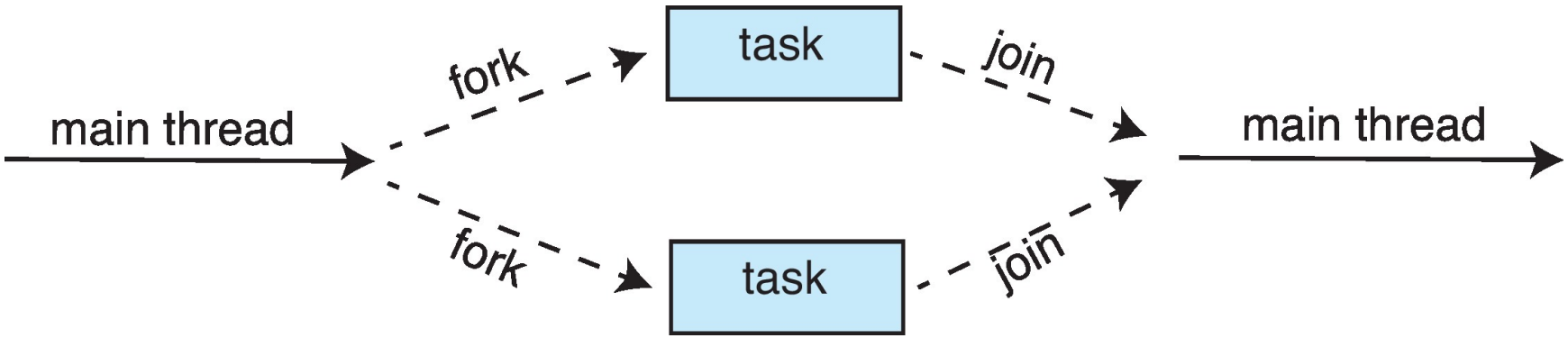
- Growing in popularity as numbers of threads increase, program correctness more difficult with *explicit threads*
- *Creation and management of threads done by compilers and run-time libraries* rather than programmers
- Five methods explored
  - Thread Pools
  - Fork-Join
  - OpenMP
  - Grand Central Dispatch
  - Intel Threading Building Blocks

Thread Pools

- Create a *number of threads in a pool* where they await work
- Advantages:
  - Usually slightly *faster* to service a request with an existing thread than create a new thread
  - Allows the number of threads in the application(s) to be *bound* to the size of the pool
  - Separating task to be performed from mechanics of creating task allows different strategies for running task
    - i.e., Tasks could be scheduled to run periodically

Fork-Join Parallelism

- *Multiple threads (tasks) are forked*, and then *joined*.



Fork-Join Parallelism

- General algorithm for *fork-join strategy*:

```
Task(problem)
   if problem is small enough
      solve the problem directly
   else
      subtask1 = fork(new Task(subset of problem)
      subtask2 = fork(new Task(subset of problem)

      result1 = join(subtask1)
      result2 = join(subtask2)

      return combined results
```

Threading Issues

- Semantics of **`fork()`** and **`exec()`** system calls
- Signal handling
  - Synchronous and asynchronous
- Thread cancellation of target thread
  - Asynchronous or deferred
- Thread-local storage
- Scheduler Activations

Semantics of fork() and exec()

- Does `fork()` duplicate only the calling thread or all threads?
  - Some UNIXes have two versions of fork
- `exec()` usually works as normal – replace the running process including all threads

Signal Handling

- ***Signals*** are used in UNIX systems to notify a process that a particular event has occurred.
- A *signal handler* is used to process signals
  - Signal is generated by particular event
  - Signal is delivered to a process
  - Signal is handled by one of two signal handlers
    - default
    - user-defined
- Every signal has a default handler that kernel runs when handling signal
  - User-defined signal handler can override default
  - For single-threaded, signal delivered to process

Signal Handling (Cont.)

- *Where* should a signal be delivered for *multi-threaded*?
  - Deliver the signal to the thread to which the signal applies
  - Deliver the signal to every thread in the process
  - Deliver the signal to certain threads in the process
  - Assign a specific thread to receive all signals for the process

Thread Cancellation

- Terminating a thread before it has finished
- Thread to be canceled is target thread
- Two general approaches:
  - Asynchronous cancellation terminates the target thread immediately
  - Deferred cancellation allows the target thread to periodically check if it should be cancelled
- **Pthread** code to create and cancel a thread:

```
pthread_t tid;

/* create the thread */
pthread_create(&tid, 0, worker, NULL);

  . . .

/* cancel the thread */
pthread_cancel(tid);

/* wait for the thread to terminate */
pthread_join(tid,NULL);
```

Thread Cancellation (Cont.)

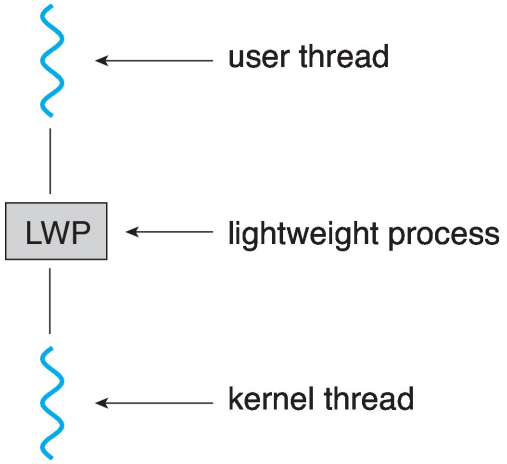
- Invoking thread cancellation requests cancellation, but actual cancellation depends on thread state

Mode	State	Type
Off	Disabled	–
Deferred	Enabled	Deferred
Asynchronous	Enabled	Asynchronous

- If thread has cancellation disabled, cancellation remains pending until thread enables it
- Default type is deferred
  - Cancellation only occurs when thread reaches cancellation point
    - i.e., **`pthread_testcancel()`**
    - Then cleanup handler is invoked
- On Linux systems, thread cancellation is handled through signals

Scheduler Activations

- Both M:M and Two-level models require communication to maintain the appropriate number of kernel threads allocated to the application
- Typically use an intermediate data structure between user and kernel threads – *lightweight process* (**LWP**)
  - Appears to be a *virtual processor* on which process can schedule user thread to run
  - Each LWP attached to kernel thread
  - How many LWPs to create?
- Scheduler activations provide upcalls - a communication mechanism from the kernel to the upcall handler in the thread library
- This communication allows an application to maintain the correct number kernel threads



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